

An Open-Label, Parallel-Group, Controlled Study to Evaluate Changes in Biomarkers of Cigarette Smoke Exposure and Biomarkers of Potential Harm in Adult Smokers Who Completely Switch to Using e-Vapor Products for 24 Weeks

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Statistical Analysis Plan

An Open-Label, Parallel-Group, Controlled Study to Evaluate Changes in Biomarkers of
Cigarette Smoke Exposure and Biomarkers of Potential Harm in Adult Smokers Who
Completely Switch to Using e-Vapor Products for 24 Weeks

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ABBREVIATIONS AND DEFINITIONS

| | |
|------------------|---|
| AE | adverse event |
| ALCS | Altria Client Services LLC |
| ANCOVA | analysis of covariance |
| BLQ | below the limit of quantitation |
| BMI | body mass index |
| BOE | biomarker of exposure |
| BOPH | biomarker of potential harm |
| CI | confidence interval |
| CO | carbon monoxide |
| COHb | carboxyhemoglobin |
| CPD | cigarettes per day |
| CSP | clinical safety population |
| CSR | clinical study report |
| CV | coefficient of variation |
| ECG | Electrocardiogram |
| eCO | exhaled carbon monoxide |
| EOS | end-of-study |
| EVP | e-vapor product |
| FVC | forced vital capacity |
| FEV ₁ | forced expiratory volume in 1 second |
| HDL-C | high-density lipoprotein cholesterol |
| ICF | informed consent form |
| ICH | International Conference on Harmonization |
| Kg | kilogram(s) |
| LC-MS | liquid chromatography–mass spectrometry |
| LLOQ | lower limit of quantitation |
| LLC | limited liability company |
| LOCF | Last observation carried forward |
| M | meter(s) |
| mCEQ | modified Cigarette Evaluation Questionnaire |
| MedDRA | Medical Dictionary for Regulatory Activities |
| Mg | milligram(s) |
| mITT | modified intent to treat |
| mL | milliliter(s) |
| MMRM | mixed model for repeated measures |
| N, n | sample size, number of observations |
| NBW | nicotine by weight |
| NE | nicotine Equivalents |
| Ng | Nanograms |
| NNAL | 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol |
| NNN | N-nitrosonornicotine |
| PFT | pulmonary function test |
| Pg | Pictogram |
| PMUSA | Phillip Morris USA |
| PP | per-protocol |
| Q1 | first quartile |
| Q3 | third quartile |

| | |
|---------|--|
| QGEN | Generic Quality of Life scales |
| RBC | red blood cell |
| SAE | serious adverse event |
| SAP | statistical analysis plan |
| SD | standard deviation |
| SEM | standard error of mean |
| sICAM-1 | soluble intercellular adhesion molecule-1 |
| SMS | short message service |
| TQOLIT | Tobacco-specific Quality of Life Impact Tool |
| WBC | white blood cell |
| WHO-DD | World Health Organization Drug Dictionary |

1. INTRODUCTION

The following Statistical Analysis Plan (SAP) provides the framework for the summarization of the data from this study. The analysis plan may change due to unforeseen circumstances. Any changes made after locking of the database will be documented in the Clinical Study Report (CSR).

Any additional analyses not addressed within this SAP and/or driven by the data, or requested by Altria Client Services LLC, will be considered out of scope and must be approved, by Altria Client Services LLC, and must be described in the CSR.

2. OBJECTIVES AND ENDPOINTS

2.1 Objectives

2.1.1 Primary Objective

The primary objective is to:

Compare absolute changes in selected biomarkers^a from Baseline^b to Week 24 (EOS) between adult smokers who continue to smoke conventional cigarettes (Control group) ad libitum and adult smokers who have completely^c switched to ad libitum use of test e-vapor products (Test groups) for 24 weeks.

^a Selected biomarkers include:

- o White Blood Cell Count (WBC Count)
- o High Density Lipoprotein Cholesterol (HDL-C)
- o Urinary 8-epi-prostaglandin F_{2α}
- o Urinary 11-Dehydrothromboxane B₂
- o Blood Soluble Intercellular Adhesion Molecule-1 (sICAM-1)
- o Urinary 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol and its glucuronides (total NNAL)
- o Carboxyhemoglobin (COHb)

^b Baseline of the 12-week ALCS-RA-16-06-EV study (CA20130), Week 1, will be used.

^c Subjects who exclusively use e-vapor products and have exhaled carbon monoxide (eCO) measurements ≤ 8 ppm from Week 6 (of the 12-week ALCS-RA-16-06-EV study) through Week 24 (EOS) and urine N-nitrosonornicotine (NNN) levels from

Week 6 (of the 12-week ALCS-RA-16-06-EV study) through Week 24 (EOS) consistent with switching to an e-vapor product.

2.1.2 Secondary Objectives

The secondary objectives are to:

- Assess changes in forced expiratory volume in the first second (FEV₁), forced vital capacity (FVC) and FEV₁/FVC ratios from Screening/Visit 2 of the 12-week ALCS-RA-16-06-EV study (CA20130) to Week 18 and to Week 24 (EOS) between the Test and Control groups.
- Compare changes in selected biomarkers (same as those in the primary objective) from Baseline to Week 18 between Test and Control groups.
- Determine the number of cigarettes smoked (Test and Control groups) from Baseline through Week 24 (EOS).
- Assess changes in the amount of test e-vapor product use (Test groups only) from Baseline through Week 24 (EOS).
- Assess adherence to protocol by comparing changes from Baseline through Week 24 (EOS) within the Test groups for eCO and urinary NNN.

2.1.3 Exploratory Objectives

The exploratory objectives are to:

- Assess changes in biomarkers of potential harm (BOPHs) and biomarkers of exposure (BOEs) (same as in the primary objective) from Baseline through Week 24 (EOS) between the subgroups that used different flavored Test e-vapor products.
- Assess changes in urine nicotine equivalents (NE) from Baseline through Week 24 (EOS) within and between Test and Control groups.
- Assess changes in the QGEN and TQOLIT questionnaires and the Cough Questionnaire responses from Baseline through Week 24 (EOS) between the Test and Control groups.
- Assess reasons for test product use/not-use at EOS and modified Cigarette Evaluation Questionnaire (mCEQ) questionnaires from Baseline to Week 24 (EOS) for the Test groups.
- Collect blood and urine samples for future assessment of BOE and or BOPH.

2.2 Outcome Variables

Primary

The primary outcome variables are the absolute change from Baseline* Week 1 (Day 1) to Week 24 for each of the following primary biomarkers:

- whole blood WBC Count
- serum HDL-C
- creatinine-adjusted urine 8-epi-prostaglandin F_{2α}
- creatinine-adjusted urine 11-Dehydrothromboxane B₂
- serum sICAM1
- creatinine-adjusted urine total NNAL
- blood COHb saturation

*Baseline of the 12-week ALCS-RA-16-06-EV study (CA20130), Week 1, will be used.

Secondary

The secondary outcome variables are:

- Changes in FEV₁, FVC, and FEV₁/FVC ratios from Baseline to Week 18 and to Week 24 (EOS) in the Test and Control groups.
- The absolute change from Baseline to Week 18 for each of the primary biomarkers for each study group.
- The absolute change from Baseline to Week 24 for each of the primary biomarkers in the combined e-vapor use group (Test 1 + Test 2).
- Number of cigarettes smoked per day and test product used per day (number of new cartridges used and number of puffs taken, either ≤ 20 puffs or > 20 puffs) during Week 12 through week 24
- Frequency and percentage of subjects with a reduction, no change, or increase in cigarettes used per day from Baseline to Week 24
- Frequency and percentage of subjects with a reduction, no change, or increase in e-vapor cartridges used per day from Baseline to Week 24
- Creatine-adjusted urine NNN determined at Baseline, Week 18, and Week 24
- eCO determined at Baseline, Week 15, Week 18, Week 21, and Week 24

Exploratory

The exploratory outcome variables are:

- The differences between Baseline and Week 24 for the primary biomarkers in the Test groups.
- The creatinine-adjusted urine nicotine equivalent (NE) determined at Baseline, Week 18, and Week 24
- The absolute change in creatinine-adjusted urine NE from Baseline to Week 18 and from Baseline to Week 24
- Responses (Score) to the QGEN and TQOLIT questionnaires and the Cough Questionnaire recorded at Baseline, Week 18, and Week 24
- Responses to Reasons for Use and Not-use of Test Product at Week 24 (Test groups only) and mCEQ questionnaires from Baseline to Week 24

Clinical Safety

- AEs and SAEs
- Blood pressure, ECG, vital signs, clinical chemistry, urinalysis, and hematology

3. STUDY DESIGN

This research study is an extension of the 12-week ALCS-RA-16-06-EV study (CA20130). A total of up to 250 subjects (up to 100 in each of the Test groups and 50 in the Control group) who were compliant with the requirements of the 12 week ALCS-RA-16-06-EV study and continued to satisfy all inclusion/exclusion criteria of that study were planned to be enrolled. The sample size of the extension study is much smaller and the statistical analysis is mostly exploratory in nature.

The study utilized a parallel-group, open-label, controlled design and was conducted at multiple study sites. A total of 150 adult male and female (neither gender should account for more than 60% of the population) smokers (30 to 65 years of age, inclusive, determined at Screening [Visit 1] of the 12-week ALCS-RA-16-06-EV study) who completed the 12-week ALCS-RA-16-06-EV study (CA20130), were compliant with the requirements of the 12-week study, and continued to satisfy all inclusion/exclusion criteria of that study, were invited to enroll into this study and remain in the group into which they were randomized in the 12-week ALCS-RA-16-06-EV study.

- Control Group (n=52): Continue smoking under ad libitum use of subjects' own brand of conventional lit-end cigarettes, without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks.

- Test 1 Group (n=50): Continue ad libitum use of test e-vapor products (Product XLCB), without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks.
- Test 2 Group (n=48): Continue ad libitum use of test e-vapor products (Product XLMB), without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks.

Subjects in the Test groups will be provided a 3-week supply of the test product to which they were randomized in the 12-week ALCS-RA-16-06-EV study and will be given a return date for Visit 2 (Week 15).

At Visits 2 and 4 (Week 15 and Week 21, respectively), subjects will return to the study site with all of their used and unused cartridges, which will be counted. Vital signs will be taken and medical history and symptom-driven physical examination will be performed. Compliance with daily tobacco use reporting will be discussed and eCO will be measured; use of non-test product and/or eCO measurements > 5 ppm will prompt counseling by clinic staff on the tobacco and nicotine restrictions and, for measurements > 8 ppm, potential for removal from the study. Test Product for the following 3 weeks and a urine collection container will be dispensed. Subjects will receive reminder calls regarding their following clinic visits and need to collect the first void urine prior to returning to the study site (Visits 3 and 5).

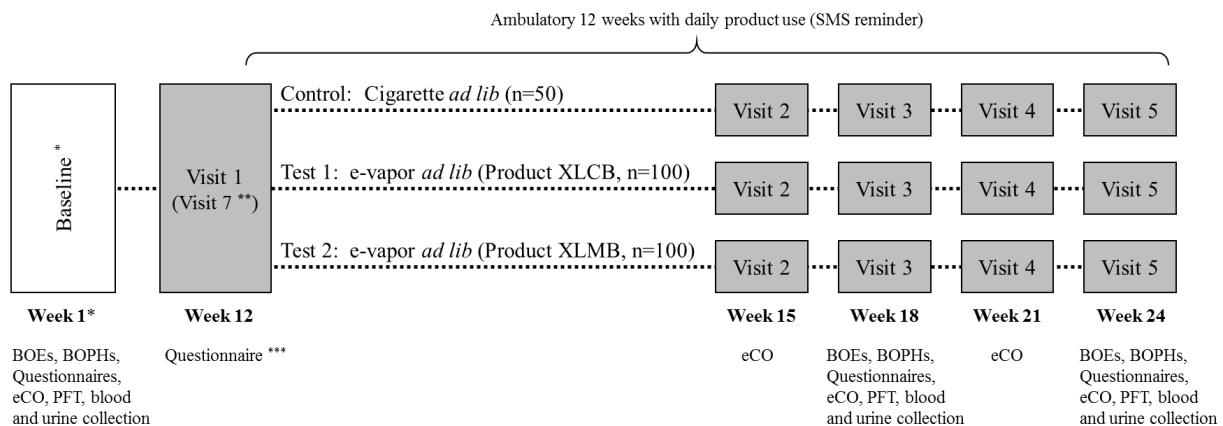
At Visit 3 (Week 18), procedures will be as described for Visits 2 and 4 (Weeks 15 and 21, respectively), with the addition of spirometry, questionnaires, and collection of urine and blood for Week 18 biomarkers and future biomarker assessments. A urine collection container will not be dispensed at Visit 3

At Visit 5 (Week 24), subjects will return to the study site with all of their used and unused cartridges (which will be counted), blood and urine samples will be collected for Week 24 biomarker assessments, questionnaires will be completed, and subjects will undergo EOS procedures and be discharged from the study.

All subjects will report their cigarettes per day (Control and Test groups) and Test product use (Test groups only) daily using a SMS, i.e., text message, based system (Med-Quest). The self-reported cigarettes per day (CPD), use of other tobacco products, and measurements of eCO and NNN taken during the in-clinic visits will be used to monitor subject compliance with exclusive use of the Test products. All subjects will return to the study sites for four visits at Weeks 15, 18, 21, and 24.

Throughout this SAP, Screening refers to Visit 1 and/or Visit 2 of the 12-week ALCS-RA-16-06-EV study (CA20130), and study weeks are relative to Week 1 (Visit 3) of the 12-week ALCS-RA-16-06-EV study. Baseline values reported on Day 1 (Week 1) or at Screening (for spirometry only) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used, except for product use. Baselines for product use will be defined in the product use sections.

The overall design of the study is shown below.



BOE: Biomarker of Exposure

BOPH: Biomarker of Potential Harm

eCO: Exhaled Carbon Monoxide compliance assessment

SMS: Short Message System

PFT: Pulmonary Function Test

* Baseline values reported on Day 1 (Week 1) or at Screening (for PFT only) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

** Visit 1 is the same as Visit 7 of the 12-week ALCS-RA-16-06-EV study (CA20130).

*** Changes in Your Health and Well-Being Questionnaire.

Test Products dispensing will occur at Visits 1, 2, 3, and 4 for the Test groups.

The expected duration of this study, from first subject, first visit (Visit 1, Week 12), through last subject, last visit will be approximately 12 weeks. The expected study duration from Screening (of the 12-week ALCS-RA-16-06-EV study) to End of Study for each individual subject is approximately 28 weeks.

Clinical safety evaluations will be performed to ensure that subjects meet the requirements of the study and to monitor subject safety. Visit 1 (Week 12, which is the same as Visit 7 of the 12-week ALCS-RA-16-06-EV study) safety evaluations will include the following: physical examination (symptom-driven); vital signs; body weight, BMI; 12-lead ECGs; clinical chemistry, hematology, and urinalysis; and pregnancy testing (all females). At Visits 2, 3, and 4, safety evaluations will include vital signs and physical examinations (symptom-driven). Visit 5/EOS (or early termination) safety evaluations will include a physical examination (symptom-driven); vital signs; body weight; BMI; 12-lead ECG; clinical chemistry, hematology, and urinalysis; and pregnancy testing (all females).

AEs will be monitored and recorded from the time of the test e-vapor product trial at Screening, Visit 2 of the 12-week ALCS-RA-16-06-EV study until Week 24/EOS (or upon early termination). Events occurring after the initial informed consent signing at Screening, Visit 1 of the 12-week ALCS-RA-16-06-EV study and before the test e-vapor product trial will be recorded and considered baseline signs and symptoms.

Any concomitant medications taken from 30 days prior to Screening, Visit 1 of the 12-week ALCS-RA-16-06-EV study through Week 24/EOS (or upon Early Termination) will also be recorded.

4. ANALYSIS POPULATIONS

The Clinical Safety Population (CSP):

The CSP will consist of all subjects who are enrolled into this study and record at least one use of study products (i.e., conventional cigarettes or test e-vapor products) after Week 12.

The Modified Intent-to-Treat (mITT) Population:

The mITT population includes those subjects in the CSP population for which there is a baseline for a primary biomarker and at least one post-baseline biomarker measure for the primary biomarker after Week 12.

The Per-Protocol (PP) Population:

The PP population will be a subset of the mITT Population, which is comprised of subjects who completed the study without any major protocol violations, which are defined as:

- Control group: subjects who quit cigarette smoking (either by self report or NNN criteria of $\geq 80\%$ reduction in NNN from baseline at Visit 3 or 5).
- Test groups: subjects who self-report use of $> 10\%$ of baseline CPD over the course of the study*, subjects with an eCO > 8 ppm at any one visit in Visits 2 - 5, or subjects whose NNN levels are consistent with smoking cigarettes (ie, $< 80\%$ reduction in NNN from baseline) at Visit 3 or 5, and subjects who used ≤ 2 cartridges per week during any given week from Weeks 13 to 24.

*Baseline is defined as the CPD reported at Screening (Visit 2) of the 12-week ALCS-RA-16-06-EV study (CA20130), and the course of the study (post baseline) is defined as the average number of cigarettes smoked from Day 1, Week 1 of ALCS-RA-16-06-EV through the end of Week 24.

The CSP and PP populations will be used for the analyses of subject demographics, baseline characteristics and tobacco product use.

The mITT and PP populations will be used for the analyses of the primary endpoint. All other endpoints (excluding demographics, baseline characteristics, and safety data) will be analyzed based on the mITT population concept defined for each endpoint that includes subjects who has a baseline value and at least one post-baseline value. A PP population will be applied to the spirometry dataset using the criteria listed above.

The CSP will be used for the analyses of AEs, vital signs, clinical laboratory findings and other study safety related variables.

If it is determined that a subject was pregnant during the study, all of the pregnant subject's safety and biomarker data will be reported, but will be excluded from the biomarker summarization and statistical analyses.

Deviations from the approved SAP will be reported in the final study report.

5. STUDY GROUP AND PRODUCT DESCRIPTIONS

The following products will be tested in this study:

- Product A = Test EVP (currently marketed by Nu Mark LLC as MarkTen® XL Bold Classic [4.0% NBW]) [CVR2.6.8] Formula: 10381-44-B; Name: "Rosetta"; Label: B44 (Product XLCB)
- Product B = Test EVP (currently marketed by Nu Mark LLC as MarkTen® XL Bold Menthol [4.0% NBW]) [CVR2.6.8] Formula: 10381-40-E; Name: "Spencer"; Label: 40E (Product XLMB)

The following groups will be tested in this study:

Test 1 Group: Exclusive ad libitum use of test e-Vapor Product XLCB, without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks

Test 2 Group: Exclusive ad libitum use of test e-Vapor Product XLMB, without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks

Test 1 + Test 2 Group = Combined e-vapor use group

Control Group : Continue smoking under ad libitum use of subjects' own brand of conventional lit-end cigarettes, without use of any other type of tobacco/nicotine containing product, for the entire additional 12 weeks

The following study group descriptions will be used in the footnotes for listings, tables, and figures.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Test 1 + Test 2 Group = Combined e-Vapor Use Group

Control Group = Continue smoking lit-end conventional cigarette

Study groups will be referred to in the text as: Test 1 Group, Test 2 Group, Combined Test Group, and Control Group.

6. BIOMARKER, PRODUCT USE, PULMONARY FUNCTION, AND QUESTIONNAIREASSESSMENT AND ANALYSIS

6.1 Biomarkers Sample Collection and Measurements

6.1.1 Urine Biomarkers of Exposure and Biomarkers of Potential Harm

6.1.1.1 Urine BOE and BOPH Sample Collection

The first urine void of the day will be collected by the subjects and brought to the study sites on Visit 3 and Visit 5. Aliquots will be taken for analysis of urine NE (nicotine, nicotine glucuronide, cotinine, cotinine glucuronide, trans-3'-hydroxycotinine, and trans-3'-hydroxycotinine glucuronide), total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a}, and creatinine. The remaining volume will be used for bio-banking.

6.1.1.2 Bioanalytical Method

Urine aliquots for NE, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a} will be shipped to Celerion Bioanalytical Services for analysis. These samples will be analyzed using validated LC-MS/MS analytical methods.

Aliquots for creatinine will be shipped to the Celerion Clinical Laboratory for analysis.

Urine for bio-banking will be stored at Celerion until finalization of the CSR at which time they will be shipped to a long-term storage facility chosen by the Sponsor. The samples for future evaluation will be stored indefinitely and may be used to measure various biomarkers associated with tobacco use.

6.1.1.3 Urine Biomarker Analysis Variables

- Creatinine-adjusted NE, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a} concentration
- Creatinine-adjusted absolute urine biomarker change from Baseline*
- Creatinine-adjusted percent urine biomarker change from Baseline*

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

Creatinine-adjusted concentrations will be calculated as shown in Section [6.1.1.3.2](#). Absolute and percent change from baseline will be calculated as shown in Section [6.1.1.3.3](#).

6.1.1.3.1 Urine Nicotine Equivalents

Nicotine equivalents will be calculated as the molar sum of nicotine and 5 major nicotine metabolites. Values of individual components reported as below the limit of quantitation (BLQ) will be set to one-half of the limit of quantitation prior use in the calculation below. Missing urine data will not be imputed.

