

**Official Title:** A Multicenter, Randomized, Open-label Parallel Group Pilot Study to Evaluate Safety and Efficacy of High Dose Intravenous Immune Globulin (IVIG) Plus Standard Medical Treatment (SMT) Versus SMT Alone in Subjects With COVID-19 Requiring Admission to the Intensive Care Unit

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A Multicenter, Randomized, Open-label Parallel Group Pilot Study to Evaluate Safety and Efficacy of High Dose Intravenous Immune Globulin (IVIG) plus Standard Medical Treatment (SMT) versus SMT alone in Subjects with COVID-19 Requiring Admission to the Intensive Care Unit

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## **STATISTICAL ANALYSIS PLAN**

Version 2.0

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## STATISTICAL ANALYSIS PLAN

Version 2.0

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## LIST OF ABBREVIATIONS

Abbreviation	Definition
ADR	Adverse drug reaction
AE	Adverse event
ANOVA/ANCOVA	Analysis of variance/covariance
ARDS	Acute respiratory disease syndrome
ATC	Anatomical, Therapeutic, and Chemical
BMI	Body mass index
CI	Confidence interval
CIF	Cumulative Incidence Function
COVID-19	Coronavirus disease 2019
eCRF	Electronic Case Report Form
CRP	C-reactive protein
ECMO	Extracorporeal Membrane Oxygenation
FEU	Fibrinogen Equivalent Units
ICU	Intensive care unit
IgG	Immunoglobulin G
IgM	Immunoglobulin M
IL	interleukin
IP	Investigational product
ITT	Intention to treat
IV	Intravenous
KM	Kaplan-Meier
LS	Least-Squares
IVIG	Intravenous immune globulin
MedDRA	Medical Dictionary for Regulatory Activities
MMRM	Mixed-effects model for repeated measures
NAT	Nucleic acid amplification technology
NCI	National Cancer Institute
NEWS	National Early Warning Score
NIH	National Institutes of Health

Abbreviation	Definition
PCR	Polymerase chain reaction
PI	Principal Investigator
PP	Per Protocol
PT	Preferred term
RT-PCR	Reverse transcriptase PCR
SAE	Serious adverse event
SAF	Safety
SaO <sub>2</sub>	Arterial oxygen saturation
SAP	Statistical analysis plan
SARS-CoV-2	Severe acute respiratory syndrome coronavirus 2
SISRC	Study-Independent Safety Review Committee
SMT	Standard medical treatment
SOC	System organ class
SOFA	Sequential Organ Failure Assessment
SpO <sub>2</sub>	Oxygen Saturation by pulse oximetry
TEAE	Treatment-emergent adverse event
TNF- $\alpha$	tumor necrosis factor- $\alpha$
WHO	World Health Organization

## SAP REVISIONS

Version 1.0 of the SAP was finalized based on Protocol version 3.0 (30 October 2020). The following table details subsequent changes made to the SAP.

Revision History			
SAP Version #	SAP Section	Modification	Description and Rationale
2.0	5.6	Added “or Preferred”	The COVID-19 specific, potentially disease modifying medications may be identified by Preferred term.
2.0	6.2	Added "Duration of ICU stay (Actual and Medical Equivalence)" analysis section  Added "Duration of Hospitalization (Actual and Medical Equivalence)" analysis section  Added age and gender as covariates to the MMRM model	Added to reflect the planned analysis.  Added to reflect the planned analysis.  Added to reflect the planned analysis.

## 1. INTRODUCTION

This statistical analysis plan (SAP) is based on the Protocol # GC2007 Version 3.0, dated 30 October, 2020, and titled “A Multicenter, Randomized, Open-label Parallel Group Pilot Study to Evaluate Safety and Efficacy of High Dose Intravenous Immune Globulin (IVIG) plus Standard Medical Treatment (SMT) versus SMT alone in Subjects with COVID-19 Requiring Admission to the Intensive Care Unit.” See the study protocol for full details.

This document details the statistical methods planned to perform the interim and final analyses of the study.

## 2. OBJECTIVES AND ENDPOINTS

### 2.1 Objectives

#### 2.1.1 Primary Efficacy Objective

To determine if high dose IVIG plus SMT can reduce all-cause mortality versus SMT alone in hospitalized subjects with COVID-19 requiring admission to the intensive care unit (ICU) through Day 29.

#### 2.1.2 Secondary Efficacy Objectives

To compare high dose IVIG plus SMT versus SMT alone with regard to clinical efficacy as assessed by clinical severity, duration of hospital and ICU stay, dependency on oxygen and ventilatory support, and clinical response criteria including National Early Warning Score (NEWS)<sup>[1]</sup>, clinical status scale<sup>[2]</sup>, and Sequential Organ Failure Assessment Score (SOFA) through Day 29 in hospitalized subjects ill with COVID-19 requiring admission to the ICU.

#### 2.1.3 Exploratory Efficacy Objectives

The exploratory objectives of the study are:

- To evaluate the effect of high dose IVIG plus SMT versus SMT alone with regard to quantitative severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) viral load and anti-SARS-CoV-2 antibodies in hospitalized subjects with COVID-19.
- To evaluate whether high dose IVIG plus SMT versus SMT alone reduces the frequency of hyperinflammation based on a pre-specified biochemical definition through Day 29.
- To evaluate cytokine profile changes from baseline for high dose IVIG plus SMT versus SMT alone through Day 29.

#### 2.1.4 Safety Objective

To determine the safety and tolerability profile through Day 29 of high dose IVIG plus SMT versus SMT alone in hospitalized subjects with COVID-19.

## 2.2 Endpoints

### 2.2.1 Primary Efficacy Endpoint

The primary efficacy endpoint is all-cause mortality rate through Day 29.

### 2.2.2 Secondary Efficacy Endpoints

The secondary efficacy endpoints include the following:

- Time to actual ICU discharge: defined as duration of ICU stay from Day 1 through Day 29
  - Additionally, time to a medical equivalence of ICU discharge will be recorded and analyzed (defined as the time point when the patient no longer requires ICU level care, ie, not requiring invasive mechanical ventilation, or intensive monitoring such as arterial line or central line placement, administration of IV vasopressors to support blood pressure, or O<sub>2</sub> supplementation greater than that delivered by nasal cannula)
- Duration of mechanical ventilation from Day 1 through Day 29
- Time to actual hospital discharge: defined as duration of hospitalization from Day 1 through Day 29
  - Additionally, time to a medical equivalence of hospital discharge will be recorded and analyzed (defined as the time point when the patient no longer requires supplemental oxygen and no longer requires ongoing medical care [yet may not be discharged from hospital for social or quarantine reasons])
- Duration of any oxygen use Day 1 through Day 29
- Absolute value and mean change from baseline in the Ordinal scale Day 1 through Day 29

The Ordinal scale is as follows:

- 1) Death;
- 2) Hospitalized, on invasive mechanical ventilation or extracorporeal membrane oxygenation (ECMO);
- 3) Hospitalized, on non-invasive ventilation or high flow oxygen devices;
- 4) Hospitalized, requiring supplemental oxygen;
- 5) Hospitalized, not requiring supplemental oxygen;
- 6) Not hospitalized, limitation on activities;
- 7) Not hospitalized, no limitations on activities.

