Protocol Title

A Randomized, Placebo-Controlled Study of Liraglutide 3mg daily (Saxenda®) in Obese or Overweight Patients with Stable Bipolar Disorder

INVESTIGATOR-INITIATED STUDY PROPOSAL UNIVERSAL TRIAL NUMBER (UTN) U1111-1175-0805

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1 BACKGROUND AND SIGNIFICANCE:

- 2 Obesity is common among persons with severe mental illness (SMI), especially those with bipolar
- disorder (BP) (1-5). It is estimated that 45-55% of people with SMI are obese, making obesity 1.5-2 times
- 4 more common among those with SMI than among the general population (6). Indeed, in a recent
- 5 pragmatic lithium trial conducted in BP, 69% of the subjects were overweight or obese (7). Although 6 the precise mechanism underlying the relationship between obesity and SMI is unknown, it is thought to
- ⁷ be multifactorial, involving genetic factors, intrinsic features of SMI (e.g., overeating, poor dietary
- 8 choices, sedentary lifestyle, and sleep dysregulation), and the weight-gaining effects of most of the
- 9 psychotropic medication used to treat SMI (1-4, 6, 8-10)
- 10
- 11 Importantly, obesity is thought to contribute to the well-documented elevated mortality from
- 12 cardiovascular disease (CVD) among those with BP (11-15). Thus, weight reduction in obese people with
- BP might be important for reducing their morbidity and mortality from CVD and other obesity-related
- 14 conditions (e.g., diabetes and metabolic syndrome). Conversely, the presence of obesity in patients with
- BP is associated with a more severe course of illness (16, 17), a lower health-related quality of life (18), 16 reductions in brain gray and white volumes (19, 20), and non-adherence with antipsychotic medications 17 (21). Indeed, it has been hypothesized that successful treatment of obesity in those with BP might benefit mental as well as physical health (17). It is thus important to the charity has a former of the treatment in the second sec
- 18 mental as well as physical health (17). It is thus imperative that obesity be a focus of treatment in those 19 with BP.
- 20
- Comprehensive behavioral weight management programs have shown some effectiveness for obesity in 22 patients with SMI, but the weight loss is modest at best and such programs are difficult to implement and not widely available (22-25). Several medications have been shown to mitigate psychotropic-induced weight gain, particularly metformin and topiramate, but many patients either do not respond to these agents or are unable to tolerate them (2). Importantly, the efficacy and safety of newly available weight-loss agents have not been evaluated in people with SMI.
- 27

In December 2014, the U.S. Food and Drug Administration approved liraglutide [rDNA origin] 3 mg/day 28 29 subcutaneous [sc] injection) (Saxenda®) as a treatment option for chronic weight management in individuals with obesity (26-32). The drug is approved for use in adults with a body mass index (BMI) of 30 30 or greater (obesity) or adults with a BMI of 27 or greater (overweight) who have at least one weight-31 32 related comorbid condition such as hypertension, type 2 diabetes, or dyslipidemia (33). Liraglutide is a glucagon-like peptide-1 (GLP-1) receptor agonist. Saxenda® and Victoza® contain the same active 33 ingredient (liraglutide) at different doses (3 mg and 1.8 mg, respectively). However, unlike Victoza®, 34 35 Saxenda® is not indicated for the treatment of type 2 diabetes, as the safety and efficacy of Saxenda® for the treatment of diabetes has not been established. 36

37

Several lines of evidence suggest that liraglutide 3.0 mg sc injection (Saxenda®), in combination with a reduced-calorie diet and increased physical activity, would be a useful weight-loss treatment for patients with BP who are overweight or obese.

- 41
- First, GLP-1 is a gut/brain peptide that is secreted from intestinal mucosal enteroendocrine L cells in response and in proportion to nutrient stimulation of the gut, and that suppresses food intake by acting on receptors in key areas of the brain that regulate energy balance (e.g., hypothalamus and hindbrain) (34-37). In humans, administration of GLP-1 reduces food intake and increases satiation in a dose-dependent
- 46 manner (37). Obesity in people with BP, as well as psychotropic-induced weight gain, are thought to be

due to in part to increased food intake (2). It is thus possible that liraglutide 3.0 mg sc injection will
decrease food intake in obese patients with BP, thereby reducing body weight.

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50 Second, preliminary preclinical and clinical findings suggest liraglutide 3.0 mg sc injection may be

- 51 effective for antipsychotic-induced weight gain and antipsychotic-induced obesity (38). Thus, liraglutide
- has been shown to produce weight loss in animal models of olanzapine-induced weight gain (39, 40). In
- one of these studies, liraglutide also produced antidepressant-like effects (40). (Indeed, other animal
- studies suggest that liraglutide may have antipsychotic properties (41).) In the only published case of liraglutide use in a patient with SMI, an obese (BMI $33.5=mg/kg^2$) 60-year-old woman with
- biraglutide use in a patient with SMI, an obese (BMI 33.5=mg/kg²) 60-year-old woman with schizophrenia treated with clozapine, liraglutide (1.8mg/day) produced a sustained weight loss of 7.7 kg 57 (an 8.7% body weight reduction) over two years (42). Liraglutide was well tolerated and there were no 58 provehictarie adverse guerte (i.e. the action of 2.7 kg 57).
- psychiatric adverse events (i.e., the patient's schizophrenia remained stable). At our own center, we have treated a 32-year-old woman with schizoaffective disorder, bipolar type and obesity ($BMI=36 \text{ mg/kg}^2$)
- receiving one depot and two oral antipsychotics with liraglutide 3.0 mg sc injection and, to date, she has
- 61 lost 7.5 kg (an 8.3% body weight reduction) over a 4-month period. She reports the liraglutide 3.0 mg sc
- 62 injection has reduced her hunger and improved her satiety. She has tolerated liraglutide 3.0 mg sc
- 63 injection well and has had no difficulties with giving herself the injections, her psychological symptoms
- 64 have remained stable, and there have been no adverse psychiatric effects. Indeed, her mild tardive
- 65 dyskinesia is much improved
- 66

⁶⁷ Third, relative to other weight loss agents, liraglutide 3.0 mg sc injection has a favorable psychiatric and ⁶⁸ cardiovascular adverse event profile (43, 44). Regarding psychiatric events, in the pivotal liraglutide 3.0

- 69 mg sc injection clinical trials, 6 (0.2%) of 3384 liraglutide 3.0 mg sc injection-treated patients had suicidal
- ideation (one of these individuals made a suicide attempt) compared with none of the 1941 placebo-
- treated patients (33). Additionally, 2.4% of liraglutide 3.0 mg sc injection recipients had insomnia and
- 2.0% had anxiety, compared with 1.7% and 1.6%, respectively, of placebo recipients. Conversely,
- ⁷³ lorcaserin (Belviq®) was associated with euphoria (0.2% vs < 0.1% for placebo) and is contraindicated in
- 74 patient's receiving serotonergic medications (and many psychotropics enhance serotonin function) (45). 75 Phenermine/topiramate combination (Qsymia®), at the highest approved dose, was associated with
- insomnia (11.1% vs 5.8% for placebo), depression/mood problems (7.6% vs 3.4% for placebo), and
 anxiety (7.9% vs 2.6% for placebo) (46). Additionally, one of the components of Qsymia®, topiramate, is 78
 associated with suicidality. Bupropion/naltrexone combination (Contrave®), at the highest recommended 79
 dose, was associated insomnia (9.2% vs 5.9% for placebo), anxiety (4.2% vs 2.8% for placebo), and
- irritability (2.6% vs 1.8% for placebo) (47). Moreover, there are reports of components of these latter
- medications (e.g., phentermine and bupropion) causing severe adverse psychiatric events, such as mania
- and psychosis (48-52). Taken together, these findings suggest that liraglutide 3.0 mg sc injection may be
- the least likely of these weight management medications to exacerbate psychiatric symptoms in people 84
- with BP. Indeed, GLP-1 analogues have been reported to produce enhanced well-being in patients with diabetes (53).
- 86
- Taken together these data support the hypothesis that liraglutide 3.0 mg sc injection will reduce body
 weight and improve metabolic variables in obese or overweight patients with BP without worsening 89
 psychiatric symptoms. We predict that liraglutide 3.0 mg sc injection will display greater efficacy as
- ⁹⁰ compared to placebo in decreasing body weight in patients with BP who are obese or overweight. To
- 91 prove this hypothesis, we will conduct a single-center, randomized, placebo-controlled, double-blind,
- parallel-group, 2-arm clinical trial of liraglutide 3.0 mg sc injection in 60 obese or overweight outpatients

with stable BP. We have chosen BP rather than another SMI because it is the most common SMI (more

- 94 common than schizophrenia or schizoaffective disorder) and has a particularly strong association with 95 obesity.
- 96

97 SPECIFIC OBJECTIVES:

98 **Primary Objective:**

99 The primary objective of this study is to evaluate the efficacy of liraglutide 3.0 mg sc injection (in 100 combination with a reduced calorie diet and increased physical activity) compared with placebo for 101 reducing body weight in obese or overweight adults with stable BP, as measured by percent change in 102 body weight.