Nicotine equivalents ($\mu\text{g}/\text{mL}$) = (nicotine [ng/mL]/162.23 [mg/mmol] + nicotine-gluc (ng/mL)/338.36 [mg/mmol] + cotinine [ng/mL]/176.22 [mg/mmol] + cotinine-gluc [ng/mL]/352.34 [mg/mmol] + trans-3'-hydroxycotinine [ng/mL]/192.22 [mg/mmol] + trans-3'-hydroxycotinine-gluc [ng/mL]/368.34 [mg/mmol]) x 162.23 (mg/mmol) x 1 $\mu\text{g}/1000 \text{ ng}$

6.1.1.3.2 Urine Biomarkers Adjusted for Urine Creatinine

Urine creatinine concentration will also be measured in urine biomarker collection and will be used to adjust the concentration values of urine biomarkers as follows:

Nicotine Equivalents

Nicotine equivalents (mg/g creatinine) = $\frac{\text{nicotine equivalents } (\mu\text{g}/\text{mL}) \times 100}{\text{creatinine } (\text{mg}/\text{dL})}$

NNAL, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a}

Urine biomarker (ng/g creatinine) = $\frac{\text{urine biomarker } (\text{pg}/\text{mL}) \times 100}{\text{creatinine } (\text{mg}/\text{dL})}$

6.1.1.3.3 Urine Biomarker Change From Baseline

Urine biomarker change from Baseline will be calculated for creatinine-adjusted urinary NE, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a} as follows, where Baseline = values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130):

Absolute change from Baseline = Post Product Use Value – Baseline Value

Percent change from Baseline (%) = $\frac{(\text{Post Product Use Value} - \text{Baseline Value})}{\text{Baseline Value}} \times 100 \%$

6.1.2 Blood Biomarkers of Exposure and Biomarkers of Potential harm

6.1.2.1 Blood BOE and BOPH Sample Collection

Blood samples (approximately 4 mL each) will be collected via direct venipuncture for WBC count, sICAM-1 concentration, HDL-C concentration, and COHb saturation analysis at Visits 3 and 5.

6.1.2.2 Bioanalytical Method

Blood samples for WBC count, HDL-C concentration, and COHb saturation will be shipped to the Celerion Clinical Laboratory for analysis. Whole blood COHb saturation will be determined using a validated spectrophotometric method at the Celerion Clinical Laboratory, Lincoln, Nebraska.

Blood samples for sICAM-1 concentration will be shipped to Celerion Bioanalytical Services for analysis. These samples will be analyzed using validated LC-MS/MS analytical methods.

6.1.2.3 Blood Biomarker Analysis Variables

The following variables will be determined for blood biomarkers:

- Blood WBC count, sICAM-1 concentration, HDL-C concentration, and COHb saturation
- Absolute blood biomarker change from Baseline*
- Percent blood biomarker change from Baseline*

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

Absolute and percent change from baseline will be calculated as shown in Section [6.1.1.3.3](#).

6.1.3 Product Use and Compliance Data Collection and Analysis Variables

6.1.3.1 Data Collection

Product use (number conventional cigarettes used, number test e-vapor cartridges started, and number of puffs taken using the test products, either ≤ 20 or > 20) will be reported daily using a short message service. Exhaled CO (eCO) measurements will be performed by the clinic staff using the Micro+ basic™ Smokerlyzer® monitor (coVita) at Visits 3, 4, and 5. Use of other tobacco products will also be recorded at Visits 3, 4, and 5. Urine will be collected for determination of NNN at Visits 3 and 5.

6.1.3.2 Analysis Variables

- Average number of cigarettes per day by week
- Average number of e-vapor cartridges started per day by week
- Frequency and percentage of subjects with a reduction, no change, or increase in cigarettes used per day from Baseline (the cigarettes per day reported at Screening, Visit 2 of the 12-week ALCS-RA-16-06-EV study) to Visit 5 (Week 24)
- Frequency and percentage of subjects with a reduction, no change, or increase in e-vapor cartridges used per day from Baseline (the average e-vapor cartridges started per day during Week 1 of the 12-week ALCS-RA-16-06-EV study) to Visit 5 (Week 24)
- Measured eCO determined at Baseline, Visit 2, Visit 3, Visit 4, and Visit 5 (Week 24)
- Use of Other tobacco products (yes/no)
- Creatinine-adjusted urine NNN determined at Baseline*, Visit 3, and Visit 5 (Week 24) (calculated as described in Section [6.1.1.3.2](#))

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

6.1.4 Spirometry

6.1.4.1 Data Collection

Pulmonary function testing will be performed at Screening (Visit 2 of the 12-week ALCS-RA-16-06-EV study [CA20130]), Visit 3 (Week 18), and Visit 5 (Week 24).

6.1.4.2 Analysis Variables

The following pulmonary function parameters will be determined: measured FEV1, percent of predicted FEV1, measured FVC, percent of predicted FVC, measured FEV1/FVC, percent of predicted FEV1/FVC, FEV1 reversibility, percent FEV1 reversibility, and FEF25-75. Absolute change from baseline (Screening Visit 2 of the 12-week ALCS-RA-16-06-EV study [CA20130]) to Visit 3 and Visit 5 will be determined for all parameters.

6.1.5 Questionnaires

6.1.5.1 Data Collection

The QGEN, TQOLIT, and Cough questionnaires will be administered at Visits 3 and 5 and the mCEQ Questionnaire will be administered at Visit 5. The Reasons of Use/Not-Use Test Product Questionnaire will be administered for the test groups only at Visit 5.

6.1.5.2 Analysis Variables

- QGEN and TQOLIT questionnaires: responses, factor scores and the change in factor scores from Baseline* to Week 18 and Week 24
- Responses to each question on Cough Questionnaire at Baseline*, Visit 3 (Week 18) and Visit 5 (Week 24)
- Responses to Reasons for Use and Not-use of Test Product questionnaire at Visit 5 (Week 24) for test group only
- mCEQ: Response scores for each question, the factor scores for Smoking satisfaction, Psychological reward, Aversion, Enjoyment of sensation, and Craving reduction, and the change in factor scores from Baseline* to Week 24.

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

6.2 Data Summary and Presentation

The descriptive statistics tables for blood and urine biomarkers and products used per day will be generated with the following level of precision for the summary statistics:

The derived values (amount excreted in urine biomarkers, absolute change and percent change from Baseline) will have two decimal points. For blood biomarkers, the absolute change from baseline will have the same precision as the original values.

- n, n missing without a decimal;
- Mean/median with one more decimal/significant figure than minimum/maximum;
- Q1 and Q3 with one more decimal/significant figure than minimum/maximum;
- SD/SEM with one more decimal/significant figure than mean/median.
- CV% with one decimal;
- Minimum/maximum in same precision as in the database
- 95% CI with one more decimal/significant figure than minimum/maximum

6.2.1 Demographic Summary

Descriptive statistics will be summarized for continuous demographics variables (e.g., age, weight, height, and BMI) and frequency counts will be tabulated for categorical demographics variables (e.g., gender, ethnicity, race, income level, and highest education grade level) by study group, and overall. The variable BMI is calculated from the weight and height collected at the Screening assessment and age is calculated from the date of the Screening visit.

The CSP, mITT and PP populations will be used for this summary.

6.2.2 Smoking History

CPD and years of smoking (calculated from Screening date reported at Screening will be listed. Descriptive statistics will be summarized by study group, age class, and gender for continuous variables and frequency counts will be tabulated for categorical variables.

The CSP, mITT and PP populations will be used for this summary.

6.2.3 Urine BOE and BOPH

Urine biomarker concentration (including each component of NE), urine creatinine concentration, and the creatinine-adjusted urine biomarker concentration will be listed by subject and study visit for urine NE, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a}. All BLQ values will be presented as “BLQ” in the listings.

Creatinine-adjusted urine biomarker concentration, absolute change from baseline creatinine-adjusted urine biomarker concentration, and percent change from baseline creatinine-adjusted urine biomarker concentration will be summarized by group and visit for each of the following biomarkers: urine NE, total NNAL, NNN, 11-dehydrothromboxane B₂, and 8-epi-prostaglandin F_{2a} using descriptive statistics (number of observations [n], number of missing values [n missing], arithmetic mean (mean), standard deviation [SD], coefficient of variation [CV%], standard error of the mean (SEM), minimum, median, minimum, maximum, and 95% CI). The descriptive statistics will be provided for both mITT and PP populations.

6.2.4 Blood BOE and BOPH

Blood biomarker concentrations will be listed by subject and study visit for WBC count, HDL-C, sICAM-1, and COHb. All BLQ values for COHb will be presented as “BLQ” in the listings.

Blood biomarker concentration, absolute change from baseline blood biomarker concentration, and percent change from baseline biomarker concentration will be summarized by group and study visit using descriptive statistics (number of observations[n], number of missing values [n missing], arithmetic mean [mean], standard deviation [SD], coefficient of variation [CV%], standard error of the mean [SEM], minimum, median, maximum, and 95% CI). BLOQ COHb saturation will be treated as one-half the lower limit of quantitation (LLOQ). The descriptive statistics will be provided for both mITT and PP populations.

6.2.5 Product Use and Compliance

Product Use

The number of cigarettes smoked per day, number of new e-vapor cartridges started per day, and number of puffs taken using test products (≤ 20 puffs or > 20 puffs) will be listed by subject and study day. Continuous variables will be summarized by descriptive statistics while categorical data will be presented using frequency count table. The average number of cigarettes smoked per day and e-cartridges started per day will be summarized by group and Week (Weeks 12 through 24) using descriptive statistics (number of observations [n], number of missing values [n missing], arithmetic mean [mean], standard deviation [SD], coefficient of variation [CV%], standard error of the mean [SEM], minimum, Q1, median, Q3, maximum and 95% CI). The overall average for Weeks 12 through 18 and Weeks 24 through 24 and Weeks 1 through 24 (CPD only for Weeks 1-24) will be presented. The percent change from Baseline to Week 18, Week 24, and Weeks 1-24 (CPD only for Weeks 1-24) will be calculated, where:

- Baseline for CPD is defined as the CPD reported at Screening, Visit 2 of the 12-week ALCS-RA-16-06-EV study;
- Baseline for the test products is defined as the average of Week 1 of the 12-week ALCS-RA-16-06-EV study;
- Week 18 is defined as the average of Week 18;
- Week 24 is defined as the average of Week 24;
- Weeks 1-24 is defined as the average of Weeks 1-24.

Frequency tables (n, %) will also be used to summarize the proportions of subjects in the following percent change categories: reduce, no change, or increase from Baseline to Week 24 for each study group.

Compliance

ECO concentration, creatinine-adjusted NNN concentration, and absolute and percent change from baseline in creatinine-adjusted NNN concentration will be listed by

subject and study day. Frequency tables (n and %) will be used to summarize eCO (≤ 5 , >5 to ≤ 8 and >8 ppm) and NNN (< 80% reduction from baseline or $\geq 80\%$ reduction from baseline) for assessment of compliance and noncompliance for each study group.

Analysis of NNN will be performed on the mITT population. Other product use and compliance variables will be summarized using available data.

6.2.6 Questionnaires

The responses and the following factor scores from the QGEN and TQOLIT will be presented and listed by subject and study visit:

- QGEN Physical Health General Score
- QGEN Emotional Health General Score
- QGEN estimated Physical Component Summary
- QGEN estimated Mental Component Summary
- TQOLIT Physical Functioning Supplemental
- TQOLIT General Health-Confidence
- TQOLIT Smoking Symptoms
- TQOLIT Smoking or Vaping Impact

The mCEQ will be considered as a 7-point scale and treated as a continuous variable. The responses from mCEQ-C or mCEQ-E will be listed as individual responses and as following factor scores¹ by subject and study visit:

- Smoking satisfaction: average of the response scores from questions 1, 2, and 12;
- Psychological reward: average of the response scores from questions 4 to 8;
- Aversion: average of the response scores from questions 9 and 10;
- Enjoyment of sensation: response score from question 3;
- Craving Reduction: response score from question 11.

Descriptive statistics (n, n missing, mean, SD, CV%, SEM, minimum, Q1, median, Q3, maximum, and 95% CI) of each of the response score and factor score will be provided by study group and study visit.

The responses from Cough Questionnaire (Baseline*, Visits 3 and 5) and Reasons of Use/Not-Use Test Product Questionnaire (Visit 5) will be listed by subject and study

¹ Cappelleri et al. 2007. Confirmatory factor analysis and reliability of the modified cigarette evaluation questionnaire. Addictive Behaviors. (32)912-923

visit. The frequency (n, %) of responses to Questions I and III through X will be summarized by study group. The responses to Question II will be summarized by study group using the same descriptive statistics as for the above questionnaires.

The above questionnaire analyses will be conducted using the dataset of subjects with a baseline and at least one post-baseline response for each individual question, with the exception of Reasons of Use/Not-Use, which will be summarized using available data.

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

6.3 Statistical Analyses

6.3.1 Primary Endpoint Analysis

A linear mixed model for repeated measures (MMRM) analysis will be used for comparing each test group (Test 1 or 2) to the control group in the mean absolute change from Baseline to Week 24 (Visit 5) in each primary biomarker (WBCs, HDL-C, 8-epi-prostaglandin F_{2α}, 11-dehydrothromboxane B₂, sICAM-1, total NNAL, and COHb). In the model, study group (each of the two test group vs control), visit, and study group by visit interaction, gender, age class (less than 45 years or greater or equal to 45 years), and BMI class (less than 25 kg/m² or greater or equal to 25 kg/m²) are the fixed effect factors. The baseline value of the response biomarker, where baseline is defined as the value reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130), is the fixed effect covariate and subject is the random effect factor. A restricted maximum likelihood estimation method will be applied and several covariance structures will be tried. Five candidate covariance structures will be considered: compound symmetry, first order autoregressive, first order autoregressive with a random subject effect, unstructured and Toeplitz. The most appropriate covariance structure will be determined based on the AIC criteria (the covariance structure with the smallest AIC will be chosen). If the model cannot be converged, a robust sandwich estimator for the standard error of the fixed effects will be used. The least-square mean difference, 95% confidence interval and p-value will be provided for the group difference. Pairwise comparisons (Test 1 vs. control, and Test 2 vs. control) will be performed using a Dunnett's test at a 2-sided significance level of 0.05 to adjust for multiplicity. The analysis will be conducted on the mITT and PP datasets.

The following SAS codes will be used to perform the analysis.

```
Proc mixed data=<>;
Class subject gender group visit age bmi;
Model response = gender group visit group*visit age bmi baseline/ddfm=kr;
Repeated visit/type=<type> subject=subject;
LSmeans group*visit/CL alpha=0.05 pdiff dunnett('CONTROL');
Run;
```

Note: This model will include both the Week 24 value (primary endpoint) and Week 18 value (secondary endpoint). The above analysis will also output the residual diagnosis to check for normality and to identify outliers).

6.3.1.1 Dealing with non-normality

A standard residual analysis using Proc Mixed procedure will be used to examine validity of normality assumptions for the primary endpoints including WBCs, HDL-C, 8-epi-prostaglandin F_{2α}, 11-dehydrothromboxane B₂, sICAM-1, total NNAL, and COHb. A natural logarithmic transformation will be applied to the endpoint in the linear mixed model if the normality assumption does not hold.

6.3.2 Secondary Endpoint Analysis

The same statistical analysis model defined in the primary analysis Section 6.3.2 will also be used to make the following comparisons for the primary biomarkers (WBCs, HDL-C, 8-epi-prostaglandin F_{2α}, 11-dehydrothromboxane B₂, sICAM-1, total NNAL, and COHb):

- absolute change from Baseline to Week 24 between the combined e-vapor use group (Test 1 + Test 2) and the Control group.
- absolute change from Baseline to Week 24 between the two test groups (Test 1 vs Test 2).
- absolute change from Baseline to Week 18 between each Test group and the Control group.

The above analyses will be conducted on the mITT datasets.

The same statistical analysis model defined in the primary analysis Section 6.3.2 will also be used for assessment of:

- changes in percent of predicted FEV1, FVC, and FEV1/FVC ratios from Baseline [Screening (Visit 2) of the 12 week ALCS-RA-16-06-EV study (CA20130)] to Week 18 and Week 24 between each Test Group and the Control group.

The analysis of pulmonary function parameters will be conducted on the dataset of subjects with a valid baseline and at least one valid post baseline spirometry assessment. In addition, a PP dataset for pulmonary function parameters will be created from the subjects with valid baseline and post baseline spirometry data using the criteria for the PP population in Section 4, and the analysis will be conducted on this dataset.

6.3.3 Exploratory Endpoint Analysis

The same statistical analysis model defined in the primary analysis Section 6.3.2 will also be used for the exploratory analysis to provide estimates for:

- Absolute changes in urine nicotine equivalents (NE) from Baseline to Week 18 and Week 24 between the each Test Group and the Control group and between the combined e-vapor use group (Test 1 + Test 2) and the Control group (mITT population).

For the following endpoints, the same statistical analysis model defined in the primary analysis Section 6.3.2 but without the fixed effect of BMI will be used for the exploratory analysis to evaluate:

- absolute changes in the QGEN and TQOLIT questionnaire responses from Baseline to Week 18 and Week 24 between the each Test Group and the Control group.

The following SAS codes will be used to perform the analysis:

```
Proc mixed data=<>;
Class subject gender group visit age;
Model response = gender group visit group*visit age baseline/ddfm=kr;
Repeated visit/type=<type> subject=subject;
LSmeans group*visit/CL alpha=0.05 pdiff;
Run;
```

For the endpoints with only Baseline and Week 24 measurements, an ANCOVA model will be used for the exploratory analysis to evaluate:

- absolute changes in the mCEQ factor score from Baseline to Week 24 in the Test and Control groups.

In the model, study group, gender, and age class (less than 45 years or greater or equal to 45 years) are the fixed effect factors. The baseline [Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)] value of the measurement is the fixed effect covariate.

The following SAS codes will be used to perform the analysis:

```
proc mixed data=<>;
class subject gender group age;
model testname = gender group age baseline;
lsmeans group/diff cl alpha=0.05;
run;
```

Responses to the Reasons of Use/Not-Use Test Product questionnaire will be summarized using frequency count tables for each question.

Questionnaire analyses will be conducted using the dataset of subjects with a baseline and at least one post-baseline response for each individual question.

6.3.4 Subgroup Analysis

Subgroup analysis will depend on subgroup sample sizes. Descriptive statistics will be provided for absolute change from Baseline* to Week 24 in all primary endpoints by study group, gender, age class (less than 45 years or greater or equal to 45 years and BMI class (less than 25 kg/m² or greater or equal to 25 kg/m²). These analyses will be based on the mITT dataset.

The subgroup analysis using MMRM will be conducted for the difference in absolute change from Baseline* to Week 24 by gender, age class and BMI class if the subgroup factor (gender, age class, or BMI class) in the model is statistically significant and if the sample sizes are appropriate (n>=15 in each subgroup cell). The subgroup analysis will be conducted on the mITT dataset.

The same statistical analysis model in the primary analysis will be used for subgroup analysis. An additional fixed effect, study group by subgroup interaction (gender, age class, or BMI class), will be included in the statistical analysis model.

*Baseline values reported on Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130) will be used.

6.3.5 Sensitivity Analysis

6.3.5.1 Analysis Variables for Sensitivity Analysis

The sensitivity analysis will be performed for primary endpoints (absolute change from baseline to Week 24 in whole blood WBC count, serum HDL-C concentration, creatinine-adjusted urine 8-epi-prostaglandin F_{2a}, creatinine-adjusted urinary 11-dehydrothromboxane B₂, serum sICAM-1, creatinine-adjusted total NNAL, and whole blood COHb).

6.3.5.2 Handling of Missing Data

The last observation (Baseline value or Week 18 value) carried forward method will be applied to the mITT population to assess the effect of missing data.

6.3.5.3 Examining Influence of Outliers

Data outliers will be examined through Proc Mixed model residual diagnosis (+/- 4 studentized residuals). The outlier test results will be conducted for the primary endpoints (absolute change from baseline to Week 24 in whole blood WBC count, serum HDL-C concentration, creatinine-adjusted urine 8-epi-prostaglandin F_{2a},

creatinine-adjusted urine 11-dehydrothromboxane B₂, serum sICAM-1, creatinine-adjusted total NNAL, and whole blood COHb). A sensitivity analysis by excluding outliers will be performed for the primary analysis variables at Visit 5 (Week 24) if any outliers are found using the above criteria.

6.3.5.4 Handling BLQ values

Values BLQ for nicotine and its metabolites, COHb, NNAL, NNN, 8-epi-prostaglandin F_{2 α} , 11-dehydrothromboxane B₂, and sICAM-1 will be presented in the data listings as BLQ. Values BLQ for nicotine and metabolites will be set to one-half of the limit of quantitation prior use in the calculation of nicotine equivalents and data analysis of NE. Values BLQ for NNAL, NNN, 8-epi-prostaglandin F_{2 α} , 11-dehydrothromboxane B₂, sICAM-1 and COHb will be set to one-half of the LLOQ prior to data analysis. A sensitivity analysis of the primary endpoint analysis will be conducted by setting the BLQ value to the LLOQ if the percentage of values that are BLQ is greater than 5% of observations. The sensitivity analysis for NE will be conducted if the percentage of observations that are BLQ is greater than 5% for any one of the NE components. LLOQ values for each analyte are shown in the table below.

| Analyte | LLOQ |
|--------------------------------------|-------------|
| Nicotine | 50.0 ng/mL |
| Cotinine | 50.0 ng/mL |
| trans-3'-hydroxycotinine | 50.0 ng/mL |
| nicotine glucuronide | 50.0 ng/mL |
| cotinine glucuronide | 200 ng/mL |
| trans-3'-hydroxycotinine glucuronide | 200 ng/mL |
| NNN | 0.200 pg/mL |
| NNAL | 5.00 pg/mL |
| 11-dehydrothromboxane B2 | 25.0 pg/mL |
| 8-epi-prostaglandin F2 α | 25.0 pg/mL |
| sICAM-1 | 12.5 ng/mL |
| COHb | 0.2% |

7. SAFETY

No inferential statistics will be performed on the safety data.

All clinical safety data will be listed by subject. Continuous variables will be summarized using n, mean, SD, median, minimum, and maximum. Frequency counts will be reported for all categorical data.

Decimal points will be presented as follows:

- n will be presented without decimal;
- Minimum/maximum in same precision as in the database;
- Mean/median in one more decimal than minimum/maximum;

- SD in one more decimal than mean/median.

Where individual data points are missing because of dropouts or other reasons, the data will be summarized based on reduced denominators.

7.1 Subject Disposition

The number of subjects enrolled, the number who completed the study, and the number who did not complete the study (overall and reasons for early withdrawal) will be tabulated by study group and overall. A listing of subjects who discontinued the study prematurely will also be presented.

7.2 Adverse Events

Adverse events will be coded (to the lowest level term) with the Medical Dictionary for Regulatory Activities (MedDRA®) version 19.0.

A study product use-emergent AE is defined as an AE that is starting or worsening at the time of or after study product administration.

AEs will be monitored and recorded from the time of the test e-vapor product trial at Screening, Visit 2 of the 12-week ALCS-RA-16-06-EV study until Week 24/EOS (or upon early termination). All events captured in the database will be listed in by-subject data listings. However, only study product use-emergent adverse events will be summarized.

