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5 **Double-Blind, Randomized Trial of Peri-operative Subcutaneous**
6 **Methylnaltrexone Versus Placebo for Postoperative Ileus**
7 **Prevention after Adult Spinal Arthrodesis**

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9 **The Ohio State University Combined Consent to Participate in Research**
10 **and HIPAA Research Authorization**

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12 **NCT03852524**

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The Ohio State University Combined Consent to Participate in Research and HIPAA Research Authorization

Study Title: Double-Blind, Randomized Trial of Peri-operative Subcutaneous Methylnaltrexone Versus Placebo for Postoperative Ileus Prevention after Adult Spinal Arthrodesis
Principal Investigator: H. Francis Farhadi, MD, PhD
Sponsor: The Ohio State University

42
43 • **This is a consent form for research participation.** It contains important information
44 about this study and what to expect if you decide to participate. Please consider the
45 information carefully. Feel free to discuss the study with your friends and family and
46 to ask questions before making your decision whether or not to participate.
47
48 • **Your participation is voluntary.** You may refuse to participate in this study. If you
49 decide to take part in the study, you may leave the study at any time. No matter what
50 decision you make, there will be no penalty to you and you will not lose any of your
51 usual benefits. Your decision will not affect your future relationship with The Ohio
52 State University. If you are a student or employee at Ohio State, your decision will
not affect your grades or employment status.
53
54 • **You may or may not benefit as a result of participating in this study.** Also, as
55 explained below, your participation may result in unintended or harmful effects for
you that may be minor or may be serious depending on the nature of the research.
56
57 • **You will be provided with any new information that develops during the study
58 that may affect your decision whether or not to continue to participate.** If you
59 decide to participate, you will be asked to sign this form and will receive a copy of the
60 form. You are being asked to consider participating in this study for the reasons
explained below.

61 62 1. Why is this study being done?

63
64 Lumbar spinal decompression and fusion is a surgery done to free pinched nerves that
65 cause pain down your leg(s) and to stabilize your spine. Patients undergoing this surgery
66 are at risk of experiencing a complication known as post-operative ileus (POI). This is an
67 obstruction in your normal gastrointestinal (GI) activity that may cause symptoms such
68 as stomach pain, bloating, nausea, vomiting, constipation, difficulty passing gas, and
69 difficulty tolerating a normal diet.

70
71 This study is being done to see how safe and effective a drug named methylnaltrexone
72 (given around the time of your surgery) is at preventing this common complication
73 following lumbar spinal fusion surgeries.

74
75 You are being asked to participate in this study because you will be having a lumbar
76 fusion procedure and potentially are at risk for experiencing POI.

77
2. How many people will take part in this study?

78
80 It is expected that about 86 patients will take part in this study.

81
3. What will happen if I take part in this study?

82
84 If you agree to take part in this study, you will be randomly assigned (like flipping a coin)
85 to receive either the methylnaltrexone or a placebo (a substance with no effect) within 2
86 hours before your lumbar spinal fusion procedure and then daily for three days following
87 the procedure. You will receive methylnaltrexone or placebo as a subcutaneous (under
88 the skin) injection. You will not be able to choose which treatment you receive and you
89 will have a 1 in 2 (or 50%) chance of receiving the drug or placebo. Neither you nor your
90 doctor will know which treatment you are receiving until the study is completely
91 finished.

92
93 The following procedures are considered standard of care, which means that they would
94 be done even if you were not participating in this study.

95
96 Before your surgery, you will have an assessment of your pain. You will also complete a
97 urine pregnancy test if you are able to become pregnant.

98
99 After your surgery, you will be watched closely while in the hospital. During this time
100 your ability to use the restroom and your pain levels will be monitored closely. Should
101 your doctor feel you need a computer tomography (CT) scan of your stomach, we will
102 analyze this for the study. You will be monitored for up to 30 days after your surgery for
103 any adverse reactions or complications.

104
105 The study team will access your medical records while you are in the study to obtain
106 information about your medical history, demographic information, physical exams,
107 procedures, medications and treatments, and surgery.

108
4. How long will I be in the study?

109
111 You will be in the study for 30 days after your surgery.

112
5. Can I stop being in the study?

115 You may leave the study at any time. If you decide to stop participating in the study,
116 there will be no penalty to you, and you will not lose any benefits to which you are
117 otherwise entitled. Your decision will not affect your future relationship with The Ohio
118 State University.

