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2	A randomized placebo-controlled double blind trial of liraglutide 3 mg
3	[Saxenda] on weight, body composition, hormonal and metabolic
4	parameters in obese women with polycystic ovary syndrome (PCOS)
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9	INVESTIGATOR-SPONSORED STUDY PROPOSAL
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11	Universal Trial Number (UTN) is U1111-1198-4126
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15	Woman's Health Research Department
16	Woman's Hospital
17	100 Woman's Way
18	Baton Rouge, Louisiana
10	
19	
20	Correspondence to:
21	
22	Karen Elkind-Hirsch, PhD
23	Director of Research
24	Woman's Hospital -Support Services Building
25	100 Woman's Way
26	Baton Rouge, Louisiana
27	phone-(225) 231-5278
28	fax-(225) 231-5290
29	karen.elkind-hirsch@womans.org
30	karen.eikind-nirsch@womans.org
31	
32	ClinicalTrials.gov ID: NCT03480022
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35 Background and Significance:

Polycystic ovary syndrome (PCOS), a common, heterogeneous, heritable condition, is 36 37 characterized by disordered reproductive and metabolic function that accounts for the myriad of 38 clinical features including androgen excess, chronic anovulation, hyperinsulinemia, adiposity, 39 and dyslipidemia. Hyperandrogenism, ovarian dysfunction and metabolic abnormalities - the main determinants of PCOS - all appear to be involved in a synergistic way in the 40 41 pathophysiology of PCOS. Women with PCOS are more likely to be obese although PCOS can 42 also manifest in lean women. Obesity, particularly abdominal obesity, plays a central role in the 43 development of PCOS, and exacerbates the reproductive and metabolic dysfunction. Rather than absolute body weight, it is the distribution of fat that is important with central adiposity 44 being a risk factor. Compared with weight-matched healthy women, those with PCOS have a 45 46 similar amount of total and trunk fat, but a higher quantity of central (visceral) abdominal fat. Visceral adipose tissue is more metabolically active than subcutaneous fat and the amount of 47 visceral fat correlates with insulin resistance and hyperinsulinemia. Weight gain is also often an 48 49 important pathogenic factor, with the PCOS condition becoming clinically manifest in women with a presumable genetic predisposition for PCOS who subsequently gain weight. Therefore, 50 environmental (particularly dietary) factors are important. However, body mass is also 51 52 influenced by genetic factors such as fat mass and obesity-associated protein, and obesity itself 53 is a highly heritable condition. Therefore, the weight gain responsible for the manifestation of 54 PCOS in many women with this condition is itself influenced by genetic factors. Ethnicity, genetic background, personal and family history, degree of obesity must all be taken into 55 account because they might aggravate or even trigger metabolic disturbances women with 56 PCOS. Moreover, the incidence of glucose intolerance, dyslipidemia, gestational diabetes, and 57 58 type 2 diabetes (T2D) is increased in women with PCOS at all weight levels and at a young age. 59 Several studies have demonstrated that T2D occurs with increased frequency in women with PCOS so that recently the American Diabetes Association and the International Diabetes 60 61 Federation have identified PCOS as a significant non-modifiable risk factor associated with type 2 62 diabetes. PCOS may be a more important risk factor than ethnicity or race for glucose intolerance in young women. The exact factors responsible for this excess risk in women with 63 64 PCOS have not been identified; family history of T2D, obesity, insulin resistance, beta cell (ß-cell) 65 secretory dysfunction, and hyperandrogenism are possible candidates. With better 66 understanding of its pathophysiology, the metabolic consequences of the syndrome are now 67 evident.

Obesity is considered one of the most important features of PCOS and it exacerbates insulin resistance and impaired glucose tolerance (IGT) in women with PCOS. Its mean prevalence in diseased women varies between 61 and 76%. The prevalence of obesity reaches 80% in the United States and 50% outside which indicates that this figure depends on local environmental factors, ethnic backgrounds, and lifestyle, and not on the mere presence of PCOS 73 itself. The increased prevalence of obesity in PCOS is associated with an increased frequency of 74 metabolic syndrome and T2D. Obesity has been associated with a number of diseases and 75 metabolic abnormalities that have high morbidity and mortality. Obesity appears to exert an additive, synergistic effect on manifestations of PCOS and PCOS is more prevalent in obese than 76 77 in lean women. Moreover, obesity itself is a common pathogenic factor in insulin resistance, 78 lipid dysfunction and metabolic syndrome and is usually accompanied by hypertension. The 79 degree of obesity is positively associated with an increase in the incidence and degree of insulin 80 resistance. Obesity may play a pathogenic role in the development of PCOS in susceptible 81 individuals and weight loss has been found to improve many clinical features of PCOS. Even a 82 modest weight loss (5% of initial body weight) in overweight or obese women with PCOS improves ovulation frequency and conception, reduces miscarriage, hyperlipidemia, 83 84 hypertension, hyperglycemia and insulin resistance. The loss of intra-abdominal fat is specifically associated with resumption of ovulation. Weight loss has beneficial effects on 85 86 cardiovascular risk factors such as dyslipidemia and blood pressure. Features of PCOS (e.g., 87 hirsutism, testosterone levels, insulin resistance, menstrual cyclicity and ovulation) showed marked improvements, and PCOS frequently resolved after substantial weight loss induced by 88 89 bariatric surgery. Furthermore, studies show that women with PCOS who achieve reductions in 90 weight and waist circumference after a diagnosis of prediabetes are twice more likely to regress to normal glycemia than those who maintained baseline weight or gained weight. 91

92 While PCOS is the most common endocrine disorder among women in their reproductive years, many aspects of the condition are not fully understood. 93 The fundamental 94 pathophysiological defect in PCOS is unknown, but women with PCOS often demonstrate insulin 95 resistance with compensatory hyperinsulinemia. Insulin resistance occurs in around 50% to 80% of women with PCOs, primarily in the more severe NIH diagnosed PCOS and in those who are 96 97 overweight. Hyperinsulinemia may be directly responsible for the development of androgen excess, through its effects in reducing sex hormone-binding globulin (SHBG) synthesis and 98 99 circulating concentrations, and in stimulating ovarian androgen production rates. Androgen 100 excess, in turn, represents one of the major factors leading to altered ovarian physiology and 101 associated ovulatory disturbances. In addition to the association of hyperinsulinemia and insulin 102 resistance with the reproductive disorders that characterize PCOS, a number of metabolic 103 abnormalities have also been associated with insulin resistance. The insulin resistance 104 syndrome has been characterized by glucose intolerance, hypertension and dyslipidemia.

Hyperandrogenism (HA) comprises the biochemical hallmark of PCOS with elevated free testosterone levels accounting for the majority of the abnormal laboratory findings in women with oligomenorrhea. Hyperandrogenism has also been linked with several components of metabolic syndrome. Metabolic syndrome (MetS) is characterized by a cluster of risk factors including hypertension, elevated triglycerides, low high-density lipoprotein cholesterol, glucose intolerance, and obesity, which also identifies those at risk for cardiovascular disease. Insulin 111 resistance with subsequent hyperinsulinemia plays a major role in the development of MetS. The association of carbohydrate metabolism abnormalities with androgen excess disorders, 112 113 particularly PCOS, is a well-defined entity. In particular, androgen excess in PCOS may contribute to increased visceral fat, decreased lipolysis in subcutaneous fat, reduced insulin 114 115 sensitivity in adipose tissue and skeletal muscle, decreased high-density cholesterol (HDL-C) 116 levels, and increased low-density lipoprotein cholesterol (LDL-C) levels. Some studies have 117 indicated a positive association between MetS and HA in women with PCOS. Metabolic 118 syndrome and its individual components are common in PCOS, particularly among women with 119 the highest insulin levels and body mass index (BMI). In women with PCOS, there is an insulin 120 post-binding defect in receptor signaling due to increased insulin receptor substrate-1 serine 121 phosphorylation that selectively affects metabolic, but not mitogenic pathways in classic insulin 122 target tissues and in the ovary. Hyperandrogenism per se may have a role in the higher prevalence of glucose intolerance in these patients. In the United States, 33–47% of women 123 124 with PCOS have MetS, a rate two to three times higher than that of age-matched healthy 125 women without PCOS. An estimated 30–40% of PCOS patients have IGT and 7.5–10% have T2D. 126 Studies suggest that the annual progression rate from normal glucose tolerance to IGT and from 127 IGT to T2D in women is substantially enhanced among women with PCOS, with the highest risk 128 in women who are also obese and have a family history of type 2 diabetes.

Excess body weight is associated with hyperandrogenism. Sex hormone binding globulin, 129 130 synthesized in the liver, not only provides transport for steroids in the blood, but also regulates hormone access to target tissues through varied degrees of binding affinity. Furthermore, the 131 132 hyperinsulinemia in obese women may directly increase free testosterone levels by lowering the 133 SHBG synthesis in the liver. On the other hand, rodent models have shown that hyperandrogenism promotes insulin resistance, reduces energy expenditure, and accordingly, 134 135 increases the risk of abdominal obesity and metabolic risk factors. In a multiethnic sample of more than 2500 U.S. women between 42 and 52 years of age, oligomenorrhea was associated 136 137 with the MetS only when coincident with HA. Conversely, women with HA had a significantly 138 increased risk of the MetS independent of the menstrual frequency status. Animal (rodent) studies indicate that and rogens may produce IR by direct effects on skeletal muscle and adipose 139 140 tissue, mediated by alterations in the insulin receptor-glycogen synthesis, by altering adipokine 141 secretion, and by increasing visceral adiposity. Moreover, a small study of 13 obese and 30 nonobese women showed that anti-androgen treatment partly reversed the peripheral insulin 142 143 resistance (IR) in non-obese women only, whereas central obesity may have a direct role in androgen hypersecretion. Also, a recent study of young, overweight women suggested that the 144 145 association between body fat and HA was predominantly mediated by insulin resistance. The interrelationships between body fat, IR and HA contribute to the complex pattern making it a 146 147 difficult task to specify the role of each component.