Frequencies of subjects with study product use-emergent AEs, regardless of relationship to study product will be summarized by study group and sorted by system organ class. Frequencies of subjects with study product use-emergent serious adverse events will be likewise summarized. Frequencies of study product use-emergent adverse events will be summarized by severity and relationship to study group. Adverse events occurred during the product trial period will be summarized separately.

7.3 Clinical Laboratory

Clinical laboratory evaluations (clinical chemistry, hematology, and urinalysis) will be performed Visit 1 and at End-of-Study or Early Termination.

Descriptive statistics will be reported for numeric clinical data by study group and time point. Normal ranges will be listed by site.

Out of normal range and clinically significant laboratory values will be listed by subject.

Urine pregnancy tests will be completed at each study visit, and the results will be listed as “Negative” or “Positive.”

7.4 Vital Signs

Vital signs (respiration rate, pulse rate, blood pressure, and oral temperature) will be measured at each study visit, and at the End-of-Study or upon Early Termination. At these study visits, vital signs will be taken in the sitting position after at least 5 minutes of rest and at least 15 minutes after the last conventional cigarette smoked or test e-Vapor product used.

Descriptive statistics will be reported for vital sign measurements (blood pressure, pulse, respiration, and temperature) by study group and time point. Post randomization rechecks will not be used for calculation of descriptive statistics. Subjects only enrolled in the product trial period will be summarized separately.

7.5 ECG

A 12-lead ECG will be obtained at Visit 1 and at End of Study or Early Termination.

Descriptive statistics will be reported for ECG measurements (HR, PR, QRS, QT, and QTcB intervals) by study group and time point. ECG information will be listed by subject.

7.6 Concomitant Medications

All concomitant medications recorded during the study will be coded using the WHO Drug Dictionary version 01SEP2016 and listed by subject.

7.7 Physical Examination

Physical examinations will be performed at Screening. A brief physical examination (symptom driven) may be performed at each study visit, and at End of Study (or Early Termination). Physical examinations will be listed by subject and time point of collection. Changes in physical examinations (if any) will be described in the text of the final report.

8. SUMMARY TABLES AND FIGURES

Summary tables and figures are numbered following the International Conference on Harmonization (ICH) structure. Please note that all summary tables and figures will be generated using SAS® Version 9.3 or higher.

The following is a list of table numbers and titles that will be included as summary tables:

14.1 Demographic Data Summary Tables

Table 14.1.1 Summary of Disposition Overall and by Study Group (CSP, mITT, and PP population)

| | |
|--------------|---|
| Table 14.1.2 | Demographic Summary Overall and by Study Group (CSP, mITT, and PP population) |
| Table 14.1.3 | Smoking History Overall and by Study Group (CSP, mITT, and PP population) |

14.2 Data Summary Tables and Figures for Biomarkers of Potential Harm and Exposure, Product Use, Spirometry, and Questionnaire Responses

14.2.1 Biomarkers of Potential Harm and Exposure Tables

Primary and Secondary Biomarker endpoints (WBC count, HDL-C, urine 8-epi-prostaglandin F_{2α}, urine 11-dehydrothromboxane B₂, sICAM-1, total NNAL, COHb)

Whole Blood WBC Count Tables

| | |
|--------------------|--|
| Table 14.2.1.1.1.1 | Summary of Whole Blood WBC Count ($\times 10^3/\mu\text{L}$) by Study Group and Visit (mITT Population) |
| Table 14.2.1.1.1.2 | Summary of Whole Blood WBC Count ($\times 10^3/\mu\text{L}$) by Study Group and Visit (PP Population) |
| Table 14.2.1.1.2.1 | Summary of Whole Blood WBC Count Absolute Change From Baseline ($\times 10^3/\mu\text{L}$) by Study Group and Visit (mITT Population) |
| Table 14.2.1.1.2.2 | Summary of Whole Blood WBC Count Absolute Change From Baseline ($\times 10^3/\mu\text{L}$) by Study Group and Visit (PP Population) |
| Table 14.2.1.1.3.1 | Summary of Whole Blood WBC Count Percent Change From Baseline (%) by Study Group and Visit (mITT Population) |
| Table 14.2.1.1.3.2 | Summary of Whole Blood WBC Count Percent Change From Baseline (%) by Study Group and Visit (PP Population) |
| Table 14.2.1.1.4.1 | Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline ($\times 10^3/\mu\text{L}$) by Study Group and Visit (mITT Population) |
| Table 14.2.1.1.4.2 | Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline ($\times 10^3/\mu\text{L}$) Between Study Groups by Visit (mITT Population) |
| Table 14.2.1.1.5.1 | Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) by Study Group (PP Population) |

| | |
|--------------------|--|
| Table 14.2.1.1.5.2 | Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) Between Study Groups (PP Population) |
| Table 14.2.1.1.6.1 | Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and Gender (mITT Population) |
| Table 14.2.1.1.6.2 | Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and Age Class (mITT Population) |
| Table 14.2.1.1.6.3 | Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and BMI Class (mITT Population) |
| Table 14.2.1.1.7.1 | Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and Gender (mITT Population) (Note: This analysis will only be performed if gender term is statistically significant) |
| Table 14.2.1.1.7.2 | Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) Between Study Groups by Gender (mITT Population) (Note: This analysis will only be performed if gender is statistically significant) |
| Table 14.2.1.1.8.1 | Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and Age Class (mITT Population) (Note: This analysis will only be performed if Age Class is statistically significant) |
| Table 14.2.1.1.8.2 | Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) Between Study Groups by Age Class (mITT Population) (Note: This analysis will only be performed if Age Class is statistically significant) |
| Table 14.2.1.1.9.1 | Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) by Study Group and BMI Class (mITT Population) (Note: This analysis will only be performed if BMI Class is statistically significant) |
| Table 14.2.1.1.9.2 | Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 (x 10 ³ /µL) Between Study Groups by BMI Class (mITT |

Population) (Note: This analysis will only be performed if BMI Class is statistically significant)

Table 14.2.1.1.10.1 Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) by Study Group (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

Table 14.2.1.1.10.2 Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) Study Groups (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

Table 14.2.1.1.11.1 Summary of Whole Blood WBC Count Absolute Change From Baseline ($\times 10^3/\mu\text{L}$) by Study Group and Visit (mITT Population with Outliers Excluded)

Table 14.2.1.1.11.2 Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) by Study Group (mITT Population with Outliers Excluded)

Table 14.2.1.1.11.3 Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) Between Study Groups (mITT Population with Outliers Excluded)

Table 14.2.1.1.12.1 Statistical Summary of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) by Study Group (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.)

Table 14.2.1.1.12.2 Statistical Comparisons of Whole Blood WBC Count Absolute Change From Baseline to Visit 5 ($\times 10^3/\mu\text{L}$) Between Study Groups (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.)

Serum HDL-C Tables

Table 14.2.1.2.1.1 Summary of Serum HDL-C (mg/dL) by Study Group and Visit (mITT Population)

Table 14.2.1.2.1.2 Summary of Serum HDL-C (mg/dL) by Study Group and Visit (PP Population)

| | |
|--------------------|--|
| Table 14.2.1.2.2.1 | Summary of Serum HDL-C Absolute Change From Baseline (mg/dL) by Study Group and Visit (mITT Population) |
| Table 14.2.1.2.2.2 | Summary of Serum HDL-C Absolute Change From Baseline (mg/dL) by Study Group and Visit (PP Population) |
| Table 14.2.1.2.3.1 | Summary of Serum HDL-C Percent Change From Baseline (%) by Study Group and Visit (mITT Population) |
| Table 14.2.1.2.3.2 | Summary of Serum HDL-C Percent Change From Baseline (%) by Study Group and Visit (PP Population) |
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Statistical Summary of Serum HDL-C Absolute Change From Baseline to Visit 5 (mg/dL) by Study Group and Age Class (mITT Population) (Note: This analysis will only be performed if the Age class term is statistically significant)

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Statistical Comparisons of Serum HDL-C Absolute Change From Baseline to Visit 5 (mg/dL) Between Study Groups by Age Class (mITT Population) (Note: This analysis will only be performed if the Age Class interaction is statistically significant)

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Statistical Summary of Serum HDL-C Absolute Change From Baseline (mg/dL) to Visit 5 by Study Group (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

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Statistical Comparisons of Serum HDL-C Absolute Change From Baseline to Visit 5 (mg/dL) Between Study Groups (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

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Summary of Serum HDL-C Absolute Change From Baseline (mg/dL) by Study Group and Visit (mITT Population with Outliers Excluded)

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Table 14.2.1.3.12.1 Statistical Summary of Urine 8-epi-prostaglandin F_{2α} Absolute Change From Baseline to Visit 5 (ng/g Cr) by Study Group (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.)

Table 14.2.1.3.12.2 Statistical Comparisons of Urine 8-epi-prostaglandin F_{2α} Absolute Change From Baseline to Visit 5 (ng/g Cr) Between Study Groups (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.)

Urine 11-dehydrothromboxane B₂ Tables

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Table 14.2.1.4.9.1

Statistical Summary of Urine 11-dehydrothromboxane B₂ Absolute Change From Baseline to Visit 5 (ng/g Cr) by Study Group and BMI Class (mITT Population) (Note: This analysis will only be performed if the BMI class term is statistically significant)

Table 14.2.1.4.9.2

Statistical Comparisons of Urine 11-dehydrothromboxane B₂ Absolute Change From Baseline to Visit 5 (ng/g Cr) Between Study Groups by BMI Class (mITT Population) (Note: This analysis will only be performed if the BMI class term is statistically significant)

Table 14.2.1.4.10.1

Statistical Summary of Urine 11-dehydrothromboxane B₂ Absolute Change From Baseline to Visit 5 (ng/g Cr) by Study Group (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

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Statistical Comparisons of Urine 11-dehydrothromboxane B₂ Absolute Change From Baseline to Visit 5 (ng/g Cr) Between Study Groups (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method)

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Urine Total NNAL Tables

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Table 14.2.1.6.6.1 Summary of Urine Total NNAL Absolute Change From Baseline to Visit 5 (ng/g Cr) by Study Group and Gender (mITT Population)

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Whole Blood COHb Tables

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Table 14.2.1.7.1.2 Summary of Whole Blood COHb (% Saturation) by Study Group and Visit (PP Population)

Table 14.2.1.7.2.1 Summary of Whole Blood COHb Absolute Change From Baseline (% Saturation) by Study Group and Visit (mITT Population)

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| Table 14.2.1.7.9.1 | Statistical Summary of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) by Study Group and BMI Class (mITT Population) (Note: This analysis will only be performed if the BMI class term is statistically significant) |
| Table 14.2.1.7.9.2 | Statistical Comparisons of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) Between Study Groups by BMI Class (mITT Population) (Note: This analysis will only be performed if the BMI class term is statistically significant) |
| Table 14.2.1.7.10.1 | Statistical Summary of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) by Study Group (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method) |
| Table 14.2.1.7.10.2 | Statistical Comparisons of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) Between Study Groups (mITT Population with Imputation of Missing Data Using Last Observation Carried Forward Method) |
| Table 14.2.1.7.11.1 | Summary of Whole Blood COHb Absolute Change From Baseline (% Saturation) by Study Group and Visit (mITT Population with Outliers Excluded) |
| Table 14.2.1.7.11.2 | Statistical Summary of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) by Study Group (mITT Population with Outliers Excluded) |
| Table 14.2.1.7.11.3 | Statistical Comparisons of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) Between Study Groups (mITT Population with Outliers Excluded) |
| Table 14.2.1.7.12.1 | Statistical Summary of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) by Study Group (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.) |
| Table 14.2.1.7.12.2 | Statistical Comparisons of Whole Blood COHb Absolute Change From Baseline to Visit 5 (% Saturation) Between Study Groups (mITT Population with BLQ values set to the LLOQ) (Note: This analysis will only be performed if the percentage of values that are BLQ is greater than 5% of observations.) |

Exploratory Biomarker

Urine Nicotine Equivalents Tables

| | |
|------------------|---|
| Table 14.2.1.8.1 | Summary of Urine Nicotine Equivalents (mg/g Cr) by Study Group and Visit |
| Table 14.2.1.8.2 | Summary of Urine Nicotine Equivalents Absolute Change From Baseline (mg/g Cr) by Study Group and Visit |
| Table 14.2.1.8.3 | Summary of Urine Nicotine Equivalents Percent Change From Baseline (%) by Study Group and Visit |
| Table 14.2.1.8.4 | Statistical Summary of Urine Nicotine Equivalents Absolute Change From Baseline (mg/g Cr) by Study Group and Visit |
| Table 14.2.1.8.5 | Statistical Comparisons of Urine Nicotine Equivalents Absolute Change From Baseline (mg/g Cr) Between Study Groups by Visit |

14.2.2 Product Use and Compliance Tables

| | |
|------------------|---|
| Table 14.2.2.1.1 | Summary of Average Number of Cigarettes Used Per Day by Study Group and Week (Week 12 through Week 18) |
| Table 14.2.2.1.2 | Summary of Average Number of Cigarettes Used Per Day by Study Group and Week (Week 19 through Week 24) |
| Table 14.2.2.1.3 | Summary of Change from Baseline Cigarettes Used Per Day by Study Group and Visit |
| Table 14.2.2.1.4 | Summary of Average Number of e-Vapor Cartridges Started Per Day by Study Group and Week (Week 12 through Week 18) |
| Table 14.2.2.1.5 | Summary of Average Number of e-Vapor Cartridges Started Per Day by Study Group and Week (Week 19 through Week 24) |
| Table 14.2.2.1.6 | Summary of Change from Baseline e-Vapor Cartridges Started Per Day by Study Group and Visit |
| Table 14.2.2.2 | Frequency of Reduction, No Change, or Increase in Cigarettes Per Day and e-Vapor Cartridges Started Per Day from Baseline to Week 12 by Study Group |
| Table 14.2.2.3.1 | Frequency of Subject Days with \leq 20 or $>$ 20 Puffs per Day for e-Vapor Products by Study Group and Week (Week 12 through Week 18) |

| | |
|------------------|--|
| Table 14.2.2.3.2 | Frequency of Subject Days with \leq 20 or $>$ 20 Puffs per Day for e-Vapor Products Study Group and Week (Week 19 through Week 24) |
| Table 14.2.2.4.1 | Summary of eCO (ppm) by Study Group and Visit |
| Table 14.2.2.4.2 | Summary of urine NNN (ng/g Cr) by Study Group and Visit (mITT population) |
| Table 14.2.2.4.3 | Summary of urine NNN (ng/g Cr) absolute change from Baseline by Study Group and Visit (mITT population) |
| Table 14.2.2.4.4 | Summary of urine NNN (ng/g Cr) percent change from Baseline by Study Group and Visit (mITT population) |
| Table 14.2.2.4.5 | Frequency of eCO and Urine NNN by Study Group and Visit |

14.2.3 Spirometry

| | |
|------------------|---|
| Table 14.2.3.1.1 | Summary of Pulmonary Function Parameters by Study Group and Visit (All Subjects*) |
| Table 14.2.3.1.2 | Summary of Pulmonary Function Parameters by Study Group and Visit (PP Population) |
| Table 14.2.3.2.1 | Summary of Absolute Change From Baseline in Pulmonary Function Parameters by Study Group and Visit (All Subjects*) |
| Table 14.2.3.2.2 | Summary of Absolute Change From Baseline Pulmonary Function Parameters by Study Group and Visit (PP Population) |
| Table 14.2.3.3.1 | Statistical Summary of Absolute Change From Baseline in Pulmonary Function Parameters by Study Group and Visit (All Subjects*) |
| Table 14.2.3.3.2 | Statistical Comparisons of Absolute Change From Baseline in Pulmonary Function Parameters Between Study Groups by Visit (All Subjects*) |
| Table 14.2.3.4.1 | Statistical Summary of Absolute Change From Baseline in Pulmonary Function Parameters by Study Group and Visit (PP Population) |
| Table 14.2.3.4.2 | Statistical Comparisons of Absolute Change From Baseline in Pulmonary Function Parameters Between Study Groups by Visit (PP Population) |

*All subjects with a valid baseline and at least one valid post-baseline spirometry session

14.2.4 Subject Questionnaires

QGEN Questionnaire

| | |
|--------------------|---|
| Table 14.2.4.1.1 | Summary of QGEN Original Scores by Study Group and Visit |
| Table 14.2.4.1.2 | Summary of QGEN Factor Scores by Study Group and Visit |
| Table 14.2.4.1.3 | Summary of Absolute Change From Baseline in QGEN Factor Scores by Study Group and Visit |
| Table 14.2.4.1.4.1 | Statistical Summary of Absolute Change From Baseline in QGEN Factor Scores by Study Group and Visit |
| Table 14.2.4.1.4.2 | Statistical Comparisons of Absolute Change From Baseline QGEN Factor Scores Between Study Groups by Visit |

TQOLIT Questionnaire

| | |
|--------------------|--|
| Table 14.2.4.2.1.1 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 1) |
| Table 14.2.4.2.1.2 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 2) |
| Table 14.2.4.2.1.3 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 3) |
| Table 14.2.4.2.1.4 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 4) |
| Table 14.2.4.2.1.5 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 5) |
| Table 14.2.4.2.1.6 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 6) |
| Table 14.2.4.2.1.7 | Summary of TQOLIT Original Scores by Study Group and Visit (Question Set 7) |
| Table 14.2.4.2.2 | Summary of TQOLIT Factor Scores by Study Group and Visit |
| Table 14.2.4.2.3 | Summary of Absolute Change From Baseline in TQOLIT Factor Scores by Study Group and Visit |
| Table 14.2.4.2.4.1 | Statistical Summary of Absolute Change From Baseline in TQOLIT Factor Scores by Study Group and Visit |
| Table 14.2.4.2.4.2 | Statistical Comparisons of Absolute Change From Baseline in TQOLIT Factor Scores Between Study Groups by Visit |

mCEQ

| | |
|--------------------|--|
| Table 14.2.4.3.1 | Summary of mCEQ Original Scores by Study Group and Visit |
| Table 14.2.4.3.2 | Summary of mCEQ Factor Scores by Study Group and Visit |
| Table 14.2.4.3.3 | Summary of Absolute Change from Baseline to Visit 5 mCEQ Factor Scores by Study Group |
| Table 14.2.4.3.4.1 | Statistical Summary of Absolute Change From Baseline to Visit 5 in mCEQ Factor Scores by Study Group |
| Table 14.2.4.3.4.2 | Statistical Comparisons of Absolute Change From Baseline to Visit 5 in mCEQ Factor Scores Between Study Groups |

Cough Questionnaire

| | |
|------------------|---|
| Table 14.2.4.4.1 | Summary of Cough Questionnaire Questions I and III through X by Study Group and Visit |
| Table 14.2.4.4.2 | Summary of Cough Questionnaire Question II by Study Group and Visit |

Reasons to Use/Not-Use Test Product Questionnaire

| | |
|------------------|---|
| Table 14.2.4.5.1 | Summary of Reasons of Use/Not-Use Test Product Questionnaire by Study Group at Week 24: Likelihood of Use |
| Table 14.2.4.5.2 | Summary of Reasons of Use/Not-Use Test Product Questionnaire by Study Group at Week 24: Reasons to Use |

14.2.5 BOE and BOPH Figures (mITT, PP Populations)

Subgroup Figures will be included if the subgroup term is significant in the model.

