

## NON-INTERVENTIONAL STUDY PROTOCOL

<b>Document Number:</b>	<b>c10880816-03</b>
<b>BI Study Number:</b>	1276.39
<b>BI Investigational Product:</b>	JARDIANCE DUO® (empagliflozin/metformin)
<b>Title:</b>	A regulatory requirement non interventional study to monitor the safety and effectiveness of JARDIANCE DUO® (empagliflozin/metformin, 5/500mg, 5/850mg, 5/1000mg, 12.5/500mg, 12.5/850mg, 12.5/1000mg) in Korean patients with type 2 diabetes mellitus
<b>Brief lay title</b>	JARDIANCE DUO® rPMS in Korean patients with type 2 diabetes mellitus
<b>Protocol version identifier:</b>	3.0
<b>Date of last version of protocol:</b>	25 Apr 2018
<b>PASS:</b>	Yes
<b>EU PAS register number:</b>	EUPAS 24004
<b>Active substance:</b>	empagliflozin/metformin
<b>Medicinal product:</b>	JARDIANCE DUO® film-coated tablet 5/500mg, 5/850mg, 5/1000mg, 12.5/500mg, 12.5/850mg, 12.5/1000mg
<b>Product reference:</b>	<i>Not applicable</i>
<b>Procedure number:</b>	<i>Not applicable</i>
<b>Marketing authorisation holder:</b>	[REDACTED]
<b>Joint PASS:</b>	<i>Not applicable</i>
<b>Research question and objectives:</b>	To monitor the safety profile and effectiveness of JARDIANCE DUO® in Korean patients with type 2 diabetes mellitus in a routine clinical practice setting

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<b>EU-QPPV:</b>	[REDACTED]
<b>Signature of EU-QPPV:</b>	<i>Not applicable</i>
<b>Date:</b>	31 Jan 2019

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## **2. LIST OF ABBREVIATIONS**

ACR	Albumin Creatinine Ratio
ADR	Adverse Drug Reaction
AE	Adverse Event
AESI	Adverse Event of Special Interest
ASAE	Always Serious Adverse Events
ASD	Absolute Standardized Differences
BI	Boehringer Ingelheim
BP	Blood Pressure
CA	Competent Authority
CML	Local Clinical Monitor
CRA	Clinical Research Associate
CRO	Contract Research Organization
CTP	Clinical Trial Protocol
CTR	Clinical Trial Report
DKA	Diabetic Ketoacidosis
DMP	Data Management Plan
eCRF	Electronic Case Report Form
EDC	Electronic Data Capture
eGFR	Estimated Glomerular Filtration Rate
EU	European Union
EU PAS	European Union electronic register of post-authorization studies
EU-QPPV	European Union-Qualified Person for Pharmacovigilance

GCP	Good Clinical Practice
GLUT	Glucose Transporter
GPP	Good Pharmacy Practice
HbA1c	Glucosylated Hemoglobin
HDL-C	High Density Lipoprotein Cholesterol
IC50	Inhibitory Concentration
ICH	International Conference on Harmonisation
IEC	Independent Ethics Committee
IRB	Institutional Review Board

ISF	Investigator Site File
LDL-C	Low Density Lipoprotein Cholesterol
LPVM	Local PV Manager
MAH	Marketing Authorisation Holder Activities
MedDRA	Medical Dictionary for Drug Regulatory Activities
MFDS	The Ministry of Food and Drug Safety
NCE	New Chemical Entity
NIS	Non-Interventional Study
NSADR	Non Serious Adverse Drug Reaction
OPU	Operative Unit
PASS	Post Authorization Safety Studies
SADR	Serious Adverse Drug Reaction
SAE	Serious Adverse Event
SAP	Statistical Analysis Plan
SGLT2	Sodium-dependent Glucose Co-transporter 2
SOP	Standard Operating Procedure
SUSAR	Suspected Unexpected Serious Adverse Reactions
T2DM	Type 2 Diabetes Mellitus
TC	Triglycerides
T-Chol	Total Cholesterol
TCM	Trial Clinical Monitor
TMF	Trial Master File
TMM	Team Member Medicine
UTI	Urinary Tract Infection

### **3. RESPONSIBLE PARTIES**

Boehringer Ingelheim (BI) has appointed a Trial Clinical Monitor (TCM), responsible for coordinating all required activities, in order to

- manage the study in accordance with applicable regulations and internal standard operating procedures (SOPs).
- direct the study team in the preparation, conduct, and reporting of the trial,
- order the materials as needed for the study,
- ensure appropriate training and information of Local Clinical Monitors (CMLs), Clinical Research Associate (CRAs), and Investigators of Korea.

Data Management and Statistical Evaluation will be done by BI according to BI SOPs.

The organization of the study will be done by a Contract Research Organization (CRO) with which the responsibilities and tasks will have been agreed and a written contract filed before initiation of the study. A CML will be appointed responsible for coordinating the activities required in order to manage the study in accordance with applicable regulations and internal SOPs in the countries covered by the respective BI OPU. On-site monitoring will be performed by a CRO appointed by BI.

An Investigator Site File (ISF) containing all relevant study related documentation will be maintained according to local regulations and BI SOPs at each study site. A copy of the ISF documents will also be kept as an electronic Trial Master File (TMF) at BI according to BI SOPs. Documents related to participating physician and other important participants, especially their curricula vitae, will be filed in the TMF.

## 4. ABSTRACT

<b>Name of company:</b> Boehringer Ingelheim Korea			
<b>Name of finished medicinal product:</b> JARDIANCE DUO®			
<b>Name of active ingredient:</b> empagliflozin/metformin			
<b>Protocol date:</b> 18 Aug 2016	<b>Study number:</b> 1276.39	<b>Version/Revision:</b> 3.0	<b>Version/Revision date:</b> 31 Jan 2019
<b>Title of study:</b>	A regulatory requirement non interventional study to monitor the safety and effectiveness of JARDIANCE DUO® (empagliflozin/metformin, 5/500mg, 5/850mg, 5/1000mg, 12.5/500mg, 12.5/850mg, 12.5/1000mg) in Korean patients with type 2 diabetes mellitus		
<b>Rationale and background:</b>	According to the local regulations, when a new chemical entity (NCE) is registered, a regulatory non interventional study (NIS) of an extended period (4 or 6 years) should be conducted. Such rNIS can provide supplementary data to monitor the safety of NCEs in a real-life situation. Data collected in randomized clinical study with strict inclusion/exclusion criteria and rigorous monitoring schemes have limitations.		
<b>Research question and objectives:</b>	To monitor the safety profile and effectiveness of JARDIANCE DUO® (empagliflozin/metformin, 5/500mg, 5/850mg, 5/1000mg, 12.5/500mg, 12.5/850mg, 12.5/1000mg) in Korean patients with type 2 diabetes mellitus in a routine clinical practice setting		
<b>Study design:</b>	Observational prospective, non-interventional, open-label, multi-centre study		
<b>Population:</b>	<p><u>Patients diagnosed with type 2 diabetes mellitus in Korea.</u></p> <p><u>JARDIANCE DUO® is indicated as an adjunct to diet and exercise to improve glycaemic control in adult patients with type 2 diabetes mellitus who are appropriate to take a combination of empagliflozin and metformin.</u></p> <ul style="list-style-type: none"> <li>• When the patients never experienced prior treatments and monotherapy would not provide appropriate glycaemic control, or</li> <li>• When metformin monotherapy does not provide adequate glycemic control, or</li> <li>• As add-on therapy to sulphonylurea in patients with insufficient glycemic control despite treatment with metformin in combination with SU, or</li> <li>• As add-on therapy to pioglitazone in patients with insufficient glycemic control despite treatment with metformin in combination with pioglitazone, or</li> <li>• As add-on therapy to linagliptin in patients with insufficient glycemic control despite treatment with metformin in combination with linagliptin</li> </ul>		

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<b>Protocol date:</b> 18 Aug 2016	<b>Study number:</b> 1276.39	<b>Version/Revision:</b> 3.0	<b>Version/Revision date:</b> 31 Jan 2019
<ul style="list-style-type: none"> <li>As add-on therapy to insulin in patients with insufficient glycemic control despite treatment with insulin in combination with metformin, or</li> <li>As add-on therapy to insulin in combination with sulphonylurea in patients with insufficient glycemic control despite treatment with insulin in combination with metformin plus sulphonylurea, or</li> <li>As replacement therapy of empagliflozin plus metformin (Patients switching from separate tablets of empagliflozin (10 mg or 25 mg total daily dose) and metformin to JARDIANCE DUO® should receive the same daily dose of empagliflozin and metformin already being taken.)</li> </ul>			
<p><u>Inclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Patients who have started at first time on JARDIANCE DUO® in accordance with the approved label in Korea</li> <li>Age <math>\geq</math> 19 years at enrolment</li> <li>Patients who have signed on the data release consent form</li> </ul> <p><u>Exclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Patients with previous exposure to JARDIANCE DUO®</li> <li>Hypersensitivity to active ingredients empagliflozin and/or metformin or to any of the excipients</li> <li>Moderate (stage 3b) and severe renal failure (<math>\text{CrCl} &lt; 45 \text{ ml/min}</math> or <math>\text{eGFR} &lt; 45 \text{ ml/min}/1.73\text{m}^2</math>)</li> <li>Acute conditions with the potential to alter renal function such as: dehydration, severe infection, cardiovascular collapse (shock), acute myocardial infarction, sepsis</li> <li>Type 1 diabetes, acute or chronic metabolic acidosis, including diabetic ketoacidosis with or without coma, history of a ketoacidosis (type 1 diabetes and diabetic ketoacidosis should be treated with insulin).</li> <li>Congestive heart failure requiring pharmacologic management, in particular those with unstable or acute congestive heart failure</li> <li>Radiologic studies involving the use of intravascular iodinated contrast materials (for example, intravenous urogram, intravenous cholangiography, angiography, and computed tomography (CT)</li> </ul>			

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<p>scans with intravascular contrast materials) - Intravascular administration of iodinated contrast media may lead to acute renal failure and has been associated with lactic acidosis in patients receiving metformin. Therefore, in patients with eGFR &gt; 60ml/min/1.73m<sup>2</sup>, JARDIANCE DUO® must be discontinued prior to, or at the time of the test and not be reinstated until 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further. In patients with moderate renal impairment (eGFR 45-60 ml/min/1.73m<sup>2</sup>), JARDIANCE DUO® must be discontinued 48 hours before administration of iodinated contrast media and not be reinstated until at least 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further.</p> <ul style="list-style-type: none"> <li>• In patients with severe infections or severe traumatic systemic disorders, JARDIANCE DUO® should be temporarily suspended, and should not be restarted until the patient's oral intake has resumed and renal function has been evaluated as normal.</li> <li>• JARDIANCE DUO® should be temporarily suspended for any surgical procedure (except minor procedures not associated with restricted intake of food and fluids) before 48 hours, and not be reinstated until 48 hours afterwards, after renal function has been evaluated as normal.</li> <li>• Patients with malnutrition, starvation, hypostheniam pituitary or adrenal insufficiency</li> <li>• Impaired hepatic function (since impaired hepatic function has been associated with some cases of lactic acidosis, JARDIANCE DUO® should generally be avoided in patients with clinical or laboratory evidence of hepatic disease), pulmonary infarction, severe respiratory impairment, any condition associated with hypoxemia, excessive alcohol intake, GI disorders such as dehydration, diarrhoea or vomiting</li> <li>• Pregnant women, women who may be pregnant, nursing women</li> <li>• Disease which may cause tissue hypoxia (especially acute disease, or worsening of chronic disease) such as: decompensated heart failure, respiratory failure, recent myocardial infarction, shock</li> <li>• Patients for whom empagliflozin/metformin is contraindicated according local label of JARDIANCE DUO®</li> </ul>			