148 There is considerable heterogeneity in clinical studies among women with hyperandrogenism and there could be multiple clinical phenotypes, even in a single patient at 149 150 different ages. Obesity significantly affects the circulating concentrations of total testosterone and SHBG. Body fat excess, particularly visceral fat accumulation, is another common finding in 151 152 these women regardless of weight and even at a young age. Literature data consistently confirm 153 that up to 80% of PCOS subjects are overweight or obese, with a typical central distribution of 154 adipose tissue. It has been hypothesized that body fat could have a direct role in determining 155 insulin resistance and possibly and rogen hypersecretion in these women, by mechanisms such 156 as increased lipolysis, abnormal adipokine secretion, and altered steroid hormone metabolism. Body weight status was the major factor determining the risk of IGT and MetS in women with 157 158 PCOS. However, the intricate interrelationships between body fat excess, insulin resistance, and hyperandrogenism make it difficult to assess the specific role of each of them. Obesity-related 159 160 insulin resistance and resulting hyperinsulinemia may cause a decreased SHBG and an increased 161 ovarian androgen production, both of which contribute to the hyperandrogenism. However, this 162 may form a vicious circle as hyperandrogenism may also contribute to the insulin resistance by 163 increasing free fatty acid flux to the liver and muscle through visceral lipolysis and, in addition, by altering muscle structure toward less insulin-sensitive muscle fibers. Indeed, obese women 164 with PCOS have more profound IR or T2D, gestational diabetes, dyslipidemia and risk of 165 cardiovascular disease and greater level of androgens due to low levels of SHBG. Ethnicity, 166 167 genetic background, personal and family history, degree of obesity must all be taken into account because they might aggravate or even trigger metabolic disturbances women with 168 PCOS. 169

170 Women suffering from PCOS are subjected to a range of symptoms associated with 171 menstrual dysfunction, excess of androgen, which significantly influence the quality of life. The 172 sweet spot for intervention in PCOS occurs early in patients who don't yet desire pregnancy and 173 who are experiencing the classic PCOS progressive weight gain. This occurs at an early age, 174 before or around the time of puberty. Aggressive treatment at this stage will reduce the risk of a 175 host of potential health problems later. In addition to infertility issues, these include increased 176 long-term risks of diabetes, hypertension, dyslipidemia, metabolic syndrome, endometrial 177 cancer, obstructive sleep apnea, and nonalcoholic fatty liver disease. Weight reduction is the 178 most important treatment target when PCOS is linked to obesity. Obese women referred for 179 assistance with weight loss had a prevalence of PCOS of 28.3%. Obesity is a great problem in 180 women with PCOS and we do not have a conventional satisfactory treatment for it. Weight management by lifestyle intervention often remains unsatisfactory in obese women with PCOS. 181 182 Lifestyle interventions remain essential to the management of women with PCOS; however, the majority of non-diabetic obese patients with PCOS do not reach their therapeutic goals with 183 184 these interventions alone and require pharmacologic therapies.

185 A great deal of attention has been given to the metabolic disturbances that accompany PCOS as well as these disturbances later in life. The growing body of evidence linking PCOS to an 186 187 inherited resistance to insulin action, aggravated by lifestyle problems such as obesity, poor diet and physical inactivity has led to trials of drug therapies in patients with PCOS. Over the last 188 189 years, considering the importance given to insulin resistance in the pathogenesis of the 190 syndrome, clinical studies have focused on insulin sensitizing drugs for the treatment of women 191 with PCOS, with metformin being the drug most extensively studied in this syndrome. Although 192 no antidiabetic agents have US Food and Drug Administration approval for the treatment of 193 PCOS, metformin was preferred due to the fact that it had the safest risk-benefit ratio, and could 194 cause weight loss, while thiazolidinediones increased weight as a result of fluid retention. Metformin acts by decreasing hepatic gluconeogenesis and free fatty acid oxidation while 195 increasing peripheral glucose uptake. Early studies in PCOS suggested that metformin indirectly 196 reduces insulin levels, dyslipidemia and systemic inflammation; however, recent placebo-197 198 controlled trials failed to demonstrate significant metabolic benefit. Considerable variability in 199 the metabolic responses to metformin has been observed in women with PCOS, attributable to several potential factors such as different doses of the drug and genetic background. While 200 201 metformin is not a weight loss drug it is possible that the weight loss that often accompanies 202 protracted metformin therapy may account for some of the beneficial effects observed in many studies. Weight loss has been claimed to be a beneficial secondary effect of extended release 203 204 metformin but the effect is not very consistent. Metformin has inconsistently demonstrated weight reduction. In addition, metformin has been shown to have no clinically significant effect 205 in reducing abdominal adiposity. Interestingly, most studies have not found any beneficial 206 207 effects of metformin treatment in obese patients with PCOS. Irrespective of treatment group (after adjustment for baseline BMI and age), only weight loss, but not the use of metformin, was 208 209 associated with a significant improvement in metabolic and reproductive function in obese women with PCOS. Furthermore, a number of studies have substantiated the view that obesity 210 211 may reduce the benefit of metformin treatment.

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213 Novelty of Study

214 Polycystic ovary syndrome is now recognized as one of the most common endocrine 215 system disorders among women of reproductive age. Earlier studies using National Institute of Health criteria estimated PCOS affects between 5% and 10% of the female population ages 18 to 216 217 44. The diagnostic criteria used to define PCOS are frequently being modified with the projected figure of affected women using the newer diagnostic criteria to be about one in every 10 to 15 218 219 women. .Most women are diagnosed during their twenties or thirties, but recent studies warn that PCOS may affect even prior to age of teens and as young as 11 years of age, much ahead of 220 221 their puberty. The economic burden of PCOS is significantly huge. Around 4 billion dollars are 222 spent annually in the United States to screen for the disease and treat its various morbidities, including hirsutism, infertility, obesity, and diabetes mellitus.

224 The realization that hyperinsulinemia is a key component in the pathogenesis of PCOS 225 provided a basis for advances in treatment strategies for women with the disorder. Lifestyle modification, including diet and exercise, is considered a cornerstone of the management of 226 women with PCOS presenting with obesity, particularly the abdominal phenotype. PCOS is 227 228 characterized by a vicious cycle whereby androgen excess favors abdominal fat deposition, 229 which in turn aggravates insulin resistance and compensatory hyperinsulinism, further 230 enhancing ovarian androgen secretion. Hence, therapeutic strategies ameliorating abdominal 231 adiposity and weight excess may inhibit this vicious cycle, improving not only the metabolic co-232 morbidities of PCOS but also androgen excess and reproductive aberrations for overweight, 233 anovulatory women with PCOS. Modest weight loss (5-10% of total body weight) can improve 234 ovulation, decrease serum androgen levels and in some cases improve hirsutism. While weight 235 loss is the key in the treatments of obese patients with PCOS, current non-pharmacologic 236 management of body weight is hard to achieve. Thus, in the majority of patients with PCOS 237 pharmaceutical intervention is an additional essential therapeutic aid to lifestyle changes.

238 The genetic disruption of insulin signaling in the brain has indicated that this pathway is 239 important for the ovulation and body weight regulation. These insights have been directly 240 translated into a novel pharmacotherapy aiming to achieve weight loss for obese PCOS patients with insulin-sensitizing drugs such as metformin and use of antidiabetes medications. The most 241 widely used drug is metformin for women with PCOS and metabolic disturbances, but the weight 242 loss effects of metformin are disputed. Several studies have shown an increase in insulin 243 244 sensitivity and pregnancy rate accompanied by decreased insulin and androgen levels in PCOS 245 patients taking metformin but it has limited efficacy in obese women. Other studies with orlistat and metformin showed a significant reduction in body weight, androgen levels and metabolic 246 cardiovascular risk factors in obese PCOS women. Recently a number of antidiabetes drugs have 247 been approved which facilitate weight loss and improve the underlying insulin resistance. 248 249 Incretin mimetics evolved as therapeutic options for the treatment of T2D primarily because of 250 their effects on insulin and glucagon secretion, with weight loss as an additional benefit. Early 251 studies of human glucagon-like peptide-1 (GLP-1) showed that continuous peripheral infusion 252 was associated with decreased appetite and increased satiety. Continuous infusion of GLP-1 253 also was shown to improve insulin sensitivity, glycemic control, and pancreatic beta cell function 254 in individuals with T2D. Weight loss ranging from 2 to 6 kg has been a consistent finding in 255 studies designed to investigate the glycemic benefits of GLP-1 agonists in individuals with T2D. Additionally, this therapy has produced progressive weight loss in obese people without 256 257 diabetes. A recent meta-analysis concluded that GLP-1 receptor agonists not only had a significant effect on weight loss in overweight T2D patients but also in non-diabetic overweight 258 259 persons, reducing subcutaneous fat areas in particular. The mechanisms of weight loss with 260 GLP-1 agonists are not fully understood but may include changes in energy expenditure, changes

