1	Cognitive Performance Following Caffeinated Chewing Gum in
2	night-shift Emergency Physicians: A Double-blind Randomized
3	Crossover Controlled Trial
4	China Medical University Hospital, Taichung, Taiwan. 404.
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7	Project summary
8	This study aimed to examine the impact of caffeinated chewing gum on the cognitive
9	performance of night-shift emergency physicians in a partially sleep-deprived state. A
10	randomized, double-blind crossover controlled experimental design was employed in
11	which fourteen (Age: 29.9 ± 1.44 ; height: 176.5 ± 5.3 ; weight: 78.1 ± 13.4) emergency
12	physicians consumed either caffeinated chewing gum (CAF) containing 200 mg
13	caffeine or a caffeine-free placebo gum (PLA) for 10 minutes at 03:30 am during their
14	first 8-hour night shift after at least one day off, and completed cognitive performance
15	tests before shift, mid-shift (10 minutes after gum chewing), and after shift, including
16	included Corsi block test, Task-switching paradigm, Stroop Test, Visual search, and
17	Wisconsin Card Sorting Task. Sleep quality was assessed subjectively by a single
18	question score, and objectively by ActiGraph for one night on the off day and the last
19	sleep before the first night shift, to evaluate the effect of sleep quality on cognitive

20	performance.
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22	Keywords: Reaction time, cognitive function, Stoop test, Visual search, Patient safety
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27 Introduction

A substantial body of research has demonstrated that the cognitive function of night shift doctors declines significantly before work [1-4], particularly in the context of the first consecutive night shift [5]; This raises concerns about the potential impact on patient safety. Consequently, there has been a significant focus on night shift scheduling and working hours [6], to identify the most appropriate rotation method and working hours for emergency physicians to ensure patient safety [7].

34 Caffeine is a psychoactive substance found in many drinks and certain foods. The 35 most widely known and consumed source of caffeine is coffee, but caffeine is also found in tea, energy drinks, carbonated soft drinks, fruit, and foods containing cocoa [8, 36 37 9]. It is a recognized stimulant with psychomotor activation and alertness effects [10] 38 and is often consumed by night shift workers, drivers, or the general public. Recent 39 studies have also found that caffeine may reduce the risk of dementia and cognitive 40 decline in the elderly and improve cognitive decline in people with cognitive 41 impairment [11]. Low-dose caffeine's primary mechanism of action as a 42 psychostimulant is based on central antagonism at A1 and A2A adenosine receptors at 43 doses typically consumed orally with caffeinated foods and beverages. Caffeine's ability to bind to adenosine receptors helps to inhibit the brakes exerted by endogenous 44 45 adenosine on the ascending dopamine and arousal systems, thereby enhancing 46 cholinergic and dopaminergic transmission [12, 13]. Thus, caffeine intake helps to improve self-reported energy, mood, and cognitive functions such as attention; it may 47 also improve simple reaction time, choice reaction time, memory, or fatigue [11, 14]. 48

49 The ability of caffeine supplementation to improve cognitive function has been50 studied in several areas. For example, caffeine supplementation was found to be

51	effective in improving visual search and engagement speed in an alert state one hour
52	before a test in e-sports athletes [15], as well as increasing the speed of keyboard
53	tapping [16], increasing reaction time, and improving shot precision in e-sports
54	shooting events [17, 18], and decreasing reaction time in a Stroop task [17, 19]. In
55	addition, players in such video games must utilize different control strategies to respond
56	quickly to fast-paced, high-stress visual and auditory stimuli, and they must have
57	autonomy to adapt to changing environments [20]. This is similar to emergency
58	physicians, who must respond quickly to changes in patient conditions in a high-
59	pressure work environment. Zabelina et al., Crawford et al. and Marsden et al. have
60	also shown that caffeine significantly improves problem-solving abilities [21-23].
61	Therefore, emergency physicians, who may be exposed to fast-paced, high-stress visual
62	and auditory stimuli, may be able to find strategies to overcome these challenges by
63	improving their problem-solving abilities through caffeine.
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Graham Marsden and John Leach also found that caffeine improved visual search performance in experienced navigators [21], which may also be related to emergency physicians' ability to search for lesions on diagnostic clues and medical images. In addition, caffeine has been shown to improve performance and cognitive functioning in shift workers in a review of the literature [24]. In recent years, caffeine chewing gum has been marketed to facilitate and accelerate caffeine intake [25], and studies have

70	examined the effects of caffeine gum on cognitive performance in e-sports players [17].
71	However, no studies have been conducted on the effects of caffeine supplementation on
72	cognitive functioning in night-shift emergency physicians with caffeinated chewing
73	gum.
74	This study aims to investigate whether caffeine supplementation with caffeinated
75	chewing gum can be used by emergency physicians during night shifts to maintain
76	proper cognitive functioning and thus patient safety. At the same time, sleep monitoring
77	was performed to observe whether caffeine supplementation affected subsequent sleep.
78	This is to provide emergency physicians with a reference for caffeine supplementation
79	with caffeine gum during night shift work.
80	
81	2. Methods
82	2.1. Design
83	This experiment was a double-blind, repeated-measures, crossover design
84	according to the guidelines of CONSORT 2010[26] and was divided into either a
85	caffeine trial (CAF) or a placebo trial (PL). To eliminate the impact of individual
86	physical differences, a crossover trial was conducted with the same group of subjects.
87	Randomized groups were created using Microsoft 365-Excel (Microsoft, Redmond,
88	Washington, United States), and allocation was randomized and unpredictable. Each