103

104 Secondary Objectives:

105 Secondary objectives are:

105	Secondary objectives	
106	- To ev	aluate the efficacy of liraglutide 3.0 mg sc injection as measured by:
107	-	Proportion of participants who have $a \ge 5\%$ loss in body weight
108	-	Body weight (kg)
109	-	BMI
110	-	Waist circumference
111	-	Fasting lipids
112	-	Fasting glucose
113	-	HgA1 _c levels
114	-	Three-Factor Eating Questionnaire (TFEQ) (54)
115	-	Binge Eating Scale (BES) (55)
116	- To ev	aluate the safety and tolerability of liraglutide 3.0 mg sc injection, using:
117	-	Mental status examinations
118	-	Young Mania Rating Scale (YMRS) (56)
119	-	Montgomery Asberg Depression Rating Scale (MADRS) (57)
120	-	Clinical Global Impressive Scale for modified Bipolar Disorder (CGI-BP) (58)
121	-	Physical examinations
122	-	Vital signs
123	-	12-lead electrocardiograms (ECG)
124	-	Clinical laboratory tests
125	-	Adverse event reports
126	-	Columbia-Suicide Severity Rating Scale (C-SSRS) (59)
127		
128	RESEARCH DESIG	<u>GN AND METHODS</u>
129		
130	Study hypothesis (es	s):
131		question is whether liraglutide 3.0 mg sc injection is efficacious for reducing body
132		verweight patients with BP. We hypothesize that liraglutide 3.0 mg sc injection will
133		e, and well tolerated treatment for weight loss in obese or overweight patients with
134		et that liraglutide 3.0 mg sc injection will display greater efficacy as compared to 135
	1 1 1 1 1	

- placebo in decreasing body weight in patients with BP who are overweight or obese without increasing
- 136 psychiatric adverse events. We also predict that liraglutide 3.0 mg sc injection will produce a greater

- percentage of patients who lose \geq 5% of baseline body weight, and improve BMI, waist circumference, 137
- fasting lipid and glucose levels, HgA1c levels, and measures of eating psychopathology. 138
- 139

140 **Endpoints:**

- The primary endpoint will be the percent change in body weight from Baseline (Week 0) to week 141
- 40/Early Termination (ET) (see Table 1 for a schedule of assessments). Secondary endpoints will include 142
- proportion of participants who lose \geq 5% of baseline body weight, and change from baseline in body 143
- 144 weight (kg), BMI, waist circumference, and metabolic variables (fasting lipids and glucose, and HgA1c
- levels). Exploratory secondary endpoints will be change from baseline in eating psychopathology, 145
- 146 assessed with the Three Factor Eating Questionnaire (TFEQ) (54) and Binge Eating Scale (BES) (55). 147 Safety endpoints assessed at each study visit will be mental status examination, clinically-administered 148 scales that assess psychopathology (CGI-BP scale [both Severity and Improvement subscales], YMRS, 149 MADRS, and CSSRS), vital signs, and adverse events determined by clinical interview. Laboratory tests
- and 12-lead electrocardiograms (ECGs) will be obtained at Screening, week 8, week 16/ET, and week 151 150 40/ET. Compliance will be assessed at each visit with inspection of returned multi-dose pens. Potential
- interactions between liraglutide and psychiatric medications will be monitored and recorded on the 152
- Potential Drug Interaction form (see p. 28). 153
- 154

Study type: 155

- This is a single-center, randomized, placebo-controlled, double-blind, two-arm, parallel-group, fixed-dose 156
- efficacy and safety study with 3 phases: a 3-27 day Screening period; a 40-week randomized, double-157
- blind Treatment period (4 weeks of dose titration and 36 weeks of dose maintenance); and a 1-week 159 158 Follow-up (drug discontinuation) period. The purpose of the 40-week Blinded Treatment phase is to
- establish the efficacy of liraglutide 3.0 mg sc injection for weight loss in obese patients with stable BP. 160 161

Study population: 162

163 We expect to screen about 90 subjects in order to randomize 60 subjects in a 1:1 ratio to drug or placebo.

- Patients will be recruited from the Lindner Center of HOPE, Mason, OH, a University of Cincinnati 164
- College of Medicine Affiliate. Patients will be recruited by clinician referral and advertisement. 165
- 166
- Participants will include 60 outpatients with a DSM-IV (60) diagnosis of BP that is stable, who are obese 167
- or overweight with at least one weight-related comorbidity, and who have been receiving a stable 168
- psychotropic regimen for the past three months. The weight-related comorbidities to be included will be 169
- hypertension, type 2 diabetes, and dyslipidemia. Allowed psychotropic medications for BP will include 170
- mood stabilizers (lithium, valproate, and lamotrigine), antipsychotics (asenapine, aripiprazole, 171
- cariprazine, chlorpromazine, clozapine, haloperidol, loxapine, olanzapine, paliperidone, perphenazine, 172
- quetiapine, resperidone, thiothixene, trifluoperazine, or ziprasidone), antidepressants, and anxiolytics 173
- (benzodiazepines, gabapentin or pregabalin, and buspirone). Stable BP will be operationally defined as a 174
- CGI-BP-Severity score of 1through 3 (1= normal, not at all; 2= borderline mentally ill; 3=mildly ill); a 176 175 YMRS score ≤ 12 ; a MADRS score ≤ 19 , and the absence of clinically significant suicidality and
- psychosis. Participants must be 18 through 65 years of age, be able to provide informed consent, and if 177
- female, be postmenopausal, surgically incapable of childbearing, or practicing a medically acceptable 178 method(s) of contraception (e.g., hormonal method, intrauterine device) for at least 1 month prior to study 179
- entry and throughout the study. Exclusion criteria include subjects with a lifetime DSM-IV Axis I
- 180
- 181 diagnosis of dementia, a psychotic or depressive disorder, or a sub

- stance use disorder within the past three months; those with clinically significant psychotic features or 183 suicidal ideation; those with serious or unstable general medical illnesses; those with a personal or family
- 184 history of medullary thyroid cancer or Multiple Endocrine Neoplasia syndrome type 2; those who are
- allergic to or who have demonstrated hypersensitivity to liraglutide 3.0 mg sc injection or any of its
- components; and females who are pregnant, nursing, or intend to become pregnant. Specific entry criteriaare listed below.
- 188

189 Inclusion Criteria: Criteria for entering this study will include all of the following:

- 190 1. Men and women, ages of 18-65 years, inclusive.
- 191 2. Participants will have a DSM-IV bipolar disorder that is clinically stable.
- Participants will have received a stable major psychotropic drug regimen (except for minor dosage adjustments) for at least 3 months prior study entry. Major psychotropic drugs are antipsychotics, mood stabilizers, and antidepressants. Subjects may have had changes in adjunctive benzodiazepines and hypnotic agents.
- 4. Participants will be obese (defined as a $BMI \ge 30 \text{ mg/kg}^2$) or overweight (defined as $BMI \ge 27$ kg/m²) with at least one weight-related comorbidity, such as hypertension, type 2 diabetes, or dyslipidemia.
- Participants in treatment for a weight-related comorbidity (hypertension, type 2 diabetes, and/or
 dyslipidemia) must be on a stable and allowed treatment regimen for that condition for at least 3
 months prior to study enrollment.
- 202 6 Participants will be able to provide informed consent before any trial-related activities.
- 203