in leptin sensitivity, or nausea resulting in decreased food intake. Available clinical trials of GLP-261 262 1 receptor agonist therapy in the treatment of excess body weight in women with PCOS 263 demonstrate that exenatide and liraglutide are effective in weight reduction either as monotherapy or in combination with metformin (Elkind-Hirsch et al. 2008; Jensterle et al, 2016). 264 265 One small study has investigated the effect of liraglutide in a subset of obese patients with PCOS 266 and higher metabolic risk profile reporting a significantly greater weight loss with liraglutide in 267 combination with metformin than metformin alone (Jensterle et al, 2015). Another preliminary 268 report confirmed that liraglutide had an add-on effect on weight loss in obese women with PCOS 269 who had lost <5% body weight during a 6-month pre-treatment with metformin (Jensterle et al, Similar to native GLP-1, liraglutide causes glucose-dependent insulin secretion, 270 2014a). promotes weight loss and may subsequently improve insulin resistance. Short-term liraglutide 271 272 treatment was associated with weight loss and significantly improved eating behavior in obese 273 women with PCOS (Jensterle et al, 2014b). These studies in women with PCOS also showed that 274 androgens may be modestly decreased and menstrual frequency may be increased (Nylander et al, 2017). Glucose parameters were generally improved. We reported that treatment with 275 276 exenatide for 24 weeks was superior to single agent metformin treatment in improving insulin action and reducing body weight and hyperandrogenism in obese women with PCOS (Elkind-277 278 **Hirsch et al.2008**). We further found exenatide treatment in women with PCOS significantly 279 improved first-phase insulin responses to oral glucose administration. Since aberrant first-phase 280 insulin secretion and impaired suppression of endogenous glucose production are major 281 contributors to postprandial hyperglycemia and development of T2D, the effects of the GLP-1 282 agonist, liraglutide, to target these defects, and normalize glucose excursions are likely to be 283 clinically significant in obese patients with PCOS.

The drug, liraglutide 3.0 mg was approved for chronic weight management in 284 management in obese adults with an initial BMI of 30 kg/m² or greater or in overweight adults 285 BMI of 27 kg/m² or greater with at least one weight-related co-morbid condition as an adjunct to 286 a reduced-calorie diet and increased physical activity. Liraglutide is an acylated human GLP-1 287 288 analog that binds to and activates the GLP-1 receptor. It lowers body weight through decreased 289 caloric intake while stimulating insulin secretion and reducing glucagon via a glucose-dependent 290 mechanism. For obesity management, patients may lose weight with GLP-1 receptor agonists due to other unique actions. Glucagon-like peptide-1 receptor agonists (GLP-1RAs) can slow 291 292 gastric emptying and increase satiety. While predictors of weight loss success for the general 293 population are available (protein intake, weight loss medications), predictors of weight loss success may differ between normal and hyperandrogenic women. Glucagon-like peptide 1 294 295 agonists are linked with dose dependent weight lowering potential in different obesity related 296 populations. The weight loss effects of GLP-1RAs previously demonstrated in diabetic and obese 297 non-diabetic patients, offer a unique opportunity to expand the medical options available to 298 patients with PCOS. Given this lack of information, the aim of the present study was to investigate the effects of liraglutide 3mg vs. placebo on body composition as well as hormonaland metabolic features in non-diabetic obese women with PCOS.

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302 Study Rationale

303 The non-diabetic obese female with PCOS offers a unique model to study the relationship 304 between insulin resistance and adiposity. We propose a double-blind, placebo-controlled 30week trial designed to directly examine the therapeutic effects of liraglutide 3 mg (LIRA 3 mg) 305 306 compared to placebo on body weight, hormonal and cardiometabolic parameters in obese non-307 diabetic women with PCOS. All patients will receive diet and lifestyle counseling, including 308 advice on exercise commencing during the lead-in period and continuing throughout the study. In this study, we will examine the efficacy of LIRA 3mg on body weight and body composition, 309 310 reproductive function metabolic parameters and cardiovascular risk factors in a well-defined 311 group of pre-menopausal obese non-diabetic women with hyperandrogenism, focusing on the relationship to obesity and insulin resistance. Women with PCOS demonstrate abnormal body 312 composition characterized by a greater percent body fat, body fat mass, and increased ratio of 313 fat to lean mass (F/L ratio). Studies using DEXA methodology report a higher degree of 314 metabolic dysfunction in patients with PCOS which appears be directly associated with their 315 316 higher F/L ratio. The use of DEXA technology that is simple, operator independent, safe, accurate and cost-effective will be used to assess fat quantity and distribution. 317

318 There is a growing need to develop pharmacologic interventions to improve metabolic function in women with PCOS. Given that PCOS is a frequent condition and weight loss is 319 essential but difficult to achieve, it is important to study if the effect on body weight reported in 320 321 other studies can be confirmed in a selected population of hyperandrogenic patients, especially with medications currently approved for weight reduction. High dose liraglutide alone results 322 323 in significant weight reduction in obese women without PCOS. There is limited data on weight 324 loss with high dose liraglutide in non-diabetic females with PCOS treated with this agent 325 (Jensterle et al, 2016). Studies on the effect of anti-obesity medication combined with lifestyle 326 changes on body weight and composition and androgen excess in obese women diagnosed with PCOS are lacking. The investigators aim to elucidate the most efficacious weight reduction 327 328 regime in obese PCOS women. We hope to determine which treatment(s) addressing the 329 multifaceted disturbances of this disorder in patients with PCOS and obesity emerges as the 330 preferable therapy.

331 Benefit/Risk and Ethical Assessment

Glucagon-like peptide-1 receptor agonists (GLP-1RA) are peptides that mimic native GLP-1, binding to its receptors to elicit the same effects, but at much higher pharmacological levels than the physiological profiles. The most common treatment-related adverse effects of GLP-1RAs are gastrointestinal in nature and include nausea, vomiting, and diarrhea, which are usually mild and tend to subside over time. The GLP-1RAs are usually well-tolerated, with nausea being the most significant adverse side effect. Other documented but infrequent concerns with GLP-1 receptor agonists include mild injection site reactions. When looking at the benefit–risk assessment, the GLP-1 receptor agonists demonstrate clinical advantages such as reduced risk for drug-related hypoglycemia and often favorable effects on body weight.

341 Women with PCOS are more likely to be overweight or obese. Research has increased 342 the understanding of the persistent alterations in physiological and behavioral processes that 343 contribute to weight gain and hamper weight loss. Evidence suggests that pharmacotherapy for the management of obesity may modify these processes and thereby help individuals adhere to 344 345 diet and exercise regimens, to lose more weight and to maintain weight loss. Although no pharmacological agent is without some risk, LIRA 3mg therapy appears to have wide margins of 346 347 safety when used appropriately. The robust clinical benefits observed with this pharmacologic 348 agent may confer a significant advantage to improve outcomes in patients at high risk of 349 developing T2D and cardiovascular disease.

350 351

Study Hypothesis

352 Randomized, Parallel, Placebo-Controlled, Double-Blind Prospective Study Trial

353 This is a prospective double-blind randomized outpatient drug efficacy study comparing 354 the use of liraglutide (3 mg) to placebo in nondiabetic obese women with polycystic ovary 355 syndrome. Seventy-two women will be allocated to treatment, in a 2:1-subject distribution ratio, with a daily regimen liraglutide 3.0 mg or placebo (see Figure 1-Flow of Patients through 356 Trial) for 28-30 weeks of intervention. We hypothesize that the use of the GLP-1 agonist 357 liraglutide 3.0 mg (LIRA 3mg) compared with placebo in obese women with PCOS will lead to a 358 359 beneficial reduction in biochemical hyperandrogenism due to greater reduction in body weight. 360 The resulting weight loss will assist in decreasing insulin resistance leading to improved hormonal and cardiometabolic parameters in this patient population., To investigate this, we 361 362 will perform a randomized double-blind clinical trial (RCT) treating obese women with PCOS with either liraglutide or placebo for 28-30 weeks. 363

364 STUDY OBJECTIVES

365

366 **Primary objective**

The primary objectives of this study are to compare the therapeutic impact of liraglutide 368 3 mg versus placebo on reduction of body weight and biochemical hyperandrogenism (as 369 determined by the free androgen index) in obese non-diabetic women with PCOS. We will 1) 370 determine the percentage of participants achieving \geq 5% reduction in baseline body weight with 371 each treatment and 2) assess the inhibition of biochemical hyperandrogenism (ovarian androgen 372 production and sex hormone binding capacity) in response to each treatment.

373 Secondary study objectives

The secondary study objectives are to determine the effect of treatment with antiobesity medication versus placebo on anthropometric, **clinical**, hormonal and metabolic parameters in non-diabetic obese women with hyperandrogenism.