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		<ul style="list-style-type: none"> <li>• Current participation in other clinical trials</li> </ul>			
<b>Variables:</b>	<p><u>Endpoints of safety</u> All reported adverse events in patients who take at least one dose of JARDIANCE DUO® will be noted.</p> <p><u>Endpoints of effectiveness</u> Change from baseline in HbA1c,</p> <p>[REDACTED]</p> <p>[REDACTED]</p>				
<b>Data sources:</b>	Field study with new data collection				
<b>Study size:</b>	<p>Single arm (N=600 approximately)</p> <p>A total of 600 patients will be entered in this study, and each patient will be followed for total three times (baseline, short term 12 weeks follow up, long term 24 weeks follow up). Since T2DM is chronic disease it might be restrictive to collect safety and effectiveness data in short-term (12weeks) period, all patients will be enrolled for long-term (24weeks) surveillance.</p>				
<b>Study sites:</b>	A total of 600 patients will be enrolled at approximately 20 sites by as many as 20 or more NIS physicians. To minimize the selection bias at the site level, the goal is to have participating centers reflect a balance between general hospitals and clinics for surveillance. The treating physicians will mainly be internists. To minimize the selection bias, consecutive patients from each site who meet inclusion criteria will be enrolled in this study.				
<b>Data analysis:</b>	<p>1) Analysis of demographic data: Demographic data and the health status of subjects for the safety evaluation will be analysed descriptively. For continuous data, mean, standard deviation, minimum value, and maximum value will be described, while for categorical data, frequency will be shown.</p> <p>2) Safety analysis : In the safety assessment population, the number of subjects to whom AE occurred and the number of AEs will be calculated. Also, the incidence proportion of AEs will be estimated with its 95% confidence interval.</p> <p>3) Effectiveness analysis: Mean, standard deviation, minimum value, maximum value, and median of changes in glycosylated hemoglobin(HbA1c)</p> <p>[REDACTED]</p>				

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<b>Milestones:</b> Study duration: MFDS set JARDIANCE DUO® re-examination period from 21 January 2016 to 11 August 2020. The study period is granted as the remaining period of JARDIANCE® (empagliflozin) according to the Standards for Re-examination of New Drugs.			

## 4.1 FLOW CHART

Data points	Baseline	Follow-up 1	Follow-up 2
Visit Number	1	2	3
Week/s	0	12	24
Informed consent	X		
Diagnosis	X		
Inclusion / exclusion criteria	X		
Demographics	X		
Family history of T2DM	X		
Diabetes mellitus complications	X		
Medical history	X		
Physical examination	X	X	X
Anti-hyperglycemic agents	X	X	X
Concomitant medications	X	X	X
JARDIANCE DUO® administration status	X	X	X
Renal function	X <sup>A</sup>	X <sup>A</sup>	X <sup>A</sup>
Effectiveness endpoints	X	X	X
[REDACTED]			[REDACTED]
Changes in lab tests		X <sup>A</sup>	X <sup>A</sup>
Adverse events		X	X
Study completion		X	X

<sup>A</sup> : If available

**5. MILESTONES**

<b>Milestone</b>	<b>Planned Date</b>
Start of data collection	30 Jan 2018
End of data collection	11 Aug 2020
Interim report 1	11 Oct 2017
Interim report 2	11 Oct 2018
Interim report 3	11 Oct 2019
Registration in the EU PAS register	TBD
Final report of study results:	11 Nov 2020

## 6. RATIONALE AND BACKGROUND

### 6.1 RATIONALE

According to the local regulations, when a new chemical entity (NCE) is registered, a regulatory non interventional study (rNIS) of an extended period (4 or 6 years) should be conducted. Such rNIS can provide supplementary data to monitor the safety of NCEs in a real-life situation. Data collected in randomized clinical study with strict inclusion/exclusion criteria and rigorous monitoring schemes have limitations.

This is an observational prospective, non-interventional, open-label, multi-centre national study. It will provide additional safety information of JARDIANCE DUO<sup>®</sup> (empagliflozin/metformin) in Korean patients with type 2 diabetes mellitus in a routine clinical practice setting.

### 6.2 BACKGROUND

Diabetes mellitus is an increasingly prevalent disease. Recent estimates suggest that the number of people worldwide with diabetes is currently 382 million and is expected to reach at least 592 million within the next 25 years [[R14-1408](#)]. The most common form is type 2 diabetes, which is characterized by insulin resistance, impaired insulin secretion, and increased blood glucose levels. Type 2 diabetes is also associated with microvascular complications [[R09-6405](#), [R09-6406](#)] and elevated cardiovascular risks [[R12-2906](#)].

Diabetes and its complications have become a major cause of morbidity and mortality in Korea. Although diabetes-related mortality has recently decreased from 25.1 per 100,000 persons in 2002 to 19.6 per 100,000 persons in 2009, diabetes is still the fifth-leading cause of death in Korea. Diabetic complications such as renal failure, neuropathy, retinopathy, coronary and cerebral artery diseases, and amputations are associated with increased medical costs and reduced life expectancy. [[R13-1653](#)]

The number of patients with T2DM is expected to increase dramatically from about 3.2 million in 2011 (8.8% of the national population) to about 4.25 million (11.1%) by 2030 [[R12-1019](#)].

Treatment of type 2 diabetes usually involves lifestyle interventions, such as diet and exercise, as well as the administration of antidiabetic drugs. Although initially effective, currently available oral antidiabetic agents often fail to maintain long-term glycemic control or are associated with side effects that may limit their use. Hence, there is an ongoing need for new therapeutic options to provide sustained improvements in glycemic control.

[\[c03606501-02\]](#)

The benefits of empagliflozin in combination with metformin have been shown in 3 main studies in patients with T2DM not adequately controlled by metformin, alone or combined with other antidiabetic agents (pioglitazone or SU). The main measure of effectiveness was the change in HbA1c after 24 weeks of treatment [[s00039827-01](#)].

The studies showed a clinically relevant reduction in HbA1c with empagliflozin compared

with placebo, both on metformin background. Similar benefits were seen in the studies regardless of the other antidiabetic agent being taken.

In addition, the results indicated that treatment empagliflozin on top of metformin background was associated with a decrease in body weight and blood pressure. The combination of empagliflozin and metformin also showed a clinically meaningful efficacy profile in treatment-naïve patients with T2DM.

Supportive evidence was provided from several further studies. Some of these were continuations of the main studies that showed the benefits of empagliflozin and metformin continued with longer therapy [[s00039827-01](#)].

Data for cardiovascular safety of empagliflozin from the EMPA REG OUTCOME® study 1245.25 [c02695839-01] showed that with regard to cardiovascular endpoints, treatment with empagliflozin significantly reduced the risk for the combined endpoint of CV death, MI, or stroke compared to placebo in patients with T2DM and high CV risk on standard of care treatment. Further, empagliflozin significantly reduced overall mortality, heart failure requiring hospitalisation, and the combined endpoint of heart failure requiring hospitalisation or CV death, compared to placebo; and significantly reduced the risk for the combined nephropathy endpoints. The effects on CV outcomes in the subgroup of patients who were on metformin treatment at baseline were consistent with the overall population [[s00039827-01](#)].

Thus, the clinical development programme for the FDC empagliflozin plus metformin has demonstrated the efficacy of empagliflozin in the establishment and maintenance of glycaemic control with additional beneficial effects of reducing weight and blood pressure in patients with T2DM [[s00039827-01](#)].

For a detailed description of the drug profile refer to the local prescribing information of JARDIANCE DUO®.

**7. RESEARCH QUESTION AND OBJECTIVES****7.1 PRIMARY OBJECTIVE**

The primary objective of this study is to monitor the safety profile of JARDIANCE DUO® in Korean patient with type 2 diabetes mellitus (T2DM) in a routine clinical setting.

**7.2 SECONDARY OBJECTIVE**

The secondary objective of this study is to monitor the effectiveness of JARDIANCE DUO® by evaluation of the change from baseline after 12 weeks and/or 24 weeks in the glycosylated hemoglobin (HbA1c), [REDACTED]

[REDACTED] in Korean T2DM patients.

## 8. RESEARCH METHODS

This rNIS is an observational prospective, non-interventional, open-label, multi-centre national study. As per regulation, the re-examination period extends from 21 January 2016 until 11 August 2020. However, active enrolment is to be initiated in 2018 after finalizing the re-imbursement agreement with the authority. The last patient follow up is expected in Aug 2020 and the final report of study results will be submitted to MFDS in Nov 2020. Before initiation of the study, any newly reported adverse events collected from other sources such as spontaneous cases, literature cases etc. will be closely monitored.

This study will be carried out in the manner of successive survey that the investigator will be asked to successively write in the case report forms (CRFs) from the subject who was initially administered the drug following the study start date to the requested number of subjects without omission. Prior to initiation of the study, a written contract shall be concluded, and this contract shall be executed among BI OPU, CRO with the head of the site or the investigator with his/her consent.

Patients will be managed according to the local practice guidelines. The choice of treatment will be solely at the discretion of the participating physician. JARDIANCE DUO® will be administered according to the approved label in Korea. Hence there are no additional risks to patients by participating in this rNIS.

### 8.1 STUDY DESIGN

This is a single arm study with JARDIANCE DUO®.

JARDIANCE DUO® will be prescribed according to the local label and at the discretion of the treating physician. Since this is a non-interventional study, the drug will not be supplied by the sponsor. Furthermore, the sponsor will not cover the expenses related to other medications taken by the patient, interventions, procedures, or diagnostic test.

#### 8.1.1 Method of assigning patients to treatment groups

The choice of treatment is fully at the discretion of the physician and the patient. There is no treatment assignment by a third party.

#### 8.1.2 Dosage and Administration

The recommended dose is one tablet twice daily. The dosage should be individualised on the basis of the patient's current regimen, effectiveness, and tolerability while not exceeding the maximum recommended daily dose of 25 mg of empagliflozin and 2000 mg of metformin.

- In patients not adequately controlled on metformin alone or in combination with other products, including insulin, the recommended starting dose of JARDIANCE DUO® should provide empagliflozin 5 mg twice daily (10 mg total daily dose) and the dose of metformin similar to the dose already being taken. In patients tolerating a total daily dose of empagliflozin 10 mg and requiring additional glycaemic control, the dose can be increased to a total daily dose of empagliflozin 25 mg.