Whole Blood WBC Count Figures

| | |
|-------------------|---|
| Figure 14.2.5.1.1 | Mean (SD) Whole Blood WBC Count Versus Visit (mITT Population) |
| Figure 14.2.5.1.2 | Mean (SD) Whole Blood WBC Count Versus Visit (PP Population) |
| Figure 14.2.5.1.3 | Box Plot of Whole Blood WBC Count at Baseline and Visit 5 by Study Group (mITT Population) |
| Figure 14.2.5.1.4 | Box Plot of Whole Blood WBC Count at Baseline and Visit 5 by Study Group (PP Population) |
| Figure 14.2.5.1.5 | Box Plot of Whole Blood WBC Count at Baseline and Visit 3 by Study Group (mITT Population) |
| Figure 14.2.5.1.6 | Box Plot of Whole Blood WBC Count Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group |

Figure 14.2.5.1.7 (mITT Population)
Box Plot of Whole Blood WBC Count Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Serum HDL-C Figures

Figure 14.2.5.2.1 Mean (SD) Serum HDL-C Versus Visit (mITT Population)
Figure 14.2.5.2.2 Mean (SD) Serum HDL-C Versus Visit (PP Population)
Figure 14.2.5.2.3 Box Plot of Serum HDL-C at Baseline and Visit 5 by Study Group (mITT Population)
Figure 14.2.5.2.4 Box Plot of Serum HDL-C at Baseline and Visit 5 by Study Group (PP Population)
Figure 14.2.5.2.5 Box Plot of Serum HDL-C at Baseline and Visit 3 by Study Group (mITT Population)
Figure 14.2.5.2.6 Box Plot of Serum HDL-C Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group (mITT Population)
Figure 14.2.5.2.7 Box Plot of Whole Blood WBC Count Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Urine 8-epi-prostaglandin F2 α Figures

Figure 14.2.5.3.1 Mean (SD) Urine 8-epi-prostaglandin F2 α Versus Visit (mITT Population)
Figure 14.2.5.3.2 Mean (SD) Urine 8-epi-prostaglandin F2 α Versus Visit (PP Population)
Figure 14.2.5.3.3 Box Plot of Urine 8-epi-prostaglandin F2 α at Baseline and Visit 5 by Study Group (mITT Population)
Figure 14.2.5.3.4 Box Plot of Urine 8-epi-prostaglandin F2 α at Baseline and Visit 5 by Study Group (PP Population)
Figure 14.2.5.3.5 Box Plot of Urine 8-epi-prostaglandin F2 α at Baseline and Visit 3 by Study Group (mITT Population)
Figure 14.2.5.3.6 Box Plot of Urine 8-epi-prostaglandin F2 α Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group (mITT Population)
Figure 14.2.5.3.7 Box Plot of Urine 8-epi-prostaglandin F2 α Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Urine 11-Dehydrothromboxane B2 Figures

Figure 14.2.5.4.1 Mean (SD) Urine 11-Dehydrothromboxane B2 Versus Visit (mITT Population)

Figure 14.2.5.4.2 Mean (SD) Urine 11-Dehydrothromboxane B2 Versus Visit (PP Population)

Figure 14.2.5.4.3 Box Plot of Urine 11-Dehydrothromboxane B2 at Baseline and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.4.4 Box Plot of Urine 11-Dehydrothromboxane B2 at Baseline and Visit 5 by Study Group (PP Population)

Figure 14.2.5.4.5 Box Plot of Urine 11-Dehydrothromboxane B2 at Baseline and Visit 3 by Study Group (mITT Population)

Figure 14.2.5.4.6 Box Plot of Urine 11-Dehydrothromboxane B2 Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.4.7 Box Plot of Urine 11-Dehydrothromboxane B2 Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Serum sICAM-1 Figures

Figure 14.2.5.5.1 Mean (SD) Serum sICAM-1 Versus Visit (mITT Population)

Figure 14.2.5.5.2 Mean (SD) Serum sICAM-1 Versus Visit (PP Population)

Figure 14.2.5.5.3 Box Plot of Serum sICAM-1 at Baseline and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.5.4 Box Plot of Serum sICAM-1 at Baseline and Visit 5 by Study Group (PP Population)

Figure 14.2.5.5.5 Box Plot of Serum sICAM-1 at Baseline and Visit 3 by Study Group (mITT Population)

Figure 14.2.5.5.6 Box Plot of Serum sICAM-1 Absolute Change from Baseline) to Visit 3 and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.5.7 Box Plot of Serum sICAM-1 Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Urine Total NNAL Figures

Figure 14.2.5.6.1 Mean (SD) Urine Total NNAL Versus Visit (mITT Population)

Figure 14.2.5.6.2 Mean (SD) Urine Total NNAL Versus Visit (PP Population)

Figure 14.2.5.6.3 Box Plot of Urine Total NNAL at Baseline and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.6.4 Box Plot of Urine Total NNAL at Baseline and Visit 5 by Study Group (PP Population)

Figure 14.2.5.6.5 Box Plot of Urine Total NNAL at Baseline and Visit 3 by Study Group (mITT Population)

Figure 14.2.5.6.6 Box Plot of Urine Total NNAL Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.6.7 Box Plot of Urine Total NNAL Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Whole Blood COHb Figures

Figure 14.2.5.7.1 Mean (SD) Whole Blood COHb Versus Visit (mITT Population)

Figure 14.2.5.7.2 Mean (SD) Whole Blood COHb Versus Visit (PP Population)

Figure 14.2.5.7.3 Box Plot of Whole Blood COHb at Baseline and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.7.4 Box Plot of Whole Blood COHb at Baseline and Visit 5 by Study Group (PP Population)

Figure 14.2.5.7.5 Box Plot of Whole Blood COHb at Baseline and Visit 3 by Study Group (mITT Population)

Figure 14.2.5.7.6 Box Plot of Whole Blood COHb Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group (mITT Population)

Figure 14.2.5.7.7 Box Plot of Whole Blood COHb Absolute Change from Baseline to Visit 5 by Study Group (PP Population)

Note (applies to all the above figures): Figures 14.2.5.X.1 will contain Baseline and Visits 3 and 5 for Test 1, Test 2, Test 1 + Test 2, and Control groups. Figures 14.2.5.X.2 will contain Baseline and Visits 3 and 5 for Test 1, Test 2, and Control groups. Figures 14.2.5.X.3 will contain Test 1, Test 2, Test 1 + Test 2, and Control groups. Figures 14.2.5.X.4 and 14.2.5.X.5 will contain Test 1, Test 2, and Control group. Figures 14.2.5.X.6 will contain Test 1, Test 2, and Control groups for Visit 3 and Test 1, Test 2, Test 1 + Test 2, and Control groups for Visit 5. Figures 14.2.5.X.7 will contain Test 1, Test 2, and Control groups.

Urine Nicotine Equivalents Figures

Figure 14.2.5.8.1 Mean (SD) Nicotine Equivalents Versus Visit

Figure 14.2.5.8.3 Box Plot of Urine Nicotine Equivalents at Baseline and Visit 5 by Study Group

Figure 14.2.5.8.4 Box Plot of Urine Nicotine Equivalents at Baseline and Visit 3 by Study Group

Figure 14.2.5.8.5 Box Plot of Urine Nicotine Equivalents Absolute Change from Baseline to Visit 3 and Visit 5 by Study Group

Note: Figure 14.2.5.8.1 will contain Baseline and Visits 3 and 5 for Test 1,

Test 2, Test 1 + Test 2, and Control groups. Figure 14.2.5.8.2 will contain Test 1, Test 2, Test 1 + Test 2, and Control groups. Figure 14.2.5.8.3 will contain Test 1, Test 2, and Control group. Figure 14.2.5.8.4 will contain Test 1, Test 2, and Control groups for Visit 5 and Test 1, Test 2, Test 1 + Test 2, and Control groups for Visit 5.

14.3 Safety Data Summary Tables

14.3.1 Displays of Adverse Events

Table 14.3.1.1 Adverse Event Frequency by Study Group – Number of Subjects Reporting the Event (% of Subjects Who Received Study Product) (CSP population)

Table 14.3.1.2 Adverse Event Frequency by Study Group – Number of Adverse Events (% of Total Adverse Events) (CSP population)

Table 14.3.1.3 Adverse Event Frequency by Study Group, Severity, and Relationship to Study Product – Number of Subjects Reporting Events (CSPS population)

Table 14.3.1.4 Adverse Event Frequency by Study Group, Severity, and Relationship to Study Product – Number of Adverse Events (CSP population)

14.3.2 Listings of Deaths, other Serious and Significant Adverse Events

Table 14.3.2.1 Serious Adverse Events (if no serious adverse event occurred, a statement ‘No serious adverse event is reported’ will be in the table)

14.3.3. Narratives of Deaths, other Serious and Certain other Significant Adverse Events

14.3.4. Abnormal Laboratory Value Listing (each subject)

Table 14.3.4.1 Out-of-Range Values and Recheck Results – Serum Chemistry (CSP population)

Table 14.3.4.2 Out-of-Range Values and Recheck Results – Hematology (CSP population)

Table 14.3.4.3 Out-of-Range Values and Recheck Results – Urinalysis (CSP population)

14.3.5. Displays of Other Laboratory, Vital Signs, Electrocardiogram, Physical Examination, and Other Safety Data

Table 14.3.5.1 Clinical Laboratory Summary – Serum Chemistry (CSP population)

- Table 14.3.5.2 Clinical Laboratory Summary – Hematology (CSP population)
- Table 14.3.5.3 Clinical Laboratory Summary – Urinalysis (CSP population)
- Table 14.3.5.4 Vital Sign Summary (CSP population)
- Table 14.3.5.5 12-Lead Electrocardiogram Summary (CSP population)

9. DATA LISTING TITLES AND NUMBERS

Note: Hepatitis and HIV results that are provided by the clinical laboratory will not be presented in subject data listings and will not be included in any database transfer.

Data listings are numbered following the ICH structure. The following is a list of appendix numbers and titles that will be included as data listings:

16.1. Study Information

- Appendix 16.1.10.1 Clinical Laboratory Reference Ranges by Site
- Appendix 16.1.10.2 Vital Signs Reference Ranges by Site
- Appendix 16.1.10.3 12-Lead Electrocardiogram Reference Ranges by Site

Note: Appendices 16.1.10.2 and 16.1.10.3 are generated in PDF files from the clinical sites for inclusion in the CSR.

16.2. Subject Data Listings

16.2.1. Subject Discontinuation

- Appendix 16.2.1 Subject Disposition and Discontinuation

16.2.2. Protocol Deviations

- Appendix 16.2.2 Protocol Deviations

16.2.3. Subjects Excluded from Biomarker Analysis

- Appendix 16.2.3 Subjects Excluded from WBC Count, HDL-C, 8-epi-prostaglandin F2 α , 11-dehydrothromboxane B2, sICAM-1, total NNAL, and COHb Analysis

Note: Appendices 16.2.2 and 16.2.3 are generated in Microsoft® Word® for inclusion in the CSR.

16.2.4. Demographic Data

- Appendix 16.2.4.1.1 Demographics (I of II)
- Appendix 16.2.4.1.2 Demographics (II of II)

| | |
|---------------------|---|
| Appendix 16.2.4.1.3 | Subject Characteristics |
| Appendix 16.2.4.2.1 | Physical Examination (I of II) |
| Appendix 16.2.4.2.2 | Physical Examination (II of II) |
| Appendix 16.2.4.2.3 | Physical Examination Descriptions |
| Appendix 16.2.4.3 | Medical History |
| Appendix 16.2.4.4.1 | Tobacco/Nicotine Product Use History (I of II) |
| Appendix 16.2.4.4.2 | Tobacco/Nicotine Product Use History (II of II) |

16.2.5. Compliance Data

| | |
|---------------------|---|
| Appendix 16.2.5.1 | Inclusion / Exclusion Criteria Not Met |
| Appendix 16.2.5.2.1 | Test e-Vapor Product Assessment Questionnaire |
| Appendix 16.2.5.2.2 | Confirm Regular Cigarette Brand |
| Appendix 16.2.5.2.3 | Test e-Vapor Product Accountability |
| Appendix 16.2.5.3 | Urine Collection for BOEs and BOPHs |
| Appendix 16.2.5.4 | Blood Sampling |
| Appendix 16.2.5.5 | Exhaled CO Measurement |
| Appendix 16.2.5.6 | Prior and On-Study Concomitant Medications |

16.2.6. Individual Response Data

| | |
|------------------------|---|
| Appendix 16.2.6.1 | Whole Blood WBC Counts |
| Appendix 16.2.6.2 | Serum HDL-C |
| Appendix 16.2.6.3 | Urine 8-epi-prostaglandin F2 α |
| Appendix 16.2.6.4 | Urine 11-dehydrothromboxane B2 |
| Appendix 16.2.6.5 | Serum sICAM-1 |
| Appendix 16.2.6.6 | Urine Total NNAL |
| Appendix 16.2.6.7 | Whole Blood COHb |
| Appendix 16.2.6.8.1 | Product Used per Day |
| Appendix 16.2.6.8.2 | Test Product Used per Day |
| Appendix 16.2.6.8.3 | Average Product Used per Day |
| Appendix 16.2.6.8.4 | Product Used Per Day Change From Baseline |
| Appendix 16.2.6.9 | Urine NNN |
| Appendix 16.2.6.10.1 | Pulmonary Function Test (Spirometry) (I of II) |
| Appendix 16.2.6.10.2 | Pulmonary Function Test (Spirometry) (II of II) |
| Appendix 16.2.6.10.3 | Pulmonary Function Test (Spirometry) Absolute Change from Baseline to Week 24 (Visit 5) |
| Appendix 16.2.6.11.1 | Urine Nicotine and Metabolites Concentrations |
| Appendix 16.2.6.11.2 | Urine Nicotine Equivalents |
| Appendix 16.2.6.12.1.1 | TQOLIT Questionnaire |

- Appendix 16.2.6.12.1.2 Factor Score TQOLIT Questionnaire
- Appendix 16.2.6.12.2.1 QGEN Questionnaire
- Appendix 16.2.6.12.2.2 Factor Score to QGEN Questionnaire
- Appendix 16.2.6.12.3.1 Modified Cigarette Evaluation Questionnaire
- Appendix 16.2.6.12.3.2 Factor Score to MCEQ Questionnaire
- Appendix 16.2.6.12.4.1 Cough Questionnaire
- Appendix 16.2.6.12.4.2 Cough Questionnaire Response
- Appendix 16.2.6.12.5.1 Reasons of Use/Not-Use Test Product Questionnaire (I of II)
- Appendix 16.2.6.12.5.2 Reasons of Use/Not-Use Test Product Questionnaire (II of II)
- Appendix 16.2.6.12.6.1 End-of-Study Questionnaire (Test Groups)
- Appendix 16.2.6.12.6.1 End-of-Study Questionnaire (Control Group)

16.2.7. Individual Adverse Event Listings

- Appendix 16.2.7.1 Adverse Events (I of II)
- Appendix 16.2.7.2 Adverse Events (II of II)
- Appendix 16.2.7.3 Adverse Event Preferred Term Classification

16.2.8. Individual Laboratory Measurements and Other Safety Observations

- Appendix 16.2.8.1 Clinical Laboratory Report - Serum Chemistry
- Appendix 16.2.8.2 Clinical Laboratory Report - Hematology
- Appendix 16.2.8.3 Clinical Laboratory Report - Urinalysis
- Appendix 16.2.8.4 Alcohol Breath Tests
- Appendix 16.2.8.5 Urine Drug Screens
- Appendix 16.2.8.6 Pregnancy Tests
- Appendix 16.2.8.7 Urine Cotinine Screens
- Appendix 16.2.8.8 Serology Sample Collection
- Appendix 16.2.8.9 Clinical Laboratory Report - Comments
- Appendix 16.2.8.10 Vital Signs
- Appendix 16.2.8.11 12-Lead Electrocardiogram
- Appendix 16.2.8.12 Smoking Cessation Information
- Appendix 16.2.8.13 Reminder Phone Call

10. TABLE SHELLS

The following table shells provide a framework for the display of data from this study. The shells may change due to unforeseen circumstances. These shells may not be reflective of every aspect of this study, but are intended to show the general layout of the tables that will be included in the final report.

Table 14.1.1 Summary of Disposition Overall and by Study Group (CSP, mITT, and PP population)

| Population | Category | Group | | | |
|------------|--------------------|--------|--------|---------|---------|
| | | Test 1 | Test 2 | Control | Overall |
| CSP | Enrolled | XXX | XXX | XXX | XXX |
| | Completed | XXX | XXX | XXX | XXX |
| | Discontinued Early | XX | XX | XX | XX |
| | <Reason1> | XX | XX | XX | XX |
| | <Reason2> | XX | XX | XX | XX |
| | <Reason3> | XX | XX | XX | XX |
| mITT | Enrolled | XXX | XXX | XXX | XXX |
| | Completed | XXX | XXX | XXX | XXX |
| | Discontinued Early | XX | XX | XX | XX |
| | <Reason1> | XX | XX | XX | XX |
| | <Reason2> | XX | XX | XX | XX |
| | <Reason3> | XX | XX | XX | XX |
| PP | Enrolled | XXX | XXX | XXX | XXX |
| | Completed | XXX | XXX | XXX | XXX |
| | Discontinued Early | XX | XX | XX | XX |
| | <Reason1> | XX | XX | XX | XX |
| | <Reason2> | XX | XX | XX | XX |
| | <Reason3> | XX | XX | XX | XX |

Note: Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XIMB
 Control Group = Continue smoking lit-end conventional cigarette

CSP = Clinical safety population, mITT = Modified Intent-to-treat, PP = Per protocol

Program: /CAXXXX/sas_prg/stsas/PROGRAMNAME.SAS DDMMYYYY HH:MM

Table 14.1.2 Demographic Summary Overall and by Study Group (CSP, mITT, and PP population)

| Population Trait | | Group | | | |
|------------------|------------------------|-----------|-----------|-----------|-----------|
| | | Test 1 | Test 2 | Control | Overall |
| CSP | Gender | Male | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| | | Female | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| Race | XXXXXXXXXX | X (XX.X%) | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| | XXXXX | X (XX.X%) | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| | XXXX | X (XX.X%) | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| Ethnicity | Hispanic or Latino | X (XX.X%) | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| | Not Hispanic or Latino | X (XX.X%) | X (XX.X%) | X (XX.X%) | X (XX.X%) |
| Age (yrs) | n | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |

Note: Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

CSP = Clinical safety population, mITT = Modified Intent-to-treat, PP = Per protocol

Program: /CAXXXX/sas_prg/stsas/PROGRAMNAME.SAS DDMMYYYY HH:MM

Programmer Note: Weight (kg), height (cm), BMI (kg/m^2) annual income and highest grade of school will also be included in the demographic summary table. ITT and PP population will also be presented.

Table 14.1.3 Smoking History Overall and by Study Group (CSP, mITT, and PP population)

| Population | Trait | Study Group | | | |
|--------------|---------|-------------|------|---------|---------|
| | | 1 | 2 | Control | Overall |
| CSP | CPD | n | X | X | X |
| | | Mean | X.X | X.X | X.X |
| | | SD | X.XX | X.XX | X.XX |
| | | Minimum | XX | XX | XX |
| | | Median | X.X | X.X | X.X |
| | | Maximum | XX | XX | XX |
| Years Smoked | n | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |

Note: CPD = Cigarettes per day

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

CSP = Clinical safety population, mITT = Modified Intent-to-treat, PP = Per protocol

Program: /CAXXXX/sas_prg/stsas/PROGRAMNAME.SAS DDMMYY HH:MM

Programmer Note: mITT and PP population will also be presented if sample size is appropriate. Subset by gender and age group [i.e CSP (Male), CSP(Female), CSP (<45 years old), CSP(≥45 years old), etc.] will also be presented.

Note: Summary Tables 14.2.1.1.1.1, 14.2.1.1.1.2, 14.2.1.2.1.1, 14.2.1.2.1.2, 14.2.1.3.1.1, 14.2.1.3.1.2, 14.2.1.4.1.1, 14.2.1.4.1.2, 14.2.1.5.1.1, 14.2.1.5.1.2, 14.2.1.6.1.1, 14.2.1.6.1.2, 14.2.1.7.1.1, 14.2.1.7.1.2, 14.2.1.8.1, and 14.2.2.4.2 will have the following format:

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Table 14.2.1.1.1.1 Summary of <Matrix> <Biomarker> (<units>) by Study Group and Visit (<Population>)

| Visit | Statistics | Group | | | |
|-------|------------|------------|------------|-----------------|------------|
| | | Test 1 | Test 2 | Test 1 + Test 2 | Control |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | XX.X | XX.X | XX.X | XX.X |
| | CV(%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | XX.X | XX.X | XX.X | XX.X |
| | CV(%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |

Note: Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas/PROGRAMNAME.SAS DDMMYYYY HH:MM

Programmer Note: Table 14.2.2.4.2 will not contain Test 1 + Test 2 Group

Note: Summary tables 14.2.1.1.2.1, 14.2.1.1.2.2, 14.2.1.1.11.1, 14.2.1.2.2.1, 14.2.1.2.2.2, 14.2.1.2.11.1, 14.2.1.3.2.1, 14.2.1.3.2.2, 14.2.1.3.11.1, 14.2.1.4.2.1, 14.2.1.4.2.2, 14.2.1.4.11.1, 14.2.1.5.2.1, 14.2.1.5.2.2, 14.2.1.5.11.1, 14.2.1.6.2.1, 14.2.1.6.2.2, 14.2.1.6.11.1, 14.2.1.7.2.1, 14.2.1.7.2.2, 14.2.1.7.11.1, 14.2.1.8.2, and 14.2.2.4.3 will have the following format:

Page 1 of X

Table 14.2.1.1.2.1 Summary of <Matrix> <Biomarker> Absolute Change from Baseline (<units>) by Study Group and Visit (<Population>)

| Visit | Statistics | Group | | | |
|-------|------------|------------|------------|-----------------|------------|
| | | Test 1 | Test 2 | Test 1 + Test 2 | Control |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | XX.X | XX.X | XX.X | XX.X |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |

Note: Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Test1 + Test 2 Group = Combined e-Vapor Use Group

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas/PROGRAMNAME.SAS DDMMYYYY HH:MM **Programmer Note: Table 14.2.2.4.3 will not contain Test 1 + Test 2 Group**

Note: Summary tables 14.2.1.1.3.1, 14.2.1.1.3.2, 14.2.1.2.3.1, 14.2.1.2.3.2, 14.2.1.3.3.1, 14.2.1.3.3.2, 14.2.1.4.3.1, 14.2.1.4.3.2, 14.2.1.5.3.1, 14.2.1.5.3.2, 14.2.1.6.3.1, 14.2.1.6.3.2, 14.2.1.7.3.1, 14.2.1.7.3.2, 14.2.1.8.3, and 14.2.2.4.4 will have the following format:

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 Table 14.2.1.1.3.1 Summary of <Matrix> <Biomarker> Percent Change from Baseline (%) by Study Group and Visit (<Population>)

| Visit | Statistics | Group | | | |
|-------|------------|------------|------------|-----------------|------------|
| | | Test 1 | Test 2 | Test 1 + Test 2 | Control |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| X | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |

Note: Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB
 Test1 + Test 2 Group = Combined e-Vapor Use Group
 Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas/PROGRAMNAME.SAS DDMMYYYY HH:MM

Programmer Note: Table 14.2.2.4.4 will not contain Test 1 + Test 2 Group

Note: Statistical summary tables 14.2.1.1.4.1, 14.2.1.2.4.1, 14.2.1.3.4.1, 14.2.1.4.4.1, 14.2.1.5.4.1, 14.2.1.6.4.1, 14.2.1.7.4.1, 14.2.1.8.4 will have the following format:

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Table 14.2.1.1.4.1 Statistical Summary of <Matrix> <Biomarker> Absolute Change from Baseline (<Units>) by Study Group and Visit (mITT Population)

| Group | Visit | n | ----- LS Mean ----- | XX% Confidence Interval | p-value |
|-----------------|-------|----|---------------------|-------------------------|---------|
| Test 1 | 3 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 | 3 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Test 1 + Test 2 | 3 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Control | 3 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value.

< > variance-covariance structure is used for the final model.

n = Number of observation used in the analysis

Least-squares means (LS Means) are calculated from the MMRM.

Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Test 1 + Test 2 Group = Combined e-Vapor Use Group

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

Note: Statistical Summary Tables for PP population, mITT with imputation population, mITT population with outliers excluded, and mITT Population with BLQ values set to the LLOQ: 14.2.1.1.5.1, 14.2.1.1.10.1, 14.2.1.1.11.2, 14.2.1.1.12.1, 14.2.1.2.5.1, 14.2.1.2.10.1, 14.2.1.2.11.2, 14.2.1.2.12.1, 14.2.1.3.5.1, 14.2.1.3.10.1, 14.2.1.3.11.2, 14.2.1.3.12.1, 14.2.1.4.5.1, 14.2.1.4.10.1, 14.2.1.4.11.2, 14.2.1.4.12.1, 14.2.1.5.5.1, 14.2.1.5.10.1, 14.2.1.5.11.2, 14.2.1.5.12.1, 14.2.1.6.5.1, 14.2.1.6.10.1, 14.2.1.6.11.2, 14.2.1.6.12.1, 14.2.1.7.5.1, 14.2.1.7.10.1, 14.2.1.7.11.2, 14.2.1.7.12.1, will have the following format:

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Table 14.2.1.1.5.1 Statistical Summary of <Matrix> <Biomarker> Absolute Change from Baseline to Visit 5 (<Units>) by Study Group (<Population>)

| Group | n | LS Mean | XX% Confidence Interval | p-value |
|---------|----|---------|-------------------------|---------|
| Test 1 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Control | XX | X.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value. < > variance-covariance structure is used for the final model.

n = Number of observation used in the analysis

Least-squares means (LS Means) are calculated from the MMRM.

