

# **CLINICAL STUDY PROTOCOL**

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*Original Version Date: 19 October 2017*

## **Open-Label Rollover Study for Continuing NBI-98854 Administration for the Treatment of Pediatric Subjects with Tourette Syndrome**

Study No.: NBI-98854-TS2007

Development Phase: Phase 2

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**SIGNATURES:**

*I agree to conduct this study in accordance with the requirements of this clinical study protocol and in accordance with the following:*

- Established principles of International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH) Good Clinical Practices (GCP)
- United States (US) Code of Federal Regulations (CFR); US Food and Drug Administration (FDA)

**CLINICAL STUDY TITLE:**

Open-Label Rollover Study for Continuing NBI-98854 Administration for the Treatment of Pediatric Subjects with Tourette Syndrome

**PROTOCOL No.:** NBI-98854-TS2007

**As Agreed:**

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Principal Investigator Signature

Date

**PRINCIPAL INVESTIGATOR:**

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## 2. SYNOPSIS

<p><b>Protocol title:</b> Open-Label Rollover Study for Continuing NBI-98854 Administration for the Treatment of Pediatric Subjects with Tourette Syndrome</p>
<p><b>Study centers:</b> Approximately 75 study centers in the United States.</p>
<p><b>Objectives:</b></p> <ul style="list-style-type: none"><li>• To collect long-term safety and tolerability data in pediatric subjects receiving NBI-98854 administered once daily for up to 96 weeks (ie, approximately 24 months).</li><li>• To provide continued access to NBI-98854 for the treatment of Tourette syndrome (TS) in up to approximately 240 pediatric TS subjects who have completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks (<math>\pm 3</math> days) in the Phase 2 study NBI-98854-TS2005.</li></ul>
<p><b>Methodology:</b> This study is designed to collect long-term safety and tolerability data as well as investigator- and subject-reported pharmacodynamic (PD) data following chronic administration of NBI-98854 in pediatric subjects with TS. In addition, this rollover study will provide pediatric subjects who have participated in a prior Phase 2 NBI-98854 study open-label access to NBI-98854 for the treatment of TS until they complete 96 weeks (ie, approximately 24 months) of treatment. This study will allow enrollment of up to approximately 240 male and female pediatric subjects (6 to 18 years of age, inclusive) with TS who have completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks (<math>\pm 3</math> days) in the Phase 2 study NBI-98854-TS2005 and discontinued due to a lack of efficacy or a TEAE of worsening of tics (subjects who completed the NBI-98854-TS2005 study are also eligible). Subjects who discontinued prior to the end of the NBI-98854-TS2005 study (ie, Week 40) must have completed an early termination visit to be eligible for the current study (the early termination visit may have occurred at the time of the Week 16 visit).</p> <p>Parental or legal guardian informed consent with signed and witnessed pediatric assent for subjects <math>\leq 17</math> years of age, or written informed consent for subjects 18 years of age, must be obtained prior to conduct of any study-related procedures.</p> <p>Subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit <math>&gt;30</math> days prior to anticipated baseline (Day 1) require screening. These subjects will be screened to determine eligibility within 28 days (Days -28 to -1) before baseline (Day 1). At baseline (Day 1), eligible subjects will return to the study site for collection of baseline safety and PD assessments.</p> <p>Subjects can have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit on the same day as Day 1 for this rollover study provided they sign the current study's informed consent/assent before the final NBI-98854-TS2004 or NBI-98854-TS2005 visit. This will allow certain assessments to be used for both studies. The assessment results that can apply to both studies include physical examinations (including weight), vital signs, electrocardiograms (ECG), the Clinical Global Impression of Tics-Severity scale (CGI-Tics-Severity), the Extrapyramidal Symptom Rating Scale-Abbreviated (ESRS-A), and the Gilles de la Tourette Syndrome-Quality of Life Scale for Children and Adolescents (C&amp;A GTS-QOL) scale.</p> <p>The starting dose will be NBI-98854 20 mg for subjects <math>&lt;50</math> kg at baseline and NBI-98854 40 mg for subjects <math>\geq 50</math> kg at baseline. The dose may be escalated in increments of 20 mg every 2 weeks to a maximum of 60 mg for subjects <math>&lt;50</math> kg and 80 mg for subjects <math>\geq 50</math> kg to achieve an optimal dose of NBI-98854 for each subject. Dose escalations will occur at the end of Weeks 2 and 4 based on the following 2 criteria: 1) the subject's tics are not sufficiently controlled per physician investigator assessment; and 2) an evaluation by the physician investigator indicates that the subject is tolerating the study drug at the current dose and would likely be able to tolerate the next dose level. During the first 4 weeks of the treatment period, the physician investigator may escalate a subject's dose to the next dose level, continue with the subject's current dose, or reduce to the subject's prior tolerated dose (in subjects</p>

who have had a dose escalation). If a subject's optimal dose has already been established at Week 2, no further dose escalation will be allowed during the dose optimization period and the subject will continue at that dose until Week 96 (or early termination). After Week 4, subjects will continue to receive their optimized dose of NBI-98854 until Week 96 (or early termination). At any time after Week 2, the physician investigator may decrease the dose to the previous dose for any subject who had a dose escalation and who is unable to tolerate a given dose increase. The subject will continue at that dose until the end of the treatment period. The investigator may reduce the subject's dose only one time. Subjects who are unable to tolerate the starting dose or resumption of the previous dose will be discontinued from the study.

Eligible subjects will receive a supply of NBI-98854 on Day 1 and begin dosing at bedtime of Day 1. Subjects will return to the study center every 2 to 12 weeks for assessments and dispensation of NBI-98854. The final assessments will be performed at the end of Week 96, or upon early termination. The study center visits after Day 1 will have windows of  $\pm$  3 days for visits at Weeks 2 and 4,  $\pm$  5 days for visits at Weeks 8 through 24, and  $\pm$  14 days for visits at Weeks 36 through 96. An independent Data Safety Monitoring Board (DSMB) will periodically review ongoing clinical safety data to ensure the safety and well-being of the study subjects.

PD and safety assessments will be collected at scheduled times throughout the study.

**Study population:** Up to approximately 240 male and female pediatric subjects (6 to 18 years of age, inclusive, on Day 1 [baseline]) with a clinical diagnosis of TS will be enrolled. This study will only enroll subjects who express an interest in continuing to receive or re-initiate NBI-98854 and had previously completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks ( $\pm$ 3 days) in the Phase 2 study NBI-98854-TS2005 and discontinued due to a lack of efficacy or a TEAE of worsening of tics (subjects who completed the NBI-98854-TS2005 study are also eligible). Subjects must have a stable psychiatric status as determined by the physician investigator.

**Duration of treatment and study participation:** The expected duration of study participation for each subject is up to 100 weeks, including up to 4 weeks of screening and up to 96 weeks of study drug treatment.

**Investigational product, dose, and mode of administration:** NBI-98854 will be supplied in capsule form containing 20 mg, 40 mg, 60 mg, or 80 mg (free base equivalent) of NBI-98854 [REDACTED]. Subjects must swallow the capsule at bedtime with at least 4 oz. of water with or without food.

**Reference therapy, dose, and mode of administration:** Not applicable.

**Criteria for evaluation:**

**Pharmacodynamics**

The following PD assessments will be administered:

- The CGI-Tics-Severity will be completed by the investigator on Day 1 (baseline), and at the end of Weeks 8, 16, 24, 36, 48, 72, and 96 (final study visit or upon early termination).
- The Clinical Global Impression of Tourette Syndrome-Improvement scale (CGI-TS-Improvement) will be completed by the investigator at the end of Weeks 8, 16, 24, 36, 48, 72, and 96 (final study visit or upon early termination).
- The C&A-GTS-QOL scale will be completed by the subject on Day 1 (baseline), and at the end of Weeks 12, 24, 48, 72, and 96 (final study visit or upon early termination).

**Safety**

Safety and tolerability will be monitored throughout the study and will include the following assessments:

- Adverse events.

- Clinical laboratory tests (hematology, clinical chemistry, and urinalysis).
- Vital signs (including orthostatic blood pressure and pulse).
- Physical examinations.
- 12-lead electrocardiogram.
- ESRS-A.
- Suicidal ideation and behavior – evaluated using the Columbia-Suicide Severity Rating Scale (C-SSRS), Children's Version.

**Statistical methods:** Pharmacodynamic, safety, and tolerability assessments will be summarized using descriptive statistics.

### 3. LIST OF ABBREVIATIONS AND DEFINITIONS OF TERMS

ADHD	Attention-Deficit Hyperactivity Disorder
AE	Adverse event
ALT	Alanine aminotransferase
AST	Aspartate aminotransferase
AUC <sub>0-∞</sub>	Area under the plasma concentration versus time curve from 0 hours extrapolated to infinity
β-hCG	β-human chorionic gonadotropin
C&A-GTS-QOL	Gilles de la Tourette Syndrome – Quality of Life Scale for Children and Adolescents
CFR	Code of Federal Regulations
CGI-Tics-Severity	Clinical Global Impression of Tics-Severity scale
CGI-TS-Improvement	Clinical Global Impression of Tourette Syndrome-Improvement scale
C <sub>max</sub>	Maximum plasma concentration
CRT	Controlled room temperature
C-SSRS	Columbia-Suicide Severity Rating Scale
CYP	Cytochrome P450
DSMB	Data Safety Monitoring Board
DSM-IV or -5	Diagnostic and Statistical Manual of Mental Disorders, 4th or 5th Editions
DSPV	Drug Safety and Pharmacovigilance
EC	Ethics Committee
ECG	Electrocardiogram
eCRF	Electronic case report form
EDC	Electronic data capture
ESRS-A	Extrapyramidal Symptom Rating Scale-Abbreviated
FDA	[United States] Food and Drug Administration
GCP	Good Clinical Practice
GGT	Gamma-glutamyl transferase
ICF	Informed consent form
ICH	International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use
IRB	Institutional Review Board
MAOI	Monoamine oxidase inhibitor
MedDRA	Medical Dictionary for Regulatory Activities
NBI	Neurocrine Biosciences, Inc.
PD	Pharmacodynamics
PT	Preferred term
QTcF	Corrected QT interval using Fridericia's formula
SAE	Serious adverse event

SAP	Statistical analysis plan
SOC	System organ class
TD	Tardive dyskinesia
TEAE	Treatment-emergent adverse event
$t_{\frac{1}{2}}$	Apparent terminal half-life
$t_{\max}$	Time to maximum plasma concentration
TS	Tourette syndrome
TTS	Total Tic Score
ULN	Upper limit of normal
US	United States
VMAT2	Vesicular monoamine transporter 2
WHO	World Health Organization
YGTSS	Yale Global Tic Severity Scale

## 4. ETHICS

The study will be conducted in accordance with Neurocrine Biosciences, Inc. (NBI) standards that meet regulations relating to Good Clinical Practice (GCP). These standards respect the following guidelines:

- Good Clinical Practice: Consolidated Guideline (International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use [ICH; current version]).
- United States (US) Code of Federal Regulations (CFR) dealing with clinical studies (21 CFR parts 50, 54, 56, 312, and 314).

The ethical requirements of Institutional Review Boards/Ethics Committees (IRBs/ECs) and the informed consent forms (ICFs) and assent forms are discussed in [Section 14](#).