- Patients switching from separate tablets of empagliflozin (10 mg or 25 mg total daily dose) and metformin to JARDIANCE DUO® should receive the same daily dose of empagliflozin and metformin already being taken.

When JARDIANCE DUO® is used in combination with a sulphonylurea and/or insulin, a lower dose of sulphonylurea and/or insulin may be required to reduce the risk of hypoglycaemia (see section on 4. Adverse Events and 6. Interactions on the [13.4 Korean label](#)).

JARDIANCE DUO® should be given with meals to reduce the gastrointestinal undesirable effects associated with metformin.

#### Missed dose

If a dose is missed, it should be taken as soon as the patient remembers. However, a double dose should not be taken at the same time. In that case, the missed dose should be skipped.

#### *Elderly patients*

In patients 75 years and older, renal function and risk of volume depletion should be taken into account. In patients aged 85 years and older, initiation of JARDIANCE DUO® is not recommended due to the limited therapeutic experience of empagliflozin.

### **8.1.3 Concomitant therapy, Restrictions, And rescue**

Additional drugs are allowed as considered necessary for the patient's welfare to be prescribed at the discretion of the treating physician. It is required, however, to record the details in the eCRF of all concomitant medication administered to the patient during the course of treatment. This includes concomitant therapies started one month prior to JARDIANCE DUO® initiation until the patient completes the final follow-up visit.

#### **8.1.3.1 Restrictions**

- Radiologic studies involving the use of intravascular iodinated contrast materials (for example, intravenous urogram, intravenous cholangiography, angiography, and computed tomography (CT) scans with intravascular contrast materials) -  
Intravascular administration of iodinated contrast media may lead to acute renal failure and has been associated with lactic acidosis in patients receiving metformin. Therefore, in patients with  $eGFR > 60\text{ml/min}/1.73\text{m}^2$ , JARDIANCE DUO® must be discontinued prior to, or at the time of the test and not be reinstated until 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further. In patients with moderate renal impairment ( $eGFR 45-60\text{ml/min}/1.73\text{m}^2$ ), JARDIANCE DUO® must be discontinued 48 hours before administration of iodinated contrast media and not be reinstated until at least 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further.
- In patients with severe infections or severe traumatic systemic disorders, JARDIANCE DUO® should be temporarily suspended, and should not be restarted

until the patient's oral intake has resumed and renal function has been evaluated as normal.

- JARDIANCE DUO<sup>®</sup> should be temporarily suspended for any surgical procedure (except minor procedures not associated with restricted intake of food and fluids) before 48 hours, and not be reinstated until 48 hours afterwards, after renal function has been evaluated as normal.

Please refer to the current local label for more detailed information ([13.4](#)).

## 8.2 SETTING

As per regulations, enrolled patients will be followed up for 12 or 24 weeks treatment period.

### 8.2.1 Study sites

A total of 600 patients will be enrolled at approximately 20 sites by as many as 20 or more NIS physicians. To minimize the selection bias at the site level, the goal is to have participating centers reflect a balance between general hospitals and clinics for surveillance. The treating physicians will mainly be internists. To minimize the selection bias, consecutive patients from each site who meet inclusion criteria will be enrolled in this study.

As provided in the 『Standards for Re-examination of New Drugs』 of the Ministry of Food and Drug Safety Notification, BI OPU should select study site according to the following requirements;

- ① Equipment/facility, and manpower capable of fully achieving the goal of investigation should be held;
- ② The investigator should have specialized knowledge of the drug subject to investigation and the indication, have completed education/training necessary for performing the investigation, or have practical experience;
- ③ Study site and the investigator should strictly keep confidential the record of subject's personal data
- ④ The investigator should be fully aware of the 『Standards for Re-examination of New Drugs』 and study protocol.

### 8.2.2 Study population

#### 8.2.2.1 Main diagnosis for study entry

Patients diagnosed with type 2 diabetes mellitus in Korea.

JARDIANCE DUO<sup>®</sup> is indicated as an adjunct to diet and exercise to improve glycaemic control in adult patients with type 2 diabetes mellitus who are appropriate to take a combination of empagliflozin and metformin.

- When the patients never experienced prior treatments and monotherapy would not provide appropriate glycaemic control, or

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- When metformin monotherapy does not provide adequate glycemic control, or
- As add-on therapy to sulphonylurea in patients with insufficient glycemic control despite treatment with metformin in combination with SU, or
- As add-on therapy to pioglitazone in patients with insufficient glycemic control despite treatment with metformin in combination with pioglitazone, or
- As add-on therapy to linagliptin in patients with insufficient glycemic control despite treatment with metformin in combination with linagliptin
- As add-on therapy to insulin in patients with insufficient glycemic control despite treatment with insulin in combination with metformin, or
- As add-on therapy to insulin in combination with sulphonylurea in patients with insufficient glycemic control despite treatment with insulin in combination with metformin plus sulphonylurea, or
- As replacement therapy of empagliflozin plus metformin (Patients switching from separate tablets of empagliflozin (10 mg or 25 mg total daily dose) and metformin to JARDIANCE DUO® should receive the same daily dose of empagliflozin and metformin already being taken.)

#### 8.2.2.2 Inclusion criteria:

- Patients who have started at first time on JARDIANCE DUO® in accordance with the approved label in Korea
- Age  $\geq 19$  years at enrolment
- Patients who have signed on the data release consent form

#### 8.2.2.3 Exclusion criteria:

- Patients with previous exposure to JARDIANCE DUO®
- Hypersensitivity to active ingredients empagliflozin and/or metformin or to any of the excipients
- Moderate (stage 3b) and severe renal failure ( $\text{CrCl} < 45 \text{ ml/min}$  or  $\text{eGFR} < 45 \text{ ml/min/1.73m}^2$ )
- Acute conditions with the potential to alter renal function such as: dehydration, severe infection, cardiovascular collapse (shock), acute myocardial infarction, sepsis
- Type 1 diabetes, acute or chronic metabolic acidosis, including diabetic ketoacidosis with or without coma, history of a ketoacidosis (type 1 diabetes and diabetic ketoacidosis should be treated with insulin).
- Congestive heart failure requiring pharmacologic management, in particular those with unstable or acute congestive heart failure
- Radiologic studies involving the use of intravascular iodinated contrast materials (for example, intravenous urogram, intravenous cholangiography, angiography, and computed tomography (CT) scans with intravascular contrast materials) -  
Intravascular administration of iodinated contrast media may lead to acute renal failure and has been associated with lactic acidosis in patients receiving metformin. Therefore, in patients with  $\text{eGFR} > 60 \text{ ml/min/1.73m}^2$ , JARDIANCE DUO® must be

discontinued prior to, or at the time of the test and not be reinstated until 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further. In patients with moderate renal impairment (eGFR 45-60 ml/min/1.73m<sup>2</sup>), JARDIANCE DUO<sup>®</sup> must be discontinued 48 hours before administration of iodinated contrast media and not be reinstated until at least 48 hours afterwards, and only after renal function has been re-evaluated and has not deteriorated further.

- In patients with severe infections or severe traumatic systemic disorders, JARDIANCE DUO<sup>®</sup> should be temporarily suspended, and should not be restarted until the patient's oral intake has resumed and renal function has been evaluated as normal.
- JARDIANCE DUO<sup>®</sup> should be temporarily suspended for any surgical procedure (except minor procedures not associated with restricted intake of food and fluids) before 48 hours, and not be reinstated until 48 hours afterwards, after renal function has been evaluated as normal.
- Patients with malnutrition, starvation, hypostheniam pituitary or adrenal insufficiency
- Impaired hepatic function (since impaired hepatic function has been associated with some cases of lactic acidosis, JARDIANCE DUO<sup>®</sup> should generally be avoided in patients with clinical or laboratory evidence of hepatic disease), pulmonary infarction, severe respiratory impairment, any condition associated with hypoxemia, excessive alcohol intake, GI disorders such as dehydration, diarrhoea or vomiting
- Pregnant women, women who may be pregnant, nursing women
- Disease which may cause tissue hypoxia (especially acute disease, or worsening of chronic disease) such as: decompensated heart failure, respiratory failure, recent myocardial infarction, shock
- Patients for whom empagliflozin/metformin is contraindicated according local label of JARDIANCE DUO<sup>®</sup>
- Current participation in other clinical trials

#### 8.2.2.4 Subjects of special investigation

The patient who have signed on the data release consent form, subjects of special investigation (Geriatric(Older than 65 years), Pregnant Women, renal impairment, hepatic impairment and other special population) among the patients who conducted investigation for safety assessment after the administration of JARDIANCE DUO<sup>®</sup> can be further investigation into cases collected from this study may be taken into account according to the outcome of retrospective analysis.

### 8.2.3 Study visits

#### 8.2.3.1 Screening and run-in periods

This section is not applicable as this is a non-interventional study.

#### 8.2.3.2 Visit 1; Baseline Visit

Upon patient enrolment, the following will be recorded on the patient's eCRF.

- Information on the site (Hospital name, Department, Physician name, Contract date)
- Visit date
- Diagnosis: date of the diagnosis of T2DM, Family history of T2DM
- Inclusion / Exclusion criteria
- Informed consent form: Date of Informed consent
- Demographic data: Age, gender, pregnancy, height, smoking status
- Diabetes mellitus related complication (Retinopathy, Neuropathy, Nephropathy, Vasculopathy, etc.)
- Medical history: Hypertension, Dislipidemia, Coronary artery disease, Stroke, Liver disease, Renal failure, Allergy, Nephropathy, etc. (history of concomitant disease within 6 months)
- Physical examination: body weight, blood pressure in sitting position (SBP, DBP)
- Renal Function: record Serum creatinine, eGFR, urin ACR if blood test result is available (the most recent data prior to baseline)
- Effectiveness endpoints: HbA1c, [REDACTED] (Lab data should be collected within 1 month prior to baseline)
- Concomitant anti-hyperglycemic agent : record any anti-hyperglycemic agents have been taken prior to the baseline visit (within 1 month prior to baseline)
- Concomitant medications: record all medications have been taken at least once since one month prior to the baseline visit.
- Dose of JARDIANCE DUO® given(Daily dose(Dosage/Administration), Start date)

At visit 1, the patient will be requested to contact the treating physician in the event of any adverse events noted after initiating JARDIANCE DUO® treatment.

#### 8.2.3.3 Visit 2; 12 weeks from Visit 1

After 12 weeks from Visit 1, the patients will return for follow-up. The followings will be noted and recorded in the eCRF.

