

Collaborating to Implement Cross-System Interventions in  
Child Welfare and Substance Use

NCT03931005

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Consent Form – Survey and Focus Group

## **The Ohio State University Consent to Participate in Research**

**Study Title:** Ohio START Implementation – Ohio START TA CASPI Survey

**Protocol Number:** 2017B0239

**Researcher:** Alicia Bunger, MSW, PhD

**Sponsor:** National Institute on Drug Abuse

**This is a consent form for research participation.** It contains important information about this study and what to expect if you decide to participate.

**Your participation is voluntary.**

Please consider the information carefully. Feel free to ask questions before making your decision whether or not to participate.

**Purpose:**

The purpose of this study is to learn more about the ways agencies collaborate to implement new programs, like Ohio START. Given your role in as a Technical Assistance Provider, we are very interested in your perspectives about the usefulness of different types of collaboration strategies, and the Collaborating Across Systems for Program Implementation (CASPI) toolkit. The information you share with us will be used to help understand effective approaches for aligning child welfare and behavioral health systems, which could help other counties implementing Ohio START, and similar models.

**Procedures/Tasks:**

If you decide to participate you will be asked to complete a brief survey. This survey asks your opinions about different collaboration strategies. There are no right or wrong answers here, we are interested in hearing about your views

**Duration:** The survey takes about 10-15 minutes.

You may leave the study at any time. If you decide to stop participating in the study, there will be no penalty to you, and you will not lose any benefits to which you are otherwise entitled. Your decision will not affect your future relationship with The Ohio State University.

**Risks and Benefits:**

There are no direct benefits to you by participating in the survey. However, you are helping us identify potential implementation barriers and facilitators. The only risks or discomfort to you may be if your responses were ever revealed, but we are taking several steps to keep your opinions confidential.

**Confidentiality:**

Members of the study team at Ohio University, and University of California – Berkeley may have access to identifiable research data. We will work to make sure that no one sees your online responses without approval. But, because we are using the Internet, there is a chance that someone could access your online responses without permission. In some cases, this information could be used to identify you.

The NIH has issued a Certificate of Confidentiality for this study. This Certificate provides extra protection for you and your study information, documents, or samples (blood, tissue, etc.). The Certificates are issued so that we cannot be required to disclose any identifiable, sensitive information collected about you as a part of this study in a lawsuit or legal proceeding. We are also prevented from releasing your study information without your consent. This is a layer of protection over and above the already existing protections in place for you and your information, documents, or samples.

However, these protections do not apply in some situations. For example, we may have to release your information if a law requires us to do so, the Agency that is funding this study requests the information, or if the FDA tells us to release this information. We may also use your information to conduct other scientific research as allowed by federal regulations.

Study information that has health implications may be placed in your medical record where authorized employees may see the information. Further, authorized requests for your records (medical record release for continuity of care) may result in research-related information being released.

Please talk to your study team, or contact the Office of Responsible Research Practices at 614-688-8641, if you have questions.

You may also visit the NIH website at <https://grants.nih.gov/policy/humansubjects/coc.htm> to learn more.

Also, there may be circumstances where this information must be released. For example, personal information regarding your participation in this study may be disclosed if required by state law. Also, your records may be reviewed by the following groups (as applicable to the research):

- Office for Human Research Protections or other federal, state, or international regulatory agencies;
- The Ohio State University Institutional Review Board or Office of Responsible Research Practices;
- Authorized Ohio State University staff not involved in the study may be aware that you are participating in a research study and have access to your information; and
- The sponsor, if any, or agency (including the Food and Drug Administration for FDA-regulated research) supporting the study.

**Future Research:**

Your de-identified information may be used or shared with other researchers without your additional informed consent.

**Incentives:**

As a thank you for your time, we will provide you with a virtual \$35 gift card that you can request at the end of the survey.

By law, payments to participants are considered taxable income.

**Participant Rights:**

You may refuse to participate in this study without penalty or loss of benefits to which you are otherwise entitled. If you are a student or employee at Ohio State, your decision will not affect your grades or employment status.

If you choose to participate in the study, you may discontinue participation at any time without penalty or loss of benefits. By agreeing to participate, you do not give up any personal legal rights you may have as a participant in this study.

An Institutional Review Board responsible for human subjects research at The Ohio State University reviewed this research project and found it to be acceptable, according to applicable state and federal regulations and University policies designed to protect the rights and welfare of participants in research.

**Contacts and Questions:**

For questions, concerns, or complaints about the study, or you feel you have been harmed as a result of study participation, you may contact Alicia Bunger, at [bunger.5@osu.edu](mailto:bunger.5@osu.edu), (614) 688-8366.

For questions about your rights as a participant in this study or to discuss other study-related concerns or complaints with someone who is not part of the research team, you may contact the Office of Responsible Research Practices at 1-800-678-6251 or [hsconcerns@osu.edu](mailto:hsconcerns@osu.edu).

**Providing consent**

I have read (or someone has read to me) this page and I am aware that I am being asked to participate in a research study. I have had the opportunity to ask questions and have had them answered to my satisfaction. I voluntarily agree to participate in this study. I am not giving up any legal rights by agreeing to participate.

To print or save a copy of this page, select the print button on your web browser.

**Please click the button below to proceed and participate in this study. If you do not wish to participate, please close out your browser window.**

### **The Ohio State University Consent to Participate in Research**

**Study Title:** Ohio START Implementation - Ohio START TA CASPI Focus Group

**Researcher:** Alicia Bunger, MSW, Ph.D.

**Sponsor:** National Institute on Drug Abuse (NIDA)

**This is a consent form for research participation.** It contains important information about this study and what to expect if you decide to participate.