222

204 **Exclusion Criteria:** Criteria for exclusion from this study will include any of the following:

- Women who are pregnant, lactating, or of childbearing potential who are not using adequate contraceptive measures. The following are considered to be adequate methods of birth control: 1. Intrauterine device (IUD); 2. Barrier protection; 3. Contraceptive implantation system (Norplant);
 Oral contraceptive pills; 5. A surgically sterile partner; and 6. Abstinence. Women who are > 2 years post-menopausal or surgically-sterile are not considered of childbearing potential. All female participants will have a negative pregnancy test prior to randomization.
- Participants who have made a suicide attempt in the last 10 years, who are displaying clinically significant psychotic features, suicidality, or homicidality on mental status examination, or who have suicidal ideation or behavior as assessed with the C-SSRS.
- Participants who are receiving behavioral weight loss treatment (BWLT) (e.g., Weight Watchers)
 that was begun within the 3 months before study entry. Participants who are receiving BWLT that
 was started 3 months prior to the beginning of the study will be allowed to continue to receive
 their BWLT during the trial only if they have had no weight loss in the past 3 months and they 218
 agree to not make any changes in the frequency or nature of their BWLT during the course of the
 drug trial.
- 4. A DSM-IV diagnosis of a substance-related or addictive disorder (except a tobacco-related disorder) within the 3 months prior to enrollment.
 - 5. A **DSM-**IV diagnosis of dementia, a psychotic disorder, or a depressive disorder.
- 6. History of any psychiatric disorder which might interfere with a diagnostic assessment, treatment,
 or compliance.
- Clinically unstable medical disease, including cardiovascular, hepatic, renal, gastrointestinal,
 pulmonary, neurological, metabolic, endocrine, or other systemic disease. Clinically stable
 hypertension, type 2 diabetes, or dyslipidemia are not exclusionary.

- 8. Have a history of a structural cardiac abnormality, valvular cardiac disease, cardiomyopathy, 228 serious heart rhythm abnormality, coronary artery disease, congestive heart failure, stroke, or other 229 serious cardiovascular problem. 230
- 9. Have an ECG with significant arrhythmias or conduction abnormalities, which in the opinion of 231 the physician investigator preclude study participation. 232
- 10. Have clinically relevant abnormal laboratory results. 233
- 11. Participants requiring treatment with any drug which might interact adversely with or obscure the 234
- action of the study medication. This includes anti-obesity drugs, psychostimulants, modafinil or 236 235 armodafinil, and topiramate or zonisamide. Participants receiving metformin at a stable dose for ≥ 237 3 months can be included.
- 12. Participants receiving GLP-1 based therapies, sodium-glucose co-transporter 2 inhibitors 238 (SGLT2s), thiazolidinediones, sulfonylureas, or insulin. 239
- 13. Participants with a personal or family history of medullary thyroid carcinoma or Multiple 240 Endocrine Neoplasia syndrome type 2. 241
- 14. Participants who have received any investigational medication within three months prior to 242 randomization. 243
- 244 15. Participants previously screen-failed or randomised to participate in this trial.
- 16. Participants who have a known or suspected allergy to liraglutide 3.0 mg sc injection, its 245 constituents, or related products. 246
- 17. Participants with a urine drug screen positive for a drug that, in the opinion of the investigator, is 247 being abused. 248
- 18. Participants with a past medical history of pancreatitis. 249
- 19. Participants who had received any investigational drug within 3 months prior to this trial. 250
- 20. Participants who require bariatric surgery or are anticipated to require it during the course of the 251 trial. If such surgery becomes warranted during the study, such patients will be excluded from the 252 primary endpoint analysis. 253
- 254
- 255
- 256 257

258 Withdrawal Criteria:

259 Participants may withdraw at will from the study at any time. Participants will be withdrawn in the event of pregnancy or intention to become pregnant. Additionally, participants will be discontinued if they 260 261 display: clinically significant suicidality, defined as a YES to item 1 of the C-SSRS or a C-SSRS-defined suicide attempt; a YMRS score >12 (indicating at least moderately ill manic symptoms); a MADRS score 262 >19 (indicating at least moderately severe depressive symptoms); a CGI-I-BP-Severity score of much or 263 very much worsened; or clinically significant suicidal ideation or psychotic symptoms on mental status 265 264

- examination. In the absence of the above, participants will be terminated at the treating psychiatrist's discretion in response to symptoms of sufficient severity that a new psychiatric medication needs to be 267 266
- added (dosage changes in ongoing psychotropics will be permitted). The Investigator may also withdraw participants for lack of compliance with study procedures if she or the research team has any concerns for 268
- the participant's safety. Upon termination for any of these reasons, patients will receive care judged 269
- appropriate by the treating investigators including, if needed, referral for emergency care. 270
- 271
- 272 Participant compliance with dosing requirements will be monitored with pen inspection. Participants
- displaying non-compliant behaviors with study medication administration and accountability (such as 273

- taking more medication than prescribed, losing pens, or failure to return pens to clinic) will be re-
- educated. .
- 276

277 Subject Replacement:

278 Subjects will not be replaced if they withdraw or become ineligible at any time after the Baseline visit.

279

280 **Rational for study population:**

Approximately half of persons with BP are obese, yet no medication is regularly used (or indicated) for

- weight loss in this population, signifying a large unmet medical need. Data showing that a weight loss
- drug is beneficial in obese persons with BP would be a significant advancement to the medical field. 284
 Visit Procedures:

286 During Screening, patients will be evaluated for eligibility. During the 40-week Blinded Treatment

- period, patients will be scheduled for weekly visits for the first 4 weeks of treatment (dose titration, Visit
- 0 through Visit 4), every other week for 12 weeks (dose maintenance, Visits 5 through 10), and then every
- 289 4 weeks for 24 weeks (continued dose maintenance, Visits 11-16) for assessments and study medication 290 dispensation. After randomization to liraglutide 3.0 mg sc injection or placebo, patients will be permitted
- to have dosage changes in their major psychotropic medications (antipsychotics, mood stabilizers, and
- antidepressants), and such changes will be recorded on the concomitant medication form. Addition of
- 293 these psychotropic medications will also be permitted and these medications will be recorded on the
- 294 concomitant medication form.295

All participants will be offered nutritional and lifestyle modification counseling following the 2015-2020
Dietary Guidelines for Americans (<u>http://health.gov/dietaryguidelines/2015/guidelines/?linkId=20169028</u>)
at Baseline (Visit 0), Visit 4, Visit 6, Visit 8, and Visits 10-15.

299

Study medication will be supplied in pre-filled, multi-dose pens that can deliver the following doses
subcutaneously: 0.6mg, 1.2mg, 1.8mg, 2.4mg, and 3mg. At the beginning of the Blinded Treatment
period, the dosage of liraglutide will be 0.6 mg/day. Study drug dosage will be increased to 1.2mg/day on
day 7 (Visit 1). In the following 3 weeks, the dose will be increased to 1.8mg/day (day 14, Visit 2),
2.4mg/day (day 21, Visit 3), and then to the target dose of 3mg/day (day 28, Visit 4), respectively. If
patients do not tolerate an increased dose during dose escalation, the dose escalation can be delayed for
approximately one additional week. The study medication dose (3 mg/day) will remain unchanged 307
during the final 36 weeks of the Blinded Treatment Phase.

