

NCT03537508

## Immunogenicity and Safety Study of an Investigational Quadrivalent Meningococcal Conjugate Vaccine when Administered Concomitantly with Routine Pediatric Vaccines in Healthy Infants and Toddlers

A Phase III, partially modified double-blind, randomized, parallel-group, active-controlled, multi-center study to compare the immunogenicity and describe the safety of MenACYW conjugate vaccine and MENVEO® when administered concomitantly with routine pediatric vaccines to healthy infants and toddlers in the United States

### Clinical Study Protocol, Amendment 4

**Health Authority File Number:** BB-IND #: 14171  
**WHO Universal Trial Number (UTN):** U1111-1183-6361  
**Trial Code:** MET42  
**Development Phase:** Phase III  
**Sponsor:** Sanofi Pasteur Inc.  
Discovery Drive, Swiftwater, PA 18370-0187, USA  
**Investigational Product:** MenACYW conjugate vaccine: Meningococcal Polysaccharide (Serogroups A, C, Y, and W) Tetanus Toxoid Conjugate Vaccine  
**Form / Route:** Liquid Solution / Intramuscular  
**Indication For This Study:** MenACYW conjugate vaccine administered as a 4 dose series to healthy infants and toddlers  
**Manufacturer:** Same as Sponsor

**Version and Date of the Protocol:** Version 5.0 dated 26 January 2022

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## History of Protocol Versions

Version*	Date	Comments
<b>1.0</b>	<b>20 October 2017</b>	Original study protocol (first version used in the study)
<b>2.0</b>	<b>28 March 2018</b>	Amendment 1
3.0	25 January 2019	Amendment 2
4.0	02 June 2021	Amendment 3

\* Versions in bold font have been approved by the Independent Ethics Committee(s) (IEC[s]) / Institutional Review Board(s) (IRB[s]) and used in the study.

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## Synopsis

<b>Company:</b>	Sanofi Pasteur
<b>Investigational Product:</b>	MenACYW conjugate vaccine
<b>Active Substances:</b>	Capsular polysaccharide from meningococcal serogroups A, C, Y, and W conjugated to tetanus toxoid
<b>Title of the Trial:</b>	Immunogenicity and Safety Study of an Investigational Quadrivalent Meningococcal Conjugate Vaccine when Administered Concomitantly with Routine Pediatric Vaccines in Healthy Infants and Toddlers
<b>Development Phase:</b>	Phase III
<b>Principal Investigator:</b>	[REDACTED]
<b>Trial Centers:</b>	This will be a multi-center trial conducted at approximately 60 sites in the United States (US). Investigators and sites are listed in the “List of Investigators and Centers Involved in the Trial” document.
<b>Planned Trial Period:</b>	2Q 2018 to 3Q 2023
<b>Trial Design and Methodology:</b>	<p>A Phase III, partially modified double-blind, randomized, parallel-group, active-controlled, multi-center study to compare the immunogenicity and describe the safety of MenACYW conjugate vaccine and MENVEO® (Meningococcal [Groups A, C, Y, and W-135] Oligosaccharide Diphtheria CRM<sub>197</sub> Conjugate Vaccine) when administered concomitantly with routine pediatric vaccines to healthy infants and toddlers in the US.</p> <p>Approximately 2628 healthy infants aged <math>\geq 42</math> to <math>\leq 89</math> days will be randomized 2:1 to the following 2 groups.</p> <p><b>Group 1 (G1):</b> MenACYW conjugate vaccine and routine pediatric vaccines</p> <p><b>Group 2 (G2):</b> MENVEO® and routine pediatric vaccines</p> <p>Each group will be further randomized 2:1 in 2 subgroups based on the time of analyses conducted in the 2nd year of life (30 days after the 12-month vaccination or 30 days after the 15-month vaccination, respectively):</p> <p><b>Group 1:</b></p> <p><b>Subgroup 1a (G1a)</b> (12 months): MenACYW conjugate vaccine and routine vaccines at 2, 4, 6, and 12 to 15 months of age</p> <p><b>Subgroup 1b (G1b)</b> (15 months): MenACYW conjugate vaccine at 2, 4, 6, and 15 to 18 months of age and routine vaccines at 2, 4, 6, 12 to 15 months of age, and 15 to 18 months of age</p> <p><b>Group 2:</b></p> <p><b>Subgroup 2a (G2a)</b> (12 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age</p>

	<p><b>Subgroup 2b (G2b)</b> (15 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age</p> <p>All subjects will receive the following routine vaccines as per the Advisory Committee on Immunization Practices (ACIP) recommendations:</p> <ul style="list-style-type: none"> <li>• Pentacel® (DTaP-IPV//Hib) at 2, 4, 6, and 15 to 18* months of age</li> <li>• Prevnar 13® (pneumococcal 13-valent conjugate vaccine [PCV13]) at 2, 4, 6, and 12 to 15 months of age</li> <li>• RotaTeq® (pentavalent rotavirus vaccine [RV5]) at 2, 4, and 6 months of age</li> <li>• ENGERIX-B® (hepatitis B vaccine) at 2 and 6 months of age**</li> <li>• M-M-R® II (measles, mumps, rubella [MMR] vaccine) at 12 to 15 months of age</li> <li>• VARIVAX® (varicella vaccine) at 12 to 15 months of age</li> </ul> <p>In addition, subjects in Subgroup 1b and Group 2 will receive the first dose of hepatitis A (HepA) vaccine (HAVRIX®) at 15 to 18 months of age as part of the study. For Subgroup 1a, there will be no HepA vaccination provided as part of the study. Subjects in Subgroup 1a should be vaccinated, as per standard practice, after the completion of the last study visit.</p> <p>*Subjects in Subgroup 1a will complete the last study visit at 13 to 16 months of age. For subjects in Subgroup 1a, the 4th dose of Pentacel®, which is administered at 15 to 18 months of age, will be provided by the Sponsor for completion of the DTaP series with vaccine from the same manufacturer, as per ACIP recommendation. The study personnel / Investigator will be responsible for administering this dose at the recommended age outside of the scope of the study.</p> <p>**First dose of hepatitis B vaccine must be given at least 28 days prior to study enrollment.</p> <p>Safety data will be collected as follows: Immediate unsolicited systemic adverse events (AEs) will be collected within 30 minutes after each vaccination. Solicited AE information will be collected from D0 to D07 after each vaccination; unsolicited AE information will be collected from D0 to D30 after each vaccination; SAE (including adverse events of special interest [AESIs]) and medically-attended-adverse-event (MAAE) information will be collected throughout the study from Visit 1 until the end of the 6-month follow-up period after the last vaccination.</p>
<b>Early Safety Data Review:</b>	<p>No Early Safety Data Review (i.e., no early safety review[s] of preliminary safety data occurring at pre-determined milestones defined in the protocol, with pause in enrollment) is planned for this trial as MenACYW conjugate vaccine has been previously administered to infants, toddlers, and adults with an acceptable safety profile and no safety concerns have been identified in the clinical trials completed so far. There will be an internal team at the level of the Sponsor (Safety Management Team, [SMT]), which will review the data being generated from all the ongoing studies with MenACYW conjugate vaccine at regular intervals for any new safety signals or safety concerns. The SMT is empowered to recommend a pause in both recruitment and / or further vaccination while it investigates any potential signal or concern.</p> <p>This trial will not include an early review of safety data. However, it may be interrupted at any time if new data about the investigational product become available, and/or on advice of the Sponsor, the Independent Ethics Committees (IECs) / Institutional Review Boards (IRBs), or the governing regulatory authorities in the US where the trial is taking place.</p> <p>If the trial is prematurely terminated or suspended, the Sponsor will promptly inform the Investigators, the IECs/IRBs, and the regulatory authorities of the reason for termination or suspension. If the trial is prematurely terminated for any reason, the Investigator will promptly inform the subjects' parents / guardians and should assure appropriate therapy and follow-up.</p>
<b>Primary Objectives:</b>	<p>1) To demonstrate the non-inferiority of the hSBA (serum bactericidal activity using human complement) vaccine seroresponse* to meningococcal serogroups A, C, Y, and W following the administration of a 4-dose series of MenACYW conjugate vaccine compared</p>

	<p>to a 4-dose series of MENVEO® when given concomitantly with routine pediatric vaccines to infants and toddlers 6 weeks old to 15 months old.</p> <p>*hSBA vaccine seroresponse for serogroups A, C, Y, and W is defined as:</p> <ul style="list-style-type: none"> <li>For a subject with a pre-vaccination titer &lt; 1:8, the post-vaccination titer must be ≥ 1:16</li> <li>For a subject with a pre-vaccination titer ≥ 1:8, the post-vaccination titer must be ≥ 4-fold greater than the pre-vaccination titer</li> </ul> <p>2) To demonstrate the non-inferiority of the hSBA antibody response to meningococcal serogroups A, C, Y, and W following the administration of 3 doses in infancy of MenACYW conjugate vaccine compared to 3 doses in infancy of MENVEO® when given concomitantly with routine pediatric vaccines to infants at 2, 4, and 6 months of age.</p>
<b>Primary Endpoints:</b>	<p>1) Meningococcal serogroups A, C, Y, and W antibody titers measured by hSBA before first study vaccination on D0 and 30 days after the 4th meningococcal vaccination (Subgroup 1a versus (vs) Subgroup 2a).</p> <p>2) Antibody titers ≥ 1:8 against meningococcal serogroups A, C, Y, and W measured by hSBA assessed 30 days after vaccination(s) at 6 months of age (Group 1 vs Group 2).</p>
<b>Secondary Objectives:</b>	<p>1) To demonstrate the non-inferiority of immune responses of the routine pediatric vaccines administered concomitantly with MenACYW conjugate vaccine as compared with MENVEO® in infants and toddlers 6 weeks old to 18 months old.</p> <p>2) To assess the antibody responses against meningococcal serogroups A, C, Y, and W after the administration of the 4th dose of MenACYW conjugate vaccine or MENVEO® when both are given concomitantly with routine pediatric vaccines at 12 months of age.</p> <p>3) To assess the persistence of bactericidal antibodies at 12 months of age in subjects who previously received 3 doses of MenACYW conjugate vaccine or MENVEO® in infancy concomitantly with routine pediatric vaccines at 2, 4, and 6 months of age.</p> <p>4) To describe the antibody responses against the antigens of the routine pediatric vaccines (Pentacel®, Prevnar 13®, M-M-R® II, VARIVAX®, RotaTeq®, and ENGERIX-B®) when administered concomitantly with either MenACYW conjugate vaccine or MENVEO®</p> <p>5) To describe the antibody responses against meningococcal serogroups A, C, Y, and W when MenACYW conjugate vaccine vs MENVEO® is administered concomitantly with routine pediatric vaccines.</p> <p>6) To describe the antibody responses against meningococcal serogroups A, C, Y, and W when MenACYW conjugate vaccine is administered to children 12 to 15 months of age vs when MenACYW conjugate vaccine is administered to children 15 to 18 months of age, concomitantly with routine pediatric vaccines (Subgroup 1a vs Subgroup 1b), including the bactericidal antibodies persistence and the effect of 4th dose of MenACYW conjugate vaccine at 12 to 15 months of age or 15 to 18 months of age.</p>
<b>Secondary Endpoints:</b>	<p>1) The following serological endpoints will be assessed:</p> <ul style="list-style-type: none"> <li>D0 (before first vaccination) for Group 1 and Group 2: <ul style="list-style-type: none"> <li>Anti-rotavirus serum immunoglobulin (Ig) A antibody concentrations</li> </ul> </li> <li>30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2: <ul style="list-style-type: none"> <li>IgG antibodies against hepatitis B surface antigen (anti-HB) concentrations ≥ 10 milli-international units (mIU) / mL</li> <li>Anti polyribosyl-ribitol phosphate (PRP) antibody concentrations ≥ 0.15 micrograms/milliliter (µg / mL)</li> <li>Anti PRP antibody concentrations ≥ 1.0 µg/mL</li> <li>Anti-poliovirus types (1, 2, and 3) antibody titers ≥ 1:8</li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>• Anti-rotavirus serum IgA antibody concentrations with <math>\geq 3</math>-fold rise over baseline</li> <li>• Anti-rotavirus serum IgA antibody geometric mean concentrations (GMCs)</li> <li>• Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (GMCs)</li> <li>• Anti-pneumococcal antibody concentrations (for serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) (GMCs)</li> </ul> <ul style="list-style-type: none"> <li>• 30 days after the 12-month vaccinations for Subgroup 1a and Subgroup 2a: <ul style="list-style-type: none"> <li>• Anti-measles antibody concentrations <math>\geq 255</math> mIU/mL</li> <li>• Anti-mumps antibody concentrations <math>\geq 10</math> mumps antibody (Ab) units/mL</li> <li>• Anti-rubella antibody concentrations <math>\geq 10</math> IU/mL</li> <li>• Anti-varicella antibody concentrations <math>\geq 5</math> glycoprotein enzyme-linked immunosorbent assay (gpELISA) units/mL</li> <li>• Anti-pneumococcal antibody concentrations (for serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) (GMCs)</li> </ul> </li> <li>• Before the 15-month vaccination for Subgroup 1b and Subgroup 2b: <ul style="list-style-type: none"> <li>• Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM).</li> </ul> </li> <li>• 30 days after the 15-month vaccinations for Subgroup 1b and Subgroup 2b: <ul style="list-style-type: none"> <li>• Anti-PRP antibody concentrations <math>\geq 1.0</math> <math>\mu</math>g/mL</li> <li>• Anti-poliovirus types 1, 2, and 3 antibody titers <math>\geq 1:8</math></li> <li>• Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (vaccine response)* <ul style="list-style-type: none"> <li>*Pertussis vaccine response definition: <ul style="list-style-type: none"> <li>• Pre-vaccination <math>&lt;</math> lower limit of quantitation (LLOQ), then post-vaccination should be <math>\geq 4</math>x the LLOQ</li> <li>• Pre-vaccination <math>&gt;</math> LLOQ but <math>&lt; 4</math>x the LLOQ, then post-vaccination should achieve a 4-fold rise (post- vaccination/pre-vaccination <math>\geq 4</math>)</li> <li>• Pre-vaccination <math>&gt; 4</math>x the LLOQ, then post-vaccination should achieve a 2-fold response (post-vaccination/pre-vaccination <math>\geq 2</math>)</li> </ul> </li> </ul> </li> </ul> </li> </ul> <p>Subgroup analyses to examine consistency across study groups will be performed and presented in the Statistical Analysis Plan (SAP).</p> <p>2) The following serological endpoints will be assessed (effect of 4th dose of MenACYW or Menveo):</p> <ul style="list-style-type: none"> <li>• Before the 12-month vaccination (pre-4th dose) for Subgroups 1a and 2a <ul style="list-style-type: none"> <li>• hSBA meningococcal serogroups A, C, Y and W antibody titers</li> </ul> </li> <li>• 30 days after the 12-month vaccination for Subgroups 1a and 2a <ul style="list-style-type: none"> <li>• hSBA meningococcal serogroups A, C, Y and W antibody titers</li> <li>• hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 4</math>-fold rise from pre-4th dose (at 12 months of age) to post-dose 4 vaccination</li> </ul> </li> </ul> <p>3) The following serological endpoints will be assessed (persistence of bactericidal antibodies after infant vaccination with MenACYW or Menveo):</p> <ul style="list-style-type: none"> <li>• 30 days after the 6-month vaccination and before the 12-month vaccination for Subgroup 1a and Subgroup 2a <ul style="list-style-type: none"> <li>• hSBA meningococcal serogroups A, C, Y, and W antibody titers</li> <li>• hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:4</math> and <math>\geq 1:8</math></li> </ul> </li> </ul>
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- 4) The following serological endpoints will be assessed.
  - Day 0 (before first vaccination) for Groups 1 and 2
    - Anti-pertussis antibody concentrations (PT, FHA, PRN, FIM)
  - 30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2:
    - Anti-PRP antibody concentrations
    - Anti-diphtheria antibody concentrations
    - Anti-diphtheria antibody concentrations  $\geq 0.01$  IU/mL
    - Anti-diphtheria antibody concentrations  $\geq 0.1$  IU/mL
    - Anti-tetanus antibody concentrations
    - Anti-tetanus antibody concentrations  $\geq 0.01$  IU/mL
    - Anti-tetanus antibody concentrations  $\geq 0.1$  IU/mL
    - Anti-HBs antibody concentrations
    - Anti-HBs concentrations  $\geq 100$  IU/mL
    - Anti-polio (types 1, 2, and 3) antibody titers
    - Anti-rotavirus serum IgA antibody concentrations
    - Anti-rotavirus serum IgA antibody concentrations with  $\geq 4$ -fold rise over baseline
    - Anti-pertussis (PT, FHA, PRN, and FIM) antibody concentrations (vaccine response)
    - Anti-pneumococcal antibody concentrations (PCV13)
  - 30 days after the 12-month vaccinations for Subgroup 1a and Subgroup 2a:
    - Anti-measles antibody concentrations
    - Anti-mumps antibody concentrations
    - Anti-rubella antibody concentrations
    - Anti-varicella antibody concentrations
    - Anti-pneumococcal antibody concentrations (PCV13)
  - 30 days after the 6 –month vaccination and before vaccination at the 15-month vaccinations for Subgroup 1b and Subgroup 2b to evaluate immune persistence after primary series vaccination with Hib and pertussis vaccines:
    - Anti-PRP antibody concentration  $\geq 0.15$   $\mu$ g/mL
    - Anti-PRP antibody concentrations
    - Anti-pertussis (PT, FHA, PRN, and FIM) antibody concentrations
  - 30 days after the 15-month vaccinations for Subgroup 1b and Subgroup 2b:
    - Anti-PRP antibody concentrations
    - Anti-diphtheria antibody concentrations
    - Anti-diphtheria antibody concentrations  $\geq 0.1$  IU/mL
    - Anti-diphtheria antibody concentrations  $\geq 1.0$  IU/mL
    - Anti-tetanus antibody concentrations
    - Anti-tetanus antibody concentrations  $\geq 0.1$  IU/mL
    - Anti-tetanus antibody concentrations  $\geq 1.0$  IU/mL
    - Anti-polio (types 1, 2, and 3) antibody titers
    - Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (GMCs)
- 5) The following serological endpoints will be assessed.
  - D0 (before first vaccination) for Group 1 and Group 2:
    - hSBA meningococcal serogroups A, C, Y and W antibody titers

	<ul style="list-style-type: none"> <li>30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers</li> <li>Titer distribution and reverse cumulative distribution curves (RCDCs)</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:4</math> and <math>\geq 1:8</math></li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 4</math>-fold rise from pre-vaccination (D0) to post-vaccination</li> <li>hSBA vaccine seroresponse</li> </ul> </li> <li>Before the 12-month vaccination for Subgroups 1a and 2a and before the 15-month vaccination for Subgroup 1b: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y and W antibody titers</li> </ul> </li> <li>30 days after the 12-months vaccinations for Subgroup 1a and 2a and 30 days after the 15-month vaccination for Subgroup 1b: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers</li> <li>Titer distribution and RCDCs</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:4</math> and <math>\geq 1:8</math></li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 4</math>-fold rise from pre-vaccination (D0) to post-dose 4 vaccination</li> <li>hSBA vaccine seroresponse</li> </ul> </li> </ul> <p>6) The following serological endpoints will be assessed.</p> <ul style="list-style-type: none"> <li>D0 (before first vaccination) for Subgroup 1a and Subgroup 1b: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y and W antibody titers</li> </ul> </li> <li>30 days after the 6 –month vaccination and before the 12-month vaccination for Subgroup 1a and before the 15-month vaccination for Subgroup 1b to evaluate the immune persistence after infant vaccination with MenACYW conjugate vaccine: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y and W antibody titers</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:4</math> and <math>\geq 1:8</math></li> </ul> </li> <li>30 days after the 12-month vaccinations for Subgroup 1a and 30 days after the 15-month vaccination for Subgroup 1b, including evaluation of the effect of the 4th dose of MenACYW conjugate vaccine: <ul style="list-style-type: none"> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers ratio (Subgroup 1b/Subgroup 1a)</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:4</math> and <math>\geq 1:8</math></li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 1:8</math> difference (Subgroup 1b –Subgroup 1a)</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 4</math>-fold rise from pre-vaccination (D0) to post-4<sup>th</sup> dose vaccination</li> <li>hSBA meningococcal serogroups A, C, Y, and W antibody titers <math>\geq 4</math>-fold rise from pre-4<sup>th</sup> dose vaccination to post-4<sup>th</sup> dose vaccination</li> <li>hSBA vaccine seroresponse</li> <li>hSBA vaccine seroresponse difference (Subgroup 1b – Subgroup 1a)</li> </ul> </li> </ul>
<b>Observational Objectives:</b>	<p><b>Safety</b></p> <p>To describe the safety profile of MenACYW conjugate vaccine and MENVEO® when administered concomitantly with routine pediatric vaccines in healthy infants and toddlers.</p>



<b>Observational Endpoints:</b>	<p><b>Safety</b></p> <p>The following endpoints will be used for all subjects for the evaluation of safety:</p> <ul style="list-style-type: none"> <li>• Occurrence, nature (Medical Dictionary for Regulatory Activities [MedDRA] preferred term), duration, intensity, relationship to vaccination, and whether the event led to early termination from the study, of any unsolicited systemic AEs reported in the 30 minutes after each vaccination</li> <li>• Occurrence, time of onset, number of days of occurrence, intensity, action taken, and whether the reaction led to early termination from the study, of solicited (prelisted in the subject's diary card and electronic case report book [CRB]) injection site reactions occurring up to D07 after each vaccination</li> <li>• Occurrence, time of onset, number of days of occurrence, intensity, action taken, and whether the reaction led to early termination from the study, of solicited (prelisted in the subject's diary card and CRB) systemic reactions occurring up to D07 after each vaccination.</li> <li>• Occurrence, nature (MedDRA preferred term), time of onset, duration, intensity, action taken, relationship to vaccination, and whether the event led to early termination from the study, of unsolicited AEs up to D30 after each vaccination</li> <li>• Occurrence, nature (MedDRA preferred term), time of onset, duration, seriousness criteria, relationship to vaccination, outcome, and whether the event led to early termination from the study, of SAEs (including AESIs) throughout the trial from Visit 1 to the 6-month follow-up contact after the last vaccination</li> <li>• Occurrence, nature (MedDRA preferred term), time of onset, duration, intensity, action taken, relationship to vaccination, and whether the event led to early termination from the study for MAAEs throughout the trial from Visit 1 to the 6-month follow-up contact after the last vaccination.</li> </ul>
<b>Planned Sample Size:</b>	<p>Approximately 2628 subjects are planned to be enrolled.</p> <p>Group 1 (MenACYW conjugate and routine vaccines): n=1752</p> <p>Subgroup 1a: n=1168 enrolled, 770 evaluable</p> <p>Subgroup 1b: n=584 enrolled, 385 evaluable</p> <p>Group 2 (MENVEO® and routine vaccines): n=876</p> <p>Subgroup 2a: n=584 enrolled, 385 evaluable</p> <p>Subgroup 2b: n=292 enrolled, 192 evaluable</p>
<b>Schedule of Study Procedures</b>	<p><u>Vaccination</u></p> <p>All subjects will receive either 4 doses of MenACYW conjugate vaccine or 4 doses of MENVEO® administered concomitantly with routine pediatric vaccines.</p> <p>All subjects will receive the following routine pediatric vaccines as per the ACIP recommendations:</p> <ul style="list-style-type: none"> <li>• Pentacel® (DTaP-IPV//Hib) at 2, 4, 6, and 15 to 18* months of age</li> <li>• Prevnar13® (PCV13) at 2, 4, 6, and 12 to 15 months of age</li> <li>• RotaTeq® (RV5) at 2, 4, and 6 months of age</li> <li>• ENGERIX-B® (HB vaccine) at 2 and 6 months of age**</li> <li>• M-M-R® II (MMR vaccine) at 12 to 15 months of age</li> <li>• VARIVAX® (varicella vaccine) at 12 to 15 months of age</li> </ul> <p>In addition, subjects in Subgroup 1b and Group 2 will receive the first dose of hepatitis A vaccine (HAVRIX®) at 15 to 18 months of age as part of the study. For Subgroup 1a there will</p>



be no HepA vaccination provided as part of the study. Subjects in Subgroup 1a should be vaccinated as per standard practices after the completion of the last study visit.

\*Subjects in Subgroup 1a will complete the last study visit at 13 to 16 months of age. For subjects in Subgroup 1a, the 4th dose of Pentacel<sup>®</sup>, which is administered at 15 to 18 months of age, will be provided by the Sponsor for completion of the DTaP series with vaccine from the same manufacturer, as per ACIP recommendation. The study personnel / Investigator will be responsible for administering this dose at the recommended age outside of the scope of the study.

\*\*First dose of HB vaccine must be given at least 28 days prior to study enrollment.

#### Blood sampling

All subjects in the study will have 4 blood draws each. Blood collection is as follows:

- 1) Before first study vaccination at Visit (V) V01 for all subjects
- 2) 30 to 51 days (V04) after receiving the 6-month vaccinations (after 3rd dose) for all subjects
- 3) Before the 12-month vaccination (V05) for Subgroups 1a and 2a
- 4) 30 to 51 days after 12-month vaccinations (V06) for Subgroups 1a and 2a
- 5) Before the 15-month vaccination (V06) for Subgroups 1b and 2b
- 6) 30 to 51 days after the 15-month vaccinations (V07) for Subgroups 1b and 2b

#### Collection of Safety Data

- All subjects will be followed for safety from Visit 1 to 6 months after the last vaccination
- All subjects will be observed for 30 minutes after each vaccination, and any unsolicited systemic AEs occurring during that time will be recorded as immediate unsolicited systemic AEs in the CRB.
- The subject's parent / guardian will record information in a diary card about solicited reactions from D0 to D07 after each vaccination and unsolicited AEs from D0 after each vaccination to the next study visit.
- SAEs and MAAEs will be recorded throughout the study. The subject's parent/guardian will record information in a diary card about SAEs and MAAEs from V01 to V02, from V02 to V03, from V03 to V04, from V04 to V05, from V05 to V06, from V06 to V07 (for Subgroups 1b, 2a and 2b), and from V07 to V08 (for Subgroup 2a only). SAEs and MAAEs will also be recorded in a memory aid (MA) from D31 after the last vaccination visit, until the 6-month follow up phone call. The subject's parent/guardian will be asked to notify the site immediately about any potential SAE at any time during the study.
- Staff will contact the subjects' parent/ guardian by telephone 8 days (+2 days) after each vaccination visit to identify the occurrence of any SAEs (including AESIs) and/or MAAEs not yet reported and to remind them to complete the diary card and to bring it back at the subsequent visit.
- The completed diary cards will each be collected and reviewed with the subject's parent/guardian at the subsequent visit.
- Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.
- Staff will contact the subjects' parent/ guardian by telephone at 6 months (+30 days) after the last vaccination visit to review the MA and identify the occurrence of any MAAEs and SAEs (including AESIs) that have not been reported.

<b>Duration of Participation in the Trial:</b>	The duration of each subject's participation in the trial will be approximately 16 to 19 months (Subgroup 1a) and 19 to 22 months (Subgroup 1b and Group 2), which includes a safety follow-up contact at 6 months after the last vaccinations.
<b>Investigational Product:</b> <b>Form:</b> <b>Composition:</b>	<p><b>MenACYW conjugate vaccine:</b> Meningococcal Polysaccharide (Serogroups A, C, Y, and W) Tetanus Toxoid Conjugate Vaccine (Sanofi Pasteur Inc., Swiftwater, PA, USA)</p> <p>Liquid solution</p> <p>Each 0.5 milliliter (mL) dose of MenACYW conjugate vaccine is formulated in sodium acetate buffered saline solution to contain the following ingredients:</p> <p>Meningococcal capsular polysaccharides:</p> <p>Serogroup A ..... 10 µg  Serogroup C ..... 10 µg  Serogroup Y ..... 10 µg  Serogroup W ..... 10 µg</p> <p>Tetanus toxoid protein carrier ..... approximately 55 µg*</p> <p>* Tetanus toxoid protein quantity is approximate and dependent on the polysaccharide-to-protein ratio for the conjugates used in each formulation.</p>
<b>Route:</b> <b>Batch Number:</b>	<p>Intramuscular (IM)</p> <p>TBD</p>
<b>Control Product:</b>	<b>MENVEO®:</b> Meningococcal (Groups A, C, Y and W-135) Oligosaccharide Diphtheria CRM <sub>197</sub> Conjugate Vaccine (GSK Vaccines, Srl, Bellaria-Rosia 53018, Sovicille [SI], Italy)
<b>Form:</b> <b>Composition:</b>	<p>Lyophilized powder and liquid components are combined to produce a solution for IM Injection</p> <p>Each 0.5 mL dose of vaccine contain the following active ingredients:</p> <p>MenA oligosaccharide ..... 10 mcg  MenC oligosaccharide ..... 5 mcg  MenY oligosaccharide ..... 5 mcg  MenW-135 oligosaccharide ..... 5 mcg  CRM<sub>197</sub> protein ..... 32.7 to 64.1 mcg</p> <p>Other ingredients per 0.5 mL dose: residual formaldehyde ≤ 0.30 mcg.</p>
<b>Route:</b> <b>Batch Number:</b>	<p>IM</p> <p>TBD</p>
<b>Other Product 1</b> <b>Form:</b> <b>Composition:</b>	<p><b>Pentacel®:</b> (Diphtheria and Tetanus Toxoids and Acellular Pertussis Adsorbed, Inactivated Poliovirus and Haemophilus b Conjugate (Tetanus Toxoid Conjugate) Vaccine (Sanofi Pasteur Ltd, Toronto, Ontario, Canada)</p> <p>Liquid DTaP-IPV used to reconstitute lyophilized ActHIB®</p> <p>Each 0.5 mL dose contains:</p> <p>Diphtheria toxoid ..... 15 Limit of Flocculation (Lf)  Tetanus toxoid ..... 5 Lf</p> <p>Acellular pertussis antigens:</p> <p>Pertussis toxin (PT) ..... 20 µg  Filamentous hemagglutinin (FHA) ..... 20 µg  Pertactin (PRN) ..... 3 µg  Fimbriae Types 2 and 3 (FIM) ..... 5 µg</p> <p>Inactivated polioviruses:</p> <p>Type 1 (Mahoney) ..... 40 D-antigen units (DU)</p>

	<p>Type 2 (MEF-1) .....8 DU</p> <p>Type 3 (Saukett).....32 DU</p> <p><i>H. influenzae</i> type b (PRP)..... 10 µg</p> <p>Tetanus toxoid (PRP-T) ..... 24 µg</p> <p>Excipients:</p> <p>Aluminum phosphate (0.33 mg aluminum) (adjuvant) ..... 1.5 mg</p> <p>Polysorbate 80 ..... approximately 10 ppm by calculation</p> <p>Sucrose ..... 42.5 mg</p> <p>Residual formaldehyde ..... ≤ 5 µg</p> <p>Residual glutaraldehyde ..... &lt; 50 nanogram (ng)</p> <p>Residual bovine serum albumin ..... ≤ 50 ng</p> <p>2-phenoxyethanol..... 3.3 mg (0.6% v/v)</p> <p>Neomycin..... &lt; 4 picogram (pg)</p> <p>Polymyxin B sulfate ..... &lt; 4 pg</p> <p><b>Route:</b> IM</p> <p><b>Batch Number:</b> TBD</p>
<b>Other Product 2:</b>	<b>Prevnar 13®:</b> Pneumococcal 13-valent Conjugate Vaccine (Diphtheria CRM <sub>197</sub> Protein) (Wyeth Pharmaceuticals, Inc., a subsidiary of Pfizer Inc, Philadelphia, PA, USA)
<b>Form:</b>	Suspension for injection
<b>Composition:</b>	<p>Each 0.5 mL dose of the vaccine is formulated to contain</p> <p><i>Streptococcus pneumoniae</i> serotypes 1, 3, 4, 5, 6A, 7F, 9V, 14, 18C, 19A, 19F, 23F</p> <p>saccharides ..... approximately 2.2 µg of each</p> <p>6B saccharides ..... 4.4 µg</p> <p>CRM197 carrier protein ..... 34 µg</p> <p>Polysorbate 80.....100 µg</p> <p>Succinate buffer .....295 µg</p> <p>Aluminum as aluminum phosphate adjuvant .....125 µg</p>
<b>Route:</b>	IM
<b>Batch Number:</b>	TBD
<b>Other Product 3:</b>	<b>RotaTeq®:</b> (Rotavirus Vaccine, Live, Oral, Pentavalent) (Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Whitehouse Station, NJ, USA)
<b>Form:</b>	Oral Solution
<b>Composition:</b>	<p>Each 2 mL dose contains the following 5 live reassortant rotaviruses:</p> <p>G1 serotype ..... 2.2 x 10<sup>6</sup> infectious units</p> <p>G2 serotype ..... 2.8 x 10<sup>6</sup> infectious units</p> <p>G3 serotype ..... 2.2 x 10<sup>6</sup> infectious units</p> <p>G4 serotype ..... 2.0 x 10<sup>6</sup> infectious units</p> <p>P1A(8)..... 2.3 x 10<sup>6</sup> infectious units</p> <p>The reassortants are suspended in a buffered stabilizer solution.</p> <p>Each 2 mL vaccine dose also contains sucrose, sodium citrate, sodium phosphate monobasic monohydrate, sodium hydroxide, polysorbate 80, cell culture media, and trace amounts of fetal bovine serum.</p>
<b>Route:</b>	Oral (PO)
<b>Batch Number:</b>	TBD

<p><b>Other Product 4:</b></p> <p><b>Form:</b></p> <p><b>Composition:</b></p> <p><b>Route:</b></p> <p><b>Batch Number:</b></p>	<p><b>ENGRIX-B®:</b> [Hepatitis B Vaccine (Recombinant)] (GlaxoSmithKline Biologicals 441 Rixensart, Belgium)</p> <p>Suspension for injection</p> <p>Each 0.5-mL pediatric/adolescent dose contains 10 µg of HBsAg adsorbed on 0.25 mg aluminum as aluminum hydroxide.</p> <p>Excipients:</p> <p>Sodium chloride .....9 mg/mL</p> <p>Disodium phosphate dihydrate.....0.98 mg/mL</p> <p>Sodium dihydrogen phosphate dihydrate .....0.71 mg/mL</p> <p>IM</p> <p>TBD</p>
<p><b>Other Product 5:</b></p> <p><b>Form:</b></p> <p><b>Composition:</b></p> <p><b>Route:</b></p> <p><b>Batch Number:</b></p>	<p><b>M-M-R® II</b> (Measles, Mumps, and Rubella Virus Vaccine Live) (Merck Sharp &amp; Dohme Corp., a subsidiary of Merck &amp; Co., Inc., Whitehouse Station, NJ, USA)</p> <p>Lyophilized live virus vaccine</p> <p>Each 0.5 mL dose contains live, attenuated virus:</p> <p>Measles virus (derived from Ender's Edmonston strain) propagated in chick embryo cell culture ..... not less than 1000 TCID<sub>50</sub>*</p> <p>Mumps virus (Jeryl Lynn™ [B level] strain) propagated in chick embryo cell culture.....not less than 12,500 TCID<sub>50</sub>*</p> <p>Rubella virus (Wistar RA 27/3 strain) propagated in WI-38 human diploid lung fibroblasts .....not less than 1000 TCID<sub>50</sub>*</p> <p>*TCID<sub>50</sub> = tissue culture infectious doses 50%</p> <p>Each 0.5 mL dose is calculated to contain sorbitol (14.5 mg), sodium phosphate, sucrose (1.9 mg), sodium chloride, hydrolyzed gelatin (14.5 mg), recombinant human albumin (≤ 0.3 mg), fetal bovine serum (&lt; 1 ppm), other buffer and media ingredients and approximately 25 µg of neomycin</p> <p>Subcutaneous (SC)</p> <p>TBD</p>
<p><b>Other Product 6:</b></p> <p><b>Form:</b></p> <p><b>Composition:</b></p>	<p><b>VARIVAX®:</b> Varicella Virus Vaccine Live (Merck Sharp &amp; Dohme Corp., a subsidiary of Merck &amp; Co., Inc., Whitehouse Station, NJ, USA)</p> <p>Suspension for injection supplied as a lyophilized vaccine to be reconstituted using the accompanying sterile diluent.</p> <p>Each approximately 0.5 mL dose contains:</p> <p>Active:</p> <p>Oka/Merck varicella virus..... at least 1350 plaque-forming units (PFU)</p> <p>Excipients:</p> <p>Sucrose ..... 25 mg</p> <p>Hydrolyzed gelatin..... 12.5 mg</p> <p>Sodium chloride ..... 3.2 mg</p> <p>Monosodium L-glutamate ..... 0.5 mg</p> <p>Sodium phosphate dibasic..... 0.45 mg</p> <p>Potassium phosphate monobasic ..... 0.08 mg</p> <p>Potassium chloride ..... 0.08 mg</p> <p>The product also contains residual components of MRC-5 cells including DNA and protein and trace quantities of sodium phosphate monobasic, EDTA, neomycin and fetal bovine serum. The product contains no preservative.</p>

<b>Route</b>	SC
<b>Batch Number:</b>	TBD
<b>Other Product 7</b>	<b>HAVRIX®</b> (Hepatitis A Vaccine) (GlaxoSmithKline Biologicals 411 Rixensart, Belgium)
<b>Form</b>	Suspension for injection
<b>Composition:</b>	Each 0.5 mL pediatric dose of vaccine contains enzyme-linked immunosorbent assay (ELISA) Units (EL.U.) of viral antigen, adsorbed onto 0.25 mg of aluminum as aluminum hydroxide.
	Excipients: Amino acid supplement in a phosphate-buffered saline solution supplement ..... 0.3% weight / volume Polysorbate 2 ..... 0.05 mg/mL Residual MRC-5 cellular proteins ..... not more than 5 µg/mL Formalin ..... not more than 0.1 mg/mL Neomycin sulfate ..... not more than 40 nanograms/mL Aminoglycoside antibiotic: ..... included in the cell growth media HAVRIX® is formulated without preservatives
<b>Route</b>	IM
<b>Batch Number:</b>	TBD
<b>Inclusion Criteria:</b>	An individual must fulfill <i>all</i> of the following criteria in order to be eligible for trial enrollment: <ol style="list-style-type: none"> <li>1) Aged <math>\geq 42</math> to <math>\leq 89</math> days on the day of the first study visit</li> <li>2) Healthy infants as determined by medical history, physical examination, and judgment of the investigator</li> <li>3) Informed consent form has been signed and dated by the parent(s) or guardian, and an independent witness, if required by local regulations</li> <li>4) Subject and parent/guardian are able to attend all scheduled visits and to comply with all trial procedures</li> <li>5) Infants who received the first dose of hepatitis B vaccine at least 28 days before the first study visit</li> </ol>
<b>Exclusion Criteria</b>	An individual fulfilling <i>any</i> of the following criteria is to be excluded from trial enrollment: <ol style="list-style-type: none"> <li>1) Participation at the time of study enrollment or in the 4 weeks preceding the first trial vaccination or planned participation during the present trial period in another clinical trial investigating a vaccine, drug, medical device, or medical procedure</li> <li>2) Receipt of any vaccine in the 4 weeks preceding the first trial vaccination or planned receipt of any vaccine in the 4 weeks before and/or following any trial vaccination except for influenza vaccination, which may be received at a gap of at least 2 weeks before or 2 weeks after any study vaccination. This exception includes monovalent pandemic influenza vaccines and multivalent influenza vaccines.</li> <li>3) Previous vaccination against meningococcal disease with either the trial vaccine or another vaccine (i.e., mono- or polyvalent, polysaccharide, or conjugate meningococcal vaccine containing serogroups A, C, Y, or W; or meningococcal B serogroup-containing vaccine).</li> <li>4) Previous vaccination against diphtheria, tetanus, pertussis, poliomyelitis, hepatitis A, measles, mumps, rubella, varicella; and of <i>Haemophilus influenzae</i> type b, <i>Streptococcus pneumoniae</i>, and /or rotavirus infection or disease</li> <li>5) Receipt of more than 1 previous dose of hepatitis B vaccine</li> <li>6) Receipt of immune globulins, blood, or blood-derived products since birth</li> </ol>