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378 EFFICACY VARIABLES/MEASURES

379 *Primary endpoints*

The co-primary end points are to compare obese women with PCOS receiving liraglutide 3mg (LIRA 3 mg) with those receiving placebo on body weight and bioavailable ovarian androgen

- 382 concentrations as determined by:
- 1a. percent change in body weight from baseline to week 30 and
- 1b percentage of participants achieving ≥5% reduction in body weight from baseline
 to week 30
- 3862reduction of free androgen index [FAI=testosterone (T)/sex hormone binding387globulin (SHBG) levels] from baseline to 30 weeks
- 388 Secondary endpoints
- 389 We will further examine the impact of the administration of these pharmacotherapies in obese
- 390 non-diabetic PCOS women on:
- 391 Anthropometric and Clinical Indices
- Change from baseline of body mass index [BMI], absolute body weight, waist circumference (WC), waist: hip ratio (WHR), waist-height ratio (WHtR), and whole-body dual-energy X-ray absorptiometry [DXA]) measures of body composition (trunk fat mass and truck fat/extremities fat ratio) to determine the relative contribution of changes in fat mass (FM) vs. lean mass (LM) to overall weight loss at week 30
- 2. Compare women with hyperandrogenism for frequency of patients achieving a body
 weight reduction of at least 10% [Time Frame from baseline to 30 weeks]
- 399 3. Change in menstruation frequency (normalized to the number of menstruations per year) from before and after 30 weeks of treatment
- 401 Metabolic Parameters
- 402 1. Change in glycemic values from baseline to 30weeks
- 403 2. Fasting and 2 hour glucose levels after an OGTT
- Surrogate measures of insulin action derived from 75 gram OGTT [insulin sensitivity index (HOMA-IR, Matsuda index), corrected early insulin secretory response (insulinogenic index/HOMA-IR), area under the curve (AUC) for insulin and glucose, and oral disposition index (product of Matsuda index and insulinogenic index; SI_{OGTT} x Δinsulin 30–0 min to
- 408 glucose 30–0 min)]

- 409 Cardiovascular Risk Factors (change from baseline to 30 weeks)
- 410 1. Plasma lipid fractions
- 411 2. Blood pressure
- 412 Other endocrine levels (change from baseline to 30 weeks)
- 413 1. Adrenal androgen concentration- dehydroepiandrosterone sulfate (DHEAS) levels
- The following will be documented for each patient:
- Presence of polycystic ovary syndrome (PCOS) will be recorded using modified National Institutes of Health (NIH) criteria which are inclusive of presence of oligo-/amenorrhea (cycle >35 days or <8 cycles year), and clinical and/or biochemical hyperandrogenism, after exclusion of related disorders. Other causes to bleeding irregularities and androgen excess will be excluded.
- 2. Metabolic syndrome (MetS) will also be documented and defined (2005 National Cholesterol Education Program, Adult Treatment Panel III) as the presence of at least three of the following criteria: abdominal obesity (waist circumference >80 cm in women); serum triglycerides ≥1.7 mmol/L; serum HDL <1.3 mmol/L; systolic blood pressure ≥130 mmHg and/or diastolic blood pressure ≥85 mmHg; and fasting plasma glucose ≥7.0 mmol/L
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427 Safety variables/Measures

Safety and tolerability will be assessed by collating data on treatment-emergent adverse 428 429 events (AE), laboratory tests, physical examinations, and vital signs. Prevention of pregnancy will be monitored monthly by both laboratory and home pregnancy testing. All patients will be 430 431 educated about not becoming pregnant and perform monthly urine home pregnancy tests during the months that they do not have laboratory evaluations. Patients will be educated 432 about the side effects and use of liraglutide 3.0 mg and the injection delivery system. Liraglutide 433 434 3.0 mg is a well-tolerated long-term weight loss agent. The most common expected AEs (prevalence >5%) are nausea, diarrhea, constipation, vomiting, dyspepsia, fatigue, dizziness, and 435 abdominal pain (see reference 77 - prescribing information). Patients will be asked about the 436 most common adverse events related to liraglutide such as nausea, headache, diarrhea, 437 constipation and vomiting if not volunteered. This protocol and the associated Informed 438 439 Consent as well as any addenda or amendments, must be reviewed and approved by the Woman's Hospital Institutional Review Board (WHIRB) review committee prior to the start of the 440 study. All revisions to this Protocol are considered "protocol amendments; these must be 441 approved in advance, in writing, by the WHIRB. Every patient will have given her written 442 443 informed consent prior to participating in the study. Prior to participation in this trial, each subject will have an opportunity to ask questions and will sign (and date) a written Informed 444 Consent, which must be witnessed. The signed consent forms will be filed with the 445

investigator's study charts for each subject. Any subject may voluntarily withdraw from thestudy at any time without prejudicing treatment.

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449 STUDY PLANS AND PROCEDURES

450 Subjects

Up to 92 non-diabetic women with PCOS, aged 18 years to 45 years of age, meeting BMI 451 criteria, will be invited to participate. We will define hyperandrogenism using biochemical 452 453 evidence (elevated testosterone and/or free androgen index with exclusion of androgen secreting tumors). Subjects will be recruited using flyers distributed in the metabolic clinic, 454 455 gynecology clinics and pathology laboratory associated with Woman's Hospital. All participants will be provided a written informed consent and be asked to sign a copy before being enrolled in 456 457 the study. The Woman's Hospital Institutional Review Board (WHIRB) will have approved both the protocol and consent. All subjects will undergo a verbal screen, and if they are eligible and 458 459 sign a medical release form, their medical records will be obtained to confirm their medical history. All subjects will provide a medical and gynecological history including assessment of 460 regularity and length of the menstrual cycle, with recording of menses in the 12-month period 461 before the study. Patients will be specifically asked about the number of menses in previous 12 462 463 months (menstrual frequency). To be eligible for the study, subjects will be required to have 464 irregular periods (cycle length outside 24–35 days or <8 cycles per year). All enrolled patients will then undergo baseline clinical and laboratory evaluations to exclude diabetes, thyroid 465 466 disorder, significant hyperprolactinemia, elevated liver enzymes and/or severe hypertriglycidemia. A negative serum pregnancy test is a prerequisite for commencing 467 468 treatment. Subjects will be instructed to use an IUD or double barrier methods of contraception (unless sterilized) during the study since hormonal methods are not permitted. Glycemic status 469 470 will be measured at the beginning and end of each treatment period by a standard 75g oral 471 glucose tolerance test (OGTT). Obese women who meet study eligibility criteria (see inclusion and exclusion criteria) will be eligible to be randomized to treatment. We anticipate that 72 472 473 women will be randomized to treatment (this allows for 20 women to fail screening). Exclusion 474 criteria include any condition, which in the opinion of the investigator would place the subject at 475 increased risk or otherwise make the subject unsuitable for participation in the study.

- 476 Key Inclusion Criteria
- 477 Female gender
- 478 18-45 years of age
- BMI ≥30 kg/m2 or BMI ≥27 kg/m2 with one or more obesity-associated co-morbid
 conditions (e.g. hypertension, and dyslipidemia)

- PCOS- NIH criteria hyperandrogenism and irregular menstrual cyclicity
- Non-diabetic as determined by a 75 gram oral glucose tolerance test (OGTT) and
 hemoglobin A1C. Non-diabetic is inclusive of women with impaired fasting glucose (IFG),
 impaired glucose tolerance (IGT), or both (IFG/IGT). Participants with diabetes will be
 excluded
- Willing to use effective contraception consistently during therapy which is defined as:
- 487
- 488

an intrauterine device, tubal sterilization, or male partner vasectomy, or
 combination of two barrier methods with one being male condom.

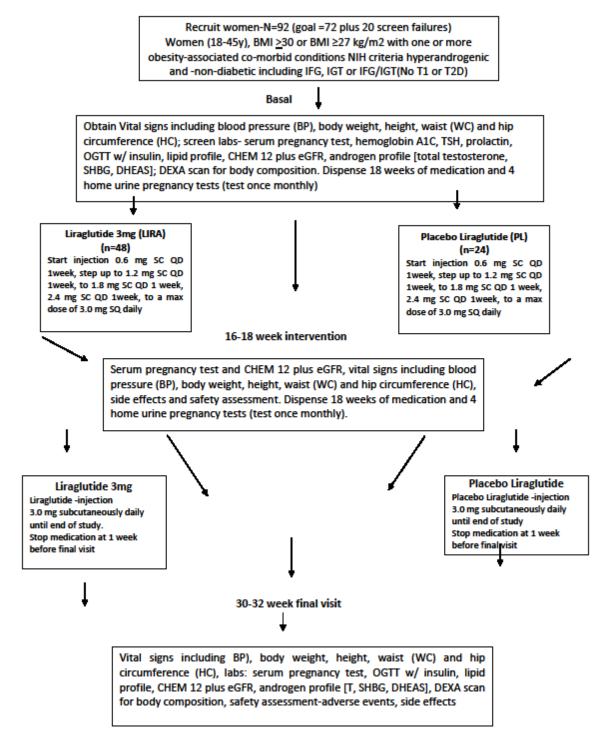
• Written consent for participation in the study

490 Key Exclusion Criteria

- Presence of significant systemic disease, cerebrovascular disease, clinically significant
 cardiac abnormalities or heart problems including congestive heart failure, unstable
 angina or acute myocardial infarction, current infectious liver disease, acute stroke or
 transient ischemic attacks, history of pancreatitis, or diabetes mellitus (Type 1 or 2)
- Any hepatic diseases in the past (infectious liver disease, viral hepatitis, toxic hepatic damage, jaundice of unknown etiology) or severe hepatic insufficiency and/or significant abnormal liver function tests defined as aspartate aminotransferase (AST) >3x upper limit of normal (ULN) and/or alanine aminotransferase (ALT) >3x ULN
- Renal impairment (e.g., serum creatinine levels ≥1.4 mg/dL for women, or eGFR <60
 mL/min/1.73 m2) or history of unstable or rapidly progressing renal disease or end stage
 renal disease.
- Uncontrolled thyroid disease (documented normal TSH), Cushing's syndrome, congenital
 adrenal hyperplasia or clinically significant elevations in prolactin levels. The clinical
 significance of prolactin levels will be determined by the treating physician
- Significantly elevated triglyceride levels (fasting triglyceride > 400 mg %)
- Untreated or poorly controlled hypertension (sitting blood pressure > 160/95 mm Hg)
- Use of hormonal medications, the use of medications that cause clinically significant
 weight gain or loss (prescription or OTC) and medications known to exacerbate glucose
 tolerance (such as isotretinoin, hormonal contraceptives, GnRH analogues,