308

309 Study drug will be discontinued upon completion of the 40-week Blinded Treatment period (Visit 16) or

- if the subject terminates the study earlier (early termination, ET). The follow-up period begins upon $FT = \frac{1}{2} \int V_{\text{res}} dv = \frac{1}{2} \int V_$
- completion of Visit 16 (week 40), ET (depending upon when the subject completes their study
- participation), and concludes with a follow-up visit (Visit 17) which occurs 7 days after Visit 16, or ET. 313
 Follow-up assessments include adverse events, concomitant medication form, potential drug interaction
 form, and termination record.
- 315

316 Listed below are the specific procedures to be done at each study visit.

317

318 **Pretreatment Period Screening Visit (s):**

- The Screening period will be a minimum of 3 days and maximum of 28 days and be used to evaluate 320 study candidates for inclusion and allow for wash-out of disallowed medications. At the first Screening
- visit (Visit -1), informed consent will be obtained. Sections A, B, and C of the Structured Clinical
- 322 Interview for DSM-IV (SCID) (61) will be performed to establish that the patient meets DSM-IV criteria
- for BP. A mental status examination, YMRS, MADRS, and CGI-BP-Severity Scale will be performed to
- establish the severity of psychiatric symptoms. Medical history will be reviewed, a physical exam
- performed, and laboratory studies (complete metabolic profile with fasting glucose and lipids, CBC with
- diff and platelets, liver function tests, renal function tests, electrolytes, HgA_{1c} level, and urinalysis) and 327 ECG will be obtained. Patients' mental health providers will be consulted about their suitability for study
- 328 participation. Based on these evaluations, it will be determined whether participants meet entry criteria; 329 participants meeting these criteria will continue in the screening process. The patient will not receive any 330 disallowed medications during this period.
- 331
- 332 Patient screening requires:
- 333 1. Informed consent
- Psychiatric evaluation and the Structured Clinical Interview for DSM-IV (61) to confirm the
 diagnosis of BP by DSM-IV criteria and evaluate protocol-specified subject selection
 requirements
- 337 3. Mental status examination
- 4. Medical History and physical eexamination (including vital signs, height, weight, and BMI determination)
- 5. Fasting blood draw for laboratory tests including serum β -HCG, CBC, TSH, liver and renal
- panels, electrolytes, lipid profile, glucose, and HgA_{1C} level. Of note, we have approved language
 from the University of Cincinnati IRB for use during the initial phone screen that allows us to
- from the University of Cincinnati IRB for use during the initial phone screen that allows us to instruct subjects to fast before they come to the Screening visit and sign informed consent. The
- phone screen form with the IRB-approved language is now listed on page 21(lines 908-915). 345
- 6. 12-lead Electrocardiogram (ECG)
- 346 7. Urinalysis and urine drug screen
- 347 8. YMRS
- 348 9. MADRS
- 349 10. CGI-BP-Severity Scale
- 350 11. C-SSRS lifetime version
- 351 12. Concomitant medication form
- 352 13. Adverse event form
- 353 14. Drug interaction form
- 354

355 **Baseline Visit (Visit 0):**

- At Baseline, subjects meeting all of the inclusion/exclusion requirements will be randomized to drug or
 placebo in a 1:1 ratio. The baseline evaluation will consist of:
- 358 1. Inclusion/Exclusion Criteria checklist
- 359 2. Mental status examination
- 360 3. Vital signs, weight, waist circumference, and determine BMI
- 361 4. YMRS
- 362 5. MADRS
- 363 6. CGI-BP-Severity and -Improvement Scales

- 7. C-SSRS-since last visit 364
- 8. Urine pregnancy test 365
- 9. Three Factor Eating Inventory (TFEQ) (55) 366
- 10. Binge Eating Scale (BES) (54) 367
- 11. Concomitant medication form 368
- 12. Adverse events form 369
- 13. Potential drug interaction form 370
- 14. Counseling for reducing diet and increasing physical activity 371
- 372
- Upon determination of continued eligibility, a subject identification number will be assigned and a 373
- prefilled dial-a-dose injection pen containing liraglutide or matching placebo will be dispensed along with 374 instructions for its use. The first injection of study medication will be done at the end of the visit with 375
- guidance from the research team. The same rater will evaluate the subject throughout the study. 376
- 377

378 Blinded Treatment Period: Baseline Visit (Visit 0) Through Week 16 (Visit 40) or Early

- **Termination (ET):** 379
- During the 40-week Blinded Treatment period, patients will be scheduled for weekly visits for the first 4 380 weeks of treatment (dose titration; Visits 0 through Visit 4), every other week for the next 12 weeks 381 (dose-maintenance; Visits 5 through 10), and then every 4 weeks for the next 24 weeks (continued dose 382 maintenance; Visits 11 through 16) for assessments and dispensation of study medication. At each visit 383 from Baseline (Visit 0) through Visit 16, the participant will be evaluated for weight, vital signs, mental 384 status examination, psychiatric symptoms, concomitant medications, adverse events, and potential drug 385 interactions.
- 386 387
- 388 During the Treatment period, items 2-7, 8 and 11-14 from the Baseline evaluation will be repeated at each 389 scheduled visit and at ET visits (see Table 1). In addition, items 9 and 10 will be repeated at Visits 4, 6, 8, 390 and 10-16/ET. Laboratory tests, ECG, and urinalysis will be repeated at Visits 6, 10, and 16. Urine pregnancy tests will be performed at every visit (Visits 0-16/ET). Used and unused study medication and 391

packaging will be collected and drug accountability assessed at every visit. Every effort will be made to 392

keep the participant on the original time-frame for scheduled visits. If the participant deviates from this 393

- schedule, every effort will be made to return him/her to the original schedule of visits. 394
- 395

396 Participants will be instructed to bring their used and unused study medication and packaging to every visit. Participant compliance and drug accountability will be assessed by the investigator at every 397 treatment phase visit by individual pen inspection and entered on the drug accountability log. Participants 398 taking 80-100% of prescribed study medication will be considered compliant. 399

400

Final Treatment Phase Evaluation (Week 40 or ET): 401

- Study drug will be discontinued upon completion of the Blinded Treatment phase (Week 40; Visit 16) or 402 if the participant terminates the study earlier (early termination, ET). 403
- 404
- 405 The following evaluations will be conducted at the final treatment evaluation:
- 406 407
- 1. Mental status examination
- 2. Obtain vital signs, weight, waist circumference, and determine BMI. 408

- 409
 3. Obtain YMRS, MADRS, CGI-BP-Severity and -Improvement Scales, and C-SSRS since last visit version.
- 411 4. Perform physical examination.
- 5. Obtain laboratories (complete metabolic profile, CBC with diff + platelets, urinalysis, fasting lipids and glucose, and HgA1c level).
- 414 6. Repeat ECG.
- 415 7. Assess and record adverse events
- 416 8. Drug interaction form
- 417 9. Record concomitant medication use.
- 418 10. Assess study drug accountability.
- 419

420 Final (Follow-Up) Visit Evaluation (Visit 17):

The follow-up period begins upon completion of Visit 16 or ET visit and concludes with a follow-up visit (Visit 17) which occurs 7 days thereafter. Follow-up includes assessment of psychiatric symptoms,

- 423 adverse events, potential drug interactions, and termination record.
- 424
- 425 1. Mental status examination
- 426 2. YMRS, MADRS, CGI-BP-Severity and –Improvement, and C-SSRS since last visit version
- 427 3. Vital signs and weight
- 428 4. Urine pregnancy test
- 429 5. Assess and record adverse events
- 430 6. Potential drug interaction form
- 431 7. Termination record 432

433 Assessments for Efficacy

The primary efficacy measure will be the percent change in body weight from Baseline to Week 40/Early Termination. Weight will be measured in kg and obtained on the same scale, zeroed at each use, and with the subject in light clothing and no shoes. Secondary efficacy variables will be proportion of patients who have $\geq 5\%$ reduction in body weight, and changes in body weight (kg), BMI, waist circumference, and measures of metabolic variables (fasting lipids and glucose, and HgA₁c).