- 7) Known or suspected congenital or acquired immunodeficiency; or receipt of immunosuppressive therapy, such as anti-cancer chemotherapy or radiation therapy; or long-term systemic corticosteroid therapy (prednisone or equivalent for more than 2 consecutive weeks) since birth
- 8) Family history of congenital or hereditary immunodeficiency, until the immune competence of the potential vaccine recipient is demonstrated
- 9) Individuals with blood dyscrasias, leukemia, lymphoma of any type, or other malignant neoplasms affecting the bone marrow or lymphatic systems
- 10) Individuals with active tuberculosis
- 11) History of any *Neisseria meningitidis* infection, confirmed either clinically, serologically, or microbiologically
- 12) History of diphtheria, tetanus, pertussis, poliomyelitis, hepatitis B, hepatitis A, measles, mumps, rubella, varicella; and of *Haemophilus influenzae* type b, *Streptococcus pneumoniae*, and /or rotavirus infection or disease
- 13) At high risk for meningococcal infection during the trial (specifically, but not limited to, subjects with persistent complement deficiency, with anatomic or functional asplenia, or subjects travelling to countries with high endemic or epidemic disease)
- 14) History of intussusception
- 15) History of any neurologic disorders, including any seizures and progressive neurologic disorders
- 16) History of Guillain-Barré syndrome
- 17) Known systemic hypersensitivity to any of the vaccine components or to latex, or history of a life-threatening reaction to the vaccine(s) used in the trial or to a vaccine containing any of the same substances, including neomycin, gelatin, and yeast
- 18) Verbal report of thrombocytopenia contraindicating intramuscular vaccination in the investigator's opinion
- 19) Bleeding disorder, or receipt of anticoagulants in the 3 weeks preceding inclusion, contraindicating intramuscular vaccination in the investigator's opinion
- 20) Receipt of oral or injectable antibiotic therapy within 72 hours prior to the first blood draw
- 21) Chronic illness (including, but not limited to, cardiac disorders, congenital heart disease, chronic lung disease, renal disorders, auto-immune disorders, diabetes, psychomotor diseases, and known congenital or genetic diseases) that in the opinion of the investigator, is at a stage where it might interfere with trial conduct or completion
- 22) Any condition which, in the opinion of the investigator, might interfere with the evaluation of the study objectives
- 23) Moderate or severe acute illness/infection (according to investigator judgment) on the day of vaccination or febrile illness (temperature  $\geq 100.4^{\circ}\text{F}$  [ $\geq 38.0^{\circ}\text{C}$ ]). A prospective subject should not be included in the study until the condition has resolved or the febrile event has subsided
- 24) Identified as a natural or adopted child of the investigator or employee with direct involvement in the proposed study

Statistical Methods	<b>Primary Objectives</b>					
	The primary objectives will be met if the following primary hypotheses are rejected.					
	<ul style="list-style-type: none"><li><b>Primary Hypothesis: 1 (MenACYW vaccine seroresponse rate after 4th dose)</b> Thirty days after the administration of the 4th dose of MenACYW conjugate vaccine at 12 to 15 months of age or MENVEO at 12 months of age, the percentages of subjects who achieve an hSBA seroresponse* for meningococcal serogroups A, C, Y, and W in Subgroup 1a are non-inferior to the corresponding percentages in Subgroup 2a.</li></ul>					
	Null hypothesis (H0): $p(\text{men}, G1a) - p(\text{men}, G2a) \leq -10\%$					
	Alternative hypothesis (H1): $p(\text{men}, G1a) - p(\text{men}, G2a) > -10\%$					
	where $p(\text{men}, G1a)$ and $p(\text{men}, G2a)$ are the percentages of subjects who achieve hSBA vaccine seroresponse* in Subgroup 1a and Subgroup 2a, respectively. Each of the serogroups A, C, Y, and W will be tested separately. If the lower limit of the 2-sided 95% confidence interval (CI) of the difference between the 2 proportions is $> -10\%$ for each serogroup, the inferiority assumption will be rejected.					
	The overall non-inferiority of this objective will be demonstrated if all 4 individual null hypotheses are rejected.					
	For each of the 4 non-inferiority hypotheses using the vaccine seroresponse rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.					
	<b>*The hSBA vaccine seroresponse for serogroups A, C, Y and W is defined as:</b> <ul style="list-style-type: none"><li>For a subject with a pre-vaccination titer <math>&lt; 1:8</math>, the post-vaccination titer must be <math>\geq 1:16</math></li><li>For a subject with a pre-vaccination titer <math>\geq 1:8</math>, the post-vaccination titer must be at least 4-fold greater than the pre-vaccination titer</li></ul>					
	<ul style="list-style-type: none"><li><b>Primary Hypothesis 2 (MenACYW hSBA titers <math>\geq 1:8</math> after 3rd dose)</b> Thirty days after the administration of the 3<sup>rd</sup> dose of MenACYW conjugate vaccine or MENVEO at 6 months of age, the percentages of subjects who achieve hSBA titers <math>\geq 1:8</math> for meningococcal serogroups A, C, Y, and W in Group 1 are non-inferior to the corresponding percentages in Group 2.</li></ul>					
Null hypothesis (H0): $p(\text{men}, G1) - p(\text{men}, G2) \leq -10\%$						
Alternative hypothesis (H1): $p(\text{men}, G1) - p(\text{men}, G2) > -10\%$						
where $p(\text{men}, G1)$ and $p(\text{men}, G2)$ are the percentages of subjects who achieve hSBA titers $\geq 1:8$ in Group 1 and Group 2, respectively. Each of the serogroups A, C, Y, and W will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is $> -10\%$ for each serogroup, the inferiority assumption will be rejected.						
The overall non-inferiority of this objective will be demonstrated if all 4 individual null hypotheses are rejected.						
For each of the 4 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.						
<b>Secondary Objectives</b>						
<b>Table S1: Summary of non-inferiority hypotheses for the secondary objectives</b>						
<table><tr><th>Evaluation Time</th><th>Comparison Groups (G)</th><th>Antigen</th><th>Endpoint</th><th>Non-inferiority margin</th><th>Hypothesis #</th></tr></table>	Evaluation Time	Comparison Groups (G)	Antigen	Endpoint	Non-inferiority margin	Hypothesis #
Evaluation Time	Comparison Groups (G)	Antigen	Endpoint	Non-inferiority margin	Hypothesis #	



<b>1st Year, 30 days after the 6-month vaccination</b>	<b>G1 vs G2</b>	Hepatitis B	% ≥ 10 mIU/mL	10%	1
		PRP	% ≥ 0.15 µg/mL	5%	2
		PRP	% ≥ 1.0 µg/mL	10%	3
		Polio†	% ≥ 1:8	5%	4
		Rotavirus	% ≥ 3-fold rise	10%	5
		Rotavirus	GMC	1.5	6
		Pertussis*	GMC	1.5	7
		Pneumococcal‡	GMC	2	8
<b>2nd Year, 30 days after the 12-month vaccination</b>	<b>G1a vs G2a</b>	Measles	% ≥ 255 mIU/mL	10%	9
		Mumps	% ≥ 10 mumps Ab units/mL	10%	10
		Rubella	% ≥ 10 IU/mL	10%	11
		Varicella	% ≥ 5 gpELISA units/ml	10%	12
		Pneumococcal‡	GMC	2	13
<b>2nd Year, 30 days after the 15-month vaccination</b>	<b>G1b vs G2b</b>	PRP	% ≥ 1.0 µg/mL	10%	14
		Polio†	% ≥ 1:8	5%	15
		Pertussis*	Response rate	10%	16

\* Pertussis: PT, FHA, PRN, and FIM

† Polio: type 1, type 2, type 3

‡ Pneumococcal: 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F

#### ***I. First year evaluation at 30 days after the 6-month vaccinations (after the 3rd dose)***

- Secondary Hypothesis 1 (Anti-hepatitis B)**

Thirty days after the 6-month HB vaccine administration, the percentage of subjects who achieve ≥ 10 mIU/mL in anti-HB surface antibody concentrations in Group 1 is non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{hep}, G1) - p(\text{hep}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{hep}, G1) - p(\text{hep}, G2) > -10\%$

where  $p(\text{hep}, G1)$  and  $p(\text{hep}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve ≥ 10 mIU/mL in anti-HB surface antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- Secondary Hypothesis 2 (Anti-PRP ≥ 0.15 µg/mL)**

Thirty days after the 6-month Pentacel® vaccination, the percentage of subjects who achieve ≥ 0.15 µg/mL in anti-PRP antibody concentrations in Group 1 are non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{prp}, G1) - p(\text{prp}, G2) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{prp}, G1) - p(\text{prp}, G2) > -5\%$

where  $p(\text{prp}, G1)$  and  $p(\text{prp}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve ≥ 0.15 µg/mL in anti-PRP antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$ , the inferiority assumption will be rejected.



The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 3 (Anti-PRP  $\geq 1.0 \mu\text{g/mL}$ )**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 1.0 \mu\text{g/mL}$  in anti-PRP antibody concentrations in Group 1 are non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{prp}, G1) - p(\text{prp}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{prp}, G1) - p(\text{prp}, G2) > -10\%$

where  $p(\text{prp}, G1)$  and  $p(\text{prp}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 1.0 \mu\text{g/mL}$  in anti-PRP antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 4 (Anti-polio)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the percentages of subjects who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3) in Group 1 are non-inferior to those in Group 2.

Null hypothesis (H0):  $p(\text{pol}, G1) - p(\text{pol}, G2) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{pol}, G1) - p(\text{pol}, G2) > -5\%$

where  $p(\text{pol}, G1)$  and  $p(\text{pol}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3). Each of the antigens of type 1, type 2, and type 3 will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$  for each type, the inferiority assumption will be rejected.

For each of the 3 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 5 (Anti-rotavirus; % 3-fold rise)**

Thirty days after the 6-month rotavirus vaccine administration, the percentages of subjects who achieve  $\geq 3$ -fold rise in serum IgA antibody concentrations against rotavirus antigens (serotypes G1, G2, G3, G4, and P1A[8]) in Group 1 is non-inferior to those in Group 2.

Null hypothesis (H0):  $p(\text{rota}, G1) - p(\text{rota}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{rota}, G1) - p(\text{rota}, G2) > -10\%$

where  $p(\text{rota}, G1)$  and  $p(\text{rota}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 3$ -fold rise in serum anti-rotavirus IgA antibody concentrations (serotypes G1, G2, G3, G4, and P1A[8]). If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 6 (Anti-rotavirus; GMC)**

Thirty days after the 6-month rotavirus vaccine administration, the geometric mean concentrations (GMCs) of the serum IgA antibodies against the rotavirus antigens (serotypes G1, G2, G3, G4, and P1A [8]) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{rota}, G1) / \text{GMC}(\text{rota}, G2) \leq 2/3$

Alternative hypothesis (H1):  $\text{GMC}(\text{rota}, G1) / \text{GMC}(\text{rota}, G2) > 2/3$

where GMC (rota, G1) and GMC(rota,G2) are the GMCs of the serum IgA antibodies against the rotavirus antigens (serotypes G1, G2, G3, G4, and P1A [8]) in Group 1 and Group 2, respectively. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 2/3$ , the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For the non-inferiority hypothesis using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

- **Secondary Hypothesis 7 (Anti-pertussis; GMC)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the GMCs of antibodies against the pertussis antigens (PT, FHA, PRN, and FIM) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{pert}, \text{G1}) / \text{GMC}(\text{pert}, \text{G2}) \leq 2/3$

Alternative hypothesis (H1):  $\text{GMC}(\text{pert}, \text{G1}) / \text{GMC}(\text{pert}, \text{G2}) > 2/3$

where GMC(pert, G1) and GMC(pert,G2) are the GMCs of antibodies against the pertussis antigens (PT, FHA, PRN, and FIM) in Group 1 and Group 2, respectively.

Each of the antigens of PT, FHA, PRN, and FIM will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 2/3$  for each antigen, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 4 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

- **Secondary Hypothesis 8 (Anti-pneumococcal)**

Thirty days after the 6-month Prevnar 13<sup>®</sup> vaccination, the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{pne}, \text{G1}) / \text{GMC}(\text{pne}, \text{G2}) \leq 1/2$

Alternative hypothesis (H1):  $\text{GMC}(\text{pne}, \text{G1}) / \text{GMC}(\text{pne}, \text{G2}) > 1/2$

where GMC(pne, G1) and GMC(pne, G2) are the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Group 1 and Group 2, respectively.

Each of the serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 1/2$  for each serotype, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 13 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

## ***II. Second year evaluation at 30 days after the 12-month vaccinations***

- **Secondary Hypothesis 9 (Anti-measles)**

Thirty days after the 12-month M-M-R® II vaccination, the percentages of subjects who achieve  $\geq 255$  mIU/mL in anti-measles antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{mea}, G1a) - p(\text{mea}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{mea}, G1a) - p(\text{mea}, G2a) > -10\%$

where  $p(\text{mea}, G1a)$  and  $p(\text{mea}, G2a)$  are percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 255$  mIU/mL in anti-measles antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 10 (Anti-mumps)**

Thirty days after the 12-month M-M-R® II vaccination, the percentages of subjects who achieve  $\geq 10$  Mumps Ab units/mL in anti-mumps antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{mum}, G1a) - p(\text{mum}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{mum}, G1a) - p(\text{mum}, G2a) > -10\%$

where  $p(\text{mum}, G1a)$  and  $p(\text{mum}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 10$  Mumps Ab units/mL in anti-mumps antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 11 (Anti-rubella)**

Thirty days after the 12-month M-M-R® II vaccination, the percentages of subjects who achieve  $\geq 10$  IU/mL in anti-rubella antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{rub}, G1a) - p(\text{rub}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{rub}, G1a) - p(\text{rub}, G2a) > -10\%$

where  $p(\text{rub}, G1a)$  and  $p(\text{rub}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 10$  IU/mL in anti-rubella antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 12 (Anti-varicella)**

Thirty days after the 12-month VARIVAX® vaccination, the percentage of subjects who achieve  $\geq 5$  gpELISA units/mL in anti-varicella antibody concentrations in Subgroup 1a is non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{var}, G1a) - p(\text{var}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{var}, G1a) - p(\text{var}, G2a) > -10\%$

where  $p(\text{var}, G1a)$  and  $p(\text{var}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 5$  gpELISA units/mL in anti-varicella antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 13 (Anti-pneumococcal)**

Thirty days after the 12-month Prevnar13<sup>®</sup> vaccination, the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Subgroup 1a are non-inferior to the GMCs in Subgroup 2a.

Null hypothesis (H0):  $\text{GMC}(\text{pne}, \text{G1a}) / \text{GMC}(\text{pne}, \text{G2a}) \leq 1/2$

Alternative hypothesis (H1):  $\text{GMC}(\text{pne}, \text{G1a}) / \text{GMC}(\text{pne}, \text{G2a}) > 1/2$

where  $\text{GMC}(\text{pne}, \text{G1a})$  and  $\text{GMC}(\text{pne}, \text{G2a})$  are the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Subgroup 1a and Subgroup 2a, respectively. Each of the serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 1/2$  for each serotype, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 13 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

### ***III. Second year evaluation at 30 days after the 15-month vaccination***

- **Secondary Hypothesis 14 (Anti-PRP  $\geq 1.0 \mu\text{g/mL}$ )**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 1.0 \mu\text{g/mL}$  in anti-PRP antibody concentrations in Subgroup 1b is non-inferior to that in Subgroup 2b.

Null hypothesis (H0):  $p(\text{prp}, \text{G2b}) - p(\text{prp}, \text{G1b}) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{prp}, \text{G2b}) - p(\text{prp}, \text{G1b}) > -10\%$

where  $p(\text{prp}, \text{G1b})$  and  $p(\text{prp}, \text{G2b})$  are the percentages of subjects in Subgroup 1b and Subgroup 2b, respectively, who achieve  $\geq 1.0 \mu\text{g/mL}$  in anti-PRP antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 15 (Anti-polio)**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentages of subjects who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3) in Subgroup 1b are non-inferior to those in Subgroup 2b.

Null hypothesis (H0):  $p(\text{pol}, \text{G1b}) - p(\text{pol}, \text{G2b}) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{pol}, \text{G1b}) - p(\text{pol}, \text{G2b}) > -5\%$

where  $p(\text{pol}, \text{G1b})$  and  $p(\text{pol}, \text{G2b})$  are the percentages of subjects in Subgroup 1b and Subgroup 2b, respectively, who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3). Each of the antigens of type 1, type 2, and type 3 will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$  for each type, the inferiority assumption will be rejected.

For each of the 3 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

- **Secondary Hypothesis 16 (Anti-pertussis; vaccine response rate)**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentages of subjects with a pertussis vaccine response\* for the pertussis antigens (PT, FHA, PRN, and FIM) in Subgroup 1b are non-inferior to the percentages in Subgroup 2b.

Null hypothesis (H0):  $p(\text{pert, G1b}) - p(\text{pert, G2b}) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{pert, G1b}) - p(\text{pert, G2b}) > -10\%$

where  $p(\text{pert, G1b})$  and  $p(\text{pert, G2b})$  are the percentages of subjects who achieve a pertussis vaccine response\* in Subgroup 1b and Subgroup 2b, respectively. Each of the antigens of PT, FHA, PRN, and FIM will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$  for each antigens, the inferiority assumption will be rejected.

For each of the 4 non-inferiority hypotheses using the pertussis vaccine response rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction.

**\*Pertussis vaccine response is defined as:**

- Pre-booster vaccination  $< \text{LLOQ}$ , then post-vaccination should be  $\geq 4 \times$  the LLOQ
- Pre-booster vaccination  $> \text{LLOQ}$  but  $< 4 \times \text{LLOQ}$ , then post-booster vaccination should achieve a 4-fold rise (post-booster vaccination/pre-booster vaccination  $\geq 4$ )
- Pre-booster vaccination  $> 4 \times \text{LLOQ}$ , then post-booster vaccination should achieve a 2-fold response (post-booster vaccination/pre-booster vaccination  $\geq 2$ )

#### **Immunogenicity**

In general, categorical variables will be summarized and presented by frequency counts, proportion percentages, and CIs. The 95% CIs of point estimates will be calculated using the normal approximation for quantitative data and the exact binomial distribution (Clopper-Pearson method) for proportions. For GMTs and GMCs, 95% CIs of point estimates will be calculated using normal approximation assuming they are log-normally distributed.

#### **Observational Objectives**

##### **Safety / Reactogenicity**

The Safety Analysis Set (SafAS) is defined as those subjects who have received at least 1 dose of the study vaccine and have any safety data available. All subjects will have their safety analyzed for each dose according to the vaccine they actually received at that dose. Safety analysis after all of the 4-dose vaccinations will be conducted as well. If the vaccine received by a subject does not correspond to any study group, the subject will be excluded from the SafAS. The corresponding safety data will be presented in separate listings.

Safety analysis will include but is not limited to the following:

The number and percentage of subjects reporting any solicited injection site reactions and solicited systemic reactions occurring from D0 to D07 after each vaccination will be summarized by study group for intensity, time of onset period, days of occurrence, and action taken.

Immediate unsolicited systemic AEs and unsolicited AEs occurring up to D30 after each vaccination will be summarized.

The number and percentage of subjects reporting any unsolicited non-serious AEs will be summarized by study group, intensity, time of onset period, duration, and by MedDRA preferred term and system organ class (SOC), as well as by relationship to the study vaccine.

The number and percentage of subjects reporting at least one of any MAAEs will be summarized throughout the trial.

The number and percentage of subjects reporting at least one of any SAEs will be summarized by study group, seriousness criterion, outcome, and by MedDRA preferred term and SOC, as well as by relationship to the study vaccine.

The number and percentage of subjects reporting at least one of any AESIs will be summarized throughout the trial.

Exact (Clopper-Pearson) 2-sided 95% CIs will be calculated for the percentages.

#### ***Calculation of Sample Size:***

Approximately 2628 subjects will be enrolled. An estimated maximum of up to 34.1% non-evaluable subjects will result in approximately 1732 subjects in the per-protocol analysis set (PPAS) available for immunogenicity analyses. Group 1 will have 1155 evaluable subjects and Group 2 will have 577 evaluable subjects.

In case of unexpected situation or any study hold resulting in an unexpected number of unevaluable subjects, total sample size may be increased to replace withdrawn, or unevaluable subjects.

#### **For the Primary Objective (after the 4th dose):**

With 770 evaluable subjects in Subgroup 1a and 385 evaluable subjects in Subgroup 2a, the study will have around 98.4% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1a vs Subgroup 2a.

#### **For the Primary Objective 2 (after the 3rd dose):**

With 1155 evaluable subjects in Group 1 and 577 evaluable subjects in Group 2, the study will have around 98.8% power by using the Farrington and Manning's method to declare the non-inferiority of Group 1 vs Group 2.

#### **Co-primary Objectives:**

The study will have around 97.2% overall power by using the Farrington and Manning's method to declare the non-inferiority for co-primary objectives.

***Table S2: Power estimates to reject the primary hypotheses***

Primary #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
Primary 1 (4th dose)	A	Seroresponse Rate	10%	80%	98.4
	C	Seroresponse Rate	10%	90%	99.98
	Y	Seroresponse Rate	10%	90%	99.98
	W	Seroresponse Rate	10%	90%	99.98
Primary 2 (3rd dose)	A	% $\geq 1:8$	10%	70%	99.2
	C	% $\geq 1:8$	10%	80%	99.9
	Y	% $\geq 1:8$	10%	80%	99.9
	W	% $\geq 1:8$	10%	80%	99.9
	Overall				97.2

Evaluable subjects for Primary 1: Subgroup 1a: n = 770 subjects; Subgroup 2a: n = 385 subjects

Evaluable subjects for Primary 2: Group 1: n = 1155 subjects; Group 2: n = 577 subjects

\*Estimated responses are based on results observed in MENVEO® V59\_33 (NCT01000311) and in study MET39 (Group 1 TetraMen-T 2, 4, 6, 12 months).

#### **For the Secondary Objectives:**

##### **I. First year evaluation at 30 days after the 6-month vaccinations (after the 3rd dose)**

With 1155 evaluable subjects in Group 1 and 577 evaluable subjects in Group 2, the study will have around 94.2% power by using the Farrington and Manning's method to declare the non-inferiority of Group 1 vs Group 2.

***Table S3: Power estimates to reject the secondary hypotheses 1, 2, 3, 4, 5, 6, 7, and 8***

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
1	Hepatitis B	% $\geq 10$ mIU/mL	10%	98%	>99.9
2	PRP	% $\geq 0.15$ µg/mL	5%	91%	94.3



3	PRP	% $\geq 1$ µg/mL	10%	81%	99.9
4	Polio type 1	% $\geq 1:8$	5%	$\geq 99\%$	> 99.9
	Polio type 2	% $\geq 1:8$	5%	$\geq 99\%$	> 99.9
	Polio type 3	% $\geq 1:8$	5%	$\geq 99\%$	> 99.9
5	Rotavirus	% 3-fold rise	10%	95.2%	>99.9
6	Rotavirus	GMC	1.5	274.46 (323.52, 232.83)	>99.9
7	PT	GMC	1.5	65.0 (48.1, 87.7)	> 99.9
	FHA	GMC	1.5	91.4 (73.1, 114.3)	> 99.9
	PRN	GMC	1.5	31.0 (19.9, 48.4)	>99.9
	FIM	GMC	1.5	173.5 (111.2, 269.1)	>99.9
8	Pneumococcal 1	GMC	2	3.5 (2.2, 5.5)	> 99.9
	Pneumococcal 3	GMC	2	3.3 (1.9, 6.0)	> 99.9
	Pneumococcal 4	GMC	2	2.0 (1.2, 3.1)	> 99.9
	Pneumococcal 5	GMC	2	1.7 (1.2, 2.3)	> 99.9
	Pneumococcal 6A	GMC	2	4.8 (3.2, 7.3)	> 99.9
	Pneumococcal 6B	GMC	2	2.4 (1.1, 5.3)	> 99.9
	Pneumococcal 7F	GMC	2	4.2 (2.5, 7.0)	> 99.9
	Pneumococcal 9V	GMC	2	1.8 (1.3, 2.6)	> 99.9
	Pneumococcal 14	GMC	2	8.3 (5.3, 13.1)	> 99.9
	Pneumococcal 18C	GMC	2	2.3 (1.6, 3.2)	> 99.9
	Pneumococcal 19A	GMC	2	1.6 (0.9, 2.8)	> 99.9
	Pneumococcal 19F	GMC	2	3.8 (2.1, 6.7)	> 99.9
	Pneumococcal 23F	GMC	2	2.7 (1.6, 4.7)	> 99.9
	Overall			94.2	94.2

Evaluable subjects: Group 1: n=1155; Group 2: n=577

\* All estimates are from MET39, Group 2, 7-month data with the exception of rotavirus estimate from study V260-006 (NCT00090233) and study V419-005 (NCT01337167).

## II. Second year evaluation at 30 days after the 12-month vaccinations

With 770 evaluable subjects in Subgroup 1a and 385 evaluable subjects in Subgroup 2a, the study will have around >99.9% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1a vs. Subgroup 2a.

**Table S4: Power estimates to reject the secondary hypotheses 9, 10, 11, 12 and 13**

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
9	Measles	% ≥ 255 mIU/mL	10%	95%	>99.9
10	Mumps	% ≥ 10 Mumps AbU/mL	10%	95%	>99.9
11	Rubella	% ≥ 10 IU/mL	10%	95%	>99.9
12	Varicella	% ≥ 5 gpELISA Ab units/mL	10%	95%	>99.9
13	Pneumococcal 1	GMC	2	4.0 (2.2,7.2)	>99.9
	Pneumococcal 3	GMC	2	2.1 (1.5, 3.1)	>99.9
	Pneumococcal 4	GMC	2	2.2 (1.5,3.2)	>99.9
	Pneumococcal 5	GMC	2	1.3 (0.9, 2.0)	>99.9
	Pneumococcal 6A	GMC	2	10.4 (6.3,17.3)	>99.9
	Pneumococcal 6B	GMC	2	7.7 (4.5,13.4)	>99.9
	Pneumococcal 7F	GMC	2	7.0 (4.4, 11.0)	>99.9
	Pneumococcal 9V	GMC	2	1.9 (1.5, 2.5)	>99.9
	Pneumococcal 14	GMC	2	10.0 (4.9, 20.2)	>99.9
	Pneumococcal 18C	GMC	2	2.9 (2.2, 3.9)	>99.9
	Pneumococcal 19A	GMC	2	9.1 (5.4, 15.1)	>99.9
	Pneumococcal 19F	GMC	2	14.0 (9.3, 21.0)	>99.9
	Pneumococcal 23F	GMC	2	8.6 (5.7, 13.2)	>99.9
	Overall				>99.9

Evaluable subjects Subgroup 1a : n=770 subjects; Subgroup 2a: n = 385 subjects

\* All estimates are from MET39, Group 1, 13-month data, except for the measles, mumps, rubella, and varicella estimates from study V59P21 (NCT00626327) and studyV419-007 (NCT01341639)

## III. Second year evaluation at 30 days after the 15-month vaccinations

With 385 evaluable subjects in Subgroup 1b and 192 evaluable subjects in Subgroup 2b, the study will have around 98.6% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1b vs Subgroup 2b.



**Table S5: Power estimates to reject the secondary hypotheses 14, 15, and 16**

Hypothesis #	Antigen	Endpoints	Non-inferiority margin	Estimated response*	Power (%)
14	PRP	$\% \geq 1.0 \mu\text{g/mL}$	10%	95%	99.9
15	Polio type 1	$\% \geq 1:8$	5%	$\geq 99\%$	99.8
	Polio type 2	$\% \geq 1:8$	5%	$\geq 99\%$	99.9
	Polio type 3	$\% \geq 1:8$	5%	$\geq 99\%$	99.9
16	PT	Response Rate	10%	94%	99.8
	FHA	Response Rate	10%	95%	99.9
	PRN	Response Rate	10%	93%	99.5
	FIM	Response Rate	10%	95%	99.9
	Overall				98.6

Evaluable subjects: Subgroup 1b: n=385 subjects; Subgroup 2b: n = 192 subjects

\*All estimates are from MET39, Group 2, 16-month data.

**Table of Study Procedures for Infant Vaccination (aged 2 months to 7 months)**

Visit / Contact	Visit 1	Telephone Call (TC) 1*	Visit 2	TC 2*	Visit 3†	TC 3*	Visit 4
Approximate age of subjects	2 months (42 – 89 days)	--	4 months	--	6 months (164 – 224 days)	--	~7 months
Trial timelines (days)	Day 0	Visit 1 +8 days	Visit 1+ 60 days	Visit 2 +8 days	Visit 2 + 60 days	Visit 3 +8 days	Visit 3 + 30 days
Time windows (days)	-	+ 2 days	+ 14 days	+ 2 days	+ 14 days	+ 2 days	+ 21 days
Informed consent	X						
Inclusion/exclusion criteria	X						
Collection of demographic data	X						
Medical history (including maternal immunization history)	X						
Physical examination ‡	X						
Temperature measurement §	X		X		X		
Contact IRT system for randomization, subject number, and vaccine allocation.	X						
Contact IRT system for vaccine assignments			X		X		
Review of temporary contraindications for blood sampling**	X						X
Blood sampling (BL)	BL1†† (3 mL)						BL2 (6 mL)
Review of warning and precautions to vaccinations	X		X		X		
Review of contraindications to subsequent vaccinations and conditions for withdrawal‡‡			X		X		
Vaccination with MenACYW conjugate vaccine or MENVEO®	X		X		X		
Vaccination with routine pediatric vaccines§§	X		X		X		
Immediate surveillance (30 minutes)	X		X		X		
Diary card (DC) provided	DC1		DC2		DC3		DC4***
Telephone call		X		X		X	
Diary card reviewed and collected			DC1		DC2		DC3
Recording of solicited injection site and systemic AEs	X		X		X		
Recording of unsolicited AEs							
Reporting of serious adverse events (SAEs, including AESIs) and medically-attended AEs (MAEs)†††							
Collection of reportable concomitant medications	X		X		X		X

Note: IRT: interactive response technology

Collected from D0 to D30 after each vaccination

To be reported throughout the trial

\*This call is made 8 days after the respective vaccinations. If day 8 falls on a weekend or holiday, the telephone call may be made on the following business day. During this telephone call, the staff will find out whether the subject experienced any SAE (including AESIs) and/or MAAE not yet reported and will remind the subject's parent/guardian to continue using the diary card, to bring the diary card to the study center at the next visit, and confirm the date and time of the next visit.

†At Visit (V) 03, subjects must be at least 24 weeks of age (164 days), the minimum age for the final dose of HB in the vaccine series (1), and no more than 32 weeks of age (the maximum age for the 3rd dose of rotavirus vaccine administration).

‡Physical examination should be performed as per standard of care.

§Temperature needs to be measured before each vaccination and recorded in the source documents.

\*\*Should a subject receive oral or injectable antibiotic therapy within 3 days prior to any blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be appropriately documented that the sample was taken less than 3 days after stopping antibiotic treatment.

††Blood sample will be drawn prior to vaccinations.

‡‡Physical examination should be performed on the basis of relevant medical history at the time of the visit according to the investigator's clinical judgment. Temperature needs to be measured before each vaccination and recorded in the source documents

§§Routine pediatric vaccines at V01: Pentacel<sup>®</sup>, Prevnar 13<sup>®</sup>, RotaTeq<sup>®</sup>, ENGERIX-B<sup>®</sup>; at V02: Pentacel<sup>®</sup>, Prevnar 13<sup>®</sup>, and RotaTeq<sup>®</sup>; at V03: Pentacel<sup>®</sup>, Prevnar 13<sup>®</sup>, RotaTeq<sup>®</sup>, ENGERIX-B<sup>®</sup>

\*\*\*The diary card is used only for the recording of SAEs and medically-attended AEs from D31 after completion of the first year's vaccinations (V04) until the vaccination visit in the second year of life (V05). The subject's parent /guardian will bring the completed diary card to the study site at V05.

†††AEIs will be collected throughout the trial as SAEs to ensure that the events are communicated to the Sponsor in an expedited manner and followed up until the end of the follow-up period or resolution, as per the assigned causality.

**Table of Study Procedures for Subgroup 1a**

Phase III trial, 6 Visits, 4 Vaccination Visits, 6 Telephone Calls, 4 Blood Samples, 16 to 19 Months Duration per Subject

Visit / Contact	Visit 1	Telephone Call (TC) 1*	Visit 2	TC 2*	Visit 3	TC 3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6	TC6§ Follow-up Contact
Approximate age of subjects	2 months (42 – 89 days)	--	4 months	--	6 months (164 – 224 days)	--	~7 months		12 - 15 months	--	13 - 16 months	18 - 21 months
Trial timelines (days)	Day 0	Visit 1 + 8 days	Visit 1+ 60 days	Visit 2 +8 days	Visit 2 + 60 days	Visit 3 +8 days	Visit 3 + 30 days	Visit 5 14 days		Visit 5 +8 days	Visit 5 + 30 days	Visit 5 + 180 days
Time windows (days)	-	+ 2 days	+ 14 days	+ 2 days	+ 14 days	+ 2 days	+21 days			+ 2 days	+21 days	+ 30 days
Informed consent	X											
Inclusion/exclusion criteria	X											
Collection of demographic data	X											
Medical history (including maternal immunization history)	X											
Physical examination**	X								X			
Temperature measurement ††	X		X		X				X			
Contact IRT system for randomization, subject number, and vaccine allocation.	X											
Contact IRT system for vaccine assignments			X		X				X			
Review of temporary contraindications for blood sampling†††	X						X		X		X	
Blood sampling (BL) §§	BL1 *** (3 mL)						BL2 (6 mL)		BL3 *** (5 mL)		BL4 *** (5 mL)	
Review of warning and precautions to vaccinations	X		X		X				X			
Review of contraindications to subsequent vaccinations and conditions for withdrawal †††			X		X				X			
Vaccination with MenACYW conjugate vaccine or MENVEO®	X		X		X				X			
Vaccination with routine pediatric vaccines††††	X		X		X				X			
Immediate surveillance (30 minutes)	X		X		X				X			

Visit / Contact	Visit 1	Telephone Call (TC) 1*	Visit 2	TC 2*	Visit 3	TC 3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6	TC6§ Follow-up Contact
Diary card (DC) provided	DC1		DC2		DC3		DC4		DC5			
Telephone call		X		X				X		X		X
Diary card reviewed and collected			DC1		DC2		DC3		DC4		DC5	
Recording of solicited injection site and systemic AEs	X		X		X				X			
Recording of unsolicited AEs	Collected from D0 to D30 after each vaccination						Collected from D0 to D30 after each vaccination					
Reporting of serious adverse events (SAEs, including AESIs) and medically-attended AEs (MAAEs) §§§	To be reported throughout the Study						To be reported throughout the study					
Collection of reportable concomitant medications	X		X		X		X		X		X	
Memory aid (MA) provided††††											MA	
Trial termination record (termination of active portion of the trial)											X	

IRT: interactive response technology

\*This call is made 8 days after the respective vaccinations. If day 8 falls on a weekend or holiday, the telephone call may be made on the following business day. During this telephone call, the staff will find out whether the subject experienced any SAE (including AESI) and/or MAAE not yet reported and will remind the subject's parent/guardian to continue using the diary card, to bring the diary card to the study center at the next visit, and confirm the date and time of the next visit

†Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.

‡At V05, subjects must be between 12 and 15 months of age (from the day subjects become 12 months old until the day before becoming 16 months old).

§Staff will contact the subject's parent/ guardian by telephone at 6 months (+ 30 days) after the last vaccination visit to identify the occurrence of any SAEs (including any AESIs) and MAAEs not yet reported. The final telephone call will continue until contact is made or 28 days have passed at which time the subject will be considered lost to follow-up.

\*\*Physical examination should be done as per standard of care.

††Temperature needs to be measured before each vaccination and recorded in the source documents.

‡‡Should a subject receive oral or injectable antibiotic therapy within 3 days prior to any blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be documented appropriately that the sample was taken less than 3 days after stopping antibiotic treatment.

§§During the 2nd year of life study visits, if blood is collected as part of the study, additional blood can be optionally collected via the same venipuncture for screening of iron deficient anemia and/or lead level as per AAP/CDC recommendations. This collection must be done in serum tubes not provided as part of the study and as per local standard of care.

\*\*\*Blood sample will be drawn prior to vaccinations.

†††Physical examination should be performed on the basis of relevant medical history at the time of the visit according to the investigator’s clinical judgment. Temperature needs to be measured before each vaccination and recorded in the source documents.

††† Routine pediatric vaccines at V05: Prevnar 13® , M-M-R® II, and VARIVAX® . At V06, routine vaccines not part of the study could be administered as per standard of care after completion of all study procedures.

§§§ AEsIs will be collected throughout the trial as SAEs to ensure that the events are communicated to the Sponsor in an expedited manner and followed up until the end of the follow-up period or resolution, as per the assigned causality.

††††The MA is used only for the recording of SAEs (including AEsIs) and MAAEs from Visit 6 to the 6 month follow-up phone call at TC6.