- Proportion (percentage) of subjects in each severity category of the 7-point Ordinal scale at Day 15 and Day 29
- Number of subjects who develop Acute Respiratory Distress Syndrome (ARDS) overall and distribution by severity through Day 29
- Change from baseline in SOFA score at Day 5, Day 15, and Day 29

- Assessment of Clinical Severity: Change in NEWS from baseline (Day 1 through Day 29).  
The NEWS has demonstrated an ability to discriminate patients at risk of poor outcomes. This score is based on 7 clinical parameters (respiration rate, oxygen saturation, any supplemental oxygen, temperature, systolic blood pressure, heart rate, level of consciousness [Alert, Voice, Pain, Unresponsive]).
- Time to clinical response: NEWS  $\leq 2$  maintained for 24 hours, Day 1 through Day 29

### **2.2.3 Exploratory Efficacy Endpoints**

Exploratory efficacy endpoints include:

- Change from baseline in quantitative SARS-CoV-2 viral load by nucleic acid amplification technology (NAT) or polymerase chain reaction (PCR; real-time reverse transcriptase [RT]-PCR) to Days 5, 15, and 29
- Change from baseline in quantitative anti- SARS-CoV-2 immunoglobulin M (IgM) and IgG antibodies to Days 5, 15, and 29
- Proportion of subjects with evidence of hyperinflammation on Day 1, Day 5, Day 15, and Day 29 defined as:
  - a) Lymphocyte counts  $<1000$  cells/ $\mu$ L, AND
  - b) Two of the following 4 criteria:
    - i) Ferritin  $> 500$  ng/mL,
    - ii) LDH  $> 300$  U/L,
    - iii) D-Dimers  $> 1000$  ng/mL (fibrinogen equivalent units [FEU]) or  $> 2$  times upper normal limit,
    - iv) C-reactive protein (CRP)  $> 70$  mg/L
- Change from baseline in cytokine profile (interleukin (IL)-1 $\beta$ , IL-10, IL-6, IL-8 IL-2, interferon  $\gamma$ , and tumor necrosis factor- $\alpha$  [TNF- $\alpha$ ]) to Days 5, 15, and 29

### **2.2.4 Safety Endpoints**

The safety endpoints include:

- Cumulative incidence of treatment-emergent serious adverse events (SAEs) and potentially related SAEs through Day 90 Phone Check
- Cumulative incidence of Grade 3-5 treatment-emergent adverse events (TEAEs) and potentially related severe TEAEs through Day 29 as defined in the Common terminology Criteria for Adverse Events (CTCAE), US Department of Health and Human Services, National Institutes of Health (NIH), and National Cancer Institute (NCI)
- Cumulative incidence of all TEAEs and potentially related TEAEs through Day 29

### 3. INVESTIGATIONAL PLAN

#### 3.1 Study Design

This is a prospective, multi-center, randomized (1:1), open-label, pilot study of high dose IVIG plus SMT versus SMT alone in subjects with COVID-19 who are hospitalized and require ICU admission. The first 6 subjects randomized will be staggered with an interval of no less than 1 week between subjects. If there are no definitely related SAEs reported 1 week after the randomization of the 6th subject, competitive enrollment would ensue thereafter. If a definitely related SAE were to be reported among these 6 subjects, the Study-Independent Safety Review Committee (SISRC) would carefully review and evaluate the case and make appropriate recommendations with regard to study status.

In this study, symptomatic subjects with positive PCR (RT-PCR) or other FDA-approved or regulatory-authority-approved diagnostic assay for SARS-CoV-2 in any specimen during the current hospital admission prior to randomization will receive SMT or SMT plus a net total dose of IVIG of 2 g/kg (body weight) administered in divided doses over 4 to 5 consecutive days at the Principal Investigator (PI)'s discretion. The maximum dose will be capped at 160 g (equivalent to body weight of 80 kg).

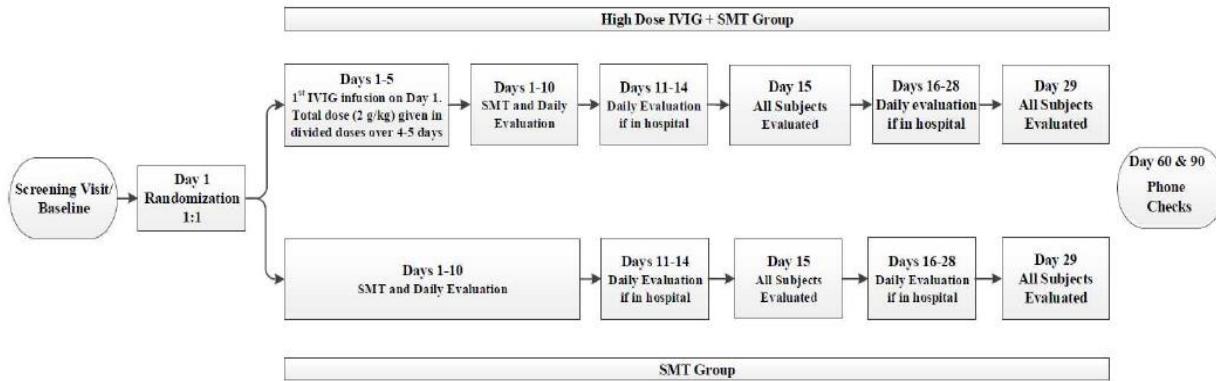
Specifically, subjects randomized to combination high dose IVIG will receive the first intravenous (IV) infusion of IVIG on Day 1. The total net dose of 2 g/kg body (capped at a maximum of 160 g for subjects weighing more than 80 kg) will be administered in divided doses over consecutive days. At the discretion of the PI, the 2 g/kg net total dose may be divided either into (a) infusions of 500 mg/kg body weight over 4 days OR (b) 400 mg/kg body weight over 5 days.

Approximately 100 subjects (who meet the inclusion/exclusion criteria specified in Protocol Sections 5.1 & 5.2) will be randomized in a 1:1 (IVIG plus SMT : SMT alone) ratio with an interim analysis after 50 subjects (approximately 25 per group). There will be no interruption in study conduct during interim analysis as this simply represents sponsor safety due diligence.

All subjects will be followed daily through Day 10. After Day 10, if subjects are discharged from the hospital, evaluations at Day 15 and 29 are required. Berlin criteria for ARDS and SOFA score will be assessed on Day 1, 5, 15, and 29. Subjects remaining hospitalized will be followed daily through Day 29. Phone Checks will occur at Day 60 and Day 90 for vital status (living or deceased), any hospital re-admissions or serious/non-serious AEs after the Day 29 Final Clinic Visit. See Appendix A for the Schedule of Events.

Clinical trial finalization will coincide with the last study visit of the last subject enrolled in the clinical trial. For an individual subject, end of study for the purposes of determining disposition and successful study completion is the Day 29/Final Clinic Visit performed at the scheduled on-study timeframe.