439

440 Assessments for Safety

The investigator will conduct and record a medical history at the Screening Visit, including a medication
 history and all clinically relevant information in conjunction with a Physical Examination. Any

- history and all clinically relevant information in conjunction with a Physical Examination
 abnormalities in the review of systems and exam will be noted in the source documents.
- 444

The following assessments will be used to assess safety at each visit: vital signs, taken after the participant is rested and seated for 5 minutes, mental status examination, YMRS, MADRS, CGI-BP-Severity and -Improvement Scales, C-SSRS, urine pregnancy test, concomitant medication form, adverse

- events, drug interaction form, and study drug accountability. All adverse events will be evaluated as
 potential drug interactions for those medications used to treat BP (mood stabilizers, antipsychotics,
- 450 antidepressants, anxiolytics, and sedative-hypnotics) with the drug interaction form. A drug interaction is
- defined as an AE that is possibly due to an interaction between study drug and a BP medication a subject
- 452 is receiving.
- 453

The YMRS (56) is a widely used, validated, and reliable clinician-administrated instrument that assesses the severity of the symptoms of mania. It consists of 11 items rated on a scale of 0 to 4 (items 1-4, 7, 10, and 11) or 0 to 8 (items 5, 6, 8, and 9) (total score range 0 to 60), with 0 being the absence of symptoms and higher scores representing greater severity of manic symptoms. The total thus ranges from 0 (no symptoms) to 60 (extremely severe symptoms). A mild level of symptoms corresponds to a score of \leq 12.

- 460 The MADRS (57) is a widely used, validated, and reliable clinician-administered measure of depressive
 461 symptoms. It consists of 10 items, with each item yielding a score of 0 to 6 (total score range 0 to 60). 462
 Higher scores indicate more severe depressive symptoms. Usual cutoff points are: normal is 0 to 6; mild
 463 depression is 7 to 19, moderate depression is 20 to 34; and >34 is severe depression.
- 464

The Clinical Global Severity item of the CGI-BP (CGI-BP-S) consists of seven ordered categories that 465 describe the global severity of the patient's BP (58). The categories (and the integer values assigned to 466 them) for the purpose of analyses are: normal, not at all ill (1), borderline mentally ill (2), mildly ill (3), 467 moderately ill (4), markedly ill (5), severely ill (6), and among the most extremely ill (7). The Clinical 468 Global Improvement item of the CGI-BP (CGI-BP-I)consists of seven, ordered categories that describe 469 the patient's global condition compared with baseline (58). The categories (and the integer values 470 assigned to them for the purpose of analyses) are as follows: very much improved (1), much improved 471 (2), minimally improved (3), no change (4), minimally worse (5), much worse (6), and very much worse 472 473 (7).

The C-SSRS is a summary measure of suicidality, assessing both suicidal behavior and ideation, and has
been used at the National Institute of Mental Health and in multi-site industry trials nationally and
internationally in a range of therapeutic areas and indications (59).

478

479 Regarding adverse event evaluation, participants will be asked if they have experienced any unusual or unwanted symptoms at each visit (none will be suggested, and adverse experiences will be classified and 480 grouped by body system, using a coding system based on the National Adverse Drug Reaction Directory 481 [COSTART]). Adverse events will be recorded on the adverse event form. All adverse events will be 482 evaluated as potential drug interactions with those medications the subject is receiving for treatment of his 483 or her BP (mood stabilizers, antipsychotics, antidepressants, anxiolytics, and sedative-hypnotics) with the 484 drug interaction form. Potential drug interactions will be recorded on the drug interaction form. Other 485 486 safety evaluations, all done at Screening and Visits 16/ET, will be: physical examination; routine laboratory evaluations, including hematology, serum chemistry, and urinalysis; and an ECG. The 487 interpretation of ECG results will follow the categories "normal", "abnormal", "not clinically significant" 488 or "abnormal, clinically significant" and only "normal" and "abnormal, not clinically significant" results 489 490 will be allowed at Baseline. Urine pregnancy tests will be performed at every visit post Baseline. Abnormal clinical laboratory or physical exam results will be clinically evaluated to determine if 491 intervention is warranted.

492 493

494 **Other Assessments:**

The TFEQ is a reliable and validated self-report measure of three domains of eating behavior often 496 deranged in people with obesity: hunger, disinhibition over eating, and cognitive restraint over eating
(54). The BES is a valid and reliable self-report scale that assesses the presence and severity of binge eating symptoms (55).

499

Subject Compliance 500

- Subjects are instructed to bring their unused study medication and packaging to every visit. Subject 501
- compliance and drug accountability will be assessed by the investigator at every treatment phase visit by 502
- 503 individual pens inspection and entered on the drug accountability log. Compliance will be assessed at 504 each visit with inspection of returned multi-dose pens. Subjects taking 80-100% of prescribed study medication will be considered compliant. 505
- 506

507 STATISTICAL CONSIDERATIONS: 508

509 **Sample Size Calculation**

The power analysis for this study uses a two-sample t-test on the mean weight change from baseline to 510 week 40 (or endpoint for patients who discontinue prematurely) in the two treatment groups in the

- 511
- modified intent-to-treatment (ITT) sample. This sample will consist of all study participants who: 1) were 512 randomized, 2) received at least one study medication dosage, and 3) had at least one post-baseline 513
- efficacy assessment. We expect a 5% attrition rate from baseline to week 1. We therefore expect the
- 514 proposed sample size of 60 to give an ITT sample of 56. Using this ITT sample size, along with a
- 515 significance level of 0.05 and power of 0.80, we will be able to detect effect sizes as small as 0.76. 516
- 517
- This effect size corresponds to a 4.5 kg greater weight reduction in the liraglutide group vs. the placebo 518
- group. The 4.5 kg reduction was calculated (0.76 x 6.0) using an expected standard deviation (SD) of 6.0 519
- kg based on Wadden et al. (SD=7.0 at 56 weeks) and Astrup et al. (SD=5.6 for power analysis). This is a 520
- clinically meaningful difference, especially in this population, where many patients are taking 521
- 522 antipsychotics, which are associated with weight gain. We expect our primary analysis (longitudinal
- mixed model) to have more power due to the repeated visit data for each subject (60 subjects x 16 524 523
- treatment period visits = 960 maximum number of observations).

525 526

- **Statistical Methods** Chi-square, Fisher's Exact, Wilcoxon rank sum or two-sample t-tests will be used to examine statistical 527
- differences between the placebo and drug condition on baseline characteristics and categorical outcome 528
- measures. The primary analyses will be longitudinal data analyses (LDA) using PROC MIXED (SAS, 529
- Cary N.C. U.S.A.). LDA will model the mean change in the primary and secondary outcome measures, 530 over the treatment period, between the placebo and drug condition. LDA is advantageous because it 532
- 531 accounts for change over time, includes all data points, and adjusts for the correlation resulting from 533 repeated measures. These LDA models will include variables for treatment, time, and treatment x time
- interaction. The statistical test for the interaction term will be the measure of a treatment effect. The 535 534 interaction term will test if the slopes of the regression lines for the liraglutide and placebo groups are 536 different. A group difference (Drug [week 40-week 0] – Placebo [week 40-week 0]) will be reported 537 which corresponds to the interaction term. For the primary outcome of percent change in body weight,
- only post-baseline data will be used in the LDA model and the difference between treatments at week 40 538
- will be reported. These mixed models will allow for random coefficients (intercept and time variable), as 539
- 540 well as an appropriate correlation structure for the error terms to account for within-subject
- correlation. The best model will be chosen using the Akaike Information Criterion-corrected 541
- (AICc). The distribution of the outcome measures will be checked for normality, and transformations will 542 be used when necessary. Also, a transformation on the time variable will be considered to optimize the 544 543
- assumed linear relationship.
- 545

- 546 Additionally, secondary endpoint analyses will be performed on all outcome measures. Using the last
- 547 observation carried forward (LOCF), baseline to endpoint change scores will be computed for each 548 measure and two-sample t-tests or Wilcoxon rank sum tests will be used to compare these changes 549 between the treatment groups.
- 550

Randomization will be conducted by Genie Groff, the program manager for the Lindner Center of HOPE 551 Research Institute using the program: http://www.randomization.com. Block randomization (with block 552 size of six subjects) will be used to insure relatively equal patients in both the drug and placebo groups. 553 Antipsychotic medications used to treat BP are associated with weight gain and development of obesity 554 (much more so than mood stabilizers). Randomization of subjects will therefore include current 555 antipsychotic use (receiving at least one of the following: asenapine, aripiprazole, cariprazine, 556 chlorpromazine, clozapine, haloperidol, loxapine, olanzapine, paliperidone, perphenazine, quetiapine, 557 resperidone, thiothixene, trifluoperazine, or ziprasidone) as a stratifying factor. Of note, the average 558 number of medications BP patients receive is three. We expect that nearly all patients will be receiving a 559 mood stabilizer and that about 50%-66% of patients will also be receiving an antipsychotic drug. 560 Intermittent missing visits will be treated as missing at random and no special adjustment will be used. 561 The safety population will include all randomized participants with at least one post-baseline safety 562 assessment. Statistical analyses will be conducted by Thomas Blom, MS. All analyses will be conducted 563 using SAS version 9.4 (Cary, N.C., U.S.A.). Hypotheses testing will be two-sided with a significance 565 564 level of $p \le 0.05$.