**Table of Study Procedures for Subgroup 1b**  
**Phase III trial, 7 Visits, 5 Vaccination Visits, 7 Telephone Calls, 4 Blood Samples, 19 to 22 Months Duration per Subject**

Visit / Contact	Visit 1	Telephone Contact (TC) 1*	Visit 2	TC2*	Visit3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7**
Approximate age of subjects	2 months (42 - 89 days)	--	4 months	--	6 months (164 - 224 days)	--	~7 months		12 - 15 months	--	15-18 months		16-19 months	21 - 24 months
Trial timelines (days)	Day 0	Visit 1 + 8 days	Visit 1 + 60 days	Visit 2+ 8 days	Visit 2 + 60 days	Visit 3 + 8 days	Visit 3 + 30 days	Visit 5 - 14 days		Visit 5+ 8 days		Visit 6 + 8 days	Visit 6 + 30 days	Visit 6 + 180 days
Time windows (days)	--	+2 days	+14 days	+ 2 days	+14 days	+ 2 days	+21 days			+ 2 days		+ 2 days	+21 days	+ 30 days
Informed consent	X													
Inclusion / exclusion criteria	X													
Collection of demographic data	X													
Medical history (including maternal immunization history)	X													
Physical examination ††	X								X					
Temperature measurement ‡‡	X		X		X				X		X			
Contact IRT system for randomization, subject number, and vaccine allocation.	X													
Contact IRT system for vaccine assignments			X		X				X		X			

Visit / Contact	Visit 1	Telephone Contact (TC) 1*	Visit 2	TC2*	Visit3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7** Follow-up Contact
Review of temporary contraindications for blood sampling§§	X						X				X		X	
Blood Sampling (BL)†††	BL1 *** (3 mL)						BL2 (6 mL)				BL3*** (5 mL)		BL4 (5 mL)	
Review of warning and precautions to vaccinations	X		X		X				X		X			
Review of contraindications to subsequent vaccinations and conditions for withdrawal ††††			X		X				X		X			
Vaccination with MenACYW conjugate vaccine or MENVEO®	X		X		X						X			
Vaccination with routine pediatric vaccines§§§	X		X		X				X		X			
Immediate surveillance (30 minutes)	X		X		X				X		X			
Diary Card (DC) provided	DC1		DC2		DC3		DC4		DC5		DC6			
Telephone call		X		X		X		X		X		X		X
Diary card reviewed and collected			DC1		DC2		DC3		DC4		DC5		DC6	
Recording of solicited injection site and systemic AEs	X		X		X				X		X			
Recording of unsolicited AEs														

Collected from D0 to D30 after each vaccination

Collected from D0 to D30 after each vaccination



Visit / Contact	Visit 1	Telephone Contact (TC) 1*	Visit 2	TC2*	Visit3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7** Follow-up Contact
Reporting of serious adverse events (SAEs, including AEsIs) and medically-attended AEs (MAAEs) *****														
Collection of reportable concomitant medications	X		X		X		X		X		X		X	
Memory Aid (MA) provided††††													MA	
Trial termination record (termination of active portion of the trial)													X	

IRT: interactive response technology

\*This call is made 8 days after the respective vaccinations. If day 8 falls on a weekend or holiday, the telephone call may be made on the following business day. During this telephone call, the staff will find out whether the subject experienced any SAE (including AEIs) and/or MAAE not yet reported and will remind the subject's parent/guardian to continue using the diary card, to bring the diary card to the study center at the next visit, and confirm the date and time of the next visit

†Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.

‡At V05, subjects must be between 12 and 15 months of age (from the day subjects become 12 months old until the day before becoming 16 months old).

§At V06, Subjects must be between 15 and 18 months of age (from the day subjects become 15 months old until the day before becoming 19 month old).

\*\*Staff will contact the subject's parent/ guardian by telephone at 6 months (+ 30 days) after the last vaccination visit to identify the occurrence of any SAEs (including any AEIs) and medically-attended AEs not yet reported. The final telephone call will continue until contact is made or 28 days have passed at which time the subject will be considered lost to follow-up

††Physical examination should be done as per standard of care.

‡‡Temperature needs to be measured before each vaccination and recorded in the source documents.

§§Should a subject receive oral or injectable antibiotic therapy within 3 days prior to any blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be documented appropriately that the sample was taken less than 3 days after stopping antibiotic treatment

\*\*\*Blood sample will be drawn prior to vaccinations.

†††During the 2nd year of life study visits, if blood is collected as part of the study, additional blood can be optionally collected via the same venipuncture for screening of iron deficient anemia and/or lead level as per AAP/CDC recommendations. This collection must be done in serum tubes not provided as part of the study and as per local standard of care.

†††Physical examination should be performed on the basis of relevant medical history at the time of the visit according to the investigator's clinical judgment. Temperature needs to be measured before each vaccination and recorded in the source documents.

§§§Routine pediatric vaccines at V05: Prevnar 13®, M-M-R® II, and VARIVAX®, at V06: Pentace1®, HAVRIX®.

\*\*\*AESIs will be collected throughout the trial as SAEs to ensure that the events are communicated to the Sponsor in an expedited manner and followed up until the end of the follow-up period or resolution, as per the assigned causality.

†††The memory aid is used only for the recording of SAEs (including AESIs) and MAAEs from Visit 7 to the 6 month follow up phone call at TC7.

Table of Study Procedures for Subgroup 2a

Phase III trial, 8 Visits, 5 Vaccination Visits, 7 Telephone Calls, 4 Blood Samples, 19 to 22 Months Duration per Subject

Visit / Contact	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6	Visit7§	TC6*	Visit 8	TC7** Follow-up Contact
Approximate age of subjects	2 months (42 - 89 days)	--	4 months	--	6 months (164 – 224 days)	--	~7 months		12 months	--	13 months	15-18 months	--	16-19 months	21 - 24 months
Trial timelines (days)	Day 0	Visit 1 + 8 days	Visit 1+ 60 days	Visit 2+ 8 days	Visit 2 + 60 days	Visit 3 + 8 days	Visit 3 + 30 days	Visit 5 – 14 days		Visit 5+ 8 days	Visit 5+ 30 days		Visit 7 +8 days	Visit 7 + 30 days	Visit 7 + 180 days
Time windows (days)	--	+2 days	+14 days	+ 2 days	+14 days	+ 2 days	+21 days			+ 2 days	+21 days		+2 days	+21 days	+ 30 days
Informed consent	X														
Inclusion / exclusion criteria	X														
Collection of demographic data	X														
Medical history (including maternal immunization history)	X														
Physical examination††	X								X						
Measurement of temperature‡‡	X		X		X				X			X			
Contact IRT system for randomization, subject number, and vaccine allocation.	X														

Visit / Contact	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6	Visit7§	TC6*	Visit 8	TC7** Follow-up Contact
Contact IRT system for vaccine assignments			X		X				X			X			
Review of temporary contraindications for blood sampling§§	X						X		X		X				
Blood sampling*** (BL)	BL1 ††† (3 mL)						BL2 (6 mL)		BL3 ††† (5 mL)		BL4 (5 mL)				
Review of warning and precautions to vaccinations	X		X		X				X			X			
Review of contraindications to subsequent vaccinations and conditions for withdrawal †††			X		X				X			X			
Vaccination with MenACYW conjugate vaccine or MENVEO®	X		X		X				X						
Vaccination with routine pediatric vaccines§§§	X		X		X				X			X			
Immediate surveillance (30 min)	X		X		X				X			X			
Diary card (DC) provided	DC1		DC2		DC3		DC4		DC5		DC6	DC7			
Telephone call		X		X		X		X		X			X		X

Visit / Contact	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6	Visit7§	TC6*	Visit 8	TC7** Follow-up Contact
Diary card reviewed and collected			DC1		DC2		DC3		DC4		DC5	DC6		DC7	
Recording of solicited injection site and systemic AEs	X		X		X				X			X			
Recording of unsolicited AEs	Collected from D0 to D30 after each vaccination							Collected from D0 to D30 after each vaccination							
Reporting of serious adverse events (SAEs, including AESIs) and medically-attended AEs (MAAEs)****	To be reported throughout the study							To be reported throughout the study							
Collection of reportable concomitant medications	X		X		X		X		X		X	X		X	
Memory aid (MA) provided††††														MA	
Trial termination record (termination of active portion of the trial)														X	

IRT: interactive response technology

\*This call is made 8 days after the respective vaccinations. If day 8 falls on a weekend or holiday, the telephone call may be made on the following business day. During this telephone call, the staff will find out whether the subject experienced any SAE (including AESI) and/or MAAE not yet reported and will remind the subject's parent/guardian to continue using the diary card, to bring the diary card to the study center at the next visit, and confirm the date and time of the next visit

†Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.

‡At V05, subjects must be 12 months of age (from the day subjects become 12 months old until the day before becoming 13 months old).

§At V07, Subjects must be between 15 and 18 months of age (from the day subjects become 15 months until the day before becoming 19 months of age).

- \*\*Staff will contact the subject’s parent/ guardian by telephone at 6 months (+ 30 days) after the last vaccination visit to identify the occurrence of any SAEs (including any AEsIs) and medically-attended AEs not yet reported. The final telephone call will continue until contact is made or 28 days have passed at which time the subject will be considered lost to follow-up.

††Physical examination should be done as per standard of care.

†††Temperature needs to be measured before each vaccination and recorded in the source documents.

§§Should a subject receive oral or injectable antibiotic therapy within 3 days prior to any blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be documented appropriately that the sample was taken less than 3 days after stopping antibiotic treatment.

\*\*\*During the 2nd year of life study visits, if blood is collected as part of the study, additional blood can be optionally collected via the same venipuncture for screening of iron deficient anemia and/or lead level as per AAP/CDC recommendations. This collection must be done in serum tubes not provided as part of the study and as per local standard of care.

†††Blood sample will be drawn prior to vaccinations.

†††Physical examination should be performed on the basis of relevant medical history at the time of the visit according to the investigator’s clinical judgment. Temperature needs to be measured before each vaccination and recorded in the source documents.

§§§ Routine pediatric vaccines at V05: Pevnar 13®, M-M-R® II, and VARIVAX®, at V07: Pentacel®, HAVRIX®

\*\*\*\* AEsIs will be collected throughout the trial as SAEs to ensure that the events are communicated to the Sponsor in an expedited manner and followed up until the end of the follow-up period or resolution, as per the assigned causality.

††††The memory aid is used only for the recording of SAEs (including AEsIs) and MAAEs from V08 to the 6-month follow up phone call at TC7.

**Table of Study Procedures for Subgroup 2b**

Phase III trial, 7 Visits, 5 Vaccination Visits, 7 Telephone Calls, 4 Blood Samples, 19 to 22 Months Duration per Subject

Visit	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7** Follow-up Contact
Approximate age of subjects	2 months (42 - 89 days)	--	4 months	--	6 months (164 – 224 days)	--	~ 7 months		12 months	--	15-18 months	--	16-19 months	21 - 24 months
Trial timelines (days)	Day 0	Visit 1 + 8 days	Visit 1+ 60 days +14 days	Visit 2+ 8 days + 2 days	Visit 2 + 60 days +14 days	Visit 3 +8 days + 2 days	Visit 3 +30 days +21 days	Visit 5 – 14 days		Visit 5 +8 days + 2 days		Visit 6 +8 days +2 days	Visit 6 +30 days +21 days	Visit 6 + 180 days + 30 days
Time windows (days)	--	+2 days												
Informed consent	X													
Inclusion / exclusion criteria	X													
Collection of demographic data	X													
Medical history (including maternal immunization history)	X													
Physical examination††	X								X					
Temperature measurement ‡‡	X		X		X				X		X			
Contact IRT system for randomization, subject number, and vaccine allocation.	X													
Contact IRT system for vaccine assignments			X		X				X		X			
Review of temporary contraindications for blood sampling§§	X						X				X		X	



Visit	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7**
Blood sampling (BL)***	BL1††† (3 ml)						BL2 (6 ml)				BL3††† (5 ml)		BL4 (5 ml)	
Review of warning and precautions to vaccinations	X		X		X				X§§§		X			
Review of contraindications to subsequent vaccinations and conditions for withdrawal††††			X		X				X		X			
Vaccination with MenACYW conjugate vaccine or MENVEO®	X		X		X				X					
Vaccination with routine pediatric vaccines§§§	X		X		X				X		X			
Immediate surveillance (30 minutes)	X		X		X				X		X			
Diary card (DC) provided	DC1		DC2		DC3		DC4		DC5		DC6			
Telephone call		X		X		X		X		X		X		X
Diary card reviewed and collected			DC1		DC2		DC3		DC4		DC5		DC6	
Recording of solicited injection site and systemic AEs	X		X		X				X		X			
Recording of unsolicited AEs														
Collected from D0 to D30 after each vaccination														
Collected from D0 to D30 after each vaccination														
Reporting of serious adverse events (SAEs, including AESIs) and medically-attended AEs (MAAEs)****	To be reported throughout the study													
	To be reported throughout the study													

Visit	Visit 1	Telephone contact (TC)1*	Visit 2	TC2*	Visit 3	TC3*	Visit 4	TC4†	Visit 5‡	TC5*	Visit 6§	TC6*	Visit 7	TC7** Follow-up Contact
Collection of reportable concomitant medications	X		X		X		X		X		X		X	
Memory aid (MA) provided††††													MA	
Trial termination record (termination of active portion of the trial)													X	

IRT: interactive response technology

\*This call is made 8 days after the respective vaccinations. If day 8 falls on a weekend or holiday, the telephone call may be made on the following business day. During this telephone call, the staff will find out whether the subject experienced any SAE (including AESI) and/or MAAE not yet reported and will remind the subject's parent/guardian to continue using the diary card, to bring the diary card to the study center at the next visit, and confirm the date and time of the next visit

†Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.

‡At V05 subjects must be 12 and of age (from the day subjects become 12 months of age until the day before becoming 13 months old).

§At V06 subjects must be between 15 and 18 months of age (from the day subjects become 15 months old until the day before becoming 19 months old).

\*\*Staff will contact the subject's parent/ guardian by telephone at 6 months (+ 30 days) after the last vaccination visit to identify the occurrence of any SAEs (including any AESIs) and medically-attended AEs not yet reported. The final telephone call will continue until contact is made or 28 days have passed at which time the subject will be considered lost to follow-up.

††Physical examination should be done as per standard of care.

‡‡Temperature needs to be measured before each vaccination and recorded in the source documents.

§§Should a subject receive oral or injectable antibiotic therapy within 3 days prior to the second blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be documented appropriately that the sample was taken less than 3 days after stopping antibiotic treatment

\*\*\*During the 2nd year of life study visits, if blood is collected as part of the study, additional blood can be optionally collected via the same venipuncture for screening of iron deficient anemia and/or lead level as per AAP/CDC recommendations. This collection must be done in serum tubes not provided as part of the study and as per local standard of care.

†††Blood sample will be drawn prior to vaccinations.

‡‡‡Physical examination should be performed on the basis of relevant medical history at the time of the visit according to the investigator's clinical judgment. Temperature needs to be measured before each vaccination and recorded in the source documents

§§§Routine pediatric vaccines at V05: Prevnar 13®, M-MR® II, and VARIVAX®, at V06: Pentacel®, HAVRIX®

\*\*\*\*AEIs will be collected throughout the trial as SAEs to ensure that the events are communicated to the Sponsor in an expedited manner and followed up until the end of the follow-up period or resolution, as per the assigned causality.

††††The MA is used only for the recording of SAEs (including AESIs) and MAAEs from V07 to the 6-month follow up phone call at TC7.

## List of Abbreviations

µg	Microgram
AAP	American Academy of Pediatrics
Ab	antibody(ies)
ACIP	Advisory Committee on Immunization Practices
AE	adverse event
AESI	adverse event of special interest
AIDS	Acquired Immune Deficiency Syndrome
AR	adverse reaction
CBER	Center for Biologics and Research
CDM	Clinical Data Management
CI	confidence interval
CO <sub>2</sub>	carbon dioxide
CQA	Clinical Quality Assessment
CRA	Clinical Research Associate
CRB	electronic case report book (a collection of all the case report forms for a subject)
CRF	electronic case report form (a single / specific case report form)
CTA	clinical trial agreement
CTL	Clinical Team Leader
D	Day
DC	diary card
Dil	Dilution
DTaP	diphtheria, tetanus, pertussis (acellular, component) vaccine
DU	D-antigen units
ECL	electrochemiluminescent
EDC	electronic data capture
ELISA	enzyme-linked immunosorbent assay
EL. U.	ELISA Units
FAS	full analysis set
FDA	Food and Drug Administration
FHA	filamentous hemagglutinin
FIM	fimbriae types 2 and 3
FVFS	first visit, first subject
FVLS	first visit, last subject
G	Group

GCI	Global Clinical Immunology
GCP	Good Clinical Practice
GMC	geometric mean concentrations
GMT	geometric mean titers
GPV	Global PharmacoVigilance
HB	hepatitis B
HepA	hepatitis A
Hib	<i>Haemophilus influenzae</i> type b
HIV	human immunodeficiency virus
HRP	horse-radish peroxidase
hSBA	serum bactericidal assay using human complement
IATA	International Air Transport Association
ICF	informed consent form
ICH	International Conference on Harmonisation
IEC	Independent Ethics Committee
Ig	Immunoglobulin
IM	Intramuscular
IMD	invasive meningococcal disease
IME	important medical event
IMP	investigational medicinal product
IND	investigational new drug (application)
IOM	Institute of Medicine
IPV	poliovirus [inactivated] vaccine
IRB	Institutional Review Board
IRT	interactive response technology
ITP	Idiopathic thrombocytopenic purpura
IU	international unit
LCLS	last contact, last subject
Lf	Limit of Flocculation
LLOQ	lower limit of quantitation
LLT	lowest level term
MA	memory aid
MAAEs	medically-attended adverse events
MedDRA	Medical Dictionary for Regulatory Activities
MCV4	quadrivalent meningococcal conjugate vaccine
mL	Milliliter
MMR	measles, mumps, and rubella
ng	Nanogram

NIMP	non-investigational medicinal product
NSAID	non-steroidal anti-inflammatory drug
PCV13	pneumococcal 13-valent conjugate vaccine
PFU	plaque-forming unit
pg	Pictogram
PO	Oral
PPAS	per-protocol analysis set
PRN	Pertactin
PRP	polyribosyl-ribitol phosphate
PS	Polysaccharide
PT	pertussis toxoid / toxin
RCDC	reverse cumulative distribution curves
RIA	radioimmunoassay
RMO	Responsible Medical Officer
rSBA	serum bactericidal assay using baby rabbit complement
RV5	pentavalent rotavirus vaccine
SAE	serious adverse event
SafAS	safety analysis set
SAP	statistical analysis plan
SC	subcutaneous(ly)
SCID	severe combined immunodeficiency
SMT	Safety Management Team
SOC	system organ class
TBD	to be determined
TCID	tissue culture infective dose
TMF	trial master file
U	units assigned by the reference standard
UAR	unexpected adverse reaction
ULOQ	upper limit of quantitation
V	Visit
vs	Versus
WHO	World Health Organization

# 1 Introduction

## 1.1 Background

This study (MET42) will evaluate the safety and immunogenicity of a 4-dose series of the quadrivalent Meningococcal Polysaccharide (Serogroups A, C, Y, and W) Tetanus Toxoid Conjugate Vaccine (hereafter referred to as MenACYW conjugate vaccine) in healthy infants and toddlers in comparison to MENVEO® when both are administered concomitantly with routine pediatric vaccines as per Advisory Committee on Immunization Practice (ACIP) vaccine recommendations.

Invasive meningococcal disease (IMD) is a serious illness caused by the bacterium *Neisseria meningitidis* (*N. Meningitidis*), a Gram-negative diplococcus found exclusively in humans. Symptoms may include headache, fever, nausea, vomiting, photophobia, stiff neck, lethargy, myalgia, and a characteristic petechial rash (2). At least 12 different meningococcal serogroups have been classified based on the immunochemistry of the capsular polysaccharides (PS). Some strains are more likely than others to cause infection (2) (3) (4). Worldwide, most cases of meningococcal disease are caused by serogroups A, B, C, X, Y, and W (3) (4) (5). Serogroup B is responsible for endemic disease and some outbreaks, while serogroup C is responsible for large outbreaks (6). Serogroup A remains the main cause of epidemics in the world and is especially dominant in Africa and Asia. Serogroup W has been observed in Africa, as well as the United Kingdom, in residents who participated in the Hajj pilgrimage to the Kingdom of Saudi Arabia (5) (7) (8) and more recently in Chile (9), Turkey (10) (11), China (12) (13), Argentina (14), Brazil (15) (16), and other parts of the world. Serogroup X causes substantial meningococcal disease in parts of Africa, but rarely causes disease in other parts of the world (3) (17). Serogroup Y has not been associated with outbreaks, but the frequency with which it causes sporadic cases has gradually increased in the US and more recently in Canada and Europe (18) (19) (20). The Y serogroup is commonly associated with meningococcal pneumonia, particularly in older adults ≥ 65 years of age (21). Outbreaks of serogroup B meningococcal disease have also been reported on college campuses in the US during the last 5-year period: a prolonged outbreak of serogroup B on a university campus in Ohio from 2008 – 2010 and 2 universities in New Jersey and California in 2013 (22) (23).

The epidemiology of *N. meningitidis* can be described as complex, unpredictable, geographically variable, and changing over time. Meningococcal disease occurs worldwide in both endemic and epidemic forms with seasonal variation. In Europe, the incidence rate of IMD has remained stable over the last 5 to 10 years, with the highest peak occurring in the population less than 4 years of age and a smaller peak in the 15 to 19-year-old age-group. The highest incidence rate in Europe is caused by serogroup B, followed by serogroup C (24). Serogroup B causes the highest proportion of meningococcal cases in the population under 5 years of age. The highest proportion of serogroup C cases was observed in the population 25 to 44 years of age, while the proportion of serogroup Y cases was highest in the population aged 65 years and above.

Surveillance data from England and Wales showed an increase in endemic meningococcal serogroup W disease across all age groups, accounting for 15% of all IMD cases in 2013 - 2014 compared with an average of 1% to 2% of all IMD cases in earlier years (25). A gradual increase in serogroup Y IMD has also been recently reported in Sweden during 2005 – 2012 (26) (27). Nearly 50% of all IMD was caused by serogroup Y in 2012 (26). Similarly, an increase in the proportion of IMD caused by serogroup Y has been observed in other Nordic countries, accounting for 31% in Norway in 2009 – 2010 (28) and 38% in Finland in 2010 (29).

In the US, the incidence rate of IMD was 0.14 per 100,000 in all ages; 0.83 per 100,000 in infants less than 1 year of age; 0.62 per 100,000 in toddlers age 1 year ; 0.27 per 100,000 in children aged 2 to 4 years; and 0.02 per 100,000 in children aged 5 to 17 years in 2013. The age specific incidence rate per 100,000 was 0.08 in adults aged 50 to 64 years , 0.03 in adults 65 to 74 years of age, 0.14 in adults 75 to 84 years of age, and 0.43 in adults 85 years of age and older in 2013 (30). Serogroups B, C, and Y are the major causes of meningococcal disease in the United States, each being responsible for approximately one-third of the overall cases. The proportion of cases caused by each serogroup varies by age group. Serogroups C, W, or Y, which are included in vaccines available in the United States, cause 73% of all cases of meningococcal disease among persons 11 years of age or older (31) Approximately 60% of disease among children aged 0 through 59 months is caused by serogroup B, for which no conjugate vaccine is licensed or available in the United States. More than 50% of meningococcal disease in children 0 to 6 months of age is caused by serogroup B; serogroup Y is also more prevalent in this age group (32).

The goal for MenACYW conjugate vaccine is to provide broad protection against IMD caused by serogroups A, C, Y, and W in all age groups including children as young as 6 weeks of age, adolescents, and adults, including those 56 years of age and older.

## 1.2 Background of the Investigational Product

### 1.2.1 Clinical

The MenACYW conjugate vaccine formulation was finalized based on data provided by 2 studies: MET28, a Phase I study in infants, toddlers, and adults 18 to < 40 years of age; and MET32, a Phase I/II study in toddlers.

The formulation has been evaluated in around 7115 subjects (infants, toddlers, adolescents, and adults > 56 years of age) in 10 completed studies: 4 Phase II studies, MET39, MET44, MET50, conducted in the USA, and MET54 conducted in Finland, and 6 Phase III studies, MET35, MET43, MET49 and MET56, conducted in the USA, MET51 conducted in EU region (Spain, Germany, Hungary and Finland), and MET57 conducted in Thailand, South Korea, Russia, and Mexico. The vaccine is currently approved under the brand name MenQuadfi® for use as single dose in ages 12 months and older in the European Union (under centralized procedure<sup>a</sup>), Iceland,

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<sup>a</sup> European Union countries include Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, and Sweden.



Liechtenstein, Norway, Australia, Canada, UK, Brazil, and Argentina. The vaccine is also approved for use as single dose in ages 2 years and older in the USA.

MenACYW conjugate vaccine was found to be well tolerated and no unanticipated or new significant safety concerns have been identified in the clinical trials completed to date. The relevant Phase II studies are discussed below.

#### 1.2.1.1 Study MET39 (Phase II)

MET39 was a Phase II, randomized, open-label, multi-center study conducted in the US for which 580 healthy subjects from 2 to 15 months of age were enrolled. This study evaluated the optimal vaccination schedule in the infant/toddler population. Subjects in Group 1 through Group 4 received 1, 2, or 3 primary doses plus an additional dose of the MenACYW conjugate vaccine in the second year of life, concomitantly with routine pediatric vaccines at several different vaccination schedules. Subjects in Group 5 received 1 dose of the MenACYW conjugate vaccine concomitantly with routine pediatric vaccines. The routine pediatric vaccines given concomitantly with MenACYW conjugate vaccine at various schedules included Prevnar<sup>®</sup> (pneumococcal conjugate vaccine) or Prevnar 13<sup>®</sup> (pneumococcal 13-valent conjugate vaccine [PCV13]), Pentacel<sup>®</sup> (diphtheria, tetanus, pertussis [acellular, component]-poliovirus [inactivated])//*Haemophilus influenzae* type b [dTaP-IPV//Hib] ), ROTARIX<sup>®</sup> (monovalent rotavirus vaccine [RV1]) or RotaTeq<sup>®</sup> (pentavalent rotavirus vaccine [RV5]), hepatitis B [HB] vaccine, M-M-R<sup>®</sup> II vaccine (measles, mumps, and rubella vaccine [MMR]), and VARIVAX<sup>®</sup> (varicella vaccine).

#### *Immunogenicity*

After the primary series consisting of 1, 2, or 3 doses of MenACYW conjugate vaccine, protective serum bactericidal assay using human complement (hSBA) threshold titers of  $\geq 1:8$  were attained by  $> 88\%$  of subjects for serogroup C and by 62% to 74% for serogroup A. For serogroups Y and W,  $\geq 90\%$  achieved the threshold titer after 3 doses, 75% to 84% after 2 doses, but only 25% after a single dose administered at 6 months of age.

After an additional dose of MenACYW conjugate vaccine in the second year of life (12 or 15 months of age), between 91% and 100% of the subjects achieved the protective threshold regardless of the number of doses they received in the first year of life.

#### *Safety*

MenACYW conjugate vaccine was well tolerated in infants and toddlers regardless of the immunization schedule and the number of doses administered. Safety results were comparable to those seen in control group subjects regardless of the immunization schedule and the number of doses administered. The safety profile of the licensed vaccines given concomitantly with MenACYW conjugate vaccine was similar to that of the licensed vaccines given concomitantly without MenACYW conjugate vaccine.

No deaths occurred within 30 days. There were 2 subjects in Group 4 who died during the study, 1 as a result of hypoxic ischemic encephalopathy which started 96 days after the 6-month vaccination and 1 as a result of non-accidental head trauma 36 days after the 12-month vaccination. These events were considered by the Investigator as unrelated to study vaccine. There were 2 other subjects who discontinued the study due to a serious adverse event (SAE) and

the receipt of intravenous immunoglobulin treatment: 1 subject in Group 2 with Kawasaki disease, 106 days after the 6-month vaccination; and 1 subject in Group 3 with middle lobe pneumonia and Kawasaki disease, 50 and 52 days, respectively, after the 4-month vaccinations. One other subject in Group 4 was discontinued due to a non-serious adverse event (AE) (viral rash 1 day after the 6-month vaccinations). None of these AEs leading to discontinuation were considered by the Investigator as related to the vaccine. There were no vaccine-related SAEs during this study.

### 1.2.1.2 Study MET54 (Phase II)

MET54 was a Phase II, randomized, open-label, active-controlled, multi-center study conducted in Europe (Finland). This study evaluated the immunogenicity and safety profile of a single dose of MenACYW conjugate vaccine when given alone in healthy, meningococcal-vaccine naïve toddlers compared to that of the licensed vaccine Nimenrix<sup>®</sup>. A total of 188 meningococcal vaccine naïve subjects aged 12 to 23 months on the day of enrollment were randomized to 1 of 2 groups. Group 1 received a single dose of MenACYW conjugate vaccine and Group 2 received a single dose of Nimenrix<sup>®</sup>.

#### *Immunogenicity*

Antibody responses to the antigens (serogroups A, C, Y, and W) were evaluated by serum bactericidal assay using baby rabbit complement (rSBA) and human complement (hSBA). MenACYW conjugate vaccine immune responses evaluated by rSBA and hSBA were generally comparable to Nimenrix<sup>®</sup> immune responses with some variation by serogroup.

#### *rSBA*

Most subjects had rSBA titers  $\geq 1:128$  at D30. The percentages after MenACYW conjugate vaccine were similar (100.0% [91/91] for serogroups A, Y, and W) or numerically higher (100.0% [91/91] for serogroup C) compared to Nimenrix<sup>®</sup> (100.0% [86/86] for serogroups A, Y, and W and 94.2% [81/86] for serogroup C). At D30, most subjects in both groups demonstrated an rSBA vaccine seroresponse as defined in the SAP and as defined in the protocol. The percentage of subjects with any rSBA vaccine seroresponse by either definition for serogroup A was numerically lower after MenACYW conjugate vaccine (91.2% [83/91]) than Nimenrix<sup>®</sup> (98.8% [85/86]) and the percentages of subjects with any rSBA vaccine seroresponse by either definition were similar or comparable between the 2 groups for serogroups C, Y, and W (all > 96%).

#### *hSBA*

Most subjects in both groups had hSBA titers  $\geq 1:8$  at D30: the percentages after MenACYW conjugate vaccine for serogroups A, Y, and W (ranging from 97.8% [89/91] to 98.9% [90/91]) were comparable to those after Nimenrix<sup>®</sup> (ranging from 91.9% [79/86] to 100.0% [86/86]). The percentage of subjects with hSBA titers  $\geq 1:8$  for serogroup C was higher after MenACYW conjugate vaccine (100.0% [91/91]) than after Nimenrix<sup>®</sup> (89.5% [77/86]). At D30, most subjects in both groups demonstrated an hSBA vaccine seroresponse. The percentage of subjects with an hSBA vaccine seroresponse for serogroups A, Y, and W was comparable in both groups (ranging from 96.7% [87/90] to 98.9% [90/91] after MenACYW conjugate vaccine and from 91.9% [79/86] to 98.8% [85/86] after Nimenrix<sup>®</sup>). The percentage of subjects with an hSBA

vaccine seroresponse for serogroup C was higher after MenACYW conjugate vaccine (100.0% [91/91]) than after Nimenrix® (86.0% [74/86]).

### ***Safety***

Overall, vaccination with MenACYW conjugate vaccine among toddlers aged 12 to 23 months was found to be safe with no safety concerns identified. The MenACYW conjugate vaccine was well tolerated with no immediate AEs or adverse reactions (ARs), no discontinuations due to an SAE or other AE, and no related SAEs.

The safety profile of MenACYW conjugate vaccine was comparable to that of the licensed vaccine Nimenrix®.

No new clinically important safety findings were identified with administration of the MenACYW conjugate vaccine. The MenACYW conjugate vaccine was well tolerated and immunogenic. Single dose of the MenACYW conjugate vaccine demonstrated excellent potential to be an alternative vaccine option for toddlers, receiving meningococcal vaccination for the first time.

## **1.3 Potential Benefits and Risks**

### **1.3.1 Potential Benefits to Subjects**

MenACYW conjugate vaccine is an investigational vaccine that is undergoing active clinical investigation. There may be no direct benefit from receiving the MenACYW conjugate vaccine. However, based on the data generated from previous studies, the immunogenicity profile of the MenACYW conjugate vaccine in different age groups shows that the majority of subjects developed seroprotective levels of antibodies after vaccination. The safety evaluation indicates that the vaccine is well-tolerated, and no safety issues have been detected to date. In all, the data support further evaluation of the MenACYW conjugate vaccine in humans.

Subjects who receive MENVEO® will likely be protected against meningococcal disease caused by *N. meningitidis* serogroups A, C, Y and W.

As with any vaccine, MenACYW conjugate vaccine and MENVEO® may not protect 100% of individuals against the disease it is designed to prevent.

### **1.3.2 Potential Risks to Subjects**

Like other vaccines, MenACYW conjugate vaccine or MENVEO® may cause injection site reactions such as pain, swelling, and erythema, or certain systemic events such as fever, irritability, drowsiness, loss of appetite, abnormal crying, and vomiting when administered to infants/toddlers. There may be a rare possibility of an allergic reaction, which could be severe. There may also be a risk of febrile convulsion in some children who experience high fever. There may be other risks for MenACYW conjugate vaccine or MENVEO® that are not yet known.

In a previous study with MenACYW conjugate vaccine (MET32), 1 SAE of reactive arthritis reported in a toddler was considered by the Investigator to be related to the investigational vaccine. The subject developed right knee inflammation the day after receiving MenACYW

conjugate vaccine, given by IM injection in the right deltoid. The subject recovered after treatment with ibuprofen and antibiotics. Results of the reactive arthritis investigations performed as part of the workup were not indicative of any specific diagnosis. A point of further consideration was the monoarticular nature of the inflammation in this subject; reactive arthritis would typically be present clinically in a polyarticular fashion. Importantly, no similar cases have been reported following the administration of MenACYW conjugate vaccine in any other completed studies.

Guillain-Barré syndrome has been reported mostly in persons aged 11 to 19 years who had symptom onset within 6 weeks of administration of a US licensed meningococcal conjugate vaccine (33). A retrospective cohort study carried out in the US using healthcare claims data found no evidence of increased risk associated with the use of that vaccine. The study was able to exclude all but relatively small incremental risks (34).

A review by the Institute of Medicine (IOM) found inadequate evidence to accept or reject a causal relationship between tetanus toxoid containing vaccines and Guillain-Barré syndrome (35). The IOM found evidence for a causal relation between tetanus toxoid-containing vaccines and brachial neuritis (36). Arthus reactions are rarely reported after vaccination and can occur after tetanus-toxoid containing vaccines (37).

No occurrences of Guillain-Barré syndrome, brachial neuritis, or Arthus reaction have been reported with the use of MenACYW conjugate vaccine in the completed clinical trials.

The potential risk listed here are not exhaustive. Refer to the Investigator's Brochure of the investigational vaccine and to the package insert for MENVEO® (38) and concomitant vaccines for additional information regarding potential risks.

## 1.4 Rationale for the Study

The MenACYW conjugate vaccine is designed for the immunization of individuals of all ages (infants 6 weeks of age and older through and including older adults > 56 years of age) against IMD. The purpose of the vaccine is to provide broad coverage against circulating meningococcal strains from serogroups A, C, Y, and W. Compared to a previous Sanofi Pasteur meningococcal conjugate vaccine, Menactra®, the MenACYW conjugate vaccine is prepared using tetanus toxoid as the carrier protein. Conjugation of PS antigens to a protein carrier can induce T cell- dependent immune responses, which are anticipated to give rise to higher antibody titers, longer duration of the immune response, and enhanced immunologic memory that allows for a booster response. The program targets licensure of the MenACYW conjugate vaccine in many countries in North America, Europe, Latin America, Africa, the Middle East, and Asia Pacific.

The MenACYW conjugate vaccine is designed to cover broader age groups than those covered by Menomune® -A/C/Y/W-135 and Menactra®. Menactra® has been very successful since its licensure in 2005; however, it is not licensed in Europe and is not indicated in persons 8 months of age or younger or 56 years of age and older. While Menomune® -A/C/Y/W-135 and Menactra® are currently licensed in different parts of the world, the MenACYW conjugate vaccine is being developed by Sanofi Pasteur to ultimately replace Menomune® -A/C/Y/W-135 and Menactra® in the global market as a quadrivalent meningococcal conjugate vaccine (MCV4) indicated in infants/toddlers, children, adolescents, adults, and older adults > 56 years of age. Meningococcal

PS vaccines have 2 important limitations: a) the antibody response is age-dependent, with infants giving the poorest response; and b) PS alone are T-cell independent immunogens, and therefore, no anamnestic response is seen. The immunogenicity of PS vaccines in infants and children has been shown to be improved by conjugating the PS to protein carriers. Among the key advantages expected of the tetanus carrier is improved immunogenicity in infants and older adults. Pre-clinical studies using a mouse model and investigating different carriers, showed significant levels of PS-specific total immunoglobulin (Ig) G and bactericidal responses in response to the formulations with tetanus toxoid as a carrier. Early Phase I/II trials including those with the final formulation (MET39 and MET44) showed the potential of the candidate vaccine as a very good immunogen in all age groups, including young infants and older adults. The MenACYW conjugate vaccine was found to be immunogenic and well tolerated; it did not raise any safety concerns in the above trials using the final formulation or in the earlier trials.

MenACYW conjugate vaccine is being developed for the US infant/toddler population as a 4-dose (2, 4, 6, 12 to 18 months of age) and 2-dose (6 to 9, and 12 months of age) series. Three Phase III studies (including MET42) will generate data to primarily support the licensing of the MenACYW conjugate vaccine in the US with an infant/toddler indication from 6 weeks of age. The purpose of the MET42 study is to evaluate the safety and immunogenicity of the MenACYW conjugate vaccine and the comparator MENVEO<sup>®</sup> when administered concomitantly with routine pediatric vaccines given to healthy infants and toddlers in the US. MET42 study will generate data which will significantly contribute towards the overall safety database of the MenACYW conjugate vaccine in US.

## 2 Study Objectives

### 2.1 Primary Objectives

- 1) To demonstrate the non-inferiority of the hSBA vaccine seroresponse<sup>a</sup> to meningococcal serogroups A, C, Y, and W following the administration of a 4-dose series of MenACYW conjugate vaccine compared to a 4-dose series of MENVEO<sup>®</sup> when given concomitantly with routine pediatric vaccines to infants and toddlers 6 weeks old to 15 months old.
- 2) To demonstrate the non-inferiority of the hSBA antibody response to meningococcal serogroups A, C, Y, and W following the administration of 3 doses in infancy of MenACYW conjugate vaccine compared to 3 doses in infancy of MENVEO<sup>®</sup> when given concomitantly with routine pediatric vaccines to infants at 2, 4, and 6 months of age.

The endpoints for the primary objectives are presented in [Section 9.1](#).

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<sup>a</sup>hSBA vaccine seroresponse for serogroups A, C, Y, and W is defined as:

- For a subject with a pre-vaccination titer < 1:8, the post-vaccination titer must be ≥ 1:16
- For a subject with a pre-vaccination titer ≥ 1:8, the post-vaccination titer must be ≥ 4-fold greater than the pre-vaccination titer



## 2.2 Secondary Objective

- 1) To demonstrate the non-inferiority of immune responses of the routine pediatric vaccines administered concomitantly with MenACYW conjugate vaccine as compared with MENVEO® in infants and toddlers 6 weeks old to 18 months old.
- 2) To assess the antibody responses against meningococcal serogroups A, C, Y, and W after the administration of the 4<sup>th</sup> dose of MenACYW conjugate vaccine or MENVEO® when both are given concomitantly with routine pediatric vaccines at 12 months of age.
- 3) To assess the persistence of bactericidal antibodies at 12 months of age in subjects who previously received 3 doses of MenACYW conjugate vaccine or MENVEO® in infancy concomitantly with routine pediatric vaccines at 2, 4, and 6 months of age.
- 4) To describe the antibody responses against the antigens of the routine pediatric vaccines (Pentacel®, Prevnar 13®, M-M-R® II, VARIVAX®, RotaTeq®, and ENGERIX-B®) when administered concomitantly with either MenACYW conjugate vaccine or MENVEO®.
- 5) To describe the antibody responses against meningococcal serogroups A, C, Y, and W when MenACYW conjugate vaccine versus (vs) MENVEO® is administered concomitantly with routine pediatric vaccines.
- 6) To describe the antibody responses against meningococcal serogroups A, C, Y, and W when MenACYW conjugate vaccine is administered to children 12 to 15 months of age vs when MenACYW conjugate vaccine is administered to children 15 to 18 months of age, concomitantly with routine pediatric vaccines (Subgroup 1a vs Subgroup 1b), including the bactericidal antibodies persistence and the effect of 4th dose of MenACYW conjugate vaccine at 12 to 15 months of age or 15 to 18 months of age.