- Visit date
- Physical examination: body weight, blood pressure in sitting position (SBP, DBP)

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- Any change of JARDIANCE DUO® given (Daily dose(Dosage/Administration), Start date, Date of discontinuation or continuation, Action taken, Causality)
- Effectiveness endpoints: HbA1c, [REDACTED]
- Renal Function: record Serum creatinine, eGFR, urine ACR if blood test result is available (The most recent data since last visit, except previously entered data)
- Concomitant anti-hyperglycemic agent including new medications taken since last visit : any change in the concomitant medications (dose and dosing intervals)
- Concomitant medications including new medications taken since last visit : any change in the concomitant medications ( dose and dosing intervals)
- Any changes in laboratory tests if there is any lab result which was clinically significant compared to data before JARDIANCE DUO® therapy (This data is collected on the basis of medical need, i.e. independent of the NIS)
- Any adverse events noted, AESI (Adverse Event of Special interest)
- Study completion status

#### 8.2.3.4 Visit 3; 24 weeks from Visit 1

After 24 weeks from Visit 1, the patients will return for follow-up. The followings will be noted and recorded in the eCRF.

- Visit date
- Physical examination: body weight, blood pressure in sitting position (SBP, DBP)
- Any change of JARDIANCE DUO® given (Daily dose(Dosage/Administration), Start date, Date of discontinuation or continuation, Action taken, Causality)
- Effectiveness endpoints : HbA1c, [REDACTED]
- Renal Function: record Serum creatinine, eGFR, urine ACR if blood test result is available (The most recent data since last visit, except previously entered data)
- Concomitant anti-hyperglycemic agent including new medications taken since last visit : any change in the concomitant medications (dose and dosing intervals)
- Concomitant medications including new medications taken since last visit : any change in the concomitant medications (dose and dosing intervals)
- Any changes in laboratory tests if there is any lab result which was clinically significant compared to data before JARDIANCE DUO® therapy (This data is collected on the basis of medical need, i.e. independent of the NIS)
- Any adverse events noted, AESI (Adverse Event of Special interest)
- Study completion status

#### 8.2.3.5 End of study

- Visit date
- Discontinuation or continuation (if interruption, date of last administration, reason for interruption)

- NIS physician's electronic signature for data integrity

#### 8.2.3.6 Follow-up period

Patients with adverse events noted at the final follow-up visit or upon premature discontinuation of JARDIANCE DUO® will be monitored further until the resolution of those adverse events. Alternatively, those patients will be followed up until the NIS physician and sponsor agree that no further follow-up is necessary.

#### 8.2.4 Study discontinuation

[REDACTED] reserves the right to discontinue the study overall or at a particular study site at any time for the following reasons:

- Emergence of any effectiveness/safety information that could significantly affect continuation of the study
- Violation of applicable local regulations, the NIS protocol, or the contract by a study site or participating physician, disturbing the appropriate conduct of the study.

### 8.3 VARIABLES

#### 8.3.1 Analysis sets

A total of 600 patients will be entered in this study, and each patient will be followed for total three times (baseline, short term 12 weeks follow up, long term 24 weeks follow up). Since T2DM is chronic disease it might be restrictive to collect safety and effectiveness data in short-term (12weeks) period, all patients will be enrolled for long-term (24weeks) surveillance.

##### 8.3.1.1 Number of cases subject who entered the study

This number means the planned number of cases as specified in the contract concluded with the investigator (physician) prior to initiation of the study.

##### 8.3.1.2 Number of cases subject to CRF collection

This number means the number of cases who signed the informed consent form to participate in the study as subject, with a record of taking JARDIANCE DUO® once at least.

##### 8.3.1.3 Number of cases subject to safety evaluation

These include those who signed the informed consent form to participate in this study as subject, took JARDIANCE DUO® once at least, and were followed up by the physician once or more.

Reflecting Ministry of Food & Drug Safety (MFDS) guideline, the cases below shall be excluded from safety analysis (defined below) set in the following order:

- Patients who signed on the data release consent form of JARDIANCE DUO® rPMS prior to the contract date
- Patients administrated JARDIANCE DUO® prior to the contract date

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- c. Patients administrated JARDIANCE DUO® prior to the signed on the data release consent form
- d. Patients who have not taken JARDIANCE DUO®
- e. Follow-up failure: Patients whose safety information can not be obtained due to follow-up Loss
- f. Patients who were prescribed for other indications except indications in the local label

#### 8.3.1.4 Number of cases subject to effectiveness evaluation

These cases include those who signed the informed consent form to participate in this study as subject, visited as per the study schedule, took JARDIANCE DUO®, and were evaluated for the effectiveness.

Reflecting Ministry of Food & Drug Safety (MFDS) guideline, the cases below shall be excluded from effectiveness analysis (defined below) set in the following order:

- a. Patients excluded from safety analysis set listed in section [8.3.1.3](#)
- b. Patients with missing information of assessment of effectiveness set listed in section [8.3.2.2](#) at visit 2, visit 3

### 8.3.2 Endpoints

#### 8.3.2.1 Endpoints of safety

All reported adverse events in patients who take at least one dose of JARDIANCE DUO® will be noted.

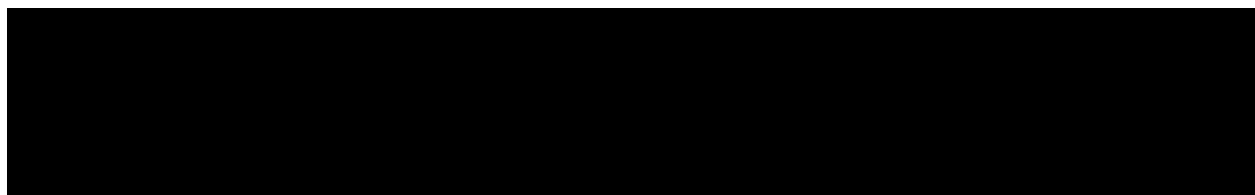
Endpoints pertaining to safety will be presented as incidence rates of adverse events and will include:

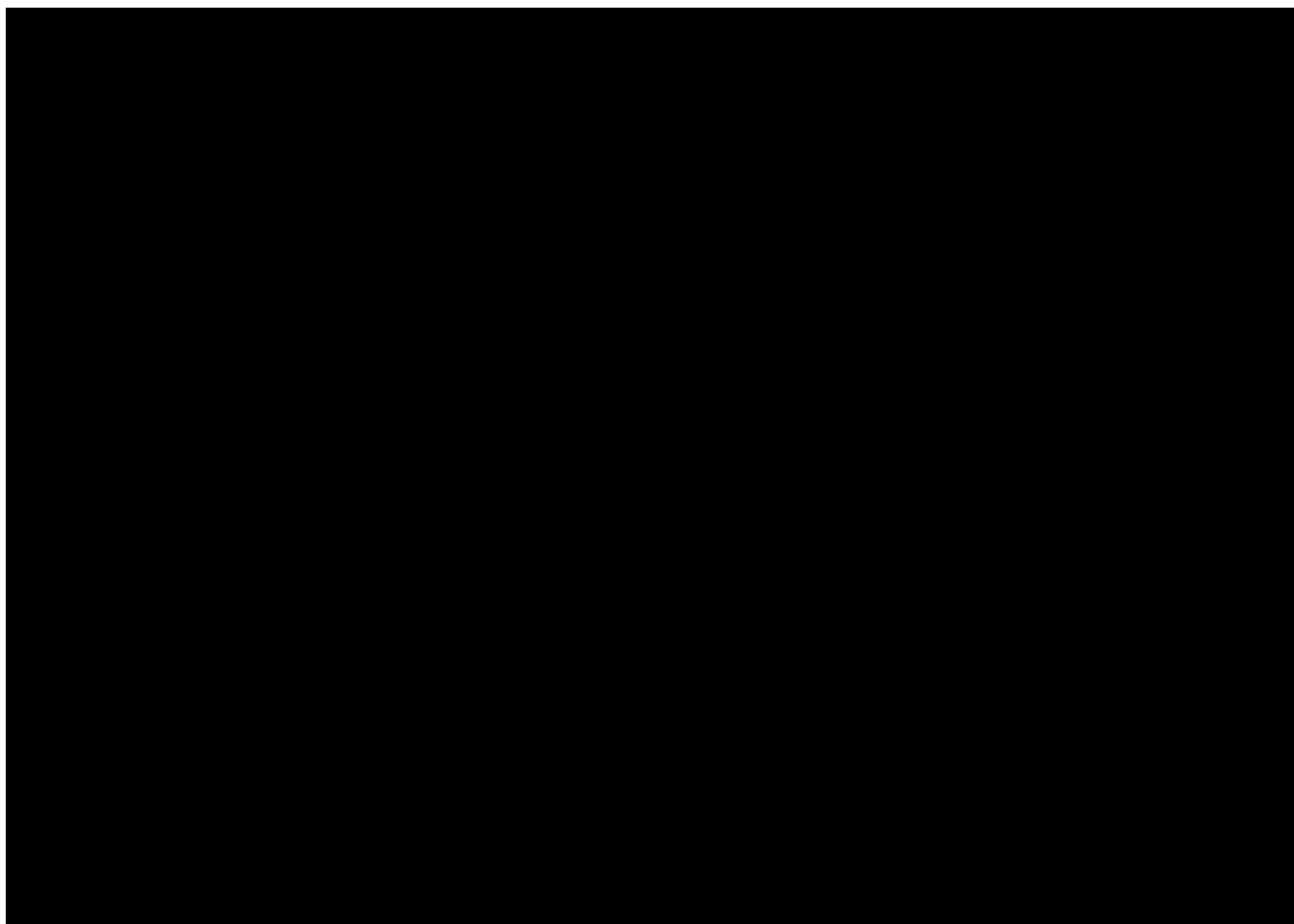
- Adverse events
- Unexpected adverse events
- Serious adverse events
- Drug-related adverse events
- Non serious adverse drug reaction
- Adverse event of special interest
- Adverse events leading to discontinuation