89	trial was separated by at least four weeks to avoid interactive effects. This study
90	compared CAF and PL trial to determine the effects of caffeinated chewing gum on
91	cognitive performance in emergency physicians engaged in night shift work., and
92	subjective, objective sleep quality. Caffeinated chewing gum and placebo chewing gum
93	are very similar in appearance, color, size, shape, and flavor and are difficult to
94	distinguish. The randomization was carried out by a research assistant, with another
95	resident performing the experiment, and neither the subjects themselves nor the resident
96	experimenting knew which group the subjects were assigned to or what type of gum
97	they were assigned. Once the data has been collected, it will be forwarded to the group's
98	statistical analyst for statistical analysis, who was also unaware of the group
99	assignments. At least one week before the formal experiment, all subjects participated
100	in three to five familiarization tests for the cognitive function tests. Sleep quality was
101	also assessed subjectively by a single question score, and objectively by ActiGraph for
102	one night on the off day and the last sleep before the first night shift. The primary
103	outcome was the results of cognitive function tests, and the secondary outcome was the
104	sleep quality.

106 2.2. Participants

107 Fourteen healthy male emergency physicians were recruited in this study and

based on previous research on caffeine in e-sport athletes [17, 18], we used the G*power 108 3.1 software 24 to achieve an alpha value of 5% and a power of 0.8, which was 109 110 sufficient for the study with only six subjects. However, due to the lack of relevant studies on the effects of caffeine and caffeinated chewing gum on cognitive functioning 111 in night-shift healthcare workers, reference was made to a recent study that examined 112 the cognitive performance of emergency physicians after 24 consecutive hours of on-113 call duty, which included 13 subjects [3]. Therefore, it should be adequate to interpret 114 115 the data derived and statistics from the study.

116 All participants had experience working regular rotating shifts on the emergency clinical front line and regularly accepted night shift assignments each month. The 117 118 inclusion criteria were: (i) healthy male adults, those individuals who are free of pain, 119 insomnia, or other injuries recently, without any medication used in recent 2 months, (ii) underwent rotating shifts on the emergency clinical front line with regularly 120 accepted night shift more than two years. The exclusion criteria were: (i) allergy to 121 caffeine, (ii) experience of adverse effects of caffeine, (iii) with cardiovascular diseases 122 123 or any disease that made subjects feel ill. Two weeks before the main trial, all the participants were asked to avoid ingestion of more than 80 mg of caffeine a day. Before 124 the experiment, all participants were fully informed of the experimental procedures and 125 126 risks and provided informed consent. This study received approval from the 127 Institutional Review Board of China Medical University Hospital (CMUH111-REC2-

128 169). This study was conducted following the Declaration of Helsinki.

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130 **2.3. Protocol**

131 **2.3.1.** Experimental procedure

The entire study was conducted in the office of the emergency department of China Medical University Hospital, and the indoor ambient temperature was set at 22°C. Participants' diet and mealtimes were recorded for the 3 days before the first formal experiment, and the participants were required to follow the same diet 3 days before the next formal experiment. They were also required to avoid food and beverages with caffeine (e.g., coffee, energy drinks, chocolate, chocolate drink, and tea) 3 days before the formal experiment.

On the day of the formal experiment, participants had breakfast and lunch at 08:00 and 12:00, respectively. The participants arrived at the office at approximately 23:20 for the experiment. A brief explanation was given and the cognitive test was administered at 23:30, which took about 20 minutes to complete, after which the subjects were ready to go to work. At 03:30, according to the randomized results, the subjects were given two pieces of caffeinated chewing gum at an absolute dose of 200 mg (Military Energy Gum®, Ford Gum and Machine Go, Akron, NY, USA) (CAF trial) 146 or two pieces of similar looking and tasting placebo gum that did not contain caffeine (xylitol, lime mint, green; Lotte, Saitama, Japan) (PLA trial). After 10 minutes of 147 148 chewing, cognitive function tests were administered. Finally, the last cognitive function tests were performed after duty at 08:20. All subjects used a dedicated computer with a 149 screen frame rate of at least 240 Hz and a mouse response rate of 1ms. 150 151 To explore the validity of the blinding method, we asked the participants (before and after the test) to identify the supplements they ingested. To do this, the question 152 was as follows: "Which supplement do you think you ingested?" There are three 153 possible answers to this question: (a) caffeine; (b) placebo; and (c) don't know. 154 155 Furthermore, the occurrence of side effects or complications was recorded during the 156 trial.