The endpoints for the secondary objective are presented in [Section 9.2](#).

## 2.3 Observational Objectives

### *Safety*

To describe the safety profile of MenACYW conjugate vaccine and MENVEO® when administered concomitantly with routine pediatric vaccines in healthy infants and toddlers.

The endpoints for the observational objectives are presented in [Section 9.3](#)

## 3 Investigators and Trial Organization

This trial will be conducted in approximately 60 centers in the United States. The Principal Investigators and any sub-investigators at the individual sites will be coordinated by 1 Coordinating Investigator. Details of the trial centers, the Investigators at each center, and the Coordinating Investigator are provided in the “List of Investigators and Centers Involved in the Trial” document.

An internal safety management team (SMT) will review the data being generated from all the ongoing studies with MenACYW conjugate vaccine at regular intervals for any new safety signals or safety concerns.

The Sponsor's Responsible Medical Officer (the RMO, the person authorized to sign this protocol and any amendments on behalf of the Sponsor) is [REDACTED]

## 4 Independent Ethics Committee / Institutional Review Board

Before the investigational product can be shipped to the investigational site and before the inclusion of the first subject, this protocol, the informed consent form (ICF), subject recruitment procedures, and any other written information to be provided to subjects must be approved by, and / or receive favorable opinion from the appropriate Independent Ethics Committee (IEC) or Institutional Review Board (IRB).

In accordance with Good Clinical Practice (GCP) and local regulations, each Investigator and / or the Sponsor are responsible for obtaining this approval and / or favorable opinion before the start of the study. If the protocol is subsequently amended, approval must be re-obtained for each substantial amendment. Copies of these approvals, along with information on the type, version number, and date of document, and the date of approval, must be forwarded by the Investigator to the Sponsor together with the composition of the IEC / IRB (the names and qualifications of the members attending and voting at the meetings).

The Investigator and Sponsor will submit written summaries of the status of the study to the IEC / IRB annually, or more frequently if requested. All SAEs occurring during the study that are related to vaccination will be reported by the Investigator to the IEC / IRB, according to the IEC / IRB policy.

## 5 Investigational Plan

### 5.1 Description of the Overall Study Design and Plan

#### 5.1.1 Study Design

This study is a Phase III, partially modified double-blind, randomized, parallel-group, active-controlled, multi-center study to compare the immunogenicity and safety of MenACYW conjugate vaccine and MENVEO<sup>®</sup> (Meningococcal [Groups A, C, Y, and W-135] Oligosaccharide Diphtheria CRM<sub>197</sub> Conjugate Vaccine) when administered concomitantly with routine pediatric vaccines to healthy infants and toddlers in the US.

Approximately 2628 healthy infants aged  $\geq 42$  to  $\leq 89$  days will be randomized 2:1 to the following 2 groups:

**Group 1 (G1):** MenACYW conjugate vaccine and routine vaccines



**Group 2 (G2): MENVEO® and routine vaccines**

Each group will be further randomized 2:1 in 2 subgroups based on the time of analyses conducted in the 2nd year of life (30 days after the 12-month vaccination or 30 days after the 15-month vaccination, respectively):

**Group 1:**

**Subgroup 1a (G1a)** (12 months): MenACYW conjugate vaccine and routine vaccines at 2, 4, 6, and 12 to 15 months of age

**Subgroup 1b (G1b)** (15 months): MenACYW conjugate vaccine at 2, 4, 6, and 15 to 18 months of age and routine vaccines at 2, 4, 6, 12 to 15 months of age, and 15 to 18 months of age

**Group 2:**

**Subgroup 2a (G2a)** (12 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age

**Subgroup 2b (G2b)** (15 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age

The schedule of vaccination and blood sampling is further detailed in [Table 5.1](#).

All subjects will receive the following routine vaccines as per the ACIP recommendations (see [Table 5.1](#)):

Pentacel® (DTaP-IPV//Hib) at 2, 4, 6, and 15 to 18<sup>a</sup> months of age

Prevnar 13® (pneumococcal 13-valent conjugate vaccine [PCV13]) at 2, 4, 6, and 12 to 15 months of age

RotaTeq® (pentavalent rotavirus vaccine [RV5]) at 2, 4, and 6 months of age

ENGRIX-B® (HB vaccine) at 2 and 6 months of age<sup>b</sup>

M-M-R® II (measles, mumps, rubella [MMR] vaccine) at 12 to 15 months of age

VARIVAX® (varicella vaccine) at 12 to 15 months of age

In addition, subjects in Subgroup 1b and Group 2 will receive the first dose of hepatitis A (HepA) vaccine (HAVRIX®) at 15 to 18 months of age as part of the study. For Subgroup 1a, there will be no HepA vaccination provided as part of the study. Subjects in Subgroup 1a should be vaccinated, as per standard practice, after the completion of the last study visit.

Blood sample for immunogenicity assessment will be assayed for meningococcal antibodies and for antibodies elicited in response to selected routine pediatric vaccines.

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<sup>a</sup> Subjects in Subgroup 1a will complete the last study visit at 13 to 16 months of age. For subjects in Subgroup 1a, the 4th dose of Pentacel® which is administered at 15 to 18 months of age, will be provided by the Sponsor for completion of the DTaP series with vaccine from the same manufacturer, as per ACIP recommendation. The study personnel / Investigator will be responsible for administering this dose at the recommended age outside of the scope of the study.

<sup>b</sup> First dose of HB vaccine must be given at least 28 days prior to study enrollment.

Safety data will be collected as follows: Immediate unsolicited systemic AEs will be collected within 30 minutes after each vaccination. Solicited-AE information will be collected from D0 to D07 after each vaccination; unsolicited-AE information will be collected from D0 to D30 after each vaccination; SAE (including adverse events of special interest [AESIs]) and medically-attended-adverse-event (MAAE) information will be collected throughout the study from Visit 1 until the end of the 6-month follow-up period after the last vaccination.

### 5.1.2 Justification for Study Design

The MET42 study is part of an ongoing development program that focuses on demonstrating that the safety profile of the MenACYW conjugate vaccine is similar to that of licensed MCV4, and that the immunogenicity of the MenACYW conjugate vaccine is non-inferior to licensed comparators in direct comparison trials. MET42 is a pivotal Phase III immunogenicity and safety study in which the vaccine candidate will be evaluated in infants/toddlers receiving concomitantly administered routine pediatric vaccines in the US. This study is designed to evaluate the safety and the immunogenicity of MenACYW conjugate vaccine following a 4-dose vaccination series in comparison to the licensed comparator MENVEO<sup>®</sup> in this population. Enrolment in the study will start at 6 to 8 weeks of age.

The concomitant administration of routine pediatric vaccines together with 5 different administration schedules of the MenACYW conjugate vaccine has been assessed in infants/toddlers 2 to 15 months of age in the US in study MET39. The subjects received either during or prior to the study, a number of licensed recommended vaccines at 2, 4, and 6 months of age: Pentacel<sup>®</sup>, either Prevnar<sup>®</sup> or Prevnar 13<sup>®</sup>, RotaTeq<sup>®</sup> or ROTARIX<sup>®</sup>, and ENGERIX-B<sup>®</sup> or RECOMBIVAX HB<sup>®</sup>. All subjects received M-M-R<sup>®</sup> II and VARIVAX<sup>®</sup> at 12 months. A total of 457 subjects completed the study. The immunogenicity and safety profiles of selected licensed pediatric vaccines (Pentacel<sup>®</sup>, Prevnar<sup>®</sup> or Prevnar 13<sup>®</sup>, M-M-R<sup>®</sup> II, and VARIVAX<sup>®</sup>) were assessed when administered either concomitantly with or without MenACYW conjugate vaccine. There was no evidence of interference with the pediatric routine vaccines administered concomitantly with MenACYW conjugate vaccine and the vaccine was safe and well tolerated regardless of the number of doses administered during the first year of life.

In the US, meningococcal vaccination is not routinely recommended for children aged  $\leq 10$  years; however, the vaccination is recommended for all individuals at increased risk of disease. ACIP recommends routine administration of MCV4 for all persons aged 11 through 18 years. A single dose of vaccine should be administered at age 11 or 12 years, and a booster dose should be administered at age 16 years. The ACIP also recommends meningococcal vaccination of individuals at increased risk of disease including infants. Since MENVEO<sup>®</sup> is the only MCV4 vaccine licensed for use in infants/toddlers in the US, the selection of MENVEO<sup>®</sup> as a comparator will contribute towards the total database of subjects that is compared to the competitor's product in the final dossier and will be beneficial for the Food and Drug Administration (FDA) review of the file.

Given that the meningococcal vaccines (investigational and control) used in this study have different appearances and preparation methods, and that vaccination schedule in the second year of life differs in the number of vaccines administered and timing of their administration, the study has a partially modified double blind design. The study is conducted as a modified double blind for the infant part of the study, with everyone involved in the study (participants/parents,

investigators, safety outcome assessor, Sponsor) blinded to the meningococcal vaccine received, except the personnel administering the vaccine. An unblinded vaccine administrator will administer the appropriate vaccine but will not be involved in safety data collection. The CRB will be design to ensure that the blind nature of the study will be maintained during the study. However, during the toddler part of the study, individuals blinded during the infant part of the study might be potentially unblinded due to the different timing of and age of the subjects at the vaccination visits and the number of vaccines received during these visits. Conducting the study in this manner will allow the blinded evaluation of safety data to the extent the study design permits.

### 5.1.3 Study Plan

#### *Vaccination*

A schedule of assessments and study vaccinations is provided in the Tables of Study Procedures and in [Table 5.1](#).

#### *Blood Sampling*

All subjects in the study will have 4 blood draws each. [Table 5.1](#) presents the schedule for blood-sampling. The schedule of antigen testing is detailed in [Table 5.2](#).

**Table 5.1: Vaccination and blood sampling schedule**

Age in months	2 months		4 months	6 months	7 months	12 months		13 months	15 months		16 months	
Visit #	Visit 1		Visit 2	Visit 3	Visit 4	Visit 5*		Visit 6: 1a† and 2a	Visit 6: 1b and 2b Visit 7: 2a		Visit 7: 1b and 2b Visit 8: 2a	
Group	Blood Draw‡	Vaccines	Vaccines	Vaccines	Blood Draw	Subgroup	Blood Draw‡	Vaccines	Blood Draw	Blood Draw‡	Vaccines	Blood Draw
1	X	MenACYW Pentacel PCV13 rotavirus hepatitis B§	MenACYW Pentacel PCV13 rotavirus	MenACYW Pentacel PCV13 rotavirus hepatitis B	X	1a	X	MenACYW MMR varicella PCV13	X	No study visit <i>Pentacel</i> **		
						1b		MMR varicella PCV13	No study visit	X	MenACYW Pentacel hepatitis A	X
2	X	MENVEO Pentacel PCV13 rotavirus hepatitis B§	MENVEO Pentacel PCV13 rotavirus	MENVEO Pentacel PCV13 rotavirus hepatitis B	X	2a	X	MENVEO MMR varicella PCV13	X	Pentacel hepatitis A		
						2b		MENVEO MMR varicella PCV13	No study visit	X	Pentacel hepatitis A	X

\*Visit 5 will occur at 12 months of age for Subgroups 2a and 2b, and at 12 to 15 months of age for Subgroups 1a and 1b.

†Last study visit for Subgroup 1a. Routine vaccines can be administered as per standard of care after study procedures are completed. Visit 6 will occur at 13 to 16 months of age for Subgroup 1a. For Subgroup 2a Visit 6 occurs at 13 months of age.

‡Blood will be drawn prior to vaccinations.

§ The first dose of HB vaccine must have been received at least 28 days prior to the first study vaccination at Visit 1.

\*\*Subjects in Subgroup 1a will complete the last study visit at 13 to 16 months of age. Pentacel will be provided by the Sponsor to complete the DTaP series with vaccine from the same manufacturer and should be administered as per standard of care. The study personnel / Investigator will be responsible for administering this dose at the recommended age outside of the scope of the study.

**Table 5.2: Schedule of antigen testing**

Age in months	2 months	7 months		12 months*	13 months*	15 months*	16 months*
Visit #	Visit 1 (pre-vaccination)	Visit 4		Visit 5 (pre-vaccination)	Visit 6: 1a and 2a	Visit 6: 1b and 2b (pre-vaccination)	Visit 7: 1b and 2b
Group			Subgroup				
<b>Group 1 (N=1752)</b>	Meningococcal serogroups A, C, W, Y Pertussis (PT, FHA, PRN, FIM) Rotavirus (IgA)	Meningococcal serogroups A, C, W, Y Hib (PRP) Hep B (HBsAg) Poliovirus (types 1, 2, 3) Pertussis (PT, FHA, PRN, FIM) PCV13† Rotavirus (IgA) Diphtheria Tetanus	<b>1a</b> (N=1168)	Meningococcal serogroups A, C, W, Y	Meningococcal serogroups A, C, W, Y Measles Mumps Rubella Varicella PCV13†		
			<b>1b</b> (N=584)			Pertussis (PT, FHA, PRN, FIM) Meningococcal serogroups (A, C, W, Y) Hib (PRP)	Meningococcal serogroups A, C, W, Y Pertussis (PT, FHA, PRN, FIM) Hib (PRP) Polio (types 1,2, 3) Diphtheria Tetanus

Age in months	2 months	7 months	12 months*	13 months*	15 months*	16 months*
Visit #	Visit 1 (pre-vaccination)	Visit 4	Visit 5 (pre-vaccination)	Visit 6: 1a and 2a	Visit 6: 1b and 2b (pre-vaccination)	Visit 7: 1b and 2b
Group						
<b>Group 2 (N=876)</b>	Meningococcal serogroups A, C, W, Y Pertussis (PT, FHA, PRN, FIM) Rotavirus (IgA)	Meningococcal serogroups A, C, W, Y Hib (PRP) Hep B (HBsAg) Poliovirus (types 1, 2, 3) Pertussis (PT, FHA, PRN, FIM) PCV13† Rotavirus (IgA) Diphtheria Tetanus	Meningococcal serogroups A, C, W, Y	Meningococcal serogroups A, C, W, Y Measles Mumps Rubella Varicella PCV13†		
			<b>2a</b> (N=584)			
			<b>2b</b> (N=292)			
<b>Blood volume</b>	3 mL	6 mL	5 mL	5 mL	5 mL	5 mL

Note: For each visit and group or subgroup, antigens are listed in descending order of assay priority (highest to lowest priority).

\*During the 2nd year of life study visits, if blood is collected as part of the study, additional blood can be optionally collected via the same venipuncture for screening of iron deficient anemia and/or lead level as per AAP/CDC recommendations. This collection must be done in serum tubes not provided as part of the study and as per local standard of care.

† Anti-pneumococcal serotypes 1, 3, 5, 6A, 7F, 19A, 4, 6B, 9V, 14, 18C, 19F, and 23F.

### *Collection of safety data*

- All subjects will be followed for safety from Visit 1 to 6 months after the last vaccination
- All subjects will be observed for 30 minutes after each vaccination, and any unsolicited systemic AEs occurring during that time will be recorded as immediate unsolicited systemic AEs in the CRB.
- The subject's parent / guardian will record information in a diary card about solicited reactions from D0 to D07 after each vaccination and unsolicited AEs from D0 after each vaccination to the next study visit.
- SAEs and MAAEs will be recorded throughout the study. The subject's parent/guardian will record information in a diary card about SAEs and MAAEs from V01 to V02, from V02 to V03, from V03 to V04, from V04 to V05, from V05 to V06, from V06 to V07 (for Subgroups 1b, 2a, and 2b), and from V07 to V08 (for Subgroup 2a only). SAEs and MAAEs will also be recorded in a memory aid (MA) from D31 after the last vaccination visit, until the 6-month follow up phone call. The subject's parent/guardian will be asked to notify the site immediately about any potential SAE at any time during the study.
- Staff will contact the subjects' parent/ guardian by telephone 8 days (+2 days) after each vaccination visit to identify the occurrence of any SAEs (including AESIs) and/or MAAEs not yet reported and to remind them to complete the diary card and to bring it back at the subsequent visit.
- The completed diary cards will each be collected and reviewed with the subject's parent/ guardian at the subsequent visit.
- Staff will contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit. If the subject's participation in the study is discontinued, the information recorded on the diary card will be reviewed at this time and the diary card will be retrieved by the site.
- Staff will contact the subjects' parent/ guardian by telephone at 6 months (+30 days) after the last vaccination visit to review the MA and identify the occurrence of any MAAEs and SAEs (including AESIs) that have not been reported.

### **5.1.4 Visit Procedures**

The steps for Visit 1 through Visit 4 (during infancy) are presented for all subjects under [Section 5.1.4.1](#), since the procedures are common to all subjects. [Section 5.1.4.2](#) describes the visit procedures for the remaining visits; the visits are listed separately for each Subgroup since the number, timing, and details of each visit vary by Subgroup.

#### **5.1.4.1 Infant Vaccination**

##### **Visit 1 (D0; 2 months of age): Inclusion, Randomization, Blood Sample, and Vaccination**

- 1) Give the parent / guardian information about the study, obtain written informed consent, and give him/her a signed copy.
- 2) Check inclusion and exclusion criteria for eligibility.



- 3) Collect demographic data.
- 4) Obtain verbal medical history about the subject, including ongoing medications. Collect maternal immunization history (Tdap and meningococcal vaccinations) ([Section 5.2.6](#)).
- 5) Perform a physical examination, including, but not limited to, examination of the head (ear, nose, and throat), neck, heart, lungs, abdomen, and extremities. If a routine examination had been performed within the past week by a qualified health care provider, it does not need to be repeated unless there were changes in health status, in which case it may be limited to the affected area.
- 6) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 7) Contact the interactive response technology (IRT) system for randomization, subject number, and vaccine allocation.
- 8) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 9) Collect 3 mL of blood (BL1) (see [Section 7](#) for detailed instructions regarding the handling of blood samples). If the blood sample cannot be obtained, the parent/guardian should be given the opportunity to bring the subject back to the study site for another attempt, as long as the subject continues to remain eligible for the trial. All attempts should be made to obtain a blood sample; however, if the attempts are unsuccessful, the subject could continue in the study with all the study procedures including vaccination.
- 10) Review warnings and precautions to vaccinations.
- 11) Administer the following study vaccines. Each vaccine should be administered in the assigned location (see Operating Guidelines) and documented appropriately:
  - Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO<sup>®</sup>): inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - ENGERIX-B<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - Pentacel<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).
  - Prevnar 13<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).
  - RotaTeq<sup>®</sup>: administer orally per instructions in the package insert.

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more, so that any local reactions can be differentiated  
(39).

Meningococcal vaccine and Engerix-B<sup>®</sup> should be given in the same thigh. Pentacel<sup>®</sup> or PREVNAR 13<sup>®</sup> should not be administered in the same thigh as the meningococcal vaccine. For details see Operating Guidelines.



Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF. If the vaccines are not administered in the recommended limb(s), this should be corrected for subsequent injections.

- 12) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 13) Give the parent / guardian a diary card (DC1), a thermometer, and a ruler, and go over the instructions for their use. Instruct the parent / guardian to retain the thermometer and ruler throughout the duration of the study. At each subsequent visit, confirm that the parent / guardian has retained the thermometer and ruler, replace only as necessary.
- 14) Remind the parent / guardian to expect a telephone call 8 days after Visit 1 and to bring back the diary card when they return for Visit 2 at a specified date and time.
- 15) Remind the parent / guardian to notify the site in case of an SAE.
- 16) Complete the relevant case report forms (CRFs) for this visit.

#### **Telephone Call 1 (8 [+2] days after Visit 1)**

**Note:** If day 8 after vaccination falls on a weekend or a holiday, the telephone call may be made on the following business day.

- 1) Record relevant information concerning the subject's health status on the telephone contact form. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Remind the parent / guardian to do the following:
  - Complete the D0 to D07 pages of the diary card.
  - Complete the remaining pages of the diary card, and bring them to the next visit.
  - Notify the site in case of an SAE.

#### **Visit 2 (60 [+14] days after Visit 1; 4 months of age): Collection of Safety Information and Vaccination**

- 1) Collect and review the diary card (DC1) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review warnings and precautions to vaccinations.
- 3) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 4) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 5) Contact IRT system for vaccine assignments.
- 6) Administer the following study vaccines. Each vaccine should be administered in the assigned location and documented appropriately.

- Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO®): inject IM into the anterolateral area of the thigh, preferably the right thigh.
- Pentacel®: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).
- Prevnar 13®: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).
- RotaTeq®: administer orally per instructions in the package insert.

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more, so that any local reactions can be differentiated.

Pentacel® or PREVNAR 13® should not be administered in the same thigh as the meningococcal vaccine. For details see Operating Guidelines.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF. If the vaccines are not administered in the recommended limb(s), this should be corrected for subsequent injections.

- 7) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 8) Give the parent / guardian a diary card (DC2).
- 9) Remind the parent / guardian to expect a telephone call 8 days after Visit 2 and to bring back the diary card when they return for Visit 3 at a specified date and time.
- 10) Remind the parent / guardian to notify the site in case of an SAE.
- 11) Complete the relevant CRFs for this visit.

#### **Telephone Call 2 (8 [+2] days after Visit 2)**

Refer to steps in Telephone Call 1.

#### **Visit 3 (60 [+14] days after Visit 2; 6 months of age): Collection of Safety Information and Vaccination**

**Note:** Visit 3 has to occur when (1) age of subject is between 164 and 224 days; and (2) interval from Visit 2 is 60 [+14] days.

- 1) Collect and review the diary card (DC2) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review warnings and precautions to vaccination.
- 3) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 4) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.

- 5) Contact IRT system to receive vaccine assignments.
- 6) Administer the appropriate study vaccines as described for Visit 1.
- 7) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 8) Give the parent / guardian a diary card (DC3).
- 9) Remind the parent / guardian to expect a telephone call 8 days after Visit 3 and to bring back the diary card when they return for Visit 4 at a specified date and time.
- 10) Remind the parent / guardian to notify the site in case of an SAE.
- 11) Complete the relevant CRFs for this visit.

**Telephone Call 3 (8 [+2] days after Visit 3)**

Refer to steps in Telephone Call 1.

**Visit 4 (30 [+21] days after Visit 3; 7 months of age): Collection of Safety Information and Blood Sample**

- 1) Collect and review the diary card (DC3) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 6 mL of blood (BL2) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Give the parent / guardian a diary card (DC4) to record SAEs and MAAEs from Visit 4 until Visit 5.
- 5) Remind the parent / guardian to expect a telephone call within 14 days before the next visit, Visit 5, and to bring back the diary card when they return for that visit.
- 6) Remind the parent / guardian representative to notify the site in case of an SAE.
- 7) Complete the relevant CRFs for this visit.

**5.1.4.2 Vaccination during 2nd Year of Life**

**Subgroup 1a**

**Telephone Call 4 (within 14 days before Visit 5)**

- 1) Contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit.
- 2) If the subject participation in the study is discontinued:
  - a. Review the diary card including any AEs, medications, or therapy that occurred since the last visit.

- b. Ask the subject's parent / guardian if the subject has experienced any SAE in the time since vaccination that has not been reported to the study personnel and / or MAAE. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- c. Retrieve the diary card.

**Visit 5 (12 to 15 months of age): Collection of Safety Information, Blood Sample, and Vaccination**

- 1) Collect and review the diary card (DC4) with the parent/guardian, including any AEs, medications, or therapy that occurred since the last visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Perform a physical examination, including, but not limited to, examination of the head (ear, nose, and throat), neck, heart, lungs, abdomen, and extremities. If a routine examination had been performed within the past week by a qualified health care provider, it does not need to be repeated unless there were changes in health status, in which case it may be limited to the affected area
- 3) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 4) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 5) Collect 5 mL of blood (BL3) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 6) Review warnings and precautions to vaccinations.
- 7) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 8) Contact IRT system to receive vaccine assignments.
- 9) Administer the following study vaccines. Each vaccine should be administered in an assigned location (see Operating Guidelines) and documented, appropriately.
  - Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO<sup>®</sup>): inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - Prevnar 13<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).
  - M-M-R<sup>®</sup> II: inject subcutaneously (SC) into the outer aspect of the upper arm
  - VARIVAX<sup>®</sup>: inject SC into the outer aspect of the upper arm

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 10) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 11) Give the parent / guardian a diary card (DC5).
- 17) Remind the parent / guardian to expect a telephone call 8 days after Visit 5 and to bring back the diary card when they return for Visit 6 at a specified date and time.
- 12) Remind the parent / guardian to notify the site in case of an SAE.
- 13) Complete the relevant CRFs for this visit.

**Telephone Call 5 (8 [+2] days after Visit 5)**

Refer to steps in Telephone Call 1.

**Visit 6 (30 [+ 21] days after Visit 5; 13 to 16 months of age): Collection of Safety Information, Blood Sample and Study Termination**

- 1) Collect and review the diary card (DC5) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL4) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Give the parent / guardian a memory aid to record SAE and MAAEs from Visit 6 until the 6-month follow-up phone call.
- 5) Remind the parent / guardian / to notify the site in case of an SAE.
- 6) Complete the trial termination record.

**Safety Follow-up Telephone Call 6 (180 [+30] days after Visit 5): Collection of SAEs (including AESIs) and MAAEs**

- 1) Ask the parent / guardian if the subject has experienced any SAE/MAAE since the last study visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Complete the relevant CRFs for this visit.

This call must be made by a qualified person, such as a physician or qualified study nurse.

A follow-up visit outside the scope of this study protocol can be arranged depending on the information recorded during the phone calls.

### **Subgroup 1b**

#### **Telephone Call 4 (within 14 days before Visit 5)**

- 1) Contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit.
- 2) If the subject's participation in the study is discontinued:
  - a. Review the diary card including any AEs, medications, or therapy that occurred since the last visit.
  - b. Ask the subject's parent / guardian if the subject has experienced any SAE in the time since vaccination that has not been reported to the study personnel and / or MAAE. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
  - c. Retrieve the diary card.

#### **Visit 5 (12 to 15 months of age): Collection of Safety Information and Vaccination**

- 1) Collect and review the diary card (DC4) with the parent/guardian, including any AEs, medications, or therapy that occurred since the last visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Perform a physical examination, including, but not limited to, examination of the head (ear, nose, and throat), neck, heart, lungs, abdomen, and extremities. If a routine examination had been performed within the past week by a qualified health care provider, it does not need to be repeated unless there were changes in health status, in which case it may be limited to the affected area
- 3) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 4) Review warnings and precautions to vaccinations.
- 5) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 6) Contact IRT system to receive vaccine assignments.
- 7) Administer the appropriate study vaccines. Each vaccine should be administered in an assigned location and documented appropriately (see Operating Guidelines).
  - PREVNAR 13<sup>®</sup>: inject IM into the anterolateral area of the thigh
  - M-M-R<sup>®</sup> II: inject SC into the outer aspect of the upper arm
  - VARIVAX<sup>®</sup>: inject SC into the outer aspect of the upper arm

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 8) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 9) Give the parent / guardian a diary card (DC5).
- 10) Remind the parent / guardian to expect a telephone call 8 days after Visit 5 and to bring back the diary card when they return for Visit 6 at a specified date and time.
- 11) Remind the parent / guardian to notify the site in case of an SAE.
- 12) Complete the relevant CRFs for this visit.

**Telephone Call 5 (8 [+2] days after Visit 5)**

Refer to steps in Telephone Call 1.

**Visit 6 (15 to 18 months of age): Collection of Safety Information, Blood Sample, and Vaccination**

- 1) Collect and review the diary card (DC5) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL3) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Review warnings and precautions to vaccinations.
- 5) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 6) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 7) Contact IRT system for vaccine assignments.
- 8) Administer the following study vaccines. Each vaccine should be administered in an assigned location and documented appropriately (see Operating Guidelines).
  - Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO®): inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - Pentacel®: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration.)
  - Havrix®: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.



Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 9) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 10) Give the parent / guardian a diary card (DC6).
- 11) Remind the parent / guardian to expect a telephone call 8 days after Visit 6 and to bring back the diary card when they return for Visit 7 at a specified date and time.
- 12) Remind the parent / guardian to notify the site in case of an SAE.
- 13) Complete the relevant CRFs for this visit.

**Telephone Call 6 (8 [+2] days after Visit 6)**

Refer to steps in Telephone Call 1

**Visit 7 (30 [+21] days after Visit 6; 16 to 19 months of age): Collection of Safety Information, Blood Sample and Study Termination**

- 1) Collect and review the diary card (DC6) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL4) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Give the parent / guardian a memory aid to record SAE and MAAEs from Visit 7 until the 6-month follow-up phone call.
- 5) Remind the parent / guardian / to notify the site in case of an SAE.
- 6) Complete the trial termination record.

**Safety Follow-up Telephone Call 7 (180 [+30] days after Visit 6): Collection of SAEs (including AESIs) and MAAEs**

- 1) Ask the parent / guardian if the subject has experienced any SAE/MAAE since the last study visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Complete the relevant CRFs for this visit.

This call must be made by a qualified person, such as a physician or qualified study nurse.

A follow-up visit outside the scope of this study protocol can be arranged depending on the information recorded during the phone calls.



**Subgroup 2a:**

**Telephone Call 4 (within 14 days before Visit 5)**

- 1) Contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit.
- 2) If the subject's participation in the study is discontinued:
  - a. Review the diary card including any AEs, medications, or therapy that occurred since the last visit.
  - b. Ask the subject's parent / guardian if the subject has experienced any SAE in the time since vaccination that has not been reported to the study personnel and / or MAAE.  
If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
  - c. Retrieve the diary card

**Visit 5 (12 months of age): Collection of Safety Information, Blood Sample, and Vaccination**

- 1) Collect and review the diary card (DC4) with the parent/guardian, including any AEs, medications, or therapy that occurred since the last visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Perform a physical examination, including, but not limited to, examination of the head (ear, nose, and throat), neck, heart, lungs, abdomen, and extremities. If a routine examination had been performed within the past week by a qualified health care provider, it does not need to be repeated unless there were changes in health status, in which case it may be limited to the affected area
- 3) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 4) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 5) Collect 5 mL of blood (BL3) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 6) Review warnings and precautions to vaccinations.
- 7) Review contraindications to vaccinations and conditions for withdrawal.
- 8) Contact IRT system to receive vaccine assignments.
- 9) Administer the following study vaccines. Each vaccine should be administered in an assigned location (see Operating Guidelines) and documented appropriately.
  - Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO<sup>®</sup>): inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - Prevnar 13<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e., the opposite leg from that used for meningococcal vaccine administration).

- M-M-R<sup>®</sup> II: inject SC into the upper outer triceps of arm
- VARIVAX<sup>®</sup>: inject SC into the upper outer triceps of arm

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 2) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 3) Give the parent / guardian a diary card (DC5).
- 4) Remind the parent / guardian to expect a telephone call 8 days after Visit 5 and to bring back the diary card when they return for Visit 6 at a specified date and time.
- 5) Remind the parent / guardian to notify the site in case of an SAE.
- 6) Complete the relevant CRFs for this visit

#### **Telephone Call 5 (8 [+2] days after Visit 5)**

Refer to steps in Telephone Call 1.

#### **Visit 6 (30 [+ 21] days after Visit 5; 13 months of age): Collection of Safety Information and Blood Sample**

- 1) Collect and review the diary card (DC5) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL4) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Give the parent / guardian a diary card (DC6) to record SAE and MAAEs from Visit 6 until Visit 7.
- 5) Remind the parent / guardian representative to notify the site in case of an SAE.
- 6) Complete the relevant CRFs for this visit.

#### **Visit 7 (15 to 18 months of age): Collection of Safety Information and Vaccination**

- 1) Collect and review the diary card information (DC6) with the parent/guardian, including any AEs, medications, or therapy that occurred since the last visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review warnings and precautions to vaccinations.
- 3) Review contraindications to vaccinations and conditions for withdrawal.

- 4) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 5) Contact IRT system to receive vaccine assignments.
- 6) Administer the following study vaccines. Each vaccine should be administered in an assigned location (see Operating Guidelines) and documented appropriately.
  - Pentacel<sup>®</sup>: inject IM into the anterolateral area of thigh
  - Havrix<sup>®</sup>: inject IM into the anterolateral area of thigh

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.
- 7) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 8) Give the parent / guardian a diary card (DC7).
- 9) Remind the parent / guardian to expect a telephone call 8 days after Visit 7 and to bring back the diary card when they return for Visit 8 at a specified date and time.
- 10) Remind the parent / guardian to notify the site in case of an SAE.
- 11) Complete the relevant CRFs for this visit.

**Telephone Call 6 (8 [+2] days after Visit 7)**

Refer to steps in Telephone Call 1.

**Visit 8 (30 [+21] days after Visit 7; 16 to 19 months of age): Collection of Safety Information and Study Termination**

- 1) Collect and review the diary card (DC7) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Give the parent / guardian a memory aid to record SAE and MAAEs from Visit 8 until the 6-month follow-up phone call.
- 3) Remind the parent / guardian to notify the site in case of an SAE.
- 4) Complete the trial termination record

**Safety Follow-up Telephone Call 7 (180 [+30] days after Visit 7): Collection of SAEs (including AESIs) and MAAEs**

- 1) Ask the parent / guardian if the subject has experienced any SAE/MAAE since the last study visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.

- 2) Complete the relevant CRFs for this visit.

This call must be made by a qualified person, such as a physician or qualified study nurse.

A follow-up visit outside the scope of this study protocol can be arranged depending on the information recorded during the phone calls.

**Subgroup 2b:**

**Telephone Call 4 (within 14 days before Visit 5)**

- 1) Contact the subjects' parent/ guardian by telephone within 14 days before V05 to remind them about the forthcoming study visit.
- 2) If the subject's participation in the study is discontinued:
  - a. Review the diary card including any AEs, medications, or therapy that occurred since the last visit.
  - b. Ask the subject's parent / guardian if the subject has experienced any SAE in the time since vaccination that has not been reported to the study personnel and / or MAAE. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
  - c. Retrieve the diary card

**Visit 5 (12 months of age): Collection of Safety Information and Vaccination**

- 1) Collect and review the diary card information (DC4) with the parent/guardian, including any AEs, medications, or therapy that occurred since the last visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Perform a physical examination, including, but not limited to, examination of the head (ear, nose, and throat), neck, heart, lungs, abdomen, and extremities. If a routine examination had been performed within the past week by a qualified health care provider, it does not need to be repeated unless there were changes in health status, in which case it may be limited to the affected area
- 3) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 4) Review warnings and precautions to vaccinations.
- 5) Review contraindications to vaccinations and conditions for withdrawal.
- 6) Contact IRT system to receive vaccine assignments.
- 7) Administer the appropriate study vaccines. Each vaccine should be administered in an assigned location and documented appropriately (see Operating Guidelines).
  - Meningococcal vaccine (MenACYW conjugate vaccine or MENVEO<sup>®</sup>): inject IM into the anterolateral area of the thigh, preferably the right thigh.
  - Prevnar 13<sup>®</sup>: inject IM into the anterolateral area of the thigh, preferably the left thigh (i.e. the opposite leg from that used for meningococcal vaccine administration).

- M-M-R®II: inject SC into the outer aspect of the upper arm
- VARIVAX®: inject SC into the outer aspect of the upper arm

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 8) Observe the subject for 30 minutes and record any AEs in the source document. In the event of a local reaction, indicate the associated vaccine.
- 9) Give the parent / guardian a diary card (DC5).
- 10) Remind the parent / guardian to expect a telephone call 8 days after Visit 5 and to bring back the diary card when they return for Visit 6 at a specified date and time.
- 11) Remind the parent / guardian to notify the site in case of an SAE.
- 12) Complete the relevant CRFs for this visit.

#### **Telephone Call 5 (8 [+2] days after Visit 5)**

Refer to steps in Telephone Call 1

#### **Visit 6 (15 to 18 months of age): Collection of Safety Information, Blood Sample, and Vaccination**

- 1) Collect and review the diary card (DC5) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL3) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Review warnings and precautions to vaccinations.
- 5) Review contraindications to subsequent vaccinations and conditions for withdrawal.
- 6) Measure temperature. If the temperature is  $\geq 38^{\circ}\text{C}$  ( $\geq 100.4^{\circ}\text{F}$ ), postpone vaccination until the condition is resolved.
- 7) Contact IRT system for vaccine assignments.
- 8) Administer the following study vaccines. Each vaccine should be administered in an assigned location and documented appropriately (see Operating Guidelines).
  - Pentacel®: inject IM into the thigh anterolateral area
  - Havrix®: inject IM into the thigh anterolateral area

When multiple vaccines are administered at a single visit, each vaccine should be administered at a different anatomic site. If vaccines are given in the same limb, the injection sites should be separated by 1 inch or more so that any local reactions can be differentiated.

Failure to administer vaccines in the designated limb will not constitute a protocol deviation, but should be recorded as a comment in the CRF.

- 9) Observe the subject for 30 minutes and record any AEs in the source document.
- 10) Give the parent / guardian a diary card (DC6).
- 11) Remind the parent / guardian to expect a telephone call 8 days after Visit 6 and to bring back the diary card when they return for Visit 7 at a specified date and time.
- 12) Remind the parent / guardian to notify the site in case of an SAE.
- 13) Complete the relevant CRFs for this visit.

#### **Telephone Call 6 (8 [+2] days after Visit 6)**

Refer to steps in Telephone Call 1.

#### **Visit 7 (30 [+21] days after Visit 6; 16 to 19 months of age ): Collection of Safety Information, Blood Sample and Study Termination**

- 1) Collect and review the diary card (DC6) with the parent / guardian, including any AEs, medications, or therapy that occurred since vaccination. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Review temporary contraindications for blood sampling. Ensure the subject has not had any antibiotics within the previous 72 hours (3 days).
- 3) Collect 5 mL of blood (BL4) (see [Section 7](#) for detailed instructions regarding the handling of blood samples).
- 4) Give the parent / guardian a memory aid to record SAE and MAAEs from Visit 7 until the 6-month follow-up phone call.
- 5) Remind the parent / guardian / to notify the site in case of an SAE.
- 6) Complete the trial termination record.

#### **Safety Follow-up Telephone Call 7 (180 [+30] days after Visit 6): Collection of SAEs (including AESIs) and MAAEs**

- 1) Ask the parent / guardian if the subject has experienced any SAE/MAAE since the last study visit. If an SAE, including an AESI, has occurred, follow the instructions in [Section 10](#) for reporting it.
- 2) Complete the relevant CRFs for this visit.

This call must be made by a qualified person, such as a physician or qualified study nurse.

A follow-up visit outside the scope of this study protocol can be arranged depending on the information recorded during the phone calls.

***SAEs and AEs That Are Related to Vaccination or That Led to Discontinuation:***

***Follow-up of subjects with Related AEs or with AEs That Led to Study/Vaccination Discontinuation:***

A subject who experiences an AE (whether serious or non-serious) during the study must be followed until the condition resolves, becomes stable, or becomes chronic (even after the end of the subject's participation in the study) if *either* of the following is true:

The AE is considered by the Investigator to be related to the product administered.

The AE caused the discontinuation of the subject from the study or from vaccination.

**5.1.5 Planned Study Calendar**

The following dates are approximate. The actual dates may differ as, for example, the study will not start until all the appropriate regulatory and ethical approvals have been obtained.

Planned study period - FVFS (first visit, first subject) to LCLS (last contact, last subject): 25 April 2018 to 29 August 2023

Planned inclusion period - FVFS to FVLS (first visit, last subject): 25 April 2018 to 30 September 2021

Planned end of study: 29 August 2023

Planned date of final clinical study report: 26 February 2024

**5.1.6 Early Safety Data Review**

No Early Safety Data Review (i.e., no early safety review[s] of preliminary safety data occurring at pre-determined milestones defined in the protocol, with pause in enrollment) is planned for this trial as MenACYW conjugate vaccine has been previously administered to infants, toddlers, and adults with an acceptable safety profile and no safety concerns have been identified in the clinical trials completed so far. There will be an internal team at the level of the Sponsor (Safety Management Team, [SMT]), which will review the data being generated from all the ongoing studies with MenACYW conjugate vaccine at regular intervals for any new safety signals or safety concerns. The SMT is empowered to recommend a pause in both recruitment and / or further vaccination while it investigates any potential signal or concern.

This trial will not include an early review of safety data. However, it may be interrupted at any time if new data about the investigational product become available, and/or on advice of the Sponsor, the Independent Ethics Committees (IECs) / Institutional Review Boards (IRBs), or the governing regulatory authorities in the US where the trial is taking place.

If the trial is prematurely terminated or suspended, the Sponsor will promptly inform the Investigators, the IECs/IRBs, and the regulatory authorities of the reason for termination or suspension. If the trial is prematurely terminated for any reason, the Investigator will promptly inform the subjects' parents / guardians and should assure appropriate therapy and follow-up.



## 5.2 Enrollment and Retention of Trial Population

### 5.2.1 Recruitment Procedures

Each site will be responsible for devising a recruitment plan for enrolling eligible subjects. Advertisements and other recruitment aids will be approved by the Sponsor and the site's IRB/IEC prior to use by the clinical site.

### 5.2.2 Informed Consent Procedures

Informed consent is the process by which a subject and / or a parent / guardian voluntarily confirms his or her willingness to participate / allow the child to participate in a particular study. Informed consent must be obtained before any study procedures are performed. The process is documented by means of a written, signed, and dated ICF.

In accordance with GCP, prior to signing and dating the consent form, the parent / guardian must be informed by appropriate study personnel about all aspects of the study that are relevant to making the decision to participate, and must have sufficient time and opportunity to ask any questions.

The actual ICF used at each center may differ, depending on local regulations and IEC / IRB requirements. However, all versions must contain the standard information found in the sample ICF provided by the Sponsor. Any change to the content of the ICF must be approved by the Sponsor and the IEC / IRB prior to the form being used.

If new information becomes available that may be relevant to the parent's / guardian's willingness to continue participation in the study, this will be communicated to him / her in a timely manner. Such information will be provided via a revised ICF or an addendum to the original ICF.

ICFs will be provided in duplicate, or a photocopy of the signed consent will be made. The original will be kept by the Investigator, and the copy will be kept by the subject's parent / guardian.

Documentation of the consent process should be recorded in the source documents.

### 5.2.3 Screening Criteria

There are no screening criteria other than the inclusion and exclusion criteria.

### 5.2.4 Inclusion Criteria

An individual must fulfill *all* of the following criteria in order to be eligible for trial enrollment:

- 1) Aged  $\geq 42$  to  $\leq 89$  days on the day of the first study visit.
- 2) Healthy infants as determined by medical history, physical examination, and judgment of the investigator
- 3) Informed consent form has been signed and dated by the parent(s) or guardian, and an independent witness, if required by local regulations
- 4) Subject and parent/guardian are able to attend all scheduled visits and to comply with all trial procedures.



- 5) Infants who received the first dose of hepatitis B vaccine at least 28 days before the first study visit

### 5.2.5 Exclusion Criteria

An individual fulfilling *any* of the following criteria is to be excluded from trial enrollment:

- 1) Participation at the time of study enrollment or in the 4 weeks preceding the first trial vaccination or planned participation during the present trial period in another clinical trial investigating a vaccine, drug, medical device, or medical procedure
- 2) Receipt of any vaccine in the 4 weeks preceding the first trial vaccination or planned receipt of any vaccine in the 4 weeks before and/or following any trial vaccination except for influenza vaccination, which may be received at a gap of at least 2 weeks before or 2 weeks after any study vaccination. This exception includes monovalent pandemic influenza vaccines and multivalent influenza vaccines
- 3) Previous vaccination against meningococcal disease with either the trial vaccine or another vaccine (i.e., mono- or polyvalent, PS, or conjugate meningococcal vaccine containing serogroups A, C, Y, or W; or meningococcal B serogroup-containing vaccine).
- 4) Previous vaccination against diphtheria, tetanus, pertussis, poliomyelitis, hepatitis A, measles, mumps, rubella, varicella; and of *Haemophilus influenzae* type b, *Streptococcus pneumoniae*, and /or rotavirus infection or disease
- 5) Receipt of more than 1 previous dose of hepatitis B vaccine
- 6) Receipt of immune globulins, blood, or blood-derived products since birth
- 7) Known or suspected congenital or acquired immunodeficiency; or receipt of immunosuppressive therapy, such as anti-cancer chemotherapy or radiation therapy; or long-term systemic corticosteroid therapy (prednisone or equivalent for more than 2 consecutive weeks) since birth
- 8) Family history of congenital or hereditary immunodeficiency, until the immune competence of the potential vaccine recipient is demonstrated
- 9) Individuals with blood dyscrasias, leukemia, lymphoma of any type, or other malignant neoplasms affecting the bone marrow or lymphatic systems
- 10) Individuals with active tuberculosis
- 11) History of any *Neisseria meningitidis* infection, confirmed either clinically, serologically, or microbiologically
- 12) History of diphtheria, tetanus, pertussis, poliomyelitis, hepatitis B, hepatitis A, measles, mumps, rubella, varicella; and of *Haemophilus influenzae* type b, *Streptococcus pneumoniae*, and /or rotavirus infection or disease
- 13) At high risk for meningococcal infection during the trial (specifically, but not limited to, subjects with persistent complement deficiency, with anatomic or functional asplenia, or subjects travelling to countries with high endemic or epidemic disease)
- 14) History of intussusception

- 15) History of any neurologic disorders, including any seizures and progressive neurologic disorders
- 16) History of Guillain-Barré syndrome
- 17) Known systemic hypersensitivity to any of the vaccine components or to latex, or history of a life-threatening reaction to the vaccine(s) used in the trial or to a vaccine containing any of the same substances, including neomycin, gelatin, and yeast<sup>a</sup>
- 18) Verbal report of thrombocytopenia contraindicating intramuscular vaccination in the investigator's opinion
- 19) Bleeding disorder, or receipt of anticoagulants in the 3 weeks preceding inclusion, contraindicating intramuscular vaccination in the investigator's opinion
- 20) Receipt of oral or injectable antibiotic therapy within 72 hours prior to the first blood draw
- 21) Chronic illness (including, but not limited to, cardiac disorders, congenital heart disease, chronic lung disease, renal disorders, auto-immune disorders, diabetes, psychomotor diseases, and known congenital or genetic diseases) that, in the opinion of the investigator, is at a stage where it might interfere with trial conduct or completion
- 22) Any condition which, in the opinion of the investigator, might interfere with the evaluation of the study objectives
- 23) Moderate or severe acute illness/infection (according to investigator judgment) on the day of vaccination or febrile illness (temperature  $\geq 38.0^{\circ}\text{C}$  [ $\geq 100.4^{\circ}\text{F}$ ]). A prospective subject should not be included in the study until the condition has resolved or the febrile event has subsided
- 24) Identified as a natural or adopted child of the investigator or employee with direct involvement in the proposed study

If the subject has a primary physician who is not the Investigator, the site must contact the primary physician to inform him / her of the subject's participation in the study. In addition, the site should ask this primary physician to verify exclusion criteria relating to previous therapies, such as receipt of blood products or previous vaccines.

### 5.2.6 Medical History

Prior to enrollment, subjects will be assessed for pre-existing conditions and illnesses, both past and ongoing. Any such conditions will be documented in the source document. Significant medical history (reported as diagnosis) including conditions for which the subject is or has been followed by a physician or conditions that could resume during the course of the study or lead to an SAE or to a repetitive outpatient care will be collected in the CRB. The significant medical history section of the CRB contains a core list of body systems and disorders that could be used to prompt comprehensive reporting, as well as space for the reporting of specific conditions and illnesses.

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<sup>a</sup> The components of all study vaccines are listed in Section 6.1.1 and in the Investigator's Brochure

For each condition, the data collected will be limited to:

- Diagnosis (this is preferable to reporting signs and symptoms)
- Presence or absence of the condition at enrollment

The reporting of signs and symptoms in lieu of a diagnosis is strongly discouraged.

Dates, medications, and body systems are not to be recorded, and the information collected will not be coded. Its purpose is to assist in the later interpretation of safety data collected during the trial.

In addition, maternal immunization history will be collected in the CRB as follows:

- Tdap vaccination received during pregnancy with the subject enrolled in the study and date of vaccination
- Any meningococcal vaccination received at any time (name or type of meningococcal vaccine, i.e. Menactra, meningococcal ACWY conjugate vaccine, meningococcal B vaccine, etc.) and date of vaccination

The information collected will not be coded. This information will further assist with the interpretation of data collected during the trial.

## 5.2.7 Contraindications for Subsequent Vaccinations

### 5.2.7.1 Temporary Contraindications

Should a subject experience one of the conditions listed below, the Investigator will postpone further vaccination until the condition is resolved. Postponement must still be within the timeframe for vaccination indicated in the Table of Study Procedures.

- Febrile illness (temperature  $\geq 38.0^{\circ}\text{C}$  [ $\geq 100.4^{\circ}\text{F}$ ]) or moderate or severe acute illness / infection on the day of vaccination, according to Investigator judgment.
- Receipt of any vaccine (other than the study vaccines) in the 4 weeks preceding the first study vaccination or planned receipt of any vaccine in the 4 weeks before or following any study vaccination except for influenza vaccination, which may be received at least 2 weeks before or 2 weeks after any study vaccination. This exception includes monovalent pandemic influenza vaccines and multivalent influenza vaccines.

The following is a temporary contraindication to blood draws:

- Receipt of oral or injected antibiotic therapy within the 72 hours (3 days) prior to a study blood draw. *Note:* If following the first visit, a subject receives oral or injectable antibiotic therapy within 3 days prior to the next blood draw, the investigator will postpone that blood draw until it has been 3 days since the subject last received oral or injectable antibiotic therapy. Postponement must still be within the timeframe for blood draw. If postponement would result in the sample collection falling outside of this timeframe, the blood sample should be collected without postponement, and it should be documented appropriately that the sample was taken less than 3 days after stopping antibiotic treatment.

### 5.2.7.2 Definitive Contraindications

Should a subject experience an anaphylactic or other significant allergic reaction to the previous dose of vaccine(s), the Investigator will discontinue vaccination(s).

The following AEs constitute absolute contraindications to subsequent vaccination with any of the study vaccines. If a subject should experience any of these events during the study, that subject is not to receive any additional study vaccines but should continue in the study and be followed up for safety only as per protocol.

#### **Meningococcal vaccine (MenACYW conjugate vaccine and MENVEO®):**

- 1) History of an Arthus-like hypersensitivity reaction after vaccination with a tetanus toxoid-containing vaccine.
- 2) History of Guillain-Barré syndrome within 6 weeks after vaccination with a tetanus toxoid-containing vaccine
- 3) Severe allergic reaction (e.g., anaphylaxis) after a previous dose of MenACYW conjugate vaccine or MENVEO®, any component of the vaccines, or any other CRM<sub>197</sub>, diphtheria toxoid or meningococcal-containing vaccine.

#### **Pentacel®: DTaP-IPV//Hib vaccine**

- 4) Severe allergic reaction (e.g., anaphylaxis) after a previous dose of Pentacel®, any ingredient of Pentacel®, or any other diphtheria toxoid, tetanus toxoid, pertussis-containing vaccine, inactivated poliovirus vaccine or *H. influenzae* type b vaccine.
- 5) Encephalopathy within 7 days of a previous pertussis-containing vaccine with no other identifiable cause.
- 6) Progressive neurologic disorder including infantile spasms, uncontrolled epilepsy, or progressive encephalopathy until a treatment regimen has been established and the condition has stabilized.

#### **PREVNAR 13®: pneumococcal 13-valent conjugate vaccine; PCV13**

- 7) Severe allergic reaction (e.g., anaphylaxis) to any component of PREVNAR 13® or any diphtheria toxoid-containing vaccine.

#### **ENGRIX-B®: hepatitis B vaccine**

- 8) Severe allergic reaction (e.g., anaphylaxis) after a previous dose of any hepatitis B-containing vaccine, or to any component of ENGRIX-B®, including yeast.

#### **RotaTeq®: rotavirus vaccine**

- 9) Demonstrated history of hypersensitivity to the rotavirus vaccine or any component of the vaccine.
- 10) Episode of intussusception.
- 11) History of severe combined immunodeficiency (SCID).

**M-M-R® II:** measles, mumps, and rubella vaccine

- 12) Hypersensitivity to any component of the vaccine, including gelatin.
- 13) Anaphylactic or anaphylactoid reactions to neomycin
- 14) Febrile respiratory illness or other active febrile infection.
- 15) Patients receiving immunosuppressive therapy. This contraindication does not apply to patients who are receiving corticosteroids as replacement therapy, e.g., for Addison's disease.
- 16) Individuals with blood dyscrasias, leukemia, lymphomas of any type, or other malignant neoplasms affecting the bone marrow or lymphatic systems.
- 17) Primary and acquired immunodeficiency states, including patients who are immunosuppressed in association with acquired immune deficiency syndrome (AIDS) or other clinical manifestations of infection with human immunodeficiency viruses; cellular immune deficiencies; and hypogammaglobulinemic and dysgammaglobulinemic states.
- 18) Individuals with a family history of congenital or hereditary immunodeficiency, until the immune competence of the potential vaccine recipient is demonstrated.

**VARIVAX®:** varicella vaccine

- 19) History of severe allergic reaction to any component of the vaccine (including neomycin and gelatin) or to a previous dose of varicella vaccine.
- 20) History of primary or acquired immunodeficiency states, leukemia, lymphoma or other malignant neoplasms affecting the bone marrow or lymphatic system, AIDS, or other clinical manifestations of infection with human immunodeficiency virus (HIV).
- 21) Individuals receiving immunosuppressive therapy, including individuals receiving immunosuppressive doses of corticosteroids.
- 22) Any febrile illness or active infection, including active, untreated tuberculosis.

**HAVRIX®:** hepatitis A vaccine

- 23) Severe allergic reaction (e.g., anaphylaxis) after a previous dose of any hepatitis A-containing vaccine, or to any component of HAVRIX®, including neomycin.

In the event of a local or national immunization program with a pandemic influenza vaccine, subjects who receive pandemic influenza vaccine at any time during the study will not be withdrawn from the study.

### **5.2.7.3 Warnings and Precautions to Vaccination**

Prior to vaccination, check the warnings and precautions for individual vaccines administered; for the licensed vaccines, refer to the individual package inserts; for MenACYW conjugate vaccine, refer to the Investigator's Brochure.

### 5.2.8 Conditions for Withdrawal

Parents / guardians will be informed that they have the right to withdraw their child from the study at any time.

A subject may be withdrawn from the study:

- At the discretion of the Investigator or Sponsor due to safety concerns or significant non-compliance with the protocol (based on the Investigator's judgment), without the subject's permission (withdrawal)
- At the request of the parent / guardian (dropout)

The reason for a withdrawal or dropout should be clearly documented in the source documents and on the CRB.

The Investigator must determine whether voluntary withdrawal is due to safety concerns (in which case, the reason for discontinuation will be noted as "Adverse Event") or for another reason.

Withdrawn subjects will not be replaced.

### 5.2.9 Lost to Follow-up Procedures

In the case of subjects who fail to return for a follow-up examination, documented reasonable effort (i.e., documented telephone calls and certified mail) should be undertaken to locate or recall them, or at least to determine their health status while fully respecting their rights. These efforts should be documented in the CRB and in the source documents.

### 5.2.10 Classification of Subjects Who Discontinue the Trial

For any subject who discontinues the study prior to completion, the most significant reason for early termination will be checked in the CRB. Reasons are listed below from the most significant to the least significant (refer to the CRB completion instructions for additional details and examples):

<b>Adverse Event</b>	To be used when the subject is permanently terminated from the study because of an AE (including an SAE), as defined in <a href="#">Section 9.3.2.1</a> . This category also applies if the subject experiences a definitive contraindication that is an SAE or AE.
<b>Lost to Follow-up</b>	To be used when the subject cannot be found or contacted in spite of efforts to locate him/her before the date of his/her planned last visit, as outlined in <a href="#">Section 5.2.9</a> . The certified letter was sent by the Investigator and returned unsigned, and the parent/guardian did not give any other news and did not come to any following visit.

<b>Protocol Deviation</b>	<p>To be used:</p> <p>In case of significant non-compliance with the protocol (e.g., deviation of the Inclusion / Exclusion criteria, non-compliance with time windows, blood sampling or vaccination refusal, missed injection/treatment, or error in the vaccine/treatment administration).</p> <p>If the subject experiences a definitive contraindication that is a protocol deviation.</p> <p>The parent/guardian signed the certified letter sent by the Investigator but did not give any other news and did not come to any following visit.</p>
<b>Withdrawal by Subject or Parent / Guardian</b>	<p>To be used:</p> <p>When the parent/guardian indicated unwillingness to continue in the study</p> <p>When the parent/guardian made the decision to discontinue participation in the study for any personal reason other than an SAE/AE (e.g., subject is relocating, inform consent withdrawal, etc.)</p>

### 5.2.11 Follow-up of Discontinuations

The site should complete all scheduled safety follow-ups and contact any subject who has prematurely terminated the trial because of an AE, a protocol deviation, or loss of eligibility, including definitive contraindications.

For subjects where the reason for early termination was lost to follow-up or if the subject withdrew informed consent and specified that they do not want to be contacted again and it is documented in the source document, the site will not attempt to obtain further safety information.

If the subject's status at the end of the study is "Withdrawal by Subject or Parent / Guardian / Legally Acceptable Representative", the site will attempt to contact them for the 6-month follow-up, except if they specified that they do not want to be contacted again and it is documented in the source document.

For subjects where the reason for early termination is voluntary withdrawal, the site will attempt to contact them for the 6-month follow-up except if they specified that they do not want to be contacted again and it is documented in the source document.

## 5.3 Safety Emergency Call

If, as per the Investigator's judgment, a subject experiences a medical emergency, the Investigator may contact the Sponsor's RMO for advice on study related medical question or problem. If the RMO is not available, then the Investigator may contact the Call Center—available 24 hours a day, 7 days a week—that will forward all safety emergency calls to the appropriate primary or back-up Sanofi Pasteur contact, as needed. The toll-free contact information for the Call Center is provided in the Operating Guidelines.

This process does not replace the need to report an SAE. The Investigator is still required to follow the protocol-defined process for reporting SAEs to the Global Pharmacovigilance (GPV) Department (Please refer to [Section 10](#)).



In case of emergency code-breaking, the Investigator is required to follow the code-breaking procedures described in [Section 6.3](#).

## 5.4 Modification of the Study and Protocol

Any amendments to this study plan and protocol must be discussed with and approved by the Sponsor. If agreement is reached concerning the need for an amendment, it will be produced in writing by the Sponsor, and the amended version of the protocol will replace the earlier version. All substantial amendments (e.g., those that affect the conduct of the trial or the safety of subjects), require IEC / IRB approval, and must also be forwarded to regulatory authorities.

An administrative amendment to a protocol is one that modifies some administrative, logistical, or other aspect of the trial but does not affect its scientific quality or have an impact on the subjects' safety. The IECs / IRBs should only be notified, no formal approval is required.

The Investigator is responsible for ensuring that changes to an approved trial, during the period for which IEC / IRB approval has already been given, are not initiated without IEC / IRB review and approval, except to eliminate apparent immediate hazards to subjects.

## 5.5 Interruption of the Study

The study may be discontinued if new data about the investigational product resulting from this or any other studies become available; or for administrative reasons; or on advice of the Sponsor, the Investigators, the IECs/IRBs, or the governing regulatory authorities in the country where the study is taking place.

If the study is prematurely terminated or suspended, the Sponsor shall promptly inform the Investigators, the IECs/IRBs, the regulatory authorities, and any contract research organization(s) used in the study of the reason for termination or suspension, as specified by the applicable regulatory requirements. The Investigator shall promptly inform the subjects' parents/guardians and should assure appropriate subject therapy and/or follow-up.

There will be an internal team at the level of the Sponsor (Safety Management Team, [SMT]), which will review the data being generated from all the ongoing studies with MenACYW conjugate vaccine at regular intervals for any new safety signals or safety concerns. The SMT is empowered to recommend a pause in both recruitment and / or further vaccination while it investigates any potential signal or concern.

# 6 Vaccines Administered

## 6.1 Identity of the Investigational Product

For the sake of the management, supply, and accountability of the products, Menveo® and MenACYW have been labelled as an investigational medicinal product (IMP) and all other vaccines as non-investigational medicinal products (NIMP)



### 6.1.1 Identity of Trial Product

**MenACYW conjugate vaccine:** Meningococcal Polysaccharide (Serogroups A, C, Y, and W) Tetanus Toxoid Conjugate Vaccine (Sanofi Pasteur Inc., Swiftwater, PA, USA)

**Form:** Liquid solution

**Dose:** 0.5 milliliter (mL)

**Route:** IM

**Batch number:** To be determined (TBD)

#### 6.1.1.1 Composition

Each 0.5 mL dose of MenACYW conjugate vaccine is formulated in sodium acetate buffered saline solution to contain the following ingredients:

Meningococcal capsular polysaccharides:

Serogroup A .....	10 µg
Serogroup C .....	10 µg
Serogroup Y .....	10 µg
Serogroup W .....	10 µg

Tetanus toxoid protein carrier ..... approximately 55 µg<sup>a</sup>

#### 6.1.1.2 Preparation and Administration

MenACYW conjugate vaccine is supplied in single-dose vials (0.5 mL).

Prior to administration, all study products must be inspected visually for cracks, broken seals, correct label content (see [Section 6.2.1](#)), and extraneous particulate matter and / or discoloration, whenever solution and container permit. If any of these conditions exists, the vaccine must not be administered. A replacement dose is to be used, and the event is to be reported to the Sponsor.

The rubber stopper should not be removed from any of the vaccine vials.

After vaccine administration, the used syringe and needle will be disposed of in accordance with currently established guidelines.

Subjects must be kept under observation for 30 minutes after vaccination to ensure their safety, and any reactions during this period will be documented in the CRB. Appropriate medical equipment and emergency medications, including epinephrine (1:1000), must be available on site in the event of an anaphylactic or other immediate allergic reaction.

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<sup>a</sup>Tetanus toxoid protein quantity is approximate and dependent on the PS-to-protein ratio for the conjugates used in each formulation.

### 6.1.1.3 Dose Selection and Timing

Subjects in Group 1 and Group 2 will receive MenACYW conjugate vaccine or MENVEO® at 2, 4, and 6 months of age.

Subjects in Subgroup 1a will receive MenACYW conjugate vaccine at 12 to 15 months of age.

Subjects in Subgroup 1b will receive MenACYW conjugate vaccine at 15 to 18 months of age.

### 6.1.2 Identity of Control Product

**MENVEO®:** Meningococcal (Groups A, C, Y and W 135) Oligosaccharide Diphtheria CRM<sub>197</sub> Conjugate Vaccine (GlaxoSmithKline Vaccines, Srl, Bellaria-Rosia 53018, Sovicille [SI], Italy)

**Form:** Lyophilized powder and liquid components are combined to produce a Solution for Intramuscular Injection

**Dose:** 0.5 mL

**Route:** IM

**Batch number:** TBD

#### 6.1.2.1 Composition

Each 0.5 mL dose of vaccine contains the following active ingredients:

MenA oligosaccharide.....	10 mcg
MenC oligosaccharide.....	5 mcg
MenY oligosaccharide.....	5 mcg
MenW-135 oligosaccharide.....	5 mcg
CRM <sub>197</sub> protein.....	32.7 to 64.1 mcg

Other ingredients per 0.5 mL dose: residual formaldehyde ..... ≤ 0.30 mcg

#### 6.1.2.2 Preparation and Administration

MENVEO® is supplied in 2 vials, a lyophilized MenA conjugate vaccine component to be reconstituted with the accompanying MenCYW-135 liquid conjugate component. A single dose after reconstitution is 0.5 mL. See the MENVEO® package insert (38).

The procedures for administering the control product are the same as those described for the trial product in [Section 6.1.1.2](#). Each 0.5 mL dose is to be injected IM as indicated in the Operating Guidelines and [Section 5.1.4](#).

#### 6.1.2.3 Dose Selection and Timing

Subjects in Group 1 and Group 2 will receive MenACYW conjugate vaccine or MENVEO® at 2, 4, and 6 months of age.

Subjects in Group 2 will receive MENVEO® at 12 months of age.

### 6.1.3 Identity of Other Product 1

**Pentacel®:** (Diphtheria and Tetanus Toxoids and Acellular Pertussis Adsorbed, Inactivated Poliovirus and Haemophilus b Conjugate (Tetanus Toxoid Conjugate) Vaccine (Sanofi Pasteur Ltd, Toronto, Ontario, Canada)

**Form:** Liquid DTaP-IPV used to reconstitute lyophilized ActHIB®  
**Dose:** 0.5 mL  
**Route:** IM  
**Batch number:** TBD

#### 6.1.3.1 Composition

Each 0.5 mL dose contains:

Diphtheria toxoid.....	15 Limit of Flocculation (Lf)
Tetanus toxoid .....	5 Lf
Acellular pertussis antigens:	
Pertussis toxin (PT) .....	20 µg
Filamentous hemagglutinin (FHA).....	20 µg
Pertactin (PRN) .....	3 µg
Fimbriae Types 2 and 3 (FIM) .....	5 µg
Inactivated polioviruses:	
Type 1 (Mahoney) .....	40 D-antigen units (DU)
Type 2 (MEF-1).....	8 DU
Type 3 (Saukett) .....	32 DU
<i>H. influenzae</i> type b (PRP) .....	10 µg
Tetanus toxoid (PRP-T).....	24 µg

Excipients:

Aluminum phosphate (0.33 mg aluminum) (adjuvant) .....	1.5 mg
Polysorbate 80 .....	approximately 10 parts per million (ppm) by calculation
Sucrose .....	42.5 mg
Residual formaldehyde .....	≤ 5 µg
Residual glutaraldehyde .....	< 50 ng
Residual bovine serum albumin .....	≤ 50ng
2-phenoxyethanol .....	3.3 mg (0.6% v/v)
Neomycin .....	< 4 picogram (pg)
Polymyxin B sulfate .....	< 4 pg

### 6.1.3.2 Preparation and Administration

Pentacel<sup>®</sup> is supplied as a liquid vaccine component (DTaP-IPV component) that is combined through reconstitution with a lyophilized vaccine component (ActHIB<sup>®</sup> vaccine), both in single dose vials. A single dose after reconstitution is 0.5 mL. See the Pentacel<sup>®</sup> package insert (40).

The procedures for administering the product are the same as those described in [Section 6.1.1.2](#).

Each 0.5 mL dose is to be injected IM as indicated in the Operating Guidelines and [Section 5.1.4](#).

### 6.1.3.3 Dose Selection and Timing

All subjects will receive Pentacel<sup>®</sup> at 2, 4, and 6, and 15 to 18 months of age.

*Note:* Subjects in Subgroup 1a will complete the last study visit at 13 to 16 months of age. For subjects in Subgroup 1a, the 4th dose of Pentacel<sup>®</sup> which is administered at 15 to 18 months of age, will be provided by the Sponsor for completion of the DTaP series with vaccine from the same manufacturer, as per ACIP recommendation. The study personnel / Investigator will be responsible for administering this dose at the recommended age outside of the scope of the study.

### 6.1.4 Identity of Other Product 2

**PREVNAR 13<sup>®</sup>:** Pneumococcal 13-valent Conjugate Vaccine (Diphtheria CRM<sub>197</sub> Protein)  
(Wyeth Pharmaceuticals, Inc., a subsidiary of Pfizer Inc, Philadelphia, PA, USA)

**Form:** Suspension for injection

**Dose:** 0.5 mL

**Route:** IM

**Batch number:** TBD

#### 6.1.4.1 Composition

Each 0.5 mL dose of the vaccine is formulated to contain:

<i>Streptococcus pneumoniae</i> serotypes 1, 3, 4, 5, 6A, 7F, 9V, 14, 18C, 19A, 19F, 23F saccharides .....	approximately 2.2 µg of each
6B saccharides .....	4.4 µg
CRM <sub>197</sub> carrier protein .....	34 µg
Polysorbate 80 .....	100 µg
Succinate buffer .....	295 µg
Aluminum as aluminum phosphate adjuvant .....	125 µg

#### 6.1.4.2 Preparation and Administration

Prevnar 13<sup>®</sup> is supplied in a single-dose prefilled syringe. See the Prevnar 13<sup>®</sup> package insert (41).

The procedures for administering the control product are the same as those described for the trial product in [Section 6.1.1.2](#).

Each 0.5 mL dose is to be injected IM as indicated in the Operating Guidelines and [Section 5.1.4](#).

#### 6.1.4.3 Dose Selection and Timing

Subjects in Group 1 will receive Prevnar 13<sup>®</sup> at 2, 4, 6, and 12 to 15 months of age. Subjects in Group 2 will receive Prevnar 13<sup>®</sup> at 2, 4, 6, and 12 months of age.

#### 6.1.5 Identity of Other Product 3

**RotaTeq<sup>®</sup>**: (Rotavirus Vaccine, Live, Oral, Pentavalent) (Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Whitehouse Station, NJ, USA)

**Form:** Oral solution

**Dose:** 2 mL

**Route:** Oral (PO)

**Batch number:** TBD

#### 6.1.5.1 Composition

Each 2 mL dose contains the following 5 live reassortant rotaviruses:

G1 serotype.....	2.2 x 10 <sup>6</sup> infectious units (IU)
G2 serotype.....	2.8 x 10 <sup>6</sup> IU
G3 serotype.....	2.2 x 10 <sup>6</sup> IU
G4 serotype.....	2.0 x 10 <sup>6</sup> IU
P1A(8) .....	2.3 x 10 <sup>6</sup> IU

The reassortants are suspended in a buffered stabilizer solution.

Each 2 mL vaccine dose also contains sucrose, sodium citrate, sodium phosphate monobasic monohydrate, sodium hydroxide, polysorbate 80, cell culture media, and trace amounts of fetal bovine serum.

#### 6.1.5.2 Preparation and Administration

RotaTeq<sup>®</sup> is supplied in a container consisting of a squeezable plastic dosing tube with a twist-off cap allowing for direct oral administration. See the RotaTeq<sup>®</sup> package insert [\(42\)](#).

The procedures for administering the product are the same as those described in [Section 6.1.1.2](#)

Each 2 mL dose of is to be administered orally, as indicated in the Operating Guidelines and [Section 5.1.4](#).

#### 6.1.5.3 Dose Selection and Timing

All subjects will receive RotaTeq<sup>®</sup> at 2, 4, and 6 months of age.

#### 6.1.6 Identity of Other Product 4

**ENGRIX-B®:** (Hepatitis B Vaccine [Recombinant]) (GlaxoSmithKline Biologicals 441 Rixensart, Belgium)

**Form:** Suspension for injection  
**Dose:** 0.5 mL  
**Route:** IM  
**Batch number:** TBD

##### 6.1.6.1 Composition

Each 0.5 mL pediatric/adolescent dose contains 10 µg of hepatitis B virus surface antigen (HBsAg) adsorbed on 0.25 mg aluminum as aluminum hydroxide.

Excipients:

Sodium chloride.....	9 mg/mL
Disodium phosphate dihydrate .....	0.98 mg/mL
Sodium dihydrogen phosphate dihydrate .....	0.71 mg/mL

##### 6.1.6.2 Preparation and Administration

ENGRIX-B® is supplied as 0.5 mL prefilled syringes. See the ENGRIX-B® package insert (43). The procedures for administering the product are the same as those described in [Section 6.1.1.2](#). Each 0.5 mL dose is to be injected IM as indicated in the Operating Guidelines and [Section 5.1.4](#).

##### 6.1.6.3 Dose Selection and Timing

All subjects will receive ENGRIX-B® at 2 and 6 months of age.

*Note:* The first dose of hepatitis B vaccine must be given at least 28 days prior to study enrollment.

#### 6.1.7 Identity of Other Product 5

**M-M-R® II** (Measles, Mumps, and Rubella Virus Vaccine Live) (Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Whitehouse Station, NJ, USA)

**Form:** Lyophilized live virus vaccine  
**Dose:** 0.5 mL  
**Route:** SC  
**Batch number:** TBD

### 6.1.7.1 Composition

Each 0.5 mL dose contains live attenuated virus:

Measles virus (derived from Ender's Edmonston strain) propagated in chick embryo cell culture .....not less than 1000 TCID<sub>50</sub><sup>a</sup>  
Mumps virus (Jeryl Lynn™ [B level] strain) propagated in chick embryo cell culture .....not less than 12,500 TCID<sub>50</sub><sup>a</sup>  
Rubella virus (Wistar RA 27/3 strain) propagated in WI-38 human diploid lung fibroblasts .....not less than 1000 TCID<sub>50</sub><sup>a</sup>

Each 0.5 mL dose is calculated to contain sorbitol (14.5 mg), sodium phosphate, sucrose (1.9 mg), sodium chloride, hydrolyzed gelatin (14.5 mg), recombinant human albumin (≤ 0.3 mg), fetal bovine serum (< 1 ppm), other buffer and media ingredients and approximately 25 µg of neomycin.

### 6.1.7.2 Preparation and Administration

M-M-R® II is supplied as a lyophilized vaccine to be reconstituted using the accompanying sterile diluent. See the M-M-R® II package insert (44).

The procedures for administering the product are the same as those described in [Section 6.1.1.2](#).

Each 0.5 mL dose is to be injected SC in the outer aspect of the upper arm as indicated in the Operating Guidelines and [Section 5.1.4](#).

### 6.1.7.3 Dose Selection and Timing

Subjects in Group 1 will receive M-M-R® II at 12 to 15 months of age. Subjects in Group 2 will receive M-M-R® II at 12 months of age.

### 6.1.8 Identity of Other Product 6

**VARIVAX®:** Varicella Virus Vaccine Live (Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Whitehouse Station, NJ, USA)

**Form:** Suspension for injection

**Dose:** 0.5 mL

**Route:** SC

**Batch number:** TBD

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<sup>a</sup> \*TCID<sub>50</sub> = tissue culture infectious doses 50%

### 6.1.8.1 Composition

Each approximately 0.5 mL dose contains:

Live, attenuated Oka/Merck varicella virus ..... at least 1350 plaque-forming units (PFU)

Excipients:

Sucrose .....	25 mg
Hydrolyzed gelatin .....	12.5 mg
Sodium chloride.....	3.2 mg
Monosodium L-glutamate .....	0.5 mg
Sodium phosphate dibasic .....	0.45 mg
Potassium phosphate monobasic .....	0.08 mg
Potassium chloride.....	0.08 mg

The vaccine contains residual components of MRC-5 cells including DNA and protein and trace quantities of sodium phosphate monobasic, EDTA, neomycin, and fetal bovine serum. The vaccine contains no preservative.

### 6.1.8.2 Preparation and Administration

VARIVAX<sup>®</sup> is supplied as a lyophilized vaccine to be reconstituted using the accompanying sterile diluent. See the VARIVAX<sup>®</sup> package inset (45).

The procedures for administering the product are the same as those described in [Section 6.1.1.2](#).

Each 0.5 mL dose is to be injected SC into the outer aspect of the upper arm as indicated in the Operating Guidelines [Section 5.1.4](#).

### 6.1.8.3 Dose Selection and Timing

Subjects in Group 1 will receive VARIVAX<sup>®</sup> at 12 to 15 months of age. Subjects in Group 2 will receive VARIVAX<sup>®</sup> at 12 months of age.

### 6.1.9 Identity of Other Product 7

**HAVRIX<sup>®</sup>:** (Hepatitis A Vaccine) (GlaxoSmithKline Biologicals 411 Rixensart, Belgium)

<b>Form:</b>	Suspension for injection
<b>Dose:</b>	0.5 mL
<b>Route:</b>	IM
<b>Batch number:</b>	TBD



### 6.1.9.1 Composition

Each 0.5 mL pediatric dose of vaccine contains 720 enzyme-linked immunosorbent assay (ELISA) Units (EL.U.) of viral antigen, adsorbed onto 0.25 mg of aluminum as aluminum hydroxide.

Excipients:

Amino acid supplement in a phosphate-buffered saline solution supplement .....	0.3% weight/volume
Polysorbate 20 .....	0.05 mg/mL
Residual MRC-5 cellular proteins .....	not more than 5 µg/mL
Formalin .....	not more than 0.1 mg/mL
Neomycin sulfate .....	not more than 40 nanograms/mL
Aminoglycoside antibiotic.....	included in the cell growth media

### 6.1.9.2 Preparation and Administration

**HAVRIX®** is supplied as 0.5 mL prefilled syringes. See the **HAVRIX®** package insert (46).

The procedures for administering the product are the same as those described in [Section 6.1.1.2](#).

Each 0.5 mL dose is to be injected IM as indicated in the Operating Guidelines and [Section 5.1.4](#).

### 6.1.9.3 Dose Selection and Timing

Subjects in Subgroups 1b, 2a, and 2b will receive **HAVRIX®** at 15 to 18 months of age.

## 6.2 Product Logistics

### 6.2.1 Labeling and Packaging

The investigational product, MenACYW conjugate vaccine (single-dose vials), and control product will be supplied with investigational labeling and packaging according to national regulations. Each single dose of investigational or control product will be identified by a unique number on the on the detachable label and on the outer carton label. The carton label will also have a detachable label for the sites to attach to the source documents. See the Operating Guidelines for additional label detail.

The investigational and control products are blinded.

All of the concomitant products will retain original commercial labeling and packaging with no additional labels to be applied.

The concomitant products (licensed routine vaccines) are not blinded.

## **6.2.2 Product Shipment, Storage, and Accountability**

### **6.2.2.1 Product Shipment**

The Clinical Logistics Coordinator or designee will contact the Investigator or a designee to determine the dates and times of delivery of products.

Each vaccine shipment will include a temperature-monitoring device to verify maintenance of the cold chain during transit. On delivery of the product to the site, the person in charge of product receipt will follow the instructions given in the Operating Guidelines, including checking that the cold chain was maintained during shipment (i.e., verification of the temperature recorders). If there is an indication that the cold chain was broken, this person should immediately quarantine the product, alert the Sanofi Pasteur representative, and request authorization from Sanofi Pasteur to use the product.

### **6.2.2.2 Product Storage**

The Investigator will be personally responsible for product management or will designate a staff member to assume this responsibility.

At the site, products must be kept in a secure place with restricted access. The Study and Control Products (MenACYW conjugate vaccine or MENVEO®) will be stored in a refrigerator at a temperature ranging from +2°C to +8°C and never frozen. All commercially labeled products should be stored according to the manufacturer's instructions. The temperature must be monitored and documented (see the Operating Guidelines) for the entire time that the vaccine is at the study site. In case of accidental freezing or disruption of the cold chain, vaccines must not be administered and must be quarantined, and the Investigator or authorized designee should contact the Sanofi Pasteur representative for further instructions.

### **6.2.2.3 Product Accountability**

The person in charge of product management at the site will maintain records of product delivery to the trial site, product inventory at the site, the dose(s) given to each subject, and the disposal of or return to the Sponsor of unused doses.

The necessary information on the product labels is to be entered into the source document and the CRB. If applicable, information may also be entered into the subject's vaccination card.

The Sponsor's monitoring staff will verify the study site's product accountability records against the record of administered doses in the CRBs and the communication from the IRT system (if applicable).

In case of any expected or potential shortage of product during the study, the Investigator or an authorized designee should alert the Sanofi Pasteur representative as soon as possible, so that a shipment of extra doses can be arranged.

### 6.2.3 Replacement Doses

If a replacement dose is required (e.g., because the syringe broke or particulate matter was observed in the syringe), the site personnel must either contact the IRT system to receive the new dose allocation, or follow the instructions given in the Operating Guidelines.

### 6.2.4 Disposal of Unused Products

Unused or wasted products will be returned to the Sponsor in accordance with the instructions in the Operating Guidelines. Product accountability will be verified throughout the study period.

### 6.2.5 Recall of Products

If the Sponsor makes a decision to launch a retrieval procedure, the Investigator(s) will be informed of what needs to be done.

## 6.3 Blinding and Code-breaking Procedures

Given that the meningococcal vaccines (investigational and control) used in this study have different appearances and preparation methods, and that vaccination schedule in the second year of life differs in the number of vaccines administered and timing of their administration, the study has a partially modified double blind design. The study is conducted modified double blind for the infant part of the study, with everyone involved in the study (participants/parents, investigators, safety outcome assessor, Sponsor) blinded to the meningococcal vaccine received, except the personnel administering the vaccine. An unblinded vaccine administrator will administer the appropriate vaccine but will not be involved in safety data collection. The CRB will be design to ensure that the blind nature of the study will be maintained during the study. However, during the toddler part of the study, individuals blinded during the infant part of the study might be potentially unblinded due to the different timing of and age of the subjects at the vaccination visits, and number of vaccines received during these visits. Conducting the study in this manner will allow the blinded evaluation of safety data to the extent the study design permits in order to avoid bias.

The code may be broken in the event of an AE only when the identification of the vaccine received could influence the treatment of the subject. Code-breaking should be limited to the subject(s) experiencing the AE.

- The blind can be broken by the Investigator or a delegate through the IRT system, as explained in the code-breaking procedures described in the Operating Guidelines. Once the emergency has been addressed by the site, the Investigator or a delegate must notify the Sanofi Pasteur RMO if a subject's code was broken. All contact attempts with the Sponsor prior to unblinding are to be documented in the source documents, and the code breaking CRF is to be completed.

A request for the code to be broken may also be made:

- by the GPV Department through an internal system for reporting to health authorities in the case of an SAE as described in International Conference on Harmonisation (ICH) E2A. In this case, the code will be broken only for the subject(s) in question. The information resulting from code-breaking (i.e., the subject's vaccine or group assignment) will not be communicated to either the Investigator or the immediate team working on the study, except for the GPV representative.

The IEC / IRB must be notified of the code-breaking. All documentation pertaining to the event must be retained in the site's study records and in the Sanofi Pasteur files. Any intentional or unintentional code-breaking must be reported, documented, and explained, and the name of the person who requested it must be provided to the Sponsor.

## 6.4 Randomization and Allocation Procedures

On the day of enrollment, subjects who meet the inclusion/exclusion criteria and whose parent / guardian signs the ICF will be randomly assigned to Group 1 or Group 2 in a 2:1 ratio such that Group 1 will have approximately 1752 subjects and Group 2 will have approximately 876 subjects.

Each group will be further randomized 2:1 in 2 subgroups based on the time of analyses conducted in the 2nd year of life (30 days after the 12-month or 30 days after the 15-month vaccination) into 2 subgroups:

Group 1:

Subgroup 1a (G1a) (12 months): MenACYW conjugate vaccine and routine vaccines at 2, 4, 6, and 12 to 15 months of age

Subgroup 1b (G1b) (15 months): MenACYW conjugate vaccine at 2, 4, 6, and 15 to 18 months of age and routine vaccines at 2, 4, 6, 12 to 15 months of age, and 15 to 18 months of age

Group 2:

Subgroup 2a (G2a) (12 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age

Subgroup 2b (G2b) (15 months): MENVEO® at 2, 4, 6, and 12 months of age and routine vaccines at 2, 4, 6, 12, and 15 to 18 months of age

Site staff will connect to the IRT system, enter the identification and security information, and confirm a minimal amount of data in response to IRT system prompts. The IRT system will then provide the vaccine assignment and subject number. The full detailed procedures for group allocation are described in the Operating Guidelines. If the subject is not eligible to participate in the study, then the information will only be recorded on the subject recruitment log.

Subject numbers that are assigned by the IRT system will consist of a 12-digit string (a 3-digit country identifier, a 4-digit study center identifier, and a 5-digit subject identifier). For example, Subject 840000100005 is the fifth subject enrolled in Center Number 1 in the US (840 being the US country code).

Subject numbers should not be reassigned for any reason. The randomization codes will be kept securely in the IRT system.

## 6.5 Treatment Compliance

The following measures will ensure that the vaccine doses administered comply with those planned, and that any non-compliance is documented so that it can be accounted for in the data analyses:

- All vaccinations will be administered by qualified study personnel
- The person in charge of product management at the site will maintain accountability records of product delivery to the study site, product inventory at the site, dose(s) given to each subject, and the disposal of unused or wasted doses

## 6.6 Concomitant Medications and Other Therapies

At the time of enrollment, ongoing medications including but not limited to other therapies (e.g., blood products), should be recorded in the source documents. All new medications prescribed for new medical conditions / AEs during study participation should also be recorded in the source documents.

Documentation in the CRB of concomitant medication(s) will be limited to specific categories of medication(s) (Categories 1, 2, and 3 as detailed below). Those will include Category 1, 2, and 3 medications ongoing at the time of inclusion in the study, or started at any time during the subject's participation in the trial. For category 3 medication, the period of reporting in CRB will be restricted to only 3 days (72 hours) prior to each blood sampling time point.

### Collection period in source documents

Reportable medications (Category 1, 2, and 3) will be collected in the source documents from the day of first vaccination to the end of the trial.<sup>a</sup>

### Categories of Reportable medications and reporting period

Reportable medications include medications that impact or may impact the consistency of the safety information collected after any vaccination and/or the immune response to vaccination.

- Category 1: Reportable medications with potential impact on the evaluation of the safety of the study vaccines. For example, antipyretics, analgesics, non-steroidal anti-inflammatory drugs (NSAIDs), systemic corticosteroids (therapy duration less than 2 weeks), and other immune modulators. Category 1 medications do not define the Per-Protocol Analysis Set (PPAS).

*Note: Topical steroids (Inhaled, otic, ophthalmic, nasal etc.) should not be captured or reported.*

- Category 1 medications will be reported in the CRB from the day of first vaccination to the end of the solicited and unsolicited follow-up period after each vaccination. These

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<sup>a</sup> Subjects/ Subject's parents will be required to document all medications received in the Diary Cards. The sites will focus on only recording the medications belonging to the 3 categories in the other source documents.

medications will also be collected in the CRB for the 30- day period prior to the subsequent doses of the vaccine, wherever applicable (second, third, fourth, etc., in case of a multi-dose schedule with more than a 30-day interval between doses).

- Category 2: Reportable medications with potential impact on immune response of the study vaccines and used to define the PPAS. For example:
  - Flu vaccines administered within 14 days pre or post each trial vaccination, including the day of the study vaccination visit  
Any vaccine other than study vaccines (vaccines non-described in the Protocol) within the 28 days (4 weeks) preceding or after the trial vaccination, including the day of the study vaccination visit.
  - Immune globulins, blood or blood-derived products: used in the 3 months preceding the first blood draw and up to the last blood draw
  - Immunosuppressive therapy such as immune-suppressors, immune-modulators with immunosuppressive properties, long-term systemic corticosteroids therapy (prednisone or equivalent for more than 2 consecutive weeks) within past 3 months, anti-cancer chemotherapy, anti-proliferative drugs such as DNA synthesis inhibitors, or radiation therapy: used in the 6 months preceding the first trial vaccination, and up to the last blood draw.
    - Category 2 medications will be reported in the CRB during the study period up to the last blood draw.
- Category 3: Systemic (Oral or injectable) antibiotics, as they may interfere with bioassays used for antibody testing when taken before a blood draw. Antibiotics that the subject received within 72 hours preceding each visit for blood draw related to IMP assessment (meningococcal vaccines) and used to define the PPAS.
  - Category 3 medications will be reported in the CRB for the period of 3 days (72 hours) before each blood draw.

*Note: Topical antibiotics (Inhaled, otic, ophthalmic, nasal, etc.) should not be captured or reported.*

The information reported in the CRB for each reported medication will be limited to:

- Trade name
- Rationale for the origin of prescription: Whether it was a prophylactic<sup>a\*</sup> medication? Prophylactic medications will be recorded in the Action Taken section of the AE collection tables.
- Medication category (1, 2, or 3)
- Start and stop dates

Dosage and administration route, homeopathic medication, will not be recorded.

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<sup>a</sup> Medication(s) prescribed for preventing AE occurrence (e.g. paracetamol to reduce the risk of fever)

If the subject has received medications other than those listed in Categories 1, 2, and 3, the detailed information will be collected in the source documents only.

Medications given to treat an AE will be captured in the “Action Taken” section of the AE CRB only. No details will be recorded in the concomitant medication CRB unless the medication(s) received belongs to one of the prelisted categories.

## 7 Management of Samples

Blood samples for the assessment of antibody responses will be collected at Visit 1 and Visit 4, for all subjects; before the 12-month vaccinations (Visit 5) for Subgroups 1a and 2a; 30 to 51 days after 12-month vaccinations (Visit 6) for Subgroups 1a and 2a; before the 15-month vaccination for Subgroups 1b and 2b, and 30 to 51 days after the 15-month vaccinations for Subgroups 1b and 2b. See the Table of Study Procedures and [Section 5.1.3](#) for details of the sampling schedule.

### 7.1 Sample Collection

At Visits that include a blood draw, the indicated volume of blood (see [Table 5.1](#)) will be collected in tubes provided by or recommended by the Sponsor. The amount of blood to be collected at each visit is as follows: 3 mL at Visit 1, 6 mL at Visit 4, and 5 mL during the blood collection visits in the 2nd year of life. Immediately prior to the blood draw, the staff member performing the procedure will verify the subject's identity; will write the assigned subject's number on the pre-printed label that contains that subject's number and the sampling stage; and will attach the label to the tube. Blood is to be taken from the limb opposite to the one that will be used for vaccination, if vaccination and blood sample collection occur at the same visit and vaccine is given only in one of the arms.

#### *Optional blood collection for routine screening tests (not part of study)*

The American Academy of Pediatrics (AAP) recommends blood lead screening as part of routine health supervision for children at 9 to 12 months of age and, if possible, again at 24 months of age. Further, the AAP recommends universal screening for anemia at approximately 12 months of age with determination of hemoglobin concentration and an assessment of risk factors associated with iron deficiency and iron deficient anemia (47). Children enrolled in this study will undergo routine screening outside of the study. Collection of blood for lead and anemia screening could be done at the same time as blood is drawn for the study immunologic assessment via the same venipuncture. This collection should be done in sample tubes which are not part of the study, and as per standard of care. The results of these tests will not be part of the study report. Blood collection for these screening tests could be done at any visit in the 2nd year of life, once for complete blood count (CBC) (1 mL) and twice for lead blood levels (2 mL for each test).

### 7.2 Sample Preparation

Detailed instructions on how to prepare blood samples for assessment of antibody response are contained in the Operating Guidelines provided to the site. An overview of the procedures is provided here.



After the blood draw, gently invert the tube several times. Then allow the tube to clot by standing it vertically at room temperature for 60 to 120 minutes (no more and no less) prior to centrifuging.

The maximum amount of time the blood can stand at room temperature in the upright position is 2 hours. If the time between blood sampling and centrifugation is longer than 2 hours, the vacutainer should be refrigerated at 2°C to 8°C after the period of clotting at room temperature. The sample must be centrifuged within 24 hours from the initial blood draw time.

The subject's number and the date of sampling, the number of aliquots obtained, the date and time of preparation, and the subject's consent for future use of his / her samples are to be specified on a sample identification list and recorded in the source document. Space is provided on this list for comments on the quality of samples.

### 7.3 Sample Storage and Shipment

During storage, serum tubes are to be kept in a freezer whose temperature is set and maintained at -20°C or below. The temperature will be monitored and documented on the appropriate form during the entire trial. If it rises above -10°C for any period of time, the Clinical Logistics Coordinator must be notified. See the Operating Guidelines for further details.

Shipments to the laboratories will be made only after appropriate monitoring, and following notification of the Clinical Logistics Coordinator. Sera will be shipped frozen, using dry ice to maintain them in a frozen state, in the packaging container provided by the carrier. Again, temperatures will be monitored. Shipments must be compliant with the International Air Transport Association (IATA) 602 regulations.

Samples will be shipped to R&D Global Operations at Sanofi Pasteur. The address is provided in the Operating Guidelines.

Blood samples for the assessment of antibody responses to the study vaccines will be stored for up to 25 years after the end of the study. It can take many years for a vaccine to be developed and approved for use. Even after the vaccine is made available to the public, we continue to gain more knowledge of the vaccine's benefits and risk or improve the methods we use to measure the efficacy of the vaccine. It is for this reason that it is important we retain these blood samples so that we can go back and confirm the results of this study if new information or improved methods become available.

### 7.4 Future Use of Stored Serum Samples for Research

Any unused part of the serum samples will be securely stored at the Sanofi Pasteur R&D Global Operations for up to 25 years after the last license approval in the relevant market areas has been obtained for the vaccine being tested.

Subjects' parents / guardian will be asked to indicate in the ICF whether they will permit the future use of any unused stored serum samples for other tests. If they refuse permission, the samples will not be used for any testing other than that directly related to this study. If they agree to this use, they will not be paid for giving permission. Anonymity of samples will be ensured. The aim of any possible future research is unknown today, and may not be related to this particular study. It may be to improve the knowledge of vaccines or infectious diseases, or to

improve laboratory methods. Genetic tests will never be performed on these samples without individual informed consent.

## 8 Clinical Supplies

Sanofi Pasteur will supply the study sites with protocols, ICFs, CRBs, SAE reporting forms diary cards, memory aids, and other study documents, as well as with the following study materials: all study vaccines, blood collection tubes, cryotubes, cryotube storage boxes, cryotube labels, temperature recorders, shipping containers, rulers, and digital thermometers.

The means for performing Electronic Data Capture (EDC) will be defined by Sanofi Pasteur. If a computer is provided by Sanofi Pasteur, it will be retrieved at the end of the trial.

The Investigator will supply all vaccination supplies, phlebotomy, and centrifugation equipment, including biohazard and / or safety supplies. The biohazard and safety supplies include needles and syringes, examination gloves, laboratory coats, sharps disposal containers, and absorbent countertop paper. The site will ensure that all biohazard wastes are autoclaved and disposed of in accordance with local practices. The Investigator will also supply appropriate space in a temperature-monitored refrigerator for the storage of the products and for the blood samples, and appropriate space in a temperature-monitored freezer for serum aliquots. Please see Operating Guidelines for further details.

In the event that additional supplies are required, study staff must contact Sanofi Pasteur, indicating the quantity required. Contact information is provided in the Operating Guidelines. They must allow approximately 1 week for an order to be filled and to have the supplies sent to their site.

## 9 Endpoints and Assessment Methods

### 9.1 Primary Endpoint and Assessment Method

#### 9.1.1 Safety

There are no primary objectives for safety.

#### 9.1.2 Immunogenicity

##### 9.1.2.1 Immunogenicity Endpoints

The primary endpoints for the evaluation of immunogenicity are:

- 1) Meningococcal serogroups A, C, Y, and W antibody titers measured by hSBA before first study vaccination on D0 and 30 days after the 4th meningococcal vaccination (Subgroup 1a versus (vs) Subgroup 2a).
- 2) Antibody titers  $\geq 1:8$  against meningococcal serogroups A, C, Y, and W measured by

hSBA assessed 30 days after vaccination(s) at 6 months of age (Group 1 vs Group 2).

#### 9.1.2.2 Immunogenicity Assessment Methods

All assays will be performed at GCI, Swiftwater, Pennsylvania (PA) or at a qualified contract laboratory for GCI.

The assay method to be used is summarized below. Laboratory technicians conducting the immunogenicity assays will be blinded to the group to which each subject was assigned.

##### *Antibodies to meningococcal antigens (hSBA Method)*

Functional meningococcal antibody activity against serogroups A, C, Y, and W will be measured in hSBA. Two-fold dilutions of test sera are prepared in sterile 96-well microtiter plates. Serogroup-specific meningococcal bacteria along with human complement are added to the serum dilutions and allowed to incubate. After this incubation period, an agar overlay medium is added to the serum/complement/bacteria mixture, allowed to harden, and then incubated overnight at 37°C with 5% carbon dioxide (CO<sub>2</sub>). Bacterial colonies present in the wells are then counted. The endpoint titer is determined by the reciprocal serum dilution yielding  $\geq 50\%$  killing as compared to the mean of the complement control wells. The lower limit of quantitation (LLOQ) of the hSBA assay is a titer of 1:4.

This method will be performed on BL1 and BL2 collected from all groups, BL3 collected from Subgroups 1a, 1b and 2a, and BL4 collected from Subgroups 1a, 1b and 2a.

#### 9.1.3 Efficacy

There are no objectives for efficacy in this study.

### 9.2 Secondary Endpoints and Assessment Methods

#### 9.2.1 Safety

There are no secondary objectives for safety.

#### 9.2.2 Immunogenicity

##### 9.2.2.1 Immunogenicity Endpoints

1) The following serological endpoints will be assessed (assessment of routine vaccines):

- D0 (before first vaccination) for Group 1 and Group 2:
  - Anti-rotavirus serum immunoglobulin (Ig) A antibody concentrations
- 30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2:
  - IgG antibodies against hepatitis B surface antigen (anti-HB) concentrations  $\geq 10$  milli-international units (mIU) / mL

- Anti polyribosyl-ribitol phosphate (PRP) antibody concentrations  $\geq 0.15$  micrograms/milliliter ( $\mu\text{g} / \text{mL}$ )
- Anti PRP antibody concentrations  $\geq 1.0 \mu\text{g/mL}$
- Anti-poliovirus types (1, 2, and 3) antibody titers  $\geq 1:8$
- Anti-rotavirus serum IgA antibody concentrations with  $\geq 3$ -fold rise over baseline
- Anti-rotavirus serum IgA antibody geometric mean concentrations (GMCs)
- Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (GMCs)
- Anti-pneumococcal antibody concentrations (for serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) (GMCs)
- 30 days after the 12-month vaccinations for Subgroup 1a and Subgroup 2a:
  - Anti-measles antibody concentrations  $\geq 255 \text{ mIU/mL}$
  - Anti-mumps antibody concentrations  $\geq 10$  mumps antibody (Ab) units/mL
  - Anti-rubella antibody concentrations  $\geq 10 \text{ IU/mL}$
  - Anti-varicella antibody concentrations  $\geq 5$  glycoprotein enzyme-linked immunosorbent assay (gpELISA) units/mL
  - Anti-pneumococcal antibody concentrations (for serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) (GMCs)
- Before the 15-month vaccination for Subgroup 1b and Subgroup 2b:
  - Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM).
- 30 days after the 15-month vaccinations for Subgroup 1b and Subgroup 2b:
  - Anti-PRP antibody concentrations  $\geq 1.0 \mu\text{g/mL}$
  - Anti-poliovirus types 1, 2, and 3 antibody titers  $\geq 1:8$
  - Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (vaccine response)<sup>a</sup>

Subgroup analyses to examine consistency across study groups will be performed and presented in the Statistical Analysis Plan (SAP).

2) The following serological endpoints will be assessed (effect of 4th dose of MenACYW or Menveo):

- Before the 12-month vaccination (pre-4th dose) for Subgroups 1a and 2a

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<sup>a</sup> Pertussis vaccine response definition:

- Pre-vaccination < lower limit of quantitation (LLOQ), then post-vaccination should be  $\geq 4\text{x}$  the LLOQ
- Pre-vaccination > LLOQ but <  $4\text{x}$  the LLOQ, then post-vaccination should achieve a 4-fold rise (post-vaccination/pre-vaccination  $\geq 4$ )
- Pre-vaccination >  $4\text{x}$  the LLOQ, then post-vaccination should achieve a 2-fold response (post-vaccination/pre-vaccination  $\geq 2$ )

- hSBA meningococcal serogroups A, C, Y and W antibody titers
- 30 days after the 12-month vaccination for Subgroups 1a and 2a
  - hSBA meningococcal serogroups A, C, Y and W antibody titers
  - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq$  4-fold rise from pre-4th dose (at 12 months of age) to post-vaccination
- 3) The following serological endpoints will be assessed (persistence of bactericidal antibodies after infant vaccination with MenACYW or Menveo):
  - 30 days after the 6-month and before the 12-month vaccination for Subgroup 1a and Subgroup 2a
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq$ 1:4 and  $\geq$ 1:8
- 4) The following serological endpoints will be assessed.
  - Day 0 (before first vaccination) for Groups 1 and 2
    - Anti-pertussis antibody concentrations (PT, FHA, PRN, FIM)
  - 30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2:
    - Anti-PRP antibody concentrations
    - Anti-diphtheria antibody concentrations
    - Anti-diphtheria antibody concentrations  $\geq$  0.01 IU/mL
    - Anti-diphtheria antibody concentrations  $\geq$  0.1 IU/mL
    - Anti-tetanus antibody concentrations
    - Anti-tetanus antibody concentrations  $\geq$  0.01 IU/mL
    - Anti-tetanus antibody concentrations  $\geq$  0.1 IU/mL
    - Anti-HBs antibody concentrations
    - Anti-HBs concentrations  $\geq$  100 IU/mL
    - Anti-polio (types 1, 2, and 3) antibody titers
    - Anti-rotavirus serum IgA antibody concentrations
    - Anti-rotavirus serum IgA antibody concentrations with  $\geq$ 4-fold rise over baseline
    - Anti-pertussis (PT, FHA, PRN, and FIM) antibody concentrations (vaccine response)
    - Anti-pneumococcal antibody concentrations (PCV13)
  - 30 days after the 12-month vaccinations for Subgroup 1a and Subgroup 2a:
    - Anti-measles antibody concentrations
    - Anti-mumps antibody concentrations

- Anti-rubella antibody concentrations
  - Anti-varicella antibody concentrations
  - Anti-pneumococcal antibody concentrations (PCV13)
  - 30 days after the 6-month vaccination and before vaccination at the 15-month vaccinations for Subgroup 1b and Subgroup 2b to evaluate immune persistence after primary series vaccination with Hib and pertussis vaccines:
    - Anti-PRP antibody concentration  $\geq 0.15 \mu\text{g/mL}$
    - Anti-PRP antibody concentrations
    - Anti-pertussis (PT, FHA, PRN, and FIM) antibody concentrations
  - 30 days after the 15-month vaccinations for Subgroup 1b and Subgroup 2b:
    - Anti-PRP antibody concentrations
    - Anti-diphtheria antibody concentrations
    - Anti-diphtheria antibody concentrations  $\geq 0.1 \text{ IU/mL}$
    - Anti-diphtheria antibody concentrations  $\geq 1.0 \text{ IU/mL}$
    - Anti-tetanus antibody concentrations
    - Anti-tetanus antibody concentrations  $\geq 0.1 \text{ IU/mL}$
    - Anti-tetanus antibody concentrations  $\geq 1.0 \text{ IU/mL}$
    - Anti-polio (types 1, 2, and 3) antibody titers
    - Anti-pertussis antibody concentrations (PT, FHA, PRN, and FIM) (GMCs)
- 5) The following serological endpoints will be assessed:
- D0 (before first vaccination) for Group 1 and Group 2:
    - hSBA meningococcal serogroups A, C, Y and W antibody titers
  - 30 days after the 6-month vaccination (after the 3rd dose) for Group 1 and Group 2:
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers
    - Titer distribution and reverse cumulative distribution curves (RCDCs)
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq 1:4$  and  $\geq 1:8$
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq 4$ -fold rise from pre-vaccination (D0) to post-vaccination
    - hSBA vaccine seroresponse
  - Before the 12-month vaccination for Subgroups 1a and 2a and before the 15-month vaccination for Subgroup 1b:
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers

- 30 days after the 12-months vaccinations for Subgroup 1a and 2a and 30 days after the 15-month vaccination for Subgroup 1b:
  - hSBA meningococcal serogroups A, C, Y, and W antibody titers
  - Titer distribution and RDCs
  - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq 1:4$  and  $\geq 1:8$
  - hSBA meningococcal serogroups A, C, Y, and W antibody titers  $\geq 4$ -fold rise from pre-vaccination (D0) to post-dose 4 vaccination
  - hSBA vaccine seroresponse
- 6) The following serological endpoints will be assessed
  - D0 (before first vaccination) for Subgroup 1a and Subgroup 1b:
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers
  - 30 days after the 6-month vaccination and before the 12-month vaccination for Subgroup 1a and before the 15-month vaccination for Subgroup 1b to evaluate the immune persistence after infant vaccination with MenACYW conjugate vaccine:
    - hSBA meningococcal serogroups A, C, Y and W antibody titers
    - hSBA meningococcal serogroups A, C, Y and W antibody titers  $\geq 1:4$  and  $\geq 1:8$
  - 30 days after the 12-month vaccinations for Subgroup 1a and 30 days after the 15-month vaccination for Subgroup 1b, including evaluation of the effect of the 4<sup>th</sup> dose of MenACYW conjugate vaccine:
    - hSBA meningococcal serogroups A, C, Y, and W antibody titers
    - hSBA meningococcal serogroups A, C, Y and W antibody titers ratio (Subgroup 1b/Subgroup 1a)
    - hSBA meningococcal serogroups A, C, Y and W antibody titers  $\geq 1:4$  and  $\geq 1:8$
    - hSBA meningococcal serogroups A, C, Y and W antibody titers  $\geq 1:8$  difference (Subgroup 1b – Subgroup 1a)
    - hSBA meningococcal serogroups A, C, Y and W antibody titers  $\geq 4$ -fold rise from pre-vaccination (D0) to post-4<sup>th</sup> dose vaccination
    - hSBA meningococcal serogroups A, C, Y and W antibody titers  $\geq 4$ -fold rise from pre-4<sup>th</sup> dose vaccination to post-4<sup>th</sup> dose vaccination
    - hSBA vaccine seroresponse
    - hSBA vaccine seroresponse difference (Subgroup 1b – Subgroup 1a)

#### 9.2.2.2 Immunogenicity Assessment Methods

The assay methods to be used are summarized below. Laboratory technicians conducting the immunogenicity assays will be blinded to the group to which each subject was assigned.



The immunogenicity hSBA assessment method meningococcal serogroups A, C, Y, and W antibody titers for the secondary endpoints is the same as that presented in [Section 9.1.2.2](#).

#### ***Anti-Rotavirus IgA Antibodies***

Anti-rotavirus IgA antibodies in human serum will be measured by ELISA. Microtiter plates are coated with rabbit anti-rotavirus antibody and then viral lysate (positive wells) or control cell lysate (negative wells) is added. Diluted serum samples (test samples, reference standard, and quality controls) are incubated in the wells. Unbound antibodies are washed from the wells, and enzyme-conjugated anti-human IgA immunoglobulin is added. The enzyme conjugate binds to the antigen-antibody complex. Excess conjugate is washed away and a specific colorimetric substrate is added. Bound enzyme catalyzes a hydrolytic reaction, which causes color development. A reference standard assayed on each plate is used to calculate the amount of specific anti-rotavirus IgA antibody in the units assigned by the reference standard (U/mL of serum).

This method will be performed on BL1 and BL2 collected from all groups.

#### ***Anti-Diphtheria, Tetanus, and Pertussis Antibodies***

The DTP (Diphtheria, Tetanus, and Pertussis) ECL (electrochemiluminescent) is a multiplexed serological assay which allows for the simultaneous quantification of human antibodies to 6 specific antigens including diphtheria toxoid, tetanus toxoid, and 4 pertussis antigens: PT, FHA, FIM and PRN. In this assay, each well of a 96-well microtiter plate is pre-coated in precise positions with the 6 different antigens in a multi-spot fashion. Following incubation with serum samples, antigen-specific antibodies bind to the respective antigens. The captured antibodies are then detected using a sulfotag conjugated anti-human IgG conjugate. Electrical stimulation of the conjugate in the presence of a chemiluminescent substrate results in the generation of a light signal from each specific spot that is captured by a camera in relative light units. The signal generated is directly proportional to the amount of antibodies present in the sample, which is quantified using software and based on an established reference standard sample curve. The LLOQ for Diphtheria is 0.005 IU/mL, the LLOQ for Tetanus is 0.01 IU/mL and the LLOQ for Pertussis antigens is 2.00 EU/ml.

This method will be performed on BL1 and BL2 collected from all groups, and BL3 and BL4 collected from Subgroups 1 b and 2b.

#### ***Anti-Hepatitis B Antibodies***

Anti-HB antibodies will be measured by the commercially available VITROS ECi/ECiQ Immunodiagnostic System using chemiluminescence detection technology. The VITROS ECi Immunodiagnostic system uses an antibody-mediated antigen sandwich formation to detect the presence of anti-HBs total immunoglobulin in human serum. This involves the reaction of anti-HBs in the sample with plasma-derived HBsAg (ad and ay subtypes) coated onto the wells. A horseradish peroxidase (HRP)-labeled HBsAg conjugate (ad and ay subtypes) then complexes with the bound anti-HBs forming an antigen sandwich. Substrate is then added which catalyzes HRP, producing light. The light signals are read by the VITROS ECi/ECiQ. Immunodiagnostic System and the amount of HRP conjugate bound is directly proportional to the concentration of anti-HepBs antibodies present in the sample. Results are reported in mIU/mL by comparison to a calibrator provided by the manufacturer that has been calibrated according to the World Health

Organization (WHO) First International Reference Preparation for Antibody to HBsAg (1977). The LLOQ is 5 mIU/mL.

This method will be performed on BL2 collected from all groups.

#### ***Anti-Haemophilus influenza type b (Anti-PRP) Antibodies***

Anti-PRP concentrations will be measured using a Farr-type radioimmunoassay (RIA). Serum levels of anti-*Haemophilus influenzae* type b (Hib) capsular PRP antibody are determined by RIA, in which serum samples are incubated with radiolabeled PRP ( $^3\text{H}$ -PRP) in the presence of  $^{36}\text{Cl}$  (volume marker). Specific antibodies bind to tritiated capsular PS to form antigen-antibody complexes. These complexes are precipitated with ammonium sulfate and collected by centrifugation. The radioactivity is measured in the precipitated pellet in counts per minute and is proportional to the amount of anti-Hib capsular PS antibody present in the serum sample. The concentration of anti-PRP antibody in the serum sample is determined from the concentration response curve generated by the titration results of dilutions of the reference standard analyzed in the assay. Results are reported in  $\mu\text{g/mL}$  by comparison to the Center for Biologics Evaluation and Research (CBER), Lot No. 1983 reference standard. The LLOQ of the anti-PRP RIA is 0.06  $\mu\text{g/mL}$ .

This method will be performed on BL2 collected from all groups, and BL3 and BL4 collected from Subgroups 1b and 2b, only.

#### ***Anti-Polio (types 1, 2, and 3) Antibodies***

Anti-poliovirus types 1, 2, and 3 will be measured by neutralization assay. Serial dilutions of sera are mixed with challenge poliovirus and incubated with cultured Vero cells that are sensitive to poliovirus. Specific neutralizing antibodies contained in the sera bind to and neutralize the challenge poliovirus. The neutralized poliovirus does not affect cellular viability and these cells continue to metabolize and release  $\text{CO}_2$ , reducing the pH of the culture medium. Cell survival correlates with the change in the pH indicator (phenol red to yellow at  $\text{pH} \leq 7.0$ ) contained in the medium. In the absence of neutralizing antibodies, the challenge poliovirus reduces cellular metabolism and  $\text{CO}_2$  production. Therefore, the pH does not decrease and a color change is not detected. The poliovirus mouse inoculation test measures the functional serum antibody response to poliovirus by utilizing Vero cells (African green monkey kidney cells) and wild type poliovirus strains 1, 2, and 3 (Mahoney, MEF-1, and Saukett, respectively) as the challenge virus. The Karber method is used to determine the serum dilution that neutralized 50% of the challenge virus. Results are expressed as titers (1/dilution [dil]). The LLOQ of the anti-poliovirus types 1, 2, and 3 assays is 4 (1/dil).

This method will be performed on BL2 collected from all groups and BL4 collected from Subgroups 1b and 2b.

#### ***Anti-Pneumococcal Antibodies***

The pneumococcal capsular PS (PnPS) IgG ECL assay is used to quantitate the amount of anti-*Streptococcus pneumoniae* PS (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 8, 9N, 9V, 10A, 11A, 12F, 14, 15B, 18C, 19A, 19F, 22F, 23F and 33F) antibodies in human serum. In this method, purified antigen of 8 PnPS are coated into defined spots within the wells of a 96-well microtiter plate by

MesoScale Discovery using 3 types of plates to cover all 21 PnPS. Diluted serum samples (test samples, reference standard, and quality controls), pre-treated with pneumococcal cell wall absorbents (to reduce the interference of non-specific antibodies in the assay), are incubated in the wells. Specific antibodies in the serum samples bind to the immobilized antigen. Unbound antibodies are washed from the wells, and SULFO-TAG-conjugated anti-human immunoglobulin is added. The antibody conjugate binds to the antigen-antibody complex. Excess conjugate is washed away, and read buffer is added. The plate is read using electrochemiluminescence on an MSD imager. The intensity of the generated light is proportional to the amount of specific antibody bound to the antigen-coated spots. An international reference standard assayed on each plate is used to calculate the amount of anti-pneumococcal IgG antibodies ( $\mu\text{g/mL}$ ) in human serum. The LLOQ for all PnPS serotypes is 0.15  $\mu\text{g/mL}$ .

This method will be performed on BL2 for all groups and BL4 collected from Subgroups 1a and 2a.

### ***Anti-Measles Antibodies***

The purpose of the Bulk Measles IgG EIA (Enzyme Immunoassay) is to detect total IgG antibody to measles virus before and after vaccination with a measles-containing vaccine. Plates are coated in house using inactivated measles antigen that is bound to solid phase microtiter plates. The antigen is derived from Measles Edmonston strain-infected Vero cells. Serum or plasma is added to the coated plates and samples positive for measles antibodies will bind to the measles antigen-coated plates, forming antibody-antigen complexes. The bound antibody-antigen complexes can then be detected using an Alkaline Phosphatase labeled anti-human IgG. Color development occurs as a result of the addition of an enzyme-specific substrate Phenolphthalein Monophosphate. The color intensity is then measured spectrophotometrically with the highest intensity of color correlating to a high level of measles antibody and lowest color intensity correlating to low levels of measles antibody. Quantitation of the human IgG antibody to measles virus or titer is determined by comparison of the resulting optical density (OD) to a standard curve. The reference standard is a pool of human sera that has been calibrated against the WHO anti-measles reference standard, lot NIBSC 66/202. The concentration of anti-measles antibody in a sample is reported in milli-International Units per milliliter of serum (mIU/mL). The clinical endpoint for the measles assay is 255 mIU/mL and the LLOQ is 60 mIU/mL.

This method will be performed on BL4 collected from Subgroups 1a and 2a.

### ***Anti-Mumps Antibodies***

The purpose of the mumps enzyme-linked immunosorbent assay (ELISA) is to detect IgG antibody to mumps virus before and after vaccination with a mumps virus-containing vaccine. The assay uses an earlier passage of the Jeryl Lynn® mumps virus (Jeryl Lynn® 135 [JL135], <12 passages) which is considered to be a wild-type (WT)-like strain. The reactivity of the sera to the mumps antigens prepared from uninfected Vero cells (denoted as tissue culture control [TCC] wells) is subtracted from that of JL135-infected Vero cells. JL135 mumps virus antigen or TCC is bound to solid phase microtiter plates and serum containing mumps antibody is added. The mumps antibody bound to the WT mumps antigen-coated plates forms an antibody-antigen complex. The bound antibody-antigen complex is then detected using an enzyme-labeled anti-

human IgG. Color development occurs with the addition of a substrate and color intensity is measured spectrophotometrically. Results are obtained as a difference of the average duplicate of each optical density (OD) of JL135 mumps antigen wells and the average duplicate OD of TCC wells for each serum sample (noted as delta optical density [DOD]). Quantitation of the human IgG antibody to mumps virus, or antibody concentration, is determined by comparison of the resulting test DOD to a standard curve. The reference standard is an individual human serum. Results for the assay are reported as the concentration of antibody in Mumps antibody units/mL. The clinical endpoint and the LLOQ for the mumps assay is 10 Mumps Ab units/mL.

This method will be performed on BL4 collected from Subgroups 1a and 2a.

### ***Anti-Rubella Antibodies***

The purpose of the Bulk Rubella IgG EIA (Enzyme Immunoassay) is to detect total IgG antibody to rubella virus before and after vaccination with a rubella-containing vaccine. Plates are coated in house using inactivated rubella antigen that is bound to solid phase microtiter plates. The antigen is derived from Rubella HPV-77 infected Vero cells. Serum is added to the coated plates and samples positive for rubella antibodies will bind to the rubella antigen-coated plates, forming antibody-antigen complexes. The bound antibody-antigen complexes can then be detected using an Alkaline Phosphatase labeled anti-human IgG. Color development occurs as a result of the addition of an enzyme-specific substrate, Phenolphthalein Monophosphate. The color intensity is then measured spectrophotometrically with the highest intensity of color correlating to a high level of rubella antibody and lowest color intensity correlating to low levels of rubella antibody.

Quantitation of the human IgG antibody to rubella virus or titer is determined by comparison of the resulting analysis OD to a standard curve. The reference standard is an individual human serum that has been calibrated against the WHO anti-rubella reference standard. The concentration of anti-rubella antibody in a sample is reported in International Units per milliliter of serum (IU/mL). The clinical endpoint for the rubella assay is 10 IU/mL and the LLOQ is 5 IU/mL.

This method will be performed on BL4 collected from Subgroups 1a and 2a.

### ***Anti-Varicella Antibodies***

The purpose of the glycoprotein enzyme-linked immunosorbent assay (gpELISA) is to detect IgG antibody to varicella-zoster virus (VZV) before and after vaccination with VZV-containing vaccine(s). This method detects antibodies to VZV glycoprotein (gp), which have been purified from MRC-5 cells infected with the KMCC strain of VZV by lectin affinity chromatography. The assay uses the “second antibody” format with varicella glycoprotein (gp) antigen and MRC5 tissue culture component (TCC) glycoprotein coated on the solid phase microtiter plate. Diluted sera are dispensed into two VZV gp antigen coated wells and two MRC5 gp coated wells for each standard curve point, control, and sample. Antibody to the Varicella virus in a test sample binds to the antigen coated plate. Antibody to varicella glycoprotein in a test sample, bound to the antigen on the solid phase microtiter plate is subsequently detected using goat anti-human IgG alkaline phosphatase conjugate. After substrate addition for color development, quantitation is obtained by comparison of sample Delta Optical Density (DOD) to a standard curve. The DOD is determined by subtracting the average Optical Density (OD) of the TCC coated wells from its corresponding

VZV gp average OD with a standard curve. Assay results are reported as concentration of antibody in gpELISA units/mL. The clinical endpoint for the varicella assay is 5 gp ELISA Ab units/mL and the LLOQ is 0.625 gpELISA Ab units/mL.

This method will be performed on BL4 collected from Subgroups 1a and 2a.

The priority of titration is indicated in [Table 5.2](#). For each visit and group or subgroup, antigens are listed in descending order of assay priority (highest to lowest priority). The priority of titrations for anti-pneumococcal serotypes is as follows: 1, 3, 5, 6A, 7F, 19A, 4, 6B, 9V, 14, 18C, 19F, and 23F.

### 9.2.3 Efficacy

There are no secondary objectives for efficacy.

## 9.3 Observational Endpoints and Assessment Methods

### 9.3.1 Immunogenicity

There are no observational objectives for immunogenicity in this study.

### 9.3.2 Safety

#### 9.3.2.1 Safety Definitions

The following definitions are taken from the ICH E2A Guideline for Clinical Safety Data Management: Definitions and Standards for Expedited Reporting.

#### ***Adverse Event (AE):***

An AE is any untoward medical occurrence in a patient or in a clinical investigation subject administered a medicinal product and which does not necessarily have a causal relationship with this treatment. An AE can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding, for example), symptom or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product.

Therefore an AE may be:

- A new illness
- The worsening of a pre-existing condition
- An effect of the vaccination, including the comparator
- A combination of the above

All AEs include serious and non-serious AEs.

Surgical procedures are not AEs; they are the actions taken to treat a medical condition. It is the condition leading to the action taken that is the AE (if it occurs during the study period).

Pre-existing medical conditions are not to be reported as AEs. However, if a pre-existing medical condition worsens following study interventions in frequency or intensity, or if according to the Investigator there is a change in its clinical significance, this change should be reported as an AE (exacerbation). This applies equally to recurring episodes of pre-existing conditions (e.g., asthma) if the frequency or intensity increases post-vaccination.

***Serious Adverse Event (SAE):***

*Serious* and *severe* are not synonymous. The term *severe* is often used to describe the intensity of a specific event as corresponding to Grade 3. This is not the same as *serious* which is based on subject / event outcome or action criteria usually associated with events that pose a threat to a subject's life or functioning. Seriousness, not severity, serves as a guide for defining regulatory reporting obligations.

An SAE is any untoward medical occurrence that at any dose

- Results in death
- Is life-threatening<sup>a</sup>
- Requires inpatient hospitalization or prolongation of existing hospitalization<sup>b</sup>
- Results in persistent or significant disability / incapacity<sup>c</sup>
- Is a congenital anomaly / birth defect
- Is an important medical event (IME)

Medical and scientific judgment should be exercised in deciding whether expedited reporting is appropriate in other situations, such as IMEs that may not be immediately life-threatening or result in death or hospitalization but may jeopardize the health of the subject or may require intervention to prevent one of the other outcomes listed in the definition above. These IMEs should also usually be considered serious. Examples of such events include allergic bronchospasm requiring intensive treatment in an emergency room or at home, blood dyscrasias or convulsions that do not result in inpatient hospitalization, or the development of drug dependency or drug abuse, new-onset diabetes, or autoimmune disease.

***Adverse Reaction:***

All noxious and unintended responses to a medicinal product related to any dose should be considered AR.

(The phrase “responses to a medicinal product” means that a causal relationship between a medicinal product and an AE is at least a reasonable possibility)

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<sup>a</sup> The term “life-threatening” refers to an event in which the subject was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe.

<sup>b</sup> All medical events leading to hospitalizations will be recorded and reported as SAEs, with the exception of: hospitalization planned before inclusion into the study or outpatient treatment with no hospitalization.

<sup>c</sup> “Persistent or significant disability or incapacity” means that there is a substantial disruption of a person's ability to carry out normal life functions.



The following additional definitions are used by Sanofi Pasteur:

***Immediate Event/Reaction:***

Immediate events are recorded to capture medically relevant unsolicited systemic AEs (including those related to the product administered) that occur within the first 30 minutes after vaccination.

***Solicited Reaction:***

A solicited reaction is an “expected” AR (sign or symptom) observed and reported under the conditions (nature and onset) pre-listed in the protocol and CRB.

Examples of solicited reactions include injection site tenderness or irritability occurring between D0 and D07 after vaccination.

By definition, solicited reactions are to be considered as being related to the product administered.

For injectable vaccines, solicited reactions can either be solicited injection site reactions or solicited systemic reactions.

The assessment of these reactions by the Investigator is mandatory.

***Unsolicited AE / AR:***

An unsolicited AE is an observed AE that does not fulfill the conditions prelisted in the CRB in terms of diagnosis and/or onset window post-vaccination. For example, if headache between D0 and D07 is a solicited reaction (i.e., pre-listed in the protocol and CRB), then a headache starting on D07 is a solicited reaction, whereas headache starting on D08 post-vaccination is an unsolicited AE. Unsolicited AEs includes both serious (SAEs) and non-serious unsolicited AEs.

***Injection Site Reaction:***

An injection site reaction is an AR at and around the injection site. Injection site reactions are commonly inflammatory reactions. They are considered to be related to the product administered.

***Systemic AE:***

Systemic AEs are all AEs that are not injection or administration site reactions. They therefore include systemic manifestations such as headache, fever, as well as localized or topical manifestations that are not associated with the vaccination or administration site (e.g., erythema that is localized but that is not occurring at the injection site).

***Adverse Event of Special Interest (AESI):***

AESI is an event for which ongoing monitoring and rapid communication by the Investigator to the Sponsor must be done. Such an event might warrant further investigation in order to characterize and understand it. Depending on the nature of the event, rapid communication by the study Sponsor to other parties (e.g., regulators) might also be warranted.

***Medically-Attended Adverse Event (MAAE)***

An MAAE is defined, for the purpose of this study, as a new onset of a condition that prompts the subject or subject’s parent/guardian to seek unplanned medical advice at a health care provider’s office or Emergency Department. This definition excludes pre-planned medical office visits for routine pediatric check-ups or follow-up visits of chronic conditions with an onset prior to entry in the study. Health care provider contact made over the phone or by email will be considered a



physician office visit for the purpose of MAAE collection. The outcome of the health care provider contact (whether it results in a prescription or not) will not be considered as a basis for reporting the event as an MAAE and all contacts should be reported. Sufficient data should be collected for the event to allow an assessment of the causality and diagnosis, if possible.

### 9.3.2.2 Safety Endpoints

The endpoints for the evaluation of safety are:

- Occurrence, nature (Medical Dictionary for Regulatory Activities [MedDRA] preferred term), duration, intensity, relationship to vaccination, and whether the event led to early termination from the study, of any unsolicited systemic AEs reported in the 30 minutes after each vaccination
- Occurrence, time of onset, number of days of occurrence, intensity, action taken, and whether the reaction led to early termination from the study, of solicited (prelisted in the subject's diary card and electronic case report book [CRB]) injection site reactions occurring up to D07 after each vaccination
- Occurrence, time of onset, number of days of occurrence, intensity, action taken, and whether the reaction led to early termination from the study, of solicited (prelisted in the subject's diary card and book CRB) systemic reactions occurring up to D07 after each vaccination
- Occurrence, nature (MedDRA preferred term), time of onset, duration, intensity, action taken, relationship to vaccination (for systemic AEs only), and whether the event led to early termination from the study, of unsolicited AEs up to D30 after each vaccination
- Occurrence, nature (MedDRA preferred term), time of onset, duration, seriousness criteria, relationship to vaccination, outcome, and whether the event led to early termination from the study, of SAEs (including AESIs) throughout the trial from Visit 1 to the 6-month follow-up contact after the last vaccination
- Occurrence, nature (MedDRA preferred term), time of onset, duration, intensity, action taken, relationship to vaccination (for systemic AEs only), and whether the event led to early termination from the study for MAAEs throughout the trial from Visit 1 to the 6-month follow-up contact after the last vaccination.

### 9.3.2.3 Safety Assessment Methods

At each vaccination visit, the Investigator or a delegate will perform a physical examination on the basis of relevant medical history according to the Investigator's clinical judgment and will ask the parent / guardian about any solicited reactions and unsolicited AEs recorded in the diary card, as well as about any other AEs that may have occurred since the previous visit. All relevant data will be transcribed into the CRB according to the instructions provided by the Sponsor.

#### 9.3.2.3.1 Immediate Post-vaccination Observation Period

Subjects will be kept under observation for 30 minutes after each vaccination to ensure their safety. The post-vaccination observation should be documented in the source document. Any AE

that occurs during this period will be noted on the source document and recorded in the CRB, as follows:

- Unsolicited systemic AEs will be recorded as immediate AEs in the CRB (presence marked as “yes” and details collected).
- Solicited and unsolicited injection site reactions and solicited systemic reactions will be recorded in the CRB in the same way as any reactions starting on the day of vaccination.
- SAEs will be recorded in the CRB and reported to the Sponsor in the same way as any other SAEs, according to the procedures described in [Section 10](#).

#### 9.3.2.3.2 Reactogenicity (Solicited Reactions from Day 0 to Day 7 After Each Vaccination)

After the first vaccination, parents / guardians will be provided with a diary card, a digital thermometer, and a flexible ruler, and will be instructed how to use them. The following items will be recorded by the subject’s parent / guardian in the diary card on the day of each vaccination and for the next 7 days (i.e., D0 to D07) until resolution:

- Daily temperature, with the route by which it was taken
- Daily measurement or intensity grade of all other solicited injection site and systemic reactions
- Action taken for each event (e.g., medication)

The action(s) taken by the parent or guardian to treat and/or manage any **solicited reactions** will be classified in the CRB using the following list (all applicable items should be checked):

- None
- Medication
- Health care provider contact
- Hospitalized
- Discontinuation of study vaccination

Parents / guardians will be contacted by telephone 8 days after each vaccination to remind them to record all safety information in the diary card.

If the timing of the telephone call should fall on a weekend or a holiday, the call should be made on the next business day. If contact is not made on the designated day, study staff will continue calling until contact is made. Every telephone attempt and its outcome will be documented in the source document.

[Table 9.1](#) and [Table 9.2](#) present, respectively, the injection site reactions and systemic reactions that are prelisted in the diary cards and CRB, together with the intensity scales.

**Table 9.1: Solicited injection site reactions: terminology, definitions, and intensity scales**

<b>CRB term (MedDRA lowest level term [LLT])</b>	Injection site tenderness	Injection site erythema	Injection site swelling
<b>MedDRA preferred term</b>	Injection site pain	Injection site erythema	Injection site swelling
<b>Diary card term</b>	Tenderness	Redness	Swelling
<b>Definition</b>	Pain when the injection site is touched or injected limb mobilized	Presence of a redness including the approximate point of needle entry	Swelling at or near the injection site  Swelling or edema is caused by a fluid infiltration in tissue or cavity and, depending on the space available for the fluid to disperse, swelling may be either soft (typically) or firm (less typical) to touch and thus can be best described by looking at the size of the swelling
<b>Intensity scale*</b>	Grade 1: Minor reaction when injection site is touched Grade 2: Cries or protests when injection site is touched Grade 3: Cries when injected limb is mobilized, or the movement of the injected limb is reduced	Grade 1: > 0 to < 25 mm Grade 2: ≥ 25 to < 50 mm Grade 3: ≥ 50 mm	Grade 1: > 0 to < 25 mm Grade 2: ≥ 25 to < 50 mm Grade 3: ≥ 50 mm

\* For the subjective reaction of tenderness, parents / guardians will record the intensity level (Grade 1, 2, or 3) in the diary card. For the measurable reactions of redness and swelling, they will record just the size of the reaction, and the classification as Grade 1, 2, or 3 will be assigned at the time of the statistical analysis.

**Table 9.2: Solicited systemic reactions: terminology, definitions, and intensity scales**

CRB term (MedDRA LLT)	Fever	Vomiting	Crying abnormal	Drowsiness	Appetite lost	Irritability
MedDRA preferred term	Pyrexia	Vomiting	Crying	Somnolence	Decreased appetite	Irritability
Diary card term	Temperature	Vomiting	Abnormal crying	Drowsiness	Loss of appetite	Irritability
Definition	Elevation of temperature to $\geq 38.0^{\circ}\text{C}$ ( $\geq 100.4^{\circ}\text{F}$ )	Vomiting does not include spitting up	Inconsolable crying without a determined reason	Reduced interest in surroundings, or increased sleeping	See intensity scale	An excessive response to stimuli: increased fussiness, whining, and fretfulness despite attempts to comfort the infant and despite caregiver responses that would normally be soothing
Intensity scale*	<p>Grade 1: <math>\geq 38.0^{\circ}\text{C}</math> to <math>\leq 38.5^{\circ}\text{C}</math> or <math>\geq 100.4^{\circ}\text{F}</math> to <math>\leq 101.3^{\circ}\text{F}</math></p> <p>Grade 2: <math>&gt; 38.5^{\circ}\text{C}</math> to <math>\leq 39.5^{\circ}\text{C}</math> or <math>&gt; 101.3^{\circ}\text{F}</math> to <math>\leq 103.1^{\circ}\text{F}</math></p> <p>Grade 3: <math>&gt; 39.5^{\circ}\text{C}</math> or <math>&gt; 103.1^{\circ}\text{F}</math></p>	<p>Grade 1: 1 episode per 24 hours</p> <p>Grade 2: 2–5 episodes per 24 hours</p> <p>Grade 3: <math>\geq 6</math> episodes per 24 hours or requiring parenteral hydration</p>	<p>Grade 1: <math>&lt; 1</math> hour</p> <p>Grade 2: 1–3 hours</p> <p>Grade 3: <math>&gt; 3</math> hours</p>	<p>Grade 1: Sleepier than usual or less interested in surroundings</p> <p>Grade 2: Not interested in surroundings or did not wake up for a feed / meal</p> <p>Grade 3: Sleeping most of the time or difficult to wake up</p>	<p>Grade 1: Eating less than normal</p> <p>Grade 2: Missed 1 or 2 feeds / meals completely</p> <p>Grade 3: Refuses <math>\geq 3</math> feeds / meals or refuses most feeds / meals</p>	<p>Grade 1: Easily consolable</p> <p>Grade 2: Requiring increased attention</p> <p>Grade 3: Inconsolable</p>

\* For all reactions but fever, parents / guardians will record the intensity level (Grade 1, 2, or 3) in the diary card. For fever, they will record the body temperature, and the classification as Grade 1, 2, or 3 will be assigned at the time of the statistical analysis based on the unit used to measure the temperature and the intensity scale.

***Important notes for the accurate assessment of temperature:***

Parents / guardians are to measure body temperature once per day, preferably always at the same time. The optimal time for measurement is the evening, when body temperature is the highest. Temperature is also to be measured at the time of any apparent fever. The observed daily temperature and the route of measurement are to be recorded in the diary card, and the highest temperature will be recorded by the site in the CRB. The preferred route for this study is rectal. Pre-vaccination temperature is also systematically collected by the Investigator on the source document. Tympanic thermometers must not be used.

**9.3.2.3.3 Unsolicited Adverse Events**

In addition to recording solicited reactions, parents / guardians will be instructed to record any other medical events that may occur during the 30-day period following each vaccination. Space will be provided in the diary card for this purpose.

Information on SAEs will be collected and assessed throughout the study, from the time of vaccination until 6 months after the last vaccination. Any SAE occurring at any time during the study will be reported by the Investigator in the CRB according to the completion instructions provided by the Sponsor; this includes checking the “Serious” box on the AE CRF and completing the appropriate Safety Complementary Information CRF. All information concerning the SAE is to be reported either as part of the initial reporting or during follow-up reporting if relevant information became available later (e.g., outcome, medical history, results of investigations, copy of hospitalization reports. In case a subject experiences febrile convulsion (neurological event associating fever and seizure), the assessment will be performed according to the “Guideline for definition and collection of cases of febrile convulsion”, and this event will be considered an SAE. See [Section 10](#) for further details on SAE reporting.

For each unsolicited AE (whether serious or non-serious), the following information is to be recorded:

- Start and stop dates<sup>a</sup>
- Intensity of the event:

For measurable unsolicited AEs that are part of the list of solicited reactions, the size of the AE as well as the temperature for fever will be collected and analyzed based on the corresponding scale used for solicited reactions (see [Table 9.1](#) and [Table 9.2](#)).

All other unsolicited AEs will be classified according to the following intensity scale:

- Grade 1: A type of AE that is usually transient and may require only minimal treatment or therapeutic intervention. The event does not generally interfere with usual activities of daily living.

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<sup>a</sup> The stop date of all related AEs will be actively solicited. For other events, the Investigator will provide the stop date when it becomes available. AEs for which no stop date was obtained during the course of the study will be considered as ongoing at the end of the study.

- Grade 2: A type of AE that is usually alleviated with additional therapeutic intervention. The event interferes with usual activities of daily living, causing discomfort but poses no significant or permanent risk of harm to the research participant.
- Grade 3: A type of AE that interrupts usual activities of daily living, or significantly affects clinical status, or may require intensive therapeutic intervention.
- Whether the AE was related to the investigational product (for unsolicited systemic AEs)
- The Investigator will assess the causal relationship between the AE and the investigational product as either “Not related” or “Related”, as described [Section 10.4](#). Action taken for each AE (e.g., medication)

The action(s) taken by the parent / guardian to treat and/or manage any unsolicited AEs will be classified in the CRB using the following list (all applicable items should be checked):

- None
  - Medication
  - Health care provider contact
  - Hospitalized
  - Discontinuation of study vaccination
  - Whether the AE was serious
- For each SAE, the Investigator will complete all seriousness criteria that apply (outcome, elapsed time, and relationship to study procedures)
- Whether the AE caused study discontinuation

#### 9.3.2.3.4 Adverse Events of Special Interest

An AESI is defined as event for which ongoing monitoring and rapid communication by the Investigator to the Sponsor must be done. The following AEs will be captured as AESIs throughout the study:

- Generalized seizures (febrile and non-febrile) [\(48\)](#) [\(49\)](#)
- Kawasaki disease [\(50\)](#) [\(51\)](#) [\(52\)](#)
- Guillain-Barré syndrome [\(53\)](#)
- Idiopathic thrombocytopenic purpura (ITP) [\(54\)](#) [\(55\)](#)

These events have been listed as AESIs based on the feedback received from the European Union regulators.

No safety concerns relating to these AESIs have been identified with the use of MenACYW conjugate vaccine in the completed clinical trials. Because of their medical importance and to ensure expedited communication to the Sponsor, these AESIs are to be considered and collected as SAEs and reported to the Sponsor according to the procedure described in [Section 10](#). Further

instructions on the data collection for these events and the relevant definitions will be provided in the Operating Guidelines.

#### 9.3.2.3.5 Medically-Attended Adverse Events

MAAE information will be collected throughout the study. MAAEs will be recorded as unsolicited AEs for up to D30 after each vaccination and as MAAEs until the next study visit on the appropriate diary cards. MAAEs that occur from D31 after the last vaccination visit until the 6-month follow up phone call will be recorded as such in the appropriate memory aid. An MAAE that occurs within the study period but meets the definition of an SAE should be reported only on the SAE Reporting Form. The Investigator will assess the causal relationship between the MAAE and the investigational or study product as either “Not related” or “Related”, as described in [Section 9.3.2.3.6](#).

#### 9.3.2.3.6 Assessment of Causality

The Investigator will assess the *causal relationship* between each unsolicited systemic AE and the product administered as either not *related* or *related*, based on the following definitions:

- Not related – The AE is clearly / most probably caused by other etiologies such as an underlying condition, therapeutic intervention, or concomitant therapy; or the delay between vaccination and the onset of the AE is incompatible with a causal relationship; or the AE started before the first vaccination (screening phase, if applicable)
- Related – There is a “reasonable possibility” that the AE was caused by the product administered, meaning that there is evidence or arguments to suggest a causal relationship

Note: By convention, all AEs reported at the injection site (whether solicited or unsolicited) and all solicited systemic AEs are considered to be related to the administered product and therefore are referred to as reactions and do not require the Investigator’s opinion on relatedness.

AEs likely to be related to the product, whether serious or not, that persist at the end of the study will be followed up by the Investigator until their complete disappearance or the stabilization of the subject’s condition. The Investigator will inform the Sponsor of the date of final disappearance of the event or the date of “chronicity” establishment.

## 10 Reporting of Serious Adverse Events

To comply with current regulations on SAE reporting to health authorities, the Investigator must document all SAEs regardless of causal relationship, and notify the Sponsor and the Clinical Research Associate (CRA) within the notification timelines stated in the following sections. The Investigator will give access and provide the Sponsor and the CRA with all necessary information to allow the Sponsor to conduct a detailed analysis of the safety of the investigational product(s). It is the responsibility of the Investigator to request all necessary documentation (e.g., medical records, discharge summary, in order to provide comprehensive safety information. All relevant information must then be transcribed onto the AE CRF and the appropriate Safety Complementary Information CRFs.



## 10.1 Initial Reporting by the Investigator

SAEs occurring during a subject's participation in the study or experiment must be reported within 24 hours to the Sponsor's GPV Department and to the CRA. Every SAE must be reported, even if the Investigator considers that it is not related to the vaccine. The Investigator (licensed physician [M.D. or D.O.]) must validate the information entered on the AE CRF by completing the Investigator validation form.

The Investigator must indicate on the AE CRF that the event was serious and must complete the relevant SAE section of this form as well as the appropriate Safety Complementary Information CRFs. An e-mail alert will automatically be sent by the EDC system to the GPV mailbox, the CRA and the RMO with relevant SAE information details.

If the EDC system is unavailable, the site must notify the Sponsor, using the paper version of the CRB, as described in the operating guidelines:

The Investigator must complete the paper copies of the AE CRF and of the appropriate Safety Complementary Information CRFs and send them to the Sponsor by 1 of the following means:

- By fax, to the following number: 570-957-2782
- In PDF format to the following e-mail address, using a method of transmission that includes password protection: PV.outsourcing@sanofi.com
- By express mail, to the following address:

Sanofi Pasteur Inc.  
Reception and Triage – Case Management  
Global Pharmacovigilance  
Mail Drop: 45D38  
Discovery Drive  
Swiftwater, PA 18370

When the EDC system becomes available, the Investigator must transcribe the information from the paper forms into the EDC system.

If there is need for urgent consultation, the Investigator is to contact the study RMO, [REDACTED]. If the RMO cannot be reached, the Investigator may contact the Call Center as described in [Section 5.3](#).

## 10.2 Follow-up Reporting by the Investigator

The AE CRF completed initially must be updated within 24 hours after the Investigator has become aware of any new relevant information concerning the SAE (e.g., outcome, precise description of medical history, results of the investigation). All relevant information must be included directly in the AE CRF and the appropriate Safety Complementary Information CRFs. An e-mail alert will be sent automatically to the GPV Department and to the CRA. Copies of documents (e.g., medical records, discharge summary, autopsy) may be requested by the GPV Department.

The anonymity of the subject must always be respected when forwarding this information.

### 10.3 Reporting of SAEs Occurring After a Subject Has Completed the Study

Any SAE that occurs after a subject has completed the study but that is likely to be related to the investigational product(s), other products (e.g., a benefit vaccine), or to the experiment must also be reported as soon as possible. In such a case, the reporting procedure to be followed is identical to that described in [Section 10.1](#).

### 10.4 Assessment of Causality

The causal relationship between the SAE and the product administered will be evaluated by the Investigator as described in [Section 9.3.2.3.6](#).

Following this, the Sponsor's Pharmacovigilance Global Safety Expert will also assess the causal relationship to the product, based on the available information and current medical knowledge.

The causal relationship to study procedures will be also assessed in the CRB.

The decision to modify or discontinue the study may be made after mutual agreement between the Sponsor and the Investigators.

### 10.5 Reporting SAEs to Health Authorities and IECs / IRBs

The Sponsor will inform the relevant health authorities of any reportable SAEs according to the local regulatory requirements. Reporting to the health authorities will be according to the Sponsor's standard operating procedures.

The Sponsor's RMO [REDACTED] will notify the Investigators in writing of the occurrence of any reportable SAEs. The Investigators / Sponsor will be responsible for informing the IECs or IRBs that reviewed the study protocol.

## 11 Data Collection and Management

### 11.1 Data Collection and CRB Completion

Individual diary cards, specifically designed for this study by the Sponsor and provided to the study sites, will be given to study participants for the recording of daily safety information as described in [Section 9.3.2.3](#). These diary cards will include prelisted terms and intensity scales (see [Table 9.1](#) and [Table 9.2](#)) as well as areas for free text to capture additional safety information or other relevant details. Parents / guardians will also be provided with rulers for measuring the size of injection site reactions, and with standard digital thermometers for measuring daily temperatures. To ensure consistency of reporting, the study sites will instruct parents / guardians on how to correctly use these tools.

The 6-month follow-up will be done by interviewing subject's parents / guardians over the telephone using a questionnaire to capture MAAEs, SAEs and AESIs, if applicable. A memory

aid will be provided to the subject's parents / guardians at the preceding study visit to help them record information on events occurring between this visit and the 6-month follow-up.

Relevant information will be transcribed into the AE CRF. Any SAEs captured during this 6-month follow-up period will be reported and followed-up as per the normal process for reporting SAEs.

At specified intervals, the Investigator or an authorized designee will interview the parents / guardians to collect the information recorded in the diary card, and will attempt to clarify anything that is incomplete or unclear. All clinical study information gathered by the study site will be reported electronically by the Investigator or authorized designee using a web-based CRB. (Any information that was not documented in the diary card will first be captured in the source document and then reported electronically.) The CRB has been designed specifically for this study under the responsibility of the Sponsor, using a validated Electronic Records / Electronic Signature-compliant platform (21 CFR Part 11).

To ensure the correct and consistent completion of the CRBs, the Sponsor or authorized representative will provide all necessary tools, instructions, and training to all site staff involved in data entry prior to study start. Additional instructional documents such as training manuals and completion instructions will be provided to assist with data entry during the course of the study.

Upon completion of training, each user requiring access to the EDC system will be issued a unique username and password. In the event of a change in study personnel, each newly assigned individual will receive a unique username and password; the username and password of a previous user may not be reissued. If any study personnel leave the study, the Investigator is responsible for informing the Sponsor immediately so that their access is deactivated. An audit trail will be initiated in the EDC system at the time of the first data entry to track all modifications and ensure database integrity.

The Investigator is responsible for the timeliness, completeness, and accuracy of the information in the CRBs; must provide explanations for all missing information; and must sign the CRB using an e-signature.

## 11.2 Data Management

### *Management of SAE Data*

During the study, SAE data (reported on the AE Safety Complementary Information CRFs) will be integrated into the Sponsor's centralized GPV database upon receipt of these forms and after a duplicate check. Each case will be assigned a case identification number. Each case will be assessed by the case management platform or its delegate before being reported to the relevant authorities as necessary. The assessment of related cases will be done in collaboration with the PV Global Safety Expert and the RMO. Follow-up information concerning a completed case will be entered into the GPV database, and a new version of the case will be created.

The information from the GPV database cases will be reconciled with that in the clinical database.

### ***Management of Clinical and Laboratory Data***

Clinical data, defined as all data reported in the CRB, will be handled by the Sponsor's Clinical Data Management (CDM) platform or authorized representative.

During the study, clinical data reported in the CRBs will be integrated into the clinical database under the responsibility of the Sanofi Pasteur CDM platform. Data monitoring at the sites and quality control in the form of computerized logic and / or consistency checks will be systematically applied to detect errors or omissions. In addition, data reviews may be performed several times by the Sponsor's staff in the course of the study. Any questions pertaining to the reported clinical data will be submitted to the Investigator for resolution using the EDC system. Each step of this process will be monitored through the implementation of individual passwords to maintain appropriate database access and to ensure database integrity.

After integration of all corrections in the complete set of data, and after the SAE information available from CDM and the GPV Department has been reconciled, the database will be released for statistical analysis.

### **11.3 Data Review**

A review of the data is anticipated through the data review process led by Data Management before database lock. The safety of the investigational product will be continuously monitored by the Sponsor. Periodic safety data review will be performed by the Sponsor's SMT.

## **12 Statistical Methods and Determination of Sample Size**

### **12.1 Statistical Methods**

Clinical data will be analyzed under the responsibility of the Biostatistics Platform of the Sponsor.

A statistical analysis plan (SAP) will be written and peer reviewed before any analyses. In accordance with the protocol, the SAP will describe all analyses to be performed under the responsibility of the Sponsor and all the conventions to be taken.

#### **12.1.1 Hypotheses and Statistical Methods for Primary Objectives**

##### **12.1.1.1 Hypotheses**

The primary objective will be met if the following primary hypotheses are rejected:

- ***Primary Hypothesis 1 (MenACYW vaccine seroresponse rate after 4th dose):***

Thirty days after the administration of the 4th dose of MenACYW conjugate vaccine at 12 to 15 months of age or MENVEO at 12 months of age, the percentages of subjects who achieve an hSBA seroresponse\* for meningococcal serogroups A, C, Y, and W in Subgroup 1a are non-inferior to the corresponding percentages in Subgroup 2a.

Null hypothesis (H0):  $p(\text{men}, G1a) - p(\text{men}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{men, G1a}) - p(\text{men, G2a}) > -10\%$

where  $p(\text{men, G1a})$  and  $p(\text{men, G2a})$  are the percentages of subjects who achieve hSBA vaccine seroresponse<sup>a</sup> in Subgroup 1a and Subgroup 2a, respectively. Each of the serogroups A, C, Y, and W will be tested separately. If the lower limit of the 2-sided 95% confidence interval (CI) of the difference between the 2 proportions is  $> -10\%$  for each serogroup, the inferiority assumption will be rejected.

For each of the 4 non-inferiority hypotheses using the vaccine seroresponse rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

The overall non-inferiority of this objective will be demonstrated if all 4 individual null hypotheses are rejected.

- **Primary Hypothesis 2 (MenACYW antibody titer  $\geq 1:8$  after 3rd dose)**

Thirty days after the administration of the 3<sup>rd</sup> dose of MenACYW conjugate vaccine or MENVEO at 6 months of age, the percentages of subjects who achieve hSBA  $\geq 1:8$  for meningococcal serogroups A, C, Y, and W in Group 1 are non-inferior to the corresponding percentages in Group 2.

Null hypothesis (H0):  $p(\text{men, G1}) - p(\text{men, G2}) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{men, G1}) - p(\text{men, G2}) > -10\%$

where  $p(\text{men, G1})$  and  $p(\text{men, G2})$  are the percentages of subjects who achieve hSBA achieve  $\geq 1:8$  in Group 1 and Group 2, respectively. Each of the serogroups A, C, Y, and W will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$  for each serogroup, the inferiority assumption will be rejected.

The overall non-inferiority of this objective will be demonstrated if all 4 individual null hypotheses are rejected.

For each of the 4 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

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<sup>a</sup> hSBA vaccine seroresponse for serogroups A, C, Y and W is defined as:

- For a subject with a pre-vaccination titer  $< 1:8$ , the post-vaccination titer must be  $\geq 1:16$ .
- For a subject with a pre-vaccination titer  $\geq 1:8$ , the post-vaccination titer must be at least 4-fold greater than the pre-vaccination titer.

## 12.1.2 Hypotheses and Statistical Methods for Secondary Objectives

### 12.1.2.1 Hypotheses

#### I. First year evaluation at 30 days after the 6-month vaccinations (after the 3rd dose)

- **Secondary Hypothesis 1 (Anti-hepatitis B)**

Thirty days after the 6-month HB vaccine administration, the percentage of subjects who achieve  $\geq 10$  mIU/mL in anti-HB surface antibody concentrations in Group 1 is non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{hep}, G1) - p(\text{hep}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{hep}, G1) - p(\text{hep}, G2) > -10\%$

where  $p(\text{hep}, G1)$  and  $p(\text{hep}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 10$  mIU/mL in anti-HB surface antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 2 (Anti-PRP  $\geq 0.15$  µg/mL)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 0.15$  µg/mL in anti-PRP antibody concentrations in Group 1 are non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{prp}, G1) - p(\text{prp}, G2) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{prp}, G1) - p(\text{prp}, G2) > -5\%$

where  $p(\text{prp}, G1)$  and  $p(\text{prp}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 0.15$  µg/mL in anti-PRP antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 3 (Anti-PRP  $\geq 1.0$  µg/mL)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 1.0$  µg/mL in anti-PRP antibody concentrations in Group 1 are non-inferior to that in Group 2.

Null hypothesis (H0):  $p(\text{prp}, G1) - p(\text{prp}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{prp}, G1) - p(\text{prp}, G2) > -10\%$

where  $p(\text{prp}, G1)$  and  $p(\text{prp}, G2)$  are the percentage of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 1.0$  µg/mL in anti-PRP antibody concentrations. If the lower limit of



the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 4 (Anti-polio)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the percentages of subjects who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3) in Group 1 are non-inferior to those in Group 2.

Null hypothesis (H0):  $p(\text{pol}, G1) - p(\text{pol}, G2) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{pol}, G1) - p(\text{pol}, G2) > -5\%$

where  $p(\text{pol}, G1)$  and  $p(\text{pol}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3). Each of the antigens of type 1, type 2, and type 3 will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$  for each type, the inferiority assumption will be rejected.

For each of the 3 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 5 (Anti- rotavirus  $\geq 3$ -fold rise)**

Thirty days after the 6-month rotavirus vaccine administration, the percentages of subjects who achieve  $\geq 3$ -fold rise in serum IgA antibody concentrations against the rotavirus antigens (serotypes G1, G2, G3, G4, and P1A[8]) in Group 1 is non-inferior to those in Group 2.

Null hypothesis (H0):  $p(\text{rota}, G1) - p(\text{rota}, G2) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{rota}, G1) - p(\text{rota}, G2) > -10\%$

where  $p(\text{rota}, G1)$  and  $p(\text{rota}, G2)$  are the percentages of subjects in Group 1 and Group 2, respectively, who achieve  $\geq 3$ -fold rise in serum anti-rotavirus IgA antibody concentrations (serotypes G1, G2, G3, G4, and P1A[8]). If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 6 (Anti-rotavirus; GMC)**

Thirty days after the 6-month rotavirus vaccine administration, the GMCs of the serum IgA antibodies against the rotavirus antigens (serotypes G1, G2, G3, G4, and P1A [8]) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{rota}, G1) / \text{GMC}(\text{rota}, G2) \leq 2/3$

Alternative hypothesis (H1):  $\text{GMC}(\text{rota}, G1) / \text{GMC}(\text{rota}, G2) > 2/3$

where  $\text{GMC}(\text{rota}, G1)$  and  $\text{GMC}(\text{rota}, G2)$  are the GMCs of the serum IgA antibodies against the rotavirus antigens (serotypes G1, G2, G3, G4, and P1A[8]) in Group 1 and Group 2, respectively.



If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 2/3$ , the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For the non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

- **Secondary Hypothesis 7 (Anti-pertussis; GMC)**

Thirty days after the 6-month Pentacel<sup>®</sup> vaccination, the GMCs of antibodies against the pertussis antigens (PT, FHA, PRN, and FIM) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{pert}, \text{G1}) / \text{GMC}(\text{pert}, \text{G2}) \leq 2/3$

Alternative hypothesis (H1):  $\text{GMC}(\text{pert}, \text{G1}) / \text{GMC}(\text{pert}, \text{G2}) > 2/3$

where  $\text{GMC}(\text{pert}, \text{G1})$  and  $\text{GMC}(\text{pert}, \text{G2})$  are the GMCs of antibodies against the pertussis antigens (PT, FHA, PRN, and FIM) in Group 1 and Group 2, respectively.

Each of the antigens of PT, FHA, PRN, and FIM will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 2/3$  for each antigen, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 4 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

- **Secondary Hypothesis 8 (Anti-pneumococcal)**

Thirty days after the 6-month Prevnar 13<sup>®</sup> vaccination, the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Group 1 are non-inferior to the GMCs in Group 2.

Null hypothesis (H0):  $\text{GMC}(\text{pne}, \text{G1}) / \text{GMC}(\text{pne}, \text{G2}) \leq 1/2$

Alternative hypothesis (H1):  $\text{GMC}(\text{pne}, \text{G1}) / \text{GMC}(\text{pne}, \text{G2}) > 1/2$

where  $\text{GMC}(\text{pne}, \text{G1})$  and  $\text{GMC}(\text{pne}, \text{G2})$  are the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Group 1 and Group 2, respectively.

