



UVA CENTER FOR DIABETES TECHNOLOGY

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16 NCT04878120

17 Version Number: v2.4

18 14-Apr-2022

Hybrid Closed-Loop Control with Prandial Insulin Dosing Informed by Insulin Sensitivity in Adolescents with Type 1 Diabetes

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KEY ROLES

Protocol Principal Investigator	
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PROTOCOL VERSION HISTORY

Version Number	Author(s)	Approver	Effective Date	Revision Description
1.0	Melissa Schoelwer	Chiara Fabris	11-Mar-2021	Original Protocol
1.1	Mary Oliveri	Chiara Fabris	29-Mar-2021	FDA Modifications: <ul style="list-style-type: none"> • I/E modifications (section 3.4 & 3.5) • A1c lower limit • English proficiency • Additional restrictions of meds during study • Additional medical issues • Clarified insulin pump training (section 5.2) • Corrected CGM arrival values (section 6.2 & 6.3) • Added Meal Requirement to Pilot study (section 6.5) • Clarified discharge values of CGM reading is ≤ 250 mg/dL and ketones < 0.6 mmol/L (section 6.7 and 8.5) • Added Admission(s) Discharge & Post-Study Check In Visit description (section 8.5 & 8.6)

				<ul style="list-style-type: none"> • Added additional possible occurrences of COVID-19 tests (section 9.1.3) • Added positive COVID-19 symptoms (section 10.3.1) • Added participant stopping criteria of positive COVID-19 test (section 11.10.1)
1.2	Mary Oliveri	Chiara Fabris	01-Apr-2021	<p>FDA Modifications:</p> <ul style="list-style-type: none"> • Removed 'if ketones were present at time of discharge' (section 6.7 and 8.5)
1.3	Jon Olson Mary Oliveri	Chiara Fabris	03-May-2021	<p>IRB Full Board Mods:</p> <ul style="list-style-type: none"> • Changed 1-2 pilot participants to up to 3 participants • Revised Statistical (section 13.3.2).
1.4	Mary Oliveri	Chiara Fabris Melissa Schoelwer	25-Jun-2021	<p>Study Team mods:</p> <ul style="list-style-type: none"> • Added copy of Covid vaccination record if available (section 3.4). • Modified Covid policy (section 10.3).
2.0	Chiara Fabris	Chiara Fabris	16-Aug-2021	<p>Study Team mods:</p> <ul style="list-style-type: none"> • Revised Protocol Summary Table to include change in study from a 6 day camp to a two 4-

				<p>day camp study (Protocol Summary, section 1.3, Figure 1, 5.1, 8.4.4)</p> <ul style="list-style-type: none"> • Hemoglobin A1C POC will be collected at the first study admission (Table 1) • Clarified that study is examining increased physical activity and exercise (aerobics) in T1DM adolescents (section 1.1, 1.2, 1.3) • Specified use of Dexcom G6 CGM (section 1.3, 3.4) – Please note, this use of Dexcom G6 CGM (section 1.3, 3.4) was not approved by the IRB Full Board with the approval of the other v2.0 edits. Therefore, it was removed. • Added inclusion criteria that participants must have completed COVID-19 vaccine at least 2 weeks prior to study admission(s) and provide the
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				<p>vaccination card (section 3.4, 10.3)</p> <ul style="list-style-type: none"> • Added exclusion criteria of: • Known contact with COVID-positive individual within 14 days of any study admission(s) without a negative follow-up COVID test performed 3-5 days after the date of exposure • Symptoms of COVID-19 (e.g., fever, shortness of breath, unexpected loss of taste or smell) developed within 14 of any study admission(s) • Non-vaccinated participants will be excluded from participation (section 3.5, 10.3.1) • Added that basal rate will be reduced 10-20% by study physician (section 5.2.1) • Clarified specific COVID-19 symptoms that the study team will ask at pre-study admission(s) check-in visits (section 8.3.1)
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				<ul style="list-style-type: none"> • COVID-19 Test section edited (section 9.1.3) • Study/camp staff will be full vaccinated (section 9.1.3, 10.3.1) • COVID-19 Risk Mitigation Plan and Justification section edited (section 10.3) • Staff at Camp Holiday Trails will work to reduce COVID exposures by following the guidelines in this protocol (section 10.3.2) • COVID-19 Transmission section edited (section 11.4) • Other minor clarifications made throughout document.
2.1	Mary Oliveri	Chiara Fabris	21-Sep-2021	<p>IRB Modifications:</p> <ul style="list-style-type: none"> • Removed requirement on use of Dexcom G6 CGM (section 1.3, 3.4) <p>Study Team Modifications:</p> <ul style="list-style-type: none"> • Removed reference to Accu-Chek Guide glucometer (section 2.3, 10.1.6) <p>FDA Modifications</p>

				<ul style="list-style-type: none">• Increased enrollment of 35 people signing consent to 70 people signing consent (Protocol Summary, 1.4.1, 3.1, 4.2)• Reinstated COVID-19 PCR testing 48-72h before camp for participants and staff (section 8.2, 9.1.3)• Participants with COVID-19 symptoms will be excluded from study admission(s) (section 8.2, 9.1.3)• No high-risk activities requiring sustained close contact will be conducted (section 10.3.3)• Participants with COVID-19 symptoms will be isolated until discharged and will be advised on appropriate isolation and testing to be completed (section 11.4)• Close contacts of a participant with symptoms will be
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				<p>discharged and will be advised on appropriate isolation and testing to be completed (section 11.4)</p> <ul style="list-style-type: none"> • Universal masking and physical distancing will be mandated for the remaining participants and study staff in the event of a symptomatic case for the remainder of the study admission (section 11.4)
2.2	Mary Oliveri	Chiara Fabris	16-Nov-2021	<p>Study Team mods:</p> <ul style="list-style-type: none"> • Re-inserted that camp may be held over 6 days or two 4-day camp admissions (section 1.3)
2.3	Mary Oliveri	Mary Oliveri	02-Dec-2021	<p>IRB Pre-Review:</p> <ul style="list-style-type: none"> • Corrected session with sessions(s) throughout document • Added Participant Admission Itinerary for 6 night/5 day admission.
2.4	Mary Oliveri	Chiara Fabris	25_Mar-2022	<p>Study Team mods:</p> <ul style="list-style-type: none"> • Participants will sleep in cabins (section 10.3.1)

				<ul style="list-style-type: none"> Revised COVID-19 policies (section 3.5, 8.2, 8.3, 9.1.3, 10.3, 10.3.1, 11.4) Removed incorrect DSMB references; replaced with Medical Monitor (section 7.1, 7.2, Chapter 11:)
2.5	Mary Oliveri	Chiara Fabris	14-Apr-2022	FDA Modifications <ul style="list-style-type: none"> Post study check in visit modified (section 11.4)

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23 **SITE PRINCIPAL INVESTIGATOR STATEMENT OF COMPLIANCE**

24 Protocol Title: Hybrid Closed-loop Control with Prandial Insulin Dosing Informed by Insulin
25 Sensitivity in Adolescents with Type 1 Diabetes

26 Protocol Version: v2.5

27 Protocol Date: 14-Apr-2022

28 I have read the protocol specified above. In my formal capacity as a Site Principal Investigator,
29 my duties include ensuring the safety of the study participants enrolled under my supervision. It
30 is understood that all information pertaining to the study will be held strictly confidential and
31 that this confidentiality requirement applies to all study staff at this site.

32 This trial will be carried out in accordance with ICH E6 Good Clinical Practice (GCP) and as required
33 by the following: United States (US) Code of Federal Regulations (CFR) applicable to clinical
34 studies (45 CFR Part 46, 21 CFR Part 50, 21 CFR Part 56, 21 CFR Part 312, and/or 21 CFR Part 812).

35 As the Principal Investigator, I will assure that no deviation from, or changes to the protocol
36 will take place without prior agreement from the sponsor and documented approval from the
37 Institutional Review Board (IRB), or other approved Ethics Committee, except where necessary
38 to eliminate an immediate hazard(s) to the trial participants.

39 All key personnel (all individuals responsible for the design and conduct of this trial) have
40 completed Human Participants Protection Training and Good Clinical Practice Training. Further, I
41 agree to ensure that all staff members involved in the conduct of this study are informed about
42 their obligations in meeting the above commitments.

43

44 Investigator's Signature _____ Date: ____ / ____ / ____

45 Investigator's Name: _____

46 Site Name: University of Virginia

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LIST OF ABBREVIATIONS

ABBREVIATION	DEFINITION
AP	Artificial Pancreas
BG	Blood Glucose
BT/BTLE	Bluetooth, Bluetooth low energy
CGM	Continuous Glucose Monitoring
CLC	Closed-Loop Control
CIQ	Tandem t:slim X2 Insulin Pump with Control-IQ Technology
CSII	Continuous Subcutaneous Insulin Injection
DKA	Diabetic Ketoacidosis
DSMB	Data Safety Monitoring Board
FDA	Food and Drug Administration
GCP	Good Clinical Practice
HbA1c	Hemoglobin A1c
HBGI	High Blood Glucose Index
HCL	Hybrid closed-loop
IDE	Investigational Device Exemption
IOB	Insulin-on-Board
IS	Insulin sensitivity
JDRF	Juvenile Diabetes Research Foundation
LBGI	Low Blood Glucose Index
NIH	National Institutes of Health
POC	Point-of-Care
SAP	Sensor-Augmented Insulin Pump
QC	Quality Control
UI	User Interface

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PROTOCOL SUMMARY

PARTICIPANT AREA	DESCRIPTION
Title	Hybrid Closed-loop Control with Prandial Insulin Dosing Informed by Insulin Sensitivity in Adolescents with Type 1 Diabetes
Investigational Device	Smart bolus calculator informed by insulin sensitivity (SI)
Objectives	The objective of this study is to evaluate the safety and feasibility of a smart bolus calculator that adjusts insulin dosing for meals according to real-time SI in adolescents with type 1 diabetes (T1D) using a hybrid closed loop system during an active week of diabetes camp.
Study Design	Double-blind, randomized, crossover trial
Number of Sites	1
Endpoint	The primary endpoint is the low-blood glucose index (LBGI) in the four hours following dinner as measured by CGM, when using the hybrid closed-loop (HCL) system with the SI-informed smart bolus calculator compared to the standard HCL system alone.
Population	<p>Key Inclusion Criteria</p> <ul style="list-style-type: none"> • Age 12 - <18 years • Clinical diagnosis of T1D • Home use of an insulin pump and CGM for at least 3 months prior to study enrollment <p>Key Exclusion Criteria</p> <ul style="list-style-type: none"> • History of DKA in the past 6 months • History of hypoglycemic seizure or loss of consciousness in the past 6 months
Sample Size	<ul style="list-style-type: none"> • Pilot study: up to 3 participants • Main Study: 30 participants (up to 70 may be consented)
Treatment Groups	<ul style="list-style-type: none"> • All participants will be assigned to both treatment groups at differing points of the study (crossover) • Group 1: standard HCL (USS Virginia) • Group 2: HCL (USS Virginia) with smart bolus calculator informed by SI

Participant Duration	Pilot study: Up to 24 hours; Main study: 6 days or two 4-day camp admissions
Protocol Overview/Synopsis	After consent is signed, eligibility will be assessed. Eligible participants will be screened, and data will be collected from their home pump and CGM from the preceding four weeks. Participants will then be randomized 1:1 to the use of the standard HCL system (USS Virginia) vs. the HCL system with a smart bolus calculator at the camp admission. Participants will crossover into the other group midweek OR at the second camp admission. Participants and study personnel actively involved in the study will be blinded to the treatment groups.

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51 Table 1. Schedule of Study Visits and Procedure

	Screening	Data Collection	Pre-Admission Check-in	Study Admission(s)	Post Study Check-in
Location	Clinic/Remote	Remote (4 weeks historical data)	Phone/Email	Camp Holiday Trails	Phone/Email
Informed Consent	X				
Eligibility Assessment	X				
Medical History	X				
HbA1c (POC)				X (admission #1 only)	
Pregnancy test (if applicable)	X			X	
Physical Exam				X	
Vital Signs (height/weight)				X	
Randomization				X	
COVID-19 testing			X		
Wear Study CGM and Insulin Pump				X	
Review diabetes management and AEs	X	X		X	X
Check-In			X		X

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142 Chapter 1: Background

143 1.1 Introduction

144 The management of type 1 diabetes (T1D) involves close monitoring of blood glucose (BG) levels
145 along with frequent administration of subcutaneous insulin, either with multiple daily injections
146 or via continuous infusion from an insulin pump (1). The amount of insulin given to cover a meal
147 is dependent upon several factors including the amount of carbohydrates consumed, the pre-
148 meal BG level, the length of time since the last insulin administration, and how responsive or
149 sensitive the person is to insulin (i.e., how much 1 unit of insulin is expected to drop his/her BG).

150 Notably, a person's insulin sensitivity (SI) is not constant, fluctuating throughout the day based
151 on hormones and other factors such as physical activity (2-6). These fluctuations in SI make
152 determining the appropriate insulin dosing at any given time quite challenging, particularly for
153 meals following exercise. Despite the availability of guidelines for managing exercise with T1D
154 (7), many adolescents with T1D report not making the appropriate insulin adjustments around
155 exercise (8). While the use of continuous glucose monitoring (CGM) devices and hybrid closed
156 loop (HCL) "artificial pancreas" systems have been shown to significantly improve glycemic
157 control overall in people with T1D (9-11), daytime control remains suboptimal, largely due to the
158 challenges surrounding meals and exercise. Accordingly, smart bolus calculators are under
159 development to take into account changing metabolic needs and further improve glycemic
160 control (12,13).

161 The proposed SI-informed bolus calculator was designed to adjust the insulin dosing for changes
162 in SI at the time the bolus is delivered. If the real-time SI is estimated to be the same as the
163 person's typical SI at that time, the administered bolus will remain unchanged. However, it will
164 be increased/decreased if the participant is less/more sensitive than usual at the time the bolus
165 is administered. Of note, the ratio used to modulate the bolus will be saturated in order to not
166 allow for modifications larger than 30% of the original bolus amount (i.e., the dose suggested will
167 be between 70% and 130% of the standard dose indicated by the bolus calculator implemented
168 in the DiAs system using the participant's programmed insulin parameters).

169 The SI-informed smart bolus calculator was first tested *in silico* using the University of Virginia
170 (UVA)/Padova Type 1 Diabetes Simulator, a simulation platform approved by the FDA as a
171 substitute to preclinical trials in testing insulin-dosing algorithms (14), and a version has been
172 successfully used in a decision support system found to decrease glucose variability (15). The
173 smart bolus calculator was subsequently shown to be feasible and effective in a small clinical trial
174 of 15 adults with T1D, decreasing postprandial hypoglycemia following one controlled meal after
175 a standardized exercise period (16).

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176 In this study, we propose integrating the SI-informed smart bolus calculator into a mobile
177 platform (the DiAs, or Diabetes Assistant) running the UVA legacy HCL control algorithm (USS
178 Virginia – which led to the FDA-approved Control-IQ HCL system), for extended use in adolescents
179 with T1D in situations of increased physical activity and exercise.

180 **1.2 Study Objective**

181 The purpose of this study is to test the SI-informed smart bolus calculator when used repeatedly
182 for all bolus insulin dosing over the course of several days, in adolescents with T1D using a HCL
183 system and engaging in prolonged physical activity. The expected fluctuations in SI due to the
184 increased physical activity will appropriately challenge the system.

185 **1.3 Study Design**

186 This is a single center, double-blind, randomized, crossover trial. The trial will be held at a local
187 camp facility and will consist of EITHER a weeklong (6 day/5 night) camp OR two long weekends
188 (4 day/3 night) separated by a washout period of about one week.

189 The study will deploy a control and an experimental system in random order. In the case of a
190 weeklong camp, participants will be randomly assigned the first system to use and will crossover
191 to the other system midweek; in the case of two long weekends, participants will be randomly
192 assigned the system to use over the first weekend and will crossover to the other system during
193 the second weekend. We will target enrollment of 30 adolescents (age 12- <18 years) with T1D
194 who currently manage their diabetes with an insulin pump and a CGM system.

195 Participants will undergo an initial Screening Visit after which data from their personal insulin
196 pump and CGM from the previous four weeks will be downloaded. This retrospective data
197 collection period may be extended to gather more days of quality data if needed per the principal
198 investigator's judgment. This data will then be used to determine each participant's typical daily
199 fluctuations in SI.

200 After completion of the Screening Visit, if participants are eligible to take part in the study, they
201 will undergo a Randomization Visit. Participants will be randomized 1:1 to two groups, either
202 using USS Virginia (control system) or USS Virginia with the SI-informed smart bolus calculator
203 (experimental system) first, and will crossover into the other treatment arm during the study.
204 Participants and study personnel actively involved in the camp will be blinded to the assignment
205 of study participants to treatment groups.

206 Upon completion of all visits leading up to camp visit(s), participants will present for study
207 admission(s) at Camp Holiday Trails – a camp for children with chronic medical conditions, in
208 Charlottesville, VA. At the time of the admission(s), a POC HbA1c will be obtained, and a physical

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209 exam will be performed. During the entirety of camp admission(s) duration, participants will eat
210 all meals at camp and participate in daily activities including hiking, aerobics, art, etc. Meals and
211 activities will be kept similar during the use of the two systems (control and experimental), in
212 order not to bias study outcomes.

213 Figure 1 below presents an overview of the timeline of the main study visits, in the case of two
214 long weekends.

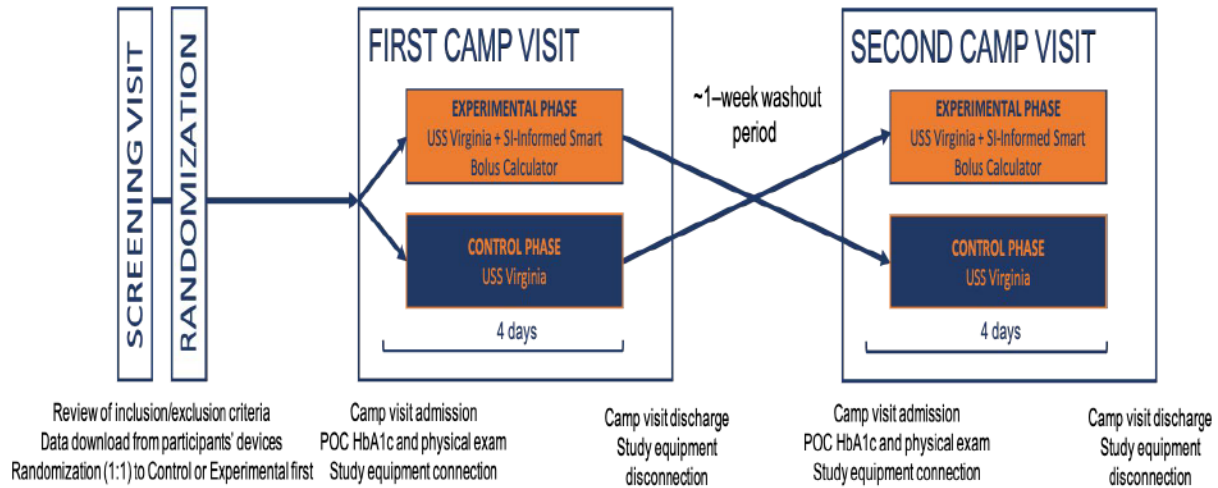


Figure 1: Study Diagram

1.4 Purpose/Objectives of Clinical Study

1.4.1 Study Participants

219 Enrollment in the study will proceed with the goal of completing approximately 30 participants.
220 Up to 70 participants may sign the consent form.

1.4.2 Clinical Sites

222 The study will be performed at the University of Virginia.

1.5 Primary Specific Aim

- Demonstrate the safety and feasibility of using the SI-informed smart bolus calculator in an HCL system, over an extended period of time with multiple meals.

1.6 Secondary Specific Aim

- Demonstrate that the use of the SI-informed smart bolus calculator in an HCL system provides increased protection against hypoglycemia.

229 **Chapter 2: Study Devices**

230 **2.1 Insulin Pump**

231 The study system will include the Tandem t:ap research pump connected to the UVA DiAs system
232 running on a dedicated external smartphone, implementing either the USS Virginia algorithm
233 alone or the USS Virginia algorithm with the smart bolus calculator.

234 **2.2 Continuous Glucose Monitor**

235 The study CGM will include Dexcom G6 transmitter and sensors. The CGM sensor is viable for 10
236 days. Like the insulin pump, the CGM system will be connected to DiAs.

237 **2.3 Blood Glucose Meter and Strips**

238 Upon need, blood glucose levels will be measured using the study blood glucose meter
239 (glucometer). The CGM device will be calibrated, if needed, using the study glucometer and strips
240 in accordance with the manufacturer's labeling. Glucometers will be available during the study
241 admission(s).

242 **2.4 Ketone Meter and Strips**

243 Upon need, blood ketone levels will be measured using the Abbott Precision Xtra meters and
244 strips in accordance with the manufacturer's labeling. The blood glucose meter component of
245 the Precision Xtra Device will not be used. Ketone meters will be available during the study
246 admission(s).

247 **2.5 Study Devices Accountability Procedures**

248 Device serial numbers will be recorded, and use of equipment will be tracked.

249 **Chapter 3: Study Screening**

250 **3.1 Participant Recruitment and Enrollment**

251 Participants will be recruited from the UVA Center for Diabetes Technology registry, social media
252 advertisements, physician contacts at pediatric diabetes clinics in Virginia, and previous camp
253 attendance data. The enrollment goal in the pilot study will be to complete up to three
254 participants. Up to four participants may sign consent/assent forms. The enrollment goal for the
255 main study is to complete 30 participants. Up to 70 participants may sign the consent form.

256 **3.2 Informed Consent and Authorization Procedures**

257 Before consent has been obtained, participants will be asked inclusion/exclusion criteria
258 questions during prescreening to determine study eligibility. Before completing any procedures
259 or collecting any data that are not part of usual care, written informed consent will be obtained.
260 Informed consent will be obtained from a parent for participants <18 years old and assent will
261 also be obtained from participants who are <18 years old. Potential eligibility may be assessed as
262 part of a routine-care examination.

263 A participant is considered enrolled when the informed consent form has been signed by the
264 participant and the study team.

265 Consenting procedures and documentation is defined in section 15.3.

266 **3.3 Screening Procedures**

267 After informed consent has been signed, a potential participant will be evaluated for study
268 eligibility through the elicitation of a medical history, performance of a physical examination by
269 licensed personnel, and pregnancy testing (if applicable) to screen for exclusionary medical
270 conditions. A physical exam documented in the prior year can suffice. The screening visit can be
271 performed in clinic or by video conferencing.

272 **3.4 Participant Inclusion Criteria**

273 The participants must meet the following inclusion criteria in order to be eligible to participate in
274 the study:

- 275 1. Age ≥ 12 and <18 years old at time of consent
- 276 2. Clinical diagnosis, based on investigator assessment, of T1D for at least one year
- 277 3. Currently using insulin for at least six months
- 278 4. Currently using an insulin pump for at least three months
- 279 5. Currently using a CGM system for at least three months

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- 280 6. Having at least 75% of CGM data over the previous four weeks
- 281 7. Using insulin parameters such as carbohydrate ratio and correction factors consistently
282 on their pump in order to dose insulin for meals or corrections
- 283 8. Access to internet and willingness to upload data during the study as needed
- 284 9. For females, not currently known to be pregnant or breastfeeding
- 285 10. A negative urine pregnancy test will be required for all females of childbearing potential
- 286 11. Willingness to suspend use of any personal CGM for the duration of the clinical trial once
287 the study CGM is in use
- 288 12. Willingness to switch to lispro (Humalog) or aspart (Novolog) if not using already, and to
289 use no other insulin besides lispro (Humalog) or aspart (Novolog) during the study
- 290 13. Total daily insulin dose (TDD) of at least 10 U/day
- 291 14. Willingness not to start any non-insulin glucose-lowering agent during the course of the
292 trial (including metformin, GLP-1 agonists, pramlintide, DPP-4 inhibitors, SGLT-2
293 inhibitors, biguanides, sulfonylureas and natural products)
- 294 15. Willingness to eat at least 40 grams of carbohydrates per meal
- 295 16. An understanding and willingness to follow the protocol and signed informed consent
- 296 17. Participants and parent/legal guardians will be proficient in reading and writing in English
- 297 18. Willingness to comply with COVID-19 precautions as defined by the study team (study
298 team will reference section 10.3)
- 299 19. Having completed a COVID-19 vaccination with an FDA-approved COVID-19 vaccine at
300 least two weeks before the first study admission and willing to provide a copy of the
301 COVID-19 vaccination card

302 3.5 Participant Exclusion Criteria

303 The participant must not have any of the following exclusion criteria in order to be eligible to
304 participate in the study:

- 305 1. Hemoglobin A1c <6% or >10% if measured at screening or available from historical medical
306 report performed within the last 6 months; in absence of a valid HbA1c measurement,
307 average blood glucose estimated from CGM data to be approximately between 100 and 240
308 mg/dL
- 309 2. History of diabetic ketoacidosis (DKA) in the 6 months prior to enrollment
- 310 3. Severe hypoglycemia resulting in seizure or loss of consciousness in the 6 months prior to
311 enrollment
- 312 4. Pregnancy or intent to become pregnant during the trial

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- 313 5. Currently breastfeeding or planning to breastfeed
314 6. Currently being treated for a seizure disorder
315 7. Planned surgery during study duration
316 8. History of cardiac arrhythmia (except for benign premature atrial contractions and benign
317 premature ventricular contractions which are permitted)
318 9. Current treatment with any non-insulin glucose-lowering agent (metformin, GLP-1
319 agonists, pramlintide, DPP-4 inhibitors, SGLT-2 inhibitors, biguanides, sulfonylureas and
320 natural products)
- 321 10. A known medical condition that in the judgment of the investigator might interfere with
322 the completion of the protocol such as the following examples:
- 323 i. Significant chronic kidney disease (eGFR < 60) or hemodialysis
 - 324 ii. Significant liver disease
 - 325 iii. History of adrenal insufficiency
 - 326 iv. History of abnormal TSH consistent with hypothyroidism or hyperthyroidism that
327 is not appropriately treated OR history of thyroid cancer
 - 328 v. Use of ongoing oral or injectable steroids in the last 8 weeks
- 329 11. Use of an insulin delivery mechanism that is not downloadable by the participant or study
330 team
- 331 12. Known contact with COVID-positive individual within 7 days of any study admission(s)
- 332 13. Symptoms of COVID-19 (e.g., fever, shortness of breath, unexpected loss of taste or smell)
333 developed after taking the mandatory COVID-19 PCR test prior to any study admission(s)
334 or during study admission(s) participation
- 335 14. A positive COVID-19 test within 10 days of any study admission(s)
- 336 15. Not being fully vaccinated at the time of the first camp admission (according to CDC
337 guidelines a person is intended to be fully vaccinated after two weeks from either the
338 second dose of the Pfizer or Moderna vaccine, or the single dose of the Johnson &
339 Johnson vaccine)

340 3.6 Eligibility Screening Procedures

341 The participant will be evaluated for study inclusion and exclusion eligibility after the informed
342 consent form has been signed by the participant and the study team. Individuals who do not
343 initially meet study eligibility requirements may be rescreened at a later date per investigator
344 discretion. The following information will be collected during the screening procedures:

- 345 • Demographics
- 346 • Date of birth
- 347 • Gender

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- 348 • Race
- 349 • Ethnicity
- 350 • Medical History
- 351 • Duration of disease (number of years)
- 352 • History of insulin pump use and current insulin pump model
- 353 • History of CGM use
- 354 • Current treatment
 - 355 i. Basal rates
 - 356 ii. Carbohydrate ratios
 - 357 iii. Insulin sensitivity factors
 - 358 iv. Target glucose
 - 359 v. Average daily insulin
- 360 • History of diabetic ketoacidosis
- 361 • History of severe hypoglycemia
- 362 • History of seizures
- 363 • Loss of consciousness
- 364 • Surgical history
- 365 • Allergies
- 366 • Concomitant medications
- 367 • Physical Examination – A historical history and physical report within 52 weeks of
- 368 screening appointments may be used if available. Self-reported values are acceptable.
- 369 • Weight and height
- 370 • Blood pressure
- 371 • Pulse
- 372 • Temperature
- 373 • Screening Labs
- 374 • Hemoglobin A1c within past 6 months or average glucose on CGM
- 375 • History of pregnancy or intent to become pregnant during the study
- 376 • COVID-19 vaccination

377 Screening procedures will last approximately 1-2 hours. Screening can be performed via
378 videoconference. Once all results of the screening evaluations are available, a decision will be
379 made to determine the participant's eligibility for the study or if one or more part of the screening
380 will have to be repeated. If at the first screening or repeat screening an exclusionary condition is
381 identified, the participant will be excluded from participation with follow up and referred to their

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382 primary care physician as needed. The study physician may elect to rescreen participants if their
383 clinical situation changes.

384 **Chapter 4: Randomization Visit**

385 Once eligibility is met, the participant may continue with randomization at the conclusion of the
386 screening appointment. Using a randomized block design, the participants will be randomly
387 assigned to either one of the two treatment groups. Screening failures and study dropout
388 participants may be replaced.

389 **4.1 Pilot Participants**

390 Participants will not be randomized in the Pilot Study.

391 **4.2 Main Study Participants**

392 Approximately 30 participants will be randomized 1:1 to use USS Virginia or USS Virginia with SI-
393 informed bolus calculator first. Up to 70 participants may sign the informed consent form.

394 **Chapter 5: Study Equipment Training**

395 Participants will be trained on the use of the study CGM after study eligibility has been met.
396 Additional equipment training may begin at arrival to study admission(s) after the system
397 equipment has been put in place. The purpose of this training is to introduce the study insulin
398 pump and study CGM to the participant.

399 **5.1 CGM Training**

400 A study CGM will be provided to all participants after meeting study eligibility. The participants
401 will be provided with CGM equipment and instructed to place the study CGM ~24-48 hours prior
402 to arrival to camp and use the study CGM on a daily basis. If the participant has prior use of the
403 CGM, re-training will be specific to the individual. The study team will have participants who are
404 not familiar with the study CGM watch the Dexcom online training videos
405 (<https://www.dexcom.com/training-videos>). Study staff will specifically identify how alarms are
406 set using the app and the frequency that these alarms repeat. Parents may optionally use the
407 Dexcom Share App for monitoring for the at-home use of the CGM. A study phone may be
408 provided for this purpose.

409 **5.2 Study Insulin Pump**

410 The study team will be responsible for monitoring and managing the study insulin pump during
411 the study admission(s). The participants will be provided a quick overview on its functionality.

412 **5.2.1 Study Insulin Pump Topics**

413 The study team will assist the participant in placing the study pump infusion site and will start
414 the participant on the study pump. The study pump will be programmed by the study staff based
415 on the participant's home parameters, with a 10-20% reduction of basal rate determined by the
416 study physician to account for increased physical activity at the camp. The participant's personal
417 pump and infusion site will be removed.

418 The participant will be instructed on placing infusion sites, cartridge/priming procedures,
419 charging the pump, navigation through menus, and bolus procedures including stopping a bolus,
420 etc.

421 **5.2.2 Other Issues**

422 The participant will be instructed to notify study staff if they experience any issues with the study
423 devices during the study admission(s). Staff will be present in the event that insulin is delivered
424 by any means other than the study pump (e.g., injection of subcutaneous insulin via syringe in

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425 the event of infusion site failure). If insulin is delivered by any means other than the study pump,
426 closed-loop mode will be turned off for approximately four hours.

427 The participant will be asked to alert the study clinical staff for any illness that develops during
428 use of the study system. Participants will also alert the study staff for any technical issues or
429 component disconnections with the study pump and/or the DiAs system.

430 A glucagon emergency kit will be available at all times during the study for use during
431 emergencies. Glycemic Treatment Guidelines will be available for staff to use during the camp.

432 **5.2.3 Optimization of Insulin Pump Settings**

433 Optimization of pump settings can occur at any time during the study per the discretion of the
434 study physician if there are concerns regarding recurring hypo- or hyperglycemia.

435 **Chapter 6: Pilot Study**

436 The Pilot Study will be performed at a local hotel. The study admission will take up to 24 hours
437 with the intent of collecting appropriate safety data. Pilot study participants are eligible to enroll
438 in the Main Study.

439 **6.1 Qualifications and Role of the Staff**

440 There will be at least three study staff present at all times at the study site, at least one of whom
441 will be clinical staff (e.g., nurse, physician, nurse practitioner). There will be a physician at the
442 hotel or nearby on call during the study at all times. In addition, at least one senior engineer will
443 be on call during the entire admission. Participants will be remotely monitored by at least one
444 study team member using a web-based remote monitoring system that has been previously
445 established for DiAs. The web-based remote monitoring system will display real-time insulin
446 delivery, CGM and other system information to allow for patient safety monitoring. In addition,
447 study team members will be trained in all protocol and glycemic treatment guidelines
448 procedures. The closed-loop system will be managed by the participant with study-staff
449 supervision, particularly at the time of insulin boluses. Glucagon for the emergency treatment of
450 hypoglycemia will be available on-site.

451 **6.2 Pre-Admission Check-In Visit**

- 452 • Pilot participants will be contacted by the study team approximately 48 hours prior to the
453 study admission to verify the following information:
- 454 • Confirm that the participant will insert a study CGM 24-48 hours prior to the study
455 admission
- 456 • Inquire about any changes to the participant's medical history
- 457 • Inquire about the participant's possible COVID-19 exposures and study related initial
458 COVID-19 testing
- 459 • Determine pump profile(s) the participant is currently using
- 460 • Verify with the participant that the goal CGM reading at time of arrival is less than 200
461 mg/dL
- 462 • Should any concerns regarding medical history, pump information, or unforeseen issues
463 arise, the admission will be cancelled for that participant at the discretion of the
464 investigator.

465 **6.3 Admission Check-In**

466 Participants will arrive at the hotel on the first day of the admission. The study team will perform
467 vital signs and any changes to the participant's medical history. Any changes to medical history
468 will be communicated to the medical physician to ensure continued eligibility and participation.

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469 A urine pregnancy test will be collected if applicable. The test must be negative for the participant
470 to continue with the study.

471 In the event that the participant's CGM reading is not between 80-250 mg/dL or ketone
472 concentration is ≥ 0.6 mmol/L prior to the study pump initiation, the study physician may
473 recommend corrective action as outlined in the Glycemic Treatment Guidelines. Study physician
474 may elect to cancel participant's participation in the study admission if concerned about their
475 medical safety. This participant will not be replaced.

476 The participant's home insulin pump will be discontinued, and the study insulin pump will be
477 initiated. The study team will ensure the proper function of the CGM and insulin pump and will
478 connect both of them to the DiAs device. The goal will be to initiate the study system by
479 approximately 9-10 AM, running either USS Virginia alone or USS Virginia with the SI-informed
480 smart bolus calculator in the DiAs platform.

481 The CGM used in the study is FDA-approved for the non-adjunctive measurement of blood
482 glucose (i.e., the CGM reading can be used for insulin dosing decisions). The CGM readings will
483 be the primary source of blood glucose values. There are no protocol fingerstick blood glucose
484 measurements other than at times of CGM calibration (if necessary) and if directed by the study
485 team. Fingerstick blood glucose measurements may be taken whenever participants experience
486 symptoms, if the CGM glucose is suspected to be erroneous, or any time the participant would
487 like to be reassured.

488 **6.4 Study Meals**

489 Participants will eat study-provided meals during the admission. The estimated time of meals will
490 be 8 AM, 12:30 PM, and 6 PM.

491 **6.5 Meal Requirements**

492 Study staff will confirm the carbohydrate content of all meals and snacks prior to entering into
493 the bolus calculator. Participants will be required to consume a minimum of 40 grams of
494 carbohydrate for each meal. All meal and correction boluses will be based on CGM readings.

495 **6.6 Admission Activities**

496 Participants will be free to engage in low-intensity activity (walking) during the morning and
497 afternoon hours. Participants will enjoy quiet activities in the evening.

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498 **6.7 Admission Discharge**

499 Discharge will be at approximately 10 AM if the CGM is between 80 and 250 mg/dL and ketones
500 <0.6 mmol/L. Otherwise, the study team will reference the Glycemic Treatment Guidelines until
501 the needed criteria for discharge are met.

502 A qualified clinical study team member (e.g., MD, NP, CDE) will assess and discuss the transition
503 back to usual care with the study participant.

504 Participants will be asked to continue monitoring ketone levels for 24-48 hours after the hotel
505 admission. Urine ketone supplies may be provided for this testing.

506 **6.8 Post Admission Check-In Visit**

507 Approximately 72 hours after the study admission, the study team will contact the participant via
508 phone/email/text to assess for any adverse events, adverse device effects, and device issues.

509 **Chapter 7: Medical Monitor**

510 **7.1 Study Safety Data Review**

511 The Medical Monitor (MM) will be provided all adverse event data from the trial onset through
512 the study admission(s) for review. The goal is to provide at least 75% of the data to the MM for
513 review. Replacing dropped study participants will not be required in the Pilot Study. The MM will
514 review data related to individual stopping criteria as detailed in the study protocol.

515 **7.2 Medical Monitor Decisions**

516 After their review, the MM can recommend that the current study continue without
517 modification, continue with specified modifications, discontinue one or more arms of the study,
518 or halt or modify the study until more information is available.

519 The MM may recommend modifications to individual stopping rules if additional safety concerns
520 arise during from their continuing reviews of the study data.

521 The study admission(s) will not be repeated unless required by the MM.

522 **7.3 Medical Monitor Main Study Safety Data Review**

523 A MM will review compiled safety data at the conclusion of the trial. In addition, the MM will
524 review all DKA and severe hypoglycemia irrespective of relatedness to study device use, and all
525 serious events (including UADEs) related to study device use at the time of occurrence. The MM
526 also will be informed of any ADEs not meeting criteria for a UADE if the Study PI requests the MM
527 review. The MM can request modifications to the study protocol or suspension or outright
528 stoppage of the study if deemed necessary based on the totality of safety data available.

529 **Chapter 8: Main Study**

530 Study participants will be screened prior to arrival to camp.

531 **8.1 Qualifications and Role of the Staff**

532 Study staff will be present or on call at all times during the study admission(s), including study
533 physicians, study nurses, technical support staff, and senior engineers. At least two medically-
534 trained study staff members will be present on site at all times, including at least one study
535 physician and one nurse. Camp personnel will direct the recreation activities with study staff
536 support.

537 **8.2 Pre-Admission(s) Check-in Visit**

538 Participants will be contacted by the study team 24-48 hours prior to study admission(s). The
539 study team will verify the following information:

- 540 • The participant will insert new CGM sensor approximately 24-48 hours prior to the camp
- 541 • Inquire about any changes to the participant's medical history
- 542 • Confirm the participant has completed COVID-19 PCR test as described in section 9.1.3
- 543 • Confirm the participant does not currently have any symptoms of COVID-19 (e.g., fever,
544 shortness of breath, unexpected loss of taste or smell)
- 545 • Confirm the participant hasn't had known contact with COVID-positive individual within
546 7 days of any study admission(s)
- 547 • Confirm the participants hasn't had a positive COVID-19 test results within 10 days of
548 any study admission(s)
- 549 • Confirm pump parameter profiles the participant is currently using
- 550 • Verify with the participant that the goal CGM reading at the time of arrival is <200 mg/dL
- 551 • Should any concerns regarding medical history, pump information, or unforeseen issues
552 arise, the admission(s) will be cancelled at the discretion of the investigator

553 **8.3 Admission(s) Check-in Procedures**

554 Participants will arrive at camp on the first day of each study admission(s).

555 **8.3.1 Participant Check-in and Verification**

556 At time of check-in, participants will undergo the following procedures/tests:

- 557 • Vital signs will be measured.

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- 558 • It will be confirmed that the participant does not currently experience any symptoms of
559 COVID-19; has not had any contact with a COVID-positive individual in the previous 7 days;
560 and has not had a positive COVID-19 test result in the previous 10 days.
- 561 • Female participants of childbearing potential will be required to complete a urine
562 pregnancy test. If positive, participant will be discontinued from the study.
- 563 • Confirm that the participant brought his/her personal insulin and regular medications.
- 564 • Participants will be asked to perform a blood ketone fingerstick. If ketones are not <0.6
565 mmol/L, study staff should treat with oral hydration and, if needed, the Glycemic
566 Treatment Guidelines will be followed; ketones will be re-checked every hour until <0.6
567 mmol/L. The participant will be able to begin participation in the camp activities once the
568 CGM reads between 80-250 mg/dL and ketones are <0.6 mmol/L.

569 **8.3.2 Assignment to Randomization Group**

570 Participants will be placed on the study CGM and insulin pump and the DiAs system implementing
571 the algorithm of the specific arm (experimental or control) will be connected to the participant's
572 CGM transmitter via Bluetooth connection. The study team will ensure the proper functioning of
573 the CGM and insulin pump.

574 **8.4 Main Admission(s) Activities and Procedures**

575 **8.4.1 General Admission(s) Overview**

576 During the entire duration of each study admission(s), the study team will remotely monitor
577 participants' real-time CGM readings. Study team members trained in all protocol interventions
578 (including the hypoglycemia and hyperglycemia safety protocols) will be with the participants at
579 all times during camp activities, with each member remotely connected to the participants CGM
580 data. In addition, a study team physician trained in all protocol and glycemic treatment guideline
581 procedures will be available at a central location on camp property.

582 All recreational activities will be managed by the staff and counsellors at Camp Holiday Trails with
583 study staff supervision.

584 During the overnight hours, study staff will be actively monitoring real-time CGM data for all
585 participants with direct access to the participants.

586 **8.4.2 Device Procedures for Participants**

587 The study insulin pump and DiAs device will be provided upon arrival to the camp. All participants
588 will continue to wear the study CGM. When participants crossover into the other treatment
589 group, they will continue to wear the same study CGM and study insulin pump with the change
590 only made to the software that the system is running.

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591 Participants will have all equipment with them at all times. Study staff will be available at all times
592 to assure proper use of all study equipment.

593 **8.4.3 Meal Requirements**

594 Study staff will confirm the carbohydrate content of all meals and snacks prior to entering into
595 the bolus calculator. Participants will be required to consume a minimum of 40 grams of
596 carbohydrate for each meal. All meal and correction boluses will be based on CGM readings.

597 **8.4.4 Participant's Admission(s) Itinerary**

598 Participants will generally follow the below schedule during each study admission(s).

599 **Participants will generally follow the below schedule during the 6 day/5 nigh admission:**

600 Camp Check-in (all times are estimates):

601 1300-1600: Check-in and study equipment connection. Participants will undergo the check-in
602 procedures as listed in section 8.3 and start use of study equipment.

603 1600-1800: Afternoon Camp Activity per Camp staff

604 1800: Dinner

605 1900-2030: Evening Camp Activity per Camp Staff

606 2030: Bedtime snack

607 2100-2300: Free time/Bedtime

608 Day 1 & 2

609 0800-0900: Breakfast

610 0900-1200: Morning Camp Activities. Participants will begin the activities if his/her CGM reads
611 >90 mg/dL. If ≤ 90 mg/dL, the Glycemic Treatment Guidelines will be followed until cleared to
612 begin activity. An optional morning snack will be provided between activities.

613 1230-1330: Lunch

614 1330-1500: Rest Hour

615 1500: Afternoon Snack

616 1515-1715: Afternoon Camp Activities. Participants will begin the afternoon activity if his/her
617 CGM reads >90 mg/dL. If ≤ 90 mg/dL, the Glycemic Treatment Guidelines will be followed until
618 cleared to begin activity.

619 1730-1830: Dinner

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620 1830-2030: Evening Camp Activity per Camp Staff

621 2030: Bedtime snack

622 2100-2300: Free time/Bedtime

623 Day 3 & 4

624 Prior to breakfast on the morning of Day 3, all participants will crossover into the other treatment
625 arm. The morning and afternoon activities that the participants performed on the first and
626 second days of camp and the schedules will be identical on these days (i.e., Day 3 will be the same
627 as Day 1 and Day 4 will be the same as Day 2). Provided meals will also be similar with regards to
628 carbohydrate content.

629 Day 5

630 0800-0900: Breakfast

631

632 **Participants will generally follow the below schedule during the 4 day/3 night admission:**

633 Day 1 and Day 5 (i.e., first day of each camp admission)

634 1300-1600: Check-in and study equipment connection. Participants will undergo the check-in
635 procedures as listed in section 8.3 and start use of study equipment.

636 1600-1800: Afternoon camp activity per camp staff

637 1800-1900: Dinner

638 1900-2200: Evening camp activity per camp staff

639 2200-2230: Preparation for bedtime and optional carb-free bedtime snack

640 Days 2&3 and Days 6&7 (i.e., second and third day of each camp admission)

641 0800-0900: Breakfast

642 0900-1230: Morning camp activities. Participants will begin the activities if their CGM reads >90
643 mg/dL. If ≤ 90 mg/dL, the Glycemic Treatment Guidelines will be followed until cleared to begin
644 activity.

645 1230-1330: Lunch

646 1330-1430: Rest Hour

647 1430-1500: Optional afternoon snack

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648 1500-1730: Afternoon camp activities. Participants will begin the afternoon activity if their CGM
649 reads >90 mg/dL. If ≤ 90 mg/dL, the Glycemic Treatment Guidelines will be followed until cleared
650 to begin activity.

651 17:30-1800: Shower time

652 1800-1900: Dinner

653 1900-2200: Evening camp activity per camp staff

654 2200-2230: Preparation for bedtime, optional carb-free bedtime snack, and back to tents

655 Day 4 and Day 8

656 0800-0900: Breakfast

657 0900-1200: Discharge procedures with support camp activities and lunch provided if needed

658 **8.5 Admission(s) Discharge**

659 Discharge will be at approximately 0900-1200 if the CGM are between 80-250 mg/dL and ketone
660 values <0.6 mmol/L will be needed at time of discharge. Otherwise, the study team will reference
661 the Glycemic Treatment Guidelines until the needed criteria for discharge are met.

662 A qualified clinical study team member (e.g., MD, NP, CDE) will assess and discuss the transition
663 back to usual care with the study participant. Participants may continue wearing the study CGM
664 between admission #1 and admission #2 if participating in the 4 day/3 night camp.

665 Participants will be asked to continue monitoring ketone levels for 24-48 hours after the
666 admission(s). Urine ketone supplies may be provided for this testing.

667 **8.6 Post Admission(s) Check-In Visit**

668 Approximately 72 hours after the study admission(s), the study team will contact the participant
669 via phone/email/text to assess for any adverse events, adverse device effects, and device issues.
670 Post-discharge guidelines related to COVID are outlined in section 11.4.

671 **8.7 Study System Issues**

672 If the CGM signal becomes unavailable for more than 20 minutes consecutively, the HCL system
673 will not operate to automatically adjust insulin. If the CGM is not connected, the system will
674 revert to usual function of the pump and deliver insulin with the insulin dosing parameters
675 programmed in the system for that individual. Resumption of Closed-Loop will
676 occur automatically once CGM signal is available again. If the study system is unable to activate
677 HCL for any reason, the pump will automatically revert to pre-programmed basal insulin delivery

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678 without any need for instruction from the user. Study staff will be available at all times to assist
679 with any issues in connectivity that arise.

680 **Chapter 9: Testing Procedures**

681 **9.1 Laboratory / Point of Care Testing**

682 **9.1.1 HbA1c**

- 683
 - HbA1c level may be measured by study team using the DCA2000, a comparable point
- 684
 - of care device at study admission #1.

685 **9.1.2 Pregnancy Test**

- 686
 - Pilot study admission: A urine pregnancy test will be required for women who are in
- 687
 - childbirth potential at the study admission. Test must be negative to participate in the
- 688
 - study.
- 689
 - A urine pregnancy test will be required for women of childbearing potential at the
- 690
 - time of study admission(s). Test must be negative to participate in the study.

691 **9.1.3 COVID-19 Test**

- 692
 - Main study admission(s): A COVID-19 PCR test will be required for participants and
- 693
 - staff 48-72 hours prior to the study admission(s). Participants and staff with a positive
- 694
 - test result within 10 days of the camp will be excluded from the study admission(s).
- 695
 - Any appearance of COVID-19 symptoms in participants or staff members after the
- 696
 - mandatory pre-admission COVID-19 PCR test will result in discharge from the study
- 697
 - admission(s).

698 **Chapter 10: Risks Associated with Clinical Trial**

699 **10.1 Potential Risks and Benefits of the Investigational Device**

700 Risks and Benefits are detailed below. Loss of confidentiality is a potential risk; however, data are
701 handled to minimize this risk. Hypoglycemia, hyperglycemia and ketone formation are always a
702 risk in participants with T1D and participants will be monitored for these symptoms.

703 **10.1.1 Fingerstick Risks**

704 About 1 drop of blood will be removed by fingerstick for measuring blood sugars and sometimes
705 HbA1c or other tests. This is a standard method used to obtain blood for routine hospital
706 laboratory tests. Pain is common at the time of lancing. In about 1 in 10 cases, a small amount of
707 bleeding under the skin will produce a bruise. A small scar may persist for several weeks. The risk
708 of local infection is less than 1 in 1000. This should not be a significant contributor to risks in this
709 study as finger sticks are part of the usual care for people with diabetes.

710 **10.1.2 Subcutaneous Catheter Risks (CGM)**

711 Participants using the CGM will be at low risk for developing a local skin infection at the site of
712 the sensor needle placement. If a catheter is left under the skin for more than 24 hours it is
713 possible to get an infection where it goes into the skin, with swelling, redness and pain. There
714 may be bleeding where the catheter is put in and bleeding under the skin causes a bruise (1 in 10
715 risk).

716 Study staff should verbally alert the participant that on rare occasions, the CGM may break and
717 leave a small portion of the sensor under the skin that may cause redness, swelling, or pain at
718 the insertion site. The participant should be further instructed to notify the study coordinator
719 immediately if this occurs.

720 **10.1.3 Risks of Hypoglycemia**

721 As with any person having T1D and using insulin, there is always a risk of having a low blood sugar
722 (hypoglycemia). The frequency of hypoglycemia should be no more and possibly less than it
723 would be as part of daily living. Symptoms of hypoglycemia can include sweating, jitteriness, and
724 not feeling well. Just as at home, there is the possibility of fainting or seizures (convulsions) and
725 that for a few days the participant may not be as aware of symptoms of hypoglycemia. A CGM
726 functioning poorly and significantly over-reading glucose values could lead to inappropriate
727 insulin delivery.

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728 **10.1.4 Risks of Hyperglycemia**

729 Hyperglycemia and ketonemia could occur if insulin delivery is attenuated or suspended for an
730 extended period or if the pump or infusion set is not working properly. A CGM functioning poorly
731 and significantly under-reading glucose values could lead to inappropriate suspension of insulin
732 delivery.

733 **10.1.5 Risks of Device Reuse**

734 Participant will be informed that FDA or relevant national authorities have approved the insulin
735 pump, CGM, glucometer and ketone meter for single use and that by using them among multiple
736 patients, bloodborne pathogens (i.e. Hepatitis B) may be spread through the use of multiple
737 users.

738 The study CGM system is labeled for single use only. The sensor (the component of the system
739 that enters the skin) will be single use only. The transmitter and receiver may be reused during
740 the study after cleaning the device using a hospital-approved cleaning procedure. The transmitter
741 is attached to the sensor but does not enter the skin and the receiver, if used, is a hand-held
742 device.

743 The study insulin pumps are labeled for single-patient use. During the study, this device may be
744 reused after cleaning adhering to a hospital-approved cleaning procedure. All infusion set
745 equipment will be single patient use only (infusion set insertion kits, tubing, cartridges etc.)

746 The study blood glucose meter and blood ketone meter are labeled for single-patient use.
747 During the study, these devices may be reused after cleaning adhering to a hospital-approved
748 cleaning procedure.

749 **10.1.6 Device Cleaning Instructions**

750 CGM cleaning instructions are provided in the Dexcom G4 PLATINUM (Professional) Cleaning and
751 Disinfection manual (current edition). The transmitter should be cleaned with Clorox Healthcare®
752 Bleach Germicidal Cleaner or any disinfectant product in a spray bottle containing a bleach
753 solution of 6500 parts per million with the EPA registration number 56392-7. The transmitter will
754 be submerged in this solution and then placed on an absorbent wipe or clean surface. Two sprays
755 will be dispensed from the Clorox cleaner onto each side of the transmitter. A nylon brush will
756 be used to scrub the transmitter on all sides for 30 seconds. The transmitter will be placed in the
757 Clorox Cleaner solution for one minute. Transmitter is then rinsed under flowing tap water for
758 ten seconds. The transmitter will then be disinfected using a disinfectant product with EPA
759 registration number 56392-7 using similar procedures as the cleaning process.

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760 Per the pump manufacturer, the insulin pump will be cleaned with a damp lint-free cloth. Use of
761 household or industrial cleaners, solvents, bleach, scouring pads, chemicals, or sharp instruments
762 are prohibited. The pump should never be submerged in water. If needed, use only a very mild
763 detergent, such as a bit of liquid soap with warm water. A soft towel will be used to dry the pump.

764 The glucometer is cleaned and disinfected with two separate Super Sani-Cloths (EPA number
765 9480-4). The entire surface will be cleaned, making sure the surface stays wet for 2 minutes. This
766 step is repeated with a clean cloth for disinfecting the device.

767 The Precision Xtra User's Guide suggests that healthcare professionals use 10% bleach, 70%
768 alcohol or 10% ammonia to clean the device.

769 Equipment that touches intact skin will be cleaned with ethyl or isopropyl alcohol (70-90%),
770 quaternary ammonium germicidal detergent (i.e., Cavicide, EPA number 46781) or household
771 bleach. The contact time on the surface depends on the method used to clean the equipment.
772 Cavicide requires three minutes on the surface of the equipment. Clorox Germicidal Bleach Wipes
773 require two minutes on the equipment. The surface should remain wet (i.e., slightly damp) with
774 the disinfectant to be considered effective though not wet enough to leave drops of liquid.

775 In the event a manufacturer updates their cleaning procedures, the study team will adhere to the
776 most current recommendations.

777 There is the risk of blood sampling collection and contamination from sampling techniques. Hand
778 washing with either soap & water or waterless hand sanitizer will be used prior to caring for the
779 study participant. Gloves will be worn during blood sample collection and processing. Medical
780 personnel will continue to practice hygiene for the participant's protection (i.e., hand washing,
781 changing gloves frequently, disposing needles properly). Gloves will be removed, and hands
782 washed or sanitized prior to leaving and upon return to the participant's room. Soiled linen will
783 be changed to minimize the transfer of pathogenic organisms.

784 **10.1.7 Hb1Ac Risk**

785 An NGSP Point of Care analyzer (i.e., DCA Vantage Analyzer) will be utilized at the research site
786 to obtain the participant's HbA1c level.

787 **10.1.8 Other Risks**

788 Some participants may develop skin irritation or allergic reactions to the adhesives used to secure
789 the CGM, or to secure the insulin infusion sets for the continuous subcutaneous insulin infusion.
790 If these reactions occur, different adhesives or "under-taping" (such as with IV 3000, Tegaderm,

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791 etc.) will be tried, sites will be rotated frequently, and a mild topical steroid cream or other
792 medication may be required.

793 Whenever the skin is broken there is the possibility of an infection. The CGM and pump infusion
794 sites are inserted under the skin. It is possible that any part that is inserted under the skin may
795 cause an infection. These occur very infrequently, but, if an infection was to occur, oral and/or
796 topical antibiotics can be used. The risk of skin problems could be greater if you use a sensor for
797 longer than it is supposed to be used. Therefore, participants will be carefully instructed about
798 proper use of the sensor.

799 Data downloaded from the CGM, insulin pump, and glucose and ketone meter will be collected
800 for the study as measures of diabetes self-management behaviors. Some people
801 may be uncomfortable with the researchers' having such detailed information about their daily
802 diabetes habits.

803 **10.1.9 Known Potential Benefits**

804 It is expected that this protocol will yield increased knowledge about using an advanced
805 automated closed-loop system with advanced action to control glucose levels based on SI. The
806 individual participant may not benefit from study participation.

807 **10.1.10 Risk Assessment**

808 Based on the facts that (1) adults and adolescents with diabetes experience mild hypoglycemia
809 and hyperglycemia frequently as a consequence of the disease and its management, (2) the study
810 intervention involves periodic automated insulin dosing that may increase the likelihood of
811 hypoglycemia, and periodic automated attenuation of insulin delivery that may increase the
812 likelihood of hyperglycemia, (3) mitigations are in place, and have been tested in prior studies
813 using the investigational device system in the home setting, that limit the likelihood of excessive
814 insulin dosing or prolonged withdrawal of insulin, and (4) rapid reversal of hypoglycemia and
815 hyperglycemia can be achieved, it is the assessment of the investigators that this protocol falls
816 under DHHS 46.405 which is a minor increase over minimal risk. In addition, it is the belief of the
817 investigators that this study also presents prospect of direct benefit to the participants and
818 general benefit to others with diabetes.

819 **10.2 General Considerations**

820 The study is being conducted in compliance with the policies described in the study policies
821 document, with the ethical principles that have their origin in the Declaration of Helsinki, with
822 the protocol described herein, and with the standards of Good Clinical Practice (GCP).

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823 Whenever possible, data will be directly collected in electronic case report forms, which will be
824 considered the source data.

825 The protocol is considered a significant risk device study, due to the fact that the closed loop
826 system is experimental. Therefore, an investigational device exemption (IDE) from the U.S. Food
827 and Drug Administration (FDA) is required to conduct the study.

828 **10.3 COVID-19 Risk Mitigation Plan and Justification**

829 The study inclusion/exclusion criteria have been outlined to include only fully-vaccinated study
830 participants. The presence of only vaccinated participants represents the main approach to
831 COVID-19 risk mitigation. Further, all study/camp staff members will be fully vaccinated as well
832 when the camp is scheduled to take place.

833 Additional layers to COVID-19 risk mitigation will also be implemented:

- 834 Before admission(s), all participants and staff will take a COVID PCR test; a negative result
835 is needed to be part of the study:
 - 836 • if any COVID symptoms develop after taking the test, the person will not be
837 allowed at camp;
 - 838 • if any contact with a COVID positive person happens in the 7 days prior to camp,
839 the person will not be allowed at camp;
 - 840 • if the person had a positive COVID test result in the 10 days prior to camp, the
841 person will not be allowed at camp.
- 842 During camp admission(s), participants will be grouped in small pods (5-6 participants
843 each) for the daily activities; counselors will be assigned to a pod and maintained for the
844 entire camp duration when possible.
- 845 During camp admission(s), the majority of the activities will take place outdoor, and all
846 efforts will be made to ensure physical distancing between members of different pods
847 during the activities.
- 848 During camp admission(s), participants will be sleeping in cabins according to the
849 corresponding pod; counselors will be sleeping with the assigned pod for the entire camp
850 duration; different pods will be assigned to different cabins with no indoor common parts.
- 851 Meals will be consumed outdoor when possible.
- 852 Social distancing between people not belonging to the same pod will be maintained when
853 possible.
- 854 Outdoor masking policy: no masking will be mandated; exception: if two people that do
855 not belong to the same pod need to be less than 6ft apart for more than 5 consecutive
856 minutes, masking will be required for both people.

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- 857 Indoor masking policy: universal masking will be mandated; exception: while eating,
858 drinking, showering, and sleeping; during these times, physical distancing will be enforced
859 between people not belonging to the same pod.
- 860 If a person develops COVID symptoms during camp, the person will be discharged from
861 the study. Before leaving camp site, the person will be tested for COVID using an antigenic
862 rapid test that will be available on site.
- 863 If a person within a pod (participant or counselor) tests positive, universal masking for the
864 pod members will be mandated indoor and outdoor, with the exception of eating,
865 drinking, showering, and sleeping; these activities will take place separately from other
866 pods.
- 867 Hand sanitizers will be available during the entire study duration and study participants
868 and staff will be encouraged to frequently sanitize their hands.
- 869 If the COVID-related situation changes before the camp admission, the study team will re-
870 evaluate these guidelines in agreement with CDC recommendations, and this protocol
871 may be changed if needed.

872 **10.3.1 Participants and Study Personnel**

873 The following guidelines will be followed during each camp admission:

- 874 • All participants will be ineligible if they have had known COVID-19 exposures in the 7 days
875 prior to any admission(s).
- 876 • All participants will be ineligible if they have had a positive COVID test results in the 10
877 days prior to any admission(s).
- 878 • All participants will be ineligible if they develop symptoms of COVID-19 after taking the
879 pre-admission mandatory COVID PCR test.
- 880 • Non-vaccinated participants will not be eligible for the study.
- 881 • Participants will sleep in cabins with members of the same pod; all meals will be eaten
882 outdoor and provided in individual pre-packaged boxes.
- 883 • Participants will be assigned to small groups (pods), which will remain consistent
884 throughout the study admission(s) for all activities. The study team will aim to limit
885 changes in staffing among the pods.

886 Development of COVID-19 symptoms during study admission(s) is detailed in Section 11.4.

887 **10.3.2 Environment**

888 The staff at Camp Holiday Trails will work to reduce the risk of COVID-19 exposure by following
889 the guidelines indicated in this protocol.

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890 **10.3.3 Activities**

891 Group activities are an important part of a camp experience and will be done with the following
892 precautions in place:

- 893 • All activities will be done outdoors
- 894 • Participants will be assigned to small groups or pods to limit exposures
- 895 • Staff will be maintained for the same pod as much as possible during each admission
- 896 • No high-risk activities requiring sustained close contact will be included and any higher
897 risk contact-sport will be included in a skill building format so as to allow for adequate
898 physical distancing and avoid unnecessary risk to study participants

899 **Chapter 11: Adverse Events, Device Issues, and Stopping Rules**

900 **11.1 Definitions**

901 **11.1.1 Adverse Events (AE)**

902 Any untoward medical occurrence in a study participant, irrespective of the relationship between
903 the adverse event and the device(s) under investigation (section 11.2) for reportable adverse
904 events for this protocol).

905 **11.1.2 Serious Adverse Event (SAE)**

906 Any untoward medical occurrence that:

- 907 • Results in death.
- 908 • Is life-threatening; (a non-life-threatening event which, had it been more severe,
909 might have become life-threatening, is not necessarily considered a serious adverse
910 event).
- 911 • Requires inpatient hospitalization or prolongation of existing hospitalization.
- 912 • Results in persistent or significant disability/incapacity or substantial disruption of the
913 ability to conduct normal life functions (life threatening).
- 914 • Is a congenital anomaly or birth defect.
- 915 • Is considered a significant medical event by the investigator based on medical
916 judgment (e.g., may jeopardize the participant or may require medical/surgical
917 intervention to prevent one of the outcomes listed above).

918 **11.1.3 Unanticipated Adverse Device Effect (UADE)**

919 Any serious adverse effect on health or safety or any life-threatening problem or death caused
920 by, or associated with, a device, if that effect, problem, or death was not previously identified in
921 nature, severity, or degree of incidence in the investigational plan or application (including a
922 supplementary plan or application), or any other unanticipated serious problem associated with
923 a device that relates to the rights, safety, or welfare of participants (21 CFR 812.3(s)).

924 **11.1.4 Adverse Device Effect (ADE)**

925 Any untoward medical occurrence in a study participant which the device may have caused or to
926 which the device may have contributed.

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927 **11.1.5 Device Complaints and Malfunctions**

928 A device complication or complaint is something that happens to a device or related to device
929 performance, whereas an adverse event happens to a participant. A device complaint may occur
930 independently from an AE, or along with an AE. An AE may occur without a device complaint or
931 there may be an AE related to a device complaint. A device malfunction is any failure of a device
932 to meet its performance specifications or otherwise perform as intended. Performance
933 specifications include all claims made in the labeling for the device. The intended performance
934 of a device refers to the intended use for which the device is labeled or marketed. (21 CFR 803.3).

935 **11.2 Reportable Events**

936 For this protocol, a reportable adverse event includes any untoward medical occurrence that
937 meets one of the following criteria:

- 938 • A serious adverse event as defined in section 11.1.2
- 939 • An Adverse Device Effect as defined in section 11.1.4, unless excluded from reporting
940 in section 11.8
- 941 • An Adverse Event as defined in section 11.1.4 occurring in association with a study
942 procedure
- 943 • An AE as defined in section 11.1.1 which leads to discontinuation of a study device for
944 2 or more hours
- 945 • Hypoglycemia meeting the definition of severe hypoglycemia as defined in section
946 11.2.1
- 947 • Diabetic ketoacidosis (DKA) as defined in section 11.2.2 or in the absence of DKA, a
948 hyperglycemic or ketosis event meeting the criteria defined below

949 Hypoglycemia and hyperglycemia not meeting the criteria below will not be recorded as adverse
950 events unless associated with an Adverse Device Effect. Skin reactions from sensor placement
951 are only reportable if severe and/or required treatment.

952 **11.2.1 Hypoglycemia Event**

953 Hypoglycemia not associated with an Adverse Device Effect is only reportable as an adverse event
954 when the following definition for severe hypoglycemia is met:

- 955 • the event required assistance of another person due to altered consciousness, and
956 required another person to actively administer carbohydrate, glucagon, or other
957 resuscitative actions;

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- 958 • impaired cognitively to the point that he/she was unable to treat himself/herself, was
959 unable to verbalize his/ her needs, was incoherent, disoriented, and/or combative, or
960 experienced seizure or coma. These episodes may be associated with sufficient
961 neuroglycopenia to induce seizure or coma;
- 962 • if plasma glucose measurements are not available during such an event, neurological
963 recovery attributable to the restoration of plasma glucose to normal is considered
964 sufficient evidence that the event was induced by a low plasma glucose concentration.

965 **11.2.2 Hyperglycemia Events/Diabetes Ketoacidosis**

966 Hyperglycemia not associated with an Adverse Device Effect is only reportable as an adverse
967 event when one of the following four criteria is met:

- 968 • the event involved DKA, as defined by the Diabetes Control and Complications Trial
969 (DCCT) and described below
- 970 • evaluation or treatment was obtained at a health care provider facility for an acute
971 event involving hyperglycemia or ketosis
- 972 • blood ketone level ≥ 1.5 mmol/L and communication occurred with a health care
973 provider at the time of the event
- 974 • blood ketone level ≥ 3.0 mmol/L, even if there was no communication with a health
975 care provider

976 Hyperglycemic events are classified as DKA if the following are present:

- 977 • Symptoms such as polyuria, polydipsia, nausea, or vomiting;
- 978 • Serum ketones ≥ 1.5 mmol/L or large/moderate urine ketones;
- 979 • Treatment provided in a health care facility

980 All reportable Adverse Events—whether volunteered by the participant, discovered by study
981 personnel during questioning, or detected through physical examination, laboratory test, or
982 other means—will be reported on an adverse event form online. Each adverse event form is
983 reviewed by the Medical Monitor to verify the coding and the reporting that is required.

984 **11.3 Relationship of Adverse Event to Study Device**

985 The study investigator will assess the relationship of any adverse event to be related or unrelated
986 by determining if there is a reasonable possibility that the adverse event may have been caused
987 by the study device.

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988 To ensure consistency of adverse event causality assessments, investigators should apply the
989 following general guideline when determining whether an adverse event is related:

- 990 • There is a plausible temporal relationship between the onset of the adverse event and
991 the study intervention, and the adverse event cannot be readily explained by the
992 participant's clinical state, intercurrent illness, or concomitant therapies; and/or the
993 adverse event follows a known pattern of response to the study intervention; and/or
994 the adverse event abates or resolves upon discontinuation of the study intervention
995 or dose reduction and, if applicable, reappears upon re-challenge.
- 996 • Evidence exists that the adverse event has an etiology other than the study
997 intervention (e.g., preexisting medical condition, underlying disease, intercurrent
998 illness, or concomitant medication); and/or the adverse event has no plausible
999 temporal relationship to study intervention.

1000 **11.4 COVID-19 Transmission / Symptoms**

1001 While we are taking significant steps to prevent transmission of COVID-19 during this study, there
1002 is a possibility that participants are infected with COVID-19. The probability that infection is
1003 related to the study may be inferred in part by the timing, with symptoms beginning on days 1-
1004 2, or days 5-6 in a two weekend camp admission, of the study being more likely not related and
1005 onset of symptoms afterward being possibly related to exposure during the study.

- 1006 • Participants or study staff who develop COVID-19 symptoms (e.g., fever, cough,
1007 shortness of breath (not related to camp activities)) during the study will be
1008 immediately isolated and discharged from the study. Before discharge, participants
1009 use of a facial masks will be mandated. Participants will not engage in further camp
1010 activities prior to discharge. At discharge, participants will be advised on appropriate
1011 isolation and testing to be completed.
- 1012 • Before discharge, symptomatic participants will be tested with a rapid antigenic test;
1013 in the case of a positive test result, pod members of the symptomatic person will be
1014 wearing a mask at all times indoor and outdoor, except for eating, drinking, sleeping,
1015 and showering.
- 1016 • All participants who develop symptoms of COVID 19 during camp, test positive for
1017 COVID 19 during camp, or are part of the same pod as a person who developed
1018 symptoms of COVID 19 or tested positive for COVID 19 during camp, will be asked to
1019 follow up via phone with the study team 5-7 days after discharge. All participants who
1020 develop symptoms of COVID 19 or test positive for COVID 19 within 7 days after
1021 discharge from the study admission will be asked to follow up via phone with the study
1022 team.

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1023 **11.5 Intensity of Adverse Event**

1024 The intensity of an adverse event will be rated on a three-point scale: (1) mild, (2) moderate, or
1025 (3) severe. It is emphasized that the term severe is a measure of intensity: thus, a severe adverse
1026 event is not necessarily serious. For example, itching for several days may be rated as severe, but
1027 may not be clinically serious.

1028 • MILD: Usually transient, requires no special treatment, and does not interfere with
1029 the participant's daily activities.

1030 • MODERATE: Usually causes a low level of inconvenience or concern to the participant
1031 and may interfere with daily activities but is usually ameliorated by simple therapeutic
1032 measures.

1033 • SEVERE: Interrupts a participant's usual daily activities and generally requires systemic
1034 drug therapy or other treatment.

1035 **11.6 Coding of Adverse Events**

1036 Adverse events will be coded per the UVA IRB website instructions (i.e. mild, moderate, severe).
1037 The MM will review the investigator's assessment of causality and may agree or disagree. Both
1038 the investigator's and MM's assessments will be recorded. The MM will have the final say in
1039 determining the causality.

1040 Adverse events that continue after the participant's discontinuation or completion of the study
1041 will be followed until their medical outcome is determined or until no further change in the
1042 condition is expected.

1043 **11.7 Outcome of Adverse Events**

1044 The outcome of each reportable adverse event will be classified by the investigator as follows:

1045 • RECOVERED/RESOLVED – The participant recovered from the AE/SAE without
1046 sequelae. Record the AE/SAE stop date.

1047 • RECOVERED/RESOLVED WITH SEQUELAE – The event persisted and had stabilized
1048 without change in the event anticipated. Record the AE/SAE stop date.

1049 • FATAL – A fatal outcome is defined as the SAE that resulted in death. Only the event
1050 that was the cause of death should be reported as fatal. AEs/SAEs that were ongoing
1051 at the time of death; however, were not the cause of death, will be recorded as
1052 "resolved" at the time of death.

1053 • NOT RECOVERED/NOT RESOLVED (ONGOING) – An ongoing AE/SAE is defined as the
1054 event was ongoing with an undetermined outcome.

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1055 • An ongoing outcome will require follow-up by the site in order to determine the final
1056 outcome of the AE/SAE.

1057 • The outcome of an ongoing event at the time of death that was not the cause of death,
1058 will be updated and recorded as “resolved” with the date of death recorded as the
1059 stop date.

1060 • UNKNOWN – An unknown outcome is defined as an inability to access the participant
1061 or the participant’s records to determine the outcome (for example, a participant that
1062 was lost to follow-up).

1063 All clinically significant abnormalities of clinical laboratory measurements or adverse events
1064 occurring during the study and continuing at study termination should be followed by the
1065 participant’s physician and evaluated with additional tests (if necessary) until diagnosis of the
1066 underlying cause, or resolution. Follow-up information should be recorded on source documents.

1067 If any reported adverse events are present when a participant completes the study, or if a
1068 participant is withdrawn from the study due to an adverse event, the participant will be
1069 contacted for re-evaluation within 2 weeks. If the adverse event has not resolved, additional
1070 follow-up will be performed as appropriate. Every effort should be made by the Investigator or
1071 delegate to contact the participant until the adverse event has resolved or stabilized.

1072 **11.8 Reportable Device Issues**

1073 All UADEs, ADEs, device complaints, and device malfunctions will be reported irrespective of
1074 whether an adverse event occurred, except in the following circumstances.

1075 The following device issues are anticipated and will not be reported but will reported as an
1076 Adverse Event if the criteria for AE reporting described above are met:

1077 • Component disconnections

1078 • CGM sensors lasting fewer than the number of days expected per CGM labeling

1079 • CGM tape adherence issues

1080 • Pump infusion set occlusion not leading to ketosis

1081 • Battery lifespan deficiency due to inadequate charging or extensive wireless
1082 communication

1083 • Intermittent device component disconnections/communication failures not leading
1084 to system replacement

1085 • Device issues clearly addressed in the user guide manual that do not require
1086 additional troubleshooting

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- 1087 • Skin reactions from CGM sensor placement or pump infusion set placement that do
1088 not meet criteria for AE reporting

1089 **11.9 Timing of Event Reporting**

- 1090 • UADEs must be reported within 10 working days to the FDA after the sponsor first
1091 receives notice of the adverse effect.

- 1092 • Other reportable adverse events, device malfunctions (with or without an adverse
1093 event) and device complaints should be reported promptly, but there is no formal
1094 required reporting period.

- 1095 • The IDE Sponsor will investigate the UADE and if indicated, report the results of the
1096 investigation to the IRBs, FDA, and MM within 10 working days of the study team
1097 becoming aware of the UADE per 21CFR 812.46(b) (2).

- 1098 • The MM will determine if the UADE presents an unreasonable risk to participants. If
1099 so, the MM must ensure that all investigations, or parts of investigations presenting
1100 that risk, are terminated as soon as possible but no later than 5 working days after the
1101 MM makes this determination and no later than 15 working days after first receipt
1102 notice of the UADE.

- 1103 • In the case of a device system component malfunction (e.g. pump, CGM, control
1104 algorithm), information will be forwarded to the responsible manufacturer by the
1105 study personnel.

1106 **11.10 Stopping Criteria**

1107 **11.10.1 Participant Discontinuation**

1108 Rules for discontinuing study device use are described below:

- 1109 • The investigator believes it is unsafe for the participant to continue on the
1110 intervention. This could be due to the development of a new medical condition or
1111 worsening of an existing condition; or participant behavior contrary to the indications
1112 for use of the device that imposes on the participant's safety

- 1113 • The participant requests that the treatment be stopped

- 1114 • One episode of DKA

- 1115 • One episode of severe hypoglycemia as defined in section 11.2.1.

- 1116 • Development of COVID-19 symptoms or positive COVID-19 test

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1117 **11.10.2 Suspending/Stopping Overall Study**

1118 In the case of an unanticipated system malfunction resulting in a severe hypoglycemia or severe
1119 hyperglycemia event (as defined in section 11.2), use of the study device system will be
1120 suspended while the problem is diagnosed.

1121 In the event that two distinct episodes of DKA or two distinct severe hypoglycemia events as
1122 defined in section 11.2 occur, the overall study would be suspended while the underlying
1123 conditions are determined.

1124 In addition, study activities could be similarly suspended if the manufacturer of any constituent
1125 study device requires stoppage of device use for safety reasons (e.g., product recall). The affected
1126 study activities may resume if the underlying problem can be corrected by a protocol or system
1127 modification that will not invalidate the results obtained prior to suspension. The study MM will
1128 review all adverse events and adverse device events that are reported during the study and will
1129 review compiled safety data at periodic intervals (generally timed to the review of compiled
1130 safety data by the MM). The MM may request suspension of study activities or stoppage of the
1131 study if deemed necessary based on the totality of safety data available.

1132 **11.11 Independent Safety Oversight**

1133 A MM will review all DKA and severe hypoglycemia irrespective of relatedness to study device
1134 use, and all serious events (including UADEs) related to study device use at the time of
1135 occurrence. The MM can request modifications to the study protocol or suspension or outright
1136 stoppage of the study if deemed necessary based on the totality of safety data available. Details
1137 regarding MM review will be documented in a separate MM document.

1138 **11.12 Definition of a Data Breach**

1139 A data breach is defined in the HITECH Act (43 USC 17932) as an unauthorized acquisition, access,
1140 or use of protected health information (PHI) that compromises the security or privacy of such
1141 information.

1142 **Chapter 12: Miscellaneous Considerations**

1143 **12.1 Prohibited Medications, Treatments, and Procedures**

1144 Participants using glulisine at the time of enrollment will be asked to contact their personal
1145 physician to change their prescribed personal insulin to lispro or aspart for the duration of the
1146 trial.

1147 The study devices (study insulin pump, study CGM) must be removed before Magnetic Resonance
1148 Imaging (MRI), Computed Tomography (CT) or diathermy treatment. Participants may continue
1149 in the trial after temporarily discontinuing use if requiring one of the treatments above.

1150 **12.2 Participant Withdrawal**

1151 Participation in the study is voluntary. Participant may withdraw at any time. For participants
1152 who do withdraw from the study, the study team will determine if their data will be used in
1153 analysis.

1154 **12.3 Confidentiality**

1155 For security and confidentiality purposes, participants will be assigned an identifier that will be
1156 used instead of their name. Protected health information gathered for this study may be shared
1157 with the third-party collaborators. De-identified participant information may also be provided to
1158 collaborators involved in the study after the appropriate research agreement has been executed.

1159 Chapter 13: Statistical Consideration

1160 13.1 Design and Randomization

1161 The study follows a double-blind randomized crossover design, comparing glycemic control
1162 obtained using the UVA HCL system – USS Virginia – alone (control) or in combination with a
1163 smart bolus calculator informed by real-time SI assessments (experimental). All study
1164 participants will undergo both treatment conditions, in different orders, defining two study arms
1165 as shown in Figure 1. Randomization (1:1) will determine whether a participant should undergo
1166 the control or experimental treatment condition first.

1167 13.2 Sample Size

1168 Sample size was determined by power analysis using G*Power 3.1 considering a paired t-test as
1169 the statistical test, comparing control group to experimental group on the primary efficacy
1170 endpoint. Effect size was determined based on the results obtained from the pilot clinical testing
1171 of the SI-informed bolus calculator in open-loop conditions to be $d_z=0.614$. A total sample size of
1172 $N=30$ subjects was obtained considering a 0.66 correlation between groups, 90% power, and
1173 $\alpha=0.05$.

1174 13.3 Outcome Measures

1175 13.3.1 Primary Efficacy Endpoint

1176 The primary endpoint will be the low blood glucose index (LBGI) computed from CGM collected
1177 in the four hours following the dinner meal.

1178 13.3.2 Secondary Outcomes

1179 Secondary endpoints will include analysis over four different time intervals:

- 1180 1. the dinner postprandial period (i.e., the four hours following dinner)
- 1181 2. daytime, i.e., breakfast to bedtime
- 1182 3. nighttime, i.e., bedtime to breakfast
- 1183 4. 24 hours

1184

1185 The glycemic outcomes computed over the four horizons will be:

- 1186 • percentage of time spent below 70 mg/dL
- 1187 • percentage of time spent in 70-140 and 70-180 mg/dL
- 1188 • percentage of time spent above 180 and 250 mg/dL
- 1189 • the high blood glucose index

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- 1190 • average CGM
- 1191 • CGM coefficient of variation
- 1192 • Total number and amount of carbohydrate administered as rescue treatments

1193 All glycemic outcomes (primary efficacy endpoint and secondary outcomes) will be computed
1194 based on CGM records. The primary analysis will focus on the postprandial period, but other
1195 analyses will evaluate different time intervals to assess whether the impact on the postprandial
1196 period will be influential enough to impact the overall daily control. Paired t-tests or non-
1197 parametric Wilcoxon signed-rank tests will be used to compare the control and experimental
1198 arms on the different glycemic outcomes, in case of normally/non-normally distributed samples
1199 respectively (Shapiro-Wilk test). Significance level will be set at a P value less than 0.05. All
1200 statistical analyses will be computed in SPSS Statistics 26 (IBM).

1201 **13.4 Safety Analyses**

1202 Safety endpoints are secondary outcomes addressing hypoglycemia and hyperglycemia, i.e.,
1203 percentage of time below 70 mg/dL and above 250 mg/dL, and total number and amount of
1204 carbohydrate administered as rescue treatments.

1205 **13.5 Baseline Descriptive Statistics**

1206 Baseline demographic and clinical characteristics of the cohort of all randomized participants will
1207 be summarized in a table using summary statistics appropriate to the distribution of each
1208 variable. Descriptive statistics will be displayed overall and by treatment group.

1209 Will include:

- 1210 • Age
- 1211 • HbA1c
- 1212 • Gender
- 1213 • Race/ethnicity
- 1214 • Diabetes duration
- 1215 • BMI

1216 **13.6 Device Issues**

1217 The following tabulations and analyses will be performed by treatment group to assess device
1218 issues:

- 1219 • Device malfunctions requiring study team contact and other reported device issues

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- 1220 • Sensor performance metrics (difference, absolute relative difference, and
1221 International Organization for Standardization criteria) – if applicable, by sensor
1222 version.
- 1223 • % time CGM data available - overall and by month
- 1224 The following tabulations will be performed for the Experimental arm only:
- 1225 • Performance metrics, describing the CLC system and its components like:
- 1226 a. % time CGM data were available to the CLC system – overall and by month
- 1227 b. % time in different operational modes per week - overall and by month
- 1228 c. Rate of different failure events and alarms per 48 hours recorded by the CLC
1229 system – overall and by month

1230 **Chapter 14: Data Collection and Monitoring**

1231 **14.1 Case Report Forms and Device Data**

1232 The study data are collected through a combination of case report forms (electronic and paper)
1233 and electronic device data files obtained from the software and individual hardware
1234 components. These electronic device files and electronic CRFs are considered the primary source
1235 documentation.

1236 When data are directly collected in electronic case report forms, this will be considered the
1237 source data. Records will be maintained in accordance with ICH E6 and institutional regulatory
1238 requirements for the protection of confidentiality of participants.

1239 **14.2 Study Records Retention**

1240 Study documents should be retained for a minimum of 2 years after the last approval of a
1241 marketing application in an ICH region and until there are no pending or contemplated marketing
1242 applications in an ICH region or until at least 2 years have elapsed since the formal
1243 discontinuation of clinical development of the investigational product. These documents should
1244 be retained for a longer period, however, if required by local regulations. No records will be
1245 destroyed without the written consent of the sponsor, if applicable. It is the responsibility of the
1246 sponsor to inform the investigator when these documents no longer need to be retained.

1247 **14.3 Protocol Deviations**

1248 A protocol deviation is any noncompliance with the clinical trial protocol, Good Clinical Practices
1249 (GCP), or procedure requirements. The noncompliance may be either on the part of the
1250 participant, the investigator, or the study site staff. As a result of deviations, corrective actions
1251 may be developed by the site and implemented as appropriate. Major deviations will be reported
1252 to the IRB-HSR within 7 calendar days of when the study team becomes aware of the event.

CLINICAL PROTOCOL

1253 **Chapter 15: Ethics/Protection of Human Participants**

1254 **15.1 Ethics Standard**

1255 The investigator will ensure that this study is conducted in full conformity with Regulations for
1256 the Protection of Human Participants of Research codified in 45 CFR Part 46, 21 CFR Part 50, 21
1257 CFR Part 56, and/or the ICH E6.

1258 **15.2 Institutional Review Boards**

1259 The protocol, informed consent form(s), recruitment materials, and all participant materials will
1260 be submitted to the IRB for review and approval. Approval of both the protocol and the consent
1261 form must be obtained before any participant is enrolled. Any amendment to the protocol will
1262 require review and approval by the IRB before the changes are implemented to the study. All
1263 changes to the consent form will be IRB approved; a determination will be made regarding
1264 whether previously consented participants need to be re-consented.

1265 **15.3 Informed Consent Process**

1266 **15.3.1 Consent Procedures and Documentation**

1267 Informed consent is a process that is initiated prior to an individual's agreement to participate in
1268 the study and continues throughout the individual's study participation. Extensive discussion of
1269 risks and possible benefits of participation will be provided. Consent forms will be IRB approved
1270 and the participant will be asked to read and review the document. The investigator or their
1271 delegate will explain the research study to the participant and answer any questions that may
1272 arise. All participants will receive a verbal explanation in terms suited to their comprehension of
1273 the purposes, procedures, and potential risks of the study and of their rights as research
1274 participants. Participant will have the opportunity to carefully review the written consent form
1275 and ask questions prior to signing.

1276 The participant will sign the informed consent document prior to any procedures being done
1277 specifically for the study. A copy of the informed consent document will be given to the
1278 participant for their records. The rights and welfare of the participants will be protected by
1279 emphasizing to them that the quality of their medical care will not be adversely affected if they
1280 decline to participate in this study.

1281 **15.3.2 Participant and Data Confidentiality**

1282 The study monitor, representatives of the IRB or device company supplying study product may
1283 inspect all documents and records required to be maintained by the investigator, including but
1284 not limited to, medical records (office, clinic, or hospital) for the participants in this study.

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1285 The study participant's contact information will be securely stored at the clinical site for internal
1286 use during the study. At the end of the study, all records will continue to be kept in a secure
1287 location for as long a period as dictated by local IRB and Institutional regulations.

1288 Study participant research data, which is for purposes of statistical analysis and scientific
1289 reporting, will be transmitted to and stored at the University of Virginia Center for Diabetes
1290 Technology. The study data entry and study management systems used by research staff will be
1291 secured and password protected. At the end of the study, all study databases may be de-
1292 identified and archived at the University of Virginia Center for Diabetes Technology.

1293 Chapter 16: References

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