## 5. INTRODUCTION

### 5.1. Background

Tourette syndrome (TS) is a movement disorder characterized by the presence of chronic motor and 1 or more vocal tics that often appear in childhood or early adolescence ([APA DSM-IV, 1994](#); [APA DSM-5, 2013](#)). Tics are defined as rapid, non-rhythmic, stereotyped motor movements or vocalizations, and are typically categorized as simple or complex based on their overt features. Simple tics are brief, meaningless actions (eg, forceful blinking of the eyes or grunting) and complex tics are slower, more purposeful behaviors (eg, gyrating or uttering phrases; [Leckman et al., 1989](#); [Cavanna and Nani, 2013](#); [Shprecher and Kurlan, 2009](#)). The tics follow a waxing and waning course over time, and must be recurrent for a period of more than 1 year to qualify for diagnosis. In addition to tic phenomena, TS may also present with a constellation of symptoms that are part of a broader “TS spectrum,” which can include obsessive-compulsive behaviors, attention-deficit hyperactivity disorder (ADHD), and impulsive or antisocial behavior ([Chen et al., 2012](#); [Felling and Singer, 2011](#)).

It has been well established that TS is predominantly a disorder of childhood with a mean or median age of onset of approximately 6 years of age ([Leckman et al., 1998](#); [Robertson, 2011](#); [Jankovic and Kurlan, 2011](#); [Swain et al., 2007](#)). Tic symptomatology usually becomes the most severe around age 10 and by the time adulthood is achieved at 18 years of age, most patients are either tic-free or their symptoms have significantly improved ([Leckman et al., 1998](#); [Kurlan, 2010](#)). TS symptoms may also occur in adults and these tic phenomena appear to be a re-emergence or an exacerbation of childhood onset TS ([Chouinard and Ford, 2000](#); [Jankovic and Kurlan, 2011](#)).

Persistent tics can have a significant impact on patient quality of life and often lead to impaired psychosocial functioning. Some of these problems include social isolation, bullying, physical discomfort (with pain or injury), and poor academic performance ([Roessner et al., 2013](#)). Psychosocial stressors can, in turn, exacerbate tic symptomatology. It is under these conditions that pharmacological interventions are often considered ([Chen et al., 2012](#); [Shprecher and Kurlan, 2009](#); [Roessner et al., 2013](#)).

Neuropathological models have been proposed to explain the symptomatic features of TS, and converging lines of empirical evidence consistently implicate dopaminergic dysfunction and dysregulation within prefrontal cortex-basal ganglia circuitry (Felling and Singer, 2011; Pourfar et al., 2011). Functional neuroimaging studies have identified a pattern of prefrontal cortex hypermetabolism and reduced striatal activity in TS subjects (Baxter and Guze, 1993; Braun et al., 1993; Pourfar et al., 2011). Pharmacotherapeutic approaches aimed at blocking postsynaptic dopamine-2 receptors (eg, haloperidol and pimozide) have demonstrated efficacy in reducing TS symptoms. In this regard, modulation of dopaminergic tone through the administration of a vesicular monoamine transporter 2 (VMAT2) inhibitor, like NBI-98854, may also be an effective treatment option for tic suppression.

## 5.2. NBI-98854

NBI-98854 (valbenazine tosylate) is a selective, orally active VMAT2 inhibitor developed by NBI. NBI-98854 is under development for the treatment of TS. NBI-98854 was approved by the US Food and Drug Administration (FDA) in April 2017 for the treatment of adults with tardive dyskinesia (TD), under the trade name INGREZZA®.

In nonclinical studies, NBI-98854 appears to cause little or no cytochrome P450 (CYP) enzyme inhibition or induction at pharmacologically relevant concentrations. NBI-98854 is a moderate inhibitor of P-glycoprotein (P-gp), but only at concentrations that could be achieved in the gastrointestinal (GI) tract, and is not an inhibitor of a panel of other drug transporters. Metabolism of NBI-98854 is characterized by hydrolysis of NBI-98854 to NBI-98782, and CYP3A4/5-dependent mono-oxidation to NBI-136110. NBI-98782 is metabolized in part by CYP2D6. All 3 entities, namely, NBI-98854, NBI-98782, and NBI-136110, can bind to and inhibit VMAT2. However, NBI-98782 is the most potent and appears to be responsible for most of the observed pharmacological activity of VMAT2 inhibition.

NBI-98854 appears to be rapidly absorbed with a time to maximum plasma concentration ( $t_{max}$ ) typically ranging from approximately 0.5 to 1.0 hours. NBI-98854 reaches steady state within 1 week. The active metabolite NBI-98782 gradually forms with a  $t_{max}$  of 4 to 8 hours and both NBI-98854 and NBI-98782 are eliminated with an apparent terminal half-life ( $t_{1/2}$ ) of 15 to 22 hours. Coadministration of ketoconazole (strong CYP3A4/5 inhibitor) with NBI-98854 led to a 1.5- and 1.6-fold increase in the maximum plasma concentration ( $C_{max}$ ) of NBI-98854 and NBI-98782, respectively, and a 2.1-fold increase in the area under the plasma concentration versus time curve (AUC) from 0 hours extrapolated to infinity ( $AUC_{0-\infty}$ ) of NBI-98854 and NBI-98782. Coadministration of NBI-98854 and rifampin (strong CYP3A4/5 inducer) led to an approximate 30% and 70% decrease in  $C_{max}$  and  $AUC_{0-\infty}$ , respectively, for NBI-98854, and an approximate 50% and 80% decrease, respectively, for NBI-98782 compared with administration of NBI-98854 alone. Coadministration of NBI-98854 80 mg and 0.5 mg digoxin resulted in an approximate 1.9-fold increase in the  $C_{max}$  of digoxin. The effect of NBI-98854 on digoxin  $AUC_{0-\infty}$  was modest (1.4-fold increase) and the mean  $t_{1/2}$  of digoxin was similar with and without NBI-98854 administration. Midazolam  $C_{max}$  and  $AUC_{0-\infty}$  were similar with and without NBI-98854 administration.

NBI-98854 for the treatment of TS has been evaluated in 3 completed Phase 1b and Phase 2 studies in subjects with TS. These include 2 studies in pediatric subjects (NBI-98854-1403 and NBI-98854-1501) and 1 study in adults (NBI-98854-1505). The initial Phase 1b, open-label,

multiple-dose study of the safety, tolerability, pharmacokinetics, and pharmacodynamics (PD) of NBI-98854 (NBI-98854-1403) was conducted in children (6 to 11 years of age) and adolescents (12 to 18 years of age) with TS. Doses of NBI-98854 5 mg and 10 mg were administered in children and doses of NBI-98854 10 mg, 25 mg, or 50 mg were administered in adolescents daily over a 14-day treatment period following a multiple ascending dose protocol. Study NBI-98854-1501 was a Phase 2, multicenter, randomized, double-blind, placebo-controlled, parallel group, dose-ranging study to evaluate the efficacy, safety, and tolerability of 2 doses of NBI-98854 (10 mg and 20 mg in children [6 to 11 years of age], and 20 mg and 40 mg in adolescents [12 to 17 years of age]) relative to placebo, administered once daily for 6 weeks in 98 pediatric subjects with TS. Subjects within each age group were randomized in a 1:1:1 ratio to placebo or 1 of the 2 NBI-98854 doses. Study NBI-98854-1505 was a Phase 2, multicenter, randomized, double-blind, placebo-controlled, parallel group study to evaluate the efficacy, safety, and tolerability of 2 doses of NBI-98854 (40 mg and 80 mg) relative to placebo, administered once daily for 8 weeks in 124 adult subjects with TS. Subjects were randomized in a 1:1:1 ratio to placebo or 1 of the 2 NBI-98854 doses.

Results from Study NBI-98854-1403 revealed reductions from baseline (Day -1) to Day 14 in the Yale Global Tic Severity Scale (YGTSS) total tic score (TTS) in both children and adolescents, and this decrease was observed irrespective of dose. The mean point reduction in TTS for all subjects across all doses tested was -9.4 points at Day 14, which represents a 31% decrease from the mean baseline score. Mean reductions from baseline were also observed in the YGTSS impairment score for both age groups. The Phase 2, NBI-98854-1501 study in children and adolescents did not meet its primary efficacy endpoint of a significant change from baseline to Week 6 in the TTS between the placebo and active groups. A comprehensive exposure-response analysis indicated that the doses selected for this study were too low to provide adequate plasma exposures for tic reduction in most pediatric subjects. For the subset of subjects with NBI-98854 exposures in the relevant range, there was a reduction in tics (range: -11.3 to -13.7 points on the TTS) compared with the subset of subjects with sub-therapeutic exposures (range: -4.7 to -8.3 points on the TTS). Although the efficacy results in participating adults in the NBI-98854-1505 study showed an improvement in overall symptoms of TS as measured by the secondary endpoint, Clinical Global Impression of Change ( $p=0.015$  [nominal]), the pre-specified primary endpoint, the change from baseline in the YGTSS at Week 8 was not met ( $p=0.18$ ).

NBI-98854 has been generally well tolerated in single doses up to 300 mg and in multiple doses of up to 100 mg in healthy volunteers and subjects with TD. Over 850 subjects have been exposed to NBI-98854 in TD clinical studies. In TS subjects, safety results from Study NBI-98854-1403 show that the doses were well tolerated in both child and adolescent age groups. There were no deaths or serious adverse events (SAEs) reported during the study and no child discontinued due to an adverse event (AE). Two adolescents (both in the NBI-98854 50 mg group) discontinued due to AEs. One subject discontinued on Day 2 due to the AEs of agitation, headache, visual impairment, vomiting, and worsening of bradycardia and the other subject discontinued on Day 4 due to the AEs of increased anxiety and insomnia. Preliminary safety results from the Phase 2, NBI-98854-1501 pediatric study suggest that all doses tested (NBI-98854 10 mg and 20 mg in children and NBI-98854 20 mg and 40 mg in adolescents) were well tolerated. The most frequently reported AEs were headache, somnolence, upper respiratory tract infection, insomnia, and sedation. There were no deaths and only one SAE in

the placebo group (conversion disorder). Preliminary results from Study NBI-98854-1505 showed that the most frequently reported AEs were somnolence (20.2% NBI-98854-treated subjects and 2.5% of placebo subjects), fatigue (14.3% NBI-98854 and 2.5% placebo), and akathisia (13.1% NBI-98854 and 0% placebo). Seventeen subjects (13.7%) discontinued from the study due to AEs, and most of these subjects received NBI-98854 80 mg (13/17 subjects) and the most common reason for AE discontinuation was akathisia (reported in 5 subjects). Four subjects experienced SAEs during the study; the SAEs included pelvic inflammatory disease (placebo subject; moderate and not related to NBI-98854), pneumothorax (80 mg subject; moderate and unlikely related to NBI-98854), hypersensitivity (80 mg subject; moderate and possibly related to NBI-98854), and pneumonia streptococcal, septic shock, renal failure acute, and brachial plexus injury (40 mg subject; severe and unlikely related to NBI-98854).

### **5.3. Study and Dose Rationale**

All subjects in the current study will have previously received NBI-98854 at doses ranging from 20 mg to 80 mg during a Phase 2 pediatric TS study (NBI-98854-TS2004 or NBI-98854-TS2005). In the current rollover study, subjects will self-administer a once-daily dose of NBI-98854 20 mg to 80 mg at home at bedtime (qhs) for up to 96 weeks (ie, approximately 24 months).