An overview of the study design is presented below:



## 3.2 Treatment

### 3.2.1 Randomization Scheme and Treatment Arm Assignment

Subjects will be randomized 1:1 to receive either combination treatment with Gamunex-C plus SMT or SMT alone. A central randomization will be used for this study. A randomization list containing the randomization numbers and the corresponding randomized treatment assignments will be generated and be stored in a secured area that is only accessible to the randomization team.

### 3.2.2 Blinding

Not applicable as this is an open-label study.

### 3.2.3 Dosing Schedule

During this clinical trial, subjects randomized to receive combination Gamunex-C plus SMT will receive a total dose of 2 g/kg body weight (capped to a maximum of 160 g for subjects weighing more than 80 kg) in divided doses at the discretion of the PI over 4 or 5 days. The first IV infusion will be on Day 1.

### 3.2.4 Treatment Compliance

Reasons for any deviation from the administration of less than 100% of the investigational product (IP) dose must be recorded in the subject's medical records.

The investigator or designee is responsible for maintaining accurate records of Gamunex-C administered at his/her study center.

## 4. GENERAL CONSIDERATIONS FOR DATA ANALYSIS

Unless otherwise specified, continuous variables will be summarized using descriptive statistics (n, mean, standard deviation, median, minimum, and maximum). Categorical variables will be summarized showing the number and percentage of subjects within each category.

Summary results will be provided for each treatment group. All tabulations will be based on pooled data across centers.

All statistical tests will be two-sided and tested at the 5% level of significance.

Analyses will be performed using SAS for Windows statistical software, version 9.4 or higher (SAS, Cary, NC), except where other software may be deemed more appropriate. In the event that other software is used to perform some analyses, the details will be provided in the Clinical Study Report.

■ will perform all efficacy and safety analyses described in this SAP.

Subject data will be listed, sorted by treatment group and subject number. When applicable, listings will be additionally sorted by visit and assessment date/time.

#### **4.1 Data Quality Assurance**

Once all the source verification is complete, all queries are resolved, and the database has been updated appropriately, the database will be locked and made available to ■ Biostatistics for final analysis.

Data may be pulled by ■ Biostatistics for interim analysis at a time when source verification and query resolution is ongoing.

All SAS programs used to create analysis data sets, tables, and listings are double programmed. The SAS outputs will be compared and the programs will be updated until the outputs match.

#### **4.2 Analysis Populations**

The following three analysis populations will be defined for this study:

The intent-to-treat (ITT) population is defined as all subjects who are randomized. All efficacy analyses will be carried out using the ITT population based on the randomized treatment.

The Per-Protocol (PP) population is defined as the subset of subjects included in the ITT population who do not present major protocol violations which might have an impact on the primary efficacy endpoint, and complete at least 80% of the IP. The primary efficacy analyses will be carried out using the PP population if different from the ITT population.

The Safety (SAF) population for the combination arm is defined as the subset of subjects who receive at least any amount of Gamunex-C in addition to SMT. The SAF population for the SMT alone arm will include all subjects randomized to this arm, because by definition SMT is universally provided and hence all randomized subjects would have received SMT. All safety analyses will be carried out using the SAF population based on the treatment actually received rather than randomized treatment.

#### **4.3 Assessment Windows**

Data will be summarized by nominal study visit recorded in the database.

#### **4.4 Handling of Dropouts or Missing Data**

Missing data will be treated as missing and no method for imputation is planned for study population or safety analyses except for the partial end dates/times for concomitant medications (Section 5.6) and partial onset dates/times for AEs (Section 7.2.1). When applicable, missing data for binary and selected continuous efficacy endpoints will be imputed using a most conservative/worst case approach, and the related details are described in Section 6.

Time to event endpoints will have events coded as right censored or competing events per the

following table:

**Table 1 Missing Data and Competing Events Coding for Time to Event Data Analyses**

Endpoint	Right Censoring and Competing Events
Subject Survival	Subjects who are still alive as of the last known follow-up will be right censored as of the date of last subject contact when the subject was known to be alive.
Time to actual ICU Discharge or Medical Equivalence of ICU Discharge (if different from actual ICU discharge date)	Subjects who did not discharge or medical equivalence of discharge from the ICU at any time during follow-up will be right censored as of the date of last subject contact when the subject was known to be in the ICU. Subject death will be considered a competing event. Competing risk methods e.g., cumulative incidence function (CIF) will be used to account for competing events in the analysis.
Time to actual Hospital Discharge or Medical Equivalence of Hospital Discharge (if different from actual hospital discharge date)	Subjects who did not discharge or medical equivalence of discharge from the hospital at any time during follow-up will be right censored as of the date of last subject contact when the subject was known to be in the hospital. Subject death will be considered a competing event. Competing risk methods e.g., cumulative incidence function (CIF) will be used to account for competing events in the analysis.
Time to Clinical Response	Subjects who did not experience clinical response or died at any time during follow-up will be right censored as of the date of last non-missing assessment of NEWS on or prior to Day 29.

#### 4.5 Multiple Comparisons

Because of the exploratory nature of this study, there will be no adjustment for multiple comparisons.

#### 4.6 Data Derivations and Transformations

The following derivations will be used in this study:

Study Day:

- Date of assessment – date of randomization + 1 for assessments done on or after date of randomization
- Date of assessment – date of randomization for assessments done before date of randomization

Baseline Observation: the last non-missing value prior to randomization.

Duration:

- Duration in days = end date – start date + 1
- Duration in minutes = end time in minutes – start time in minutes

Origin or Start Date for Time to Event Endpoints:

- Time to death: date of randomization
- Time to ICU Discharge (actual and medical equivalence, if applicable): date of randomization or date of ICU admission, whichever was later
- Time to Hospital Discharge (actual and medical equivalence, if applicable): date of randomization
- Time to Clinical Response: date of randomization

Event Date for Time to Clinical Response:

- If a subject met the criteria for clinical response at multiple assessments, the date of the first assessment meeting the definition of clinical response will be used as the event date for deriving time to clinical response.

## **5. STUDY SUBJECTS**

### **5.1 Disposition of Subjects**

A table of frequency counts and percentages of all subjects who are screened, randomized, and in each analysis population will be provided. Subject disposition including study completion status and reasons for early termination will be tabulated by treatment group and overall. A by subject listing will be provided.

### **5.2 Protocol Deviations**

Distribution of the types of protocol deviations and the number of subjects that deviate from the protocol will be tabulated for the treatment groups in the ITT population. Protocol deviations will also be tabulated by severity (e.g., minor or major). A listing of all protocol deviations will be provided.

### **5.3 Demographic Characteristics**

Descriptive statistics will be used to summarize the demographic characteristics (age, gender, race, ethnicity, height, weight, and Body Mass Index [BMI]) for the ITT population. A by subject listing will be provided.

### **5.4 Baseline Characteristics**

Baseline characteristics of ITT subjects including historical SARS-CoV-2 results and pregnancy test will be listed and tabulated if appropriate.