#### 8.3.2.2 Endpoints of effectiveness

##### 8.3.2.2.1 Main endpoint

Change from baseline in HbA1c after 12 weeks and/or 24 weeks of treatment





### 8.3.3 Assessment criteria

#### 8.3.3.1 Assessment of safety

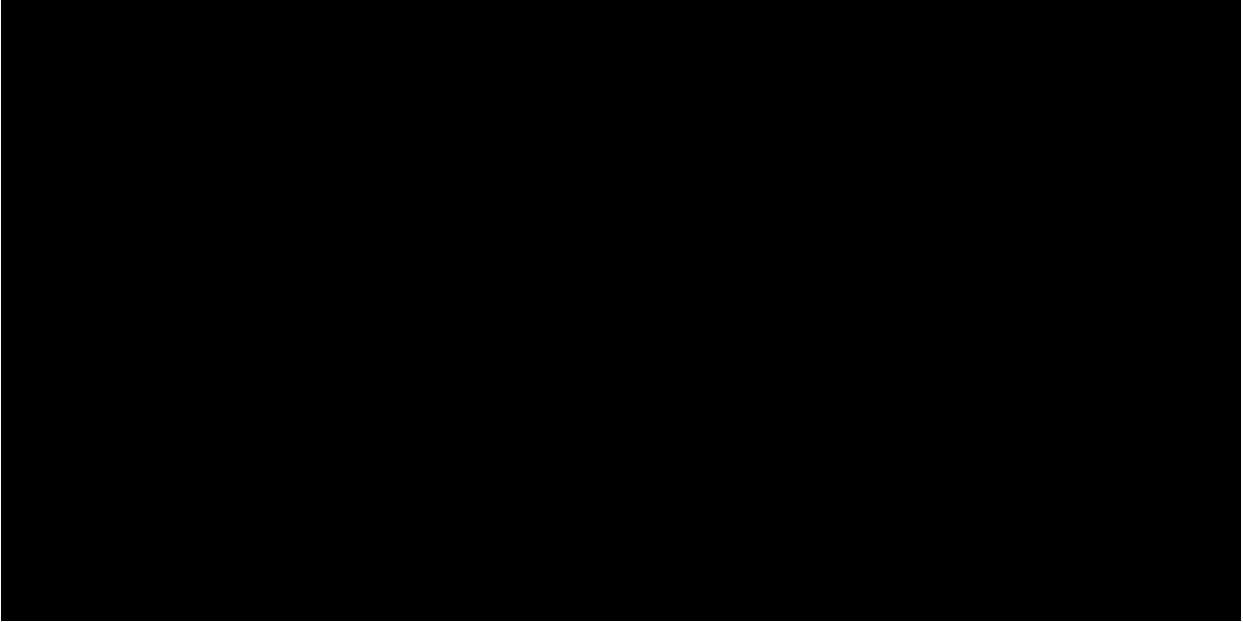
- Adverse events (event name/ symptoms/ sign/ identify hypoglycemia symptoms)
- Onset date, End date
- Intensity (Mild/ Moderate/ Severe)
- Serious (Serious/ Non-Serious)
- Outcome of the event (Recovered/ Not yet recovered/ Sequela/ Fatal/ Unknown)
- Causality (Certain/ Probable/Likely/ Probable/ Possible/ Unlikely/ Conditional/ Unclassified/ Unassessable/ Unclassifiable)
- Factors other than JARDIANCE DUO® (None/ Concomitant medication/ Concomitant disease/ etc.)
- Action taken with study drug due to AE (Discontinued/ Reduced/ Increased/ Continue/ Discontinued and reintroduced/ Unknown/ Not applicable)
- Recurrence in reintroduced (Recurrence/ No-recurrence/ Unknown/ Not applicable)
- Adverse Event of Special interest (Not applicable/Vaginal moniliasis, vulvovaginitis, balanitis and other genital infection/Increased urination/Urinary tract infection/Volume depletion/ Diabetic Ketoacidosis, Decreased renal function, Hepatic injury defined by the alterations of liver parameters/Lower limb amputation)

- Investigator's comments(if needed)

### 8.3.3.2 Assessment of effectiveness

#### ① HbA1c:

HbA1c should be collected within 1 month prior to baseline and after 12 weeks and 24 weeks of treatment.



### 8.3.4 Items of Investigation

#### 8.3.4.1 Demographic data

For demographic evaluation, following background information of subjects shall be recorded:

- Subject signed date
- Subject study number
- Age
- Gender
- Pregnancy (current status)
- Height
- Body weight
- Smoking status

#### 8.3.4.2 Medical/Surgical history and pre-treatment experience

The medical/surgical history to be collected and the treatment experience prior to administration of this drug includes:

- Date of diagnosis

- Family history of T2DM
- Diabetes mellitus related complication (including but not limited to Retinopathy, Neuropathy, Nephropathy, Vasculopathy, etc)
- Medical history : Hypertension, Dislipidemia, Coronary artery disease, Stroke, Liver disease, Renal failure, Allergy, Nephropathy etc.

#### 8.3.4.3 Concomitant medication

Information on concomitant medication that is to be collected includes:

- Brand name or generic name
- Daily dose
- Unit
- Indication
- Start date
- Date of discontinuation or continuation

#### 8.3.4.4 Drug administration status

Information on the study drug administration status includes:

- Dose
- Unit
- Start date
- Date of discontinuation or continuation

#### 8.3.4.5 Information on the site

Information on the site includes:

- Hospital name
- Department
- Physician name

### 8.4 DATA SOURCES

This study will be carried out in the manner of successive survey that the investigator will be asked to successively write in the case report forms(CRFs) from the subject who was initially administered the drug following the study start date to the requested number of subjects without omission. Prior to initiation of the study, written contract shall be concluded, and this contract shall be concluded with the head of the site or the investigator with his/her consent.

### 8.5 STUDY SIZE

The sample size of 600 patients is based on the requirement of the local regulatory authority (MFDS). As per regulation, long-term surveillance is necessary for the T2DM indication. Since T2DM is chronic disease it might be restrictive to collect safety and effectiveness data

in short-term (12 weeks) period, all patients will be enrolled for long-term (24 weeks) surveillance.

## 8.6 DATA MANAGEMENT

Patients' data will be gathered by eCRF. The data management procedures to ensure the quality of the data are described in detail in the data management plan (DMP) available in TMF. Data management and statistics will be outsourced to a qualified contract research organization (CRO).

## 8.7 DATA ANALYSIS

### 8.7.1 Analysis of demographic data

Demographic data and the health status of subjects for the safety evaluation will be analysed descriptively. For continuous data, mean, standard deviation, minimum value, and maximum value will be described, while for categorical data, frequency will be shown.

Parameters corresponding to demographic data are as mentioned below.

- ① Basic information and disease information
  - Age, gender, pregnancy, body weight, smoking status, diabetes mellitus complications, other medical history, disease period, Family history of T2DM, elderly (Age  $\geq$  65 years), renal impairment and hepatic impairment, allergy, long term use (over 24 weeks)
- ② Medication information
  - Concomitant medication, study drug administration status (total period of drug use, average of daily dose), reason for early interruption, any anti-diabetic agents

To assess the extent of preferential prescribing and the potential for channeling bias, data from the TRAJENTA DUO<sup>®</sup> (linagliptin/metformin) rPMS will be used as a comparator. The baseline characteristics of patients starting JARDIANCE DUO<sup>®</sup> for the first time will be compared to the baseline characteristics of patients who started TRAJENTA DUO<sup>®</sup> for the first time. Proportions and means (SD) of the baseline characteristics will be compared using absolute standardized differences (ASD), where an ASD of at least 10% will be considered a meaningful difference. p-values will also be calculated.

### 8.7.2 Safety analysis

- ① Among the subjects of safety evaluation, the number of subjects with adverse event incurred and the number of adverse events incurred should be calculated, and the incidence rate of adverse events and the 95% confidence interval should be presented.
- ② The number and percentage of adverse events by type and category should be presented.

- ③ Analysis should be made using Chi-square test or Fisher's Exact test on the adverse event onset status by demographic data of subjects of safety evaluation.
- ④ To estimate any factors that are thought to influence the analyzed incidence rate of adverse events, logistic regression analysis should be conducted, and for statistically significant parameters, the meaning should be described.

#### 8.7.2.1 Adverse Events by preferred Terms (AEs/ADRs/SAEs)

All AEs recorded in the CRF will be classified by body organs and terms under the classification standard of MedDRA terms, and all AEs excluding the AEs whose 'Causality' is "Unlikely" will be treated as AEs whose causality cannot be excluded (hereafter "Adverse Drug Reaction (ADR)"). The study database will not be locked until coding is complete.

- ① The number of AE according to Severity (Mild, Moderate, Severe), Outcome of the event (Recovered, Not yet recovered, Sequelae, Fatal, Unknown), Action taken with trial drug due to AE (Continue, Reduced, Discontinued, Increased, Discontinued and reintroduced, Not applicable), Causality (Certain/ Probable·Likely/ Probable/ Possible/ Unlikely/ Conditional· Unclassified/ Unaccessible·Unclassifiable), Therapy for the event (Yes, No) will be calculated.
- ② All AEs will be classified into the preferred terms according to Severity (Mild, Moderate, Severe), Outcome of the event, (Recovered, Not yet recovered, Sequelae, Fatal, Unknown), Action taken with trial drug due to AE (Continue, Reduced, Discontinued, Increased, Discontinued and reintroduced, Not applicable), Causality (Certain, Probable/Likely, Possible, Unlikely), Therapy for the event (Yes, No). Also, the number of each AE will be calculated.
- ③ The number of patients and the number of Serious AE/Serious ADR (SADR), unexpected AE/ADR, unexpected Serious AE/unexpected Serious ADR, AE/ADR will be calculated according to the preferred terms. Also, the incidence proportion and its 95% confidence interval will be estimated.
- ④ For subjects excluded from safety analysis set <sup>‡</sup>, the number of patients and the number of Serious AE/Serious ADR (SADR), unexpected AE/unexpected ADR, unexpected Serious AE/unexpected Serious ADR, AE/ADR will be calculated according to the preferred terms. Also, the incidence proportion and its 95% confidence interval will be estimated.

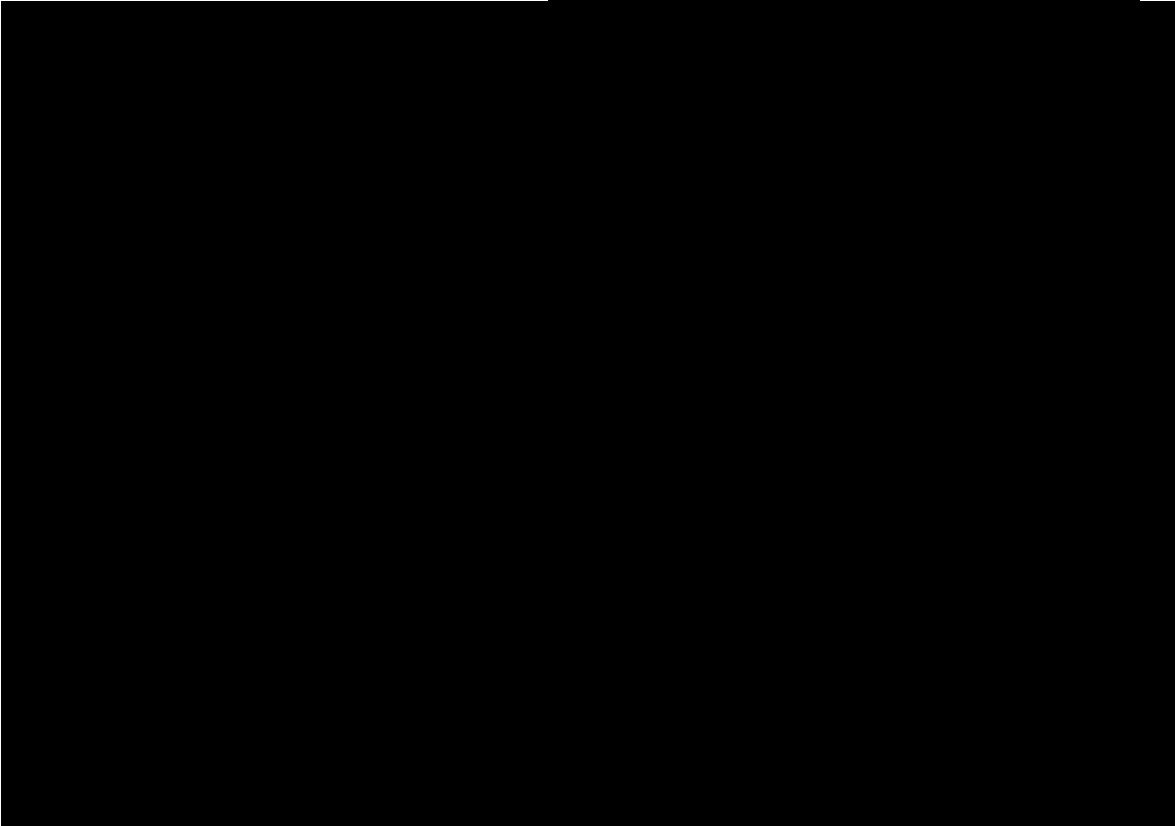
<sup>‡</sup>Patients excluded from safety analysis sets: 'Patients who have not taken JARDIANCE DUO<sup>®</sup>', and 'Follow-up failure' of patients excluded from safety analysis sets will be excluded (This term reflects the Ministry of Food & Drug Safety (MFDS) guideline).