510	glucocorticoids, anabolic steroids, C-19 progestins) including herbal medicines for at least
511	8 weeks. Use of anti-androgens that act peripherally to reduce hirsutism such as 5-alpha
512	reductase inhibitors (finesteride, spironolactone, flutamide) for at least 4 weeks
513	 Prior history of a malignant disease requiring chemotherapy
514	• Family or personal history of familial medullary thyroid carcinoma or multiple endocrine
515	neoplasia type 2
516	Known hypersensitivity or contraindications to use GLP1 receptor agonists
517	• Use of metformin, thiazolidinediones, GLP-1 receptor agonists, DPP-4 inhibitors, SGLT2
518	inhibitors or weight loss medications (prescription or OTC) stopped for at least 4 weeks
519	Prior use of medication to treat diabetes except gestational diabetes
520	Eating disorders (anorexia, bulimia) or gastrointestinal disorders
521	• Suspected pregnancy (documented negative serum ßhCG test), desiring pregnancy in
522	next 15 months, breastfeeding, or known pregnancy in last three months
523	• Active or prior history of substance abuse (smoke or tobacco use within past 6 months)
524	or significant intake of alcohol
525	 Previous bariatric surgery or device intervention for obesity
526	• Patient not willing to use barrier contraception during study period (unless sterilized or
527	have an IUD)
528	History of major depressive or other severe psychiatric disorders
529	Inability or refusal to comply with protocol
530	• Currently participating or having participated in an experimental drug study in previous
531	three months
532	
533	STUDY PLANS AND PROCEDURES
534	Treatment Regimen
535	Obese non-diabetic women with PCOS will be treated for 28-30 weeks with either
536	liraglutide 3 mg (LIRA 3mg) or placebo (see Figure 1-Flow of Patients through Trial).

Figure 1: Flow of Patients Through Trial



538

539 Study Assessments

540 Following written consent, all participants will undergo the following clinical, metabolic 541 and laboratory evaluations before, during and after 30 weeks of treatment. To ensure that 542 patients remain unidentified, all study subjects will be assigned an individual study identifier 543 which includes the study acronym, patient initials, and unique number. All blood samples will be 544 obtained and results identified and reported using this unique study identifier.

- 4 -

A. Baseline (Pretreatment) assessment- A full physical examination will be performed and 545 546 vital signs (blood pressure, respiration and temperature) determined. Trained personnel using 547 standardized protocols at the baseline and follow-up examination will obtain anthropometric 548 measurements and blood specimens. Absolute body weight, height, waist and hip 549 circumference, body fat distribution (waist/hip {WHR}) and waist/height ratio ({WHtR}) and blood pressure (BP) will be determined. Body weight will be measured to the nearest 0.1 kg 550 551 using a calibrated digital scale with participants in light clothing and no shoes. Height will be 552 measured to the nearest centimeter. The total body adiposity (total fatness), defined as the accumulation of body fat without regard to regional distribution, will be expressed as BMI and 553 calculated as weight $(kg)/(height (m))^2$. The circumference measurements will be taken in the 554 upright position using a 15-mm width flexible metric tape held close to the body but not tight 555 enough to indent the skin. Waist circumference (WC) will be measured at the narrowest level 556 557 midway between the lowest ribs and the iliac crest and hip circumference measured at the 558 widest level over the buttocks while the subjects are standing and breathing normally. The WHR 559 and WHtR will be calculated for measure of body fat distribution.

Oral glucose tolerance tests (OGTTs) with glucose (G) and insulin (I) measured at 0, 30, 60, 560 561 and 120 after glucose load to assess diabetes, fasting (FBG) and mean blood glucose (MBG) concentrations, insulin resistance and pancreatic beta-cell function will be determined prior to 562 randomization and at 30-32 weeks after full doses of study medications are reached. Mean 563 564 blood glucose (MBG) concentrations will be calculated by summing glucose values obtained at 0,30,60 and 120 minutes during the OGTT and dividing by 4. At the initial lab evaluation, a 565 566 complete metabolic profile (Chem 12) and calculated eGFR, TSH, prolactin, hemoglobin A1C, and 567 beta-hCG levels will be determined for study inclusion. A baseline blood sample will also be 568 used to measure an androgen profile (total testosterone [T], dehydroepiandrosterone sulfate 569 [DHEAS], sex hormone-binding globulin [SHBG]), and a lipid panel (total cholesterol, high-density 570 lipoprotein [HDL-C], low-density lipoprotein [LDL-C], and triglycerides [TRG].

571 Body composition analyses will be performed using dual-energy x-ray absorptiometry 572 (DXA) (Hologic Discovery A model, software version 12.5; Hologic, Inc., Waltham, MA) at the start and completion of the study trial. For the scan, the participants will be asked to change 573 574 into a hospital gown and asked to lie supine on on the table with hands by the side palms facing down away from the thighs and look at the ceiling to maintain head position. DXA can estimate 575 576 3 body compartments consisting of fat mass, lean body mass, and bone mass. The relative attenuation of two different x-ray energies by body tissues produces a three-component model 577 578 comprising total fat mass (FM), total lean mass (LM including fluid and muscle), and total body bone mineral content (BMC) and density. DXA also has the ability to determine body 579

580 composition in defined regions such as the arms, legs, and trunk. DXA measurements are based in part on the assumption that the hydration of fat-free mass remains constant at 73%. Total 581 582 body fat mass [FM]) and fat content of head, trunk and extremities (arms+ legs) is provided by the software. Default software readings provide lines positioned to divide the body into six 583 584 compartments, i.e. head, trunk, arms and legs. The trunk is defined by a horizontal line below 585 the chin, vertical lines between trunk and arms and oblique lines passing through the colli 586 femuri. The region below this lower border of the trunk, including both legs and the hip region 587 is called lower body region. For each region of the whole body, fat and lean body mass and BMC 588 are determined. Standard software options are used to calculate the total fat-free mass (FFM), 589 fat mass (FM) vs. lean mass (LM).

590 For a better description of the sex specific fat distribution the fat distribution index (FDI) 591 will be calculated as:

592

FDI = Upper body fat mass in kg/Lower body fat mass in kg

593 A fat distribution index below 0.9 indicates a gynoid fat distribution, i.e. the fat mass of the 594 lower body surpassed the fat mass of the upper body. A fat distribution index >1.1 defines an 595 android fat distribution. In this case the amount of fat tissue of the abdominal region surpassed 596 the fat mass of the lower body. An FDI between 0.9 and 1.1 is classified as an intermediate 597 stage of fat distribution. We will use the FDI for further quantification of the fat distribution compared to the widely used waist to hip ratio. The WHR describes body shape and silhouette 598 599 while the FDI provides the quantitative amount of fat distribution. Nevertheless we have to be aware that the FDI describes not the ratio of abdominal fat to gluteal-femoral fat, but the ratio 600 601 between upper body fat, including abdominal fat and breast fat mass, and lower body fat.

602 Following baseline screening, eligible patients will be randomly assigned, in a 2:1 ratio, to receive once-daily subcutaneous injections of liraglutide, starting at a dose of 0.6 mg with 603 604 weekly 0.6-mg increments to 3.0 mg, or placebo; both groups will also receive counseling on lifestyle modification. All subjects will be allocated to one of these 2 groups based on computer-605 606 generated random numbers using a block randomization method. The randomization list will be 607 generated at the study site by the unblinded research assistant. Liraglutide and placebo will be provided in pre-filled pens (Novo Nordisk). The study drug (liraglutide and placebo) will 608 609 delivered in identical prefilled pens, labeled with serial numbers and accompanied by a 610 dispensing unit list. Printed directions for use of the medication will be handed out to subjects before administration of trial drug. All patients will receive the same instructions on how to 611 612 take the medicine. As investigators and participants are blinded to drug assignment, an independent unblinded research assistant will instruct the investigators as to which serial 613 614 numbers to supply each woman with. The participants will be randomized in a 2:1 ratio (liraglutide: placebo), as we believe that this will facilitate the recruitment to the study. 615

B. *Treatment*- all patients will be dispensed 5 months (18 weeks) of liraglutide 3 mg (LIRA 3
mg) treatment or placebo and 4 home pregnancy test kits.

- 618 Patients on LIRA -**S**tart injection 0.6 mg SC QD 1week, step up to 1.2 mg SC QD 1 week, to 619 1.8 mg SC QD 1 week, 2.4 mg SC QD 1week, to a max dose of 3.0 mg SQ daily.
- Patients on PL -Start injection 0.6 mg SC QD 1week, step up to 1.2 mg SC QD 1 week, to
 1.8 mg SC QD 1 week, 2.4 mg SC QD 1week, to a max dose of 3.0 mg SQ daily.

All patients will be called monthly to document the results of their home pregnancy tests and to assess compliance with the medication. Patients will receive the same counseling concerning the benefits of lifestyle modification through diet and exercise. The patients will be also encouraged to increase daily exercise (such as walking, using stairs) although this will not be formally assessed. The participants will receive further encouragement to adhere to the regime by frequent contact using follow-up phone calls. Side effects of the treatment and reason for any withdrawals from the study will be recorded.

629 C. *Week 16-18 assessment-* Patients will return to clinic for an 18-week re-evaluation of 630 clinical and anthropometric variables (height, weight, body mass index [BMI], waist and hip 631 circumference and blood pressure) and a safety assessment. A serum pregnancy test and 632 complete metabolic profile (Chem 12) and calculated eGFR will also be performed. Side effects 633 of the treatment and reason for any withdraws from the study will be recorded. Another 18 634 weeks of medication will be dispensed and 4 home pregnancy test kits following a negative 635 serum pregnancy test.

D. *Final (week 30-32) assessment-* After 28 weeks of treatment, patients will be scheduled for final evaluation. They will be instructed to stop medications 1 week prior to their laboratory assessment visit. All laboratory tests (except prolactin, TSH and hemoglobin A1C) will be repeated. All anthropometric parameters and physical including vital signs and DXA will again be performed and calculations will be repeated for post-treatment effects.

641

During the study period, cycle control will be assessed daily by the subjects using a menstrual diary. Vaginal bleeding will be classified by the subject as either spotting (requiring at least one pad/tampon per day) or bleeding (two or more pads/ tampons per day). The effects of treatment intervention on menstrual abnormalities will be evaluated by assessing posttreatment changes in menstruation frequency over 30 weeks from the patient's menstrual cycle diary and normalized to the number of menstruations per year (52 weeks).

All side-effects will also be recorded and summarized for the 30 week-treatment period. During the whole study period, compliance to the treatment will be documented. Compliance with treatment will be checked by questioning about the side-effects and a subjective evaluation of the tolerability of the administered drug; the patients will also asked about incidental missed administrations and whether they had correctly followed the scheduled treatment. Questioning regarding the occurrence of adverse events and use of concomitant medication will take place throughout the trial.

655

656 Study Medication

657 Study Drug Storage- All investigational products (study drugs) will be stored under 658 appropriate storage conditions in a secure area according to local regulations. The investigator is responsible for ensuring that it is dispensed only to study subjects and only from official study 659 660 sites by authorized personnel, as dictated by local regulations. The investigator is responsible for 661 ensuring that the investigational product is stored under the appropriate environmental 662 conditions (temperature, light, and humidity), as noted in the product labeling. Novo Nordisk 663 will supply all investigational products. The distribution of all supplied medications is the 664 investigators' responsibility.

665 *Study Drug Records*- It is the responsibility of the investigator to ensure that the 666 unblinded study coordinator maintains a current disposition record of investigational product. 667 Records or logs must comply with applicable regulations and guidelines and should include:

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- amount received and placed in storage area; amount currently in storage area
- label ID number or batch number
- amount dispensed to and returned by each subject, including unique subject
 identifiers
 - non-study disposition (e.g., lost, wasted)
 - amount destroyed at study site
 - dates and initials of person responsible for Investigational Product dispensing/ accountability.

Destruction of Investigational Product- If the study drugs are to be destroyed on site, it is the investigator's responsibility to ensure that arrangements have been made for disposal, and that procedures for proper disposal have been established according to applicable regulations, guidelines, and institutional procedures. Appropriate records of the disposal will be maintained.

680

681 **Biological Sampling Procedures**

682 Laboratory Measures

683 Hormonal and metabolic parameters will be measured at baseline and 30 weeks of 684 treatment. All participants will undergo a standard 2-h oral glucose tolerance test (OGTT) after an overnight fast (10–12 h). Blood samples for the determination of glucose and insulin levels 685 686 will be obtained in the fasting state (time 0) and collected at 1/2, 1, and 2 h after a standardized 687 75 g oral glucose load (OGTT with INS). Blood samples will be centrifuged, divided into aliquots, 688 and assayed. Plasma glucose levels will be determined with a glucose analyzer using the glucose 689 oxidase method (Glucose Reagent Kit, Bayer Newbury, UK). Serum insulin will be determined in 690 all samples in duplicate by microparticle enzyme immunoassay (Abbott AxSYM System, Abbott 691 Laboratories, Abbott Park, IL). Levels of total cholesterol, high-density lipoprotein cholesterol 692 (HDL-C) and triglycerides will be determined in the initial basal sample using standard enzymatic 693 colorimetric assays on an automated clinical chemistry analyzer whereas low-density lipoprotein cholesterol (LDL-C) will be calculated according to the Friedewald equation. Electrolytes, serum
creatinine, and liver enzymes will be measured using standard automated kinetic enzymatic
assay. Circulating levels of TSH, prolactin, ß human chorionic gonadotropin (ßhCG), testosterone,
sex-hormone binding globulin (SHBG) and DHEAS will be measured using a two-site sandwich
immunoassay with direct chemiluminometric technology (Diagnostic Products, Los Angeles, CA).
The intra- and interassay coefficients of variation are less than 7 and 11%, respectively, over the
sample concentration range.

701 Assessment of Insulin Sensitivity and Secretion

702 Indices of insulin sensitivity and secretion using the serum glucose and insulin 703 concentrations obtained in the fasting state and during the 2hr OGTT will be computed by 704 several previously validated measures. Fasting and glucose-stimulated insulin sensitivity will be 705 estimated by homeostasis model assessment of insulin sensitivity (HOMA-IR) and by Matsuda's 706 insulin sensitivity index (SI_{OGTT}). Early pancreatic beta (β)-cell response will be estimated as the 707 insulinogenic index (IGI) derived from the ratio of the increment of insulin to that of glucose 30 708 minutes after a glucose load (insulin 30 min – insulin 0 min/glucose 30 min – glucose 0 min) 709 corrected for by the relative level of insulin resistance (IGI/HOMA-IR). The area under the curve (AUC) for glucose and insulin will be calculated using the mathematical model developed by Tai 710 711 using measures obtained during the OGTT. An estimation of β -cell compensatory function, the 712 insulin secretion-sensitivity index (IS-SI) will be derived by applying the concept of the 713 disposition index to measurements obtained during the 2-h OGTT. The composite IS-SI is 714 defined as the product of 1) insulin secretion as measured by insulinogenic index (IGI) and 2) insulin sensitivity as measured by the Matsuda index ($\Delta INS/\Delta GLU$ 30 x Matsuda SI_{OGTT}). The IS-SI 715 716 is a validated OGTT-derived measure of β -cell function analogous to the disposition index 717 obtained from the intravenous glucose tolerance test. Improving β -cell compensatory function 718 (increasing insulin sensitivity and enhancing insulin release after an oral glucose load) is 719 reflective of improvement and/or delays in declining glucose tolerance.

The most frequent biochemical parameters of androgen excess include elevated total testosterone or free androgen index (FAI). Baseline blood samples will be collected for measurement of total testosterone (T) and sex hormone-binding globulin (SHBG) concentrations. The free androgen index (FAI) is calculated from the total T concentration (nmol/l)/ concentration of SHBG (nM/L) x100. While clinical markers of hyperandrogenism in females include cutaneous manifestations such as the presence of acne, hirsutism and/or male pattern alopecia, many of these will not be altered with 30 weeks of therapy.

727

728 Collateral Research

729 Several other endpoints will be assessed at each study visit. Baseline blood samples will 730 also be collected for measurement of lipid profiles (cholesterol, HDL and LDL cholesterol, and triglycerides), adrenal androgens (DHEAS), and liver enzymes (AST/ALT). Dyslipidemia is defined
as the presence of at least one of the mentioned lipid parameters abnormalities.

733 Safety assessments

The safety and tolerability assessments will include incidence and intensity of adverse events, withdrawals because of adverse events, physical exams, vital sign measurements and clinical laboratory parameters. Patients will be seen at 16-18 weeks and 30-32 weeks for laboratory evaluation for a complete chemistry profile and to confirm they are not pregnant. Patients will also be required to perform monthly home pregnancy tests.

739 STATISTICAL/ANALYTICAL PLAN

740 Statistical Methods

Statistical analysis will be performed using SPSS version 15.1 for Windows (SPSS, Inc.; 741 742 Chicago, IL). Continuous variables will be tested for normality of distribution using the Kolmogorov-Smirov test. When necessary, non-normally distributed data will be subjected to 743 logarithmic or square-root transformation to obtain a normal distribution where necessary for 744 subsequent analyses. The primary endpoints are comparison of percent change in body weight 745 and therapeutic impact on biochemical hyperandrogenism (as determined by FAI) from baseline 746 747 to week 30 of treatment. The secondary endpoints include changes in surrogate measures of insulin action (HOMA-IR, SI_{OGTT}, IGI/HOMA-IR and IS-SI) and glycemic parameters (fasting blood 748 glucose [FBG] and 2 hour post OGTT glucose), glucose and insulin AUC, and mean blood glucose 749 (MBG), anthropometric parameters (BMI, absolute weight, WC and fat distribution by DXA), 750 751 blood pressure, lipid profiles, and adrenal androgen levels (DHEAS). Direct and indirect estimates of insulin sensitivity and secretion (HOMA, SI_{OGTT}, IGI/HOMA, β-cell compensatory 752 753 function, glycemic parameters (FBG, MBG, 2 hour post OGTT glucose level, AUC) anthropometric 754 measurements (body weight, BMI), fat distribution (WC, WHR and WHtR), BP, androgen and 755 lipid profiles will be considered as dependent variables.

For all analyses, in which the measures are continuous, data from evaluable subjects will be submitted to a repeated-measures general linear model (SS/ Drug treatments x repeated measures ANOVA) including the arm of drug treatment (liraglutide 3mg vs. placebo) as the between-subjects effect, and the visit (baseline and 30 wks) as the within-subjects effect. To evaluate the differences in the response to each treatment over visits, the interaction effect will be calculated. Baseline comparisons between groups will be made by one-way ANOVAs.

Frequency of patients achieving a body weight reduction of at least 5% and 10% before and after treatment will be compared with the McNemar test (complex chi square $[\chi^2]$ for paired data), which formally tests for a change between the observed proportions of k related samples. Dysglycemia occurrence before and after different treatment will also be compared with the McNemar test. The difference in frequency of menstruation before and aftertreatment will also be compared using the McNemar test.

Data will be analyzed on completed treatment parameters where relevant (evaluable population). The evaluable population is defined as all randomized subjects who complete treatment through week 30-32 week. Results will be reported as mean +/- S.E.M for normally distributed data and as median (interquartile range) if the distribution is not normal. Categorical data will be presented as percentage. P < 0.05 is considered statistically significant.

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774 Sample Size and Justification

775 A priori sample size analysis was performed using the online calculator provided by the 776 Hospital Mallinckrodt General Clinical Massachusetts General Research Center (http://hedwig.mgh.harvard.edu/sample size/size.html). To calculate sample size, we used the 777 778 standard formula suggested for clinical trials by considering a type one error (α) of 0.05 and type 779 two error (β) of 0.20 (power = 80%). Sample size calculation revealed that 57 participants 780 randomized in a 2:1 ratio (liraglutide: placebo) were needed. Using a 30% drop-out rate, the 781 study is designed to recruit 92 patients, enroll 48 liraglutide and 24 placebo to ensure that the 782 number of subjects completing the study (38 LIRA/19 PL) as derived by the sample size 783 calculation is met.

784

785 Ethical and Regulatory Requirements

This protocol and the associated Informed consent as well as any addenda or 786 amendments, must be reviewed and approved by the Woman's Hospital Foundation 787 Institutional Review Board (WHIRB) review committee prior to the start of the study. 788 789 Recruitment materials and advertising must be reviewed and approved by the WHIRB prior to 790 use. All revisions to this Protocol are considered "protocol amendments" these must be 791 approved in advance, in writing, by the WHIRB. Every patient will have given her written informed consent prior to participating in the study. Prior to participation in this trial, each 792 793 subject will have an opportunity to ask questions and will sign (and date) a written Informed 794 Consent, which must be witnessed. The signed consent forms will be filed with the 795 investigator's study charts for each subject. A copy of the informed consent will be provided to 796 the subject. Any subject may voluntarily withdraw from the study at any time without 797 prejudicing treatment.

Good Clinical Practice - This study will be conducted in accordance with Good Clinical Practice (GCP), as defined by the International Conference on Harmonization (ICH) and in accordance with the ethical principles underlying the United States Code of Federal Regulations, Title 21, Part 50 (21CFR50). The study will be conducted in compliance with the protocol. All potential serious breaches must be reported to Novo Nordisk (NOVO) immediately. A serious breach is a breach of the conditions and principles of GCP in connection with the study or the protocol, which is likely to affect, to a significant degree, the safety or physical or mental integrity of the subjects of the study or the scientific value of the study. Study personnel involved in conducting this study will be qualified by education, training, and experience to perform their respective tasks. This study will not use the services of study personnel where sanctions have been invoked or where there has been scientific misconduct or fraud (e.g., loss of medical licensure; debarment).

The United States Food and Drug Administration (FDA) have assigned pregnancy category X to Saxenda (3 mg liraglutide). Studies in animals or humans have demonstrated there is positive evidence of human fetal risk based on adverse reaction data from investigational or marketing experience, and the risks involved in use of the drug in pregnant women clearly outweigh potential benefits. Safer alternatives exist. If patients become pregnant during the study, all medications will be stopped and the patient will discontinue from the study.

For safety, all subjects who enter the study are evaluable. Subjects will be monitored for safety by assessment of adverse events, physical exams, vital signs and laboratory values. Continued patient safety assessment will be carried out and all adverse events documented and reported to the WHIRB. On each visit, compliance with treatment will be checked with questions about the side-effects and a subjective evaluation of the tolerability of the administered drug; the patients will also asked about incidental missed administrations.

Adverse events will be evaluated on a continuous basis while the patient is on study and until 30 days after the last dose of study drug. Patients should be followed until all treatmentrelated adverse events have recovered to baseline or are deemed irreversible by the principal investigator.

826

827 Adverse Event Procedures

An Adverse Event (AE) is defined as any new untoward medical occurrence or worsening of a preexisting medical condition in a clinical investigation subject administered an investigational (medicinal) product and that does not necessarily have a causal relationship with this treatment. An AE can therefore be any unfavorable and unintended sign (such as an abnormal laboratory finding), symptom, or disease temporally associated with the use of investigational product, whether or not considered related to the investigational product.

An Adverse Reaction (AR) is defined as any untoward and unintended responses to an investigational medicinal product <u>related</u> to any dose administered Thus, for an AR, a causal relationship must be at least suspected by the medical practitioner. Unexpected Adverse Reaction (UAR) is an adverse reaction, the nature or severity of which is not consistent with the applicable product information (e.g. investigator's brochure for an investigational product or summary of product characteristics for an authorized product).

- The causal relationship to study drug is determined by a physician and should be used to
- assess all adverse events (AE). The casual relationship can be one of the following:
 Related: There is a reasonable causal relationship between study drug administration and
 the AE.
- 844 Not related: There is not a reasonable causal relationship between study drug 845 administration and the AE.
- The term "reasonable causal relationship" means there is evidence to suggest a causal relationship.
- Adverse events can be spontaneously reported or elicited during open-ended questioning, examination, or evaluation of a subject. (In order to prevent reporting bias, subjects should not be questioned regarding the specific occurrence of one or more AEs.)

Serious Adverse Event (SAE) or Serious Adverse Reaction (SAR) or Suspected Unexpected Serious Adverse Reaction (SUSAR)

- A serious adverse event (experience) or adverse reaction is any untoward medical
- 854 occurrence that at any dose:
- 855 results in death
- is life-threatening (defined as an event in which the subject was at risk of death at the time
 of the event; it does not refer to an event which hypothetically might have caused death if it
 were more severe)
- requires inpatient hospitalization or causes prolongation of existing hospitalization (see
 NOTE below)
- results in persistent or significant disability/incapacity
- is a congenital anomaly/birth defect
- is an important medical event (defined as a medical event(s) that may not be immediately 863 life-threatening or result in death or hospitalization but, based upon appropriate medical 864 and scientific judgment, may jeopardize the subject or may require intervention [e.g., 865 medical, surgical] to prevent one of the other serious outcomes listed in the definition 866 above.) Examples of such events include, but are not limited to, intensive treatment in an 867 emergency room or at home for allergic bronchospasm; blood dyscrasias or convulsions that 868 869 do not result in hospitalization.) Potential drug induced liver injury (DILI) is also considered 870 an important medical event.
- 871 Serious adverse reaction (SAR): an adverse event fulfilling both the criteria for a Serious Adverse 872 event (SAE) and the criteria for an Adverse Reaction (ADR).
- A Suspected Unexpected Serious Adverse Reaction is known as a SUSAR. Sometimes during a clinical trial, there may be serious adverse reactions in subjects given the drug, which may or

875 may not be dose related, but are unexpected, as they are not consistent with current 876 information and regarded as possibly or probably related to the trial/study product by the 877 investigator.

878 Suspected transmission of an infectious agent (e.g., pathogenic or nonpathogenic) via the study 879 drug is an SAE.

Although pregnancy, overdose, cancer, and potential drug induced liver injury (DILI) are not always serious by regulatory definition, these events must be handled as SAEs.

882 NOTE:

- 883 The following hospitalizations are not considered SAEs:
- a visit to the emergency room or other hospital department < 24 hours, that does not
 result in admission (unless considered an important medical or life-threatening event)
- 886 elective surgery, planned prior to signing consent
- 887 admissions as per protocol for a planned medical/surgical procedure
- routine health assessment requiring admission for baseline/trending of health status
 (e.g., routine colonoscopy)
- medical/surgical admission other than to remedy ill health and planned prior to entry
 into the study. Appropriate documentation is required in these cases

admission encountered for another life circumstance that carries no bearing on health
 status and requires no medical/surgical intervention (e.g., lack of housing, economic
 inadequacy, caregiver respite, family circumstances, administrative reason).

1A. Serious Adverse Event Collection and Reporting

896 Following the subject's written consent to participate in the study, all SAEs, whether 897 related or not related to study drug, must be collected, including those thought to be associated 898 with protocol-specified procedures. All SAEs must be collected that occur during the screening 899 period and within 30 days of discontinuation of dosing. The investigator should report any SAE 900 that occurs after these time periods and that is believed to be related to study drug or protocol-901 specified procedure. All SAEs, whether they are related or not related to study drug, and 902 pregnancies must be reported to Novo Nordisk (or designee) within 24 hours. They will also be 903 reported immediately to the Woman's Hospital Foundation Institutional Review Board at (225) 904 231-5296 and Woman's Health Research Department at (225) 231-5275. SAEs must be recorded 905 on an SAE Report Form or similar form (e.g. CIOMS, MedWatch); pregnancies on a Novo Nordisk 906 approved Pregnancy Surveillance Form. Reports are to be transmitted via email or confirmed 907 facsimile (fax) transmission.

Investigators and other site personnel must inform the FDA, via a MedWatch/AdEERs form, of any serious or unexpected adverse events that occur in accordance with the reporting obligations of 21 CFR 312.32, and will concurrently forward all such reports to Novo Nordisk. A copy of the MedWatch/AdEERs report must be be transmitted via email or confirmed facsimile 912 (fax) transmission to Novo Nordisk at the time the event is reported to the FDA. It is the 913 responsibility of the investigator to compile all necessary information and ensure that the FDA 914 receives a report according to the FDA reporting requirement timelines and to ensure that these 915 reports are also submitted to Novo Nordisk at the same time.

916 When reporting to Novo Nordisk, a cover page should accompany the 917 MedWatch/AdEERs form indicating the following:

- 918 Investigator Sponsored Study (ISS)
- The investigator IND number assigned by the FDA (if applicable)
- 920 The investigator's name and address
- 921 The trial name/title and Novo Nordisk ISS reference number
- 922

923 Investigative site must also indicate, either in the SAE report or the cover page, the causality of events in relation to all study medications and if the SAE is related to disease 924 925 progression, as determined by the principal investigator. An SAE report should be completed for 926 any event where doubt exists regarding its seriousness. If the investigator believes that an SAE 927 is not related to study drug, but is potentially related to the conditions of the study (such as 928 withdrawal of previous therapy or a complication of a study procedure), the relationship should be specified in the narrative section of the SAE Report Form. All SAE reports and accompanying 929 930 cover page will be transmitted to Novo Nordisk via email or confirmed facsimile (fax) transmission. 931

932 Serious adverse events that do not require expedited reporting to the FDA need to be 933 reported to Novo Nordisk preferably using the MedDRA coding language for serious adverse 934 events. In the case of blinded trials, the **investigator will provide a copy of the randomization** 935 **list** or unblind those SAEs which require expedited reporting.

All SAEs **will be** reported to Novo Nordisk, whether or not considered causally related to the investigational product. All SAEs will be documented. The investigator is responsible for informing the IRB and/or the Regulatory Authority of the SAE as per local requirements. If an ongoing SAE changes in its intensity or relationship to study drug or if new information becomes available, a follow-up SAE report should be sent within 24 hours to Novo Nordisk (or designee) using the same procedure used for transmitting the initial SAE report.

In cases where the investigator learns of the SAE after its occurrence and resolution, the time and circumstances of the event will be recorded. The reporting requirements will still be followed. All SAEs should be followed to resolution or stabilization.

- 945 Nonserious Adverse Events
- 946 A nonserious adverse event is an AE not classified as serious.
- 947 2A. Nonserious Adverse Event Collection and Reporting

The collection of nonserious AE information should begin at initiation of study drug. Nonserious AE information should also be collected from the start of a placebo lead-in period or other observational period intended to establish a baseline status for the subjects.

Nonserious AEs should be followed to resolution or stabilization, or reported as SAEs if they become serious. Follow-up is also required for nonserious AEs that cause interruption or discontinuation of study drug and for those present at the end of study treatment as appropriate. All identified nonserious AEs must be recorded and described on the nonserious AE page of the study record.

256 Completion of supplemental study records may be requested for AEs and/or laboratory 257 abnormalities that are reported/identified during the course of the study.

958 Laboratory Test Result Abnormalities

The following laboratory test result abnormalities should be captured on the nonserious AE study record page or SAE Report Form as appropriate:

• Any laboratory test result that is clinically significant or meets the definition of an SAE

- Any laboratory test result abnormality that required the subject to have study drug
 discontinued or interrupted
- Any laboratory test result abnormality that required the subject to receive specific corrective
 therapy.
- 966

967 It is expected that wherever possible, the clinical rather than laboratory term would be used by968 the reporting investigator (e.g., anemia versus low hemoglobin value).

969

970 <u>Pregnancy</u>

971 If, following initiation of the investigational product, it is subsequently discovered that a 972 study subject is pregnant or may have been pregnant at the time of investigational product 973 exposure, including during at least 6 half-lives after product administration, the investigational 974 product will be permanently discontinued.

Protocol-required procedures for study discontinuation and follow-up must be performed on
the subject unless contraindicated by pregnancy (e.g., x-ray studies). Other appropriate
pregnancy follow-up procedures should be considered if indicated.

The investigator must immediately notify Novo Nordisk (or designee) Medical Monitor of this event and complete and forward a Pregnancy Surveillance Form to Novo Nordisk (or designee) within 24 hours and in accordance with SAE reporting procedures described in Section 1A.

Follow-up information regarding the course of the pregnancy, including perinatal and neonatal outcome and, where applicable, offspring information must be reported on the Pregnancy Surveillance Form.

984 <u>Overdose</u>

An overdose is defined as the accidental or intentional administration of any dose of a product that is considered both excessive and medically important. All occurrences of overdose must be reported as an SAE (see Section 1A for reporting details.).

988 1. Potential Drug Induced Liver Injury (DILI)

989 Wherever possible, timely confirmation of initial liver-related laboratory abnormalities 990 should occur prior to the reporting of a potential DILI event. All occurrences of potential DILIs, 991 meeting the defined criteria, must be reported as SAEs (see Section 1A for reporting details).

992 Potential drug induced liver injury is defined as:

993 994 AT (ALT or AST) elevation > 3 times upper limit of normal (ULN)

- AND
- 995Total bilirubin > 2 times ULN, without initial findings of cholestasis (elevated serum996alkaline phosphatase),
- 997 AND
- No other immediately apparent possible causes of AT elevation and hyperbilirubinemia,
 including, but not limited to, viral hepatitis, pre-existing chronic or acute liver disease, or
 the administration of other drug(s) known to be hepatotoxic.
- 1001

1002 2. Adverse Events of Special Interest

1003 Certain serious adverse events are informative as single cases because they are uncommon 1004 and are known to be strongly associated with drug exposure (in accordance with the reporting 1005 obligations of 21 CFR 312.32). The occurrence of even one case of such adverse events would 1006 meet the definition of *suspected adverse reaction* (i.e., there is a reasonable possibility that the 1007 drug caused them).

1008 In this study, the following adverse events are to be reported to Novo Nordisk, regardless of 1009 whether these reports are classified as serious or unexpected:

- 1010 1. liver test abnormalities accompanied by jaundice or hyperbilirubinemia
- 1011 2. opportunistic infections
- 1012 3. pancreatitis
- 1013 4. anaphylaxis
- 1014 5. angioedema
- 1015 6. Steven-Johnson's Syndrome
- 1016

When one of these events meets the criteria for a serious adverse event, report the event using
SAE reporting procedures. When one of these events does not meet the criteria for a serious
adverse event, report the event within 24 hours as a non-serious event.

1020 3. Other Safety Considerations

1021 Any significant worsening noted during interim or final physical examinations, 1022 electrocardiogram, x-ray filming, any other potential safety assessment required or not required 1023 by protocol should also be recorded as a nonserious or serious AE, as appropriate.

- 1024
- 1025 Discontinuations

1026 The reason for a subject discontinuing from the study will be recorded in the patient chart. 1027 A discontinuation occurs when an enrolled subject ceases participation in the study, regardless 1028 of the circumstances, prior to completion of the protocol. The investigator must determine the 1029 primary reason for discontinuation. Withdrawal due to adverse event will be distinguished from 1030 withdrawal due to insufficient response according to the definition of adverse event noted 1031 earlier. The final evaluation required by the protocol will be performed at the time of study discontinuation. The investigator will record the reason for study discontinuation, provide or 1032 1033 arrange for appropriate follow-up (if required) for such subjects, and document the course of the subject's condition. They will also to be reported to Woman's Hospital Foundation 1034 1035 Institutional Review Board at (225) 231-5296 and Woman's Health Research Department at 1036 (225) 231-5275.

1037

1038

Subjects MUST discontinue investigational product for any of the following reasons:

- Withdrawal of informed consent (subject's decision to withdraw for any reason).
- Any clinical adverse event, laboratory abnormality, or intercurrent illness, which, in
 the opinion of the investigator, indicates that continued participation in the study is
 not in the best interest of the subject.
- 1042 Pregnancy
- 1043oInstruct subjects to contact the investigator or study staff immediately if they1044suspect they might be pregnant (e.g., missed or late menstrual period) at any1045time during study participation. Institutional policy and local regulations should1046determine the frequency of study pregnancy tests for subjects enrolled in the1047study.
- 1048oThe investigator must immediately notify Novo Nordisk if a study subject1049becomes pregnant.
- Loss of ability to freely provide consent through imprisonment or involuntary incarceration for treatment of either a psychiatric or physical (e.g., infectious disease) illness.

1053 All subjects who discontinue should comply with protocol-specified follow-up procedure. 1054 The only exception to this requirement is when a subject withdraws consent for all study 1055 procedures or loses the ability to consent freely (i.e., is imprisoned or involuntarily incarcerated 1056 for the treatment of either a psychiatric or physical illness).

1057

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