### **5.5 Medical History**

All medical conditions will be classified by system organ class (SOC) and preferred term (PT) using the Medical Dictionary for Regulatory Activities (MedDRA). The number and percent of subjects with each medical condition will be presented for each SOC and PT for the ITT population.

## 5.6 Concomitant Medications

All concomitant medications collected will be coded using the World Health Organization (WHO) Drug Dictionary. The number and percent of ITT subjects using concomitant medications will be tabulated by Anatomical, Therapeutic, and Chemical (ATC) level 2 and by ATC level 4. If the ATC level 2 or 4 term is missing, the higher ATC level term will be used in the medication summary table and data listing.

Prior medications will be summarized separately from concomitant medications, for the overall ITT population.

Prior medications are defined as medications that ended prior to the date of randomization. Concomitant medications are defined as medications that started at any time but ended on or after the date of randomization, including those that are ongoing at study completion. In the case of a missing or partial end date/time, in order to determine whether a medication is prior or concomitant, the following conservative imputation rule will be used: the unknown portions of a medication end date/time will be imputed to the latest possible. The imputed medication end date/time will then be compared with the date of randomization to determine if the medication is prior or concomitant.

Note the imputed end date/time will only be used to determine whether a medication is prior or concomitant. The actual date/time reported on the electronic case report forms (eCRFs) will be presented in the listings.

All concomitant medications collected will be reviewed in a data review meeting conducted prior to database lock. ATC or Preferred terms for COVID-19 specific, potentially disease modifying medications will be identified by the study team. Subsequently, a tabular summary by treatment group of these COVID-19 specific concomitant medications based on the identified ATC terms will be generated. If clinically important imbalance was observed in the use of these medications between the 2 treatment groups, additional supplemental efficacy analyses may be performed in a post-hoc manner to adjust for the difference(s) in such medication use.

In addition, the daily fluid balance (intake and output) will be recorded while subjects are in hospital through Day 10. A sensitivity analysis on potential effects of fluid balance will be performed by using descriptive statistics.

## 6. EFFICACY ANALYSES

All efficacy analyses will be carried out using the ITT population. Data on all efficacy endpoints will be listed and tabulated if appropriate.

### 6.1 Primary Efficacy Endpoint and Analysis

The primary efficacy analysis will be carried out on the ITT population. If there is difference between the PP population and ITT population, the analysis will be repeated using the PP population.

The primary efficacy variable is all-cause mortality rate through Day 29. Subject status (Alive/Death) will be recorded in the database. If the vital status of the subject is missing, then the subject will be treated as death for the primary analysis.

The null hypothesis is that the all-cause mortality rate through Day 29 in subjects administered Gamunex-C plus SMT will be no different than the rate in subjects provided SMT alone;  $H_0: \pi_t - \pi_c = 0$ . The alternative hypothesis is that the all-cause mortality rate through Day 29 in subjects administered Gamunex-C plus SMT will be different than the rate in subjects provided SMT alone;  $H_1: \pi_t - \pi_c \neq 0$ .

The proportion of subjects who die within each treatment group will be presented along with a two-sided exact (Clopper-Pearson) 95% confidence interval (CI).

The observed difference in the mortality rate (proportion of deceased subjects) between treatment groups along with the exact unconditional 95% CI for risk difference will be calculated. The null hypothesis will be tested using Fisher's exact method and the p-value will be reported.

An alternative primary analysis will be performed in which the survival rates will be estimated using the Kaplan-Meier (KM) method. The KM estimates along with 95% CIs and survival curves will be provided. Based on the KM estimates, the survival probabilities and associated 95% CIs on Days 15 and 29 will be reported; in addition, the 25<sup>th</sup>, 50<sup>th</sup> (median), and 75<sup>th</sup> percentiles of the survival time with associated 95% CIs will be provided, as data permit. The survival rates between treatment groups will be compared using Log-rank test and the p-value from Log-rank test will be presented.

Subgroup analyses of the primary efficacy endpoint by baseline characteristics such as age group (with age cutoffs defined either by absolute values or by a summary metric [e.g., median] of the observed baseline values) and gender will be performed using the analysis method described above, if appropriate.

If for one or more subjects the actual treatment received is different from the randomized treatment and if deemed necessary, sensitivity analysis of the primary efficacy endpoint will be performed by repeating the primary efficacy analysis as described above in this section, but with subjects grouped according to the actual treatment received instead of the randomized treatment.

## 6.2 Secondary Efficacy Endpoints and Analyses

### Time to ICU discharge (Actual and Medical Equivalence)

Time to actual ICU discharge is defined as duration of actual ICU stay from Day 1 through Day 29 that is recorded on the eCRF All Visits page "General Duration of Hospitalization, & Overall Requirement for Mechanical Ventilation, ICU Admission and/or Oxygen Supplementation". The proportion of subjects who are discharged from the ICU will be estimated using the CIF. Deaths that occur prior to discharge from the ICU will be treated as a competing risk. The estimated cumulative incidence and associated two-sided 95% CI on Days 15 and 29 will be displayed. Test for equality of the CIF between treatment groups will be conducted using Gray's test<sup>[3]</sup>.

Additionally, medical equivalence of ICU discharge date will be recorded on the eCRF if it is different from the actual ICU discharge date, and the time to medical equivalence of ICU discharge will be analyzed using the same method as described above. The proportion of subjects who are medical equivalence of discharge from the ICU will be estimated using the CIF. Deaths that occur prior to medical equivalence of ICU discharge will be treated as a competing risk. The estimated cumulative incidence and associated two-sided 95% CI on Days 15 and 29 will be displayed. Test for equality of the CIF between treatment groups will be conducted using Gray's test.

### **Duration of ICU stay (Actual and Medical Equivalence)**

The duration (number of days) of ICU stay from post-randomization through Day 29 will be calculated based on ICU admission and discharge (actual and medical equivalence) dates recorded on the eCRF. Number of days in the ICU will be compared between treatment groups using an ANOVA model, including number of days in the ICU as a dependent variable and treatment group as a fixed effect. From this model, the LS means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be presented. If appropriate, an ANCOVA model may instead be used to additionally adjust for baseline characteristics such as age and gender.

Subjects who died while in the ICU will be assigned as in the ICU for the number of days remaining from the day of death to Day 29 for the analysis. Subjects who were at ICU at study completion will be assigned an ICU end date equals to the date of completion for the purpose of deriving the number of ICU days.

### **Duration of mechanical ventilation**

The duration (number of days) on mechanical ventilation from post randomization through Day 29 will be calculated based on the start/stop dates of mechanical ventilation recorded on the eCRF All Visits page “General Duration of Hospitalization, & Overall Requirement for Mechanical Ventilation, ICU Admission and/or Oxygen Supplementation”. Number of days on mechanical ventilation will be compared between treatment groups using an ANOVA model, including number of days on mechanical ventilation as a dependent variable and treatment group as a fixed effect. From this model, the LS means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be presented. If appropriate, an ANCOVA model may instead be used to additionally adjust for baseline characteristics such as age and gender.