Safety analyses will be based on all patients treated, i.e. all patients who received at least one dose of JARDIANCE DUO<sup>®</sup> except those who are found to have no observation after enrolment, invalid registration, or invalid contract with the site. However, if data for patients who have been treated with JARDIANCE DUO<sup>®</sup> beyond the scope of approved label are

collected, separate safety analyses will be performed. Patients lost to follow up will be characterized compared to the remaining patients and reason and time point of loss to follow up will be evaluated.

#### **8.7.3 Effectiveness analysis**

- ① Mean, standard deviation, minimum value, maximum value, and median of changes in glycosylated hemoglobin(HbA1c)



#### **8.7.4 Interim analyses**

In accordance with local regulation for rNIS, interim analyses are planned biannually for the initial two years and annually thereafter.

#### **8.7.5 Handling of missing data**

Maximum attempt will be made to ensure the completeness of data collection. All available data will be used in the data analysis.

### **8.8 QUALITY CONTROL**

All entries in the eCRF and the existing codings will be stored in a database. The structure of the database is based on the division into sections and entry fields defined in the eCRF. To improve and ensure data quality, data checks will be performed automatically in the eCRF directly on electronic entry at the study site.

Plausible value ranges for numerical data entries and logical data and list entries will be filed in the eCRF. The tests for consistency and completeness based on this will be performed during entry in the eCRF. The validity of the recorded data will therefore be ensured by the validations incorporated in the documentation system, which highlight incorrect or implausible entries to the data entry clerk/doctor.

If corrections are necessary after the data are saved, these will be documented in an audit trail.

An additional inspection/quality assurance check of this NIS can be performed in case of any deviation.

## **8.9 LIMITATIONS OF THE RESEARCH METHODS**

### **8.9.1 Loss to follow-up**

All efforts will be made to minimize loss to follow up, particularly in the tracking of lost patients. To the extent possible, occurrence of adverse event, at minimum, for patients lost to follow up will be obtained via patient visit/telephone/letter/email etc. This allows assessing the impact of informative censoring due to treatment discontinuation. Also, patients lost to follow up will be characterized compared to the remaining patients and reason and time point of loss to follow up will be evaluated.

### **8.9.2 Channeling bias**

Channeling bias can occur due to preferential prescribing in relation to different risks for the events of interest: e.g., if empagliflozin/metformin would be more often prescribed to higher risk patients compared to other treatments, higher incidences of outcome events were then expected in the empagliflozin/metformin group. To assess the extent of preferential prescribing of JARDIANCE DUO® and the potential for channeling bias, baseline data from the TRAJENTA DUO® (linagliptin/metformin) rPMS will be used to provide context for the JARDIANCE DUO® rPMS data.

### **8.9.3 Confounding**

As in any observational study, confounding may affect the estimation of associated between drug exposure and outcome of interest and statistical techniques. However, as only major confounders for selected research questions can be captured, residual (unmeasured) confounding may remain.

## **8.10 DATA PROTECTION, STUDY RECORDS**

The International Conference on Harmonization/Harmonized Tripartite Guideline for Good Clinical Practice (ICH/GCP) does not often apply to NIS as most elements are relevant for controlled clinical trials. However, in this NIS, all attempts will be made to adhere, as close as possible, to the standards of ICH/GCP.

The protocol of this regulatory requisite NIS will be submitted to the Ministry of Food And Drug Safety (MFDS) for notification. It is not a local requirement in Korea to obtain Institutional Review Board (IRB) approval for the conduct of regulatory requisite NIS. However, the protocol of this NIS will be submitted to IRBs whenever required or requested

by these institutions. This study will be conducted in accordance with the Standards for Re-examination of New Medicines notified by MFDS, Korean Pharmaceutical Affairs Code (KPAC), Enforcement Regulation of KPAC and other applicable local laws and industry code (including but not limited to the Regulations on Fair Competition in the Trade of Medicines of KPMA and KRPIA).

██████████ will submit interim reports during the re-examination period, and the final report to MFDS upon study completion. The interim report for the final year will be substituted with the final report. When required, the interim reports and the final report will be submitted to the IRBs as well.

### 8.10.1 Data quality assurance

A quality assurance audit/inspection of this study may be conducted by the sponsor or sponsor's designees or by IRBs/IECs or by regulatory authorities. The quality assurance auditor will have access to all medical records, the investigator's study-related files and correspondence, and the informed consent documentation of this non-interventional study.

### 8.10.2 Study records

All of the clinical data will be captured via a web-based EDC (Electronic Data Capture) System. The site staff will enter and edit the data via a secure network, with secure access features (username, password and secure identification – an electronic password system). A complete electronic audit trail will be maintained. The treating physician will approve the data using an electronic signature.

Patients will not be identified on the eCRF by name. Appropriate code identification (i.e., patient number) will be used. The treating physician will make a separate confidential record of these details (patient identification code list) to permit identification of all patients enrolled in this study in case follow-up is required. Likewise, any supporting documentation will be redacted of any patient identifying information, and the patient ID number clearly written on the documents.

#### 8.10.2.1 Source documents

Source documents provide evidence for the existence of the patient and substantiate the integrity of the data collected. Source documents are filed at the Investigator's site.

Data reported on the eCRFs must be consistent with the source data or the discrepancies must be explained. The Investigator may need to request previous medical records or transfer records, depending on the study; current medical records must also be available.

For the eCRF, the following data need to be derived from source documents:

- Patient participation in the study (study number, patient number, date patient was informed)
- Patient identification (gender, age)
- Physical examination (body weight, blood pressure(SBP, DBP))
- Dates of Patient's visits, including dispensing of study medication
- Medical history (including study indication and concomitant diseases, if applicable)

- Medication history
- Adverse events and outcome events (onset date (mandatory), and end date (if available))
- Serious adverse events (onset date (mandatory), and end date (if available))
- Concomitant therapy (start date, changes)
- Laboratory results (if available)
- Completion of Patient's Participation in the study

#### 8.10.2.2 Direct access to source data and documents

The Investigator / institution will permit study-related monitoring, audits, IRB review and regulatory inspection, providing direct access to all related source data / documents. eCRF and all source documents must be available at all times for review by the Sponsor's Medical Project Manager(MPM), auditor and inspection by health authorities (e.g. MFDS). The MPM and auditor may review all eCRF, and written informed consents. The accuracy of the data will be verified by reviewing the documents described in section [8.10.2.1](#).

#### 8.10.2.3 Storage period of records

The NIS physician and the site are jointly responsible for maintaining essential study documents for 3 years after completion of the study (defined as termination date of re-examination period) by the Pharmaceutical Affairs Law and shall take measures to prevent accidental or premature destruction of these documents.

## 9. PROTECTION OF HUMAN SUBJECTS

The study will be carried out in compliance with the protocol, the principles laid down in the Declaration of Helsinki, in accordance with the applicable sections of GCP, relevant BI Standard Operating Procedures and local regulations. Standard medical care (prophylactic, diagnostic and therapeutic procedures) remains in the responsibility of the treating physician of the patient.

The investigator should inform the sponsor immediately of any urgent safety measures taken to protect the study subjects against any immediate hazard, and also of any serious breaches of the protocol/International Conference on Harmonization (ICH) GCP / GPP if applicable. The rights of the investigator and of the sponsor with regard to publication of the results of this study are described in the investigator contract.

### 9.1 STUDY APPROVAL, PATIENT INFORMATION, AND INFORMED CONSENT

Prior to patient participation in the study, written informed consent must be obtained from each patient (or the patient's legally accepted representative) according to the regulatory and legal requirements of the participating country. Each signature must be personally dated by each signatory and the informed consent retained by the investigator as part of the study records. A signed copy of the informed consent and any additional patient information must be given to each patient or the patient's legally accepted representative.

The patient must be informed that his/her personal study-related data will be used by BI in accordance with the local data protection law. The level of disclosure must also be explained to the patient.

The patient must be informed that his / her medical records may be examined by authorised monitors (MPMs) or Clinical Quality Assurance auditors appointed by BI, by appropriate IRB / IEC members, and by inspectors from regulatory authorities.

### 9.2 STATEMENT OF CONFIDENTIALITY

Individual patient medical information obtained as a result of this study will be considered confidential and disclosure to third parties will be prohibited with the exceptions noted below. Patient confidentiality will be ensured by using patient identification code numbers. Treatment data will be made available to the patient's personal physician or to other appropriate medical personnel responsible for the patient's welfare. Data generated from the study will be made available for inspection on request by the participating physicians, the sponsor and/or its representatives and/or designees, by the IRBs/IECs and the regulatory authorities.

## 10. MANAGEMENT AND REPORTING OF ADVERSE EVENTS/ADVERSE REACTIONS

### 10.1 DEFINITIONS OF ADVERSE EVENTS

#### Adverse event

An adverse event (AE) is defined as any untoward medical occurrence in a patient or clinical investigation subject administered a medicinal product and which does not necessarily have a causal relationship with this treatment. An AE can therefore be any unfavorable and unintended sign (e.g. an abnormal laboratory finding), symptom, or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product.

#### Adverse reaction

An adverse reaction is defined as a response to a medicinal product which is noxious and unintended. Response in this context means that a causal relationship between a medicinal product and an adverse event is at least a reasonable possibility. Adverse reactions may arise from use of the product within or outside the terms of the marketing authorisation or from occupational exposure. Conditions of use outside the marketing authorization include off-label use, overdose, misuse, abuse and medication errors.

#### Serious adverse event

A serious adverse event (SAE) is defined as any AE which:

- results in death,
- is life-threatening,
- requires inpatient hospitalization or
- prolongation of existing hospitalization,
- results in persistent or significant disability or incapacity,
- is a congenital anomaly/birth defect,

Life-threatening in this context refers to a reaction in which the patient was at risk of death at the time of the reaction; it does not refer to a reaction that hypothetically might have caused death if more severe.