157 **2.3.2.** Outcome measure

158 2.3.2.1. Cognitive function tests

159 Subjects were sequentially given five tests: Corsi block test, Task-switching 160 paradigm, Stroop Test, Visual search, and Wisconsin Card Sorting Task. All the above 161 cognitive function tests were conducted using Psych/Lab for Windows. Measures used 162 in the literature have satisfactory reliability and validity[27].

163 **2.3.2.1.1.** Corsi block test

164 There will be 9 purple squares in the screen, when the test starts, it will flash a

165 number of them randomly in yellow color (the more the number increases), then you will hear "Go", then you have to click the order of the flashing ones, and then press 166 "DONE" in the lower right corner. The smiley face means correct, the crying face 167 means wrong. The maximum number of correct answers will be displayed at the end of 168 the test, which is mainly to test the ability of situational awareness and working memory. 169 170 The whole process takes about 2 minutes[28-30].

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2.3.2.1.2. Task-switching paradigm

There are two shapes (circle, square) and two colors (yellow, blue), four 172 combinations in total. At the beginning of the test, you will see "SHAPE" or "COLOR" 173 (which means that the answer to the question will be based on the shape or color), and 174 175 then a colorful pattern (e.g., yellow square or blue circle) will appear, then answer the 176 question according to the answer you have just seen (shape or color) as soon as possible. Press B for circles, N for squares, B for yellow, N for blue, and finally the average 177 reaction speed is displayed, which is a test of cognitive structure, flexibility, and 178 plasticity in task processing. The whole test takes about 4 minutes[31, 32]. 179

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2.3.2.1.3. Stroop Test

In this quiz, you will see different colors for different words, but all the answers 181 are based on the colors (no matter what the words are). Press R for red, G for green, B 182 for blue, and Y for yellow; for example, if you see "green in red color", you have to 183

184 press R. The test results comprised a congruent condition, in which the key pressed 185 corresponded correctly to the color on the screen, and an incongruent condition, in 186 which the key pressed corresponded incorrectly to the color name on the screen. At the 187 end of the test, the reaction time will be displayed, which is mainly a test of the ability 188 to inhibit cognitive interference. The whole test takes about 5 minutes[33, 34].

189 **2.3.2.1.4.** Visual search

In the visual search test, participants identified orange "T" s on the screen from upside-down orange "T" s, blue "T" s, and upside-down blue "T" s. When an orange "T" would appear, the participants were required to press the spacebar as quickly as possible. If no orange "T" appeared, the participants were required to not react. The test contains 80 search displays, each containing 5, 10, 15 and 20 items, mainly to test the search ability of dynamic vision. Reaction speed was displayed at the end of the test, and the whole test took about 4 minutes[15, 21, 35].

197 **2.3.2.1.5.** Wisconsin Card Sorting Task

There is a trial-and-error component to this test. You have to answer the questions first and then determine what the question is based on what is right or wrong. The test is presented in a matching mode, where a pattern on the left is matched with one of the four patterns on the right, and the matching criteria are color, shape, and number. During the test, the questions will be changed from time to time, so if you find out the rules and then suddenly get a question wrong, it means that the question has been changed.
The number of errors is displayed at the end of the test to help measure a person's ability
to reason abstractly and to change problem-solving strategies when necessary. The
entire test lasted about 4 minutes[36-38].

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2.3.2.2. Measure Of Sleep

208 Participants wore a wrist ActiGraph (wActiSleep, Pensacola, Florida, United States) on the nondominant hand for 2 sleep periods, including the sleep on off day and 209 the sleep before the first third night duty. The sleep data were obtained from ActiGraph 210 211 monitors and analyzed by ActiLife software version 6 using the Cole-Kripke algorithm, 212 including eight sleep indices (sleep latency, total counts, sleep efficiency, total sleep time [TST], wake after sleep onset [WASO], number of awakenings, length of 213 214 awakenings, time in bed)[39, 40]. Participants also recorded the time they went to bed and woke up to enable coordinate checks with the actigraphic data. Daily sleep quality 215 216 was measured using a question that was chosen because it was easy to conceptualize, 217 simple to understand, and less burdensome for participants. The question asked was: On a scale of 0-10, with 0 being the worst sleep and 10 being the best, rate the quality 218 219 of the previous sleep[41].

Participants self-reported age, marital status, exercise habits, smoking, caffeine and alcohol consumption, and chronic diseases. Height and weight were measured from which body mass index (BMI) was calculated as weight in kilograms divided by the square of height in meters (kg/m2).

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225 Statistical analysis

226	All data are presented as averages \pm standard deviations. The Shapiro–Wilk test	-	
227	was used to examine the normality of the data. Cognitive performance, accuracy, and	L	
228	hit reaction time were analyzed through a paired sample t test. Effect sizes were	;	
229	calculated using Cohen's D. Tests were performed (t tests or $\chi 2$ tests) to test for	•	
230	differences at baseline between the two groups. A 2 \times 2 mixed-design analysis of		
231	variance with repeated measures was used to examine the effects of the intervention.		
232	The Bang's Blinding Index (BBI) was used to explore the effectiveness of the blinding		
233	whereas the McNemar test was used in the comparison of the incidence of side-effects	•	
234	between the placebo and caffeine conditions. All data were calculated using SPSS		
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