Subjects who died during the hospitalization without a stop date of mechanical ventilation recorded on the eCRF will be assigned as being on mechanical ventilation for the number of days remaining to Day 29 for the analysis. Subjects who had mechanical ventilation ongoing at study completion will be assigned a mechanical ventilation end date equals to the date of completion for the purpose of deriving duration of mechanical ventilation. Subjects who are never placed on mechanical ventilation through Day 29 will be included in the analysis with a value of zero days on mechanical ventilation.

A listing of all mechanical ventilation and oxygen administration data collected throughout the study will be provided.

### **Time to hospital discharge (Actual and Medical Equivalence)**

Time to actual hospital discharge is defined as duration of hospitalization from Day 1 through Day 29 that is recorded on eCRF All Visits page “General Duration of Hospitalization, & Overall Requirement for Mechanical Ventilation, ICU Admission and/or Oxygen Supplementation”. The proportion of subjects who are discharged from the hospital will be estimated using the CIF. Deaths that occur prior to discharge from the hospital will be treated as a competing risk. The estimated cumulative incidence and associated two-sided 95% CI on Days 15 and 29 will be displayed. Test for equality of the CIF between treatment groups will be conducted using Gray’s test.

Additionally, medical equivalence of hospital discharge date will be recorded on the eCRF if it is

different from the actual hospital discharge date, and the time to medical equivalence of hospital discharge will be analyzed using the same method as described above. The proportion of subjects who are medical equivalence of discharge from the hospital will be estimated using the CIF. Deaths that occur prior to medical equivalence of hospital discharge will be treated as a competing risk. The estimated cumulative incidence and associated two-sided 95% CI on Days 15 and 29 will be displayed. Test for equality of the CIF between treatment groups will be conducted using Gray's test.

### **Duration of Hospitalization (Actual and Medical Equivalence)**

The duration (number of days) of hospitalization from post-randomization through Day 29 will be calculated based on hospital admission and discharge (actual and medical equivalence) dates recorded on the eCRF. Number of days in the hospital will be compared between treatment groups using an ANOVA model, including number of days in the hospital as a dependent variable and treatment group as a fixed effect. From this model, the LS means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be presented. If appropriate, an ANCOVA model may instead be used to additionally adjust for baseline characteristics such as age and gender.

Subjects who died while in the hospital will be assigned as in the hospital for the number of days remaining from the day of death to Day 29 for the analysis. Subjects who were in the hospital at study completion will be assigned a hospitalization end date equals to the date of completion for the purpose of deriving the duration of hospitalization.

### **Duration of any oxygen use**

The duration (number of Days) of any oxygen use from Day 1 through Day 29 will be calculated based on the start/stop date of using oxygen supplementation recorded on the eCRF All Visits page "General Duration of Hospitalization, & Overall Requirement for Mechanical Ventilation, ICU Admission and/or Oxygen Supplementation". Number of days on oxygen will be compared between treatment groups using an analysis of variance (ANOVA) model, including number of days on oxygen as a dependent variable and treatment group as a fixed effect. From this model, the LS means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be presented. If appropriate, an analysis of covariance (ANCOVA) model may instead be used to additionally adjust for baseline characteristics such as age and gender.

Subjects who died during the hospitalization without a stop date of using oxygen supplementation recorded on the eCRF will be assigned as being on oxygen for the number of days remaining to Day 29 for the analysis. Subjects who had oxygen use ongoing at study completion will be assigned an oxygen use end date equals to the date of completion for the purpose of deriving duration of oxygen use. Subjects who never received supplemental oxygen through Day 29 will be included in the analysis with a value of zero days of oxygen use.

A listing of all supplemental oxygen and saturation ( $SpO_2$  and  $SaO_2$ ) data collected throughout the study will be provided.

### **Ordinal scales**

- a) The absolute value and change from baseline in the Ordinal scale from Day 1 through Day

29 will be summarized by treatment group and visit using descriptive statistics.

Mean change in Ordinal scales through Day 29 will be evaluated by fitting a linear mixed-effects model for repeated measures (MMRM). The model will include change from baseline in Ordinal scale as the repeated dependent variable; treatment (as a class variable), visit (as a class variable), and treatment-by-visit interaction as fixed effects; baseline Ordinal scale, age and gender as covariates; and measures within subject at each visit as a repeated measure. An unstructured covariance matrix will be used to model the within-subject error. If the fit of the unstructured covariance structure fails to converge, a compound symmetry covariance structure will be used. Parameters will be estimated using restricted maximum likelihood with the Kenward-Roger method for calculating the denominator degrees of freedom. Data collected starting at the Day 2 visit and up to the Day 29 visit will be included in this analysis. Missing data will not be imputed. From this model, the least-squares (LS) means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be reported on Days 15 and 29, as well as for the average across all post-baseline visits.

- b) The proportion of subjects in each severity category of the 7-point Ordinal scale at Day 15 and Day 29 will be tabulated. The difference in severity category distribution between treatment groups at Day 15 and Day 29 will be examined using proportional-odds cumulative logit model. The cumulative logits model will compare lower ordinal scales to higher ones, or equivalently, less favorable outcomes to more favorable ones. The model may be adjusted for baseline characteristics such as age and gender, if appropriate. The estimated odds ratio and 95% CI will be presented. Graphical illustrations of the fitted model will also be presented for Day 15 and Day 29.

The proportional odds assumption will be tested using a score test at the two-sided alpha level of 0.05. In the event that the proportional odds assumption is not satisfied, given the anti-conservative nature of the score test, the proportional odds model will still be used for the analysis, and the results will be presented along with the score test p-value to help put these results in context. If the proportion odds assumption is rejected or if the proportional odds model fails to converge, a sensitivity or alternative analysis using a non-parametric Wilcoxon Rank Sum test will be performed to estimate the median difference between the treatment groups.

### **Number of subjects developed ARDS**

Berlin criteria for ARDS will be assessed on Days 1, 5, 15, and 29. The presence of ARDS and the degree of ARDS by Berlin criteria (Mild, Moderate, Severe) will be tabulated by treatment group at each visit.

### **Assessment of SOFA score**

The SOFA score will be assessed on Days 1, 5, 15, and 29. Change from baseline in SOFA score to Days 5, 15, and 29 will be summarized for each treatment group by visit.

Mean change in SOFA scores through Day 29 will be evaluated by fitting a linear mixed-effects model for repeated measures (MMRM). The model will include change from baseline in SOFA score as the repeated dependent variable; treatment (as a class variable), visit (as a class variable including Days 5, 15, and 29), and treatment-by-visit interaction as fixed effects; baseline SOFA

score, age and gender as covariates; and measures within subject at each visit as a repeated measure. An unstructured covariance matrix will be used to model the within-subject error. If the fit of the unstructured covariance structure fails to converge, a compound symmetry covariance structure will be used. Parameters will be estimated using restricted maximum likelihood with the Kenward-Roger method for calculating the denominator degrees of freedom. Missing data will not be imputed. From this model, the least-squares (LS) means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be reported on Days 5, 15 and 29, as well as for the average across all post-baseline visits.