Clinical data from pediatric TS subjects administered repeated doses of NBI-98854 from 5 mg to 50 mg per day indicate that NBI-98854 is generally well tolerated and associated with exposure-related efficacy. The NBI-98854 20 mg to 80 mg doses have been selected to provide exposure associated with an acceptable safety and tolerability profile. This dose range is predicted to provide potentially clinically effective exposures to NBI-98854 in subjects with TS based on currently available data.

## **6. STUDY OBJECTIVES**

The objectives of this clinical study are as follows:

- To collect long-term safety and tolerability data in pediatric subjects receiving NBI-98854 administered once daily for up to 96 weeks (ie, approximately 24 months).
- To provide continued access to NBI-98854 for the treatment of TS in up to approximately 240 pediatric TS subjects who have completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks ( $\pm 3$  days) in the Phase 2 study NBI-98854-TS2005.

## **7. OVERVIEW OF STUDY DESIGN**

This study is designed to collect long-term safety and tolerability data as well as investigator- and subject-reported pharmacodynamic (PD) data following chronic administration of NBI-98854 in pediatric subjects with TS. In addition, this rollover study will provide pediatric subjects who have participated in a prior Phase 2 NBI-98854 study open-label access to NBI-98854 for the treatment of TS until they complete 96 weeks (ie, approximately 24 months) of treatment. This study will allow enrollment of up to approximately 240 male and female pediatric subjects (6 to 18 years of age, inclusive) with TS who have completed the

Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks ( $\pm 3$  days) in the Phase 2 study NBI-98854-TS2005 and discontinued due to a lack of efficacy or a TEAE of worsening of tics (subjects who completed the NBI-98854-TS2005 study are also eligible). Subjects who discontinued prior to the end of the NBI-98854-TS2005 study (ie, Week 40) must have completed an early termination visit to be eligible for the current study (the early termination visit may have occurred at the time of the Week 16 visit).

Parental or legal guardian informed consent with signed and witnessed pediatric assent for subjects  $\leq 17$  years of age, or written informed consent for subjects 18 years of age, must be obtained prior to conduct of any study-related procedures.

Subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit  $>30$  days prior to anticipated baseline (Day 1) require screening. These subjects will be screened to determine eligibility within 28 days (Days -28 to -1) before baseline (Day 1). At baseline (Day 1), eligible subjects will return to the study site for collection of baseline safety and PD assessments.

Subjects can have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit on the same day as Day 1 for this rollover study provided they sign the current study's informed consent/assent before the final NBI-98854-TS2004 or NBI-98854-TS2005 visit. This will allow certain assessments to be used for both studies. The assessment results that can apply to both studies include physical examinations (including weight), vital signs, electrocardiograms (ECG), the Clinical Global Impression of Tics-Severity scale (CGI-Tics-Severity), the Extrapyramidal Symptom Rating Scale-Abbreviated (ESRS-A), and the Gilles de la Tourette Syndrome-Quality of Life Scale for Children and Adolescents (C&A GTS-QOL) scale.

The starting dose will be NBI-98854 20 mg for subjects  $<50$  kg at baseline and NBI-98854 40 mg for subjects  $\geq 50$  kg at baseline. The dose may be escalated in increments of 20 mg every 2 weeks to a maximum of 60 mg for subjects  $<50$  kg and 80 mg for subjects  $\geq 50$  kg to achieve an optimal dose of NBI-98854 for each subject. Dose escalations will occur at the end of Weeks 2 and 4 based on the following 2 criteria: 1) the subject's tics are not sufficiently controlled per physician investigator assessment; and 2) an evaluation by the physician investigator indicates that the subject is tolerating the study drug at the current dose and would likely be able to tolerate the next dose level. During the first 4 weeks of the treatment period, the physician investigator may escalate a subject's dose to the next dose level, continue with the subject's current dose, or reduce to the subject's prior tolerated dose (in subjects who have had a dose escalation). If a subject's optimal dose has already been established at Week 2, no further dose escalation will be allowed during the dose optimization period and the subject will continue at that dose until Week 96 (or early termination). After Week 4, subjects will continue to receive their optimized dose of NBI-98854 until Week 96 (or early termination). At any time after Week 2, the physician investigator may decrease the dose to the previous dose for any subject who had a dose escalation and who is unable to tolerate a given dose increase. The subject will continue at that dose until the end of the treatment period. The investigator may reduce the subject's dose only one time. Subjects who are unable to tolerate the starting dose or resumption of the previous dose will be discontinued from the study.

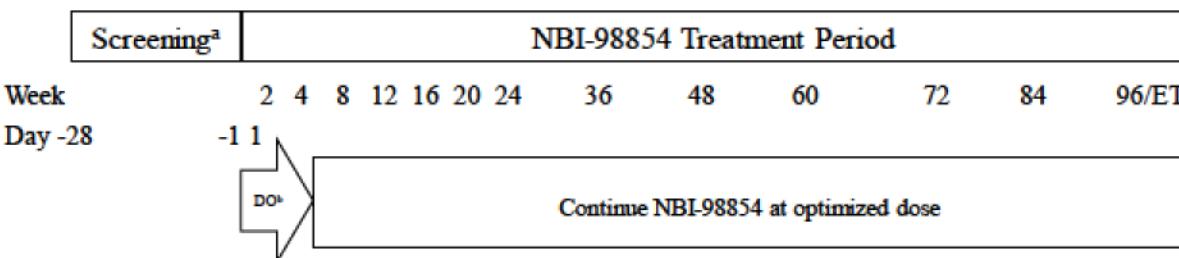
Eligible subjects will receive a supply of NBI-98854 on Day 1 and begin dosing at bedtime of Day 1. Subjects will return to the study center every 2 to 12 weeks for assessments and dispensation of NBI-98854. The final assessments will be performed at the end of Week 96, or upon early termination. The study center visits after Day 1 will have windows of  $\pm 3$  days for

visits at Weeks 2 and 4,  $\pm$  5 days for visits at Weeks 8 through 24, and  $\pm$  14 days for visits at Weeks 36 through 96. An independent Data Safety Monitoring Board (DSMB) will periodically review ongoing clinical safety data to ensure the safety and well-being of the study subjects.

PD and safety assessments will be collected at scheduled times throughout the study.

A schematic of the study design is shown in Figure 1.

**Figure 1: Study Design Schematic**



DO=dose optimization

<sup>a</sup> Subjects who enter the study within 30 days of having their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit are not required to undergo screening and the first day of the study is Day 1 (baseline) for these subjects.

<sup>b</sup> Subjects  $<50$  kg will receive an NBI-98854 starting dose of 20 mg, which may be increased to 40 mg at Week 2 and 60 mg at Week 4. Subjects  $\geq 50$  kg will receive an NBI-98854 starting dose of 40 mg, which may be increased to 60 mg at Week 2 and 80 mg at Week 4. If a subject's optimal dose has already been established at Week 2, no further dose escalation will be allowed during the dose optimization period and the subject will continue at that dose until the end of the treatment period. After Week 4, subjects will continue to receive their optimized dose of NBI-98854 until Week 96 (or early termination). At any time after Week 2, the physician investigator may decrease the dose to the previous dose for any subject who had a dose escalation and who is unable to tolerate a given dose increase. The subject will continue at that dose until the end of the treatment period.

## 8. STUDY POPULATION

This study will include up to approximately 240 male and female pediatric subjects with a clinical diagnosis of TS. This study will only enroll subjects who express an interest in continuing to receive or re-initiate NBI-98854 and had previously completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks ( $\pm 3$  days) in the Phase 2 study NBI-98854-TS2005.

### 8.1. Inclusion Criteria

To participate in this study, subjects must meet the following criteria:

1. Have documentation of written and witnessed assent from the subject and written informed consent from the subject's parent or legal guardian for subjects  $\leq 17$  years of age, or written informed consent from subjects 18 years of age.
2. Be male or female, aged 6 to 18 years, inclusive, on Day 1 (baseline).
3. Have completed the Phase 2 study NBI-98854-TS2004 or were dosed for at least 16 weeks ( $\pm 3$  days) in the Phase 2 study NBI-98854-TS2005 and discontinued due to a lack of efficacy or a TEAE of worsening of tics (subjects who completed the NBI-98854-TS2005 study are also eligible). Subjects can have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit on the same day as Day 1 for this rollover study provided the

current study's informed consent/assent is signed before the final NBI-98854-TS2004 or NBI-98854-TS2005 visit.

4. Subjects must have a stable psychiatric status (such as TS spectrum diagnoses [eg, obsessive-compulsive disorder, ADHD]) as clinically determined by the investigator.
5. If medications are being used to treat TS symptoms and/or TS spectrum diagnoses, subjects must be on stable doses of these medications for a minimum of 30 days before Day 1 (baseline), and the medication regimen is expected to remain stable throughout the study period. The use of concomitant dopamine antagonists (eg, pimozide, haloperidol, aripiprazole) and/or tetrabenazine/deutetrabenazine to treat TS symptoms is prohibited. Other nondopaminergic tic suppression therapy (eg, clonidine, guanfacine) is allowed during the study period if the dose regimen has been stable for a minimum of 30 days before Day 1 (baseline).
6. Subjects of childbearing potential must agree to use contraception consistently from Day 1 (baseline) until 30 days (females) or 90 days (males) after the last dose of study drug. A female subject of childbearing potential is defined as a female capable of becoming pregnant, which includes subjects who have had their first menstrual cycle (ie, menarche) and are not surgically sterile (ie, bilateral oophorectomy, hysterectomy or bilateral tubal ligation for at least 3 months prior to Day 1 [baseline]). A male subject of childbearing potential is defined as a subject who has reached spermarche and has not been vasectomized for at least 3 months prior to Day 1 (baseline).

Acceptable methods of contraception include the following:

- Condom with spermicide (cream, spray, foam, gel, suppository, or polymer film).
- Diaphragm with spermicide (with or without condom).
- Cervical cap with spermicide (with or without condom).
- Vaginal sponge impregnated with spermicide used with a condom.
- Intrauterine device (IUD).
- Hormonal contraception taken for at least 3 months prior to Day 1 (baseline).

Subjects who practice total abstinence from sexual intercourse as the preferred lifestyle are not required to use contraception (periodic abstinence is not acceptable).

7. Female subjects of childbearing potential must have a negative serum  $\beta$ -human chorionic gonadotropin ( $\beta$ -hCG) pregnancy test at screening (if applicable) and negative urine pregnancy test on Day 1 (baseline).
8. Have a body weight (in kg) greater than or equal to the 5th percentile of his/her age- and gender-matched weight percentile on Day 1 (baseline).
9. Be in good general health and expected to complete the study as designed.
10. Be willing and able to adhere to the study regimen and study procedures described in the protocol and informed consent/assent forms, including all requirements at the study center and return for the final study visit.

## 8.2. Exclusion Criteria

Subjects will be excluded from the study if they:

1. Have an unstable medical condition or chronic disease (including significant neurological, hepatic, renal, cardiovascular, gastrointestinal, pulmonary, or endocrine disease), or malignancy that could confound interpretation of study outcome.
2. Had a medically significant illness within 30 days of Day 1 (baseline).
3. Have a history of substance (drug or alcohol) dependence or abuse within the 3 months before Day 1 (baseline), as defined in the Diagnostic and Statistical Manual of Mental Disorders (DSM) -IV (Substance Dependence or Abuse) or DSM-5 (Substance Use Disorder).
4. Have a significant risk of suicidal or violent behavior. Subjects with any lifetime suicidal behavior, or suicidal ideation of type 4 (active suicidal ideation with some intent to act, without specific plan) or type 5 (active suicidal ideation with specific plan and intent) in the past year based on the “Baseline/Screening version” of the Columbia Suicide Severity Rating Scale (C-SSRS) (assessed at baseline [Day 1]) will be excluded.
5. Have a known history of neuroleptic malignant syndrome.
6. Have a known history of long QT syndrome or cardiac arrhythmia.
7. Have a screening (if applicable) or Day 1 (baseline) average triplicate electrocardiogram (ECG) corrected QT interval using Fridericia's formula (QTcF) of >450 msec or the presence of any clinically significant cardiac abnormality.
8. Receive any excluded concomitant medication as detailed in [Section 9.6.1](#).
9. Excessive use of tobacco and/or nicotine-containing products (based on the investigator's assessment) within 30 days of Day 1 (baseline).
10. Have a hematologic malignancy or solid tumor diagnosed within 3 years prior to Day 1 (baseline), except for localized skin cancer or carcinoma in situ of the cervix.
11. Have received an investigational drug (other than NBI-98854) within 30 days before Day 1 (baseline) or 5 half-lives of the investigational drug (if known), whichever is longer, or plan to use an investigational drug (other than NBI-98854) during the study.
12. Have a blood loss  $\geq 250$  mL or donated blood within 56 days or donated plasma within 7 days of Day 1 (baseline).
13. Have an allergy, hypersensitivity, or intolerance to VMAT2 inhibitors (eg, tetrabenazine, deutetrabenazine).
14. Are currently participating in another NBI-98854 TS study.
15. Have a history of or suspected poor compliance in clinical research studies.
16. Have history of severe hepatic impairment or have chronic elevation of aspartate aminotransferase (AST) or alanine aminotransferase (ALT)  $>1.5$  times upper limit of normal (ULN).

**17. For subjects who require a screening visit:** Have serum creatinine levels greater than the ULN at screening, or aspartate aminotransferase (AST), alanine aminotransferase (ALT), gamma-glutamyl transferase (GGT), or total bilirubin  $>1.5$  times the ULN at screening. Subjects with a documented diagnosis of Gilbert's syndrome are not required to meet the bilirubin criteria.

### **8.3. Subject Identification and Replacement**

Subjects will be identified by their unique subject number and initials (first, middle, last; a hyphen may be used if the subject has no middle name). The subject initials and subject number will be noted on electronic case report forms (eCRFs), all source documentation, laboratory documents, and ECG tracings. Subjects who discontinue from the study will not be replaced.

### **8.4. Randomization**

This is an open-label study.

## **9. STUDY EVALUATIONS**

### **9.1. Schedule of Assessments**

A schedule of assessments that summarizes the frequency and timing of all assessments is provided in [Table 1](#). No protocol-related procedures should be performed before parental or legal guardian informed consent with signed and witnessed pediatric assent are provided for subjects  $\leq 17$  years of age, or written informed consent is provided for subjects 18 years of age. Subject-related events and activities including specific instructions, procedures, concomitant medications, dispensing of study drug, and descriptions of AEs should be recorded in the appropriate source documents and eCRFs.

**Table 1: Schedule of Assessments**

Procedure	Screening <sup>a</sup>	Baseline <sup>b</sup>	Open-Label NBI-98854 Treatment Period												
			2	4	8	12	16	20	24	36	48	60	72	84	96/ET <sup>c</sup>
Week	Day -28 to -1	Day 1	2	4	8	12	16	20	24	36	48	60	72	84	96/ET <sup>c</sup>
Visit <sup>d</sup>	1	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Informed consent/assent <sup>e</sup>	X	X <sup>f</sup>													
Inclusion/exclusion criteria	X	X													
Medical history	X	X													
Physical examination (including weight)	X	X				X			X			X			X
Height	X	X													
Vital signs	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
12-lead electrocardiogram <sup>g</sup>	X	X	X	X		X			X			X			X
Pregnancy test <sup>h</sup>	X (s)	X (s,u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)	X (u)
Clinical laboratory tests	X	X				X			X			X			X
C-SSRS <sup>i</sup>		X <sup>j</sup>	X	X	X	X	X	X	X	X	X	X	X	X	X
ESRS-A		X			X		X		X		X		X		X
CGI-Tics-Severity		X			X		X		X	X	X		X		X
CGI-TS-Improvement					X		X		X	X	X		X		X
C&A-GTS-QOL		X				X			X		X		X		X
Study drug dosing at home <sup>j</sup>		X	X	X	X	X	X	X	X	X	X	X	X	X	X
Dispense study drug		X	X	X	X	X	X	X	X	X	X	X	X	X	
Study drug accountability <sup>k</sup>			X	X	X	X	X	X	X	X	X	X	X	X	X
Adverse event monitoring	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Prior and concomitant medications	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Outpatient study center visit	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X

Definitions: C&A-GTS-QOL=Gilles de la Tourette Syndrome-Quality of Life for Children and Adolescents; CGI-Tics-Severity=Clinical Global Impression of Tics-Severity scale; CGI-TS-Improvement= Clinical Global Impression of Tourette Syndrome-Improvement scale; C-SSRS=Columbia-Suicide Severity Rating Scale; ESRS-A=Extrapyramidal Symptom Rating Scale-Abbreviated; ET=early termination; QTcF=corrected QT interval using Fridericia's formula; s=serum; u=urine.

Footnotes appear on the following page.

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- <sup>a</sup> Screening is not required for subjects who had their last NBI-98854-TS2004 or NBI-98854-TS2005 study visit within 30 days prior to baseline (Day 1).
- <sup>b</sup> Day 1 is the day of baseline assessments. Day 1 is also the first day of dosing; study drug will be administered at home at bedtime (under the supervision of parent/legal guardian for subjects  $\leq$ 17 years of age). For subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit on the same day as Day 1, the NBI-98854-TS2004 or NBI-98854-TS2005 assessments for physical examination (including weight), vital signs, ECG, ESRS-A, CGI-Tics-Severity, and C&A-GTS-QOL scale will be used for Day 1.
- <sup>c</sup> Final study visit for subjects who complete the study (or early termination).
- <sup>d</sup> The study center visits after Day 1 will have windows of  $\pm$  3 days for visits at Weeks 2 and 4,  $\pm$  5 days for visits at Weeks 8 through 24, and  $\pm$  14 days for visits at Weeks 36 through 96.
- <sup>e</sup> Parental or legal guardian informed consent with signed and witnessed pediatric assent for subjects  $\leq$ 17 years of age, written informed consent for subjects 18 years of age.
- <sup>f</sup> Not required for subjects who underwent screening.
- <sup>g</sup> A standard 12-lead ECG will be conducted in triplicate (at least 1 minute apart and within 15 minutes) after the subject has rested supine for at least 5 minutes. The ECG parameters will be based on the ECG machine readings (QTcF may be calculated).
- <sup>h</sup> Pregnancy tests are required for all females of childbearing potential. Serum pregnancy tests will be conducted at screening (if applicable) and Day 1. A urine pregnancy test will be conducted on Day 1 and at all subsequent visits. The urine pregnancy test result on Day 1 will be used to confirm eligibility.
- <sup>i</sup> The "Screening/Baseline" version will be administered on Day 1 and the "Since Last Visit" version will be used at all other timepoints.
- <sup>j</sup> Study drug will be administered once daily at the subject's bedtime at home (under the supervision of their parent/guardian for subjects  $\leq$ 17 years of age). The date and time of the first dose after a visit and the last dose prior to the next visit should be recorded on the provided subject reminder cards.
- <sup>k</sup> Subjects will return all used and unused study drug, and a compliance check will be performed by counting the capsules returned at each study visit.

## **9.2. Pharmacodynamic Assessments**

### **9.2.1. Clinical Global Impression Scales**

The CGI-Tics—Severity and Clinical Global Impression of Tourette Syndrome—Improvement scale (CGI-TS—Improvement) will be used to rate the subject's overall severity of tics and overall improvement of TS.

The CGI-Tics—Severity will be used to assess overall severity on a 7-point scale (range; 1=normal, not at all ill to 7=among the most extremely ill subjects). The CGI-Tics—Severity will be assessed by the investigator (or designee) on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), and at Weeks 8, 16, 24, 36, 48, 72, and at the final study visit (Week 96), or early termination. If possible, the same person should rate the CGI-Tics—Severity at all visits.

The CGI-TS—Improvement will be used to assess overall improvement since the initiation of study drug dosing on a 7-point scale (range; 1=very much improved to 7=very much worse). CGI-TS—Improvement will be assessed by the investigator (or designee) at Weeks 8, 16, 24, 36, 48, 72, and at the final study visit (Week 96), or early termination. If possible, the same person should rate the CGI-TS—Improvement at all visits.

### **9.2.2. Gilles de la Tourette Syndrome—Quality of Life for Children and Adolescents**

The C&A-GTS-QOL is a valid and reliable instrument to assess the quality of life in children and adolescents with TS ([Cavanna et al., 2013](#); [Su et al., 2017](#)). It consists of 27 items and 4 subscales (psychological, physical, obsessive-compulsive, and cognitive). Each item is rated across 5 response options: "Never," "Rarely," "Sometimes," "Often," and "Always." There are 2 versions of this instrument: 1 version for children aged 6 to 12 years and 1 version for adolescents aged 13 to 18 years. The C&A-GTS-QOL also includes a visual analog scale, assessing how satisfied the subject feels with his/her life (range of 0 to 100, with 100 representing the greatest satisfaction).

The subject will complete the C&A-GTS-QOL on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), and at Weeks 12, 24, 48, 72, and at the final study visit (Week 96), or early termination. The subject can receive assistance filling out the questionnaire if needed.

## **9.3. Safety Assessments**

Concomitant medication use and AEs will be monitored throughout the study as described in [Section 9.6.1](#) and [Section 11](#), respectively. Additional safety assessments are described in the following sections.

For any abnormal safety assessment deemed clinically significant, the investigator will perform appropriate follow-up assessments (eg, repeat analysis), until the cause of the abnormality is determined and/or until the value returns to baseline (or within normal limits), or the investigator deems the abnormality to be of no clinical significance.

Appropriate psychiatric evaluation and intervention will be provided for any treatment-emergent suicidal behavior or clinically significant suicidal ideation.

### **9.3.1. Data Safety Monitoring Board**

An independent DSMB will periodically review ongoing clinical safety data to ensure the safety and well-being of the study subjects. The safety data review may result in recommendation for early termination of the study or changes to the protocol and informed consent. A DSMB charter will describe the responsibilities, timing of meetings, and data review procedures for the members to follow.

### **9.3.2. Vital Sign Measurements**

Vital signs will include orthostatic systolic and diastolic blood pressure, orthostatic pulse rate, respiratory rate (recorded only supine), and oral body temperature. Blood pressure will be measured using a calibrated automatic blood pressure cuff after the subject has been supine for at least 5 minutes and after approximately 2 minutes of standing.

Vital sign measurements will be collected at screening (if applicable), on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), and at Weeks 2, 4, 8, 12, 16, 20, 24, 36, 48, 60, 72, 84, and at the final study visit (Week 96), or early termination. Vital sign measurements will be obtained before any scheduled blood sample collection.

### **9.3.3. Medical History**

A medical history will be taken at screening (if applicable) and on Day 1. The age at TS diagnosis will be documented for all subjects; if necessary, subject age at TS onset can be estimated by the investigator based upon available clinical information.

### **9.3.4. Physical Examination, Including Height and Weight**

The complete physical examination will consist of an assessment of general appearance, skin and mucosae, head, eyes, ears, nose, throat, neck (including thyroid), lymph nodes, chest/lungs, cardiovascular, abdomen, extremities, musculoskeletal, and neurological system. A complete physical examination including weight will be performed at screening (if applicable), on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), and at Weeks 12, 24, 60, and at the final study visit (Week 96), or early termination. Height will be measured at screening (if applicable) and on Day 1 (not required for subjects who underwent screening). Height and weight will be measured with subjects not wearing shoes.

### **9.3.5. Electrocardiogram**

A standard 12-lead ECG will be recorded in triplicate (at least 1 minute apart and within 15 minutes) after the subject has rested supine for at least 5 minutes. The ECG parameters that will be assessed include heart rate (HR), PR interval, QRS duration, corrected QT interval, and QTcF (machine readings or calculated). Additionally, the occurrence of de- and re-polarization and rhythm disorders or other abnormalities will be assessed. Based on the review of these parameters, the investigator or designee will note if the ECG is Normal, Abnormal not Clinically Significant, or Abnormal Clinically Significant. If the ECG is Abnormal Clinically Significant, the investigator or designee will provide a description of the abnormality recorded on the AE eCRF.

The 12-lead ECG recordings will be conducted at screening (if applicable), on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), and at Weeks 2, 4, 12, 24, 60, and at the final study visit (Week 96), or early termination.

#### **9.3.6. Clinical Laboratory Assessments**

All clinical laboratory assessments will be performed by a central laboratory, which will provide instructions and supplies to the study staff before study initiation. The instructions will be included in a laboratory manual. The laboratory test battery will include routine and screening laboratory tests.

Clinical safety laboratory assessments will be performed at screening (if applicable), on Day 1 (baseline), and at Weeks 12, 24, 60, and at the final study visit (Week 96), or early termination. There are no fasting requirements for laboratory assessments.

The following clinical safety laboratory assays will be performed:

Hematology: complete blood count including white blood cell (WBC) count with differential, red blood cell count, hemoglobin, hematocrit, platelet count, mean corpuscular hemoglobin (MCH), mean corpuscular hemoglobin concentration (MCHC), mean corpuscular volume (MCV), red cell distribution width (RDW), and mean platelet volume (MPV). A sample for glycated hemoglobin (HbA1c) will also be collected (an additional 2 mL sample).

Clinical Chemistry: sodium, potassium, calcium, magnesium, chloride, blood urea nitrogen, bicarbonate, creatinine, uric acid, albumin, alkaline phosphatase, lactate dehydrogenase, ALT, AST, GGT, creatine kinase, total bilirubin, total cholesterol, triglycerides, total protein, and glucose.

Urinalysis: specific gravity, nitrites, ketones, protein, urobilinogen, glucose, bilirubin, leukocyte esterase, occult blood, and pH; microscopic examination of sediment will be performed only if the results of the urinalysis dipstick evaluation are positive for nitrites, protein, leukocyte esterase, or blood.

The following additional laboratory tests will be performed:

Pregnancy Tests: A pregnancy test will be conducted for female subjects of childbearing potential. A serum pregnancy test will be conducted at screening (if applicable) and on Day 1 (baseline) and a urine pregnancy test will be conducted on Day 1 (baseline; to confirm eligibility), at Weeks 2, 4, 8, 12, 16, 20, 24, 36, 48, 60, 72, 84, and at the final study visit (Week 96), or early termination.

#### **9.3.7. Columbia-Suicide Severity Rating Scale Children's Versions**

The C-SSRS is a validated instrument to prospectively assess suicidal ideation and behavior (<http://www.cssrs.columbia.edu>). There are versions of the questionnaire designed for use at screening (Children's Baseline/Screening version) and at visits throughout the study (Children's Since Last Visit version). All versions of the C-SSRS include a series of screening questions related to suicidal ideation and suicidal behavior. Subject responses of "yes" to one or more screening questions will prompt additional questions that evaluate frequency and intensity of suicidal ideation and/or behavior. Subjects with any lifetime suicidal behavior or suicidal

ideation of type 4 (active suicidal ideation with some intent to act, without specific plan) or type 5 (active suicidal ideation with specific plan and intent) in the 1 year before Day 1 (baseline) based on the C-SSRS Children's Baseline/Screening version should be excluded (see exclusion criterion #4).

The C-SSRS will be administered and scored by the investigator or qualified study center personnel on Day 1 (baseline), at Weeks 2, 4, 8, 12, 16, 20, 24, 36, 48, 60, 72, 84, and at the final study visit (Week 96), or early termination.

### 9.3.8. Extrapiramidal Symptom Rating Scale-Abbreviated

The ESRS-A is a psychometrically validated instrument that assesses 4 types of movement disorders: parkinsonism, akathisia, dystonia, and dyskinesia ([Chouinard and Margolese, 2005](#)). The investigator (or designee) will administer the ESRS-A on Day 1 (baseline) (not required for subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 study visit on Day 1), at Weeks 8, 16, 24, 48, 72, and at the final study visit (Week 96), or early termination.

### 9.3.9. Estimated Total Blood Sample Volume Required by Study

The estimated total blood sample volume for each subject is presented in Table 2. These estimates include samples to be collected during the treatment period and the final study visit (Week 96 or upon early termination).

**Table 2: Estimated Total Blood Sample Volume**

Parameter	Number of Samples Required	Approximate Volume (mL)	Approximate Total Volume (mL)
Clinical chemistry <sup>a</sup>	6 <sup>b</sup>	5	30
Hematology	6 <sup>b</sup>	6	36
<b>Approximate Maximum Total Blood Sample Volume per Subject (mL):</b>			<b>66</b>

<sup>a</sup> Includes serum pregnancy test for female subjects who are of childbearing potential at screening (if applicable) and on Day 1 (baseline).

<sup>b</sup> Subjects who do not require screening will only require 5 samples.

## 9.4. Specific Study Information

### 9.4.1. Screening (Days -28 to -1)

Subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit >30 days prior to anticipated Day 1 (baseline) must undergo screening. These subjects will be screened to determine eligibility within 28 days (Days -28 to -1) before Day 1 (baseline).

During screening, the following study evaluations and tasks will be performed at the study center:

- Obtain informed consent/assent.
- Assess inclusion/exclusion criteria.
- Collect medical history.
- Perform a physical examination (including height and weight).

- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform 12-lead ECG in triplicate (at least 1 minute apart and within 15 minutes).
- Perform a serum pregnancy test ( $\beta$ -hCG) only for female subjects of childbearing potential.
- Collect blood sample for hematology and clinical chemistry.
- Collect urine sample for urinalysis.
- AE monitoring.
- Record prior medications.

All screening procedures must be completed and results must be evaluated by the investigator before the baseline procedures are performed on Day 1.

The following items will also be conducted at screening:

- Instruct subjects of childbearing potential who do not practice total abstinence to continue using contraception (see [inclusion criterion #6](#)).
- Eligible subjects will be instructed to return to the study center on Day 1. The following should be considered for scheduling purposes: as much as possible, visits should occur at approximately the same time as the Day 1 (baseline) visit to standardize the time of day for the assessment of PD and safety throughout the study period.

#### **9.4.2. Day 1 (Baseline Assessments and Start of Dosing)**

For subjects who have their final NBI-98854-TS2004 or NBI-98854-TS2005 visit on the same day as Day 1, the NBI-98854-TS2004 or NBI-98854-TS2005 assessments for physical examination (including weight), vital signs, ECG, ESRS-A, CGI-Tics-Severity, and C&A-GTS-QOL scale will be used for Day 1.

Subjects (and parent/legal guardian for subjects  $\leq$ 17 years of age) will return to the study center on Day 1.

On Day 1, the following baseline study evaluations and tasks will be performed at the study center:

- Obtain informed consent/assent (not required for subjects who underwent screening).
- Assess inclusion/exclusion criteria.
- Collect medical history.
- Perform a physical examination (including weight).
- Measure height (not required for subjects who underwent screening).
- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform 12-lead ECG in triplicate (at least 1 minute apart and within 15 minutes).
- Perform a serum pregnancy test ( $\beta$ -hCG) and a urine pregnancy test only for female subjects of childbearing potential.

- Collect blood sample for hematology and clinical chemistry.
- Collect urine sample for urinalysis.
- Administer the C-SSRS (Children's Screening/Baseline version).
- Administer the ESRS-A.
- Administer the CGI-Tics-Severity.
- Administer the C&A-GTS-QOL.
- Dispense a 2-week supply of study drug and provide instructions on storage and administration of the study drug.
- Instruct subjects to record the date and time of each dose on the labels provided on the study drug kit packaging form.
- Instruct subjects to begin taking study drug daily at bedtime (under supervision of their parent/legal guardian for subjects  $\leq 17$  years of age), beginning on Day 1. (The timing of study drug administration should remain consistent throughout the treatment period).
- Instruct subjects (and parent/legal guardian for subjects  $\leq 17$  years of age) to return to the study center at Week 2 (-7 to +2 days) and to bring their study drug kit.
- AE monitoring.
- Record concomitant medications.

The following will also be conducted before subjects may leave the study center:

- Instruct subjects of childbearing potential who do not practice total abstinence to continue using contraception (see [inclusion criterion #6](#)).
- Instruct subjects (and parent/legal guardian for subjects  $\leq 17$  years of age) to notify the investigator by telephone if they experience any AEs and before taking any new concomitant medications.

#### **9.4.3. Weeks 2 and 4 ( $\pm 3$ days)**

Subjects (and parent/legal guardian for subjects  $\leq 17$  years of age) will return to the study center at Weeks 2 and 4.

The following study evaluations and tasks will be performed at the study center:

- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform 12-lead ECG in triplicate (at least 1 minute apart and within 15 minutes).
- Perform a urine pregnancy test only for female subjects of childbearing potential.
- Administer the C-SSRS (Children's Since Last Visit version).
- Perform compliance check by counting the capsules returned.
- AE monitoring.
- Record concomitant medications.

## Dose Escalation Assessment

At the end of Week 2 and Week 4 visits, a dose escalation will occur based on the following 2 criteria: 1) the subject's tics are not sufficiently controlled per physician investigator assessment; and 2) an evaluation by the physician investigator indicates that the subject is tolerating the study drug at the current dose and would likely be able to tolerate the next dose level. Based on these criteria, the physician investigator will choose 1 of the following dosing options:

- Dose escalation, which will occur in 20 mg increments:
  - Subjects <50 kg at baseline: from 20 mg to 40 mg (Week 2); from 40 mg to 60 mg (Week 4).
  - Subjects  $\geq 50$  kg at baseline: from 40 mg to 60 mg (Week 2); from 60 mg to 80 mg (Week 4).
- Maintenance of current dose (with no further dose increases).
- Dose reduction to previous dose in subjects who have had a dose escalation (only a single dose reduction is allowed during the study). The physician investigator may decrease the dose to the previous dose at any time after the end of Week 2 (including between scheduled study visits) for any subject who is unable to tolerate a given dose increase. Subjects will receive this dose for the remainder of the treatment period.

Once a determination of dose escalation, maintenance, or reduction is made, the interactive web response system (IWR) will be accessed to obtain an identification number for a kit containing a 2- or 4-week supply of study drug to be dispensed to the subject.

The following will also be conducted before subjects may leave the study center:

- Instruct subjects of childbearing potential who do not practice total abstinence to continue using contraception (see [inclusion criterion #6](#)).
- Instruct subjects (and parent/legal guardian for subjects  $\leq 17$  years of age) to notify the investigator by telephone if they experience any AEs and before taking any new concomitant medications.
- Instruct subjects to record the date and time of each dose on the labels provided on the study drug packaging form.

### 9.4.4. Weeks 8, 16, 36, 48, and 72 ( $\pm 5$ days for Weeks 8 and 16, $\pm 14$ days for Weeks 36, 48, and 72)

Subjects (and parent/legal guardian for subjects  $\leq 17$  years of age) will return to the study center at Weeks 8, 16, 36, and 72.

The following study evaluations and tasks will be performed at the study center:

- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform a urine pregnancy test only for female subjects of childbearing potential.
- Administer the C-SSRS (Children's Since Last Visit version).

- Administer the ESRS-A (Weeks 8, 16, 48, and 72 only).
- Administer the CGI-Tics-Severity.
- Administer the CGI-TS-Improvement.
- Administer the C&A-GTS-QOL (Weeks 48 and 72 only).
- Dispense study drug.
- Perform compliance check by counting the capsules returned.
- AE monitoring.
- Record concomitant medications.

**9.4.5. Weeks 12, 20, 24, 60, and 84 ( $\pm$  5 days for Weeks 12, 20, and 24,  $\pm$  14 days for Weeks 60 and 84)**

Subjects (and parent/legal guardian for subjects  $\leq$ 17 years of age) will return to the study center at Weeks 12, 20, 24, 60, and 84.

The following study evaluations and tasks will be performed at the study center:

- Perform a physical examination including weight (Weeks 12, 24, and 60 only).
- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform 12-lead ECG in triplicate (at least 1 minute apart and within 15 minutes) (Weeks 12, 24, and 60 only).
- Perform a urine pregnancy test only for female subjects of childbearing potential.
- Collect blood sample for hematology and clinical chemistry (Weeks 12, 24, and 60 only).
- Collect urine sample for urinalysis (Weeks 12, 24, and 60 only).
- Administer the C-SSRS (Children's Since Last Visit version).
- Administer the ESRS-A (Week 24 only).
- Administer the CGI-Tics-Severity (Week 24 only).
- Administer the CGI-TS-Improvement (Week 24 only).
- Administer the C&A-GTS-QOL (Weeks 12 and 24 only).
- Dispense study drug.
- Perform compliance check by counting the capsules returned.
- AE monitoring.
- Record concomitant medications.

**9.4.6. Final Study Visit/Early Termination: Week 96 ( $\pm$  14 days)**

Subjects (and parent/legal guardian for subjects  $\leq$ 17 years of age) will return to the study center at Week 96 (or upon early termination) and the following study evaluations and tasks will be performed:

- Perform a physical examination including weight.

- Collect vital signs, including orthostatic systolic and diastolic blood pressures, orthostatic pulse rate, respiratory rate, and body temperature.
- Perform 12-lead ECG in triplicate (at least 1 minute apart and within 15 minutes).
- Perform a urine pregnancy test only for female subjects of childbearing potential.
- Collect blood sample for hematology and clinical chemistry.
- Collect urine sample for urinalysis.
- Administer the C-SSRS (Children's Since Last Visit version).
- Administer the ESRS-A.
- Administer the CGI-Tics-Severity.
- Administer the CGI-TS-Improvement.
- Administer the C&A-GTS-QOL.
- Perform compliance check by counting the capsules returned.
- AE monitoring.
- Record concomitant medications.

## **9.5. Study Duration**

The expected duration of study participation for each subject is up to 100 weeks, including up to 4 weeks of screening and up to 96 weeks of study drug treatment.

## **9.6. Prohibitions and Restrictions**

### **9.6.1. Prior and Concomitant Medications**

All prescription and over the counter (OTC) medications, including dietary and herbal supplements, taken by subjects during the 30 days before Day 1 (baseline) and during the study will be entered on the Prior and Concomitant Medications eCRF. Any additions, deletions, or changes in the dose of these medications will be entered on the eCRF with indication, dose, route, and dates of drug administration.

The following medications are prohibited from 14 days before Day 1 (baseline) (unless otherwise stated) until the final study visit (or upon early termination) as described below:

- Antiemetics: Metoclopramide, prochlorperazine, and promethazine.
- Botulinum toxin: Botulinum toxin injections are prohibited starting 90 days prior to Day 1 (baseline).
- CYP3A4 inducers: Strong inducers of CYP3A4 (eg, phenytoin, phenobarbital, rifabutin, rifampin, primidone, St. John's Wort, carbamazepine).
- CYP3A4 inhibitors: Strong inhibitors of CYP3A4 (eg, itraconazole, ketoconazole, clarithromycin).
- Dopamine agonists and precursors: Dopamine agonists (eg, ropinirole) and precursors (eg, carbidopa/levodopa).

- Dopamine antagonist: Dopamine antagonists (eg, pimozide, haloperidol, aripiprazole, risperidone, clozapine, olanzapine, ziprasidone). Depot neuroleptics are prohibited starting 15 weeks prior to Day 1 (baseline).
- Monoamine oxidase inhibitors (MAOIs): All MAOIs (eg, isocarboxazid, phenelzine, selegiline, tranylcypromine).
- VMAT2 inhibitors: VMAT2 inhibitor medications (eg, tetrabenazine, deutetrabenazine, reserpine), except for study drug.
- Cannabinoids
- As needed use of the following medications: anticholinergics, benzodiazepines, antipsychotics, psychostimulants, mood stabilizers, antidepressants, opiates, and strong CYP2D6 inhibitors.

#### **9.6.2. Dietary Restrictions**

Subjects are not permitted to consume more than 6 caffeine-containing beverages a day.

Alcohol is prohibited from 48 hours before Day 1 until the final study visit.

#### **9.6.3. Other Restrictions**

Excessive use of tobacco and other products containing nicotine (including nicotine gum and patches) are prohibited during the study (ie, from 30 days before Day 1 [baseline] to the final study visit or upon early termination). Subjects must agree not to donate blood during the study and for 4 weeks after completion of the study. Male subjects must agree to refrain from donating sperm during the study and for 90 days after the last dose of study drug.

### **9.7. Withdrawal Criteria**

Subjects are free to discontinue their participation in the study at any time. The investigator must withdraw any subject from the study if that subject requests to be withdrawn.

The investigator must withdraw the subject from the study if the subject experiences any of the following:

- If the type, frequency, or severity of any AE becomes unacceptable/intolerable.
- If the subject is unable to tolerate the starting dose or resumption of the previous dose.
- QTcF value >500 msec (cardiologist verified) on any ECG tracing.
- If the subject exhibits suicidal behavior, or suicidal ideation of type 4 (active suicidal ideation with some intent to act, without specific plan) or type 5 (active suicidal ideation with specific plan and intent) based on the C-SSRS.
- Is lost to follow-up.
- Subject is confirmed to be pregnant.

The investigator or NBI may withdraw the subject from the study for other reasons as described below. These should be discussed on a case-by-case basis with the NBI medical monitor (or designee) prior to withdrawing the subject from the study.

- Develops a clinically significant laboratory (eg, ALT or AST  $\geq 2.5$  times ULN) or ECG abnormality.
- Requires a medication that is prohibited by the protocol (refer to [Section 9.6.1](#)).
- Is non-compliant with the dosing regimen (<80% dosing compliance) as verified by drug accountability (Refer to [Section 10.6](#)).

All subjects prematurely discontinuing the study, regardless of cause, should have all early termination assessments performed (see [Section 9.4.6](#)).

#### **9.7.1. Handling of Withdrawals**

If a subject prematurely withdraws from the study, either at his/her request, at the request of the parent or legal guardian, or at the investigator's discretion, the investigator will record the reason for withdrawal on the relevant eCRF. All subjects who withdraw from the study prematurely should have all early termination assessments performed.

It is crucial to obtain follow-up data for any subject withdrawn because of an AE, abnormal laboratory test, vital sign measurement, physical examination, or ECG finding. In any case, every effort must be made to undertake safety follow-up procedures.

#### **9.7.2. Sponsor's Termination of Study**

NBI reserves the right to discontinue the study at any time for clinical or administrative reasons. Such a termination must be implemented by the investigator, if instructed to do so by NBI in a time frame that is compatible with the subjects' well-being.

### **10. STUDY DRUG**

#### **10.1. Study Drug Supplies**

NBI or its designee will provide the study centers with subject-specific study drug bottles sufficient for the completion of the treatment period of the study.

NBI-98854 will be supplied as 35 capsules in [REDACTED] containing 20 mg, 40 mg, 60 mg, or 80 mg of NBI-98854 (free base equivalent). The NBI-98854 capsules are: [REDACTED]  
[REDACTED]  
[REDACTED]

All subjects will receive the starting dose of study drug beginning on Day 1. At Weeks 2 and 4, subjects may have their dose increased based on protocol-specified efficacy and safety criteria. Dosing information is provided below.

Subjects <50 kg at baseline:

- Starting dose: 20 mg NBI-98854 (one 20 mg capsule)
- Week 2: 40 mg NBI-98854 (one 40 mg capsule)
- Week 4: 60 mg NBI-98854 (one 60 mg capsule)

Subjects  $\geq$ 50 kg at baseline:

- Starting dose: 40 mg NBI-98854 (one 40 mg capsule)
- Week 2: 60 mg NBI-98854 (one 60 mg capsule)
- Week 4: 80 mg NBI-98854 (one 80 mg capsule)

If a subject's optimal dose has already been established at Week 2, no further dose escalation will be allowed and the subject will continue at that dose until the end of the study. Subjects who had a dose escalation may have a dose reduction at any time.

## **10.2. Study Drug Storage**

NBI-98854 must be stored at controlled room temperature (CRT) (20°C to 25°C or 68°F to 77°F) under the conditions specified in the Investigator's Brochure and in a locked area accessible only to the pharmacist (or designee) until dispensing. Refer to the Investigational Product Plan for allowable excursions while in transit (if applicable) and in storage.

## **10.3. Study Drug Packaging and Labeling**

All packaging and labeling operations will be performed according to Good Manufacturing Practice (GMP) and GCP. Study drug will be sent to designated staff at the study center who must complete and return the Drug Supply Confirmation to NBI or its designee verifying the receipt of the drug.

Study drug will be supplied as 35 capsules in [REDACTED].

Label text will include, but is not limited to, the protocol number, dosage form, route of administration, sponsor name and address, storage condition, and the statement "Caution – New Drug: Limited by Federal (or US) Law to Investigational Use."

## **10.4. Blinding**

This is an open-label study.

## **10.5. Study Drug Administration**

Study drug will be administered once daily at bedtime at home (under the supervision of the subject's parent/legal guardian for subjects  $\leq$ 17 years of age) and the capsule must be swallowed with at least 4 oz. of water, with or without food, every day at approximately the same time for the 96-week treatment period. If a subject forgets or is unable to take the study drug on a given day, the subject should skip that dose and resume normal dosing the following day. Subjects or their parent/legal guardian will record the date and time of the first dose after a visit and the last dose prior to the next visit should be recorded on the provided subject reminder cards.

## **10.6. Drug Compliance and Accountability**

Subjects will bring all unused study drug and empty study drug packaging material to the center at each study visit for drug accountability and reconciliation by study center personnel. A compliance check will be performed by counting the capsules returned at each study visit.

The quantity of study drug dispensed, used, and returned will be recorded on a dispensing log or otherwise documented. The quantity of study drug lost or destroyed must also be accounted for and documented. The designated pharmacist or qualified personnel will be responsible for maintaining accurate records of the quantity and dates of all study drug supplies received, dispensed, and returned.

## **10.7. Study Drug Return**

Written documentation to account for study drug and study drug materials is mandatory; all unused study drug and study drug materials must be kept in a secure location for final accountability and reconciliation. Returned study drug and study drug material must be accounted for on a study drug return form provided by NBI or designee. The investigator must provide a written explanation for any destroyed or missing study drug or study drug materials.

Returns will be shipped to NBI or its designee at the completion of the study according to instructions provided by NBI or its designee. Study drug return forms must be completed for the shipment of returns and sent with the study drug and study drug materials. One copy of the study drug return form will be retained in the investigator's study file.

All returned study drug and study drug materials should be stored, inventoried, reconciled, and returned according to applicable state and federal regulations and study procedures.

# **11. ADVERSE EVENTS**

All AEs, whether observed by the investigator, reported by the subject, noted from laboratory findings, or identified by other means, will be recorded from the time the subject signed the ICF until the subject's final study visit (Week 96 or upon early termination).

## **11.1. Definition**

An AE is any untoward medical occurrence in a patient or clinical investigation subject administered a pharmaceutical product and which does not necessarily have to have a causal relationship with this treatment. An AE can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding, for example), symptom, or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product.

Adverse events include, but are not limited to: (1) a worsening or change in nature, severity, or frequency of conditions present at the start of the study; (2) subject deterioration due to primary illness; (3) intercurrent illness; and (4) drug interaction.

If at any time after the baseline visit (Day 1), the subject's response to the suicidal ideation section of the C-SSRS is worse than the baseline assessment it will be documented as an AE. All suicidal behaviors will be documented as an AE.

Subjects should be questioned in a general way, without asking about the occurrence of any specific symptom. The investigator should attempt to establish a diagnosis of the event based on signs, symptoms, and/or other clinical information. In such cases, the diagnosis should be documented as the AE and not the individual signs/symptoms. Following questioning and evaluation, all AEs, whether believed by the investigator to be related or unrelated to the study drug, must be documented in the subject's medical records, in accordance with the investigator's normal clinical practice and on the AE eCRF. Each AE is to be evaluated for duration, intensity, frequency, seriousness, outcome, other actions taken, and relationship to the study drug.

The following are not considered AEs:

- Continuous persistent disease/symptom present before drug administration, unless it unexpectedly progresses, or increases in severity following drug administration.
- Recurrence of TS symptoms, unless worsened from baseline.
- Pregnancy.

## **11.2. Intensity of Adverse Events**

Adverse events must be graded for intensity. An intensity category of mild, moderate, or severe, as defined in Table 3, must be entered on the AE eCRF. It should be noted that the term "severe" used to grade intensity is not synonymous with the term "serious."

**Table 3: Intensity of Adverse Events**

Grade	Intensity
<b>Mild</b>	An AE that is usually transient and may require only minimal treatment or therapeutic intervention. The event does not generally interfere with usual activities of daily living.
<b>Moderate</b>	An AE that is usually alleviated with additional specific therapeutic intervention. The event interferes with usual activities of daily living, causing discomfort but poses no significant or permanent risk of harm to the research participant.
<b>Severe</b>	An AE that interrupts usual activities of daily living, or significantly affects clinical status, or may require intensive therapeutic intervention.

## **11.3. Relationship to Study Drug**

The investigator will document his/her opinion of the relationship of the AE to treatment with study drug using the criteria outlined in **Table 4**. An AE is deemed associated with the use of the study drug "if there is a reasonable possibility that the drug caused the AE" (otherwise referred to as a suspected adverse reaction). Reasonable possibility means there is evidence to suggest a causal relationship between the drug and the AE (Title 21 CFR 312.32 [a]).

**Table 4: Relationship of Adverse Events to Study Drug**

Relationship	Description
Definite	A reaction that follows a reasonable temporal sequence from administration of the drug or in which the drug level has been established in body fluids or tissue; that follows a known or expected response pattern to the suspected drug; and that is confirmed by improvement on stopping or reducing the dosage of the drug, and reappearance of the reaction on repeated exposure.
Possible	An adverse event in which there is reasonable possibility that the drug caused the event. Reasonable possibility means there is evidence to suggest a causal relationship between the drug and the adverse event.
Unlikely	A reaction that follows a reasonable temporal sequence from administration of the drug; that follows a known or suspected response pattern to the suspected drug; but that could reasonably be explained by known characteristics of the subject's clinical state.
Not Related	Any event that does not meet the above criteria.

#### **11.4. Recording Adverse Events**

For enrolled subjects, each AE will be listed as a separate entry on an AE eCRF. Screen failure subjects will have AE information noted in the source documentation. The investigator (or designee) will provide information on dates and times of onset and resolution, intensity, seriousness, frequency, action(s) taken, changes in study drug usage, relationship to study drug, and outcome.

The following categories of medical events that could occur during participation in a clinical study must be reported within 24 hours to NBI or its designee:

- Serious adverse event, including death (Refer to [Section 11.6](#)).
- Pregnancy (refer to [Section 11.7](#)).
- Events of suicidal behavior or suicidal ideation of type 4 (active suicidal ideation with some intent to act, without specific plan) or type 5 (active suicidal ideation with specific plan and intent) based on the C-SSRS.

#### **11.5. Post-Study Follow-Up of Adverse Events**

All AEs, including clinically significant changes in ECGs, physical examination findings, or isolated clinically significant laboratory findings must be followed until the event resolves, the condition stabilizes, the event is otherwise explained, or the subject is lost to follow-up. If resolved, a resolution date should be documented on the eCRF.

Adverse events ongoing at the final visit or upon early termination will be followed for as long as necessary to adequately evaluate the subject's safety or until the event stabilizes or resolves or until the subject is lost to follow-up. The investigator is responsible for ensuring that follow up includes any supplemental investigations as may be indicated to elucidate the nature and/or causality of the AE. This may include additional laboratory tests or investigations, histopathological examinations, or consultation with other health care professionals, as is practical.

## **11.6. Serious Adverse Events**

All SAEs will be recorded from the time the subject has signed the ICF until 30 days after the last dose of study drug.

### **11.6.1. Definition of a Serious Adverse Event**

An SAE is any AE that results in any of the following outcomes:

- Death.
- A life-threatening AE. Life threatening means that the subject was, in the view of the investigator or sponsor, at immediate risk of death from the reaction as it occurred. It does not mean that hypothetically the event might have caused death if it occurred in a more serious form.
- Inpatient hospitalization or prolongation of existing hospitalization. Hospitalization for elective treatment or a preexisting condition that did not worsen during the clinical investigation is not considered an AE. Hospitalization or nursing home admission for the purpose of caregiver respite is not considered an AE. Complications that occur during hospitalization are AEs, and if a complication prolongs hospitalization, the event is considered serious. Treatment in a hospital emergency room is not a hospitalization.
- A persistent or significant incapacity or substantial disruption of a person's ability to conduct normal life functions.
- A congenital anomaly/birth defect.
- Important medical events that may not result in death, be life threatening, or require hospitalization. These events may be considered serious when, based on appropriate medical judgment, they may jeopardize the health of the subject and may require medical or surgical intervention to prevent one of the outcomes listed. Any other event thought by the investigator to be serious should also be reported, following the reporting requirements detailed in this section. Examples of such medical events include allergic bronchospasm requiring intensive treatment in an emergency room or at home, blood dyscrasias, convulsions that do not result in inpatient hospitalization, or the development of drug dependency or drug abuse.

### **11.6.2. Managing Serious Adverse Events**

Subjects experiencing an SAE or an emergency situation will be examined by a physician as soon as possible. The physician in attendance will do whatever is medically needed for the safety and well-being of the subject. The subject will remain under observation as long as medically indicated. Appropriate laboratory studies will be conducted until all parameters return to normal or are otherwise explained or stable. The subject will be followed until the SAE resolves or until the subject is medically stabilized. The investigator (or designee) will notify the NBI Medical Monitor (and the IRB, if necessary) immediately (within 24 hours) of the SAE and the outcome of the SAE.

If within the time of informed consent until 30 days after the last dose of study drug, an investigator becomes aware of an SAE, then the event must be documented and reported as described in [Section 11.6.3](#).

### **11.6.3. Reporting Serious Adverse Events and Other Immediately Reportable Events**

Serious AEs and other immediately reportable events (defined in [Section 11.4](#)) must be reported within 24 hours of first knowledge of the event by study personnel to the NBI Medical Monitor or NBI Drug Safety and Pharmacovigilance (DSPV) Department. Reports of SAEs or pregnancies should be followed by a fax or email of the SAE or Pregnancy Form. It is important that the investigator provides his or her assessment of relationship to study drug at the time of the initial SAE report.

For SAEs or Other Immediately Reportable Events, contact DSPV:

**DSPV telephone:** (866) 626-7792 or (858) 617-7792

**DSPV facsimile:** (888) 617-7551

**DSPV e-mail:** cds@neurocrine.com

**NBI Medical Monitor:**      **Telephone:** [REDACTED]

**Cell phone:** [REDACTED]

### **11.6.4. Expedited Safety Reports**

NBI or its representatives will submit an Expedited Safety Report for any suspected adverse reaction (as defined in [Section 11.3](#)) that is considered both serious and unexpected within 15 calendar days and for any unexpected fatal or life threatening experience within 7 calendar days to the applicable regulatory authority(ies); or according to country specific regulations.

NBI or its representatives will send copies of each safety report submitted to regulatory authorities to the investigators. The safety report must be submitted to the appropriate IRB as soon as possible. Documentation of the submission to the IRB and receipt by the IRB (if applicable) must be retained for each safety report.

## **11.7. Pregnancy**

Pregnancy is neither an AE nor an SAE unless the criteria for an SAE are met. However, all pregnancies in female subjects who received NBI-98854 will be followed to assess for congenital anomaly. Subjects must be counseled at all visits to continue using contraception (see [inclusion criterion #6](#)) until 30 days (females) or 90 days (males) after the last dose of study drug. If at any time between the time the subject signs the ICF and the last study visit a subject believes she is pregnant, the subject will be instructed to stop taking the study medication and return to the study center within 24 hours and undergo a serum pregnancy test to confirm pregnancy.

All confirmed pregnancies, in subjects who received study drug, must be immediately reported to NBI (refer to Section 11.6.3 for contact information), followed by fax or email of the pregnancy form to NBI DSPV. A first trimester ultrasound will be required for all confirmed pregnancies. Pregnancies in subjects who received NBI-98854 will be followed until resolution (ie, termination [voluntary or spontaneous] or birth).

## 12. DOCUMENTATION OF DATA

### 12.1. Case Report Form

The CRF data for this study are being collected with an electronic data capture (EDC) system [REDACTED]. The EDC system and the study-specific eCRFs will comply with Title 21 CFR Part 11. The documentation related to the validation of the EDC system is available through the vendor, [REDACTED], while the validation of the study specific eCRFs will be conducted by NBI and the required documentation will be maintained in the Trial Master File.

The investigator will document subject data in his/her own subject files. These subject files will serve as source data for the study. All eCRF data required by this protocol will be recorded by authorized study personnel in the EDC system, with the exception of data captured in an electronic format, which will be loaded electronically into the appropriate eCRFs. All data entered into the eCRF will be supported by source documentation. The eCRF for each subject must be reviewed by the investigator and signed on the appropriate eCRF page(s). This should be done as soon as possible after the subject completes the study.

The investigator or an authorized member of the investigator's staff will make any necessary additions/corrections to the eCRF. All change information, including the date, person performing the corrections, and reason for the change will be available via the electronic audit trail, which is part of the EDC system. The eCRFs will be reviewed periodically for completeness, legibility, and acceptability by NBI (or designee). NBI will also be allowed access to all source documents and medical records pertinent to the study in order to verify eCRF entries. The Principal Investigator will review the eCRFs for completeness and accuracy and enter his or her electronic signature on the eCRFs as evidence thereof.

[REDACTED] will provide access to the NBI portal of the EDC system for the duration of the study through a password-protected method of internet access. Such access will be removed from investigator sites at the end of the site's participation in the study. Data from the EDC system will be archived on appropriate data media (CD ROM, etc.) and provided to the investigator at that time as a durable record of the site's eCRF data. Although not required, the investigator may make paper printouts from that media.

All clinical work conducted under this protocol is subject to GCP regulations. This includes an inspection by NBI and/or health authority representatives at any time. The Principal Investigator will agree to the inspection of study-related records by health authority representatives and/or NBI.

### 12.2. Data Capture, Review, and Validation

Data entered in the EDC system will be verified against the source data by NBI (or designee). Any discrepancies will be corrected on-line by authorized site personnel. After completion of the entry process, automated (computer-generated) logic checks will run in order to identify items such as inconsistent study dates. In addition, manual review/checks may be performed by NBI on the data. Any inconsistencies/errors/omissions identified will be sent to the site (via an electronic query) for the necessary corrections to be made to the eCRF. Once entered and saved in an eCRF, data immediately become part of the study database and are available to NBI.

### **12.3. Coding Dictionaries**

Adverse events and medical history will be coded using the chosen version of the Medical Dictionary for Regulatory Activities (MedDRA). Prior and concomitant medications will be coded using the chosen version of the World Health Organization Drug Dictionary (WHO Drug).

## **13. STATISTICAL AND ANALYTICAL PLAN**

Descriptive statistical methods will be used to evaluate and summarize the data from this study. The term “descriptive statistics” refers to the number of subjects (n), mean, median, standard deviation (SD), standard error of the mean (SEM), minimum, and maximum for continuous and ordinal categorical variables; and refers to the number and percentage of subjects for categorical variables. Descriptive statistics will be presented for each weight group (<50 kg and  $\geq$ 50 kg) (note that additional summaries may be specified in the detailed statistical analysis plan [SAP]).

The analysis plan provided in this protocol represents a brief description of the planned analyses. The comprehensive SAP will be generated prior to final study database lock. The SAP may include additional analyses and data summaries not described in this protocol.

### **13.1. Analysis Sets**

A single analysis set, the safety analysis set, will be defined for this study. The safety analysis set will include all subjects who take at least 1 dose of study drug and have any postdosing safety data.

### **13.2. Sample Size**

The sample size for this open-label safety study is based on practical considerations and not on a statistical power calculation.

### **13.3. Handling of Missing Data**

Conventions for the handling of missing data will be described in the SAP.

### **13.4. Enrollment and Disposition of Subjects**

The summary of subject enrollment and disposition will display the number of subjects who were enrolled and who completed the study. The number of subjects who did not complete the study will also be summarized, both overall and according to the reason for early discontinuation.

### **13.5. Demographics and Baseline Characteristics**

Demographic data (age, gender, race, and ethnicity) and baseline characteristics (including height, weight, body mass index [BMI], and age at TS diagnosis) will be summarized with descriptive statistics. Medical history will be summarized according to MedDRA System Organ Class (SOC) and Preferred Term (PT).

### **13.6. Study Drug Dosing and Compliance**

The number and percentage of subjects who are dose compliant (at least 80% of expected number of doses taken) will be summarized with descriptive statistics by visit.

The number and percentage of subjects with dose adjustments will be summarized.

### **13.7. Pharmacodynamic Data**

The PD measures in this study include the CGI-Tics-Severity, CGI-TS-Improvement, and C&A-GTS-QOL. For each PD measure, descriptive statistics will be presented for each visit and for the changes from Day 1 (baseline) to each postbaseline visit (other than CGI-TS-Improvement, which is not measured at baseline).

The SAP will provide a full description of the derived variables that will be summarized for these PD measures.

### **13.8. Safety Data**

Treatment-emergent adverse events (TEAEs), categorized by MedDRA SOC and/or PT will be summarized in frequency tables. The TEAE summary tables will include the number and percentage of unique subjects experiencing each event. Summary tables will be presented for all TEAEs, severe TEAEs, TEAEs leading to study drug dose reduction, TEAEs leading to early discontinuation from the study, and SAEs.

An AE overview summary table will be provided which summarizes the number and percentage of subjects with any TEAE, any TEAE leading to study drug dose reduction, any TEAE leading to study discontinuation, any SAE, and any TEAE resulting in death. The summary table will also include the maximum TEAE intensity (mild, moderate, severe) reported for each subject.

Clinical laboratory, vital signs, ECG, C-SSRS, and ESRS-A data will be summarized with descriptive statistics. Potentially clinically significant (PCS) values for selected clinical laboratory and vital signs variables will be summarized. Prior and concomitant medications will be summarized according to WHO Drug Anatomical Therapeutic Chemical Classification (ATC) categories.

### **13.9. Software**

Statistical calculations and summaries will be generated using [REDACTED]

[REDACTED].

### **13.10. Interim Analysis**

An interim analysis is not planned for this study.

## **14. REGULATORY AND ETHICAL ISSUES**

### **14.1. General Legal References**

The study will be carried out according to the provision of the US CFR, the US FDA, and the ICH Guidelines for GCP. All clinical work conducted under this protocol is subject to GCP regulations. This includes an inspection by NBI or its representative, health authority, or IRB representatives at any time. The investigator must agree to the inspection of study-related records by health authority representatives and/or NBI or its designee.

### **14.2. Institutional Review Board**

The final approved protocol, the ICF, and assent document will be reviewed by the IRB for the clinical site. The committee's decision concerning conduct of the study will be sent in writing to the investigator and a copy will be forwarded to NBI. The investigator must agree to make any required progress reports to the IRB, as well as reports of SAEs, life-threatening problems, or death.

### **14.3. Protocol Adherence and Amendments**

The protocol must be read thoroughly and the instructions must be followed exactly. Any changes in the protocol will require a formal amendment. Such amendments will be agreed upon and approved in writing by the investigator and NBI. The IRB will be notified of all amendments to the protocol. Amendments to the protocol will not be implemented until written IRB approval has been received.

### **14.4. Required Documents**

The investigator must provide to NBI or its representatives the following documents before the enrollment of any subject (originals should be kept by the investigator in the investigator's regulatory document binder):

- Signed copy of the approved protocol.
- Investigator's Brochure acknowledgement page.
- Completed and signed statement of investigator (Form FDA 1572).
- Curriculum vitae and current medical license of the investigator and subinvestigators.
- Financial disclosure information as required.
- Letter of approval from the IRB for the protocol, consent form, and assent form.
- Copy of the IRB approved written ICF and assent to be used.
- Laboratory documents (certifications/accreditations, normal ranges) if not provided by a central laboratory.

### **14.5. Informed Consent**

Subjects' parent or legal guardian will provide informed consent with signed and witnessed pediatric assent before the performance of any study-related procedures for subjects  $\leq 17$  years

of age. Subjects 18 years of age will provide their written informed consent before the performance of any study-related procedures.

Each subject's chart will include the signed ICF with signed and witnessed pediatric assent for study participation. When the study treatment is completed and the eCRF has been monitored, the ICF and signed and witnessed pediatric assent will be kept in the investigator's central study file. Regulatory authorities may check the existence of the signed ICF and the signed and witnessed pediatric assent in this central study folder.

#### **14.6. Study Monitoring**

Throughout the course of the study, the study monitor will make frequent contacts with the investigator. This will include emails, telephone calls, and on-site visits. During the on-site visits, the eCRFs will be reviewed for completeness and adherence to the protocol. As part of the data audit, source documents will be made available for review by the study monitor. The study monitor will also perform drug accountability checks and may periodically request review of the investigator study file to ensure completeness of documentation in all respects of clinical study conduct.

Upon completion of the study, the study monitor will arrange for a final review of the study files after which the files should be secured for the appropriate time period. The investigator or appointed delegate will receive the study monitor during these on-site visits, will cooperate in providing the documents for inspection, and respond to inquiries. In addition, the investigator will permit inspection of the study files by authorized representatives of the regulatory agencies.

#### **14.7. Quality Assurance**

The study will be conducted in accordance with NBI's standard operating procedures designed to ensure that all procedures are in compliance with GCP and FDA Guidelines, and according to national law. Quality assurance audits may be performed at the discretion of NBI.

#### **14.8. Record Retention**

Federal regulations require that records of drug disposition, eCRFs, and all reports of this investigation shall be retained by the investigator for a minimum of 2 years after notification by NBI that the regulatory authorities have been notified of the study's termination, or 2 years after approval of the marketing application. If the investigator is unable to retain the study documents for the required amount of time, NBI must be informed of the individual who will be assuming this responsibility.

#### **14.9. Confidentiality**

NBI and the clinical site affirm and uphold the principle of the subject's right to protection against invasion of privacy. Throughout this study, all data will be identified only by an identification number and, where applicable, subject's initials and birth date.

All information concerning this study and which was not previously published is considered confidential information. This confidential information shall remain the sole property of NBI; it shall not be disclosed to others without written consent of NBI; and shall not be used except in the performance of this study.

The information compiled during the conduct of this clinical study is also considered confidential and may be disclosed and/or used only by NBI as deemed necessary. To allow the use of the information derived from this clinical study and to ensure compliance with current federal regulations, the investigator is obliged to furnish NBI with the complete test results and all data compiled in this study.

## **15. STUDY COMMENCEMENT AND DISCONTINUATION**

Upon satisfactory receipt of all required regulatory documents, NBI (or designee) will arrange that all study material be delivered to the study center. Subject entry should not begin until after the required regulatory documents are confirmed as received and the Investigator Meeting/Initiation Meeting has occurred. All personnel expected to be involved in the conduct of the study will undergo orientation to include review of study protocol, instructions for eCRF completion, AE reporting, and overall responsibilities including those for drug accountability and study file maintenance.

If the study is discontinued, all subjects should undergo a complete follow-up examination. Any clinically relevant finding, including laboratory values of potential clinical concern, and adverse experiences will be followed until they resolve or return to a clinically acceptable level.

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