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

Programmer Note: Tables 14.2.1.X.12.1 (mITT Population with BLQ values set to the LLOQ) will only be presented if the percentage of values that are BLQ is greater than 5% of observations.

Note: Statistical comparison Tables 14.2.1.1.4.2, 14.2.1.2.4.2, 14.2.1.3.4.2, 14.2.1.4.4.2, 14.2.1.5.4.2, 14.2.1.6.4.2, 14.2.1.7.4.2, 14.2.1.8.5 will have the following format:

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 Table 14.2.1.1.4.2 Statistical Comparisons of <Biomarker> Absolute Change From Baseline (Units) Between Study Groups by Visit (mITT Population)

| Comparison | Visit | LS Means | | LS Mean Difference (Test - Reference) | XX% Confidence Interval | p-value |
|----------------------------|-------|----------|---------------|--|-------------------------|---------|
| | | Test (n) | Reference (n) | | | |
| Test 1 vs Control | 3 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 vs Control | 3 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| Test 1 + Test 2 vs Control | 5 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| Test 1 vs Test 2 | 5 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value. < > variance-covariance structure is used for the final model.

Least-squares means (LS Means) are calculated from the MMRM.

Test = First group in the comparison

Reference = Second group in the comparison

n = Number of observation used in the analysis

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Test 1 + Test 2 Group = Combined e-Vapor Use Group

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

Note: Statistical comparison tables for PP population, mITT with imputation populations, and mITT population with outliers excluded, and mITT Population with BLQ values set to the LLOQ: 14.2.1.1.5.2, 14.2.1.1.10.2, 14.2.1.1.11.3, 14.2.1.1.12.2, 14.2.1.2.5.2, 14.2.1.2.10.2, 14.2.1.2.11.3, 14.2.1.2.12.2, 14.2.1.3.5.2, 14.2.1.3.10.2, 14.2.1.3.11.3, 14.2.1.3.12.2, 14.2.1.4.5.2, 14.2.1.4.10.2, 14.2.1.4.11.3, 14.2.1.4.12.2, 14.2.1.5.5.2, 14.2.1.5.10.2, 14.2.1.5.11.3, 14.2.1.5.12.2, 14.2.1.5.13.2, 14.2.1.7.12.2, will have the following format:

Page 1 of X
Table 14.2.1.1.5.2 Statistical Comparisons of <Biomarker> Absolute Change From Baseline to Visit 5 (Units) Between Study Groups (<Population>)

| Comparison | LS Means | | LS Mean Difference (Test - Reference) | XX% Confidence Interval | p-value |
|-------------------|----------|---------------|--|-------------------------|---------|
| | Test (n) | Reference (n) | | | |
| Test 1 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value. Least-squares means (LS Means) are calculated from the MMRM.

Test = First group in the comparison

Reference = Second group in the comparison

n = Number of observation used in the analysis

Baseline = Day 1 (Week 1) of the 12 week ALCS RA 16 06 EV study (CA20130)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

Programmer Note: Tables 14.2.1.X.12.2 (mITT Population with BLQ values set to the LLOQ) will only be presented if the percentage of values that are BLQ is greater than 5% of observations.

Note: Summary tables for subgroups Tables 14.2.1.1.6.1, 14.2.1.1.6.2, 14.2.1.1.6.3, 14.2.1.2.6.1, 14.2.1.2.6.2, 14.2.1.2.6.3, 14.2.1.3.6.1, 14.2.1.3.6.2, 14.2.1.3.6.3, 14.2.1.4.6.1, 14.2.1.4.6.2, 14.2.1.4.6.3, 14.2.1.5.6.1, 14.2.1.5.6.2, 14.2.1.5.6.3, 14.2.1.6.6.1, 14.2.1.6.6.2, 14.2.1.6.6.3, 14.2.1.7.6.1, 14.2.1.7.6.2, 14.2.1.7.6.3 will have the following format:

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Table 14.2.1.1.6.1 Summary of <Matrix> <Biomarker> Absolute Change from Baseline to Visit 5 (<units>) by Study Group and <Gender>* (<Population>)

| <Gender>* | Statistics | Group | | |
|-----------|------------|------------|------------|------------|
| | | Test 1 | Test 2 | Control |
| Female | n | X | X | X |
| | n missing | X | X | X |
| | Mean | X.X | X.X | X.X |
| | SD | XX.X | XX.X | XX.X |
| | CV (%) | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX |
| | Median | X.X | X.X | X.X |
| | Maximum | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| Male | n | X | X | X |
| | n missing | X | X | X |
| | Mean | X.X | X.X | X.X |
| | SD | XX.X | XX.X | XX.X |
| | CV (%) | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX |
| | Median | X.X | X.X | X.X |
| | Maximum | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |

Note: Baseline = Day 1 (Week 1) of the 12 week ALCS RA 16 06 EV study (CA20130)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB
 Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

*Subgroups are Gender (Male, Female), Age Class (<45, ≥45), and BMI Class (<25 kg/m², ≥ 25 kg/m²)

Note: Statistical Summary Tables for Subgroups Comparisons (if subgroup term is significant), Tables 14.2.1.1.7.1, 14.2.1.1.8.1, 14.2.1.1.9.1, 14.2.1.2.7.1, 14.2.1.2.8.1, 14.2.1.2.9.1, 14.2.1.3.7.1, 14.2.1.3.8.1, 14.2.1.3.9.1, 14.2.1.4.7.1, 14.2.1.4.8.1, 14.2.1.4.9.1, 14.2.1.5.7.1, 14.2.1.5.8.1, 14.2.1.5.9.1, 14.2.1.6.7.1, 14.2.1.6.8.1, 14.2.1.6.9.1, 14.2.1.7.7.1, 14.2.1.7.8.1, 14.2.1.7.9.1 will have the following format:

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Table 14.2.1.1.7.1 Statistical Summary of <Matrix> <Biomarker> Absolute Change From Baseline to Visit 5 (Units) by Study Group and <Subgroup>* (<Population>)

| Group | <Subgroup>* | n | LS Mean | XX% Confidence Interval | p-value |
|---------|-------------|----|---------|-------------------------|---------|
| Test 1 | XXXXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | XXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 | XXXXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | XXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| Control | XXXXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |
| | XXXX | XX | X.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, study group by <subgroup>* interaction, study group by study visit by <subgroup>* interaction, age class, and BMI class as fixed effects, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value.

n = Number of observation used in the analysis

Least-squares means (LS Means) are calculated from the MMRM.

Baseline = Day 1 (Week 1) of the 12 week ALCS RA 16 06 EV study (CA20130)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

*Subgroups are Gender (Female, Male), Age Class (<45, ≥45), and BMI Class (<25 kg/m², ≥ 25 kg/m²)

Note: Statistical Comparisons Tables for Subgroups Comparisons (if subgroup term is significant), Tables 14.2.1.1.7.2, 14.2.1.1.8.2, 14.2.1.1.9.2, 14.2.1.2.7.2, 14.2.1.2.8.2, 14.2.1.2.9.2, 14.2.1.3.7.2, 14.2.1.3.8.2, 14.2.1.3.9.2, 14.2.1.4.7.2, 14.2.1.4.8.2, 14.2.1.4.9.2, 14.2.1.5.7.2, 14.2.1.5.8.2, 14.2.1.5.9.2, 14.2.1.6.7.2, 14.2.1.6.8.2, 14.2.1.6.9.2, 14.2.1.7.7.2, 14.2.1.7.8.2, 14.2.1.7.9.2 will have the following format:

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 Table 14.2.1.1.7.2 Statistical Comparisons of <Matrix> <Biomarker> Absolute Change From Baseline to Visit 5 (Units) Between Study Groups by <Subgroup>* (<Population>)

| Comparison | <Subgroup>* | LS Means | | LS Mean Difference (Test - Reference) | XX% Confidence Interval | p-value |
|-------------------|-------------|----------|---------------|--|-------------------------|---------|
| | | Test (n) | Reference (n) | | | |
| Test 1 vs Control | XXXXXX | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | XXXX | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| Test 2 vs Control | XXXXXX | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | XXXX | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, study group by <subgroup>* interaction, study group by study visit by <subgroup>* interaction, age class, and BMI class as fixed effects, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value.

Least-squares means (LS Means) are calculated from the MMRM.

Test = First group in the comparison

Reference = Second group in the comparison

n = Number of observation used in the analysis

Baseline = Day 1 (Week 1) of the 12 week ALCS RA 16 06 EV study (CA20130)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/pksas PROGRAMNAME.SAS DDMMYYYY HH:MM

*Subgroups are Gender (Female, Male), Age Class (<45, ≥45), and BMI Class (<25 kg/m², ≥ 25 kg/m²)

Table 14.2.2.1.1 Summary of Average Number of Cigarettes Used Per Day by Study Group and Week (Week 12 Through Week 18)

| Group | Baseline | Week | | | | | | | | |
|---------|-----------------|------|------|------|------|------|------|------|-------|--|
| | | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 12-18 | |
| Test 1 | n | X | X | X | X | X | X | X | X | |
| | n missing | X | X | X | X | X | X | X | X | |
| | Mean | X.X | |
| | SD | X.XX | |
| | CV(%) | XX.X | |
| | SEM | X.X | |
| | Minimum | X | X | X | X | X | X | X | X | |
| | Q1 | X.X | |
| | Median | X | X | X | X | X | X | X | X | |
| | Q3 | X.X | |
| | Maximum | X.X | |
| | 95% CI | X, X | |
| Test 2 | <same as above> | | | | | | | | | |
| Control | <same as above> | | | | | | | | | |

Note: Baseline = Cigarettes per day at Screening (Visit 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Table 14.2.2.1.2 Summary of Average Number of Cigarettes Used Per Day by Study Group and Week (Week 19 Through Week 24)

| Group | Week | | | | | | | |
|---------|-----------------|------|------|------|------|------|-------|------|
| | 19 | 20 | 21 | 22 | 23 | 24 | 12-24 | 1-24 |
| Test 1 | n | X | X | X | X | X | X | X |
| | n missing | X | X | X | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX | X.XX | X.XX | X.XX |
| | CV(%) | XX.X | XX.X | XX.X | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.X | X.X | X.X | X.X | X.X | X.X | X.X |
| | Minimum | X | X | X | X | X | X | X |
| | Q1 | X.X | X.X | X.X | X.X | X.X | X.X | X.X |
| | Median | X | X | X | X | X | X | X |
| | Q3 | X.X | X.X | X.X | X.X | X.X | X.X | X.X |
| | Maximum | X.X | X.X | X.X | X.X | X.X | X.X | X.X |
| | 95% CI | X, X | X, X |
| Test 2 | <same as above> | | | | | | | |
| Control | <same as above> | | | | | | | |

Note: Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.2.2.1.3 Summary of Change from Baseline Cigarettes Used Per Day by Study Group

| Group | Baseline | --- Absolute Change from Baseline--- | | | --- Percent Change from Baseline--- | | |
|---------|-----------------|--------------------------------------|---------|------------|-------------------------------------|---------|------------|
| | | Week 18 | Week 24 | Weeks 1-24 | Week 18 | Week 24 | Weeks 1-24 |
| Test 1 | n | X | X | X | X | X | X |
| | n missing | X | X | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX | X.XX | X.XX |
| | CV(%) | XX.X | XX.X | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.X | X.X | X.X | X.X | X.X | X.X |
| | Minimum | X | X | X | X | X | X |
| | Q1 | X.X | X.X | X.X | X.X | X.X | X.X |
| | Median | X | X | X | X | X | X |
| | Q3 | X.X | X.X | X.X | X.X | X.X | X.X |
| | Maximum | X.X | X.X | X.X | X.X | X.X | X.X |
| | 95% CI | X, X | X, X | X, X | X, X | X, X | X, X |
| Test 2 | <same as above> | | | | | | |
| Control | <same as above> | | | | | | |

Note: Baseline = Cigarettes per day (CPD) at Screening (Visit 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Week 18 = Average CPD during Week 18
 Week 24 = Average CPD during Week 24
 Weeks 1-24 = Average CPD during Weeks 12-24

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB
 Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.2.2.1.4 Summary of Average Number of e-Vapor Cartridges Started Per Day by Study Group and Week (Week 12 Through Week 18)

| Group | Baseline | Week | | | | | | | |
|---------|-----------------|------|------|------|------|------|------|------|-------|
| | | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 12-18 |
| Test 1 | n | X | X | X | X | X | X | X | X |
| | n missing | X | X | X | X | X | X | X | X |
| | Mean | X.X |
| | SD | X.XX |
| | CV(%) | XX.X |
| | SEM | X.X |
| | Minimum | X | X | X | X | X | X | X | X |
| | Q1 | X.X |
| | Median | X | X | X | X | X | X | X | X |
| | Q3 | X.X |
| | Maximum | X.X |
| | 95% CI | X, X |
| Test 2 | <same as above> | | | | | | | | |
| Control | <same as above> | | | | | | | | |

Note: Baseline = Average e-Vapor cartridges started per day during Week 1 of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Table 14.2.2.1.5 Summary of Average Number of e-Vapor Cartridges Started Per Day by Study Group and Week (Week 19 Through Week 24)

| Group | Week | | | | | | |
|--------|-----------------|------|------|------|------|------|-------|
| | 19 | 20 | 21 | 22 | 23 | 24 | 12-24 |
| Test 1 | n | X | X | X | X | X | X |
| | n missing | X | X | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX | X.XX | X.XX |
| | CV(%) | XX.X | XX.X | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.X | X.X | X.X | X.X | X.X | X.X |
| | Minimum | X | X | X | X | X | X |
| | Q1 | X.X | X.X | X.X | X.X | X.X | X.X |
| | Median | X | X | X | X | X | X |
| | Q3 | X.X | X.X | X.X | X.X | X.X | X.X |
| | Maximum | X.X | X.X | X.X | X.X | X.X | X.X |
| | 95% CI | X, X |
| Test 2 | <same as above> | | | | | | |

Note: Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.2.2.1.6 Summary of Change from Baseline e-Vapor Cartridges Started Per Day by Study Group by Study Group

| Group | Baseline | --- Absolute Change from Baseline-- | | --- Percent Change from Baseline-- | |
|--------|-----------------|-------------------------------------|---------|------------------------------------|---------|
| | | Week 18 | Week 24 | Week 18 | Week 24 |
| Test 1 | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV(%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.X | X.X | X.X | X.X |
| | Minimum | X | X | X | X |
| | Q1 | X.X | X.X | X.X | X.X |
| | Median | X | X | X | X |
| | Q3 | X.X | X.X | X.X | X.X |
| | Maximum | X.X | X.X | X.X | X.X |
| | 95% CI | X, X | X, X | X, X | X, X |
| Test 2 | <same as above> | | | | |

Note: Baseline = Average e-Vapor cartridges started per day during Week 1 of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Week 18 = Average e-Vapor cartridges started per day during Week 18
 Week 24 = Average e-Vapor cartridges started per day during Week 24

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYY HH:MM

Table 14.2.2.2 Frequency of Reduction, No Change, or Increase in Cigarettes Per Day and e-Vapor Cartridges Started Per Day from Baseline to Week 24 by Study Group

| Category | statistic | Cigarettes per Day | | | e-Vapor Cartridges Per Day | |
|-----------|-----------|--------------------|---------|---------|----------------------------|---------|
| | | Test 1 | Test 2 | Control | Test 1 | Test 2 |
| Reduction | n (%) | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| No Change | | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| Increase | | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |

Note: Baseline = Cigarettes per day (CPD) at Screening (Visit 1) or average e-Vapor cartridges started per day during Week 1 of the 12-week ALCS-RA-16-06-EV study (CA20130)
Week 24 = Average CPD or e-Vapor cartridges started per day during Week 24

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYY YYYY HH:MM

Table 14.2.2.3.1 Frequency of Subject Days with \leq 20 or $>$ 20 Puffs per Day for e-Vapor Products by Study Group and Week (Week 12 through Week 18)

| Week | Statistic | Test 1 | | Test 2 | |
|--------|-----------|-----------------|--------------|-----------------|--------------|
| | | \leq 20 puffs | $>$ 20 puffs | \leq 20 puffs | $>$ 20 puffs |
| 12 | n (%) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 13 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 14 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 15 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 16 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 17 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 18 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 12-18* | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |

Note: *For Weeks 12 through 18, values represent the average frequency per week.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.2.2.3.2 Frequency of Subject Days with \leq 20 or $>$ 20 Puffs per Day for e-Vapor Products
Study Group and Week (Week 19 through Week 24)

| Week | Statistic | Test 1 | | Test 2 | |
|--------|-----------|-----------------|--------------|-----------------|--------------|
| | | \leq 20 puffs | $>$ 20 puffs | \leq 20 puffs | $>$ 20 puffs |
| 19 | n (%) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 20 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 21 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 22 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 23 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 24 | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| 12-24* | | XX (XX) | XX (XX) | XX (XX) | XX (XX) |

Note: *For Weeks 12 through 24, values represent the average frequency per week.

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.2.2.4.1 Summary of eCO (ppm) by Study Group and Visit

| Group | Statistics | Visit | | | |
|---------|-----------------|------------|------------|------------|------------|
| | | 2 | 3 | 4 | 5 |
| Test 1 | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| Test 2 | n | X | X | X | X |
| | n missing | X | X | X | X |
| | Mean | X.X | X.X | X.X | X.X |
| | SD | X.XX | X.XX | X.XX | X.XX |
| | CV (%) | XX.X | XX.X | XX.X | XX.X |
| | SEM | X.XX | X.XX | X.XX | X.XX |
| | Minimum | XX | XX | XX | XX |
| | Median | X.X | X.X | X.X | X.X |
| | Maximum | XX | XX | XX | XX |
| | 95% CI | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X | XX.X, XX.X |
| Control | <same as above> | | | | |

Note: Visit 2 = Week 15 (Day 105 ± 3)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 4 = Week 21 (Day 147 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XIMB
 Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/pksas/PROGRAMNAME.SAS DDMMYYYY HH:MM

Note: Tables 14.2.2.4.2, 14.2.2.4.3, and 14.2.2.4.3, (Creatinine-adjusted NNN, absolute change from baseline in creatinine-adjusted NNN, and percent change from baseline in creatinine adjusted NNN) have a similar format as Tables 14.2.1.1.1, 14.2.1.1.2.1, and 14.2.1.1.3.1 above.

Table 14.2.2.4.3 Frequency of eCO and Urine NNN by Study Group and Visit

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| Group | Visit | N | eCO (ppm) | | | Urine NNN % reduction* | |
|---------|----------|----|--------------|--------------|-------------|------------------------|---------------|
| | | | ≤ 5 n (%) | 5–8 n (%) | >8 n (%) | <80% n (%) | ≥80% n (%) |
| Test 1 | Baseline | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 2 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 3 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| | 4 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 5 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| Test 2 | Baseline | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 2 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 3 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| | 4 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 5 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| Control | Baseline | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 2 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 3 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |
| | 4 | XX | XX (XX) | XX (XX) | XX (XX) | ND | ND |
| | 5 | XX | XX (XX) | XX (XX) | XX (XX) | XX (XX) | XX (XX) |

Note: *Reduction from Baseline

ND = Not done

Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Note: Tables 14.2.3.1.1 and 14.2.3.1.2 will be in the following format:

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 Table 14.2.3.1.1 Summary of Pulmonary Function Parameters by Study Group and Visit (All Subjects*)

| Parameter | Visit | Group | | |
|----------------------|-----------------|-----------|--------|---------|
| | | Test 1 | Test 2 | Control |
| Measured FEV1 (L) | Baseline | n | X | X |
| | | n missing | X | X |
| | | Mean | X.X | X.X |
| | | SD | XX.XX | XX.XX |
| | | CV(%) | XX.X | XX.X |
| | | SEM | X.X | X.X |
| | | Minimum | X | X |
| | | Median | X.X | X.X |
| | | Maximum | XX | XX |
| | | 95% CI | X, X | X, X |
| 3 | 3 | n | X | X |
| | | n missing | X | X |
| | | Mean | X.X | X.X |
| | | SD | XX.XX | XX.XX |
| | | CV(%) | XX.X | XX.X |
| | | SEM | X.X | X.X |
| | | Minimum | X | X |
| | | Median | X.X | X.X |
| | | Maximum | XX | XX |
| | | 95% CI | X, X | X, X |
| 5 | <same as above> | | | |

Note: *All subjects with a valid baseline and at least one post-baseline post-bronchodilator spirometry session

Baseline = Screening (Visit 2) of the 12 week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Altria Client Services LLC
Study No. ALCS-RA-17-11-EV
Celerion Project CA21021

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Note: parameters to be included are: measured FEV1 (L), percent of predicted FEV1 (%), measured FVC (L), percent of predicted FVC (%), measured FEV1/FVC, percent of predicted FEV1/FVC (%), FEV1 reversibility (mL), percent FEV1 reversibility (%), and FEF25-75 (L).

Note: Tables 14.2.3.2.1 and 14.2.3.2.2 will be in the following format:

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Table 14.2.3.2.1 Summary of Absolute Change From Baseline in Pulmonary Function Parameters by Study Group and Visit
 (All Subjects*)

| Parameter | Visit | Group | | |
|----------------------|-------|-----------|--------|---------|
| | | Test 1 | Test 2 | Control |
| Measured FEV1 (L) | 3 | n | X | X |
| | | n missing | X | X |
| | | Mean | X.X | X.X |
| | | SD | X.XX | X.XX |
| | | CV(%) | XX.X | XX.X |
| | | SEM | X.X | X.X |
| | | Minimum | X | X |
| | | Median | X.X | X.X |
| | | Maximum | XX | XX |
| | | 95% CI | X, X | X, X |
| | 5 | n | X | X |
| | | n missing | X | X |
| | | Mean | X.X | X.X |
| | | SD | X.XX | X.XX |
| | | CV(%) | XX.X | XX.X |
| | | SEM | X.X | X.X |
| | | Minimum | X | X |
| | | Median | X.X | X.X |
| | | Maximum | XX | XX |
| | | 95% CI | X, X | X, X |

XXXXX

<same for remaining parameters>

Note: *All subjects with a valid baseline and at least one post-baseline post-bronchodilator spirometry session

Baseline = Screening (Visit 2) of the 12 week ALCS-RA-16-06-EV study (CA20130)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette
Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Note: parameters to be included are: measured FEV1 (L), percent of predicted FEV1 (%), measured FVC (L), percent of predicted FVC (%), measured FEV1/FVC, percent of predicted FEV1/FVC (%), FEV1 reversibility (mL), percent FEV1 reversibility (%), and FEF25-75 (L).

Note: Tables 14.2.3.3.1 and 14.2.3.3.2 will be in the following format:

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Table 14.2.3.3.1 Statistical Summary of Absolute Change From Baseline in Pulmonary Function Parameters by Study Group and Visit
(<Population>)

| Parameter | Group | Visit | n | ----- LS Mean ----- | XX% Confidence Intervals | p-Value |
|-------------------------------|---------|-------|---|---------------------|--------------------------|---------|
| Percent of Predicted FEV1 (L) | Test 1 | 3 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 2 | | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | Control | | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 1 | 5 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 2 | | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | Control | | X | X.XX | XX.XX - XXX.XX | X.XXXX |

<Same for the remaining parameters>

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value.
n = Number of observation used in the analysis
Least-squares means (LS Means) are calculated from the MMRM.

Baseline = Screening (Visit 2) of the 12 week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Note: parameters to be included are: percent of predicted FEV1, percent of predicted FVC, and percent of predicted FEV1/FVC. Please add footnote for all subjects table: *All subjects with a valid baseline and at least one post-baseline post-bronchodilator spirometry session

Note: Tables 14.2.3.4.1 and 14.2.3.4.2 will be in the following format:

Page 1 of X
 Table 14.2.3.4.1 Statistical Comparisons of Absolute Change From Baseline in Pulmonary Function Parameters Between Study Groups by Visit (<Population>)

| Parameter | Comparison | Visit | LS Means | | LS Mean Difference (Test - Reference) | XX% Confidence Intervals | p-Value |
|-------------------------------|-------------------|-------|----------|---------------|--|--------------------------|---------|
| | | | Test (n) | Reference (n) | | | |
| Percent of Predicted FEV1 (%) | Test 1 vs Control | 3 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 2 vs Control | | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 1 vs Control | 5 | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | Test 2 vs Control | | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |

<Same for the remaining parameters>

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, age class, BMI class, baseline value as the covariate, and subject as the random effect, with a variance-covariance matrix based on the AIC value.

Least-squares means (LS Means) are calculated from the MMRM.

Test = First group in the comparison

Reference = Second group in the comparison

n = Number of observation used in the analysis

Baseline = Screening (Visit 2) of the 12 week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3))

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Note: parameters to be included are: percent of predicted FEV1, percent of predicted FVC, and percent of predicted FEV1/FVC.
Please add footnote for all subjects table: *All subjects with a valid baseline and at least one post-baseline post-bronchodilator spirometry session.

QGEN, TQOLIT and mCEQ Questionnaire Summary Tables 14.2.4.1.1 – 14.2.4.1.2, 14.2.4.2.1 – 14.2.4.2.2, and 14.2.4.3.1 – 14.2.4.3.3 will have the following format:

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| <Question or Subscale> | Visit | Summary of <QGEN> <Factor Scores> by Study Group and Visit | | |
|---|----------|--|--------|---------|
| | | Test 1 | Test 2 | Control |
| XXXXX | Baseline | n | X | X |
| | | n missing | X | X |
| | | Mean | X.X | X.X |
| | | SD | X.XX | X.XX |
| | | CV (%) | XX.X | XX.X |
| | | SEM | X.X | X.X |
| | | Minimum | X | X |
| | | Q1 | X.X | X.X |
| | | Median | X.X | X.X |
| | | Q3 | X.X | X.X |
| | | Maximum | XX | XX |
| | | 95% CI | X, X | X, X |
| | 3 | <Same as above> | | |
| | 5 | <Same as above> | | |
| XXXXX <same for remaining questions or subscales> | | | | |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)
1 = < >; 2 = < > ... X = < >

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Programmer note: For mCEQ, Visit 3 will not be shown. For mCEQ factor tables, the factors will be shown in a footnote:

Smoking Satisfaction: average of the responses from Questions 1, 2, and 12

Psychological Reward: average of the responses from Questions 4 to 8

Aversion: average of the responses from Questions 9 and 10

Enjoyment of Sensation: response to Question 9

Craving Reduction: response to Question 11

QGEN, TQOLIT and mCEQ Questionnaire Statistical Summary Tables 14.2.4.1.3.1, 14.2.4.2.3.1, and 14.2.4.3.4.1 will have the following format:

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Table 14.2.4.1.3.1 Statistical Summary of Absolute Change From Baseline in <QGEN> <Factor> Scores by Study Group and Visit

| Subscale | Visit | Group | n | ----- LS Mean ----- | XX% Confidence Intervals | p-Value |
|----------|-------|---------|---|---------------------|--------------------------|---------|
| XXXXXXX | 3 | Test 1 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | | Test 2 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | | Control | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | Test 1 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | | Test 2 | X | X.XX | XX.XX - XXX.XX | X.XXXX |
| | | Control | X | X.XX | XX.XX - XXX.XX | X.XXXX |

<Same for the remaining subscales>

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, and age class as fixed effects, baseline score as the covariate, and subject as the random effect, with an < XX > variance-covariance matrix.

n = Number of observation used in the analysis

Least-squares means (LS Means) are calculated from the MMRM.

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Programmer note: For mCEQ, only the Visit 5 comparison will be shown. The first footnote will be “The mixed model includes study group, gender, and age class as fixed effects, and baseline score as the covariate”.

QGEN, TQOLIT and mCEQ Questionnaire Statistical Comparison Tables 14.2.4.1.3.2, 14.2.4.2.3.2, and 14.2.4.3.4.2 will have the following format:

Table 14.2.4.1.3.2 Statistical Comparisons of Absolute Change From Baseline in <QGEN> Factor Scores Between Study Groups by Visit

Page 1 of X

| Subscale | Visit | Comparison | LS Means | | LS Mean Difference (Test - Reference) | XX% Confidence Intervals | p-Value |
|------------|-------|-------------------|----------|---------------|--|--------------------------|---------|
| | | | Test (n) | Reference (n) | | | |
| XXXXXXXXXX | 3 | Test 1 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | | Test 2 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | 5 | Test 1 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |
| | | Test 2 vs Control | X.XX (X) | X.XX (X) | XXX.XX | XX.XX - XXX.XX | X.XXXX |

<Same for the remaining subscales>

Note: The mixed model for repeated measures includes study group, study visit, study group by study visit interaction, gender, and age class as fixed effects, baseline score as the covariate, and subject as the random effect, with an < XX > variance-covariance matrix.

Least-squares means (LS Means) are calculated from the MMRM.

Test = First group in the comparison

Reference = Second group in the comparison

n = Number of observation used in the analysis

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer note: For mCEQ, the model footnote will be “The mixed model includes study group, gender, and age class as fixed effects, and baseline score as the covariate. Least-squares means (LS Means) are calculated from the ANCOVA.”

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Table 14.2.4.4.1 Summary of Cough Questionnaire Questions I and III through X by Study Group and Visit

| Question | Response | --- Baseline --- | | --- Visit 3 --- | | --- Visit 5 ----- | |
|---|---------------------|------------------|--------|-----------------|--------|-------------------|--------|
| | | Test 1 | Test 2 | Test 1 | Test 2 | Test 1 | Test 2 |
| | | n | (%) | n | (%) | n | (%) |
| I. Did you have a cough in the past 30 days? | | | | | | | |
| | Yes | XX | (XX) | XX | (XX) | XX | (XX) |
| | No | XX | (XX) | XX | (XX) | XX | (XX) |
| III. When does the cough occur? (choose one) | | | | | | | |
| | Middle of the Night | XX | (XX) | XX | (XX) | XX | (XX) |
| | Daytime | XX | (XX) | XX | (XX) | XX | (XX) |
| | Anytime | XX | (XX) | XX | (XX) | XX | (XX) |
| IV. Do you have a cough that comes mainly from your chest and not your throat? | | | | | | | |
| | Yes | XX | (XX) | XX | (XX) | XX | (XX) |
| | No | XX | (XX) | XX | (XX) | XX | (XX) |
| V. Do you ever cough up phlegm? | | | | | | | |
| | Never | XX | (XX) | XX | (XX) | XX | (XX) |
| | Seldom | XX | (XX) | XX | (XX) | XX | (XX) |
| | Sometimes | XX | (XX) | XX | (XX) | XX | (XX) |
| | Often | XX | (XX) | XX | (XX) | XX | (XX) |
| | Always | XX | (XX) | XX | (XX) | XX | (XX) |

<similar for remaining questions (VI, VII, VIII, IX, X) and responses>

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
 Visit 3 = Week 18 (Day 126 ± 3)
 Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer note: A third column labelled “Control” will be added to each Visit.

Table 14.2.4.4.2 Summary of Cough Questionnaire Question II by Study Group and Visit

| Question | Visit | Statistic | Group | | |
|--|----------|-----------|--------|--------|---------|
| | | | Test 1 | Test 2 | Control |
| II. How long have you had the cough? (Days) | Baseline | n | XX | XX | XX |
| | | n missing | X | X | X |
| | | Mean | XX | XX | XX |
| | | SD | XX | XX | XX |
| | | CV% | XX | XX | XX |
| | | SEM | XX | XX | XX |
| | | Minimum | XX | XX | XX |
| | | Q1 | XX | XX | XX |
| | | Median | XX | XX | XX |
| | | Q3 | XX | XX | XX |
| | | Maximum | XX | XX | XX |
| | | 95% CI | X, X | X, X | X, X |

<Data will be presented similarly for Visits 3 and 5)

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Table 14.2.4.5.1 Summary of Reasons of Use/Not-Use Test Product Questionnaire by Study Group at Week 24: Likelihood of Use

| Question | Group | Statistics | Choice of Response | | | | | |
|----------------|--------|------------|--------------------|----------|----------|----------|----------|----------|
| | | | 1 | 2 | 3 | 4 | 5 | 6 |
| How Likely.... | Test 1 | n (%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) |
| | Test 2 | n (%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) |
| How Likely... | Test 1 | n (%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) |
| | Test 2 | n (%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) | XX (XX%) |

Note: 1 = Strongly Likely; 2 = Quite Likely, 3 = Somewhat Likely Neutral/Neither, 4 = Strongly Unlikely, 5 = Quite Unlikely, 6 = Somewhat Unlikely

Week 24 = Visit 5 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMMYYYY HH:MM

Table 14.2.4.5.2 Summary of Reasons of Use/Not-Use Test Product Questionnaire by Study Group at Week 24: Reasons to Use

| Why Would You Use the Test Product Now? | Test 1 n (%) | Test 2 n (%) |
|--|-----------------|-----------------|
| Smoking/Other Tobacco-Related Reasons | | |
| To satisfy.. | XX (XX%) | XX (XX%) |
| To help.... | XX (XX%) | XX (XX%) |
| <similar for remaining reasons> | | |
| General Reasons | | |
| Curiosity | XX (XX%) | XX (XX%) |
| Offered/given/used.... | XX (XX%) | XX (XX%) |
| <similar for remaining reasons> | | |

Note: Week 24 = Visit 5 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XILMB

Program: /CAXXXX/ECR/sas_prg/pksas/PROGRAMNAME.sas DDMMYYYY HH:MM

Table 14.3.1.1 Adverse Event Frequency by Study Group –
 Number of Subjects Reporting the Event (% of Subjects Who Received Study Product) (CSP population)

| Adverse Event* | Group | | | |
|---|----------|----------|----------|-----------|
| | Test 1 | Test 2 | Control | Overall |
| Number of Subjects Who Received Study Product | XX(100%) | XX(100%) | XX(100%) | XX(100%) |
| Number of Subjects With Adverse Events | X(X%) | X(XX%) | X(X%) | X(X%) |
| Number of Subjects Without Adverse Events | XX(XX%) | XX(XX%) | XX(XX%) | XX(XXX%) |
| Eye disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Vision blurred | X(X%) | X(X%) | X(X%) | X(X%) |
| Gastrointestinal disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Dyspepsia | X(X%) | X(X%) | X(X%) | X(X%) |
| Nausea | X(X%) | X(X%) | X(X%) | X(X%) |
| Musculoskeletal and connective tissue disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Back pain | X(X%) | X(X%) | X(X%) | X(X%) |
| Muscle cramps | X(X%) | X(X%) | X(X%) | X(X%) |
| Musculoskeletal pain | X(X%) | X(X%) | X(X%) | X(X%) |
| Nervous system disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Headache NOS | X(X%) | X(X%) | X(X%) | X(X%) |
| Reproductive system and breast disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Vaginal discharge | X(X%) | X(X%) | X(X%) | X(X%) |
| Respiratory, thoracic and mediastinal disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Epistaxis | X(X%) | X(X%) | X(X%) | X(X%) |

Note: * Adverse events are classified according to MedDRA Version 19.0.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/stsas/tab_cdash_tblaela_auto.sas DDMMYYYY HH:MM

Table 14.3.1.2 Adverse Event Frequency by Study Group -
 Number of Adverse Events (% of Total Adverse Events) (CSP population)

| Adverse Event* | Group | | | |
|---|----------|----------|----------|----------|
| | Test 1 | Test 2 | Control | Overall |
| Number of Adverse Events | XX(100%) | XX(100%) | XX(100%) | XX(100%) |
| Eye disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Vision blurred | X(X%) | X(X%) | X(X%) | X(X%) |
| Gastrointestinal disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Dyspepsia | X(X%) | X(X%) | X(X%) | X(X%) |
| Nausea | X(X%) | X(X%) | X(X%) | X(X%) |
| Musculoskeletal and connective tissue disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Back pain | X(X%) | X(X%) | X(X%) | X(X%) |
| Muscle cramps | X(X%) | X(X%) | X(X%) | X(X%) |
| Musculoskeletal pain | X(X%) | X(X%) | X(X%) | X(X%) |
| Nervous system disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Headache NOS | X(X%) | X(X%) | X(X%) | X(X%) |
| Reproductive system and breast disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Vaginal discharge | X(X%) | X(X%) | X(X%) | X(X%) |
| Respiratory, thoracic and mediastinal disorders | X(X%) | X(X%) | X(X%) | X(X%) |
| Epistaxis | X(X%) | X(X%) | X(X%) | X(X%) |

Note: * Adverse events are classified according to MedDRA Version 19.0.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/stsas/tab_cdash_tblaela_auto.sas DDMMYYYY HH:MM

Table 14.3.1.3 Adverse Event Frequency by Study Product, Severity, and Relationship to Study Group
 - Number of Subjects Reporting Events (CSP population)

| Adverse Event* | Group | Number of Subjects with Adverse Events | Severity | | | Relationship to Study Product | | | | |
|-----------------|-------|--|----------|----------|--------|-------------------------------|----------|----------|--------|------------|
| | | | Mild | Moderate | Severe | Not Related | Unlikely | Possibly | Likely | Definitely |
| Abdominal pain | X | X | X | X | X | X | X | X | X | X |
| Constipation | X | X | X | X | X | X | X | X | X | X |
| Dry throat | X | X | X | X | X | X | X | X | X | X |
| Headache | X | X | X | X | X | X | X | X | X | X |
| Product trial\$ | | X | X | X | X | X | X | X | X | X |
| Test 1 Group | | X | X | X | X | X | X | X | X | X |
| Test 2 Group | | X | X | X | X | X | X | X | X | X |
| Control Group | | X | X | X | X | X | X | X | X | X |
| Overall | | X | X | X | X | X | X | X | X | X |

Note: * Adverse events are classified according to MedDRA Version 19.0.

When a subject experienced the same AE at more than one level of severity during a product use period, only the most severe occurrence was counted.

When a subject experienced the same AE at more than one level of product relationship during a product use period, only the occurrence most closely related to study product was counted.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/tab_cdash_tblae3a_auto.sas DDMMYYYY HH:MM

Table 14.3.1.4 Adverse Event Frequency by Study Product, Severity, and Relationship to Study Group
 - Number of Adverse Events (CSP population)

| Adverse Event* | Group | Number of Adverse Events | Severity | | | Relationship to Study Product | | | | |
|-----------------|-------|--------------------------|----------|----------|--------|-------------------------------|----------|----------|--------|------------|
| | | | Mild | Moderate | Severe | Not Related | Unlikely | Possibly | Likely | Definitely |
| Abdominal pain | X | X | X | X | X | X | X | X | X | X |
| Constipation | X | X | X | X | X | X | X | X | X | X |
| Dry throat | X | X | X | X | X | X | X | X | X | X |
| Headache | X | X | X | X | X | X | X | X | X | X |
| Product trial\$ | | X | X | X | X | X | X | X | X | X |
| Test 1 Group | | X | X | X | X | X | X | X | X | X |
| Test 2 Group | | X | X | X | X | X | X | X | X | X |
| Control Group | | X | X | X | X | X | X | X | X | X |
| Overall | | X | X | X | X | X | X | X | X | X |

Note: * Adverse events are classified according to MedDRA Version 19.0.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/stsas/tab_cdash_tblae4a_auto.sas DDMMMYYYY HH:MM

Table 14.3.2.1 Serious Adverse Events

There were no serious adverse events recorded during the study

Program: /CAXXXX/sas_prg/stsas/tab cdash_tblae_ser.sas DDMMYY HH:MM

Table 14.3.4.1 Out-of-Range Values and Recheck Results - Serum Chemistry (CSP population)

| Subject Number | Age/ Gender | Study Visit | | | Parameter1 | Parameter2 | Parameter3 | Parameter4 | Parameter5 |
|----------------|----------------|------------------|----------------------|-------|------------|------------|------------|------------|----------------|
| | | Name | Date | Group | XX HN | XX LN | XX | XX | XX HN |
| XXXXXX | XX/X | Screening XXX | DDMMYYYY DDMMYYYY | X | XX HN | XX LN | XX | XX | XX HN XX HN |

Note: H = Above Reference Range, L = Below Reference Range

PI Interpretation: N = Not Clinically Significant

See Appendix 16.1.10.1 for lab units and reference ranges.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: In the shell above, replace Parameter1, 2 etc. with actual lab tests in the study. Table 14.3.4.2 (hematology) and Table 14.3.4.3 (Urinalysis) will resemble Table 14.3.4.1.

Table 14.3.5.1 Clinical Laboratory Summary - Serum Chemistry (CSP population)

| Laboratory Test (units) | Normal Range | Time Point | Statistic | Product Trial\$ | Group | | | | Overall* |
|----------------------------|-----------------|------------|-----------|--------------------|--------|--------|---------|------|----------|
| | | | | | Test 1 | Test 2 | Control | | |
| Testname (unit) | < - > | Screening | n | X | X | X | X | X | X |
| | | | Mean | X.X | X.X | X.X | X.X | X.X | X.X |
| | | | SD | X.XX | X.XX | X.XX | X.XX | X.XX | X.XX |
| | | | Minimum | XX | XX | XX | XX | XX | XX |
| | | | Median | X.X | X.X | X.X | X.X | X.X | X.X |
| | | | Maximum | XX | XX | XX | XX | XX | XX |

Note: # = Lowest of the lower ranges and highest of the higher ranges are used. Refer to Appendix 16.1.10.1 for the breakdown.

\$ Includes subjects only attended the product trial period.

* Subjects only attended the product trial period are excluded from overall summarization.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/tab cdash_labsummary.sas DDMMYYYY HH:MM

Programmer Note: Tables 14.3.5.2 (hematology summary), 14.3.5.3 (urinalysis summary) will resemble 14.3.5.1.

Table 14.3.5.4 Vital Sign Summary (CSP population)

| Vital Sign (units) | Time Point | Statistic | Product Trial\$ | Group | | | |
|--------------------|------------|-----------|-----------------|--------|--------|---------|----------|
| | | | | Test 1 | Test 2 | Control | Overall* |
| Testname (unit) | Screening | n | X | X | X | X | X |
| | | Mean | X.X | X.X | X.X | X.X | X.X |
| | | SD | X.XX | X.XX | X.XX | X.XX | X.XX |
| | | Minimum | XX | XX | XX | XX | XX |
| | | Median | X.X | X.X | X.X | X.X | X.X |
| | | Maximum | XX | XX | XX | XX | XX |
| XXXX | Screening | n | X | X | X | X | X |
| | | Mean | X.X | X.X | X.X | X.X | X.X |
| | | SD | X.XX | X.XX | X.XX | X.XX | X.XX |
| | | Minimum | XX | XX | XX | XX | XX |
| | | Median | X.X | X.X | X.X | X.X | X.X |
| | | Maximum | XX | XX | XX | XX | XX |
| XXXXXX | Screening | n | | X | X | X | X |
| | | Mean | | X.X | X.X | X.X | X.X |
| | | SD | | X.XX | X.XX | X.XX | X.XX |
| | | Minimum | | XX | XX | XX | XX |
| | | Median | | X.X | X.X | X.X | X.X |
| | | Maximum | | XX | XX | XX | XX |

Note: \$ Includes subjects only attended the product trial period.

* Subjects only attended the product trial period are excluded from overall summarization.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/stsas/tab_cdash_vitsummary.sas DDMMYYYY HH:MM

Programmer Note: In the shell above, replace Testname with actual variables in the study. Data will also be reported for Day -1 through 14 and End-of-Study.

Table 14.3.5.5 12-Lead Electrocardiogram Summary (CSP population)

| ECG Parameter(units) | Time Point | Statistic | Product Trial\$ | Group | | | Overall* |
|----------------------|------------|-----------|-----------------|--------|--------|---------|----------|
| | | | | Test 1 | Test 2 | Control | |
| Testname (unit) | Screening | n | X | X | X | X | X |
| | | Mean | X.X | X.X | X.X | X.X | X.X |
| | | SD | X.XX | X.XX | X.XX | X.XX | X.XX |
| | | Minimum | XX | XX | XX | XX | XX |
| | | Median | X.X | X.X | X.X | X.X | X.X |
| | | Maximum | XX | XX | XX | XX | XX |
| XXXXXXXXXX | | n | X | X | X | X | X |
| | | Mean | XX.XX | X.X | X.X | X.X | XX.XX |
| | | SD | X.XXX | X.XX | X.XX | X.XX | X.XXX |
| | | Minimum | XX.X | XX | XX | XX | XX.X |
| | | Median | XX.XX | X.X | X.X | X.X | XX.XX |
| | | Maximum | XX.X | XX | XX | XX | XX.X |

Note: \$ Includes subjects only attended the product trial period.

* Subjects only attended the product trial period are excluded from overall summarization.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXXX/sas_prg/stsas/tab cdash_ecgsummary.sas DDMMYY YYYY HH:MM

Programmer Note: In the shell above, replace Testname with actual variables in the study.

11. LISTING SHELLS

The following listing shells provide a framework for the display of data from this study. The shells may change due to unforeseen circumstances. These shells may not be reflective of every aspect of this study, but are intended to show the general layout of the listings that will be included in the final report.

Note: Subjects only enrolled in the product trial period will show the study group as product trial in the listings when applicable.

Appendix 16.1.10.1 Clinical Laboratory Reference Ranges by Site

| Site | Laboratory Group | Test Name | Gender | Age Category | Normal Range | Unit |
|------|------------------|-----------|--------|--------------|--------------|-------|
| XXX | Serum Chemistry | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | Hematology | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |
| | | Test Name | ◊ | ◊ | XX - XX | units |

Note: Site XXX = XXXXXXXXXXXXXXXXX

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYY HH:MM

Programmer Note: Similar for remaining Laboratory Groups and Test Names.

Appendix 16.2.1 Subject Disposition and Discontinuation

| Site | Subject Number | Randomization Number | Study Group | Product Assignment | Discontinuation Date | Completed Study? | Reason for Discontinuation | Specify |
|------|----------------|----------------------|-------------|--------------------|----------------------|------------------|----------------------------|-----------------|
| XXX | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | No | XXX | Personal Reason |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |
| | XXXXXX | XXX | X | X | DDMMYYYY | Yes | | |

Note: Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB
 Control Group = Continue smoking lit-end conventional cigarette

Product A = Test EVP (currently marketed by Nu Mark LLC as MarkTen® XL Bold Menthol [4.0% NBW]) [CVR2.6.8] Formula: 10381-40-E; Name: "Spencer"; Label: 40E (Product XLMB)
 Product B = Test EVP (currently marketed by Nu Mark LLC as MarkTen® XL Bold Classic [4.0% NBW]) [CVR2.6.8] Formula: 10381-44-B; Name: "Rosetta"; Label: B44 (Product XLCB)

Site XXX = XXXXXXXXXXXXXXXXXX

Program: /CAXXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.2 Protocol Deviations

| Any Protocol Deviation? | Deviation | | | | | Description of Deviation | Date of Deviation | Date of Discovery | Action Taken |
|-------------------------|------------|----------|----|----------|-------------------------------------|--------------------------|-------------------|-------------------|----------------------|
| | Start Date | End Date | ID | Category | Classification | | | | |
| Yes | DDMMYYYY | DDMMYYYY | XX | Minor | Inclusion Criteria XXXXXXXXXXXXXXXX | DDMMYYYY | DDMMYYYY | | Approved to Continue |

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.1.1 Demographics (I of II)

| Site | Subject Number | Study Group | Age (yrs) | Gender | Race | Ethnicity | Birth and Reproductive Status | Height (cm) | Weight (kg) | BMI (kg/m^2) |
|------|----------------|-------------|-----------|--------|------------|--------------|-------------------------------|-------------|-------------|--------------|
| XXX | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |
| | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |
| | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |
| | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |
| | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |
| | XXXXXX | X | XX | XXXX | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XX.X | XXX.X | XX.XX |

Note: BMI = Body Mass Index

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Site XXX = XXXXXXXXXXXXXXXXXXXX

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.4.1.2 Demographics (II of II)

| Site | Subject Number | Study Group | Informed Consent Date | Informed Re-Consent Date | Protocol Version |
|------|----------------|-------------|-----------------------|--------------------------|------------------|
| XXX | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |
| | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |
| | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |
| | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |
| | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |
| | XXXXXX | X | DDMMYYYY | DDMMYYYY | XXXXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Site XXX = XXXXXXXXXXXXXXXXXXXX

Program: /CAXXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.1.3 Subject Characteristics

| Site | Subject Number | Study Group | Was Subject Characteristics Collected? | Date of Collection | Annual Household Income | Highest Grade or Year of School Completed | Current Employment | Military Duty | Marital Status | Self-defined Gender |
|------|----------------|-------------|--|--------------------|-------------------------|---|--------------------|---------------|----------------|---------------------|
| XXX | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXX | X | XXX | DDMMYYYY | XXXXXXXXXX | XXXXXXXXXXXX | XXXXXXXXXXXX | XXXXXX | XXXXXX | XXXXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Site XXX = XXXXXXXXXXXXXXXXXXXX

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.2.1 Physical Examination (I of II)

| Subject Number | Visit | | Study Group | Was PE Product | Reason for | | System1 | System2 | System3 | System4 | System5 |
|----------------|-----------|----------|-------------|----------------|------------|----------|---------|---------|---------|---------|---------|
| | Name | Date | Day | | Done? | Not Done | | | | | |
| XXXXXX | Screening | DDMMYYYY | | X | XXX | | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |
| XXXXXX | | DDMMYYYY | -X | | X | XXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |
| End of Study | | DDMMYYYY | XX | | X | XXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |

Note: See Appendix 16.2.4.2.3 for physical examination abnormality descriptions.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.2.2 Physical Examination (II of II)

| Subject Number | Visit | | Study Group | Product | System6 | System7 | System8 | System9 | System10 | etc. |
|-------------------|--------------|----------|----------------|---------|---------|---------|---------|---------|----------|--------|
| | Name | Date | | | | | | | | |
| XXXXXX | Screening | DDMMYYYY | X | | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | XXXXXXX | DDMMYYYY | -X | | X | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |
| | End of Study | DDMMYYYY | XX | | X | XXXXXX | XXXXXX | XXXXXX | XXXXXX | XXXXXX |

Note: See Appendix 16.2.4.2.3 for physical examination abnormality descriptions.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.2.3 Physical Examination Descriptions

| Subject Number | Visit | | | Study Product | Result | System | Specify if Abnormal or Not Done | Clinically Significant? |
|----------------|-----------|----------|-----|---------------|----------|----------|---------------------------------|-------------------------|
| | Name | Date | Day | | | | | |
| XXXXXX | Screening | DDMMYYYY | | X | ABNORMAL | Skin | RIGHT CHEST SCAR | NCS |
| XXXXXX | XXXXXX | DDMMYYYY | XX | X | X | ABNORMAL | Skin | ABDOMINAL SCAR |
| XXXXXX | Screening | DDMMYYYY | | X | ABNORMAL | Skin | ABDOMINAL SCAR | NCS |

Note: NCS = Not clinically significant

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.3 Medical History

| Subject Number | Any History? | Visit | | | Date | | | Ongoing? |
|-------------------|-----------------|-----------|----------|------------------------------|------------------|--------|-----------|----------|
| | | Name | Date | Description | Start | End | | |
| XXXXXX | XXX | Screening | DDMMYYYY | XXXXXX XXXXX XXXXXX XXXXX | MMYYYY MMYYYY | MMYYYY | YES NO | |
| XXXXXX | XXX | Screening | DDMMYYYY | XXXXXX XXXXX | MMYYYY | MMYYYY | YES | |

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.4.1 Tobacco/Nicotine Product Use History (I of II)

| Subject Number | Name | Date | Study Group | Cigarette Smoked | | | | | | | | | | Other Products? |
|----------------|-----------|----------|-------------|------------------|------|-------|-----------|------|------------------|-------|-------|--------------|-----|-----------------|
| | | | | Duration | Unit | Smoke | Everyday? | CPD | First Cigarette? | Stop? | Quit? | Photocopied? | | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |
| XXXXXX | Screening | DDMMYYYY | X | XX | XXXX | XXX | XX | XXXX | XXXX | XXXX | XXXX | XXXX | XXX | |

Note: Test 1 Group = e-Vapor Product XLCB
 Test 2 Group = e-Vapor Product XLMB
 Control Group = Continue smoking lit-end conventional cigarette

Duration = How long have you smoked cigarettes on a CONSISTENT BASIS?

Smoke Everyday = During the past 12 months did you smoke cigarettes every day, some days, rarely, or not at all?

CPD = During the past 12 months, how many cigarettes did you smoke per day, on average?

First Cigarette? = How soon after you wake up do you smoke your first cigarette?

Stop? = During the PAST 12 MONTHS, have you stopped smoking for more than one day BECAUSE YOU WERE TRYING TO QUIT SMOKING?

Quit? = Are you planning to quit smoking cigarettes in the next 30 days?

Photocopied? = Was Cigarette Pack Photocopied?

Other Products? = Have you used a nicotine patch, gum, inhaler, nasal spray or lozenge in the past 30 days?

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.4.4.2 Tobacco/Nicotine Product Use History (II of II)

| Subject Number | Visit | | Study Group | Tobacco Product | EVER Used Even Once? | Used in the Past 30 days? |
|----------------|-----------|----------|-------------|--|----------------------|---------------------------|
| | Name | Date | | | | |
| XXXXXX | Screening | DDMMYYYY | X | XXXXXXXXXXXXXX XXXXXXXXXXXXXX XXXXXXXXXXXXXX | XXX XXX XXX | XX XX XX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLM^B
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.5.1 Inclusion / Exclusion Criteria Not Met

| Subject Number | Visit | | Met All Eligibility Criteria? | Inclusion/ Exclusion Criterion | | | |
|-------------------|-----------|----------|-------------------------------------|--------------------------------------|----------|------------|--------------------|
| | Name | Date | | Study Group | Category | Identifier | Criterion |
| X | Screening | DDMMYYYY | X | Yes | | | |
| X | Screening | DDMMYYYY | X | No | XX | XXXX | XXXXXXXXXXXXXXXXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.5.2.1 Test e-Vapor Product Assessment Questionnaire

| Subject Number | Visit | Study Group | Questionnaire Completed? | Date | Replace All?* |
|----------------|-------|-------------|--------------------------|----------|---------------|
| XXXXXX | XXXXX | X | XXX | DDMMYYYY | XXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

*Replace All? = Now that you have tried the e-vapor products, how likely are you to be willing and able to use this product to replace all of your conventional cigarettes for 3 months during the study?

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.5.2.2 Confirm Regular Cigarette Brand

| Subject Number | Visit | Study Group | Has the Subject's Regular Brand of Cigarettes (Control group) Changed? | If Changed, Was New Cigarette Pack Photocopied? |
|----------------|-------|-------------|--|---|
| XXXXXX | XXXXX | X | XXX | XXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.5.2.3 Test e-Vapor Product Accountability

| Subject Number | Study Visit | Study Group | Flavor Code | Dispensed | | Returned | | Number of Batteries | | Number of Cartridges | | |
|-------------------|----------------|----------------|----------------|-----------|----------------|----------------|------|---------------------|----------|----------------------|------|--------------------|
| | | | | Date | Time | Date | Time | Dispensed | Returned | Dispensed | Used | Returned Unused |
| XXXXXX | XXXXX | X | X | XXX | DDMMYYYY HH:MM | DDMMYYYY HH:MM | XX | XX | XX | X | X | |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: Subjects may have multiple dispensing.

Appendix 16.2.5.3 Urine Collection for BOEs and BOPHS

| Subject Number | Visit | | | | Was Collection Performed? | Collection | | Urine Volume (mL) | Ice Pack Status | |
|-------------------|-------|----------|-----|----------------|------------------------------|------------------|----------|-------------------------|--------------------|------|
| | Name | Date | Day | Study Group | | Study Product | Date | | | Time |
| XXXXXX | XXXXX | DDMMYYYY | XX | X | X | XXX | DDMMYYYY | HH:MM | XXXX | XXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.5.4 Blood Sampling

| Subject Number | Visit | | | Blood for BOPH | | | Blood for COHb | | | Blood for BioBanking | | |
|-------------------|-------|----------|-----|----------------|------------|----------------|----------------|----------------|------|----------------------|------------|----------------|
| | Name | Date | Day | Study Group | Collected? | Date | Time | Collected? | Date | Time | Collected? | Date |
| XXXXXX | XXXXX | DDMMYYYY | X | X | XXX | DDMMYYYY HH:MM | XXX | DDMMYYYY HH:MM | XXX | DDMMYYYY HH:MM | XXX | DDMMYYYY HH:MM |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.5.5 Exhaled CO Measurement

| Subject Number | Visit | | | Study Group | Exhaled CO Measurement | | | | Category* |
|-------------------|-------|----------|-----|----------------|------------------------|----------|--------------|------------------|-----------|
| | Name | Date | Day | | Performed? | Date | Result (ppm) | | |
| XXXXXX | XXXXX | DDMMYYYY | X | X | XXX | DDMMYYYY | XX | ≤ 5 5-8 >8 | |

Note: Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

*Category: exhaled CO results fall in one of <=5 parts per million (ppm), 5-8 (ppm), and > 8 (ppm).

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.5.6 Prior and Concomitant Medications

| Subject Number | Study Group | Study Product | Any Med? Med? | Medication/ Therapy | Prior to Study? | Dosage | Route | Start Date | Start Time | Stop Date | Stop Time | Frequency | Indication | Continuing? | AE# |
|----------------|-------------|---------------|---------------|---------------------|-----------------|--------|-------|------------|------------|-----------|-----------|-----------|------------|-------------|-----|
| XXXXXX | X | X | XXX | ACETAMINOPHEN | XX | 620 mg | ORAL | DDMMYYYY | HH:MM | DDMMYYYY | HH:MM | Once | Toothache | No | XXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.1 Whole Blood WBC Counts

| Subject Number | Study Group | Study Visit | Concentration (x 10 ³ /µL) | Absolute Change From Baseline (x 10 ³ /µL) | % Change From Baseline |
|----------------|-------------|-------------|---------------------------------------|---|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX |

Note: Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYY YYYY HH:MM

Appendix 16.2.6.2 Serum HDL-C

| Subject Number | Study Group | Study Visit | Concentration (mg/dL) | Absolute Change From Baseline (mg/dL) | % Change From Baseline |
|----------------|-------------|-------------|-----------------------|---------------------------------------|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.6.3 Urine 8-epi-prostaglandin F2 α

| Subject Number | Study Group | Study Visit | Creatinine (mg/dL) | epi-prostaglandin F2 α (pg/mL) | Absolute Change From Baseline (ng/g Cr) | % Change From Baseline |
|----------------|-------------|-------------|--------------------|---------------------------------------|---|------------------------|
| XXXXXX | XXXXXX | Baseline | XXX | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 \pm 3)
Visit 5 = Week 24 (Day 168 \pm 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.5 Urine 11-dehydrothromboxane B2

| Subject Number | Study Group | Study Visit | Creatinine (mg/dL) | 11-dehydrothromboxane B2 (pg/mL) | 11-dehydrothromboxane B2 (ng/g Cr) | Absolute Change From Baseline (ng/g Cr) | % Change From Baseline |
|----------------|-------------|-------------|--------------------|----------------------------------|------------------------------------|---|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | XXX | NA | NA |
| | | 3 | XXX | XXX | XXX | XXXX | XXX |
| | | 5 | XXX | XXX | XXX | XXXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.5 Whole Blood sICAM-1

| Subject Number | Study Group | Study Visit | Concentration (ng/mL) | Absolute Change From Baseline (ng/mL) | % Change From Baseline |
|----------------|-------------|-------------|-----------------------|---------------------------------------|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.6.6 Urine Total NNAL

| Subject Number | Study Group | Study Visit | Creatinine (mg/dL) | ----- Total NNAL ----- (pg/mL) (ng/g Cr) | Absolute Change From Baseline (ng/g Cr) | % Change From Baseline |
|----------------|-------------|-------------|--------------------|--|---|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX | XXXX |
| | | 5 | XXX | XXX | XXX | XXXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.6.7 Whole Blood COHb

| Subject Number | Study Group | Study Visit | Concentration (% Saturation) | Absolute Change From Baseline (% Saturation) | % Change From Baseline |
|----------------|-------------|-------------|------------------------------|--|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | NA |
| | | 3 | XXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.6.8.1 Product Used Per Day

| Subject Number | Study Group | Study Day | Date | Number of Cigarettes Smoked | Use of other Tobacco Products |
|----------------|-------------|-----------|----------|-----------------------------|-------------------------------|
| XXXXXX | XXXXX | X | DDMMYYYY | XX | Yes No |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: Please include Baseline CPD (Screening value from ALCS-RA-16-06-EV study CA20130)

Appendix 16.2.6.8.2 Test Product Used Per Day

| Subject Number | Study Group | Study Day | Date | Number of New Test Products Started | Puffs Per Day |
|----------------|-------------|-----------|----------|-------------------------------------|---------------|
| XXXXXX | XXXXX | X | DDMMYYYY | XX | ≤20 |
| XXXXXX | XXXXX | X | DDMMYYYY | XX | >20 |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.8.3 Average Product Used Per Day

| Subject Number | Study Group | Study Week | Average Number of Test Products Started | Average Number of Cigarettes Smoked |
|----------------|-------------|------------|---|-------------------------------------|
| XXXXXX | XXXXX | X | XX | XX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Programmer note: Use NA for control group for test product columns.

Appendix 16.2.6.8.4 Product Used Per Day Change from Baseline

| Subject Number | Study Group | Week | Number of Test Products Started | | | Number of Cigarettes Smoked | | |
|----------------|-------------|------|---------------------------------|------------------------|-----------------|-------------------------------|------------------------|-----------------|
| | | | Absolute Change From Baseline | % Change From Baseline | Change Category | Absolute Change From Baseline | % Change From Baseline | Change Category |
| XXXXX | XXXXXX | 18 | XXX | XXX | Reduction | XXXX | XXX | Reduction |
| XXXXX | XXXXXX | 24 | XXX | XXX | No Change | XXXX | XXX | No Change |
| XXXXX | XXXXXX | 1-24 | NA | NA | NA | XXXX | XXX | Increase |

Note: Baseline is cigarettes per day at Screening (Visit 1) or average e-Vapor cartridges started per day during Week 1 of the 12-week ALCS-RA-16-06-EV study (CA20130).

Week 18 = Average of Week 18 (Day 126 ± 3)

Week 24 = Average of Week 24 (Day 168 ± 3)

Week 1-24 = Average of Weeks 1-24

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Programmer note: Please include baseline columns (one for test products started and one for cigarettes smoked).

Appendix 16.2.6.9 Urine NNN

| Subject Number | Study Group | Study Visit | Creatinine (mg/dL) | NNN (pg/mL) | NNN (ng/g Cr) | Absolute Change From Baseline (ng/g Cr) | % Change From Baseline | Change Category |
|----------------|-------------|-------------|--------------------|-------------|---------------|---|------------------------|-----------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | XXX | NA | NA | NA |
| | | 3 | XXX | XXX | XXX | XXXX | XXX | <80% |
| | | 5 | XXX | XXX | XXX | XXXX | XXX | ≥80% |

Note: Baseline = Day 1 (Week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Appendix 16.2.6.10.1 Pulmonary Function Test (Spirometry) (I of II)

| Subject Number | Visit | | Study Group | Assessment | | | FVC (L) | | | FEV1 (L) | | |
|-------------------|-------|----------|----------------|------------|-------|--------|-----------|------------|--------|-----------|------------|--|
| | Visit | Date | | Date | Time | Actual | Predicted | %Predicted | Actual | Predicted | %Predicted | |
| XXXXXX | XXXX | DDMMYYYY | X | DDMMYYYY | HH:MM | XX | XX | XX | XX | XX | XX | |
| | XXXX | DDMMYYYY | | DDMMYYYY | HH:MM | XX | XX | XX | XX | XX | XX | |

Note: Baseline = Screening (Visit 2) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Parameters for Appendices 16.2.6.10.1 and 16.2.6.10.2 are:

FEV1 (L)
Predicted FEV1 (L)
% of Predicted FEV1
FVC (L)
Predicted FVC (L)
% of Predicted FVC
FEV1/FVC
% of Predicted FEV1/FVC
FEV1 reversibility (mL)
% FEV1 reversibility
FEF25-75 (L)

Appendix 16.2.6.10.3 Pulmonary Function Test (Spirometry) Absolute Change from Baseline

| Subject Number | Visit | Study Group | Percent of Predicted FEV1 | Percent of Predicted FVC | Percent of Predicted FEV1/FVC |
|----------------|-------|-------------|---------------------------|--------------------------|-------------------------------|
| XXXX | X | XXXXXX | XXXX | XXXX | XXXX |
| XXXX | X | XXXXXX | XXXX | XXXX | XXXX |

Note: Baseline = Screening (Visit 2) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

Parameters are:

FEV1 (L)

Predicted FEV1 (L)

% of Predicted FEV1

FVC (L)

Predicted FVC (L)

% of Predicted FVC

FEV1/FVC

% of Predicted FEV1/FVC

FEV1 reversibility (mL)

% of Predicted FEV1 reversibility

FEF25-75

Appendix 16.2.6.11.1 Urine Nicotine and Metabolites Concentrations

| Subject Number | Study Group | Study Visit | Nicotine (ng/mL) | Nicotine Glucuronide (ng/mL) | Cotinine (ng/mL) | Cotinine Glucuronide (ng/mL) | Trans-3-hydroxy Cotinine (ng/mL) | Trans-3-hydroxy Cotinine Glucuronide (ng/mL) |
|----------------|-------------|-------------|------------------|------------------------------|------------------|------------------------------|----------------------------------|--|
| XXXXXX | XXXXXX | Baseline | XXX | XXX | XXX | XXXX | XXX | XXX |
| | | 3 | XXX | XXX | XXX | XXXX | XXX | XXX |
| | | 5 | XXX | XXX | XXX | XXXX | XXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)

Visit 3 = Week 18 (Day 126 ± 3)

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.11.2 Urine Nicotine Equivalents

| Subject Number | Study Group | Study Visit | Creatinine (mg/dL) | Nicotine Equivalents (µg/mL) | Nicotine Equivalents (mg/g Cr) | Absolute Change From Baseline (mg/g Cr) | % Change From Baseline |
|----------------|-------------|-------------|--------------------|------------------------------|--------------------------------|---|------------------------|
| XXXXX | XXXXXX | Baseline | XXX | XXX | XXX | NA | NA |
| | | 3 | XXX | XXX | XXX | XXXX | XXX |
| | | 5 | XXX | XXX | XXX | XXXX | XXX |

Note: Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: See section 6.1.1.3.1 for calculation of nicotine equivalents.

