

Official title: Impact of Liraglutide 3.0 on Body Fat Distribution, Visceral Adiposity, and Cardiometabolic Risk Markers In Overweight and Obese Adults at High Risk for Cardiovascular Disease

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1 **Impact of Liraglutide 3.0 on Body Fat Distribution, Visceral Adiposity, and**
 2 **Cardiometabolic Risk Markers In Overweight and Obese Adults at High Risk for**
 3 **Cardiovascular Disease**

4 A Phase 4 randomized, double blinded, placebo controlled 46-week clinical trial
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8 **INVESTIGATOR-INITIATED TRIAL PROPOSAL**
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10 **UNIVERSAL TRIAL NUMBER (UTN): U1111-1171-4812**
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12 **CLINICALTRIALS.GOV REGISTRATION: PENDING**
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81 **Abbreviations:**

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83 ASCVD = atherosclerotic cardiovascular disease

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85 BMI = body mass index

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87 H^1 MRS = proton magnetic resonance spectroscopy

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89 SAT = subcutaneous adipose tissue

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91 VAT = visceral adipose tissue

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130 **CLINICAL TRIAL EXECUTIVE SUMMARY:**

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132 **Primary Objective:**

133 To investigate the efficacy of liraglutide compared to placebo in reducing visceral adiposity
134 measured by MRI in overweight or obese subjects at high risk for cardiovascular disease after
135 40 weeks on-treatment.

136

137 **Secondary Objectives:**

138 To compare liraglutide and placebo regarding the effect on:

- 139 • Changes in abdominal subcutaneous adipose tissue by MRI
- 140 • Changes in total fat mass by MRI
- 141 • Changes in fat-free mass by MRI
- 142 • Changes in lower body adipose tissue mass by MRI
- 143 • Changes in hepatic fat content by MRI
- 144 • Changes in circulating blood biomarkers of cardiometabolic risk including markers of insulin
145 resistance, inflammation, lipids, and natriuretic peptides.

146

147 **Trial Design:**

148 This is a randomized, double-blind, parallel-group, placebo controlled, prospective clinical trial to
 149 be conducted at a single center over 46 weeks (40 weeks on-treatment). There are two
 150 treatment arms. Patients will be randomized to liraglutide 3.0 administered once a day by
 151 subcutaneous injection or matching placebo, in addition to a reduced-calorie diet and increased
 152 physical activity. All participants will be prescribed a 500 kcal per day deficit diet, based on
 153 estimated 24-h energy expenditure.¹ All participants will be instructed to maintain physical
 154 activity at the recommended level of 150 minutes of moderate activity per week.

155

156 Trial Population:

157 Planned number of subjects to be screened: 356 (2:1 screen to randomization ratio)

158 Planned number of subjects to be treated in run-in period: 214

159 Planned number of subjects to be randomized/started on trial medication(s):178

160 Anticipated number of subjects to be studied: At least 128 completers

161 Number of trial sites: Single center

162

163 Sample Size:

164 This trial is powered to detect a clinically meaningful difference in the percent relative reduction
 165 in VAT. The estimates used in the sample size calculations below are derived from prior data
 166 from the SCALE program. Assuming a mean 8% relative reduction of VAT among placebo
 167 treated subjects and an expected 16% relative reduction of VAT among liraglutide treated
 168 subjects (with a standard deviation of 16%, based on data from [²]), we expect to require 128
 169 total subjects (in a 1:1 trial drug:placebo randomization scheme) to achieve 80% power to
 170 detect an 8% difference between groups at an alpha level of 0.05. Assuming an estimated 28%
 171 of subjects may withdraw trial medication during the trial, we expect a planned total of 178
 172 patients will be randomized in order to achieve at least 128 completers of the full trial protocol.

173

174 Inclusion Criteria:

- 175 1. Age \geq 35 years
- 176 2. Able to provide informed consent
- 177 3. BMI \geq 30 kg/m² or \geq 27 kg/m² with metabolic syndrome
- 178 4. Metabolic syndrome as defined by at least three of the following:³
 - 179 1) waist circumference $>$ 102 cm (40 in) in men and 88 cm (35 in) in women
 - 180 2) triglycerides \geq 150 mg/dL or on treatment for hypertriglyceridemia
 - 181 3) HDL cholesterol $<$ 40 mg/dL in men and $<$ 50 mg/dL in women
 - 182 4) blood pressure \geq 130/85 mmHg or on treatment for hypertension
 - 183 5) fasting glucose \geq 100 mg/dL

184

185 Exclusion Criteria:

- 186 1. Treatment with GLP-1 receptor agonists (including liraglutide, exenatide or others as
 187 become available for use), DPP-4 inhibitors or insulin within the last 3 months.
- 188 2. Receipt of any anti-obesity drug or supplement within 1 month prior to screening for this
 189 trial.
- 190 3. Self-reported or clinically documented history of significant fluctuations ($>5\%$ change) in
 191 weight within 3 months prior to screening for this trial.
- 192 4. History of diabetes mellitus (type 1 or 2) or on treatment with anti-diabetes medication.
- 193 5. History of chronic pancreatitis or idiopathic acute pancreatitis (current or prior history).
- 194 6. History of gallbladder disease (cholelithiasis or cholecystitis).
- 195 7. Chronic kidney disease stage III or greater (eGFR $<$ 60 mL/min).
- 196 8. Obesity induced by other endocrinologic disorders (e.g. Cushing Syndrome).

197 9. Current or history of treatment with medications that may cause significant weight gain,
 198 within 1 month prior to screening for this trial, including systemic corticosteroids (except
 199 for a short course of treatment, i.e., 7- 10 days), tri-cyclic antidepressants, atypical
 200 antipsychotic and mood stabilizers (e.g., imipramine, amitriptyline, mirtazapine,
 201 paroxetine, phenelzine, clorpromazine, thioridazine, clozapine, olanzapine, valproic acid
 202 and its derivatives, and lithium).

203 10. Diet attempts using herbal supplements or over-the-counter medications within 1 month
 204 prior to screening for this trial.

205 11. Current participation in an organized weight reduction program or within the last 1 month
 206 prior to screening for this trial.

207 12. Participation in a clinical trial within the last 3 months prior to screening for this trial.

208 13. Familial or personal history of multiple endocrine neoplasia type 2 or familial medullary
 209 thyroid carcinoma.

210 14. Personal history of non-familial medullary thyroid carcinoma.

211 15. History of Major Depressive Disorder within the last 2 years.

212 16. History of other severe psychiatric disorders, e.g., schizophrenia, bipolar disorder.

213 17. Any lifetime history of a suicide attempt.

214 18. A history of any suicidal behavior in the last month prior to randomization.

215 19. Surgery scheduled for the trial duration period, except for minor surgical procedures, at
 216 the discretion of the Investigator.

217 20. Known or suspected hypersensitivity to trial product(s) or related product(s).

218 21. Known or suspected abuse of alcohol or narcotics.

219 22. Language barrier, mental incapacity, unwillingness or inability to understand.

220 23. Females of childbearing potential who are pregnant, breast-feeding or intend to become
 221 pregnant or are not using adequate contraceptive methods. These include abstinence
 222 and the following methods: diaphragm with spermicide, condom with spermicide (by
 223 male partner), intrauterine device, sponge, spermicide, Norplant®, Depo-Provera® or
 224 oral contraceptives.

Assessment of Efficacy Endpoints:

The following endpoints will be assessed at the end of 40 weeks of treatment:

Primary Endpoint:

- The liraglutide treatment effect on relative percent change from baseline in visceral adipose tissue measured by MRI

Secondary Endpoints: Liraglutide treatment effect on:

- Relative percent change from baseline in body weight
- Absolute change from baseline in body weight
- Absolute change from baseline in visceral adipose tissue mass
- Relative percent change from baseline in abdominal subcutaneous adipose tissue mass
- Absolute change from baseline in abdominal subcutaneous adipose tissue mass
- Change from baseline in VAT/SAT ratio
- Relative percent change from baseline in total fat mass
- Absolute change from baseline in total fat mass
- Relative percent change from baseline in fat-free mass
- Absolute change from baseline in fat-free mass
- Relative percent change from baseline in lower body adipose tissue mass
- Absolute change from baseline in lower body adipose tissue mass

246 • Change from baseline in total fat/fat-free mass ratio
 247 • Relative percent change from baseline in hepatic fat content
 248 • Absolute change from baseline in hepatic fat content
 249 • Relative percent change from baseline in biomarkers of cardiometabolic risk
 - Markers of insulin resistance: fasting blood glucose, insulin, HOMA-IR
 250 - Markers of inflammation: CRP
 251 - Lipids: TG/HDL-C ratio
 252 - Natriuretic peptides: NT-proBNP
 253 • Absolute change from baseline in biomarkers of cardiometabolic risk
 254 • Change from baseline in heart rate and blood pressure
 255 • Change in waist circumference

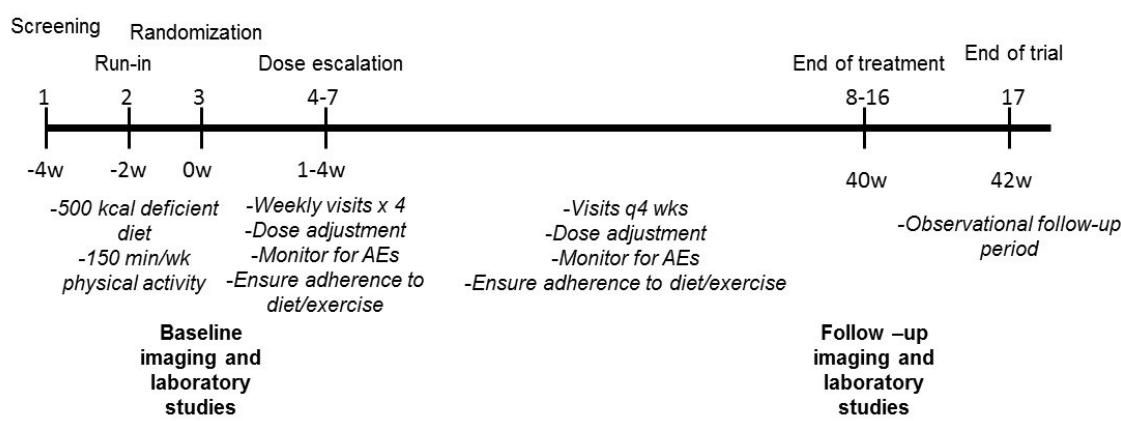
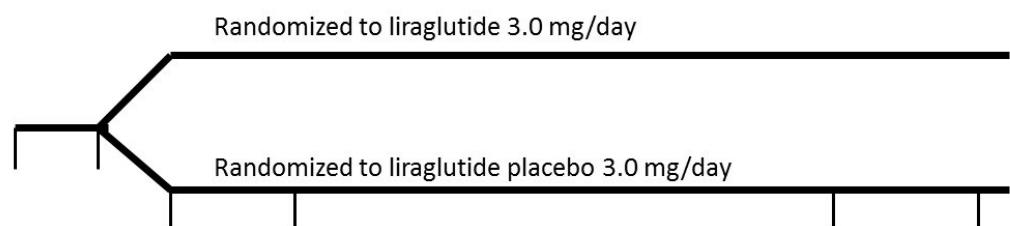
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257 **Trial Products:**