566

The randomization should balance all demographic and clinical characteristic variables between the two treatment groups and generally no covariates will be used in the analyses. However, if a significant baseline difference exists between treatment groups on a demographic or clinical characteristic variable, this variable will be checked for significant correlations with the outcomes of interest. If a correlation exists, then the variable will be added as a covariate to the efficacy analysis.

572 573

574 **DATA HANDLING AND RECORD KEEPING:**

All data will be compiled using case report forms (CRFs) that will be specifically designed for this
study. Trained research coordinators will complete the CRFs. Data from CRFs will be entered and stored
in electronic and secured databases at the LCOH.

578579 ETHICS:

- The University of Cincinnati (UC) IRB must approve all protocols, informed consent forms, and study 581 procedures prior to opening the study for enrollment. The investigators will comply with all applicable 582 regulatory and legal requirements, ICH GCP guidelines, and the Declaration of Helsinki in obtaining and
- 583documenting the informed consent. A completed Informed Consent Document must be obtained from584every participant who takes part in a study prior to performing any study-related activities, including
- screening laboratories, vital signs, or questionnaires. When a potential protocol candidate is identified, the investigator or research coordinator will discuss the study in detail with the potential participant. An
- explanation of the study, its risks and benefits, and what would be required of the participant is discussed.
- 588 The participant will be given a copy of the informed consent document to read in a quiet environment
- 589 without distraction. The participant will be encouraged to take the consent form home so he or she may
- discuss it with family members. All questions and concerns are addressed throughout this process by the
- 591 consenter and/or PI.

- 592
- 593 If a person decides to participate, he/she is asked to sign the informed consent document only after all
- questions and concerns have been addressed and the consenter is satisfied that there is a clear 594 595 understanding of the trial.
- 596
- The informed consent document must be signed and dated by the participant or legal representative along 597 with the coordinator or investigator obtaining consent. 598 599
- The original signed informed consent will be kept in the participant's research chart (i.e., source 600 documents) or in the participant's medical record as required by the research unit. 601
- 602
- The participant will be given a copy of the signed informed consent document that has HIPPA language 603 written into the consent. 604
- 605
- The study will be conducted in accordance with the Declaration of Helsinki. 606
- 607

609

- 608 The study will be conducted in accordance with the ICH GCP guidelines.
- **STUDY SCHEDULE:** 610
- We anticipate recruiting approximately 4 participants per month. We therefore anticipate that total 611
- 612 enrollment will take up to 15 months, and total study duration will be up to 24 months. We expect the first participant to be enrolled in September 2016. Following discontinuation of the last study participant, we 613
- will clean and analyze the data and write the manuscript for publication, which will take approximately 3 614 months. 615
- 616

617 **STUDY DRUGS AND MATERIALS:**

- Study medication will be dispensed through prefilled dial-a-dose pens containing 18 mg of liraglutide or 618 placebo, and the participant can select doses of 0.6 mg, 1.2 mg, 1.8 mg, 2.4 mg and 3 mg. The pen is 619 made to be used with NovoFine® or NovoTwist® disposable needles up to a length of 8 mm. 620
- 621

622 Packaging and Labelling of Study Medication(s)

- Participants will be dispensed a prefilled dial-a-dose pen containing 18 mg of liraglutide or placebo that 623 624 will be labeled according to Ohio regulations and Annex 13 with the name of the Principal Investigator; 625
- drug or placebo; date dispensed; and participant number and initials. The pen will be used until
- medication is finished depending on the participant's dosing schedule and a new one will be dispensed. 626
- 627

628 **Storage and Drug Accountability of Study Medication(s)**

- New, unused liraglutide pens will be kept in the LCOH research lab refrigerator at 36°F to 46°F (2°C to 630 629 8°C). Pens will not be frozen. Participants will be instructed to keep their pens in use for 30 days at 59°F
- to 86°F (15°C to 30°C) or in a refrigerator at 36°F to 46°F (2°C to 8°C). They will also be instructed to not 631 freeze the pens and to protect the pens from light. 632
- 633
- The temperature in the laboratory refrigerators is controlled automatically and temperatures are recorded 634 daily. The investigator will ensure the availability of proper storage conditions and record and evaluate
- 635
- the temperature. 636
- 637

- 638 No trial medication will be dispensed to any person not enrolled in the study. All unused medication(s) 639 will be stored separately from used trial medication(s). Drug accountability for all trial product(s) on the
- 640 participant and trial site level will be performed by trained research coordinators and pharmacy staff. For
- 641 participants, accountability will be performed at every visit; on site level the accountability will be
- 642 performed every 3 months. Return of used/unused trial product(s) and destruction of returned trial product
- 643 will be performed following Sponsor and Site SOPs.
- 644

645 Auxiliary Supply

- 646 No special equipment or other ancillary supplies are expected to be needed for this trial.
- 647

648 Randomization and Blinding

- 649 This is double-blind, placebo controlled trial. Pens containing active study medication and those
- 650 containing placebo will be identical in appearance. The randomization list will be generated by Ms. Genie 651 Groff, the program manager for the Lindner Center of HOPE Research Institute, using
- 652 <u>http://www.randomization.com</u>. Block randomization method will be used (in blocks of six subjects) to 653 ensure a balanced number of subjects get active drug and placebo, and to prevent long sequences of one
- 654 treatment assignment. Treatment allocation will be known by one unblinded member of the research staff
- 655 (Ms. Genie Groff, who generated the randomization list) and kept at a secure location (a locked cabinet in
- 656 the LCOH Research Institute).

657 Breaking of Blinded Codes

- The code for a particular participant may be broken in a medical emergency if knowing the identity of the
- treatment allocation would influence the treatment of the participant or if demanded by the participant. 660
- 661 Whenever a code is broken, the person breaking the code (Ms. Genie Groff) will record the time, date and
- reason as well as his/her initials in the source documents. If a blind must be broken on an emergent basis,
- Ms Groff will be contacted. Her work number is 513-536-0715. Of note, Ms. Groff is available
- 664 24hours/day, 7 days a week by cell phone (513-276-8388).
- 665

667

- 666 The Sponsor will be notified immediately if the code needs to be broken.
- All codes (whether broken or not) must be kept throughout the trial period. Accountability of all broken
 or unbroken codes (hard copy and electronic) will be performed at or after trial closure.

671 CONCOMITANT ILLNESSES AND MEDICATIONS:

672

673 **Definitions:**

- 674 Concomitant illness: any illness that is present at the start of the trial (*i.e. at the first visit*).
- 675 Concomitant medication: any medication other than the trial product(s) that is taken during the trial,
- 676 including the screening and run-in periods.677
- Details of all concomitant illnesses and medication will be recorded at trial entry (i.e. at the first visit). Any changes in concomitant medication will be recorded at each visit. The information collected for each concomitant medication will include start date, stop date or continuing, dosage, and indication.
- 681
- 682 For each concomitant illness, date of onset, date of resolution or continuing, and relationship to
- 683 investigational medication will be recorded.