### **Assessment of clinical severity**

The NEWS will be calculated based on 7 clinical parameters and recorded on the eCRF. The absolute value and change from baseline in the total score from Day 1 through Day 29 will be summarized by treatment group and visit using descriptive statistics.

Change in NEWS total score through Day 29 will be evaluated by fitting a linear MMRM. The model will include change from baseline in NEWS total score as the repeated dependent variable; treatment (as a class variable), visit (as a class variable), and treatment-by-visit interaction as fixed effects; baseline NEWS total score, age and gender as covariates; and measures within subject at each visit as a repeated measure. An unstructured covariance matrix will be used to model the within-subject error. If the fit of the unstructured covariance structure fails to converge, a compound symmetry covariance structure will be used. Parameters will be estimated using restricted maximum likelihood with the Kenward-Roger method for calculating the denominator degrees of freedom. Data collected starting at the Day 2 visit and up to the Day 29 visit will be included in this analysis. Missing data will not be imputed. From this model, the least-squares (LS) means and 95% CIs for each treatment group, the treatment difference in the LS means, the 95% CI for the difference, and the associated p-value will be reported on Days 15 and 29, as well as for the average across all post-baseline visits.

### **Time to clinical response**

Clinical response is defined as the NEWS score <=2 maintained for 24 hours from Day 1 through Day 29. The time to the first occurrence of clinical response will be estimated using the Kaplan-Meier (KM) method. The KM estimates along with 95% CI, and survival curves will be provided. Based on the KM estimates, the probabilities of achieving first clinical response and associated 95% CIs on Days 15 and 29 will be reported; in addition, the 25<sup>th</sup>, 50<sup>th</sup> (median), and 75<sup>th</sup> percentiles of the time to first clinical response with associated 95% CIs will be provided, as data permit. The survival rates between treatment groups will be compared using Log-rank test. The p-value from Log-rank test will be presented.

## **6.3 Exploratory Efficacy Endpoints and Analyses**

- Change from baseline in quantitative SARS-CoV-2 viral load by NAT or PCR (RT-PCR) to Days 5, 15, and 29 will be summarized for each treatment group by visit.
- Change from baseline in quantitative anti- SARS-CoV-2 IgM and IgG antibodies to Days 5, 15, and 29 will be summarized for each treatment group by visit.
- The proportion of subjects with evidence of hyperinflammation on Days 1, 5, 15, and 29 will be tabulated by treatment group. For this analysis, the denominator for calculating the

proportion at each visit and within each treatment group will be the number of subjects with non-missing values for Lymphocyte counts AND for at least 2 of the following 4 lab parameters: Ferritin, LDH, D-Dimers, and C-reactive protein (i.e., the number of subjects for whom evidence of hyperinflammation could be assessed).

- Change from baseline in cytokine profile (IL-1 $\beta$ , IL-10, IL-6, IL-8 IL-2, interferon  $\gamma$ , and TNF- $\alpha$ ) to Days 5, 15, and 29 will be summarized for each treatment group by visit.

## 7. SAFETY ANALYSIS

Safety assessments will include assessment of AEs, chemistry, hematology (complete blood count and differential), COVID-19 clinical features, vital signs, and hospital interventions. All safety summaries (or analyses if applicable) will be conducted using the SAF population. No formal hypothesis testing will be performed to compare differences between treatment groups.

### 7.1 Extent of Exposure and Compliance

The number of doses received, duration of infusion in minutes, infusion rate, the occurrence of dose interruption, whether 100% of study drug infused, total volume prepared, and total volume infused will be summarized for the Gamunex-C plus SMT group. In addition, treatment compliance will be calculated and summarized for this treatment group. The summaries will include descriptive statistics of the treatment compliance as well as number and percentage of subjects with compliance between 80% and 120%.

Treatment compliance (%) will be calculated as follows:

$$\frac{\text{(Total volume infused over all infusions [mL])}}{\text{(Total volume prepared over all infusions [mL])}} \times 100.$$

### 7.2 Adverse Events

All AEs and serious AEs (SAEs) occurring on Day 1 through the Day 29 ( $\pm 1$  day)/Final Visit must be fully recorded in the subject's medical record and eCRF, and SAE form (if serious). At the time of the Day 60 ( $\pm 2$  days) and Day 90 ( $\pm 2$  days) Phone Checks any additional SAEs/non-serious AEs will also be collected and recorded. AEs will be classified by SOC and PT using the most recent version of MedDRA.

#### 7.2.1 Treatment-emergent Adverse Events

For summary purposes, AEs will be classified as treatment-emergent AEs (TEAEs) or non-treatment-emergent AEs (non-TEAEs) depending on the comparison of AE onset date/time with the date of randomization. A TEAE will be defined as an AE which occurred on or after the date of randomization. For adverse events with incomplete start dates/times, the same imputation algorithm for missing or partial date/time information as described in Section 5.6 will be used for the determination of an AE being treatment emergent or not. Briefly, the unknown portions of an AE onset date/time will be imputed to the latest possible before being compared to the date of randomization.

Non-TEAEs will be summarized separately from TEAEs by SOC and PT for each treatment group and overall.

## 7.2.2 Adverse Event Severity

Refer to the study protocol, Section 8.3.5.

## 7.2.3 Adverse Event Relationship to IP

Refer to the study protocol, Section 8.3.4.

## 7.2.4 Serious Adverse Events

Refer to the study protocol, Section 8.3.7.

## 7.2.5 Adverse Event Summaries

All AEs (serious and non-serious) occurring after randomization and before the end of study, regardless of relationship to IP, will be included and classified by SOC and PT using MedDRA.

For TEAEs, the following will be summarized and presented for the SAF population:

- i. An overall summary of TEAEs, which includes:
  - a. the number and percentage of subjects experiencing a TEAE
  - b. the number and percentage of subjects experiencing a TEAE by strongest relationship to IP
  - c. the number and percentage of subjects experiencing a TEAE by greatest severity
  - d. the number and percentage of subjects experiencing a TEAE with Grade  $\geq 3$
  - e. the number and percentage of subjects experiencing a treatment-emergent SAE
  - f. the number and percentage of subjects experiencing a TEAE leading to death
  - g. the number and percentage of subjects experiencing a TEAE leading to study withdrawal
- ii. the number and percentage of subjects experiencing a TEAE by SOC and PT.
- iii. the number and percentage of subjects experiencing an adverse drug reaction (ADR; i.e., potentially related TEAE) by SOC and PT, where an ADR is defined as any TEAE with a causal relationship to IP assessed as 'Possibly Related' or 'Definitely Related'.
- iv. the number and percentage of subjects experiencing a TEAE by SOC, PT and the strongest relationship to IP
- v. the number and percentage of subjects experiencing a TEAE by SOC, PT and the greatest severity
- vi. the number and percentage of subjects experiencing a TEAE with Grade  $\geq 3$  by SOC and PT
- vii. the number and percentage of subjects experiencing an ADR with Grade  $\geq 3$  by SOC and PT
- viii. the number and percentage of subjects experiencing a treatment-emergent SAE by SOC and PT
- ix. the number and percentage of subjects experiencing a potentially related treatment-

- emergent SAE by SOC and PT
- x. the number and percentage of subjects experiencing a TEAE leading to death by SOC and PT
- xi. the number and percentage of subjects experiencing a TEAE leading to study withdrawal by SOC and PT

In the overall summary of TEAEs table (i), besides tabulating the number and percentage of subjects, the total number of TEAE episodes will also be provided. If a subject has repeated episodes of a particular TEAE, all episodes will be counted in the summary table.