258 Liraglutide will be administered at a concentration of 6.0 mg/mL. Liraglutide and placebo will be
 259 supplied in a 3 mL pen-injector. Subjects will follow a fixed dose escalation and in order to
 260 reduce the level of side effects, liraglutide is gradually escalated up to the maintenance dose.
 261 Subjects will be instructed to escalate the liraglutide (active or placebo) dose to 3.0 mg/day over
 262 a 4 week period following an initial dose of 0.6 mg/day and weekly dose escalation steps of 0.6
 263 mg/day. If subjects do not tolerate an increase in dose during dose-escalation, the Investigator
 264 will have the option to delay the next dose escalation for approximately one week.
 265

266 **Trial Design Diagram**
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273 **Trial Chart**

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278 **BACKGROUND AND SIGNIFICANCE:**

279

280 **Section 1: Obesity and Cardiovascular Risk**

281 Obesity has long been recognized as a risk factor for all-cause mortality⁴ and morbidity,
282 including the development of cardiovascular and metabolic diseases such as coronary artery
283 disease, hypertension, insulin resistance, diabetes, and dyslipidemia.⁵ Obesity has recently
284 been formally defined as a chronic disease characterized by pathophysiological processes that
285 result in increased adipose tissue mass and can result in increased morbidity and mortality.⁶
286 Although the health risks associated with obesity are clear, there is an emerging appreciation
287 that obesity *per se*, as defined by simple anthropometric measures such as waist circumference
288 or body mass index (BMI), is neither necessary nor sufficient to promote cardiometabolic
289 disease and atherosclerotic cardiovascular disease (ASCVD) risk. As a result, BMI alone is an
290 insufficient marker of risk and may not accurately identify individuals at elevated risk for
291 ASCVD.⁷ There is a pressing need to more accurately phenotype obesity to identify individuals
292 at elevated risk for ASCVD that may benefit from more intensive preventive and therapeutic
293 strategies.

294

295 **Section 2: Visceral adipose tissue as a key marker of CV Risk**

296 It appears that risk for ASCVD and metabolic diseases varies substantially by distribution of
297 body fat, as well as by adipocyte size and function. Excess intra-abdominal (i.e. visceral)
298 adipose tissue (VAT) may be a primary driver of the cardiometabolic complications of obesity⁸,
299 and ectopic fat linked to VAT may itself play a key contributory role. An increase in VAT is

Trial periods	Screening	Run-In	Randomization	Dose escalation period/ Maintenance			Maintenance/ End of treatment	Follow-up
Weeks in relation to Visit 2 (randomization)	-4	-2	0	1	2	3	4-40	42
Visit number	1	2	3	4	5	6	7-16	17
Liraglutide	Screening	Run-In	0.6 mg	1.2 mg	1.8 mg	2.4 mg	3.0 mg	No treatment
Placebo			Placebo	Placebo	Placebo	Placebo	Placebo	

300 thought to reflect the relative inability of the subcutaneous adipose tissue depot to sufficiently
301 expand its clearance and storage capacity in response to caloric excess.⁹ Defects in adipocyte
302 maturation and differentiation¹⁰ cause adipocyte dysfunction, resulting in spillover of excess
303 triglycerides and promotion of ectopic fat deposition in the viscera, liver, heart, and skeletal
304 muscle. The ensuing milieu of overactive lipolysis, altered glucose homeostasis, pro-
305 inflammatory adipocytokine release, and endothelial dysfunction appears to be a primary cause
306 of the pathophysiological alterations observed in obesity related cardiometabolic disease.

307

308 Although more simplistic anthropomorphic measures of abdominal obesity, such an increased
309 waist circumference, identify individuals at increased risk for atherosclerosis¹¹ and mortality

310 across different levels of BMI¹², it is an imprecise surrogate for the VAT phenotype. First, the
311 correlation between BMI, waist circumference, and VAT is highly variable among different racial
312 groups, prompting the American Diabetes Association and the International Diabetes Federation
313 to define different cutoffs for abnormal BMI and waist circumference, respectively, in Asian
314 populations.^{13,14} Second, waist circumference measurement includes both VAT and abdominal
315 subcutaneous adipose tissue (SAT) compartments. These two depots are anatomically and
316 physiologically distinct, especially within the obese population, and are differentially associated
317 with markers of cardiometabolic risk.¹⁵ VAT, but not abdominal subcutaneous fat, has been
318 shown to associate with incident T2D and pre-T2D¹⁶, incident hypertension¹⁷, and alterations in
319 left ventricular structure and function¹⁸, and has also been linked to increased risk of developing
320 ASCVD and cancer.¹⁹ Medical treatments that reduce VAT mass may translate into improved
321 major adverse cardiac event (MACE)-free survival.
322

323 Section 3: Liraglutide 3.0

324 *GLP-1: Physiology and current literature*

325 In response to a meal, GLP-1, an incretin hormone secreted from the L-cells in the lower gut,
326 stimulates endogenous insulin secretion in a glucose-dependent manner, decreases blood
327 glucagon levels, reduces gastric emptying and increases satiety. GLP-1 reduces appetite in
328 lean and normal weight individuals, as well as in obese individuals, and has been shown to
329 reduce body weight. The combination of these mechanisms makes GLP-1 receptor stimulation
330 an attractive mechanism to investigate for weight management.
331

332 *Liraglutide*

333 Liraglutide 3.0 mg (Saxenda®, Novo Nordisk, Bagsvaerd, Denmark) is a glucagon-like peptide
334 (GLP-1) receptor agonist indicated as an adjunct to a reduced-calorie diet and increased
335 physical activity for chronic weight management in adult patients with an initial body mass index
336 (BMI) of $\geq 30 \text{ kg/m}^2$ (obese) or in patients with a BMI of $\geq 27 \text{ kg/m}^2$ (overweight) in the presence
337 of at least one weight-related comorbid condition such as hypertension, type 2 diabetes mellitus,
338 or dyslipidemia.
339

340 Compared to human GLP-1, liraglutide has a C16 fatty (palmitic) acid chain attached at position
341 26 (lysine) of the peptide, and has lysine at position 34 replaced by arginine. When
342 administered subcutaneously, these structural modifications result in a compound with
343 protracted kinetic properties suitable for once daily injection. The dose approved for use in the
344 United States for the chronic treatment of obesity is 3.0 mg injection once daily. This dose was
345 approved for use by the FDA based upon the results of a clinical trial which evaluated weight
346 change after 20 weeks using liraglutide 1.2, 1.8, 2.4 and 3.0 mg compared to placebo and open-
347 label orlistat (Trial 1807).¹ Those trials (a dose-ranging phase 2 and four phase 3 trials) included
348 5922 patients and demonstrated a consistent and clinically meaningful reduction in weight.
349 Liraglutide met the 5% mean placebo-corrected difference in weight loss as described in the
350 FDA draft weight management guidance
351 (<http://www.fda.gov/downloads/Drugs/Guidances/ucm071612.pdf>, accessed June 3, 2015). The
352 safety and efficacy of Liraglutide was established in three 56-week, randomized, double blind,
353 placebo-controlled trials. In all studies, liraglutide was titrated to 3.0 mg daily during a 4-week
354 period. All patients received instruction for a reduced calorie diet (approximately 500 kcal/day
355 deficit) and exercise counseling (recommended increase in physical activity of minimum 150
356 mins/week) that began with the first dose of study medication and continued throughout the trial.
357 Study patients were either overweight defined as a BMI of 27 kg/m^2 or greater in the presence

358 of at least one obesity related comorbidity or were obese defined as a BMI of 30 kg/m² or
359 greater.

360
361 These 3 trials included 4788 patients, of these 3122 were treated with liraglutide. Study 1
362 included 3,371 obese or overweight patients; study 2 included 635 patients with type 2 diabetes
363 mellitus with obesity or overweight; and study 3 included 422 obese and overweight patients
364 who also lost at least 5% of body weight with diet and exercise alone. The primary efficacy
365 endpoint in trials 1 and 2 was the mean percent change in body weight and the percentages of
366 patients achieving greater than or equal to 5% of weight loss from baseline through 56 weeks.
367 In study 3 there was an additional efficacy measure which was the percentage of patients not
368 gaining more than 0.5% of body weight from randomization. Patients treated with liraglutide
369 experienced a significant decrease in percent change (from baseline) in total body weight
370 ranging from -4.9 to -7.4% (least square mean). Over 50% of patients lost greater than 5% of
371 their baseline body weight and approximately 30% lost greater than 10% of their body weight.
372 These changes were significantly greater than weight loss seen in the placebo arms of these
373 three trials. In study 3 there were a statistically greater number of patients randomized to
374 placebo that gained at least 0.5% of their body weight at week 56. Liraglutide use was also
375 associated with a reduction in waist circumference, blood pressure and modest reductions in
376 total cholesterol, LDL and increase in HDL. Liraglutide was associated with an increase in heart
377 rate of approximately 2 beats per minute.

378
379 *Tolerability and Adverse Events*

380 Treatment with liraglutide in prior obesity trials was generally well tolerated. Approximately 70%
381 of patients randomized to liraglutide completed the trials on medication. The withdrawal rate
382 was approximately 8.5% in the liraglutide arms and 8.6% in the placebo treated patients.
383 Serious adverse events were relatively uncommon, but were more frequent in liraglutide-treated
384 subjects compared with placebo (4.2 vs. 2.4%). GI side effects seen in the SCALE
385 Maintenance trial were common in patients taking liraglutide 3.0 mg. The frequency of nausea
386 was 47.6%, of diarrhea was 17.9%, of constipation was 26.9% and of vomiting was 16.5%.
387 Other less common AEs included dyspepsia (9.4%), abdominal pain (6.6%), abdominal
388 distension (6.1%), eructation (5.2%), and flatulence (5.2%).²⁰

389
390 There have been rare, spontaneous post-marketing reports of acute pancreatitis in patients
391 without a history of pancreatitis being treated with liraglutide. In the obesity clinical trials, acute
392 pancreatitis was confirmed in 9 of 3291 liraglutide treated patients and 1 of 1843 placebo
393 treated patients. There were 3 additional cases of pancreatitis occurring in liraglutide treated
394 patients ranging 14 to 124 days after study discontinuation. A history of pancreatitis was an
395 exclusion criterion in clinical trials performed to date. If the investigator suspects acute
396 pancreatitis, all suspected drugs should be discontinued until confirmatory tests have been
397 conducted and appropriate treatment initiated.

398
399 Liraglutide causes dose-dependent and treatment-duration-dependent thyroid C-cell tumors at
400 clinically relevant exposures in both genders of rats and mice. It is unknown whether liraglutide
401 causes thyroid C-cell tumors, including medullary thyroid carcinoma (MTC), in humans, as
402 human relevance could not be ruled out by clinical or nonclinical studies. Liraglutide is
403 contraindicated in patients with a personal or family history of MTC and in patients with Multiple
404 Endocrine Neoplasia syndrome type 2 (MEN 2). Based on the findings in rodents, monitoring
405 with serum calcitonin or thyroid ultrasound was performed during clinical trials, but this may

406 have increased the number of unnecessary thyroid surgeries. It is unknown whether monitoring
407 with serum calcitonin or thyroid ultrasound will mitigate human risk of thyroid C-cell tumors.
408

409 *Liraglutide and Body Composition*

410 Body composition was measured in a sub-study of Trial 1807 which included 113 patients (92
411 completers) from 7 European centers using dual energy x-ray absorptiometry (DXA) and
412 computerized axial tomography (CT) scans. Trial 1807² was a placebo-controlled 20 week trial
413 with open label orlistat, placebo and once daily injection of liraglutide. At 1 year liraglutide 3.0
414 mg recipients lost 5.8 kg (95% CI 3.7–8.0) more body weight than those on placebo and 3.8 kg
415 (1.6–6.0) more than those on orlistat (P<0.0001). In this body composition sub-study at week
416 20, mean total body fat mass was reduced between 5.0-6.9 kg with liraglutide, 4.4 kg with
417 placebo and 4.9 with orlistat. The percentage of body weight lost due to fat was 80-90% of the
418 total weight lost in the liraglutide treated group and 63% in the placebo group. The weight loss
419 and reduction in waist circumference with liraglutide was primarily due to reduction in fat mass
420 rather than lean body mass. This sub-study also quantified the VAT and abdominal SAT mass
421 lost. Summary data from this body composition sub-study are presented in Table 1 and Figure 1
422 below:

423

424 While there was a numerical trend for greater VAT mass loss in the liraglutide 3.0 mg group, this
425 study was underpowered to detect between group differences in absolute or relative VAT mean
426 mass lost. This initial work is a fundamental first step but has important limitations, including: 1)
427 lack of study subjects in the United States; patients enrolled in this study were exclusively
428 recruited from European centers including Belgium, Czech Republic, Denmark, Finland,
429 Netherlands, Spain, and Sweden; 2) there were very few subjects treated with 3.0 mg of
430 liraglutide (N=15); therefore this study was not only underpowered to detect clinically meaningful
431 differences in abdominal fat distribution, but also systematically underdosed; 3) the use of
432 ionizing radiation (with CT scans) limits the generalizability to other research projects that will
433 likely be conducted over long-term follow-up with repeat imaging; and 4) this study did not
434 directly quantify hepatic fat, a known correlate of insulin resistance and cardiometabolic risk.
435 We will address these limitations in the research proposed below.

436

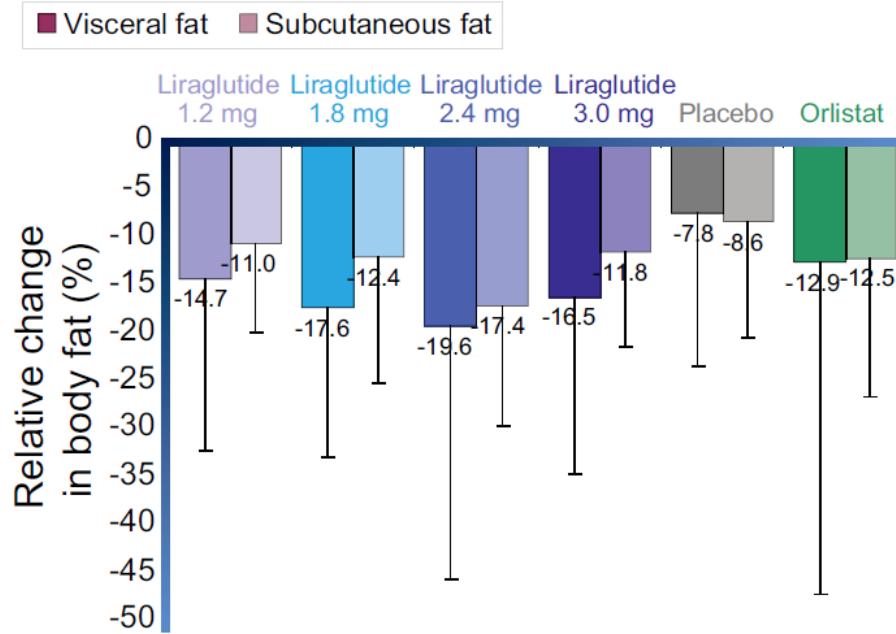
437 Table 1. Body composition assessed by DXA and CT in a subgroup of participants at 20 weeks

Placebo n = 14	Liraglutide				Orlistat n = 12
	1.2 mg n = 15	1.8 mg n = 13	2.4 mg n = 15	3.0 mg n = 15	
<i>Dual-energy X-ray absorptiometry measurements: body composition at randomization (kg)^a</i>					
Fat tissue	45.8 (10.5)	43.5 (7.6)	45.0 (8.8)	42.6 (6.1)	43.9 (8.4)
Lean tissue	51.0 (11.0)	55.0 (8.9)	51.7 (11.3)	50.6 (11.9)	53.1 (10.3)
<i>Relative change at week 20 (%)</i>					
Fat tissue ^b	-11.9 (2.5)	-13.9 (2.7)	-13.0 (2.6)	-16.5 (2.5)	-15.4 (2.6)
Change vs placebo ^c	—	-2.0 (-8.9 to 4.9); P = 0.57	-1.1 (-8.0 to 5.9); P = 0.76	-4.6 (-11.2 to 2.1); P = 0.18	-3.5 (-10.3 to 3.4); P = 0.32
Lean tissue ^b	-1.3 (1.0)	-0.9 (1.1)	-2.9 (1.1)	-2.6 (1.0)	-2.0 (1.1)
Change vs placebo ^c	—	0.4 (-2.4 to 3.3); P = 0.77	-1.6 (-4.4 to 1.3); P = 0.28	-1.3 (-4.1 to 1.4); P = 0.33	-0.7 (-3.6 to 2.1); P = 0.61
<i>Computerized axial tomography measurements: body composition at randomization (cm²)^a</i>					
Visceral fat	136 (38)	172 (77)	121 (39)	149 (76)	145 (69)
Subcutaneous fat	474 (107)	453 (68)	476 (71)	426 (75)	434 (116)
<i>Relative change at week 20 (%)</i>					
Visceral fat ^b	-13.8 (5.7)	-19.0 (6.3)	-19.4 (6.0)	-23.0 (5.7)	-20.3 (6.0)
Change vs placebo ^c	—	-5.1 (-21.2 to 11.0); P = 0.53	-5.6 (-21.8 to 10.6); P = 0.49	-9.2 (-24.7 to 6.4); P = 0.25	-6.4 (-22.1 to 9.2); P = 0.42
Subcutaneous fat ^b	-12.1 (3.0)	-15.6 (3.3)	-15.9 (3.6)	-19.3 (3.0)	-15.3 (3.3)
Change vs placebo ^c	—	-3.5 (-11.8 to 4.9); P = 0.41	-3.8 (-12.6 to 5.1); P = 0.40	-7.1 (-15.2 to 1.0); P = 0.09	-3.1 (-11.5 to 5.2); P = 0.45

^aMean (s.d.). ^bEstimated mean (s.e.). ^cEstimated mean (95% CI); P-value. Values are for participants who completed the substudy according to the protocol (PP completers).

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Figure 1. Relative changes in Visceral and Abdominal Subcutaneous Fat Mass Assessed by CT



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Therefore, we believe there is an important and pressing need for extensive and detailed phenotyping to further elucidate the impact of Liraglutide 3.0 mg on markers of body fat distribution, visceral adiposity, and adverse cardiometabolic risk and its potential benefits on long-term cardiovascular disease outcomes. Our long term goal is to understand how VAT contributes to cardiometabolic disease risk and to identify strategies to reduce the cardiometabolic consequences of excess VAT. Our objectives here are to elucidate the effect of Liraglutide 3.0 on body fat distribution, visceral adiposity, and markers of cardiometabolic

449 disease risk among overweight and obese adults at high risk for cardiovascular disease using
450 detailed circulating blood biomarker and imaging phenotyping.

451

452 **TRIAL RATIONALE:**

453 The rationale of this project is to utilize adipose tissue imaging with minimal or no ionizing
454 radiation to investigate the potential beneficial effects of Liraglutide 3.0 mg on pathways
455 underlying excess cardiovascular disease risk related to visceral adiposity and an adverse
456 cardiometabolic phenotype. We will achieve the scientific objectives of this application by
457 pursuing the following objectives with the following hypothesis:

458

459 **OBJECTIVES AND ENDPOINTS:**

460 **Hypothesis:**

461 Liraglutide will decrease visceral adiposity, favorably alter body fat distribution, and improve the
462 cardiometabolic risk marker profile significantly better than placebo in overweight or obese
463 subjects at high risk for cardiovascular disease.

464

465 **Primary Objective:**

466 To investigate the efficacy of liraglutide compared to placebo in reducing visceral adiposity in
467 overweight or obese subjects at high risk for cardiovascular disease after 40 weeks on-
468 treatment.

469

470 **Secondary Objectives:**

471 To compare liraglutide and placebo regarding the effect on:

- 472 • Changes in abdominal subcutaneous adipose tissue by MRI
- 473 • Changes in total fat mass by MRI
- 474 • Changes in fat-free mass by MRI
- 475 • Changes in lower body adipose tissue mass by MRI
- 476 • Changes in hepatic fat content by MRI
- 477 • Changes in circulating blood biomarkers of cardiometabolic risk including markers of insulin
478 resistance, inflammation, lipids, and natriuretic peptides.

479

480 **Endpoints:** The following endpoints will be assessed at the end of 40 weeks of treatment:

481

482 **Primary Endpoint:**

- 483 • The liraglutide treatment effect on relative percent change from baseline in visceral adipose
484 tissue mass measured by MRI.

485

486 **Secondary Endpoints:** Liraglutide treatment effect on:

- 487 • Relative percent change from baseline in body weight
- 488 • Absolute change from baseline in body weight
- 489 • Absolute change from baseline in visceral adipose tissue mass
- 490 • Relative percent change from baseline in abdominal subcutaneous adipose tissue mass
- 491 • Absolute change from baseline in abdominal subcutaneous adipose tissue mass
- 492 • Change from baseline in VAT/SAT ratio
- 493 • Relative percent change from baseline in total fat mass
- 494 • Absolute change from baseline in total fat mass
- 495 • Relative percent change from baseline in fat-free mass
- 496 • Absolute change from baseline in fat-free mass

- 497 • Relative percent change from baseline in lower body adipose tissue mass
- 498 • Absolute change from baseline in lower body adipose tissue mass
- 499 • Change from baseline in total fat/fat-free mass ratio
- 500 • Relative percent change from baseline in hepatic fat content
- 501 • Absolute change from baseline in hepatic fat content
- 502 • Relative percent change from baseline in biomarkers of cardiometabolic risk
 - 503 - Markers of insulin resistance: fasting blood glucose, insulin, HOMA-IR
 - 504 - Markers of inflammation: CRP
 - 505 - Lipids: TG/HDL-C ratio
 - 506 - Natriuretic peptides: NT-proBNP
- 507 • Absolute change from baseline in biomarkers of cardiometabolic risk
- 508 • Change from baseline in heart rate and blood pressure
- 509 • Change in waist circumference

510

511 **TRIAL DESIGN AND METHODS:**

512

513 **Trial type:**

514 This is a randomized, double-blind, parallel-group, placebo controlled, prospective clinical trial to
 515 be conducted at a single center over 46 weeks (40 weeks on-treatment). There are two
 516 treatment arms. Patients will be randomized to liraglutide 3.0 administered once a day by
 517 subcutaneous injection or matching placebo, in addition to a reduced-calorie diet and increased
 518 physical activity. All participants will be prescribed a 500 kcal per day deficit diet, based on
 519 estimated 24-h energy expenditure.¹ All participants will be instructed to maintain physical
 520 activity at the recommended level of 150 minutes of moderate activity per week.

521

522 **Rationale for trial design:**

523 This trial design will directly address the trial objectives by allowing determination of the effects
 524 of Liraglutide on body fat distribution, visceral adiposity, and cardiometabolic risk markers
 525 independent of a reduced calorie diet and increased physical activity among overweight and
 526 obese adults at high cardiovascular disease risk.

527

528 **Trial population:**

529 Planned number of subjects to be screened: 356 (2:1 screen to randomization ratio)

530 Planned number of subjects to be treated in run-in period: 214

531 Planned number of subjects to be randomized/started on trial medication(s):178

532 Anticipated number of subjects to be studied: At least 128 completers

533 Number of trial sites: Single center

534

535 The anticipated screening failure rate of 40% and the anticipated drop-out rate at 40 weeks of
 536 28% are based on reported rates from obesity and diabetes trials.

537

538 **Inclusion criteria:**

- 539 1. Age \geq 35 years
- 540 2. Able to provide informed consent
- 541 3. BMI \geq 30 kg/m² or \geq 27 kg/m² with metabolic syndrome
- 542 4. Metabolic syndrome is defined as at least three of the following:³
 - 543 1) waist circumference $>$ 102 cm (40 in) in men and 88 cm (35 in) in women
 - 544 2) triglycerides \geq 150 mg/dL or on treatment for hypertriglyceridemia
 - 545 3) HDL cholesterol $<$ 40 mg/dL in men and $<$ 50 mg/dL in women

546 4) blood pressure \geq 130/85 mmHg or on treatment for hypertension
 547 5) fasting glucose \geq 100 mg/dL
 548

549 **Exclusion criteria:**

550 1. Treatment with GLP-1 receptor agonists (including liraglutide, exenatide or others as
 551 they become available), DPP-4 inhibitors or insulin within the last 3 months.
 552 2. Receipt of any anti-obesity drug or supplement within 1 month prior to screening for
 553 this trial.
 554 3. Self-reported or clinically documented history of significant fluctuations (>5%
 555 change) in weight within 3 months prior to screening for this trial.
 556 4. History of diabetes mellitus (type 1 or 2) or on treatment with anti-diabetes
 557 medication.
 558 5. History of chronic pancreatitis or idiopathic acute pancreatitis (current or prior
 559 history).
 560 6. History of gallbladder disease (cholelithiasis or cholecystitis).
 561 7. Chronic kidney disease stage III or greater (eGFR<60 mL/min).
 562 8. Obesity induced by other endocrinologic disorders (e.g. Cushing Syndrome).
 563 9. Current or history of treatment with medications that may cause significant weight
 564 gain, within 1 month prior to screening for this trial, including systemic corticosteroids
 565 (except for a short course of treatment, i.e., 7- 10 days), tri-cyclic antidepressants,
 566 atypical antipsychotic and mood stabilizers (e.g., imipramine, amitriptyline,
 567 mirtazapine, paroxetine, phenelzine, clorpromazine, thioridazine, clozapine,
 568 olanzapine, valproic acid and its derivatives, and lithium).
 569 10. Diet attempts using herbal supplements or over-the-counter medications within 1
 570 month prior to screening for this trial.
 571 11. Current participation in an organized weight reduction program or within the last 1
 572 month prior to screening for this trial.
 573 12. Participation in a clinical trial within the last 3 months prior to screening for this trial.
 574 13. Familial or personal history of multiple endocrine neoplasia type 2 or familial
 575 medullary thyroid carcinoma.
 576 14. Personal history of non-familial medullary thyroid carcinoma.
 577 15. History of Major Depressive Disorder within the last 2 years.
 578 16. History of other severe psychiatric disorders, e.g., schizophrenia, bipolar disorder.
 579 17. Any lifetime history of a suicide attempt.
 580 18. A history of any suicidal behavior in the last month prior to randomization.
 581 19. Surgery scheduled for the trial duration period, except for minor surgical procedures,
 582 at the discretion of the Investigator.
 583 20. Known or suspected hypersensitivity to trial product(s) or related product(s).
 584 21. Known or suspected abuse of alcohol or narcotics.
 585 22. Language barrier, mental incapacity, unwillingness or inability to understand.
 586 23. Females of childbearing potential who are pregnant, breast-feeding or intend to
 587 become pregnant or are not using adequate contraceptive methods. These include
 588 abstinence and the following methods: diaphragm with spermicide, condom with
 589 spermicide (by male partner), intrauterine device, sponge, spermicide, Norplant®,
 590 Depo-Provera® or oral contraceptives.

591

592 **Withdrawal Criteria:**

593 1. Participants may withdraw at will at any time.
 594 - Informed consent may be withdrawn at any time.

595 - Permission to follow up for endpoint determination may be withdrawn at any time
 596 - This is distinguished from withdrawal of trial medication.

597 2. Participants can be withdrawn from the trial at the discretion of the Investigator due to
 598 safety concerns of if judged to be non-compliant with the trial protocol.

599 3. Pregnancy or intention of becoming pregnant

600 4. If target treatment dose of the randomized product is not tolerated by the subject.

601 5. If the trial investigator suspects acute pancreatitis, the trial drug will be discontinued until
 602 the confirmatory tests (clinically indicated laboratory and imaging) have been conducted
 603 and appropriate treatment has been administered. We anticipate confirmation within 72
 604 hours. If acute pancreatitis is confirmed, the participant will be withdrawn from the trial.
 605 If acute pancreatitis is not confirmed, the participant will resume the trial drug at the
 606 same dose previously used before temporary discontinuation.

607 6. Temporary trial drug discontinuation criteria:
 608 - Side effects to trial medication (until such side effects have resolved or have
 609 been otherwise addressed)
 610 - Acute illness requiring treatment or hospitalization
 611 - The longest time that the subject can be off trial drug and still continue in the trial
 612 is 4 weeks. If the trial drug is discontinued for >72 hours, then the dosing
 613 escalation regimen will be restarted at 0.6 mg daily and titrated up according to
 614 prescribing information recommendations.

616 **Subject Replacement**

617 Participants will not be replaced if they withdraw or become ineligible but they will be asked to
 618 return at the end of the trial period to complete the follow-up imaging/laboratory testing and
 619 analyzed in an intention to treat analysis. As up to a 28% drop-out rate is anticipated, enrolment
 620 will account for expected drop-out to minimize loss to follow-up given that subjects will not be
 621 replaced.

623 **Rationale for Trial Population**

624 The trial population represents the target population for which liraglutide is approved for chronic
 625 weight management in adult patients, with the additional inclusion criterion of a diagnosis of
 626 metabolic syndrome. This important criterion has been selected to enrich the trial population
 627 with participants with a high visceral adipose tissue burden in order to improve the statistical
 628 power to observe a meaningful effect on visceral adipose mass and enrich the trial population
 629 with individuals at high risk for cardiovascular disease.

631 **Imaging Assessments**

632 The primary and secondary endpoints of visceral fat, abdominal subcutaneous fat, lower body
 633 fat, liver fat, and total body fat and fat-free (lean) mass will be assessed using a Phillips 3T MRI
 634 system utilizing a two point Dixon fat-water segmentation technique (Advanced MR Analytics,
 635 Sweden).²¹ This MRI system has been extensively studied and validated in multiple cohorts,²¹⁻²³
 636 has excellent test/retest precision and reliability,²⁴ and is rapid (<6 minutes) and therefore cost
 637 effective.

638 **STATISTICAL CONSIDERATIONS:**

641 **Sample Size Calculation:**

642 This trial is powered to detect a clinically meaningful difference in the placebo-corrected relative
 643 reduction in VAT. The estimates used in the sample size calculations below are derived from

644 prior data from the SCALE program. Assuming a mean 8% relative reduction of VAT among
 645 placebo treated subjects and an expected 16% relative reduction of VAT among liraglutide
 646 treated subjects (with a standard deviation of 16%, based on data from [2]), we expect to require
 647 128 total subjects (in a 1:1 trial drug:placebo randomization scheme) to achieve 80% power to
 648 detect an 8% difference between groups at an alpha level of 0.05. Assuming an estimated 28%
 649 of subjects may withdraw trial medication during the trial, we expect a planned total of 178
 650 patients will be randomized in order to achieve at least 128 completers of the full trial protocol.
 651

Placebo-corrected Effect Size	Power		
	80%	85%	90%
Sample Size (Total N, 1:1 randomization)			
5%	322	368	432
8%	128	146	170
10%	82	92	108

652
 653 **Statistical Methods:** A formal statistical analysis plan will follow. Briefly, baseline
 654 characteristics between liraglutide and placebo treated groups will be compared using
 655 appropriate parametric or non-parametric tests depending on the distribution of the data. The
 656 primary outcome will be analyzed using an intention to treat analysis. Mean change in outcome
 657 measurements will be compared between groups using the appropriate statistical test.
 658 Outcomes will also be analyzed by subgroups including age (above/below median), sex, race
 659 (non-Hispanic black, non-Hispanic white, and Hispanic), BMI category (overweight, class I
 660 obese, class II/III obese), and prediabetes status (yes/no). In order to minimize type II error in
 661 subgroup analyses by race, we will attempt to ensure Hispanics comprise at least 25% of the
 662 trial population. A pre-specified analysis stratified by those who did vs. did not lose $\geq 4\%$ body
 663 weight at 16 weeks of treatment will be performed prior to unblinding to determine differential
 664 outcomes among “responders” vs. “non-responders” as outlined in the package insert. All
 665 statistical tests are two-sided at a 5% significance level.
 666

667 **Interim Analysis:** No interim analysis will be performed.
 668

669 **Missing Data:** The preferred approach to missing data is to incorporate proactive standard
 670 operating procedures to mitigate the likelihood of missingness. We will implement measures to
 671 reduce missing data (both baseline and endpoint). The statistical analysis plan will describe
 672 analytical approaches to address missingness of data, including multiple imputation including
 673 the last observation carried forward (LOCF) and mixed-effects model repeated measure
 674 approaches. Sensitivity analyses will also be performed to determine the effect of missingness
 675 on the primary and secondary outcomes.
 676

DATA HANDLING AND RECORD KEEPING:

677 Subjects will be assigned an identification code. A subject's name and code will be contained
 678 within a small notebook and will be kept in a locked cabinet in the research coordinator's locked
 679 office. The research chart will contain personal health information and will be kept in locked file
 680 cabinet in the research coordinator's locked office. All other data on a computer will be de-
 681 identified with the subject's identification code. Blood samples waiting for analysis will not be
 682 labeled with the subject's name, but with the assigned identification code. The trial database will
 683 be password protected. No data will be sent over the internet unless it is encrypted. All email
 684 with subject-identifiable information will be password protected. Only key personnel will have
 685 access to the information in the trial database on an as-needed basis. Key personnel may not
 686

687 alter the data in the database or directly view all of it without specific cause and approval of the
688 PI.

689

690 **ETHICS:**

691

692 **Informed Consent**

693 Before screening takes place subjects will be provided with written and verbal information about
694 the trial and the procedures involved. Qualified site will ensure that subjects are fully informed
695 both verbally and in writing about the practical consequences of participating, of their rights and
696 responsibilities while participating in the trial as well as any possible advantages and
697 disadvantages in being treated with the trial products. Subjects will have the opportunity to ask
698 questions to a medically qualified person and have ample time to consider participation.
699 Subjects who wish to participate must give signed and dated informed consent. This must be
700 done prior to any trial related activities, i.e. procedures that would not have been performed
701 during normal management of the subject. It must be stated in the medical record that the
702 subject is participating in the current trial.

703

704 Written informed consent will be obtained from each subject at entry into the trial. Informed
705 consent is obtained by the following process:

706

- 707 • Subject reviews the trial consent form.
- 708 • PI or trial coordinator meets with the subject to review the consent, confirm subject's
709 understanding, and answer any questions.
- 710 • Once the investigator is convinced that the subject verbally demonstrates understanding
711 and agrees to the process, the consent is signed. Individuals authorized to obtain written
712 consent are the principal investigator, co-investigators, and assigned medical staff
713 specifically designated by the principal investigator to work on this project.

714

715 The trial protocol will be submitted to the local IRB for approval before any trial activities will be
716 initiated. The trial protocol will be conducted and maintain compliance with appropriate ethical
717 responsibility standards according to the Declaration of Helsinki and the International
718 Conference on Harmonization-Good Clinical Practice guidelines, as well as local institutional
719 review board standards and approval. The investigators will comply with all applicable
720 regulatory and legal requirements, ICH-GCP guidelines, and the Declaration of Helsinki in
721 obtaining and documenting the informed consent.

722

723 The trial investigators take full responsibility to ensure quality assurance and proper
724 maintenance of all trial materials by periodic (biweekly) quality control checks of trial drug
725 storage and handling, electronic database materials, and measurement devices.

726

727 **Confidentiality**

- 728 • Protection of subject privacy. All materials will be obtained for research purposes only, and
729 data will be kept in strict confidence. Subjects will be assigned an identification code. A
730 subject's name and code will be contained within a small notebook and will be kept in a
731 locked cabinet in the research coordinator's locked office. The research chart will contain
732 personal health information and will be kept in locked file cabinet in the research
733 coordinator's locked office. All other data on a computer will be de-identified with the
734 subject's identification code. Blood samples waiting for analysis will not be labeled with the
735 subject's name, but with the assigned identification code.

736 • Database protection. The trial database will be password protected. No data will be sent
737 over the internet unless it is encrypted. All email with subject-identifiable information will be
738 password protected. Only key personnel will have access to the information in the trial
739 database on an as-needed basis. Key personnel may not alter the data in the database or
740 directly view all of it without specific cause and approval of the PI.
741 • Confidentiality during adverse event reporting. Adverse event reports and annual summaries
742 will not include subject-identifiable material. Each will include the identification code only.
743

744 **Potential Benefits of the Proposed Research to Human Subjects and Others and**
745 **Importance of Knowledge to be Gained**

746 • There is a potential direct benefit of the proposed research to trial participants.
747 • Knowledge gained from this project will likely lead to better understanding of obesity and
748 visceral adiposity in the general population and across ethnic minorities and women as well
749 as potentially lead to improved targets of therapies aimed at reducing cardiovascular
750 disease risk.
751 • The risks outlined in this proposal are reasonable for this societal benefit as it may lead to
752 improved care to reduce cardiovascular disease risk, the leading cause of morbidity and
753 mortality in the U.S.
754

755 **TRIAL SCHEDULE:**

757 Planned duration of recruitment period: 2.5 years

759 Planned date for first subject (FPFV): January 2017

761 Planned completion of the last subject (LPLV): 30 months from FPFV

763 Planned completion of clinical trial report: 36 months from FPFV

765 The end of the clinical trial is defined as the last visit of the last subject (LPLV).
766

767 **Trial Sites:**

768 The trial will be conducted at three sites in Dallas, TX:

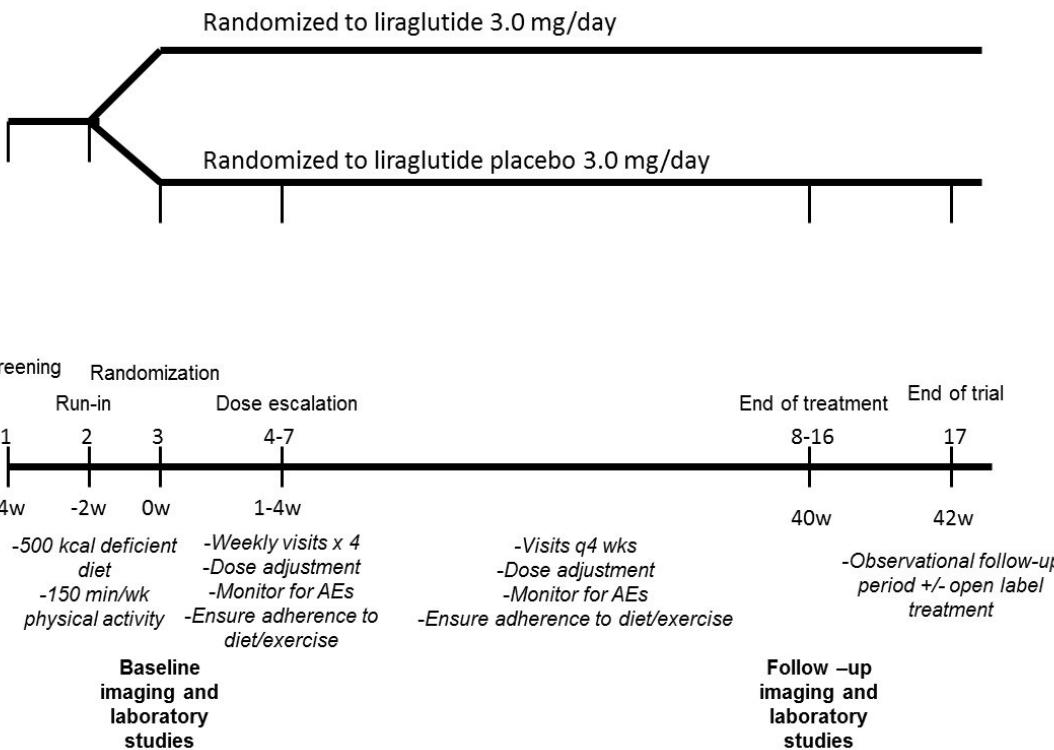
- 769 1. University Hospital Ambulatory Clinics (at Aston and St. Paul Professional Office
770 Buildings)
- 771 2. Clements University Hospital
- 772 3. Parkland Hospital and Ambulatory Clinics

774 **Visit Procedures:**

775 Prior to the first trial visit, potential subjects will be identified by physician referral or media
776 advertisement and will be introduced to participation in the trial by one of the trial staff. If the
777 patient meets potential inclusion criteria, written informed consent will be obtained and the
778 subject will be scheduled for Visit 1.
779

780 Subjects enrolled in the trial will be provided with a subject ID card, stating that they are
781 participating in a trial and whom to contact (site address, Investigator's name and telephone
782 number) for further information, if necessary. The subjects will be reminded to show the card to
783 other health care providers, as applicable. The subjects will be instructed to return the card to
784 the Investigator at the last visit of the subject or destroy the card after the last visit

785
786 The Investigator will keep a subject screening log and a subject enrolment log. For screening
787 failures (subjects who have given informed consent but who do not meet the inclusion,
788 exclusion and/or randomization criteria and hence are not randomized), all data for completed
789 trial procedures will be recorded in the case record form (CRF), and the reason for exclusion
790 from the trial will be recorded on the screening failure form. The screening failure form will be
791 entered into the clinical database.
792



793
794
795
796

Table: Visit Schedule

Visit no.	Time of Visit	Visit Type
1	-4 weeks \pm 5 days	Screening
2	-2 weeks \pm 3 days	Run-In
3	Day 0, baseline	Randomization
4	1 week \pm 3 days	Dose escalation
5	2 weeks \pm 3 days	Dose escalation

6	3 weeks ± 3 days	Dose escalation
7	4 weeks ± 3 days	Dose escalation/maintenance
8	8 weeks ± 3 days	Maintenance
9	12 weeks ± 3 days	Maintenance
10	16 weeks ± 3 days	Maintenance
11	20 weeks ± 3 days	Maintenance
12	24 weeks ± 3 days	Maintenance
13	28 weeks ± 3 days	Maintenance
14	32 weeks ± 3 days	Maintenance
15	36 weeks ± 3 days	Maintenance
16	40 weeks ± 3 days	End of treatment
17	42 weeks ± 3 days	Observational Follow-up/End of trial

797

798 The total duration of the trial (for a subject completing the trial) will be up to 46 weeks and will
 799 comprise a total of 17 visits.

800

801 **Table: Treatment of subjects**

802

Trial periods	Screening	Run-In	Randomization	Dose escalation period/ Maintenance			Maintenance / End of treatment	Follow-up
Weeks in relation to Visit 2 (randomization)	-4	-2	0	1 2 3			4-40	42
Visit number	1	2	3	4 5 6		7-16	17	
Liraglutide	Screening	Run-In	0.6 mg	1.2 mg	1.8 mg	2.4 mg	3.0 mg	No treatment
Placebo			Placebo	Placebo	Placebo	Placebo	Placebo	

803

804 **Table: Visit schedule with assessments**

805

Prior to Screening Visit	Screening	Run-In	Randomization	Dose Escalation							Maintenance Period								End of Treatment	Observational Follow-up
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
Informed consent	X	X (review)																		
In/exclus	X																			

ion criteria																			
Randomi zation criteria				X															
Withdra wal criteria		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Demogr aphics																			
Age	X		X																
Sex	X		X																
Race	X		X																
Concomi tant illnesses/ Medical history		X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Concomi tant medicati ons		X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Measure ments																			
Height	X		X															X	
Weight	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Blood pressure	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Heart rate	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Blood glucose	X		X															X	
Triglyceri des	X		X															X	
HDL- cholester ol	X		X															X	
Pregnan cy test (if female)	X		X															X	
Waist circumfer ence				X														X	
Hip circumfer ence					X													X	
Visceral AT (MRI)					X													X	
Abd subcutan eous AT (MRI)					X													X	
Total fat				X														X	

mass (MRI)																
Total fat-free mass (MRI)				X												X
Lower body AT (MRI)				X												X
Hepatic fat (MRI)				X												X
Laboratory tests																
Fasting insulin				X												X
CRP				X												X
NT-proBNP				X												X
Trial Related																
Diet and physical activity counseling		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Drug accountability				X	X	X	X	X	X	X	X	X	X	X	X	
Adverse events		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

806

807 At Visits 1, 3, and 16 the subject must attend the clinic in a fasting condition in the morning (i.e.,
 808 at least eight hours overnight fast without food and/or drink intake, except for water).

809 Background medication should be withheld on the day of the fasting Visits 1, 3, and 16 until
 810 blood sampling has been done. At all other visits the background medication and trial product
 811 should be taken as usual during the conduct of the trial.

812

813 Trial product will be dispensed at visits 3 through 15. Subjects will be asked to bring all empty,
 814 partly used and unused trial product at visits 4 through 16 for drug accountability. The
 815 computerized randomization system should be contacted for trial product dispensing. If the
 816 subject attends the clinic for a visit not described in the protocol, then an Unscheduled Visit
 817 Form must be completed. The Unscheduled Visit Form should not be completed if the sole
 818 purpose of the visit is trial product dispensing. If an unscheduled visit is made for the purpose of
 819 dispensing trial products to the subjects then an unscheduled dispensing session must be
 820 completed in the computerized randomization system. If it is not possible to attend the visit
 821 within the visit window, the subjects should be called in for an Unscheduled Visit.

822

823 In case a subject is being prematurely withdrawn from the trial before or at visit 16, the
 824 Investigator will ensure that the procedures for the End of Treatment visit (Visit 16) are

825 undertaken, if possible. The primary reason (adverse event, non-compliance with protocol or
826 other) for discontinuation will be specified in the CRF and a computerized randomization system
827 withdrawal session should be completed. Even if the subject is not able to attend a final visit, the
828 End of Trial Form (EOT) must be completed.

829
830 Subjects that have discontinued the trial prematurely before Visit 16 will be asked to attend a
831 visit (Visit 16x) taking place 40 weeks after the randomization date. The purpose of this visit will
832 be recording of all outcome assessments if possible. If the subject is not willing to attend Visit
833 16x, it should be documented in the patient medical record that the subject has refused to
834 attend the visit.

835
836 **Visit 1**
837 At Visit 1, the informed consent will be reviewed again for signature and participants will be
838 screened for eligibility in the trial. This will include interview assessment for inclusion and any
839 exclusion criteria and laboratory components of the metabolic syndrome. Subjects that meet all
840 eligibility requirements to participate in the trial will then return for a Run-In visit (Visit 2).

841
842 Subjects must give signed and dated informed consent prior to any trial-related activities
843 (including Visit 1). All subjects will be provided with a copy of the subject information and a copy
844 of their own signed and dated Informed Consent Form.

845
846 The subjects will be allocated the unique lowest consecutive 6 digit subject number available
847 from the range of subject numbers. The subject number is composed of three digits unique for
848 each trial site and three digits for each enrolled subject at the trial site. The subject number is
849 maintained throughout the trial. The computerized randomization system will be activated to
850 register the subject as screened and the subject will be provided with a trial card indicating that
851 the subject is participating in a trial.

852
853 **The following will be recorded in the case record form (CRF). Expanded list of
854 comorbidities will be developed prior to FPFV:**

- 855 • Age
- 856 • Sex
- 857 • Race/ethnicity
- 858 • Height
- 859 • Weight
- 860 • Waist Circumference
- 861 • Blood Pressure
- 862 • Medical history
- 863 • Concomitant medications
- 864 • Informed consent, signed and dated

865
866 **The following laboratory studies will be performed and recorded in the CRF when
867 resulted:**

- 868 • Fasting blood glucose
- 869 • Fasting triglycerides
- 870 • Fasting HDL-cholesterol
- 871 • For females of childbearing potential, urine pregnancy test

872
873 **Visit 2**

874 Visit 2 will be the start of the 2-week Run-In phase during which time eligible participants will be
 875 counseled on diet and physical activity to determine adherence to lifestyle recommendations. A
 876 dietary diary will be recorded by the participants and returned to the trial coordinator at Visit 3.
 877 Pedometers will be given to the participants to encourage adherence to physical activity
 878 guidelines and will be returned at Visit 3.

879

880 *Counseling on Diet and Physical Activity*

881 At Visit 2 subjects will receive dietary counseling by trial staff according to local standard and
 882 placed on a hypo-caloric diet containing approximately 30% of energy from fat, approximately
 883 20% of energy from protein, approximately 50% of energy from carbohydrates and an energy
 884 deficit of approximately 500 kcal/day compared to the subjects' estimated total energy
 885 expenditure (TEE) (See Table below). The hypo-caloric diet will be continued from
 886 randomization through the treatment period. Counseling on diet and physical activity will be
 887 provided at every trial visit. All subjects will be instructed by trial staff to keep a 3-day diary of
 888 food intake between Visits 2 and 3. The 3-day food diaries will be used for counseling at each
 889 trial visit. The subject's dietary compliance and the average daily level of physical activity will be
 890 recorded at each visit. The subject will be questioned whether they performed less than half an
 891 hour, between half an hour and one hour or more than 1 hour of physical activity per day. An
 892 increase in physical activity (recommended minimum 150 minutes/week) will be encouraged.
 893 Subject compliance with the prescribed diet will be determined at the discretion of trial staff after
 894 review of the 3-day food diaries.

895

896 *Calculation of estimated total energy expenditure*

897 The TEE is calculated by multiplying the estimated Basal Metabolic Rate (BMR) (see Table)
 898 with a Physical Activity Level (PAL) value of 1.3²⁵

899

900 Total Energy Expenditure (TEE) (kcal/day) = BMR x 1.3

901 **Table _ Equations for estimating basal metabolic rate (BMR) in kcal/day***

Sex	Age	BMR (kcal/day)
Men	18-30 years	15.057 x actual weight in kg + 692.2
	31-60 years	11.472 x actual weight in kg + 873.1
	≥60 years	11.711 x actual weight in kg + 587.7
Women	18-30 years	14.818 x actual weight in kg + 486.6
	31-60 years	8.126 x actual weight in kg + 845.6
	≥60 years	9.082 x actual weight in kg + 658.5

902 Revised WHO equations²⁵

903

Visit 3

904 At Visit 3, participants will be randomized to liraglutide or placebo injection in a 1:1 fashion.
 905 Randomization will be implemented using a computerized randomization system by an
 906 independent party at UT Southwestern. Subjects will have trial products supplied according to
 907 randomization and will be instructed in administration of daily injections of liraglutide/ placebo.
 908 Injections can be done at any time of day irrespective of meals. However, it is preferable that
 909 liraglutide/ placebo be injected during the same overall time period on a day to day basis. The
 910 injection site does not have to be kept consistent throughout the trial. The trial products
 911 dispensed will cover the dose escalation period. Subjects will follow a fixed dose escalation. The
 912 dose will be gradually escalated to 3.0 mg starting with 0.6 mg and with a dose level increment
 913 of 0.6 mg every 7 days.

914

915 If the subject is not eligible for randomization, the subject is considered a screening failure and
 916 the subject will be registered as a screening failure in the computerized randomization
 917 system.

918
 919 At this visit, they will undergo all baseline anthropometric, imaging, and laboratory assessments.
 920

921 **The following will be recorded in the CRF:**

- 922 • Age
- 923 • Sex
- 924 • Race/ethnicity
- 925 • Weight
- 926 • Height
- 927 • Concomitant medications
- 928 • Blood Pressure
- 929 • Pulse
- 930 • Waist Circumference
- 931 • Hip Circumference
- 932 • Visceral adipose tissue mass
- 933 • Abdominal subcutaneous adipose tissue mass
- 934 • Total fat mass
- 935 • Total fat-free mass
- 936 • Lower body adipose tissue mass
- 937 • Hepatic fat content
- 938 • Laboratory tests, including
 - 939 - Markers of insulin resistance: fasting blood glucose, insulin
 - 940 - Markers of inflammation: CRP
 - 941 - Lipids: TG/HDL-C ratio,
 - 942 - Natriuretic peptides: NT-proBNP

943
 944 **Visits 4-7**

945 At Visits 4-7, subjects will follow a dose escalation regimen and trial staff will monitor for AEs
 946 and ensure adherence to diet and exercise recommendations. Subjects will follow a fixed dose
 947 escalation. The dose will be gradually escalated to 3.0 mg starting with 0.6 mg and with a dose
 948 level increment of 0.6 mg every 7 days.

949

950 **Visits 8-15**

951 Visits 8-15 are the maintenance phase of the trial. Subjects will attend visits with trial staff every
 952 4 weeks to ensure adherence to the trial protocol, monitor for AEs, monitor for non-adherence to
 953 trial medication, and monitor adherence to diet and exercise recommendations.

954

955 **Visit 16**

956 Visit 16 is the final visit during the maintenance phase during which follow-up imaging and
 957 laboratory studies will be assessed in addition to assessments done during Visits 8-15. All
 958 remaining doses of trial medication will be collected at this visit and returned to Novo Nordisk.
 959 No further trial drug will be administered after this visit.

960

961 **The following will be recorded in the CRF:**

- 962 • Weight

- 963 • Height
- 964 • Concomitant medications
- 965 • Blood Pressure
- 966 • Pulse
- 967 • Waist Circumference
- 968 • Hip Circumference
- 969 • Visceral adipose tissue mass
- 970 • Abdominal subcutaneous adipose tissue mass
- 971 • Total fat mass
- 972 • Total fat-free mass
- 973 • Lower body adipose tissue mass
- 974 • Hepatic fat content
- 975 • Laboratory tests, including
 - 976 - Markers of insulin resistance: fasting blood glucose, insulin
 - 977 - Markers of inflammation: CRP
 - 978 - Lipids: TG/HDL-C ratio,
 - 979 - Natriuretic peptides: NT-proBNP

Visit 17

980 Visit 17 will be an observational follow-up visit during which subjects will be monitored for AEs.
 981 At the End of Trial visit (Visit 17) the Investigator or delegate will provide counseling on the
 982 management of weight control and follow-up medical care.

Assessments for efficacy

983 Weight will be recorded to the nearest 0.1 kg using calibrated scales. Weight will be measured
 984 in a fasting state with an empty bladder, without shoes and only wearing light clothing. Height
 985 without shoes will be recorded at Visit 1. BMI will be calculated as follows: BMI = weight
 986 (kg)/height (m²)

987 Systolic and diastolic blood pressure will be measured at all visits

- 988 • The auscultatory method should be used to measure blood pressure.
- 989 • The patient should avoid caffeine, smoking, physical activity for 30 minutes prior to
 990 measurement.
- 991 • Remove all clothing over the covered arm.
- 992 • The same sphygmomanometer should be used throughout the trial.
- 993 • The measurement should be taken in the seated position with legs uncrossed, back
 994 and arm supported.
- 995 • Subject should rest in a sitting position for 5 minutes prior to the first blood pressure
 996 being taken.
- 997 • The measurement should be in the upper arm with a stethoscope at the elbow
 998 crease over the brachial artery.
- 999 • The same arm should be used for blood pressure measurements at all trial visits.
- 1000 • The size of the cuff should be appropriate. The bladder of the cuff should encircle at
 1001 least 80% of the arm circumference and the width of the cuff is at least 40% of the
 1002 arm circumference.
- 1003 • The cuff placement must be preceded with palpation of the brachial artery and the
 1004 antecubital fossa. The midline of the cuff bladder must be placed over the location of
 1005 the arterial pulsation. The lower edge of the cuff should be 2 to 3 cm above the
 1006 antecubital fossa to allow for stethoscope placement.
- 1007 • The cuff placement must be preceded with palpation of the brachial artery and the
 1008 antecubital fossa. The midline of the cuff bladder must be placed over the location of
 1009 the arterial pulsation. The lower edge of the cuff should be 2 to 3 cm above the
 1010 antecubital fossa to allow for stethoscope placement.

1011 • The cuff should be inflated to at least 30 mm above the point at which the radial
1012 pulse disappears. The pulse should be then reduced at 2 or 3 mm/sec.
1013 • Korotkoff sounds should be used to measure blood pressure using standard
1014 techniques. The measurement should be taken with the precision to the nearest 2
1015 mmHg.
1016 • There should be no talking during the measurement.
1017 • Two reliable measurements at intervals of at least 2 minutes should be performed.
1018 In case there is a greater than 5 mmHg difference between the first and second
1019 reading of the diastolic blood pressure, one additional reading should be obtained.

1020
1021 The resting heart rate will be recorded at all trial visits using the following methodology:

1022 • The pulse will be recorded after resting for five minutes in a sitting position.
1023 • The pulse will be counted for 30 seconds and recorded as beats per minute. The pulse
1024 will be measured using direct palpation.
1025 • Exercise, alcohol, nicotine, and coffee should be avoided 30 minutes preceding
1026 measurement.
1027 • Heart rate will be measured while the patient is seated in a comfortable position with
1028 legs uncrossed.

1029
1030 The laboratory analyses for efficacy and safety will be outsourced to a Central Laboratory
1031 unless otherwise specified. Descriptions of assay methods, instrumentation and procedures for
1032 obtaining samples, handling and storage of samples will be described in a trial specific
1033 laboratory manual provided by the Central Laboratory.

1034
1035 Samples will be coded with the intention that the subject's identity will remain encrypted but
1036 information such as age, sex, race, health information and response to liraglutide will be
1037 correlated. The samples will only be used in relation to the present trial. Novo Nordisk and its
1038 representatives and/or regulatory authorities, may have access to this information. However, the
1039 subject's identity will not be revealed.

1040
1041 Laboratory analysis results will be sent to the Investigator after visits during which laboratory
1042 studies are obtained. All laboratory reports must be dated and signed by the Investigator on the
1043 day of evaluation. If a result is outside the normal range, the Investigator will judge whether the
1044 abnormality is clinically significant or not. The signed laboratory report is retained at the
1045 Investigator site as source documentation. Any abnormal, clinically significant result identified at
1046 screening visit 1 will be recorded as a concomitant illness.

1047
1048 **Ascertainment of clinical safety endpoints**

1049 At each visit trial staff will review criteria for withdrawal and AEs/SAEs with the subject. There
1050 will not be external independent event adjudications for the adverse cardiovascular endpoints of
1051 death, MI or stroke. The Investigator will identify adverse cardiovascular events. These adverse
1052 events of interest include death, all cause and cardiovascular deaths, nonfatal myocardial
1053 infarction and nonfatal stroke. These will be defined prospectively and identified by the
1054 Investigator. These events will not undergo external independent event adjudication. These
1055 endpoints will be investigator identified using *a priori* criteria.

1056
1057 **Ascertainment of diet/physical activity**

1058 At each visit the trial staff will review diet and physical activity compliance with the subject.
1059 Subjects not meeting trial standards will be encouraged to adjust their behavior to adhere to trial

1060 requirements. Subjects not meeting requirements after 2 attempts at correction may be subject
1061 to withdrawal from the trial at the discretion of the Investigator.

1062

1063 **Subject Compliance:**

1064 At each visit the trial staff will review trial medication compliance with the subject. At the
1065 prescribed visits, the subject will return used or partly used trial products including all empty
1066 packaging materials. The investigator will assess the amount of return trial product and
1067 compare it to what was dispensed to estimate the subject's compliance with trial medication. If
1068 noncompliance is identified, the investigator will counsel the patient on the importance of trial
1069 medication compliance. Consistent failure to be compliant with trial medication or other trial
1070 protocols could ultimately lead to subject withdrawal from the trial.

1071

1072 **End of trial treatment strategy**

1073 Following the end of the treatment, all trial drug treatment will be discontinued and the trial drug
1074 collected. Subjects will be advised with regards to the best possible post-trial treatment options
1075 for their weight management and metabolic syndrome. These treatments will be at the
1076 discretion of the local Investigator. Unblinding will occur at LPLV and after ascertainment of
1077 primary and secondary endpoints.

1078

1079

1080 **Premature discontinuation**

1081 If the trial subject opts for early trial termination, he/she will be asked to attend a premature trial
1082 discontinuation visit at the time of discontinuation. The purpose of this visit will be to assess
1083 body weight, occurrence of AEs and discuss end of trial imaging studies. The PI will offer end of
1084 trial imaging to assess for the primary and secondary efficacy endpoints if the subject has
1085 completed at least 40 weeks of trial treatment. Every effort will be made to assess for AE and
1086 SAEs during the trial for all patients including those prematurely withdrawing from the trial.

1087

1088 **TRIAL DRUGS AND MATERIALS:**

1089 Treatment with liraglutide or placebo will be blinded to the subjects and investigators throughout
1090 the trial.

1091

1092 **Trial medication(s) / devices(s)**

1093 The administration of liraglutide/ placebo will be as outlined above.

1094 The following trial products will be supplied by Novo Nordisk, Denmark.

- Liraglutide 6.0 mg/mL, 3 mL pen-injector for subcutaneous injection
- Placebo 3 mL pen-injector for subcutaneous injection

1095 Liraglutide will be available at a concentration of 6.0 mg/mL. Liraglutide and placebo will be
1096 supplied in a 3 mL pen-injector . Correct dosing is achieved by using the dose counter and the
1097 dose pointer to see how many mg to select. The subject will hear a "click" every time they turn
1098 the dose selector. The dose will not be set by counting the number of clicks the subject hears.
1099 Dosing with the liraglutide/ placebo pen-injector is controlled by turning the dose selector until
1100 the dose indicator shows the relevant dose (0.6, 1.2, 1.8, 2.4 or 3.0 mg, respectively or
1101 placebo). Therefore, the dose level (injection volume) of liraglutide or placebo is open labeled.

1102

1103

1104

1105

1106 Liraglutide or placebo is administered once daily by subcutaneous injections with the pen-
1107 injector, either in the abdomen, thigh or upper arm. Injections can be done at any time of day
1108 irrespective of meals. However, it is preferable that liraglutide be injected during the same

1109 overall time period on a day to day basis. Subjects will be instructed to perform an air shot
 1110 before the first injection with the pen-injector. For further information, please see the direction
 1111 for use (DFU) for the liraglutide pen-injector. These DFUs will be provided together with the trial
 1112 product. The Investigator or trial staff will instruct subjects in how to inject liraglutide or placebo,
 1113 and will ensure that the subjects are familiar with the DFU. It will be documented in the subject's
 1114 research record that the subject has been instructed in the use of the pen-injector.

1115
 1116 Subjects will follow a fixed dose escalation and in order to reduce the level of side effects,
 1117 liraglutide is gradually escalated up to the maintenance dose. Subjects will be instructed to
 1118 escalate the liraglutide (active or placebo) dose to 3.0 mg/day over a 4 week period following an
 1119 initial dose of 0.6 mg/day and weekly dose escalation steps of 0.6 mg/day.

1120 If subjects do not tolerate an increase in dose during dose-escalation, the Investigator will have
 1121 the option to delay the next dose escalation for approximately one week. All subjects must be at
 1122 the target dose 3.0 mg or placebo at the latest 8 weeks after randomization.

1123
 1124 Dose escalation schedule:

Week	Dose (mg)
0	0.6
1	1.2
2	1.8
3	2.4
4 and beyond	3.0

1125
 1126 After reaching the target dose, 3.0 mg liraglutide or placebo dose and dosing frequency should
 1127 not be changed at any time during the treatment period. If any dose is missed by the subject up
 1128 to and including 3 consecutive days it will be documented in the research record and the
 1129 Investigator will discuss the importance of treatment compliance with the subject. After a
 1130 potential discontinuation up to 3 days the subject must be re-initiated on trial drug on target
 1131 dose 3.0 mg liraglutide or placebo. Missed doses for more than 3 consecutive days will be
 1132 discussed with the PI and it will be up to Investigator's judgment if the subject can continue on
 1133 target dose or should be withdrawn.

1134
 1135 It is always important that the Investigator emphasizes to subjects the necessity of compliance
 1136 with regard to taking trial drug as described in the protocol. It is the responsibility of the
 1137 Investigator to access the subject's overall compliance throughout the trial and subjects deemed
 1138 to be non-compliant subjects may be withdrawn at the Investigator's discretion. If subjects do
 1139 not tolerate the target dose, they will be withdrawn from the trial. If the Investigator suspects
 1140 acute pancreatitis, all suspected drugs will be discontinued until confirmatory tests have been
 1141 conducted. If tests reveal that a subject does not have acute pancreatitis, the subject can
 1142 remain in the trial with re-initiation of titration until the target dose is reached.

1143
 1144 **Packaging and Labelling of Trial Medication(s)**

1145 All trial products will be packed and labeled by Novo Nordisk and provided in non-subject
 1146 specific boxes. Labeling will be in accordance with local law and trial requirements.

1147
 1148 **Storage and Drug Accountability of Trial Medication(s)**

1149 The Investigator must keep track of all received, used, partly used and unused trial products by
 1150 the use of the drug accountability module in the computerized randomization system.

1152 Store in a refrigerator (2°C to 8°C). Do not store in the freezer or directly adjacent to the
1153 refrigerator cooling element. Do not freeze liraglutide/ placebo and do not use liraglutide/ placebo
1154 if it has been frozen. Liraglutide/ placebo should not be used if it does not appear clear and
1155 colorless. After first use of the liraglutide/ placebo pen, the product can be stored for 30
1156 days at room temperature (+15°C to +30°C)/(59°F to 86°F) or in a refrigerator (+2°C to
1157 +8°C)/(+36°F to +46°F). Keep the pen cap on when liraglutide/ placebo pen is not in use in
1158 order to protect from light. Liraglutide/ placebo should be protected from excessive heat and
1159 sunlight. Always remove the injection needle after each injection and store the liraglutide/ placebo
1160 pen without an injection needle attached. This prevents contamination, infection, and
1161 leakage. It also ensures that the dosing is accurate. No trial product which has exceeded the
1162 expiry date must be used.

1163
1164 The Investigator will ensure the availability of proper storage conditions and record and evaluate
1165 the temperature. The temperature will be recorded and evaluated on a daily basis (working
1166 days) using as a minimum a calibrated min/max thermometer. A log to document the
1167 temperature must be kept. Storage facilities will be checked frequently (at least once every
1168 working day).

1169
1170 No trial product(s) should be dispensed to any person not enrolled in the trial and the
1171 computerized randomization system should always be updated when dispensing trial product(s).
1172 Returned trial product(s) (partly used or unused including empty packaging material) must be
1173 stored separately from non-allocated trial product(s) until the monitor has performed drug
1174 accountability. The monitor will be responsible for retrieval of trial products from the site.
1175 Destruction of trial products will be done according to local laws and will be recorded on a
1176 Destruction Form, which will be signed by the person responsible for destruction, as agreed with
1177 the monitor.

1178
1179 **Randomization and Blinding**

1180 Trial participants and investigators will be blinded to treatment allocation throughout the trial.

1181

1182 **Randomization Scheme:**

1183 Participants will be randomly assigned using a computerized randomization code generated by
1184 UT Southwestern.

1185

1186 **Breaking of Blinded Codes**

1187 Blinding codes will be broken at the end of the trial. Blinding codes will only be broken before
1188 the end of trial if a subject develops a serious adverse event requiring knowledge of the
1189 treatment allocation. At such time, the subject will be considered to have completed the trial and
1190 will not undergo any further trial treatment but may be asked to undergo assessment of trial
1191 endpoints when feasible. Whenever a code is broken, the person breaking the code must print
1192 the Code Break Confirmation generated by the computerized randomization system, record the
1193 reason, and sign and date the document. If the subject should be withdrawn following a code
1194 break, a withdrawal session should be completed in the computerized randomization system.

1195

1196 **CONCOMITANT ILLNESSES AND MEDICATIONS**

1197 All concomitant illnesses and medications will be recorded in the CRF and assessed as AEs or
1198 not AEs. If a subject is prescribed a medication meeting criteria for withdrawal/exclusion, the
1199 subject will have the opportunity to decide whether to take such medication and withdraw from
1200 the trial or not take it and continue the trial.

SUBJECT SAFETY AND DATA MONITORING**ADVERSE EVENTS:****Definitions****Adverse Event (AE):**

An AE is any undesirable medical event occurring to a subject in a clinical trial, whether or not related to the trial product(s). This includes events reported from the first trial related activity after the subject has signed the informed consent and until post treatment follow-up period as defined in the protocol. The following should not be recorded as AEs, if recorded as medical history/concomitant illness on the CRF at screening:

- Pre-planned procedure, unless the condition for which the procedure was planned has worsened from the first trial related activity after the subject has signed the informed consent
- Pre-existing conditions found as a result of screening procedures

Clinical Laboratory Adverse Event:

A clinical laboratory AE is any clinical laboratory abnormality regarded as clinically significant i.e. an abnormality that suggests a disease and/or organ toxicity and is of a severity, which requires active management, (i.e. change of dose, discontinuation of trial product, more frequent follow-up or diagnostic investigation).

Serious Adverse Event (SAE):

A serious AE is an experience that at any dose results in any of the following:

- Death
- A life-threatening experience (an event in which the subject was at risk of death at the time of the event. It does not refer to an event which hypothetically might have caused death if it was more severe)
- In-patient hospitalisation or prolongation of existing hospitalization
- A persistent or significant disability/incapacity
- A congenital anomaly/birth defect
- Important medical events that may not result in death, be life-threatening*, or require hospitalization may be considered an SAE when, based upon appropriate medical judgement, they may jeopardize the subject and may require medical or surgical intervention to prevent one of the outcomes listed in this definition.
- Suspicion of transmission of infectious agents must always be considered an SAE

Note: The term life-threatening in the definition of serious refers to an event in which the patient was at risk of death at the time of the event. It does not refer to an event, which hypothetically might have caused death if it was more severe.

Serious Adverse Drug Reaction (SADR):

An adverse drug reaction (ADR) is an adverse event for which a causal relationship (Possible/Probable relation) between the trial drug and the occurrence of the event is suspected. The ADR should be classified as serious if it meets one or more of the seriousness criteria.

Suspected Unexpected Serious Adverse Reaction (SUSAR):

An SAE which is unexpected and regarded as possibly or probably related to the trial product by the investigator.

1250 **Non-Serious Adverse Event:**

1251 A non-serious AE is any AE which does not fulfil the definition of an SAE.

1252

1253 **Severity Assessment Definitions:**

- 1254 • Mild: Transient symptoms, no interference with the subject's daily activities
- 1255 • Moderate: Marked symptoms, moderate interference with the subject's daily activities
- 1256 • Severe: Considerable interference with the subject's daily activities, unacceptable

1257

1258 **Relationship to trial medication Assessment Definitions:**

- 1259 • Probable: Good reasons and sufficient documentation to assume a causal relationship
- 1260 • Possible: A causal relationship is conceivable and cannot be dismissed
- 1261 • Unlikely: The event is most likely related to an etiology other than the trial product
- 1262 • Suggested to specify the reference document (eg: USPI, CCDS) in the protocol which is
- 1263 agreed upon with NN, for evaluation of expectedness

1264

1265 **Outcome Categories and Definitions:**

- 1266 • Recovered: Fully recovered or by medical or surgical treatment the condition has returned to the level observed at the first trial related activity after the subject signed the informed consent
- 1267 • Recovering: The condition is improving and the subject is expected to recover from the event. This term should only be used when the subject has completed the trial
- 1268 • Recovered with sequelae: As a result of the AE, the subject suffered persistent and significant disability/incapacity (e.g. became blind, deaf, paralyzed). Any AE recovered with sequelae should be rated as an SAE
- 1269 • Not recovered
- 1270 • Fatal – this term is only applicable if the subject died from a condition related to the reported AE. Outcomes of other reported AEs in a subject before he/she died should be assessed according to the state of the event at the time of death. An AE with fatal outcome must be reported as an SAE.
- 1271 • Unknown

1272

1273 **Collection, Recording and Reporting of Adverse Events**

1274 All events meeting the definition of an adverse event will be collected and reported from the first trial related activity after the subject has signed the informed consent and until the end of the post-treatment follow-up period as stated in the protocol.

1275

1276 **Plan for reporting both anticipated and unanticipated adverse events:**

1277 Each subject will be evaluated for any adverse events. Any event that is reported to either the principal investigator or his designated research associates by the subject or medical staff caring for the subject and which meets the criteria will be documented as such. Any event that is reported will then generate an adverse event report, which will be submitted to the safety officer, local IRB, Novo Nordisk, and FDA. The report will include a description of the event, when and how it was reported, as well as any official chart records or documentation to corroborate the event or the reporting of the event. All adverse events will be graded as mild, moderate, or severe. All unexpected fatal or life-threatening suspected adverse reactions represent especially important safety information and will be reported to FDA as soon as possible but no later than 7 calendar days following the sponsor's initial receipt of the information. Any severe and/or unanticipated adverse event will be reported to the safety officer, local IRB, Novo Nordisk, and FDA within 24 hours of knowledge of the event. At a minimum, the investigator will copy NN when expediting SARs or SUSARs to health authorities and will

1299 report all SARs related to NN product to the local NN affiliate safety department. All other
1300 adverse events will be reported in a timely fashion to the safety officer, local IRB, Novo Nordisk,
1301 and FDA preferably within 2 weeks of the date of the event. All adverse events will be
1302 summarized annually and submitted to the local IRB, Novo Nordisk, and FDA. Any action
1303 resulting in a temporary or permanent suspension of this trial (e.g. FDA actions, IRB actions, or
1304 actions by the investigators or co-investigators) will be reported to the appropriate Novo Nordisk
1305 program official.

1306

Follow-up of Adverse Events

1308 Periodic reviews of adverse events and safety issues will be performed during the trial as listed
1309 below:

1310

1311 Safety reviews. The principal investigators (SM, IN) will review the safety and progress of this
1312 trial on a weekly basis.

1313

1314 Annual review. The principal investigators (SM, IN) will review this protocol on a continuing
1315 basis for subject safety and include results of the review in the annual progress reports
1316 submitted to the safety officer, local IRB, and Novo Nordisk.

1317

Pregnancy

1318 Pregnant and breast-feeding females cannot participate in the trial because they may expose
1319 the unborn child to risks. If participants can become pregnant, a pregnancy test will be done
1320 from a urine sample, and it must be negative before they can be a part of this trial. If they do
1321 become pregnant during this trial, they must inform the researchers immediately and their
1322 participation in the trial will be discontinued. Novo Nordisk will receive a report of any pregnancy
1323 in the trial subject that occurs during the use of a NN product. The investigator must follow the
1324 pregnancy until the pregnancy outcome and the newborn infant is one month of age. The
1325 investigator must report information about the pregnancy, pregnancy outcome, and health of the
1326 newborn infant(s), as well as AEs in connection with the pregnancy, and AEs in the foetus and
1327 newborn infant.

1328

1329 At a minimum the following details will be reported - Trial name - Patient identification (e.g.
1330 subject number, initials, sex, age) - Event (Preferably diagnosis) - Drug - Reporter - Causality –
1331 Outcome. These details will be reported to Novo Nordisk Inc. safety department.

1332

Precautions/Over-dosage

1333 Certain precautions will be taken by the PI and trial staff based on prior data and experience.
1334 These include:

1335

1. Injection Site Reaction

1336 Approximately 1-10/100 subjects developed pain, bruising, irritation, itching and/or rash
1337 at the injection site in the previous LEAD and SCALE clinical trials. A similar incidence
1338 of these injection site reactions will be expected in this clinical trial. Safety text regarding
1339 these reactions and the possibility of anaphylactic shock will be included in the informed
1340 consent and as part of site and investigator training.

1341

2. Calcitonin Monitoring

1342 Calcitonin levels will not be routinely monitored during the trial.

1343

Possible Side-Effects

1348 As with any drug, allergic reactions to the drug in this study are possible. Liraglutide may cause
 1349 some, all or none of the side-effects listed below that have been reported in patients previously.

- 1350 • More frequent (>10%): nausea, diarrhea, constipation, and vomiting;
- 1351 • Less common (1-10%): dyspepsia, abdominal pain, abdominal distension, eructation,
 1352 flatulence; and
- 1353 • Rarely (<1%): gallbladder disease, acute pancreatitis

1354 All adverse effects are reversible with discontinuation of the medication.

1355 **Data and Safety Monitoring Committee (DSMC):**

- 1357 1. Parag Joshi MD
- 1358 2. Steven P. Marso MD
- 1359 3. James de Lemos MD
- 1360 4. Darren McGuire MD MHSc

1361 The DSMC will meet biannually by telephone/teleconference and distribute a report to the IRB
 1362 at the completion of each meeting.

1363 **LIABILITY AND SUBJECT INSURANCE:**

1364 During and following a subject's participation in the trial, the primary investigators (IN and SM)
 1365 and UT Southwestern Medical Center will provide adequate medical care to the study subject
 1366 for any study-related adverse events, including clinically significant laboratory values related to
 1367 the study. This medical care for study subjects will be provided regardless of their insurance
 1368 status.

1369
 1370 Novo Nordisk carries product liability insurance for its products. Sponsor-investigator agrees to
 1371 indemnify Novo Nordisk in accordance with the written contract executed between the parties
 1372 for this study. Generally, however, Novo Nordisk assumes no liability in the event of negligence
 1373 of the sponsor-investigator conducting the trial, or by persons for whom the sponsor-investigator
 1374 is responsible.

1375 **EVALUABILITY OF SUBJECTS:**

1376 The following analysis sets are defined:

1377 ***Full analysis set (FAS)***

1378 All randomized subjects exposed to at least one dose of the trial product and with at least one
 1379 post-baseline assessment of any efficacy endpoint will be included. Subjects in the FAS will be
 1380 analyzed according to intention to treat (primary) and per-protocol (secondary). The requirement
 1381 of a post-baseline observation is in alignment with the FDA recommendations.

1382 ***Safety analysis set***

1383 All randomized subjects who have been exposed to at least one dose of trial product. Subjects
 1384 in the safety analysis set will be analyzed "as treated".

1385 **PREMATURE TERMINATION OF TRIAL:**

1386 The trial will be prematurely terminated for the following reasons only:

- 1387 1. A serious threat to the safety of trial participants occurs that cannot be addressed in any
 1388 other manner than trial termination.
- 1389 2. Funding is withdrawn from the trial.
- 1390 3. The local IRB withdraws approval for the trial.

1396

1397

PUBLICATION PLAN:

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It is expected that this trial will generate 1 or more scientific abstracts that will be presented at scientific meetings and 1 or more manuscripts that will be published in peer review journals. For each publication there will be a first and senior author and a responsible writing group. This subgroup will be responsible for driving the publication process forward. The manuscript will be forwarded to Novo Nordisk for review prior to publication. Novo Nordisk will be allowed 10 working days to review the manuscript and provide feedback. The investigators retain the right to publish results independent of findings and will not need Novo Nordisk permission prior to publication and or presentation of data.

1406

1407

KEY PERSONNEL:

1408

Sponsor: UT Southwestern Medical Center, Dallas, TX

1409

1410

Clinical sites: UT Southwestern Medical Center (Ambulatory and Inpatient sites) and Parkland Hospital (Ambulatory and Inpatient sites)

1411

1412

Primary Investigator:

1413

Parag Joshi MD

1414

1415

Data and Safety Monitoring Committee (DSMC):

1416

5. Parag Joshi MD

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