Each of the serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 1/2$  for each serotype, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 13 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

## II. Second year evaluation at 30 days after the 12-month vaccinations

### • Secondary Hypothesis 9 (Anti- measles)

Thirty days after the 12-month M-M-R<sup>®</sup> II vaccination, the percentages of subjects who achieve  $\geq 255$  mIU/mL in anti-measles antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{mea}, G1a) - p(\text{mea}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{mea}, G1a) - p(\text{mea}, G2a) > -10\%$

where  $p(\text{mea}, G1a)$  and  $p(\text{mea}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 255$  mIU/mL in anti-measles antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

### • Secondary Hypothesis 10 (Anti-mumps)

Thirty days after the 12-month M-M-R<sup>®</sup> II vaccination, the percentages of subjects who achieve  $\geq 10$  Mumps Ab units/mL in anti-mumps antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{mum}, G1a) - p(\text{mum}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{mum}, G1a) - p(\text{mum}, G2a) > -10\%$

where  $p(\text{mum}, G1a)$  and  $p(\text{mum}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 10$  Mumps Ab units/mL in anti-mumps antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

### • Secondary Hypothesis 11 (Anti-rubella)

Thirty days after the 12-month M-M-R<sup>®</sup> II vaccination, the percentages of subjects who achieve  $\geq 10$  IU/mL in anti-rubella antibody concentrations in Subgroup 1a are non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{rub}, G1a) - p(\text{rub}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{rub}, G1a) - p(\text{rub}, G2a) > -10\%$

where  $p(\text{rub}, G1a)$  and  $p(\text{rub}, G2a)$  are the percentage of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 10$  IU/mL in anti-rubella antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 12 (Anti-varicella)**

Thirty days after the 12-month VARIVAX<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 5$  gpELISA units/mL in anti-varicella antibody concentrations in Subgroup 1a is non-inferior to those in Subgroup 2a.

Null hypothesis (H0):  $p(\text{var}, G1a) - p(\text{var}, G2a) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{var}, G1a) - p(\text{var}, G2a) > -10\%$

where  $p(\text{var}, G1a)$  and  $p(\text{var}, G2a)$  are the percentages of subjects in Subgroup 1a and Subgroup 2a, respectively, who achieve  $\geq 5$  gpELISA units/mL in anti-varicella antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 13 (Anti-pneumococcal)**

Thirty days after the 12-month Prevnar13<sup>®</sup> vaccination, the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Subgroup 1a are non-inferior to the GMCs in Subgroup 2a.

Null hypothesis (H0):  $\text{GMC}(\text{pne}, G1a) / \text{GMC}(\text{pne}, G2a) \leq 1/2$

Alternative hypothesis (H1):  $\text{GMC}(\text{pne}, G1a) / \text{GMC}(\text{pne}, G2a) > 1/2$

where  $\text{GMC}(\text{pne}, G1a)$  and  $\text{GMC}(\text{pne}, G2a)$  are the GMCs of antibodies against the pneumococcal antigens (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) in Subgroup 1a and Subgroup 2a, respectively. Each of the serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F will be tested separately. If the lower limit of the 2-sided 95% CI of the ratio of the GMCs from the 2 groups is  $> 1/2$  for each serotype, the inferiority assumption will be rejected.

Assuming that log10 transformation of the data follows a normal distribution, the log10 (data) will be used for the statistical analysis, then antilog transformations will be applied to the results of calculations, in order to provide the results in terms of GMCs.

For each of the 13 non-inferiority hypotheses using the GMC ratios, the statistical methodology will be based on the use of the 2-sided 95% CI of difference in means of post-vaccination log10 transformed concentrations between the 2 groups with normal approximation.

### III. Second year evaluation at 30 days after the 15-month vaccination

- **Secondary Hypothesis 14 (Anti-PRP  $\geq 1.0$   $\mu\text{g/mL}$ )**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentage of subjects who achieve  $\geq 1.0$   $\mu\text{g/mL}$  in anti-PRP antibody concentrations in Subgroup 1b is non-inferior to that in Subgroup 2b.

Null hypothesis (H0):  $p(\text{prp}, \text{G2b}) - p(\text{prp}, \text{G1b}) \leq -10\%$

Alternative hypothesis (H1):  $p(\text{prp}, \text{G2b}) - p(\text{prp}, \text{G1b}) > -10\%$

where  $p(\text{prp}, \text{G1b})$  and  $p(\text{prp}, \text{G2b})$  are the percentages of subjects in Subgroup 1b and Subgroup 2b, respectively, who achieve  $\geq 1.0$   $\mu\text{g/mL}$  in anti-PRP antibody concentrations. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$ , the inferiority assumption will be rejected.

The CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 15 (Anti-polio)**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentages of subjects who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3) in Subgroup 1b are non-inferior to those in Subgroup 2b.

Null hypothesis (H0):  $p(\text{pol}, \text{G1b}) - p(\text{pol}, \text{G2b}) \leq -5\%$

Alternative hypothesis (H1):  $p(\text{pol}, \text{G1b}) - p(\text{pol}, \text{G2b}) > -5\%$

where  $p(\text{pol}, \text{G1b})$  and  $p(\text{pol}, \text{G2b})$  are the percentages of subjects in Subgroup 1b and Subgroup 2b, respectively, who achieve  $\geq 1:8$  in anti-polio antibody titers (type 1, type 2, and type 3). Each of the antigens of type 1, type 2, and type 3 will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -5\%$  for each serotype, the inferiority assumption will be rejected.

For each of the 3 non-inferiority hypotheses using the percentage rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

- **Secondary Hypothesis 16 (Anti-pertussis; vaccine response rate)**

Thirty days after the 15-month Pentacel<sup>®</sup> vaccination, the percentages of subjects with a pertussis vaccine response<sup>a</sup> for the pertussis antigens (PT, FHA, PRN, and FIM) in Subgroup 1b are non-inferior to the percentages in Subgroup 2b.

Null hypothesis (H0):  $p(\text{pert}, \text{G1b}) - p(\text{pert}, \text{G2b}) \leq -10\%$

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<sup>a</sup> Pertussis vaccine response is defined as:

- Pre-booster vaccination  $< \text{LLOQ}$ , then post-vaccination should be  $\geq 4\times$  the LLOQ
- Pre-booster vaccination  $> \text{LLOQ}$  but  $< 4\times\text{LLOQ}$ , then post-booster vaccination should achieve a 4-fold rise (post-booster vaccination/pre-booster vaccination  $\geq 4$ )
- Pre-booster vaccination  $> 4\times\text{LLOQ}$ , then post-booster vaccination should achieve a 2-fold response (post-booster vaccination/pre-booster vaccination  $\geq 2$ )

Alternative hypothesis (H1):  $p(\text{pert, G1b}) - p(\text{pert, G2b}) > -10\%$

where  $p(\text{pert, G1b})$  and  $p(\text{pert, G2b})$  are the percentages of subjects who achieve a pertussis vaccine response<sup>a</sup> in Subgroup 1b and Subgroup 2b, respectively. Each of the antigens of PT, FHA, PRN, and FIM will be tested separately. If the lower limit of the 2-sided 95% CI of the difference between the 2 proportions is  $> -10\%$  for each antigen, the inferiority assumption will be rejected.

For each of the 4 non-inferiority hypotheses using the pertussis vaccine response rates, the CI of the difference in proportions will be computed using the Wilson Score method without continuity correction (56).

**Table 12.1: Summary of non-inferiority hypotheses for the secondary objectives**

Evaluation Time	Comparison Groups (G)	Antigen	Endpoint	Non-inferiority margin	Hypothesis #
1st Year, 30 days after the 6-month vaccination	G1 vs G2	Hepatitis B	$\% \geq 10 \text{ mIU/mL}$	10%	1
		PRP	$\% \geq 0.15 \text{ } \mu\text{g/mL}$	5%	2
		PRP	$\% \geq 1.0 \text{ } \mu\text{g/mL}$	10%	3
		Polio†	$\% \geq 1:8$	5%	4
		Rotavirus	$\% \geq 3\text{-fold rise}$	10%	5
		Rotavirus	GMC	1.5	6
		Pertussis*	GMC	1.5	7
		Pneumococcal‡	GMC	2	8
2nd Year, 30 days after the 12-month vaccination	G1a vs G2a	Measles	$\% \geq 255 \text{ mIU/mL}$	10%	9
		Mumps	$\% \geq 10 \text{ mumps Ab units/mL}$	10%	10
		Rubella	$\% \geq 10 \text{ IU/mL}$	10%	11
		Varicella	$\% \geq 5 \text{ gpELISA units/ml}$	10%	12
		Pneumococcal‡	GMC	2	13
2nd Year, 30 days after the 15-month vaccination	G1b vs G2b	PRP	$\% \geq 1.0 \mu\text{g/mL}$	10%	14
		Polio†	$\% \geq 1:8$	5%	15
		Pertussis*	Response rate	10%	16

\* Pertussis: PT, FHA, PRN, and FIM

† Polio: type 1, type 2, type 3

‡ Pneumococcal: 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F

### Immunogenicity

In general, categorical variables will be summarized and presented by frequency counts, proportion percentages and CIs. The 95% CIs of point estimates will be calculated using the normal approximation for quantitative data and the exact binomial distribution (Clopper-Pearson method) for proportions (57). For GMTs and GMCs, 95% CIs of point estimates will be calculated using normal approximation assuming they are log-normally distributed.

### 12.1.3 Statistical Methods for Observational Objectives

#### *Safety / Reactogenicity*

The Safety Analysis Set (SafAS) is defined as those subjects who have received at least 1 dose of the study vaccine and have any safety data available. All subjects will have their safety analyzed for each dose according to the vaccine they actually received at that dose. Safety analysis after the full 4 doses will be conducted as well. If the vaccine received by a subject does not correspond to any study group, the subject will be excluded from the SafAS. The corresponding safety data will be presented in separate listings.

Safety analysis will include but is not limited to the following:

- The number and percentage of subjects reporting any solicited injection site reactions and solicited systemic reactions occurring from D0 to D07 after each vaccination will be summarized by study group for intensity, time of onset period, days of occurrence, and action taken.
- Immediate unsolicited systemic AEs and unsolicited AEs occurring up to D30 after each vaccination will be summarized.
- The number and percentage of subjects reporting any unsolicited non-serious AEs will be summarized by study group, intensity, time of onset period, duration, and by MedDRA preferred term and system organ class (SOC), as well as by relationship to the study vaccine.
- The number and percentage of subjects reporting at least one of any MAAEs will be summarized throughout the trial.
- The number and percentage of subjects reporting at least one of any SAEs will be summarized by study group, seriousness criterion, outcome, and by MedDRA preferred term and SOC, as well as by relationship to the study vaccine.
- The number and percentage of subjects reporting at least one of any AESIs will be summarized throughout the trial.
- Exact (Clopper-Pearson) 2-sided 95% CIs will be calculated for the percentages (57)

### 12.1.4 Sensitivity analysis due to COVID-19 pandemic

The impact of COVID-19 pandemic situation on study conduct will be summarized through impact on visit procedures, study completion and major/critical protocol deviations due to COVID-19. The subjects impacted by COVID-19 pandemic situation will be defined as the subjects with at least one major/critical protocol deviation due to COVID-19 or who did not complete the study due to COVID-19. If more than 10% of subjects are impacted as per this definition, baseline and demographic characteristics, and the main immunogenicity and safety endpoints will also be summarized in the subsets of subjects impacted/ non-impacted subjects to assess the potential impact of COVID-19 situation on study outcome.



## 12.2 Analysis Sets

Three analysis sets will be used: the Full Analysis Set (FAS), the Per-Protocol Analysis set (PPAS), and the SafAS.

### 12.2.1 Full Analysis Set

There will be 3 FASs for this study.

#### 12.2.1.1 Full analysis set 1 (FAS1) for infant vaccination:

The FAS1 is defined as the subset of all randomized subjects who received at least 1 dose of the study vaccine in infancy (<12 months of age) and have a valid post-vaccination serology result in infancy. All subjects will be analyzed according to the treatment group to which they were randomized.

#### 12.2.1.2 Full analysis set 2 (FAS2) for immunogenicity persistence evaluation:

The FAS2 is defined as the subset of all randomized subjects who received at least 1 dose of the study vaccine in infancy (at Visit 1 to Visit 3, < 12 months of age) and have a valid pre-vaccination serology result at Visit 5 before the 12-month vaccination for Subgroups 1a and 2a or at Visit 6 before the 15-month vaccination for Subgroups 1b and 2b. All subjects will be analyzed according to the treatment group to which they were randomized.

#### 12.2.1.3 Full analysis set 3 (FAS3) for 2nd year of life vaccination:

The FAS3 is defined as the subset of all randomized subjects who received at least 1 dose of the study vaccine<sup>a</sup> in the 2nd year of life ( $\geq 12$  months of age) and have a valid post-vaccination serology result in the 2nd year of life. All subjects will be analyzed according to the treatment group to which they were randomized.

Immunogenicity analyses will be performed on the FAS for exploratory purposes.

### 12.2.2 Safety Analysis Set

The SafAS is defined as those subjects who have received at least 1 dose of the study vaccine(s)<sup>b</sup> and have any safety data available. Specific SafAS will be defined and used after each vaccination. Safety analysis after all 4-dose vaccinations will be conducted as well.

All subjects will have their safety analyzed after each dose according to the vaccine they actually received, after any dose, and after all 4 doses according to the vaccine received at the first dose.

Safety data recorded for a vaccine received out of the protocol design will be excluded from the analysis (and listed separately).

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<sup>a</sup> A study vaccine is any vaccine that is administered as part of the study, including the investigational product (MenACYW) conjugate vaccine, the control vaccine (Menveo®) and the routine vaccines.

<sup>b</sup> for which safety data are scheduled to be collected



#### **12.2.2.1 Overall Safety Analysis Set for Any Dose**

The overall SafAS is defined as those subjects who have received at least one dose of the study vaccines and have any safety data available. All subjects will have their safety analyzed after any dose according to the vaccine received at the first dose.

Safety data recorded for a vaccine received out of the protocol design will be excluded from the analysis (and listed separately).

#### **12.2.2.2 Safety Analysis Set for Vaccination at 2 Months of Age**

The SafAS1 for vaccination at around 2 months of age is defined as those subjects who have received the study vaccine at Visit 1 around 2 months of age and have any safety data available. All subjects will have their safety analyzed after the Visit 1 dose according to the vaccines they actually received at Visit 1.

Safety data recorded for a vaccine received out of the protocol design at Visit 1 will be excluded from the analysis (and listed separately).

#### **12.2.2.3 Safety Analysis Set for Vaccination at 4 Months of Age**

The SafAS2 is defined as those subjects who have received the study vaccine at Visit 2 around 4 months of age and have any safety data available. All subjects will have their safety analyzed after this dose according to the vaccines they actually received at that visit.

Safety data recorded for a vaccine received out of the protocol design at that Visit 2 will be excluded from the analysis (and listed separately).

#### **12.2.2.4 Safety Analysis Set for Vaccination at 6 Months of Age**

The SafAS3 is defined as those subjects who have received the study vaccine at Visit 3 at around 6 months of age and have any safety data available. All subjects will have their safety analyzed after this dose according to the vaccines they actually received at that visit.

Safety data recorded for a vaccine received out of the protocol design at that Visit 3 will be excluded from the analysis (and listed separately).

#### **12.2.2.5 Safety Analysis Set for Vaccination at 12 Months of Age**

The SafAS4 is defined as those subjects who have received the study vaccine at Visit 5 at around 12-15 months of age and have any safety data available. All subjects will have their safety analyzed after this dose according to the vaccine they actually received at that visit.

Safety data recorded for a vaccine received out of the protocol design at that Visit 5 will be excluded from the analysis (and listed separately).

#### **12.2.2.6 Safety Analysis Set for Vaccination at 15 Months of Age**

The SafAS5 is defined as those subjects who have received the study vaccine at around 15-18 months of age and have any safety data available. All subjects will have their safety analyzed after this dose according to the vaccine they actually received at that visit.

Safety data recorded for a vaccine received out of the protocol design at around 15 months of age will be excluded from the analysis (and listed separately)

#### **12.2.2.7 Safety Analysis Set for all 4-Dose Vaccination**

The SafAS6 is defined as those subjects who have received all 4 doses of the study vaccine (3 doses in infancy and one dose in the 2nd year of life at 12 or 15 month of age) and have any safety data available. All 4-dose vaccinations received in a series should be either all MenACYW or all Menveo®.

Safety data recorded for subjects not receiving all 4 doses of MenACYW or Menveo® will be excluded from the analysis (and listed separately).

### **12.2.3 Per-Protocol Analysis Set**

Immunogenicity analyses will primarily be performed on PPAS. The PPAS is a subset of the FAS. There will be 3 per-protocol analysis sets (PPAS) corresponding to the 3 FASs:

- PPAS for infant vaccination (PPAS1)
- PPAS for immunogenicity persistence evaluation (PPAS2)
- PPAS for 2nd year of life vaccination (PPAS3)

#### **12.2.3.1 Per-Protocol Analysis Set 1 (PPAS1)**

Serology obtained 30 days after the last vaccination visit at 6 months of age for all antigens will be used for immunogenicity analyses of infant stage of the study.

The subjects presenting with at least one of the following relevant protocol deviations during infancy will be excluded from the PPAS1:

- Subject did not meet all protocol-specified inclusion criteria or met at least one of the protocol-specified exclusion criteria
- Subject did not complete the vaccination schedule for infant year of the study
- Subject received a vaccine other than the one that he / she was randomized to receive
- Preparation and / or administration of vaccine was not done as per-protocol

- Subject did not receive vaccine in the proper time window:
  - Visit 1: 42 to 89 days of age
  - Visit 2: Visit 1 + 60 days (+14 days)
  - Visit 3: Visit 2 + 60 days (+14 days)
- Subject did not provide a post-dose serology sample in the proper time window or a post-dose serology sample was not drawn:

Blood sampling 2: Visit 3 + 30 days (+21 days)
- Subject received a protocol-prohibited therapy, medication or vaccine (reportable concomitant medication of category 2 and / or category 3)
- Subject had other protocol violations that affected the subject's immune response, as determined by the clinical team before locking the database.

In addition to the reasons listed above, subjects will also be excluded from the PPAS1 if their serology sample did not produce a valid test result.

Vaccine correctness required by the PPAS1 includes not only the 3 doses of MenACYW conjugate vaccine or MENVEO<sup>®</sup>, but also the concomitant vaccines as scheduled (Pentacel<sup>®</sup>, PCV13, rotavirus, and hepatitis B) during infant stage.

#### 12.2.3.2 Per-Protocol Analysis Set 2 (PPAS2)

Pre-vaccination serology obtained at Visit 5 before 12-month vaccinations for Subgroups 1a and 2a or Visit 6 before 15-month vaccinations for Subgroups 1b and 2b will be used for immunogenicity persistence analyses.

The subjects presenting with at least one of the following relevant protocol deviations during infancy will be excluded from the PPAS2:

- Subject did not meet all protocol-specified inclusion criteria or met at least one of the protocol-specified exclusion criteria
- Subject did not complete the vaccination schedule for infant year of the study
- Subject received a vaccine other than the one that he/she was randomized to receive
- Preparation and/or administration of vaccine was not done as per-protocol
- Subject did not receive vaccine in the proper time window:
  - Visit 1: 42 to 89 days of age
  - Visit 2: Visit 1 + 60 days (+14 days)
  - Visit 3: Visit 2 + 60 days (+14 days)
- A pre-dose serology sample at Visit 5 for Subgroups 1a or 2a before 12-month vaccinations or Visit 6 for Subgroups 1b and 2b before 15-month vaccinations was not drawn.

- Subject received a protocol-prohibited therapy, medication or vaccine (reportable concomitant medication of category 2 and / or category 3).
- Subject had other protocol violations that affected the subject's immune response, as determined by the clinical team before locking the database.

In addition to the reasons listed above, subjects will also be excluded from the PPAS2 if their serology sample did not produce a valid test result.

Vaccine correctness required by the PPAS2 includes not only the 3 doses of MenACYW conjugate vaccine or MENVEO<sup>®</sup>, but also the concomitant vaccines as scheduled (Pentacel<sup>®</sup>, PCV13, rotavirus, and hepatitis B) during infant stage.

In the event of a local or national immunization program with a pandemic influenza or coronavirus vaccine or any other vaccine as needed, subjects who receive one or more doses of a pandemic influenza or coronavirus vaccine at any time during the study will not be withdrawn from the study.

#### 12.2.3.3 Per-Protocol Analysis Set 3 (PPAS3)

During the second year of life, the immunogenicity analyses for various antigens are performed on serology obtained 30 days after the given antigen administration if blood collection is planned at that time.

The subjects presenting with at least one of the following relevant protocol deviations will be excluded from the PPAS3:

- Subject did not meet all protocol-specified inclusion criteria or met at least one of the protocol-specified exclusion criteria
- Subject did not complete the vaccination schedule including the infant and the second year of the study
  - Subgroups 1a and 2a: Up to 12-month vaccinations, including the infant schedule
  - Subgroups 1b and 2b: Up to 15-month vaccinations, including the infant schedule and the 12-month vaccinations
- Subject received a vaccine other than the one that he / she was randomized to receive during both the infant and second year of the study
- Preparation and / or administration of vaccine was not done as per-protocol during both the infant and second year of the study
- Subject did not receive vaccine in the proper time window during the second year of the study:
  - Subgroup 1a  
Visit 5: 12 to 15 months of age
  - Subgroup 1b

- Visit 5: 12 to 15 months of age
- Visit 6: 15 to 18 months of age
- Subgroup 2a
  - Visit 5: 12 months of age
- Subgroup 2b
  - Visit 5: 12 months of age
  - Visit 6: 15 to 18 months of age
- Subject did not provide a post-dose serology sample in the proper time window or a post-dose serology sample was not drawn for a given antigen specific analysis:
  - Blood sampling 4:
    - Subgroups 1a and 2a: Visit 5 + 30 days (+21 days)
    - Subgroups 1b and 2b: Visit 6 +30 days (+21 days)
- Subject received a protocol-prohibited therapy, medication or vaccine (reportable concomitant medication of category 2 and / or category 3).
- Subject had other protocol violations that affected the subject's immune response, as determined by the clinical team before locking the database.

In addition to the reasons listed above, subjects will also be excluded from the PPAS if their serology sample did not produce a valid test result.

Vaccine correctness required by the PPAS3 includes not only the dose of MenACYW conjugate vaccine or MENVEO<sup>®</sup>, but also the concomitant vaccines as scheduled in 2nd year of study at least.

In the event of a local or national immunization program with a pandemic influenza or coronavirus vaccine or any other vaccine as needed, subjects who receive one or more doses of a pandemic influenza or coronavirus vaccine at any time during the study will not be withdrawn from the study.

#### 12.2.4 Populations Used in Analyses

Immunogenicity analyses will primarily be performed on the Per-Protocol Analysis Set (PPAS) including PPAS1, PPAS2 and PPAS3. Additional immunogenicity analyses will be performed on the FAS for exploratory purposes, including FAS1, FAS2 and FAS3, according to randomization group.

The safety analysis will be performed on the Safety Analysis Sets (SafAS, and SafAS1 through SafAS6).

### 12.2.5 Handling of Missing Data and Outliers

### 12.2.6 Safety

No replacement will be done.

### 12.2.7 Immunogenicity

Missing data will not be imputed. No test or search for outliers will be performed.

In order to appropriately manage extreme values (undetectable responses  $< \text{LLOQ}$  and  $\geq$  upper limit of quantitation [ULOQ]), the following computational rule is applied to the values provided in the clinical database for each blood sample drawn for analysis purposes:

- If a value is  $< \text{LLOQ}$ , then use the computed value  $\text{LLOQ}/2$
- If a value is between  $\geq \text{LLOQ}$  and  $< \text{ULOQ}$ , then use the value
- If a value is  $\geq \text{ULOQ}$ , then use the computed value  $\text{ULOQ}$

The derived endpoint of fold-rise is computed as follows for extreme values, to minimize the numerator and maximizes the denominator:

- If the baseline computed value is  $< \text{LLOQ}$  and the post-baseline computed value is  $< \text{LLOQ}$  then the fold-rise is 1
- If the baseline computed value is  $\geq \text{LLOQ}$  and the post-baseline computed value is  $\geq \text{LLOQ}$  then the fold-rise is post-baseline computed value / baseline computed value
- If the baseline computed value is  $\geq \text{LLOQ}$  and the post-baseline computed value is  $< \text{LLOQ}$  then the fold-rise is  $(\text{LLOQ}/2) / \text{baseline computed value}$
- If the baseline computed value is  $< \text{LLOQ}$  and the post-baseline computed value is  $\geq \text{LLOQ}$  then the fold-rise is post-baseline computed value /  $\text{LLOQ}$

## 12.3 Interim / Preliminary Analysis

No interim analyses are planned.

## 12.4 Determination of Sample Size and Power Calculation

### *Calculation of Sample Size:*

Approximately 2628 subjects will be enrolled. An estimated maximum of up to 34.1% non-evaluable subjects will result in approximately 1732 subjects in the PPAS available for immunogenicity analyses. Group 1 will have 1155 evaluable subjects and Group 2 will have 577 evaluable subjects.

In case of unexpected situation or any study hold resulting in an unexpected number of unevaluable subjects, total sample size may be increased to replace withdrawn, or unevaluable subjects.

***For the Primary Objective 1 (after the 4th dose):***

With 770 evaluable subjects in Subgroup 1a and 385 evaluable subjects in Subgroup 2a, the study will have around 98.4% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1a vs Subgroup 2a.

***For the Primary Objective 2 (after the 3rd dose):***

With 1155 evaluable subjects in Group 1 and 577 evaluable subjects in Group 2, the study will have around 98.8% power by using the Farrington and Manning's method to declare the non-inferiority of Group 1 vs Group 2.

***Co-primary Objectives:***

The study will have around 97.2% overall power by using the Farrington and Manning's method to declare the non-inferiority for co-primary objectives.

**Table 12.2: Power estimates to reject the primary hypotheses**

Primary #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
Primary 1 (after 4th dose)	A	Seroresponse Rate	10%	80%	98.4
	C	Seroresponse Rate	10%	90%	99.98
	Y	Seroresponse Rate	10%	90%	99.98
	W	Seroresponse Rate	10%	90%	99.98
Primary 2 (after 3rd dose)	A	% $\geq$ 1:8	10%	70%	99.2
	C	% $\geq$ 1:8	10%	80%	99.9
	Y	% $\geq$ 1:8	10%	80%	99.9
	W	% $\geq$ 1:8	10%	80%	99.9
	Overall				97.2

Note: Evaluable subjects for Primary 1: Subgroup 1a: n = 770 subjects; Subgroup 2a: n = 385 subjects

Evaluable subjects for Primary 2: Group 1: n = 1155 subjects; Group 2: n = 577 subjects

\*Estimated responses are based on results observed in MENVEO® V59\_33 (NCT01000311) and in study MET39 (Group 1 TetraMen-T 2, 4, 6, 12 months).

***For the Secondary Objectives:***

**I. First year evaluation at 30 days after the 6-month vaccinations (after the 3rd dose)**

With 1155 evaluable subjects in Group 1 and 577 evaluable subjects in Group 2, the study will have around 94.2% power by using the Farrington and Manning's method to declare the non-inferiority of Group 1 vs Group 2.



**Table 12.3: Power estimates to reject the secondary hypotheses 1, 2, 3, 4, 5, 6, 7, and 8**

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
1	Hepatitis B	% $\geq$ 10 mIU/mL	10%	98%	>99.9
2	PRP	% $\geq$ 0.15 $\mu$ g/mL	5%	91%	94.3
3	PRP	% $\geq$ 1 $\mu$ g/mL	10%	81%	99.9
4	Polio type 1	% $\geq$ 1:8	5%	$\geq$ 99%	> 99.9
	Polio type 2	% $\geq$ 1:8	5%	$\geq$ 99%	> 99.9
	Polio type 3	% $\geq$ 1:8	5%	$\geq$ 99%	> 99.9
5	Rotavirus	% 3-fold rise	10%	95.2%	>99.9
6	Rotavirus	GMC	1.5	274.46 (323.52, 232.83)	>99.9
7	PT	GMC	1.5	65.0 (48.1, 87.7)	> 99.9
	FHA	GMC	1.5	91.4 (73.1, 114.3)	> 99.9
	PRN	GMC	1.5	31.0 (19.9, 48.4)	>99.9
	FIM	GMC	1.5	173.5 (111.2, 269.1)	>99.9
8	Pneumococcal 1	GMC	2	3.5 (2.2, 5.5)	> 99.9
	Pneumococcal 3	GMC	2	3.3 (1.9, 6.0)	> 99.9
	Pneumococcal 4	GMC	2	2.0 (1.2, 3.1)	> 99.9
	Pneumococcal 5	GMC	2	1.7 (1.2, 2.3)	> 99.9
	Pneumococcal 6A	GMC	2	4.8 (3.2, 7.3)	> 99.9
	Pneumococcal 6B	GMC	2	2.4 (1.1, 5.3)	> 99.9
	Pneumococcal 7F	GMC	2	4.2 (2.5, 7.0)	> 99.9
	Pneumococcal 9V	GMC	2	1.8 (1.3, 2.6)	> 99.9
	Pneumococcal 14	GMC	2	8.3 (5.3, 13.1)	> 99.9
	Pneumococcal 18C	GMC	2	2.3 (1.6, 3.2)	> 99.9
	Pneumococcal 19A	GMC	2	1.6 (0.9, 2.8)	> 99.9
	Pneumococcal 19F	GMC	2	3.8 (2.1, 6.7)	> 99.9
	Pneumococcal 23F	GMC	2	2.7	> 99.9

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
				(1.6 ,4.7)	
	Overall			94.2	94.2

Evaluable subjects: Group 1: n=1155; Group 2: n=577

\*All estimates are from MET39, Group 2, 7-month data with the exception of rotavirus estimate from study V260-006 (NCT00090233) and study V419-005 (NCT01337167).

## II. Second year evaluation at 30 days after the 12-month vaccinations

With 770 evaluable subjects in Subgroup 1a and 385 evaluable subjects in Subgroup 2a, the study will have around >99.9% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1a vs Subgroup 2a.

**Table 12.4: Power estimates to reject the secondary hypotheses 9, 10, 11, 12 and 13**

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
9	Measles	% ≥ 255 mIU/mL	10%	95%	>99.9
10	Mumps	% ≥ 10 Mumps AbU/mL	10%	95%	>99.9
11	Rubella	% ≥ 10 IU/mL	10%	95%	>99.9
12	Varicella	% ≥ 5 gpELISA Ab units/mL	10%	95%	>99.9
13	Pneumococcal 1	GMC	2	4.0 (2.2,7.2)	>99.9
	Pneumococcal 3	GMC	2	2.1 (1.5, 3.1)	>99.9
	Pneumococcal 4	GMC	2	2.2 (1.5,3.2)	>99.9
	Pneumococcal 5	GMC	2	1.3 (0.9, 2.0)	>99.9
	Pneumococcal 6A	GMC	2	10.4 (6.3,17.3)	>99.9
	Pneumococcal 6B	GMC	2	7.7 (4.5,13.4)	>99.9
	Pneumococcal 7F	GMC	2	7.0 (4.4, 11.0)	>99.9
	Pneumococcal 9V	GMC	2	1.9 (1.5, 2.5)	>99.9
	Pneumococcal 14	GMC	2	10.0 (4.9, 20.2)	>99.9
	Pneumococcal 18C	GMC	2	2.9 (2.2, 3.9)	>99.9
	Pneumococcal 19A	GMC	2	9.1 (5.4, 15.1)	>99.9
	Pneumococcal 19F	GMC	2	14.0 (9.3, 21.0)	>99.9
	Pneumococcal 23F	GMC	2	8.6 (5.7, 13.2)	>99.9
	Overall				>99.9

Evaluable subjects: of Subgroup 1a : n=770 subjects; Subgroup 2a: n = 385 subjects

Hypothesis #	Antigen	Endpoint	Non-inferiority margin	Estimated response*	Power (%)
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\*All estimates are from MET39, Group 1, 13-month data, except for the measles, mumps, rubella, and varicella estimates from study V59P21 (NCT00626327) and studyV419-007 (NCT01341639).

### III. Second year evaluation at 30 days after the 15-month vaccinations

With 385 evaluable subjects in Subgroup 1b and 192 evaluable subjects in Subgroup 2b, the study will have around 98.6% power by using the Farrington and Manning's method to declare the non-inferiority of Subgroup 1b vs. Subgroup 2b.

**Table 12.5: Power estimates to reject the secondary hypotheses 14, 15, and 16**

Hypothesis #	Antigen	Endpoints	Non-inferiority margin	Estimated response*	Power (%)
14	PRP	$\% \geq 1.0 \mu\text{g/mL}$	10%	95%	99.9
15	Polio type 1	$\% \geq 1:8$	5%	$\geq 99\%$	99.8
	Polio type 2	$\% \geq 1:8$	5%	$\geq 99\%$	99.9
	Polio type 3	$\% \geq 1:8$	5%	$\geq 99\%$	99.9
16	PT	Response Rate	10%	94%	99.8
	FHA	Response Rate	10%	95%	99.9
	PRN	Response Rate	10%	93%	99.5
	FIM	Response Rate	10%	95%	99.9
	Overall				98.6

Evaluable subjects: Subgroup 1b: n=385 subjects; Subgroup 2b: n = 192 subjects

\*All estimates are from MET39, Group 2, 16-month data

## 13 Ethical and Legal Issues and Investigator / Sponsor Responsibilities

### 13.1 Ethical Conduct of the Study / Good Clinical Practice

The conduct of this study will be consistent with the standards established by the Declaration of Helsinki and compliant with the ICH guidelines for GCP as well as with all local and / or national regulations and directives.

### 13.2 Source Data and Source Documents

“Source data” are the data contained in source documents. Source documents are original documents or certified copies, and include, but are not limited to, diary cards, memory aids, medical and hospital records, screening logs, informed consent / assent forms, telephone contact logs, and worksheets. The purpose of study source documents is to document the existence of subjects and to substantiate the integrity of the study data collected. Investigators must maintain source documents so that they are accurate, complete, legible, and up to date.

For missing or discrepant data on a diary card, the study coordinator will obtain verbal clarification from the subject, enter the response into the “Investigator’s comment” page of the diary card, and transfer the information to the CRB.

The subject pre-screening log should list all individuals contacted by the Investigators to participate in the study, regardless of the outcome.

The Investigator must print<sup>a</sup> any electronic records on an ongoing basis, sign and date them immediately after creation, and keep the printouts on file as source documents that can be verified by the Sponsor or an inspector against the electronic records. Any subsequent changes of an electronic record require the record to be re-printed, dated (with an indication of the date of change), and signed. Such records must also be kept together with the original printed copy.

Good Documentation Practice should be followed by the Investigator and the site staff managing source documents.

### 13.3 Confidentiality of Data and Access to Subject Records

Prior to initiation of the study, the Investigator will sign a fully executed confidentiality agreement with Sanofi Pasteur.

Sanofi Pasteur personnel (or designates), the IECs / IRBs, and regulatory agencies, including the FDA, require direct access to all study records, and will treat these documents in a confidential manner.

In the event a subject’s medical records are not at the investigational site, it is the responsibility of the Investigator to obtain those records if needed.

### 13.4 Monitoring, Auditing, and Archiving

#### 13.4.1 Monitoring

Before the start of the study (i.e., before the inclusion of the first subject) at the first center the Investigators and the Sponsor’s staff or a representative will meet at the site-initiation visit to discuss the study protocol and the detailed study procedures. Emphasis will be placed on inclusion and exclusion criteria, visit timing, safety procedures, informed consent procedures, SAE reporting procedures, CRB completion, and the handling of samples and products. The Sponsor’s staff or a representative will ensure and document that all material to be used during the study has been received at the site; and that the study investigator team and local Sponsor/delegate staff have been properly informed about the study, GCP and regulatory requirements, and the Sponsor’s procedures. Specific training sessions for the study investigator team and the CRAs on these topics may be performed as necessary, and should be documented.

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<sup>a</sup> Unless the electronic medical records are managed by validated computerized systems that are compliant with US 21 CFR Part 11, in which case they are acceptable on their own.

The following instruction manuals will be provided: the CRB Completion Instructions for entering data into the CRB, and the Operating Guidelines for detailed study procedures such as the product management and sample-handling procedures.

After the start of the study, the Sponsor's staff or a representative will be in regular contact with the investigational team through telephone calls and regular follow-up visits. The Investigator or delegate must be available for these visits, and must allow the Sponsor/delegate staff direct access to subject medical files and CRBs. During these visits, the Sponsor/delegate staff will:

Evaluate the quality of the study progress (adherence to protocol and any study-specific guidelines, quality of data collection and document completion, signature of consent forms, occurrence of SAEs, sample and product management, cold-chain monitoring, archiving).

Source-verify completed CRBs and any corresponding answered queries.

Determine the number of complete or ongoing issues identified at monitoring visits (e.g., protocol deviations, SAEs). Any identified problems will be discussed with the Investigator, and corrective or preventive actions will be determined, as appropriate.

After all protocol procedures have been completed and the data have been entered into the CRB, the Investigator must still be available to answer any queries forwarded by the Sponsor. All data-related queries must be completed prior to database lock.

At the end of the study, a close-out visit will be performed to ensure that:

- The center has all the documents necessary for archiving.
- All samples have been shipped to the appropriate laboratories.
- All unused materials and products have been either destroyed or returned to the Sponsor.

#### **13.4.2 Audits and Inspections**

A quality assurance audit may be performed at any time by the Sponsor's Clinical Quality Assessment department (CQA) or by independent auditors to verify that the study has been conducted according to the protocol, GCP and ICH requirements, and other applicable regulations. An inspection may be conducted by regulatory authorities. The Investigator must allow direct access to study documents during these inspections and audits.

#### **13.4.3 Archiving**

The Investigator must keep all study documents after the completion or discontinuation of the study, whatever the nature of the investigational center (private practice, hospital, or institution), for as long as required by applicable laws and regulations. In the absence of any applicable laws or regulations, study documents will be kept at a minimum for the duration indicated on the Clinical Trial Agreement (CTA). In no event, should study personnel destroy or permit the destruction of any study documents upon less than 90 days advance written notification to the Sponsor. In addition, study documents should continue to be stored, at Sponsor's sole expense, in the event that the Sponsor requests in writing that such storage continues for a period of time that exceeds that required by any applicable law or regulation or the CTA. The Investigator will

inform Sanofi Pasteur of any address change or if they will no longer be able to house the study documents.

Archived data may be held on electronic records, provided that a back-up exists and that a hard copy can be obtained if required. The protocol, documentation, approvals, and all other documents related to the study will be kept by the Sponsor in the Trial Master File (TMF). Data on AEs are included in the TMF. All data and documents will be made available if requested by relevant authorities.

### **13.5 Financial Contract and Insurance Coverage**

A CTA will be signed by all the parties involved in the study's performance, if relevant. The Sponsor has an insurance policy to cover any liabilities that may arise from use of the product and / or the study protocol.

### **13.6 Stipends for Participation**

The subject's parent / guardian may be provided with a stipend according to local practice to compensate for the time and travel required for study visits and procedures.

### **13.7 Publication Policy**

Data derived from this study are the exclusive property of Sanofi Pasteur. Any publication or presentation related to the study must be submitted to Sanofi Pasteur for review before submission of the manuscript. After publication of the results of the study, any participating center may publish or otherwise use its own data provided that any publication of data from the study gives recognition to the study group. In addition, Sanofi Pasteur shall be offered an association with all such publications, it being understood that Sanofi Pasteur is entitled to refuse the association.

Sanofi Pasteur must have the opportunity to review all proposed abstracts, manuscripts, or presentations regarding this study at least 90 days prior to submission for publication / presentation. Any information identified by Sanofi Pasteur as confidential must be deleted prior to submission, it being understood that the results of this study are not to be considered confidential.

Sanofi Pasteur's review can be expedited to meet publication guidelines.

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