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Official Title:	Learning and Improving Alzheimer's Patient-Caregiver Relationships Via Smart Healthcare Technology
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3 The Ohio State University Consent to Participate in Research

4

Study Title: **Collaborative Research: Learning and Improving
Alzheimer's Patient-Caregiver Relationships via Smart
Healthcare Technology.**

5 **Principal Investigator:** **Karen Rose, PhD, RN, FGSA, FAAN**

6 **Sponsor:** National Science Foundation (NSF)

7

This is a consent form for research participation. It contains important information about
this study and what to expect if you decide to participate.

8 **Your participation is voluntary.**

9 Please consider the information carefully. Feel free to ask questions before making your
10 decision whether or not to participate. If you decide to participate, you will be asked to sign
11 this form and will receive a copy of the form.

12

Purpose:
13 This study is about studying how family caregivers and persons with memory loss
14 communicate and if certain ways of handling stress are helpful for family caregivers

15

Procedures/Tasks:
16 If you are in this study, you will be asked to complete some surveys and you will have
17 microphones and a computer installed in your home. Through these microphones, we will
18 hear the ways you speak with each other in your home. This recording will take place for up
19 to 4 months while you are participating in the study. We are only interested in identifying the
20 ways that you communicate that may cause family caregivers of persons with memory loss to
21 feel stress. We will provide the family caregiver with a mobile phone. We will use this
22 mobile phone to communicate with family caregivers regarding ways they can decrease their
23 stress. At the beginning of the study, we will provide training to family caregivers about ways
24 they can handle their stress. If we detect that a family caregiver is becoming stressed, we will
25 send a text message through the mobile phone as a reminder of ways the family caregiver can
26 reduce their stress, through taking a time-out, performing deep breathing exercises, or doing
27 other pleasurable activities. Through the mobile phone, family caregivers will provide us with
28 a rating of how well they are managing their stress.

29

Duration:
30 You will be in the study for up to four months from the time of consent to the time of final
31 study data collection. There will be either 4 study visits at your home or 4 telephone or video
32 calls, and each will take approximately 30 – 135 minutes.

33

34 Visit 1: The study information brochure and the study consent forms will be reviewed over
35 the phone or in person with you and written, informed consent will be obtained for both you
36 and your family caregiver. The acoustic monitor (microphone) and accompanying laptop

39 computer (for transferring the acoustic data to the Cloud for translation processes to occur)
40 will be placed in your home at a location that is agreeable to you. A Smart Phone will be
41 given to your caregiver for use during the study period for the purpose of receiving
42 recommendations and providing feedback through daily and weekly online surveys.
43 Between visit 1 and 2, you will complete a short survey about their experience deploying the
44 study equipment in your home. The questionnaire will take about five to ten minutes to
45 complete.

46 Visit 2: The research staff will either visit you in your home or speak with you via phone or
47 video call to educate your family caregiver regarding the text messages they will be receiving
48 from the study team when stressful situations are identified. Caregivers will be asked to select
49 personal preferences for messages and helpful reminders they will receive in the text
50 messages that would prompt their understanding of the text messages received from a pre-set
51 list of choices. Additionally, the caregiver will be taught to respond to the daily and weekly
52 feedback surveys regarding their use of the text messages.

53 Acoustic monitoring and text message recommendations will occur until the end of the study.
54 Your caregiver will receive a text message within an hour after each recommendation is sent
55 to he/she to tell us if they implemented the recommendation (yes or no) and to provide
56 feedback on how helpful the recommendation was. Additionally, we will text your caregiver
57 weekly and will ask them to rate the overall helpfulness of the recommendations.

58 Visit 3: The research staff will either visit you in your home or speak with your family
59 caregiver via phone or video call to discuss any issue you are having and review the education
60 provided during the previous visit or call.

61 Visit 4: At the completion of the study, staff will either visit your home or speak with you via
62 phone or video call to collect the end of study surveys.

63
64
65 You may leave the study at any time. If you decide to stop participating in the study, there
66 will be no penalty to you, and you will not lose any benefits to which you are otherwise
67 entitled. Your decision will not affect your future relationship with The Ohio State
68 University.