Appendix 16.2.6.12.3.1 Modified Cigarette Evaluation Questionnaire

| Subject Number | Study Group | Study Visit | Date | Time | mCEQ | | | | | | | | | | | |
|-------------------|----------------|----------------|----------|----------|------|---|---|---|---|---|---|---|---|----|----|----|
| | | | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| XXXXX | XXXXXX | X | DDMMYYYY | HH:MM:SS | X | X | X | X | X | X | X | X | X | X | X | X |

Note: 1. Was using the product satisfying? 2. Did the product taste good?
3. Did you enjoy the sensations in your throat and chest? 4. Did using the product calm you down?
5. Did using the product make you feel more awake? 6. Did using the product make you feel less irritable?
7. Did using the product help you concentrate? 8. Did using the product reduce your hunger for food?
9. Did using the product make you dizzy? 10. Did using the product make you nauseous?
11. Did using the product immediately relieve your craving for a cigarette? 12. Did you enjoy using the product?

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.12.3.2 Factor Score to Modified Cigarette Evaluation Questionnaire

| Subject Number | Study Visit | Date | Time | Smoking Satisfaction | Psychological Reward | Aversion | Enjoyment of Sensation | Craving Reduction |
|----------------|-------------|---------|----------|----------------------|----------------------|----------|------------------------|-------------------|
| X | X | XXXXXXX | DDMMYYYY | HH:MM:SS | X | X | X | X |
| | | XXXXXXX | DDMMYYYY | HH:MM:SS | X | X | X | X |
| | | XXXXXXX | DDMMYYYY | HH:MM:SS | X | X | X | X |
| | | XXXXXXX | DDMMYYYY | HH:MM:SS | X | X | X | X |

Note: Smoking satisfaction: average of 1,2,12;
Psychological reward: average of 4 to 8;
Aversion: average of 9,10;
Enjoyment of sensation: 3;
Craving Reduction: 11

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: Add footnotes for descriptions of each question.

Appendix 16.2.6.12.4.1 Cough Questionnaire

- I. Did you have a cough in the past 30 days? (1 = Yes, 2 = No)
- II. How long have you had the cough?
- III. When does the cough occur? (1 = Middle of the night, 2 = Daytime, 3 = Anytime)
- IV. Do you have a cough that comes mainly from your chest and NOT from your throat (1 = Yes, 2 = No)
- V. Do you cough up phlegm? (1 = never, 2 = seldom, 3 = Sometimes, 4 = Often, 5 = Always)
- VI. Do you cough more than the average person? (1 = Yes, 2 = No)
- VII. Have you taken medications for your cough? (1 = Yes, 2 = No)
- VIII. Have you sought the help of a health care provider to treat your cough? (1 = Yes, 2 = No)
- IX. In the last 24-hours, has your cough disturbed your sleep? (1 = All of the time, 2 = Most of the time, 3 = A good bit of the time, 4 = Some of the time, 5 = A little of the time, 6 = Hardly any of the time, 7 = None of the time)
- X. In the last 24-hours, how many times have you had coughing bouts? (1 = All the time, 2 = Most times during the day, 3 = Several times during the day, 4 = Some times during the day, 5 = Occasionally through the day, 6 = Rarely, 7 = none)

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.12.4.2 Cough Questionnaire Response

| Subject Number | Study Group | Study Visit | Date | Time | Cough Questionnaire* | | | | | | | | | |
|-------------------|----------------|----------------|----------|----------|----------------------|----|-------|----|---|----|-----|------|----|---|
| | | | | | I | II | III | IV | V | VI | VII | VIII | IX | X |
| XXXXX | XXXXXX | X | DDMMYYYY | HH:MM:SS | X | X | XXXXX | X | X | X | X | X | X | X |

Note: *Refer to Appendix 16.2.6.12.4.1 for the questions in the Cough Questionnaire.

Baseline = Day 1 (week 1) of the 12-week ALCS-RA-16-06-EV study (CA20130)
Visit 3 = Week 18 (Day 126 ± 3)
Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.12.5.1 Reasons of Use/Not-Use Test Product Questionnaire (I of II)

| Subject Number | Study Group | Study Visit | Date | Time | Likelihood of Use | |
|-------------------|----------------|----------------|----------|----------|----------------------|-----------------------|
| | | | | | E-cigarette question | Test Product Question |
| XXXXX | XXXXXX | X | DDMMYYYY | HH:MM:SS | X | X |

Note: E-cigarette question = How likely are you to use e-cigarettes exclusively in the next 30 days?
Test Product question = How likely would you be to use the test product exclusively in the next 30 days?

1 = Strongly Likely, 2 = Quite Likely, 3 = Somewhat Likely/Neutral/Neither, 4 = Strongly Likely,
5 = Quite Unlikely, 6 = Somewhat Unlikely

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.6.12.5.2 Reasons of Use/Not-Use Test Product Questionnaire (II of II)

| Subject Number | Study Group | Study Visit | Date | Time | Reason(s) to Use----- | |
|-------------------|----------------|----------------|--------|----------|---------------------------------------|----------------------|
| | | | | | Smoking/Other Tobacco-Related Reasons | General Reasons |
| XXXXX | XXXXXX | X | DDMMYY | HH:MM:SS | XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXXXXXXXXXX |

Note: Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYY HH:MM

Appendix 16.2.6.12.6 End-of-Study Questionnaire

| Subject Number | Study Group | Study Visit | Date | Time | End-of-study question | | |
|-------------------|----------------|----------------|--------|----------|-----------------------|---------|------------|
| | | | | | 1 | 2 | 3 |
| XXXXX | XXXXXX | X | DDMMYY | HH:MM:SS | XXX | XXX X X | XXXXXXXXXX |

Note: 1. When you collected your 1st void of the day urine collections, were you able to always collect the first void of the day at least 4 hours after the prior void?
2. Have you smoked cigarettes since you were instructed to completely replace your cigarettes with the test e-Vapor product?
3. Did you use the test e-Vapor product...

Visit 5 = Week 24 (Day 168 ± 3)

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/ECR/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYY HH:MM

Appendix 16.2.7.1 Adverse Events (I of II)

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| Subject Number | Study Group | Study Product | UE?^ | AE | | | Time from Last Product Use (DD:HH:MM) | Onset | | Resolved | | Duration (DD:HH:MM) |
|----------------|-------------|---------------|------|--------|----------------|-----------------------|--|----------|-------|----------|-------|------------------------|
| | | | | Number | Adverse Event* | Preferred Term | | Date | Time | Date | Time | |
| XXXXXX | X | X | XXX | XX | XXXXXXXXXXXXXX | XXXXXXXXXXXX XXXXXXXX | XX:XX:XX | DDMMYYYY | HH:MM | DDMMYYYY | HH:MM | XX:XX:XX |
| XXXXXX | X | X | XXX | XX | XXXXXXXXXXXXXX | XXXXXXXXXXXX XXXXXXXX | XX:XX:XX | DDMMYYYY | HH:MM | DDMMYYYY | HH:MM | XX:XX:XX |
| XXXXXX | X | X | XXX | XX | XXXXXXXXXXXXXX | XXXXXXXXXXXX XXXXXXXX | XX:XX:XX | DDMMYYYY | HH:MM | DDMMYYYY | HH:MM | XX:XX:XX |
| XXXXXX | X | X | XXX | XX | XXXXXXXXXXXXXX | XXXXXXXXXXXX XXXXXXXX | XX:XX:XX | DDMMYYYY | HH:MM | DDMMYYYY | HH:MM | XX:XX:XX |

Note: ^ UE = study product use-emergent

* = Adverse events are classified according to the MedDRA Version 19.0.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.7.2 Adverse Events (II of II)

| Subject Number | Study Group | Study Product | AE Number | Adverse Event | Onset | | Frequency | Severity | Serious | Outcome | Relationship to Study Product | Action |
|----------------|-------------|---------------|-----------|---------------|----------|-------|-----------|----------|---------|---------|-------------------------------|--------|
| | | | | | Date | Time | | | | | | |
| XXXXXX | X | X | XX | XXXXXXXXXX | DDMMYYYY | HH:MM | XXXXXX | XXXX | XXX | XXXXXX | XXXXXX | XXXX |
| XXXXXX | X | X | XX | XXXXXXXXXX | DDMMYYYY | HH:MM | XXXXXX | XXXX | XXX | XXXXXX | XXXXXX | XXXX |
| XXXXXX | X | X | XX | XXXXXXXXXX | DDMMYYYY | HH:MM | XXXXXX | XXXX | XXX | XXXXXX | XXXXXX | XXXX |
| XXXXXX | X | X | XX | XXXXXXXXXX | DDMMYYYY | HH:MM | XXXXXX | XXXX | XXX | XXXXXX | XXXXXX | XXXX |

Note: Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.7.3 Adverse Event Preferred Term Classification

| Subject Number | Study Group | Study Product | AE Number | Adverse Event | Preferred Term | Body System | Onset Date | Time |
|----------------|-------------|---------------|-----------|-----------------------|-----------------------|----------------------|------------|-------|
| XXXXXX | X | X | XX | XXXXXX XXXX XXXX XXXX | XXXXXXXXXXXX XXXXXXXX | XXXXXXXXXXXXXXXXXXXX | DDMMYYYY | HH:MM |
| XXXXXX | X | X | XX | XXXXXX XXXX XXXX XXXX | XXXXXXXXXXXX XXXXXXXX | XXXXXXXXXXXXXXXXXXXX | DDMMYYYY | HH:MM |
| XXXXXX | X | X | XX | XXXXXX XXXX XXXX XXXX | XXXXXXXXXXXX XXXXXXXX | XXXXXXXXXXXXXXXXXXXX | DDMMYYYY | HH:MM |
| XXXXXX | X | X | XX | XXXXXX XXXX XXXX XXXX | XXXXXXXXXXXX XXXXXXXX | XXXXXXXXXXXXXXXXXXXX | DDMMYYYY | HH:MM |

Note: * = Adverse events are classified according to the MedDRA Version 19.0.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendices 16.2.8.2 to 16.2.8.3 will have the following format.

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Appendix 16.2.8.1 Clinical Laboratory Report - Serum Chemistry

| Subject Number | Age/ Gender | Study Group | Visit | | | Parameter1 | Parameter2 | Parameter3 | Parameter4 | Parameter5 | Parameter6 | |
|----------------|----------------|-------------|-----------|----------|----------|------------|------------|------------|------------|------------|------------|------|
| | | | Name | Date | Date | | | | | | | Time |
| XXXXXX | XX/X | X | Screening | DDMMYYYY | DDMMYYYY | HH:MM | XX HN | XX | XX | XX | XX HN | XX |
| | | | XXXXXX | DDMMYYYY | DDMMYYYY | HH:MM | XX HN | XX | XX | XX | XX HN | XX |
| | | | XXXXXX | DDMMYYYY | DDMMYYYY | HH:MM | XX HN | XX | XX | XX | XX HN | XX |

Note: H = Above Reference Range, L = Below Reference Range

PI Interpretation: N = Not Clinically Significant

See Appendix 16.1.10.1 for lab units and reference ranges.

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: Replace Parameter1, 2 etc. with actual lab tests in the study.

Appendix 16.2.8.4 Alcohol Breath Tests

| Subject Number | Study Group | Visit | | Was an Alcohol Breath Test Performed? | Test | | Result |
|----------------|-------------|--------------------|----------------------|---------------------------------------|----------------------|----------------|------------------|
| | | Name | Date | | Date | Time | |
| XXXXXX | X | Screening Check-in | DDMMYYYY DDMMYYYY | XXX XXX | DDMMYYYY DDMMYYYY | HH:MM HH:MM | XXXXXX XXXXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XLMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.8.5 Urine Drug Screens

| Subject Number | Study Group | Visit | | Was the Urine Sample Collected? | Date of Collection | Test Name | Result |
|-------------------|----------------|-----------|----------|------------------------------------|-----------------------|--------------|--------|
| | | Name | Date | | | | |
| XXXXXX | X | Screening | DDMMYYYY | XXX | DDMMYYYY | XXXXXXXXXXXX | XXXXXX |
| | | | | | | XXXXXXXXXXXX | XXXXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.8.6 Pregnancy Tests

| Subject Number | Study Group | Visit | | Was the Pregnancy Test Done? | | Reason for Not Done | Collection Date | Category | Test Name | Test Result | Test Code |
|----------------|-------------|-----------|------------------------|------------------------------|----------|---------------------|----------------------|------------------|--------------|-------------|--------------|
| | | Name | Date | Test Done? | Not Done | | | | | | |
| XXXXXX | X | Screening | DDMMYYYY XXXXXXXXXX | XXX XXX | | | DDMMYYYY DDMMYYYY | XXXXXX XXXXXX | XXXX XXXX | XXX XXX | XXXX XXXX |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

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Appendix 16.2.8.7 Urine Cotinine Screens

| Subject Number | Study Group | Visit Name | Was the Urine Cotinine Sample Collected? | Date of Collection | Is Result Greater Than or Equal to 500 ng/mL? |
|----------------|-------------|------------|--|--------------------|---|
| XXXXXX | X | Screening | DDMMYYYY | XXX | DDMMYYYY |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.8.8 Serology Sample Collection

| Subject Number | Study Group | Visit | | Was the Sample Collected? | Collection | |
|-------------------|----------------|-----------|----------|------------------------------|------------|-------|
| | | Name | Date | | Date | Time |
| XXXXXX | X | Screening | DDMMYYYY | XXX | DDMMYYYY | HH:MM |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.8.9 Clinical Laboratory Report - Comments

| Subject Number | Study Group | Visit | | Date | Department | Test | Result | Unit | Comment |
|----------------|-------------|-----------|----------|----------|-------------|----------|--------|-------|---|
| | | Name | Date | | | | | | |
| XXXXXX | X | Screening | DDMMYYYY | DDMMYYYY | Other Tests | XXXXXXXX | XXX | mg/dL | Not significant in the context of this study. |

Note: Test 1 Group = e-Vapor Product XLCB
Test 2 Group = e-Vapor Product XIMB
Control Group = Continue smoking lit-end conventional cigarette

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

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Appendix 16.2.8.10 Vital Signs

| Subject Number | Visit | | Study Group | Study Product | Date | Time | Not Done? | Blood Pressure (mmHg) | | Respira- tion (rpm) | Tempe- rature (°F) |
|----------------|-----------|-----|-------------|---------------|----------|-------|-----------|-----------------------|-------|------------------------|-----------------------|
| | Name | Day | | | | | | Systolic/Diastolic | (bpm) | | |
| XXXXXX | Screening | | X | | DDMMYYYY | HH:MM | | XXX/ XX | | XX | XX.X |
| XXXXXX | | | | 1 | DDMMYYYY | HH:MM | | XXX/ XX NCS | | XX | XX.X |
| XXXXXX | | X | | | DDMMYYYY | HH:MM | | XXX/ XX | | XX CS | XX.X |
| XXXX | | X | | | DDMMYYYY | HH:MM | | XXX/ XX | | XX | XX.X |

Note: NCS = Not clinically significant, CS = Clinically significant

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XLMB

Control Group = Continue smoking lit-end conventional cigarette

See Appendix 16.1.10.2 for vital sign reference ranges.

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Appendix 16.2.8.11 12-Lead Electrocardiogram

| Subject Number | Visit | Study Group | Date | Time | Result | Heart Rate (bpm) | PR (ms) | QRS (ms) | QT (ms) | QTcB* | If Abnormal Specify | Action Taken |
|----------------|-----------|-------------|----------|-------|--------|------------------|---------|----------|---------|-------|---------------------|--------------|
| XXXXXX | Screening | X | DDMMYYYY | HH:MM | Normal | XX | XXX | XX | XXX | XXX | | |
| XXXXXX | | | DDMMYYYY | HH:MM | Normal | XX | XXX | XX | XXX | XXX | | |
| XXXXXX | | | DDMMYYYY | HH:MM | ANCS | XX | XXX | XX | XXX | XXX | XXXX | |

Note: QTcB* = QTc corrected using Bazett's correction, ANCS = Abnormal, Not Clinically Significant

Test 1 Group = e-Vapor Product XLCB

Test 2 Group = e-Vapor Product XIMB

Control Group = Continue smoking lit-end conventional cigarette

See Appendix 16.1.10.3 for ECG reference ranges.

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:MM

Programmer Note: Please add reference range footnote based on Appendix 16.1.10.3.

Appendix 16.2.8.12 Smoking Cessation Information

| Subject Number | Visit | Was Smoking Cessation Information Offered? | Date Information Offered |
|----------------|---------------------------|--|--------------------------|
| XXXXXX | Screening End of Study | XXX XXX | DDMMYYYY DDMMYYYY |

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMMYYYY HH:MM

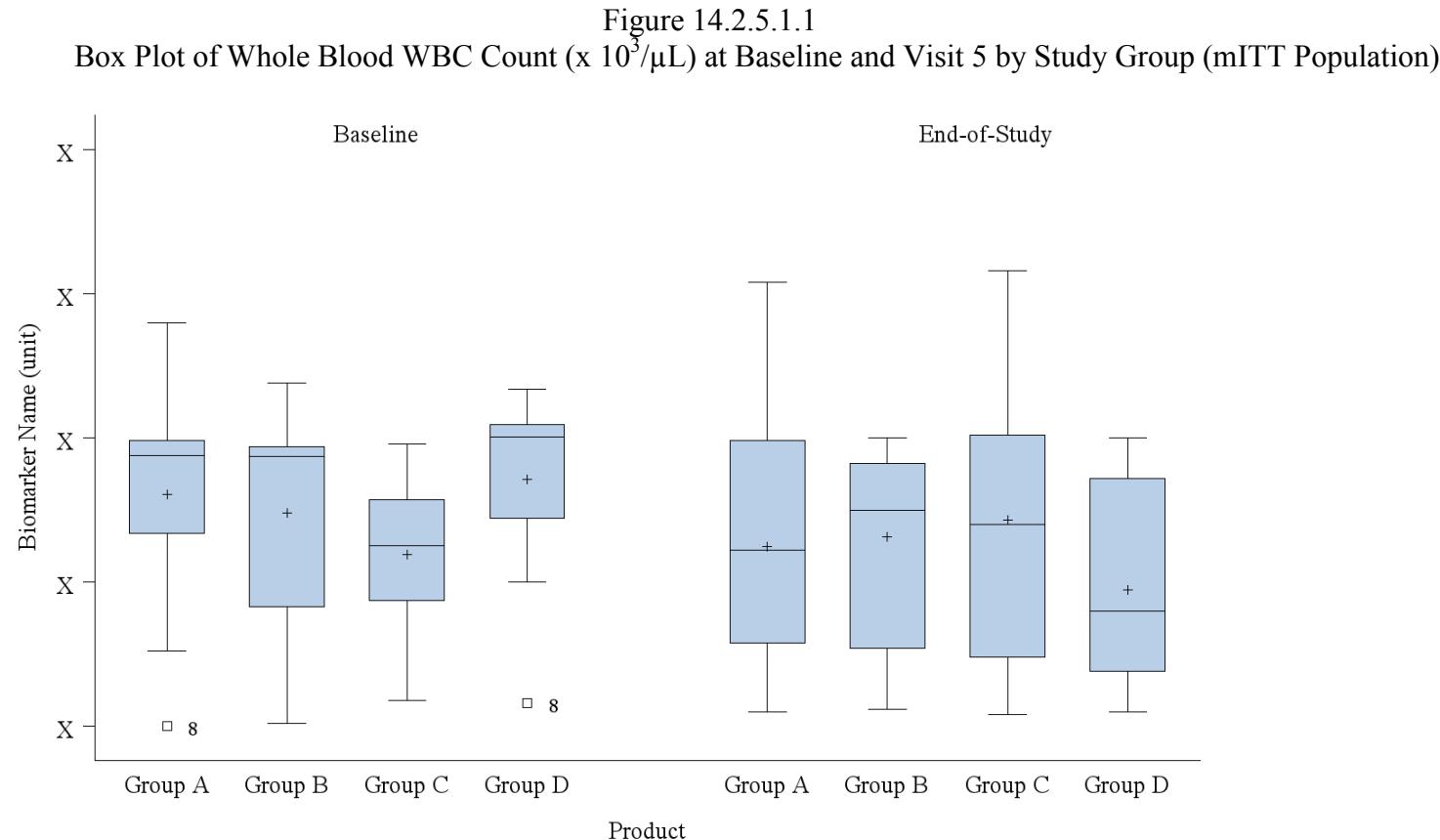
Appendix 16.2.8.13 Reminder Phone Call

| Subject Number | Visit Visit | Was a Reminder Call Performed Within 24-48 Hours of This Visit? | Date |
|-------------------|---------------------------|--|----------------------|
| XXXXXX | Screening End of Study | XXX XXX | DDMMYYYY DDMMYYYY |

Program: /CAXXXX/sas_prg/stsas/lis_PROGRAMNAME.sas DDMMYYYY HH:M

12. FIGURE SHELLS

Box plots will be presented as in the figure below, with the headings of Baseline, Visit 3 or Visit 5 and X axis label of Study Group:



The upper and lower whiskers of the boxplot represent, respectively, the largest and smallest observed values within $1.5 \times$ the interquartile range (IQR) from the upper and lower quartiles (Q3 and Q1). Values greater or smaller than the bounds represented by these whiskers are identified as extreme values with the corresponding subject number.

Program: CAXXXX/XXX/XXX PROGRAMNAME.SAS DDMMYY YYYY HH:MM