684 685

- **RISKS AND DISCOMFORTS ASSOCIATED WITH LIRAGLUTIDE (Saxenda®):** 686 687 Liraglutide 3.0 mg sc injection is contraindicated in patients with a personal or family history of medullary thyroid carcinoma or in patients with Multiple Endocrine Neoplasia Syndrome type 2. 688 Liraglutide 3.0 mg sc injection is also contraindicated in patients with a prior serious hypersensitivity 689 reaction to Victoza or to any of the product's components and in women who are pregnant. Common non-690 serious side effects of liraglutide 3.0 mg sc injection include nausea, diarrhea, constipation, vomiting, 691 headache, decreased appetite, upset stomach, tiredness, dizziness, stomach pain, and changes in lipase 692 levels in the blood. 693 694 Possible serious adverse reactions of liraglutide 3.0 mg sc injection include: 695 Risk of Thyroid C-Cell Tumors 696 Acute Pancreatitis 697 Acute Gallbladder Disease 698 Risk for Hypoglycemia with Concomitant Use of Anti-Diabetic Therapy 699 Heart Rate Increase 700 Renal Impairment 701 Hypersensitivity Reactions 702 Suicidal Behavior and Ideation 703 704 Liraglutide 3.0 mg sc injection is Pregnancy Category X. Therefore, pregnant or lactating women, or 705 women not using adequate birth control, will not be allowed in the study. 706 707 Investigators will be available 24 hours/7 days/week for emergencies for study participants. Additional 708 visits to monitor emerging symptoms will be scheduled as needed. The use of all medications will be 709 recorded throughout the study. 710 711 **Adverse Event Reporting and Definitions:** 712 713 714 **Definitions** 715 716 **Adverse Event (AE):** 717 An AE is any undesirable medical event occurring to a participant in a clinical trial, whether or not related to the trial product(s). This includes events reported from the first trial related activity after the participant 718 has signed the informed consent and until post treatment follow-up period as defined in the protocol. The 719 following should not be recorded as AEs, but recorded as medical history/concomitant illness on the CRF 720 at screening: 721 • Pre-planned procedure, unless the condition for which the procedure was planned has worsened 722
- from the first trial related activity after the participant has signed the informed consent
 - Pre-existing conditions found as a result of screening procedures
- 724 725

726 Clinical Laboratory Adverse Event:

A clinical laboratory AE is any clinical laboratory abnormality regarded as clinically significant – i.e. an abnormality that suggests a disease and/or organ toxicity and is of a degree of severity that requires active management, (i.e. change of dose, discontinuation of trial product, more frequent follow-up or diagnosticinvestigation).

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732 Serious Adverse Event (SAE):

- A serious AE is an experience at any dose that results in any of the following:
- Death
- A life-threatening* experience
- In-patient hospitalisation or prolongation of existing hospitalization
- A persistent or significant disability/incapacity
 - A congenital anomaly/birth defect
- Important medical events that may not result in death, be life-threatening*, or require
 hospitalization may be considered an SAE when, based upon appropriate medical judgement, they
 may jeopardise the participant and may require medical or surgical intervention to prevent one of
 the outcomes listed in this definition
 - Suspicion of transmission of infectious agents
- *The term life-threatening in the definition of SAE refers to an event in which the participant was at risk
 of death at the time of the event. It does not refer to an event which hypothetically might have caused 747 death if it was more severe.
- 748
- The following adverse events must always be reported as an SAE using the important medical event 750 criterion if no other seriousness criteria are applicable: 1) suspicion of transmission of infectious agents
 via the trial product; and 2) risk of liver injury defined as alanine aminotransferase (ALT) or aspartate
- aminotransferase (AST) >3 times the upper limit of normal.
- 753

754 Serious Adverse Drug Reaction (SADR):

- An adverse drug reaction (ADR) is an adverse event for which a causal relationship (Possible/Probable
- relation) between the study drug and the occurrence of the event is suspected. The ADR should be
- 757 classified as **serious** if it meets one or more of the seriousness criteria.

758 Medication Errors:

- A medication error concerning trial products is defined as: administration of wrong drug or use of wrong device; wrong route of administration, such as intramuscular instead of subcutaneous; administration of
- an overdose with the intention to cause harm, misuse, or abuse of trial product; or accidental
- administration of a lower or higher dose than intended. However, the administered dose must deviate
- from the intended dose to an extent where clinical consequences for the trial subject were likely to happen
- as judged by the investigator, although they did not necessarily occur.
- 765

766 Non-Serious Adverse Event:

- 767 A non-serious AE is any AE which does not fulfil the definition of an SAE.
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- Severity Assessment Definitions:
 - Mild: Transient symptoms, no interference with the participant's daily activities
 - Moderate: Marked symptoms, moderate interference with the participant's daily activities
 - Severe: Considerable interference with the participant's daily activities, unacceptable

774 Relationship to study medication Assessment Definitions:

775	• Probable: Good reasons and sufficient documentation to assume a causal relationship
776	Possible: A causal relationship is conceivable and cannot be dismissed
777	• Unlikely: The event is most likely related to an etiology other than the trial product
778	
779	Outcome Categories and Definitions:
780	• Recovered: Fully recovered or by medical or surgical treatment the condition has returned to the
781	level observed at the first trial related activity after the participant signed the informed consent 782
783	• Recovering: The condition is improving and the participant is expected to recover from the event. This term should only be used when the participant has completed the trial
784	• Recovered with sequelae: As a result of the AE, the participant suffered persistent and significant
785	disability/incapacity (e.g. became blind, deaf, paralysed). Any AE recovered with sequelae should
786	be rated as an SAE.
787	• Not recovered
788 789	• Fatal: This term is only applicable if the subject died from a condition related to the reported AE. Outcomes of other reported AEs in a subject before he/she died should be assessed as
790	"recovered/resolved", "recovering/resolving", "recovered/resolved with sequelae" or "not
791	recovered/not resolved." An AE with fatal outcome must be reported as an SAE.
792	Unknown
793	
794	
795	
796	The sites will collect the following information at minimum for each SAE and provide it to the University
797	of Cincinnati IRB and Novo Nordisk within 72 hours:
798	1. Study name
799	2. Patient identification (e.g. initials, sex, age)
800	3. Event (preferably a diagnosis)
801	4. Drug
802	5. Reporter identification (e.g. Name, or initials)
803	6) Causality
804	7) Outcome
805	
806	Collection, Recording and Reporting of Adverse Events
807	All events meeting the definition of an adverse event must be collected and reported from the first trial
808 809	related activity after the participant has signed the informed consent and until the end of the post- treatment follow-up period as stated in the protocol. The site intends to comply with all local legal,
809 810	regulatory, and IRB requirements related to AE reporting. The site and the principal investigator will be
810	responsible for reporting of adverse events including SAEs, suspected unexpected serious adverse
812	reactions (SUSARs), and SADRs, to the competent authority and University of Cincinnati Institutional
813	Review Board based upon federal regulations and local IRB policies. All SAEs will be reported to the
814	IRB and Novo Nordisk within 72 hours. In addition, the site and principal investigator will report to Novo
815	Nordisk all SADRs or any other event reported to regulatory authorities. The events shall be sent to Novo
816	Nordisk at time of submission to health authorities or within 15 days from the site becoming aware of
817	such adverse events, whichever comes first." Follow-up of Adverse Events
818	During and following a participant's participation in a clinical trial, the investigator will carefully assess
819	and provide adequate medical care to the study participant for any study-related adverse events including

- and provide adequate medical care to the study participant for any study-related adverse events, including
- 820 clinically significant laboratory values related to the study. The Lindner Center of HOPE will decide on a

- case by case basis whether to reimburse the participant for their out of pocket health care expenses. This
- will be determined by the medical director of LCOH who is not a part of the study team. Medical
- expenses that are deemed clearly related to study participation will be reimbursed. (This language will be included in the consent form.)
- 824 825

All adverse events classified as serious or severe or possibly/probably related to the trial product will be followed until the participant has recovered and all queries have been resolved. For cases of chronic conditions, follow-up until the outcome category is "recovered" is not required, as these cases can be closed with an outcome of "recovering" or "not recovered."