In the remaining summary tables, the incidence of TEAEs will be calculated by dividing the number of subjects who have experienced the event by the total number of subjects. Thus, the incidence of TEAEs is shown in terms of the total number of subjects and not in terms of the total number of episodes. If a subject has repeated episodes of a particular TEAE, only the most severe episode, or the episode with the strongest causal relationship to IP, will be counted in the summary tables.

A subject with more than one type of TEAE in a particular SOC or PT will be counted only once in the total of subjects experiencing TEAEs in that particular SOC or PT.

All occurrences of all AEs and SAEs will be listed for each subject, grouped by treatment group. The listing will contain the following information: treatment group, verbatim term, SOC, PT, severity (mild, moderate, severe [Grade 3-5]), grade, relationship to IP if applicable, date/time and day of onset, date/time and day of resolution, action taken with regard to IP, whether additional non-drug treatment was given to treat the adverse event, the outcome, whether the event was an SAE, whether it led to study withdrawal, whether it occurred during IP infusion, and whether it is a TEAE. Listings will be sorted by treatment group, subject identification number, onset date, SOC, and PT. If the onset date is completely missing, then these events will be presented first. If the onset date is missing a month or a day, then these events will be presented before any complete dates.

### 7.3 Clinical Laboratory Assessments

Clinical laboratory tests will be obtained at the time points presented in Appendix A. Continuous clinical laboratory values will be summarized by presenting descriptive statistics of raw data and change from baseline values at each time point for each treatment group, if feasible. If necessary, quantitative lab values reported in different units for the same lab parameter will be converted to the same units prior to being summarized. Qualitative results at each time point measured will be summarized by presenting the number and percentage of subjects for each category. Shift tables, based on the high/low flags, will also be summarized by treatment group at each visit for all laboratory tests with normal ranges, when available.

Laboratory parameter results from unscheduled visits will be excluded from table summaries but will be included in data listings. When there are repeat scheduled measurements for a given visit, only the last measurement will be used in the table summaries.

Listings will include flags for values outside of the reference ranges, when available, and clinical significance if a laboratory result is deemed abnormal when applicable.

#### **7.4 Vital Signs**

Descriptive summaries of the vital signs (both raw and change from baseline values) including systolic and diastolic blood pressure, pulse, respiratory rate, and body temperature will be prepared for each treatment group by visit.

Qualitative results at each time point measured, including severe dehydration (no/yes), sternal capillary refill time >2 seconds (no/yes/unknown), and clinically significant (no/yes), will be summarized by presenting the number and percentage of subjects for each category.

For Gamunex-C plus SMT group, vital signs will also be collected within 1 hour prior to the start of Gamunex-C infusion, 30 minutes after the start of infusion, and hourly through the end of Gamunex-C infusion. The raw data and change from baseline values, as well as whether clinically significant at each time point will be summarized for the Gamunex-C plus SMT group only.

Unscheduled visits will be excluded from the summaries but will be included in the data listings.

#### **7.5 Other Safety Measures**

Data for COVID-19 clinical features will be listed and tabulated by treatment group.

Data for hospital interventions will be listed and summarized by presenting frequency counts and percentages for each category. The duration (number of days) of extracorporeal support and inotropes/vasopressors administration will be calculated based on the start/stop dates recorded on the eCRF and summarized descriptively. Subjects who were never on extracorporeal support or inotropes/vasopressors will be included in the descriptive summary with a value of zero days of duration.

Body weight assessments will be summarized by presenting descriptive statistics of raw data and change from baseline values at each time point by treatment group.

### **8. INTERIM ANALYSES**

An interim analysis will be conducted after 50 subjects (approximately 25 per group) for safety variables through Day 29. Due to the exploratory nature of this phase 2 study, the multiplicity adjustments will not be considered. This interim evaluation simply represents Sponsor safety due diligence. This interim analysis will analyze safety variables including, but not limited to, the following: adverse events (see Section 7.2), clinical laboratory parameters (see Section 7.3), and vital signs (see Section 7.4). The relevant methods described in Section 7 (Safety Analysis) of this SAP intended for the final analysis will also be applicable to the interim analysis.

### **9. SAMPLE SIZE AND POWER CALCULATIONS**

Because of the urgency and lack of previous prospective COVID-19 data, sample size estimation remains incompletely defined; however the size of this pilot study is commensurate with other Phase 2 investigations ongoing during the COVID-19 pandemic. Approximately 100 subjects are allowed to be randomized as part of a humanitarian effort against COVID-19.

## **10. REFERENCES**

1. <https://www.mdcalc.com/national-early-warning-score-news>
2. <https://clinicaltrials.gov/ct2/show/NCT04280705>
3. Gray, R.J. (1988). A class of K-sample tests for comparing the cumulative incidence of a competing risk. *Ann Stat*, 16(3):1141-1154.

## 11. APPENDIX

### 11.1 Appendix A: Schedule of Events

Study Period	Screen/ Baseline	1 <sup>st</sup> IP	SMT and Daily Evaluations									Follow- Up	Follow-Up Clinic Visit Day		Phone Checks	
			2	3	4	5±1 day	6	7	8	9	10		11-14 Daily if in hospital	15±1 * day	16-28 Daily if in hospital	29±1 * 1 day
Study Day	1															
Procedures/assessments																
Informed consent	X															
Inclusion/exclusion criteria	X															
Demography (age [year of birth], age at screening [years], gender [if female, fertility status], race, and ethnicity), disease characteristics (date of exposure, date of onset <sup>a</sup> )	X															
Pregnancy test <sup>b</sup>	X															
Ordinal Scale (at the 1st assessment of a given day) <sup>c</sup>	X		X	X	X	X	X	X	X	X	X	X	X	X	X	
National Early Warning Score (NEWS) <a href="#">Appendix 2</a>	X		X	X	X	X	X	X	X	X	X	X	X	X	X	
Clinical features key symptoms: fever, cough, shortness of breath, fatigue, myalgia etc.	X		X	X	X	X	X	X	X	X	X	X	X	X	X	
Vital signs (temperature, systolic and diastolic blood pressure, pulse, respiratory rate)	X	X <sup>d</sup>	X <sup>d</sup>	X <sup>d</sup>	X <sup>d</sup>	X <sup>d</sup>	X	X	X	X	X	X	X	X	X	
Initial Body Weight for determining all IVIG doses if randomized to combination arm	X															
Body weight for assessing fluid balance twice daily (at least 8 hours)		X	X	X	X	X										