Medical and scientific judgement should be exercised in deciding whether other situations should be considered serious reactions, such as important medical events that might not be immediately life threatening or result in death or hospitalization but might jeopardise the patient or might require intervention to prevent one of the other outcomes listed above.

Examples of such events are intensive treatment in an emergency room or at home for allergic bronchospasm, blood dyscrasias or convulsions that do not result in hospitalization or development of dependency or abuse. Any suspected transmission via a medicinal product of an infectious agent is also considered a serious adverse reaction.

#### Non Serious Adverse Drug Reaction

Non Serious Adverse Drug Reaction (NSADR) is defined as any adverse reaction which does not meet the SAE criteria.

**ASAE (Always Serious Adverse Events)**

BI has defined a list of adverse events that are considered as “always serious” by fulfilling the criterion “medically important event” by definition and are therefore judged as serious. If a non-serious AE meets this definition, a query will be raised. The investigator must verify the description and seriousness of the event. If the event description is correct, the item “serious” needs to be ticked and an SAE has to be reported in expedited fashion. The list of these adverse events can be found via the eCRF system.

**AESI (Adverse Event of Special interest)**

The term Adverse Event of Special Interest (AESI) relates to any specific AE that has been identified at the project level as being of particular concern for prospective safety monitoring and safety assessment within this study, e.g. the potential for AEs based on knowledge from other compounds in the same class. The adverse events below reported from patients who were administered this drug in a placebo-controlled study in accordance with the approved labeling fall under any adverse events of special interest. The following are considered as AESIs:

- Vaginal moniliasis, vulvovaginitis, balanitis and other genital infection
- Increased urination
- Urinary tract infection (UTI)
- Volume depletion
- Diabetic Ketoacidosis (DKA)
- Decreased renal function:
  - Creatinine value shows a >2-fold increase from baseline and is above the ULN
- Hepatic injury defined by the following alterations of liver parameters:
  - An elevation of AST and/or ALT >3-fold ULN combined with an elevation of total bilirubin >2-fold ULN measured in the same blood draw sample.
  - An isolated elevation of AST and/or ALT >5-fold ULN (without an elevation of total bilirubin >2-fold ULN)
- Lower limb amputation
  - Amputation (i.e. resection of a limb through a bone)
  - Disarticulation (i.e. resection of a limb through a joint)
  - Auto-amputations (i.e. spontaneous separation of non-viable portion of the lower limb)

Not included in this definition are debridement (removal of callus or dead tissue), procedures on a stump (like stump revision, drainage of an abscess, wound revision etc.) and other procedures (e.g., nail resection or removal) without a concomitant resection of a limb (amputation or disarticulation).

Besides, Diabetic Ketoacidosis, which may be observed in patients treated with SGLT-2 inhibitors, also corresponds to an adverse event of special interest in this investigation, and these adverse events of special interest should be closely monitored and reported during the investigation period.

## 10.2 ADVERSE EVENT AND SERIOUS ADVERSE EVENT COLLECTION AND REPORTING

The investigator shall maintain and keep detailed records of all AEs in their patient files.

### Collection of AEs

The study design is of non-interventional nature and the study is conducted within the conditions of the approved marketing authorization. Sufficient data from controlled interventional studies are available to support the evidence on the safety and effectiveness of the studied JARDIANCE DUO®. For this reason the following AE collection and reporting requirements have been defined.

All adverse events occurred from the signing date on ICF to 30 days after last administration date of medication need to be collected, documented and reported to the sponsor using the AE reporting form of eCRF ([CRF13.1](#)).

All SAEs, ASAEs and AESIs must be reported within 24 hours of occurrence and via telephone/fax to the Local PV Manager (LPVM) of [REDACTED] using the NIS AE form ([13.2](#)). All non-serious Adverse Reactions associated with JARDIANCE DUO® (empagliflozin/metformin) and pregnancy monitoring forms must be reported within 7 calendar days of occurrence and via telephone/fax to the LPVM of [REDACTED]. If any new or further information to these events is available, a follow-up NIS AE report has to be sent to BI. All SAEs and non-serious AEs must include a causal relationship assessment from the physician.

All ADRs (serious and non-serious), including those persisting after study completion must be followed up until they are resolved, have been sufficiently characterized, or no further information can be obtained.

### Contact details:

[REDACTED]

The investigator carefully assesses whether an AE constitutes an Adverse Reaction using the information below.

### Causal relationship of AEs

The definition of an adverse reaction implies at least a reasonable possibility of a causal relationship between a suspected medicinal product and an AE. An adverse reaction, in

contrast to an AE, is characterised by the fact that a causal relationship between a medicinal product and an occurrence is suspected.

Medical judgment should be used to determine the relationship, considering all relevant factors, including pattern of reaction, temporal relationship, de-challenge or re-challenge, confounding factors such as concomitant medication, concomitant diseases and relevant history.

Arguments that may suggest a **reasonable causal relationship** could be:

- The event is consistent with the known pharmacology of the drug
- The event is known to be caused by or **attributed to the drug class**.
- **A plausible time to onset of the event** relative to the time of drug exposure.
- Evidence that the **event is reproducible** when the drug is re-introduced
- **No medically sound alternative etiologies** that could explain the event (e.g. preexisting or concomitant diseases, or co-medications).
- The event is typically **drug-related and infrequent in the general population** not exposed to drugs (e.g. Stevens-Johnson syndrome).
- An indication of dose-response (i.e. greater effect size if the dose is increased, smaller effect size if dose is diminished).

Arguments that may suggest that there is **no reasonable possibility of a causal relationship** could be:

- No plausible time to onset of the event relative to the time of drug exposure is evident (e.g. pre-treatment cases, diagnosis of cancer or chronic disease within days/weeks of drug administration; an allergic reaction weeks after discontinuation of the drug concerned)
- Continuation of the event despite the withdrawal of the medication, taking into account the pharmacological properties of the compound (e.g. after 5 half-lives). Of note, this criterion may not be applicable to events whose time course is prolonged despite removing the original trigger.
- Additional arguments amongst those stated before, like alternative explanation (e.g. situations where other drugs or underlying diseases appear to provide a more likely explanation for the observed event than the drug concerned).
- Disappearance of the event even though the study drug treatment continues or remains unchanged.

The causal relationship must be provided by the Investigator for all potential study drugs, i.e. the BI study drug and for all other study drugs.

The reason for the decision on causal relationship needs to be provided in the (e)CRF and on the NIS AE form (if applicable).

## Related

- a. Certain : An event occurring in a plausible time relationship to drug administration and that cannot be explained by concurrent disease or other drugs or chemicals. The response to withdrawal of the drug (dechallenge) should be clinically plausible. The event must be definitive pharmacologically or phenomenologically, using a satisfactory rechallenge procedure if necessary,

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- b. Probable/Likely : An event with a reasonable time sequence to administration of the drug, unlikely to be attributed to concurrent disease or other drugs or chemicals, and which follows a clinically reasonable response on withdrawal (dechallenge). Rechallenge information is not required to fulfill this definition.
- c. Possible: An event with a reasonable time sequence to administration of the drug, but which could also be explained by concurrent disease or other drugs or chemicals. Information on drug withdrawal may be lacking or unclear.
- d. Conditional/Unclassified: Case of requiring more data or reviewing the additional data for the appropriate assessment
- e. Unassessable/Unclassifiable: Case that it cannot be judged and complemented or confirmed due to the insufficient or contradictory information

### **Unrelated**

- a. Unlikely : An event with a temporal relationship to drug administration which makes a causal relationship improbable, and in which other drugs, chemicals or underlying disease provide plausible explanations.

### **Intensity of AE**

The intensity of the AE should be judged based on the following:

Mild: Awareness of sign(s) or symptom(s) which is/are easily tolerated

Moderate: Enough discomfort to cause interference with usual activity

Severe: Incapacitating or causing inability to work or to perform usual activities

### **Pregnancy**

In rare cases, pregnancy might occur in a study. Once a subject has been enrolled into the study, after having taken study medication, JARDIANCE DUO® the investigator must report any drug exposure during pregnancy which occurred in a female subject or in a partner to a male subject to the Sponsor's LPVM by means of Part A of the Pregnancy Monitoring Form. The outcome of the pregnancy associated with the drug exposure during pregnancy must be followed up and reported by means of Part B of the Pregnancy Monitoring Form.

In the absence of a reportable AE/AESI, only the Pregnancy Monitoring Form must be completed, otherwise the NIS AE form is to be completed and forwarded as well within the respective timelines.

The ISF will contain the Pregnancy Monitoring Form (Part A and B).

### **Expedited Reporting of AEs and Drug Exposure During Pregnancy**

The following must be reported by the investigator on the NIS AE form from signing the informed consent onwards until the end of the study:

Type of Report	Timeline
All Serious Adverse Events (SAEs), Always Serious Adverse Events (ASAEs) and Adverse	immediately within 24 hours

<b>Event of Special interest</b>	
* <a href="#">13.2</a> SAE/ Non-Serious Adverse Reaction Report	
All AEs with fatal outcome * <a href="#">13.2</a> SAE/ Non-Serious Adverse Reaction Report	immediately within 24 hours
All non-serious Adverse Reactions associated with JARDIANCE DUO® (empagliflozin/metformin) * <a href="#">13.2</a> SAE/ Non-Serious Adverse Reaction Report	7 calendar days
All pregnancy monitoring forms * <a href="#">13.3</a> Pregnancy Monitoring Form A, B	7 calendar days

The same timelines apply if follow-up information becomes available for the respective events. In specific occasions the Investigator could inform the Sponsor upfront via telephone. This does not replace the requirement to complete and fax the NIS AE form.

All other Adverse Events must be reported using eCRF within 2 weeks to the Sponsor.

### **Information required**

For each reportable AE, the investigator should provide the information requested on the appropriate (e)CRF pages and the NIS AE form.

For each AE, the investigator will provide the onset, end, intensity, outcome, seriousness and action taken with JARDIANCE DUO® tablets. The investigator will determine the relationship of JARDIANCE DUO® tablets to all AEs as defined in the 'Adverse Event Reporting' section of the physician binder.

### **Reporting of related AEs associated with any other BI drug**

The investigator is encouraged to report all adverse events related to any BI drug other than JARDIANCE DUO® according to the local regulatory requirements for spontaneous AE reporting at the investigator's discretion by using the locally established routes and AE report forms. The term AE includes drug exposure during pregnancy, and, regardless of whether an AE occurred or not, any abuse, off-label use, misuse, medication error, occupational exposure, lack of effect, and unexpected benefit.

## **10.3 REPORTING TO HEALTH AUTHORITIES**

Adverse event reporting to regulatory agencies will be done by the MAH according to local and international regulatory requirements.

## **11. PLANS FOR DISSEMINATING AND COMMUNICATING STUDY RESULTS**

The rights of the investigator and of the sponsor with regard to publication of the results of this study are described in the investigator contract. As a general rule, no study results should be published prior to finalization of the Study Report.

## 12. REFERENCES

### 12.1 PUBLISHED REFERENCES

R14-1408 Guariguata L, Whiting DR, Hambleton I, Beagley J, Linnenkamp U, Shaw JE. Global estimates of diabetes prevalence for 2013 and projections for 2035. *Diabetes Res Clin Pract* 2014;103: 137-149.

R09-6405 UK Prospective Diabetes Study (UKPDS) Group. Effect of intensive blood glucose control with metformin on complications in overweight patients with type 2 diabetes (UKPDS 34). *Lancet* 1998;352:854-865.

R09-6406 Holman RR, Paul SK, Bethel MA, Matthews DR, Neil HAW. 10-Year follow-up of intensive glucose control in type 2 diabetes. *N Engl J Med* 2008 359(15): 1577-1589

R12-2906 International Diabetes Federation (IDF). Diabetes and cardiovascular disease: time to act: diabetes: a major risk factor. website: [cvd.idf.org/Risk\\_Factors/Diabetes\\_\\_A\\_Major\\_Risk\\_Factor/index.html](http://cvd.idf.org/Risk_Factors/Diabetes__A_Major_Risk_Factor/index.html) (access date: 29 June 2012) ; Brussels: International Diabetes Federation (IDF); 2005.

R12-1019 Whiting DR, Guariguata L, Weil C, Shaw J. IDF diabetes atlas: global estimates of the prevalence of diabetes for 2011 and 2030. *Diabetes Res Clin Pract* 2011;94:311-21.

R13-1653 Kim DJ. The epidemiology of diabetes in Korea. *Diabetes Metab J* 2011, 35: 303-308;

### 12.2 UNPUBLISHED REFERENCES

c03606501-02 Empagliflozin/metformin hydrochloride Clinical Overview, 13 January 2016

s00039827-01 Empagliflozin/metformin hydrochloride Periodic Benefit-Risk Evaluation Report, 14 Jun 2016

## **13. APPENDICES**

### **13.1 ELECTRONIC CASE REPORT FORM**

Please refer to “ELECTRONIC CASE REPORT FORM” in site file or in electronic CRF web page for the latest version.

## 13.2 SAE/ NON-SERIOUS ADVERSE REACTION REPORT


**Non-Interventional  
Study (NIS) Adverse  
Event Form**

BI Study No: 1276.39

Country:

Site No:

Subject No:

No. of pages, including this page:

To: Boehringer Ingelheim [or CRO] [Address]	From: [site stamp]
[Fax number]	

BY SIGNING THIS FORM, YOU ARE CONFIRMING THAT THE INFORMATION CONTAINED HEREIN IS ACCURATE.

Record all dates in ddmmmyyyy format (e.g. 01Jan2016)

Type of report	Date	Investigator's signature	Remarks
<input type="checkbox"/> Initial	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____
<input type="checkbox"/> Follow-up	_____	_____	_____

## SUBJECT DEMOGRAPHICS

Year of birth: \_\_\_\_\_

Height \_\_\_\_\_ (cm)

Weight \_\_\_\_\_ (kg)

If unknown, record 'UNK'

Sex:  Male   FemalePregnant:  No   Yes weeksIf pregnant, please submit completed Pregnancy Monitoring Form for Studies


**Non-Interventional  
Study (NIS) Adverse  
Event Form**

BI Study No: 1276.39	Country:
Site No:	Subject No:

**EVENT INFORMATION**

Record all dates in ddmmmyyyy format (e.g. 01Jan2016). If ongoing, enter 'CONT.' Record all times in 24-hour (hh:mm) format. If time is unknown, record 'UNK.'

	Event No. [ ]	Event No. [ ]	Event No. [ ]	Event No. [ ]				
<b>Adverse Event term</b> (if available, enter the diagnosis)								
Onset date								
Onset time								
End date								
End time								
<b>Was the event serious?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If serious, please mark reason for seriousness</i>	<input type="checkbox"/> Results in death	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/> Immediately life-threatening	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/> Persistent or significant disability/incapacity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/> Requires/prolongs hospitalisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/> Congenital anomaly/birth defect	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/> Other comparable medical criteria (specify in Description of Event section)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Was the event a protocol-specified Adverse Event of Special Interest (AESI)?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>Is there a reasonable causal relationship between the Adverse Event and: (provide description of rationale, other possible causes on page 3)</b>								
BI studied medication or BI product given for the disease in scope of NIS	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>Concomitant medications: Please refer to concomitant medication section to document causal relationship.</b>								
<b>Outcome of event (check only one)</b>								
Recovered (report AE end date above)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Not yet recovered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Recovered with sequelae (report AE end date above)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Unknown	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Fatal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
If fatal, was this event the primary cause of death?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If subject died, record date of death:								
Was an autopsy performed?	<input type="checkbox"/> Yes		<input type="checkbox"/> No		<input type="checkbox"/> Unknown			
<b>Was therapy for the event administered?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, specify therapy in Description of Event section.								
<b>Was a dechallenge performed?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> NA	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> NA	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, did the event disappear or significantly decrease in intensity after the BI product was stopped?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		<input type="checkbox"/> Yes	<input type="checkbox"/> No		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>Was a rechallenge performed?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> NA	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> NA	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, did the event reappear after reintroduction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		<input type="checkbox"/> Yes	<input type="checkbox"/> No		<input type="checkbox"/> Yes	<input type="checkbox"/> No



**Non-Interventional  
Study (NIS) Adverse  
Event Form**

BI Study No: 1276.39	Country:
Site No:	Subject No:

**RATIONALE FOR CAUSALITY ASSESSMENT**

*Please document the event(s) and provide your rationale for the causal assessment to BI product and include a rationale for any other causal relationships which are considered relevant. Rationale may include temporal relationships, confounding factors (i.e. disease/medication), positive dechallenge/rechallenge, interactions with other medications and/or pattern of reaction.*

**DESCRIPTION OF THE EVENT(S)**

*Please highlight any additional information (not otherwise provided on this form) which may contribute to the assessment of the case including but not limited to: relevant diagnostic/lab test results (with reference ranges) and therapeutic measures given for event.*



**Non-Interventional  
Study (NIS) Adverse  
Event Form**

BI Study No: 1276.39

Country:

Site No:

Subject No:

## RELEVANT BASELINE CONDITIONS INCLUDING PAST MEDICAL HISTORY

Record all dates in ddmmmyyyy format (e.g. 01Jan 2015). If ongoing, enter 'CONT.'

<input type="checkbox"/> None	If concomitant, provide onset date	Past - Please check box only if ended prior to (S)AE onset
<input type="checkbox"/> Yes (specify below)		
1.		<input type="checkbox"/>
2.		<input type="checkbox"/>
3.		<input type="checkbox"/>
4.		<input type="checkbox"/>
5.		<input type="checkbox"/>
6.		<input type="checkbox"/>

## BOEHRINGER-INGELHEIM PRODUCT

## Indication

Name of BI studied medication or BI product given for the disease in scope of NIS :		
Formulation		
Total daily dose at onset of event (dose, unit)		
Route		
Start date		
Start time		
Date of last administration prior to event		
End date		
End time		
Was the administration correct?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<i>If the administration was not correct, check all applicable boxes: overdose, abuse, misuse, medication error, other (i.e. occupational exposure, lack of effect, unexpected benefit)</i>		
<input type="checkbox"/> Misuse / Abuse <input type="checkbox"/> Medication error <input type="checkbox"/> Overdose <input type="checkbox"/> Other:		
Action taken with BI studied medication or BI product administered for the disease in scope of NIS as a result of the event (check one)	Dose not changed	<input type="checkbox"/>
	Dose reduced	<input type="checkbox"/>
	Dose increased	<input type="checkbox"/>
	Drug withdrawn	<input type="checkbox"/>



**Non-Interventional  
Study (NIS) Adverse  
Event Form**

BI Study No: 1276.39	Country:
Site No:	Subject No:

## RELEVANT PAST AND CONCOMITANT MEDICATIONS

Please preferably provide trade name. Do not include medications used solely to treat the adverse event(s).

<input type="checkbox"/> None <input type="checkbox"/> Yes (specify below)	Indication	Past	Start/end dates <i>ddmmmyyyy</i> or cont.	Total daily dose at onset of event (dose/ unit)	Route	Is there a reasonable causal relationship between the event and the past or concomitant therapy? If Yes, record event number from page 2
1.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
2.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
3.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
4.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
5.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
6.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
7.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			
8.		<input type="checkbox"/>	Start:			<input type="checkbox"/> No <input type="checkbox"/> Yes
			End:			

**13.3 PREGNANCY MONITORING FORM**

Please refer to “PREGNANCY MONITORING FORM” in site file or in electronic CRF web page for the latest version.

**13.4 JARDIANCE DUO® PRESCRIPTION INFORMATION FOR KOREA**

Please refer to “JARDIANCE DUO® Prescription Information for Korea” in site file or in electronic CRF web page for the latest version.

## 14. AMENDMENTS AND UPDATES

Number	Date	Section of study protocol	Amendment or update	Reason
1	25 Apr 2018	8.2.3.2 Visit 1; Baseline Visit 8.2.3.3 Visit 2 8.2.3.4 Visit 3 10.1 Definitions of adverse events 13.Appendices	8.2.3.2 Change of data collection period 8.2.3.3, 8.2.3.4 Addition of Renal function test on Follow up visit 10.1 Addition of 'Always Serious Adverse Events' 10.1 Addition of 'AESI' case (Lower limb amputation)	- Period adjustment for completeness of data collection - Follow up for renal function - Addition of AE collection and method classification method according to BI PV process - Attached appendix separately
2	31 Jan 2019	8.2.2 Study population	8.2.2.1 Add-on therapy to 'linagliptin'	- Updates indication according to approved local label



## APPROVAL / SIGNATURE PAGE

**Document Number:** c10880816

**Technical Version Number:** 3.0

**Document Name:** clinical-trial-protocol

**Title:** A regulatory requirement non interventional study to monitor the safety and effectiveness of JARDIANCE DUO (empagliflozin/metformin, 5/500mg, 5/850mg, 5/1000mg, 12.5/500mg, 12.5/850mg, 12.5/1000mg) in Korean patients with type 2 diabetes mellitus

### Signatures (obtained electronically)

Meaning of Signature	Signed by	Date Signed
Author-Clinical Trial Manager	[REDACTED]	15 Mar 2019 07:38 CET
Approval-Pharmacovigilance	[REDACTED]	15 Mar 2019 08:16 CET
Approval-EU Qualified Person Pharmacovigilance	[REDACTED]	15 Mar 2019 09:56 CET
Approval-Medical	[REDACTED]	15 Mar 2019 10:36 CET
Approval-[REDACTED] Safety Evaluation Therapeutic Area	[REDACTED]	15 Mar 2019 11:05 CET
Approval-Team Member Medicine	[REDACTED]	18 Mar 2019 11:05 CET

(Continued) Signatures (obtained electronically)

<b>Meaning of Signature</b>	<b>Signed by</b>	<b>Date Signed</b>