69
70 **Risks and Benefits:**

71 *Benefits:* Throughout the study, family caregivers may become more aware of how they
72 handle stress. At the end of the study, the family caregiver will be given an overview of the
73 ways that they handled stress over the study period. This information may be helpful so that
74 they learn better ways to handle stress in the future.

75
76 *Risks:* You may feel like you are losing your privacy as a result of having microphones in
77 your home. We will only place the microphones in areas of your home where you tell us its
78 okay to do so. We are only hearing your words and we will not see you as no video recording
79 is taking place. The information we receive from the microphones will be transferred via the
80 internet to a secured database located at the University of Virginia.

81 Although we will have records that will allow your name and identifying information to be
82 associated with your data and recordings, we plan to keep this information confidential.

83

84
85 **Confidentiality:**
86
87 Efforts will be made to keep your study-related information confidential. However, there may
88 be circumstances where this information must be released. For example, personal information
89 regarding your participation in this study may be disclosed if required by state law. Also,
90 your records may be reviewed by the following groups (as applicable to the research):

91 • Office for Human Research Protections or other federal, state, or international
92 regulatory agencies;
93 • The Ohio State University Institutional Review Board or Office of Responsible
94 Research Practices;
95 • The sponsor, if any, or agency (including the Food and Drug Administration for FDA-
96 regulated research) supporting the study.

97
98 This study will use the REDCap software for the electronic collection and management of
99 clinical and research data. This is a secure web-based application that simplifies data
100 collection and handling of protected Personal Health Information.

101
102 We will work to make sure that no one sees your survey responses or other online data we
103 collect without approval. But, because we are using the Internet, there is a chance that
104 someone could access your online responses or audio recordings without permission. In some
105 cases, this information could be used to identify you. Your data will be protected with a code
106 to reduce the risk that other people can view the responses.

107
108 **Will my de-identified information be used or shared for future research?**

110
111 Yes, it/they may be used or shared with other researchers without your additional informed
112 consent.

113
114 **Incentives:**

116
117 For the time and attention you give to participating in the study and completing the study
118 surveys, you will be given \$50 three times throughout the study period, for a total of \$150.

119
120 By law, payments to participants are considered taxable income.

121
122 **Participant Rights:**

123
124 You may refuse to participate in this study without penalty or loss of benefits to which you
125 are otherwise entitled. If you are a student or employee at Ohio State, your decision will not
126 affect your grades or employment status.

128 If you choose to participate in the study, you may discontinue participation at any time
129 without penalty or loss of benefits. By signing this form, you do not give up any personal
130 legal rights you may have as a participant in this study.
131
132 An Institutional Review Board responsible for human subjects research at The Ohio State
133 University reviewed this research project and found it to be acceptable, according to
134 applicable state and federal regulations and University policies designed to protect the rights
135 and welfare of research participants.

136
137 **Contacts and Questions:**
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139 For questions, concerns, or complaints about the study, or if you feel you have been harmed
140 as a result of study participation, you may contact **Karen Rose, PhD, RN, 614-292-7837** or
141 **Rose.1482@osu.edu**
142
143 For questions about your rights as a participant in this study or to discuss other study-related
144 concerns or complaints with someone who is not part of the research team, you may contact
145 the Office of Responsible Research Practices at 1-800-678-6251.

146

147 **Signing the consent form**

148
149 I have read (or someone has read to me) this form and I am aware that I am being asked to
150 participate in a research study. I have had the opportunity to ask questions and have had them
151 answered to my satisfaction. I voluntarily agree to participate in this study.

152
153 I am not giving up any legal rights by signing this form. I will be given a copy of this form.
154

Printed name of participant

Signature of participant

AM/PM

Date and time

Printed name of person authorized to consent for
participant (when applicable)

Signature of person authorized to consent for participant
(when applicable)

AM/PM

Relationship to the participant

Date and time

155

156

157

158 **Investigator/Research Staff**

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160 I have explained the research to the participant or his/her representative before requesting the
161 signature(s) above. There are no blanks in this document. A copy of this form has been given
162 to the participant or his/her representative.

163

Printed name of person obtaining consent

Signature of person obtaining consent

AM/PM

Date and time

164