119

120 **6. What risks, side effects or discomforts can I expect from being in the study?**

121

122 **Loss of confidentiality:**

123 There is a potential risk to your privacy. Every effort will be made to maintain your
124 privacy, however this cannot be guaranteed.

125

126 **Risks of Study Drug/Placebo Injection**

127 The risks associated with the injection of methylnaltrexone or placebo include bleeding,
128 pain at the injection site, skin irritation, bruising, fainting, lightheadedness, and infection.

129

130 **Risks related to the use of Methylnaltrexone:**

131 During the study, you will be monitored for evidence of any side effects. If you develop
132 side effects, your study doctor will give you the care that your need.

133

- 134 1. Gastrointestinal (GI) perforation: cases of gastrointestinal perforation (a harmful
135 opening in your gastrointestinal tract) have been rarely reported in adult patients with
136 opioid induced constipation (constipation that is caused by the use of opioid
medications) and advanced illness.
- 137 2. Severe or persistent diarrhea: rare cases of severe or persistent diarrhea during
138 treatment have been reported. Your doctor will monitor this very carefully and
139 discontinue therapy with the study drug if you have more than 3 bowel movements per
140 day.
- 141 3. Opioid withdrawal: symptoms consistent with opioid withdrawal (side effects that can
142 occur when someone stops taking their opioid medication), including excessive
143 sweating, chills, diarrhea, abdominal pain, anxiety, and yawning have rarely occurred
144 in patients previously treated with Methylnaltrexone.
- 145 4. Unknown risks: there may be other risks or side effects that are unknown at this time.

146

147 **7. What benefits can I expect from being in the study?**

148

149 You may or may not benefit from participating in this study.

150

151 Your participation in this research may help other patients undergoing lumbar spinal
152 fusion procedures in the future.

153

154 **8. What other choices do I have if I do not take part in the study?**

155

156 You may choose not to participate without penalty or loss of benefits to which you are
157 otherwise entitled.

158

159 **9. What are the costs of taking part in this study?**

160
161 There will be no cost to you for participating in this study. The costs for your standard of
162 care procedures will be billed to you or your insurance.

163
164 The study drug/placebo will be paid for by the study.

165
166 10. Will I be paid for taking part in this study?

167
168 You will not be paid for participating in this study.

169
170
171 11. What happens if I am injured because I took part in this study?

172
173 If you suffer an injury from participating in this study, you should notify the researcher or
174 study doctor immediately, who will determine if you should obtain medical treatment at
175 The Ohio State University Wexner Medical Center.

176
177 The cost for this treatment will be billed to you or your medical or hospital insurance. The
178 Ohio State University has no funds set aside for the payment of health care expenses for
179 this study.

180
181 12. What are my rights if I take part in this study?

182
183 If you choose to participate in the study, you may discontinue participation at any time
184 without penalty or loss of benefits. By signing this form, you do not give up any personal
185 legal rights you may have as a participant in this study.

186
187 You will be provided with any new information that develops during the course of the
188 research that may affect your decision whether or not to continue participation in the
189 study.

190
191 You may refuse to participate in this study without penalty or loss of benefits to which
192 you are otherwise entitled.

193
194 An Institutional Review Board responsible for human subjects research at The Ohio State
195 University reviewed this research project and found it to be acceptable, according to
196 applicable state and federal regulations and University policies designed to protect the
197 rights and welfare of participants in research.

198
199 13. Will my study-related information be kept confidential?

200
201 Efforts will be made to keep your study-related information confidential. However, there
202 may be circumstances where this information must be released. For example, personal
203 information regarding your participation in this study may be disclosed if required by state
204 law.

205
206 Also, your records may be reviewed by the following groups (as applicable to the
207 research):

208 • Office for Human Research Protections or other federal, state, or international
209 regulatory agencies;
210 • U.S. Food and Drug Administration;
211 • The Ohio State University Institutional Review Board or Office of Responsible
212 Research Practices;
213 • The sponsor supporting the study, their agents or study monitors; and
214 • Your insurance company (if charges are billed to insurance).

215
216 **14. HIPAA AUTHORIZATION TO USE AND DISCLOSE INFORMATION FOR
218 RESEARCH PURPOSES**

219
220 **I. What information may be used and given to others?**

221 • Past and present medical records;
222 • Research records;
223 • Records about phone calls made as part of this research;
224 • Records about your study visits;
225 • Information that includes personal identifiers, such as your name, or a number
226 associated with you as an individual;
227 • Information gathered for this research about:
228 Physical exams
229 Laboratory, x-ray, and other test results; and
230 • Records about the study device

231
232 **II. Who may use and give out information about you?**

233
234 Researchers and study staff.

235
236 **III. Who might get this information?**

237 • The sponsor of this research. “Sponsor” means any persons or companies that are:
238 • working for or with the sponsor; or
239 • owned by the sponsor.
240 • Authorized Ohio State University staff not involved in the study may be aware that
241 you are participating in a research study and have access to your information;
242 • If this study is related to your medical care, your study-related information may be
243 placed in your permanent hospital, clinic or physician’s office record.

244
245 **IV. Your information may be given to:**

249 • The U.S. Food and Drug Administration (FDA), Department of Health and Human
250 Services (DHHS) agencies, and other federal and state entities;
251 • Governmental agencies in other countries;
252 • Governmental agencies to whom certain diseases (reportable diseases) must be
253 reported; and
254 • The Ohio State University units involved in managing and approving the research
255 study including the Office of Research and the Office of Responsible Research
256 Practices.

258 **V. Why will this information be used and/or given to others?**

260 • To do the research;
261 • To study the results; and
262 • To make sure that the research was done right.

264 **VI. When will my permission end?**

266 There is no date at which your permission ends. Your information will be used
267 indefinitely. This is because the information used and created during the study may be
268 analyzed for many years, and it is not possible to know when this will be complete.

270 **VII. May I withdraw or revoke (cancel) my permission?**

272 Yes. Your authorization will be good for the time period indicated above unless you
273 change your mind and revoke it in writing. You may withdraw or take away your
274 permission to use and disclose your health information at any time. You do this by
275 sending written notice to the researchers. If you withdraw your permission, you will not
276 be able to stay in this study. When you withdraw your permission, no new health
277 information identifying you will be gathered after that date. Information that has already
278 been gathered may still be used and given to others.

280 **VIII. What if I decide not to give permission to use and give out my health
281 information?**

283 Then you will not be able to be in this research study and receive research-related
284 treatment. However, if you are being treated as a patient here, you will still be able to
285 receive care.

287 **IX. Is my health information protected after it has been given to others?**

289 There is a risk that your information will be given to others without your permission. Any
290 information that is shared may no longer be protected by federal privacy rules.

292 **X. May I review or copy my information?**

293
294 Signing this authorization also means that you may not be able to see or copy your study-
295 related information until the study is completed.
296

297 **15. Who can answer my questions about the study?**

298
299 For questions, concerns, or complaints about the study, or if you feel you have been
300 harmed as a result of study participation, you may contact **Dr. H. Francis Farhadi at**
301 **614-366-7784.**
302

303 For questions related to your privacy rights under HIPAA or related to this research
304 authorization, please contact:

305 HIPAA Privacy Officer
306 Suite E2140
307 600 Ackerman Road
308 Columbus, OH 43202
309 614-293-4477

310 For questions about your rights as a participant in this study or to discuss other study-
311 related concerns or complaints with someone who is not part of the research team, you
312 may contact Ms. Sandra Meadows in the Office of Responsible Research Practices at 1-
313 800-678-6251.
314

315 If you are injured as a result of participating in this study or for questions about a study-
316 related injury, you may contact **Dr. H. Francis Farhadi at 614-366-7784.**
317
318
319

320 **Signing the consent form**

321
322 I have read (or someone has read to me) this form and I am aware that I am being asked to
323 participate in a research study. I have had the opportunity to ask questions and have had them
324 answered to my satisfaction. I voluntarily agree to participate in this study.

325
326 I am not giving up any legal rights by signing this form. I will be given a copy of this
327 combined consent and HIPAA research authorization form.

328

Printed name of subject

Signature of subject

AM/PM

Date and time

Printed name of person authorized to consent for subject
(when applicable)

Signature of person authorized to consent for subject
(when applicable)

AM/PM

Relationship to the subject

Date and time

329

330

331

332 **Investigator/Research Staff**

333

334 I have explained the research to the participant or his/her representative before requesting the
335 signature(s) above. There are no blanks in this document. A copy of this form has been given
336 to the participant or his/her representative.

337

Printed name of person obtaining consent

Signature of person obtaining consent

AM/PM

Date and time

338

339

340

Witness(es) - May be left blank if not required by the IRB

Printed name of witness

Signature of witness

AM/PM

Date and time

Printed name of witness

Signature of witness

AM/PM

Date and time

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