## Schedule of Events (Continued)

Study Period	Screen/ Baseline	1 <sup>st</sup> IP	SMT and Daily Evaluations										Follow- Up	Follow-Up Clinic Visit Day		Phone Checks		
			1	2	3	4	5±1 day	6	7	8	9	10		11-14 Daily if in hospital	15±1 day	16-28 Daily if in hospital	29 <sup>a,f</sup> ± 1 day	Day 60 ± 2 days
Study Day																		
Procedures/assessments			1	2	3	4	5±1 day	6	7	8	9	10	11-14 Daily if in hospital	15±1 day	16-28 Daily if in hospital	29 <sup>a,f</sup> ± 1 day	Day 60 ± 2 days	Day 90 ± 2 days
should separate the time from the first recorded weight)																		
Record result of <i>historical</i> SARS-CoV-2 PCR (qualitative RT-PCR) or other FDA-approved or regulatory-authority-approved diagnostic assay in any specimen during the current hospital admission prior to randomization (eligibility criterion)		X																
Record any supplemental oxygen administration (type, %, flow start/end date/time)	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Record any mechanical ventilation (start/end date/time)	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Record oxygen saturation (specify on or off oxygen supplementation)	X		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Randomization (after all Screen/Baseline assessments complete)	X																	
Combination arm: Gamunex-C 2 g/kg (total) may be given either as 500 mg/kg in divided doses over 4 consecutive days OR 400 mg/kg in divided doses over 5 consecutive days			X <sup>b</sup>	X <sup>b</sup>	X <sup>b</sup>	X <sup>b</sup>	X <sup>b</sup>											

## Schedule of Events (Continued)

Study Period	Screen/ Baseline	1 <sup>st</sup> IP	SMT and Daily Evaluations										Follow-Up	Follow-Up Clinic Visit Day		Phone Checks
			2	3	4	5±1 day	6	7	8	9	10	11-14 Daily if in hospital		15±1 day	16-28 Daily if in hospital	29 <sup>a,f</sup> ± 1 day
Study Day	1															
Procedures/assessments																
Record hospital admission and discharge dates	X														X <sup>b</sup>	
Record ICU admission & discharge dates	X														X <sup>b</sup>	
Sequential Organ Failure Assessment (SOFA) score (Appendix 4)	X					X							X		X	
Assessment of ARDS (Berlin Criteria) (Appendix 3)	X					X							X		X	
Daily 24-hour fluid balance (intake and output) while in hospital	X	X	X	X	X	X	X	X	X	X	X					
Respiratory sample for quantitative measurement of SARS-CoV-2 viral load by NAT or PCR (real-time RT-PCR) (obtained via nasopharyngeal swab)	Pre randomized treatment <sup>i</sup>					X <sup>i</sup>							X		X	
Sample for quantitative measurement of IgM and IgG antibodies to SARS-CoV-2 (store serum samples frozen at -70°C for later analysis at an external lab)	Pre randomized treatment <sup>i</sup>					X <sup>i</sup>							X		X	
Serum Chemistry (creatinine, albumin, alanine aminotransferase [ALT], total bilirubin, lactate dehydrogenase [LDH])	Pre randomized treatment <sup>i</sup>					X <sup>i</sup>							X		X	

## Schedule of Events (Continued)

Study Period	Screen/ Baseline	1 <sup>st</sup> IP	SMT and Daily Evaluations										Follow- Up	Follow-Up Clinic Visit Day			Phone Checks	
			1	2	3	4	5±1 day	6	7	8	9	10		11-14 Daily if in hospital	15±1 day	16-28 Daily if in hospital	29 <sup>a,f</sup> ± 1 day	Day 60 ± 2 days
Study Day Procedures/assessments																		
Ferritin, CRP, D-dimer	Pre randomized treatment <sup>i</sup>						X <sup>j</sup>							X		X		
Hematology: absolute lymphocyte count and standard hematology (hemoglobin, hematocrit, platelet count, leukocyte count with differential)	Pre randomized treatment <sup>i</sup>						X <sup>j</sup>							X		X		
Direct antiglobulin test (DAT)	Pre randomized treatment <sup>i</sup>						X <sup>j</sup>							X		X		
Cytokine panel <sup>j</sup>	Pre randomized treatment <sup>i</sup>						X <sup>j</sup>							X		X		
Record SAEs and TEAEs <sup>d</sup>	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Record standard care concomitant medications	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Phone Checks for Vital Status (living or deceased), any hospital readmissions or SAEs/non-serious AEs after Day 29 Final Clinic Visit																	X	X

a Date of first contact with the virus, date of first symptoms, date of PCR (RT-PCR)/other FDA approved- or regulatory-authority-approved diagnostic assay positive

b Human chorionic gonadotropin-based assay for women of childbearing potential (urine matrix is also valid).

## Schedule of Events (Continued)

- c Ordinal scale measure of clinical status: 1) Death; 2) Hospitalized, on invasive mechanical ventilation or ECMO; 3) Hospitalized, on non-invasive ventilation or high flow oxygen devices; 4) Hospitalized, requiring supplemental oxygen; 5) Hospitalized, not requiring supplemental oxygen; 6) Not hospitalized, limitation on activities; 7) Not hospitalized, no limitations on activities.
- d Grade 3-5 TEAEs and potentially related TEAEs through Day 29 will be defined according to CTCAE criteria, US Department of Health and Human Services, NIH, and NCI. In this clinical study, disease progression is defined as the worsening of a subject's condition attributable to the disease for which the investigational product is being studied. It may be an increase in the severity of the targeted disease and/or increases in the symptoms of the targeted disease. Anticipated symptoms of COVID-19 include fever, cough, hypoxia, dyspnea, hemoptysis, myalgia, fatigue, pharyngitis, which may develop at any time during the course of the disease. The development of COVID-19 symptoms during the clinical study should not be recorded as individual TEAEs, unless there is evidence suggesting a causal relationship between IVIG and the TEAE.
- e Procedures/assessments for indicated visits may be performed at the study site or, if deemed appropriate, at an alternate site (e.g., subject's residence, local healthcare professional's site) under the care and supervision of trained healthcare personnel.
- f Final Clinic Visit, followed by Phone Checks.
- g The total net dose is 2 g/kg body (maximum of 160 g for subjects weighing more than 80 kg). The first infusion is on Day 1. At the discretion of the Principal Investigator, the 2 g/kg net total dose may be divided either into (a) infusions of 500 mg/kg body weight over 4 days OR (b) 400 mg/kg body weight over 5 days.
- h Record hospital and ICU discharge date on or before Day 29/Final Visit.
- i All laboratory tests and serum and plasma samples are required for all study subjects, regardless of treatment assignment. For subjects randomized to IVIG plus SMT all laboratory samples must be obtained prior to the Gammunex-C infusion on the designated day.
- j Cytokine panel includes IL-1 $\beta$ , IL-10, IL-6, IL-8 IL-2, interferon  $\gamma$ , and TNF- $\alpha$ . Note: serum samples must be stored at -70°C for later analysis at a reference laboratory.
- k During study infusions of Gammunex-C vital signs will be measured within 1 hour prior to the start of Gammunex-C infusion, 30 minutes after the start of infusion, and hourly through the end of Gammunex-C infusion.

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