**Your participation is voluntary.** This means that you do not have to participate unless you want to, you may leave the study at any time, and there is no penalty for not participating. Your participation, or the information you share, will not be used to evaluate your performance as an employee, or affect your relationship with The Ohio State University

Please consider the information carefully. Feel free to ask questions before making your decision whether or not to participate. If you decide to participate, you will be asked to sign this form and will receive a copy of the form.

**Purpose:** The purpose of this study is to learn more about the ways agencies collaborate to implement new programs, like Ohio START. Given your role in as a Technical Assistance Provider, we are very interested in your perspectives about the usefulness of different types of collaboration strategies, and the Collaborating Across Systems for Program Implementation (CASPI) toolkit. The information you share with us will be used to help understand effective approaches for aligning child welfare and behavioral health systems, which could help other counties implementing Ohio START, and similar models.

**Procedures/Tasks:** If you decide to participate you will be asked to participate in a focus group. In this focus group we will ask your opinions about the CASPI toolkit and how you use it. There are no right or wrong answers here, we are interested in hearing about your views. With your permission, our group discussion will be recorded so that we will have an accurate record of the information discussed.

**Duration:** The focus group will takes about 1 hour.

You may leave the study at any time. If you decide to stop participating in the study, there will be no penalty to you, and you will not lose any benefits to which you are otherwise entitled. Your decision will not affect your future relationship with The Ohio State University.

**Risks and Benefits:** There are no direct benefits to you by participating in the focus rroup. However, you are helping us identify potential implementation barriers and facilitators. The only risks or discomfort to you may be if your responses were ever revealed, but we are taking several steps to keep your opinions confidential. While we ask other group participants to keep the discussion in the group confidential, we cannot guarantee this. Please keep this in mind when choosing what to share in the group setting.

**Confidentiality:** Members of the study team at Ohio University, and University of California – Berkeley may have access to identifiable research data. Your responses will be kept as confidential as possible – data files will be stored in password protected folders and our reports will present aggregate results. Therefore, your name will not be identified or linked to your responses in any publication or report from this study, or in any data files shared with other researchers. We will work to make sure that no one hears or sees your focus group responses without approval. But, because we are using the Internet, there is a chance that someone could access your online responses without permission. In some cases, this information could be used to identify you.

The NIH has issued a Certificate of Confidentiality for this study. This Certificate provides extra protection for you and your study information, documents, or samples (blood, tissue, etc.). The Certificates are issued so that we cannot be required to disclose any identifiable, sensitive information collected about you as a part of this study in a lawsuit or legal proceeding. We are also prevented from releasing your study information without your consent. This is a layer of protection over and above the already existing protections in place for you and your information, documents, or samples.

CONSENT  
Consent Template-Online Research

However, these protections do not apply in some situations. For example, we may have to release your information if a law requires us to do so, the Agency that is funding this study requests the information, or if the FDA tells us to release this information. We may also use your information to conduct other scientific research as allowed by federal regulations.

Study information that has health implications may be placed in your medical record where authorized employees may see the information. Further, authorized requests for your records (medical record release for continuity of care) may result in research-related information being released.

Please talk to your study team, or contact the Office of Responsible Research Practices at 614-688-8641, if you have questions.

You may also visit the NIH website at <https://grants.nih.gov/policy/humansubjects/coc.htm> to learn more.

Efforts will be made to keep your study-related information confidential. However, there may be circumstances where this information must be released. For example, personal information regarding your participation in this study may be disclosed if required by state law. Also, your records may be reviewed by the following groups (as applicable to the research):

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- The Ohio State University Institutional Review Board or Office of Responsible Research Practices;
- Authorized Ohio State University staff not involved in the study may be aware that you are participating in a research study and have access to your information; and
- The sponsor, if any, or agency (including the Food and Drug Administration for FDA-regulated research) supporting the study.

**Future Research:** Your de-identified information may be used or shared with other researchers without your additional informed consent.

**Incentives:** As a thank you for your time, we will provide you with a virtual \$40 gift card via email at the conclusion of the focus group. By law, payments to participants are considered taxable income. If you wish to receive a report summarizing what we learn from this study, please let me know. You can expect to receive a brief report at the end of the study.

**Participant Rights:** You may refuse to participate in this study without penalty or loss of benefits to which you are otherwise entitled. If you are a student or employee at Ohio State, your decision will not affect your grades or employment status.

If you choose to participate in the study, you may discontinue participation at any time without penalty or loss of benefits. By signing this form, you do not give up any personal legal rights you may have as a participant in this study.

An Institutional Review Board responsible for human subjects research at The Ohio State University reviewed this research project and found it to be acceptable, according to applicable state and federal regulations and University policies designed to protect the rights and welfare of research participants.

**Contacts and Questions:** For questions, concerns, or complaints about the study, or you feel you have been harmed as a result of study participation, you may contact Alicia Bunger, [bunger.5@osu.edu](mailto:bunger.5@osu.edu), (614) 688-8366.

For questions about your rights as a participant in this study or to discuss other study-related concerns or complaints with someone who is not part of the research team, you may contact the Office of Responsible Research Practices at 1-800-678-6251.

**Signing the Consent Form:**

I have read (or someone has read to me) this form and I am aware that I am being asked to participate in a research study. I have had the opportunity to ask questions and have had them answered to my satisfaction. I voluntarily agree to participate in this study. I am not giving up any legal rights by signing this form.

To print or save a copy of this page, download this file from the “chat” and print.

Do you agree to participate? If so, please type that you agree in the chat box.

CONSENT  
Consent Template-Online Research

[if yes, proceed with the focus group]  
[if no, do not proceed]