830

All other adverse events will be followed until the outcome of the event is "recovering" (for chronic conditions), or "recovered" or until the end of the post-treatment follow-up stated in the protocol, 833 whichever comes first, and until all queries related to these AEs have been resolved.

834

835 **Pregnancy**

All female study participants will be instructed to notify the site and the investigator immediately if they become pregnant. The investigator must follow the pregnancy until the pregnancy outcome and the

newborn infant is one month of age. The investigator must report to Novo Nordisk about the pregnancy,

pregnancy outcome, and health of the newborn infant(s), as well as AEs in connection with the

pregnancy, and AEs in the foetus and newborn infant. Reporting of pregnancy by the investigator will

occur within the same timelines described above for reporting of Adverse Events. Pregnancy

complications will be recorded as adverse event(s). If the infant has a congenital anomaly/birth defect this

843 will be reported and followed up as a serious adverse event.

844

845 **Precautions/Over-dosage**

Effects of overdose when treated with liraglutide might include severe nausea and severe vomiting. In the event of overdosage, appropriate supportive treatment will be initiated according to the participant's clinical signs and symptoms. All participants in the trail will be appropriately educated on how to use the pen in order to avoid overdosing and how to proceed in event of accidental overdosing

850

851 <u>LIABILITY AND PARTICIPANT INSURANCE:</u> 852

In the event that a participant becomes ill or injured from participating in this research study, emergency medical care will be provided to them. The Lindner Center of HOPE and University of Cincinnati College of Medicine will decide on a case by case basis whether to reimburse the participant for their out of pocket health care expenses.

857

The principal investigator will be responsible for the conduct of the study and Lindner Center of HOPE agrees to defend, indemnify, and hold harmless Novo Nordisk, any of its parent companies, affiliates, or subsidiaries, and their respective officers, directors, employees, agents, representatives, distributors, salespersons, customers, licensees, and end-users from and against any claim, suit, demand, loss, damage, expense or liability imposed by any third party arising from or related to: (a) any breach of sponsorinvestigator's obligations or representations; or (b) investigator's negligent or grossly negligent use or willful misuse of the study drug, the results, or services derived therefrom. This indemnification shall not

apply in the event and to the extent that a court of competent jurisdiction or a duly appointed arbiter

- determines that such losses or liability arose as a result of Novo Nordisk's gross negligence, intentional
- 867 misconduct, or material breach of its responsibilities.
- 868

869 **PUBLICATION PLAN:**

- 870 We will submit abstracts of the study results to psychiatric and obesity scientific meetings such as the
- 871 American Psychiatric Association Annual Meeting, Obesity Week, and the Society of Biological
- 872 Psychiatry. We will also submit a manuscript of the study for publication to a leading obesity or 873 psychiatric journal, such as Obesity, the American Journal of Psychiatry, or the Journal of Clinical
- 874 Psychiatry. We will register the study with a publicly assessable database such as clinicaltrials.gov.
- 875
- 876 Fasting Blood Script for Phone Screens:
- 877
- 878 During the screening visit we will obtain a fasting blood sample. Fasting blood tests require you to stop 879 eating and drinking anything (with the exception of plain water) at least 8 hours before you visit. Please 880 drink as much water as you like, but no other food or drink. Black coffee or black tea - without any
- 881 sweetener or creamer is acceptable. After the blood draw we will provide a snack and drink. If you have 882 a medical condition that prevents you from fasting 8 hours, please let us know before the visit and we will 883 make other arrangements. Please let us know if you have any questions about the fasting procedures.

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Table 1: Schedule of Assessments

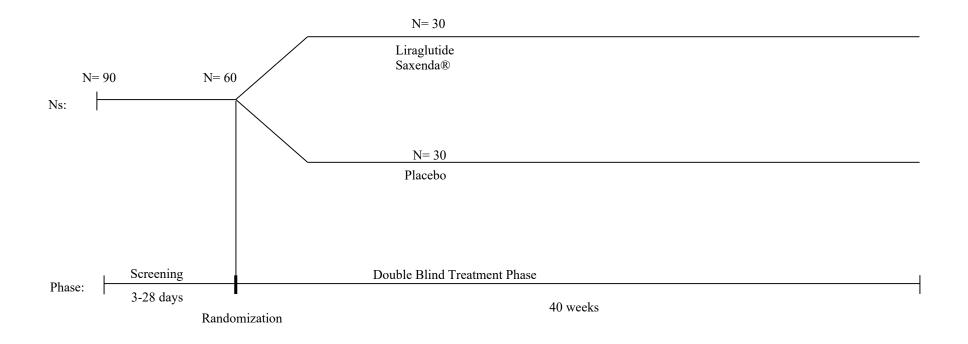
Visit	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16/ET	17
	(Screening)	(Baseline)																	(Follow-up)
Assessment Week	up to -4	0	1	2	3	4	6	8	10	12	14	16	20	24	28	32	36	40	41
Assessment Day	-3 to -28	0	7	14	21	28	42	56	70	84	98	112	140	168	196	224	252	280/ET	287/Any
Informed consent	X																		
Psychiatric evaluation	X																		
Medical history	X																		
SCID	X																		
Mental Status Examination	X	X	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Inclusion/exclusion criteria	x	X																	
Physical examination	X											x						x	
Demographics	X																		
Vital signs	X	x	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Height ^a	X																		
Weight ^a	X	x	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	X
Waist circumference		x	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
Clinical laboratory tests ^b	x							x				x						x	
12-lead ECG	X							X				X						x	
Serum pregnancy test	X																		
Urine Pregnancy test		x	X	x	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Urine drug screen	x																		
YMRS	x	x	x	x	x	x	x	x	x	x	x	X	x	x	x	x	x	x	x
MADRS	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
CGI-BP-S	X	x	x	X	X	X	x	X	X	X	X	x	x	x	x	x	x	x	x

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CGI-BP-I			X	x	x	x	x	X	x	X	x	X	x	x	x	X	X	X	X
Lifestyle counseling		x				x		x		x		x	x	x	x	x	x		
TFEQ		x				x		x		x		x	x	x	x	x	X	X	
BES		x				x		x		x		x	x	x	x	x	X	X	
C-SSRS- Baseline Version	X																		
C-SSRS- Since Last Visit Version		X	X	x	X	x	x	X	x	x	x	x	x	x	x	x	X	X	X
Suitability to remain in study	X	X	x	x	x	x	x	X	X	X	x	X	X	x	X	X	X	x	
Investigational product dispensed		X	x	x	x	x	x	X	x	X	x	x	X		X	X			
Investigational product returned			x	x	X	x	x	X	x	X	x	X	X		X	X		X	
Compliance assessed			x	x	x	x	x	X	x	X	x	X	x	x	x	x	x	x	
Concomitant medications	X	X	X	x	X	x	x	X	x	X	x	x	x	x	x	x	X	X	x
Adverse events	X	x	x	x	X	x	x	x	x	X	x	x	x	x	x	x	X	x	X
Drug interaction form	X	X	x	x	x	x	x	x	x	x	x	x	x	x	x	x	X	x	x
Termination record																			X

^aWeight (using a calibrated scale) and height to be measured without shoes. ^bLaboratory samples will be obtained when the subject is fasting and will include CBC with diff, Metabolic profile, lipids, HgA_{1c}. Participants must fast for 12 hours prior to laboratory samples being collected.



ubject #:	Date:
 Was there any in psychiatric media 	formation suggesting the subject had an interaction between liraglutide and a ation?
□ Yes	□ No
If YES, provide	a complete description of the putative interaction.
2. Please list all psy	ychiatric medications involved in this interaction.
3. Was any action t	taken to manage the interaction?
\Box Yes	\Box No
If YES, please ex	xplain:
4. Outcome of inter	raction: