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10 **Longevity of Multi-Slitted Catheter,**
11 **the Convatec Inset II with Lantern Technology**
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78 **CHAPTER 1: Introduction**

79 **Study Objective**

80 To determine if the Convatec Inset II with Lantern technology (Convatec Lantern)
81 infusion set with multi-slotted catheter, will have a longer time to failure than a previous
82 infusion set without side ports, the Inset II/Mio.

83 **Background and Rationale**

84 The Diabetes Control and Complications Trial (DCCT) demonstrated that intensive glucose
85 control delays the onset and slows the progression of retinopathy, nephropathy, neuropathy
86 and cardiovascular disease for people with type 1 diabetes [1-2]. Multiple trials have shown
87 improvements in glycemic control and a lower incidence of hypoglycemia in adults and
88 children with diabetes who use insulin pump therapy [3-6]. The weak link in pump therapy
89 is the infusion set that is inserted under the skin. It can become occluded or kinked and
90 local inflammation and infection around the site can result in insufficient insulin delivery and
91 high glucose levels which can lead to diabetic ketoacidosis [7].

92 Current infusion sets are approved for 2-3 days of wear [8-10], however, sensors are
93 approved for 10 days of wear with greatest accuracy after the first day [11]. Thus, any
94 attempt to create a combined sensor and insulin infusion set requires an infusion set
95 with greater longevity. With the advent of closed-loop control, patients are required to
96 wear both a sensor and insulin infusion set [12]. Out of 1006 people wearing a CGM,
97 27% discontinued CGM use after one year and 33% said this was because they did not
98 want to have devices on two sites of their body [13-14]. Finding multiple, comfortable
99 sites can be particularly challenging with younger children who have a smaller body
100 surface area. Two groups have attempted to combine a sensor and infusion set into
101 one device but it was not practical due to limited infusion set longevity [15-16].

102 When the sensor is in one location and the infusion set is in another, it means two
103 separate insertions for the patient, and frequent reapplication of adhesive tapes to keep
104 both devices in place. When the adhesive tape is removed a layer of epidermis is also
105 removed, which is one of the natural barriers to infections. When subjects are wearing
106 multiple devices with multiple adhesives there is also an increased risk for adhesive
107 tape allergies (**Figure 1**). There would be a significant benefit to combining an insulin
108 infusion set with a continuous glucose sensor if they both could be worn for 7-10 days.

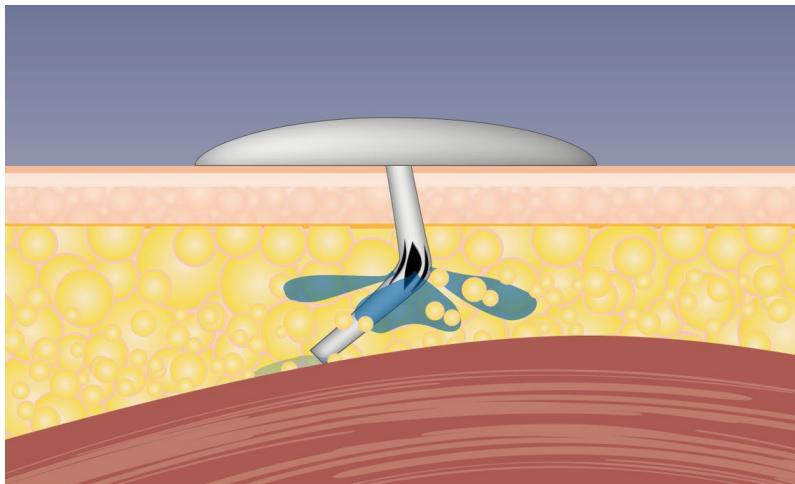


109
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113
114 **Figure 1.** Children wearing sensor and infusion set with limited subcutaneous sites, leading to
115 overcrowding and skin reactions
116

117
118 There are very few studies published on extended use of infusion sets beyond three
119 days. Bode and colleagues studied the safety and efficacy of Novolog insulin in 19
120 subjects used the same infusion set tubing for 7 days and overall, no negative effects
121 on glycemic control were observed during this period but it was noted that serum
122 glucose levels began to increase the longer the infusion set was used [17]. There have
123 been three published randomized trials assessing length of infusion set wear beyond 3
124 days. One study compared using insulin lispro to insulin aspart for 5 days of infusion set
125 wear [18]. There was no difference between the two insulins, with an average length of
126 infusion set wear of 98 and 96 hours, respectively. The researchers reported increased
127 glucose levels after 48 hours of wearing an infusion set and recommended changing
128 infusion sets every 2 days. We conducted a randomized, open-label, crossover study of
129 20 subjects who wore Teflon catheter (Quick-Set) and steel catheter (Sure-T) infusions
130 set for 38 weeks and 39 weeks respectively with no difference in the survival curves of
131 the infusion sets. The mean duration of wear was 5.5 days with a standard deviation of
132 1.9 days. Intersubject variation and the majority of failures were secondary to
133 uncorrectable hyperglycemia which indicates insulin flow occlusions [19]. Another
134 multicenter, crossover trial showed no difference in infusion set survival or mean
135 glucose in 20 type 1 diabetes subjects wearing the sets in either lipohypertrophied and
136 nonlipohypertrophied tissue [20].
137

138 **Etiology of Infusion Set Failures**

139 The underlying cause of intersubject variation in infusion set wear time is unknown but
140 in the prior infusion set studies time to failure was primarily associated with
141 hyperglycemia which did not improve with correction dose of insulin. In most of these
142 subjects, there was no overt back-pressure error from the pump. "Silent occlusions"
143 would be the most likely causative factor, and the additional slitted openings would
144 reduce the risk of silent occlusions and subsequent hyperglycemia (**Figure 2**). The
145 Lantern is designed to reduce failures due to kinking. Other less common causes for
146 set failure would probably not be affected by the Lantern; these include 10-15% being
147 pulled out by accident (tubing caught on a door handle for example), 6-12% from loss of
148 adhesiveness, 5-13% removed for pain and 5-10% for erythema/induration concerning
149 for infection. Approximately 30% of subjects reporting erythema/induration also
150 reported pain [19-20, unpublished data]. In addition, this will be the first infusion set
151 study to assess attitudes towards diabetes technology, which could also correlate with
152 time to failure and explain some of the intersubject variability.

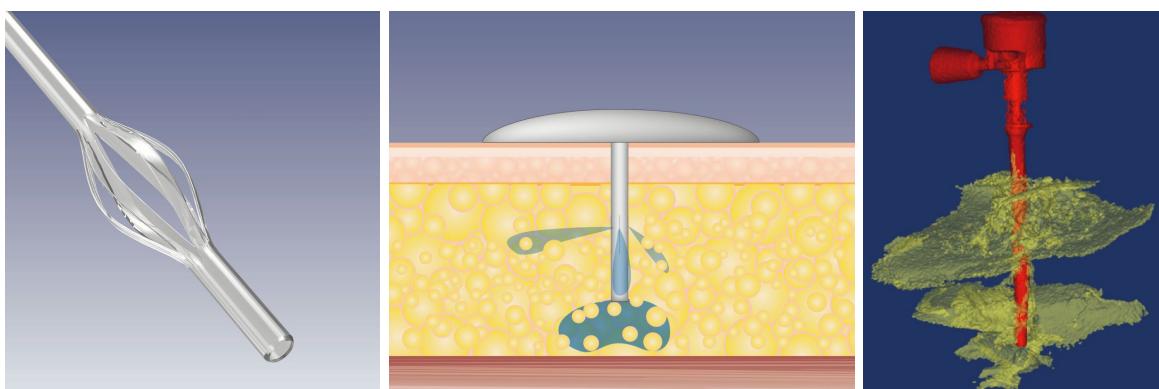


153
154 **Figure 2.** Lantern catheter being kinked by underlying muscle, but insulin continues to be delivered
155 through side openings.

156

157 **Preliminary Data**

158 The single use infusion set is similar to the already CE approved Inset II infusion set
159 with the addition of below features, and consists of an insertion device with introducer
160 needle that inserts the soft cannula into the skin. The intervention infusion set presents
161 a hydrophilic coated soft cannula with Lantern Technology – 6 slits (**Figure 3**).
162



163
164 **Figure 3.** Lantern catheter (left) demonstration of increased surface area (middle) and μ CT
165 reconstruction of insulin delivery from an occluded catheter in human skin explant (right).

166

167

168 Materials in fluid paths has been tested with insulin lispro, aspart, glulisine and regular
169 human insulin. A swine study conducted at the Medical University of Graz compared
170 the immune response to insulin infusion systems of different materials over a course of
171 7 days of wear time in 10 female farm swine. The manufacturer analyzed the
172 inflammatory response to 2 commercially available catheters (Teflon and steel) and 3
173 Teflon prototypes that were implanted into the subcutaneous adipose tissue for 1 day, 4
174 days and 7 days. Two of the three prototypes were coated with passive coatings, which
175 suppress the inflammatory response without releasing an anti-inflammatory agent. The
176 third prototype was a new all-in-one catheter design (blinded for investigator) that did
177 not require a separate inserter. The impact of an anti-inflammatory passive coatings on
178 the foreign body response was evaluated. All catheters were tested for a wear time of 1

179 day, 4 days and 7 days. Tissue was explanted and immune cells and connective tissue
180 were stained using standard histopathological methods. This study indicated potential
181 beneficial effects of passive anti-inflammatory coatings for insulin infusion catheters.
182

183 Another study conducted at the Joanneum Research Institute, Graz assessed the
184 insulin distribution in subcutaneous adipose tissue when using infusion set with Lantern
185 Technology. The infusion set was inserted into fresh human adipose tissue explants
186 with the aim to investigate the effect of catheter designs on the formation of
187 subcutaneous insulin depots. The study showed that the full functionality of the novel
188 insulin catheter is guaranteed independent of whether the catheter is open, kinked or
189 distally occluded. Therefore, it is not necessary to replace a kinked catheter or a
190 catheter with a clogged tip from the viewpoint of proper insulin delivery, which reduces
191 the incidence of unexplained hyperglycemia and even ketoacidosis which can be
192 associated with infusion set failures, as well as decreasing the discomfort for patients of
193 inserting multiple infusion catheters as a result of early failure. Study investigators
194 concluded that the new infusion catheter can provide a valuable contribution to patients'
195 well-being and safety.
196

197 In data that will be presented at the American Diabetes Association 2018 meeting,
198 glucose clamp studies were conducted in Graz among 16 patients with type 1 diabetes
199 (age 44.2 ± 15.4 years, BMI 24.5 ± 2.3 kg/m 2 , HbA1c 55 ± 8 mmol/mol, diabetes
200 duration 20 ± 9 years) with type 1 diabetes. All 16 patients wore the set for 7 days
201 without severe hypoglycemia or diabetic ketoacidosis. Geometric means of maximum
202 glucose infusion rates were comparable for days 1, 4 and 7. This preliminary data
203 strongly supports the safety of this infusion set and the need for real world testing of
204 longevity.
205

206 **Protocol Synopsis**

207 Part 1: Pilot Safety and Extended Wear Tolerability Study

208 This is a pilot study to obtain preliminary data upon which to base the length of wear for
209 the second part of the study. The study is not intended for registration purposes or to
210 support a 510(k) submission. The study will be conducted at one site: Stanford
211 University.
212

213 This study will enroll 24 subjects to establish the maximum length of Lantern infusion
214 set wear when 80% of sets are still functional (excluding accidental "pull-outs"). We will
215 plan for a staged enrollment, the first 10 subjects will be 18 or older. If there are no
216 untoward effects we will begin enrolling participants age 15-18. Each participant will
217 place the set and wear it for 10 days or until set failure and data will be collected on the
218 cause of set failure. If a set is accidentally pulled out, it can be replaced by the subject.
219 Subjects will either complete a daily online questionnaire or they will be contacted daily
220 by research staff to determine if a set has failed, the cause of failure, and to record
221 measured erythema and edema at a failed infusion site. Failures are based on:
222

- 223 1. Presence of serum ketones with hyperglycemia
- 224 2. Unexplained hyperglycemia
3. Signs of infection at the infusion site

225 4. Pump occlusion alarm
226 5. Adhesive failure

227
228 Since infusion set failures will occur after variable lengths of wear, regularly scheduled
229 visits are unlikely to capture the day of an infusion set failure. Instead the subject will be
230 taught how to insert the set, measure erythema and induration with a ruler marked in
231 millimeters and to take a picture of the infusion site. Subjects will be instructed to text
232 the study team when they remove their infusion set and to send a picture of the infusion
233 site and measurements. If there is any evidence of an infection (≥ 10 mm of erythema or
234 induration), they will be asked to come in that day for an unscheduled visit.

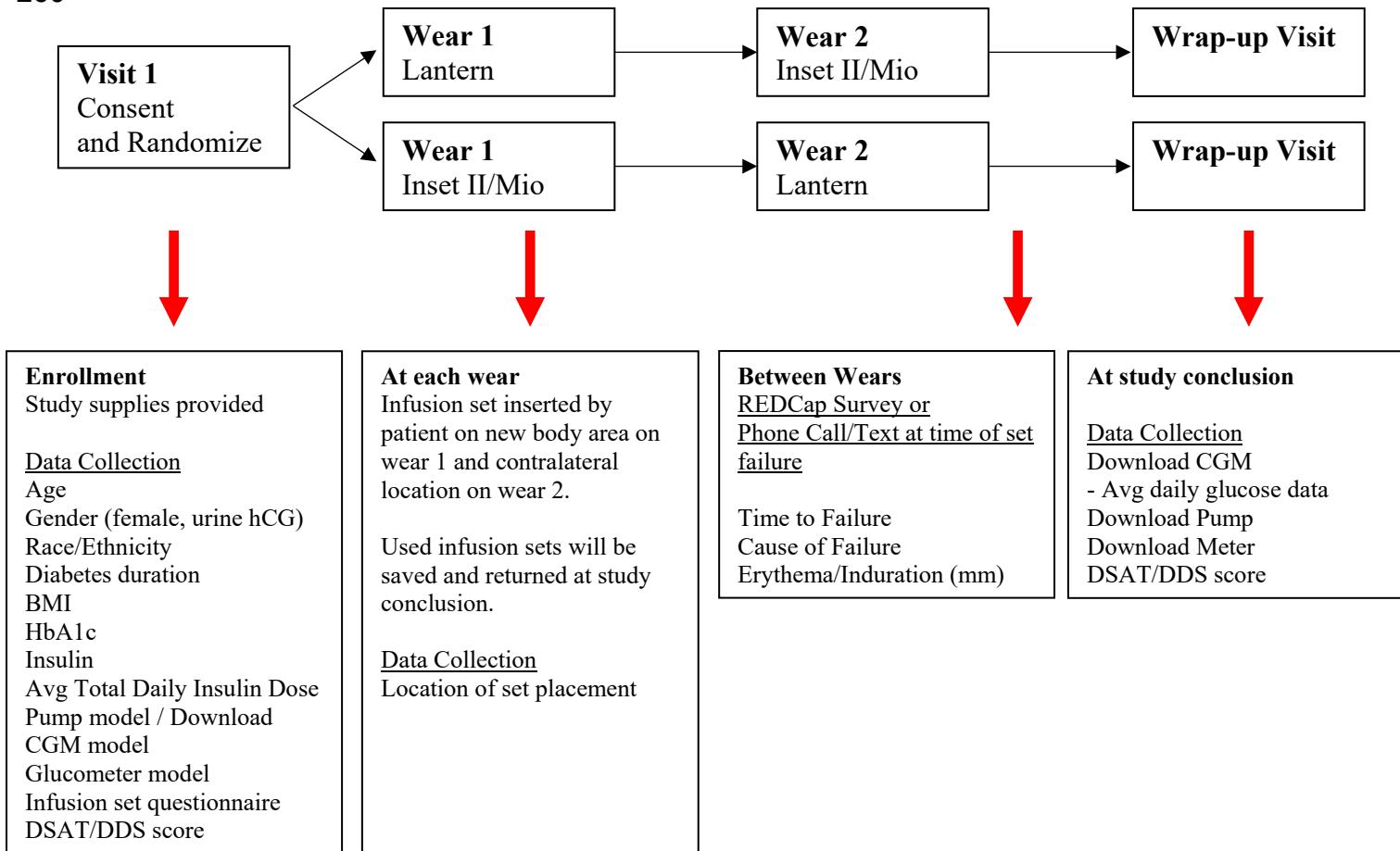
235
236 Part 2: Extended Wear Randomized Controlled Crossover Study

237 This will be a masked, randomized controlled crossover study at Stanford University
238 (**Figure 4**). The study is intended for registration purposes and to support a 510(k)
239 submission. The planned duration of wear and visit schedule will be determined by the
240 results of Part 1. Participants, age 15 and over, will be randomized to wear the masked
241 investigational or control infusion set first. The control set is the Mio (used with
242 Medtronic pumps) or Inset II (used with other pump models) which are the precursors to
243 the Convatec Lantern and do not contain slits. Each participant will wear both the
244 investigational and control infusion set. Subjects will act as their own control.

245
246 At home subjects will examine their infusion site when they are changing an infusion set
247 and assess for signs of bleeding, local tissue reaction or infection. They will record
248 redness, induration, and bruising in mm, and call one of the investigators if there is
249 more than 10 mm of erythema or induration. They will be given a ruler for making these
250 measurements. If there is more than 3 mm of induration or redness they will be asked to
251 take a picture and enter these measurements and send a text to the study coordinator.

252
253 They will be instructed to replace the infusion set for:

254 1. Presence of serum ketones with hyperglycemia
255 2. Unexplained hyperglycemia
256 3. Signs of infection at the infusion site
257 4. Pump occlusion alarm
258 5. Adhesive failure

261 **Figure 4.** Study Diagram

262

263 **General Considerations**

264 The study is being conducted in compliance with the policies described in the study
 265 policies document, with the ethical principles that have their origin in the Declaration of
 266 Helsinki, with the protocol described herein, and with the standards of Good Clinical
 267 Practice.

268 **CHAPTER 2: Subject Enrollment and Study Initiation**

269 **Study Enrollment and Duration**

270 Part 1: 24 subjects – Maximum of 10 days of wearing a single Lantern infusion set.

271 Part 2: 40 subjects – Each subject will wear control (Inset II/Mio) and experimental
272 (Lantern) infusion set for the number of days determined from Part 1

273 **Eligibility Criteria**

274 To be eligible for the study, a subject must meet the following criteria:

- 275 1. Age 15 and over
- 276 2. On tethered insulin pump therapy with any rapid acting insulin, for at least 3
277 months
- 278 3. Hemoglobin A1c level less than or equal to 10%
- 279 4. Eating more than 60 grams of carbohydrate each day
- 280 5. For females, not currently known to be pregnant
- 281 6. Understanding and willingness to follow the protocol and sign informed consent
- 282 7. Willingness to wear the experimental infusion sets
- 283 8. Willingness to have photographs taken of their infusion sites
- 284 9. Ability to speak, read and write in the language of the investigators

285 **Exclusion Criteria**

286 The presence of any of the following is an exclusion for the study:

- 287 1. Diabetic ketoacidosis in the past 3 months
- 288 2. Severe hypoglycemia resulting in seizure or loss of consciousness within 3
289 months prior to enrollment
- 290 3. Pregnant or lactating
- 291 4. Known tape allergies
- 292 5. Active infection
- 293 6. A known medical condition that in the judgment of the investigator might interfere
294 with the completion of the protocol
- 295 7. Known cardiovascular events in the last 6 months
- 296 8. Known acute proliferative diabetic retinopathy
- 297 9. Known adrenal disorder
- 298 10. Current treatment for a seizure disorder
- 299 11. Inpatient psychiatric treatment in the past 6 months
- 300 12. Lack of stability on medication 1 month prior to enrollment including
301 antihypertensive, thyroid, anti-depressant or lipid lowering medication.
- 302 13. Use of SGLT inhibitor
- 303 14. Suspected drug or alcohol abuse
- 304 15. Dialysis or end stage kidney disease

305 Note: Adequately treated thyroid disease and celiac disease do not exclude subjects
306 from enrollment.

312 **Recruitment Plan**

313 We will contact subjects using our existing database of patients who have consented to
314 be contacted about future diabetes research studies. A short description of the present
315 study will be given to them via email, phone or in-person. We will also recruit subjects at
316 the time of their scheduled appointments. A one-page brochure will be prepared and
317 sent to providers who have referred patients to us in the past. Information on the study
318 will also be posted on our website, and the information provided on the brochure will
319 also be made available to diabetes support groups in our area. If a person expresses
320 interest, then the study will be presented in detail. The study will be eligible to people
321 with type 1 diabetes who are not currently enrolled in other intervention studies.
322 Subjects participating in a registry study (no interventions) may participate.

323

324 **Informed Consent Plan and HIPAA Authorization**

325 The subject will be allowed sufficient time to read over the IRB approved consent form
326 and given opportunity to have all questions answered. The consent will contain a brief
327 description of the research project, as well as the procedures and treatments to be
328 undertaken, and the risks of each treatment and procedure. Consent form will be
329 obtained by delegated research staff. The PI and study staff will be available to fully
330 discuss consent with the subjects as needed. Subjects have the right to withdraw at
331 any time during the study. For eligible subjects, the study will be discussed with the
332 subject (and parent/legal guardian if the subject is a minor, referred to subsequently as
333 'parent'). The subject/parent will be provided with the Informed Consent Form to read
334 and will be given the opportunity to ask questions. If the subject agrees to participate,
335 the Informed Consent Form will be signed. A copy of the consent form will be provided
336 to the subject and another copy will be added to the subject's clinic chart. Written
337 informed consent must be obtained from the subject prior to performing any study-
338 specific procedures that are not part of the subject's routine care not part of the
339 subject's routine care.

340

341 **Eligibility Assessment and Baseline Data Collection**

342 Potential subjects will be evaluated for study eligibility through the elicitation of a
343 medical history, performance of a physical examination by study personnel. A history
344 will be elicited from the subject and additional information may be extracted from
345 available medical records. The history will include the subject's diabetes history, current
346 diabetes management including insulin pump download, other past and current medical
347 problems, past and current medications, and drug allergies. A diabetes focused
348 physical exam (including vital signs and height and weight measurements) will be
349 performed by the study investigator or designee (an attending physician, fellow, nurse
350 practitioner or a physician assistant). Particular attention will be given to insulin infusion
351 sites for chronic and acute skin and subcutaneous changes including reactions to
352 adhesives, lipohypertrophy, subcutaneous nodules and scarring. Participants will
353 receive a questionnaire regarding experiences with infusion sets. We will also perform
354 previously validated questionnaires, the "Diabetes-Specific Attitudes about Technology
355 Use" (DSAT) [21] and "Diabetes Distress Scale" (DDS) [22].

357 HbA1c level will be measured using on site point-of-care testing. HbA1c measurements
358 performed as part of usual clinical care within 2 weeks prior to obtaining informed
359 consent for participation in the trial may be used. In female participants a urine
360 pregnancy test will be performed.

361

362 Authorization Procedures

363 As part of the informed consent process, each subject (and parent for minors) will be
364 asked to sign an authorization for release of personal information. The investigator, or
365 his or her designee, will review what study specific information will be collected and to
366 whom that information will be disclosed. After speaking with the subject (and parent for
367 minors), questions will be answered about the details regarding authorization.

368 **CHAPTER 3: Protocol Procedures**

369

370 **Part 1: Pilot Safety and Extended Wear Tolerability Study**

371 First Visit

372 *Informed Consent Process:* The protocol will be reviewed with the subjects, they will be
373 given time to read the informed consent and ask any questions about the study.

374 Demographic information for eligibility including age, gender, diabetes duration and
375 duration of pump use will be collected.

376

377 *History and physical exam:* Race/ethnicity will be recorded, as well as information about
378 diabetes care including diabetes duration, insulin (Aspart, Lispro, Fiasp or Glulisine),
379 average total daily insulin dose, carbohydrate consumption, pump model, CGM model,
380 glucometer model and infusion set questionnaire. Physical exam will be performed
381 including vital signs, height, weight, BMI and examination of skin where infusion set,
382 and sensor will be inserted.

383

384 *Laboratory Studies:* Point-of-care hemoglobin A1c, and pregnancy test for all females.

385

386 *Initiation visit and placement of infusion set:* At the enrollment visit, subjects are
387 provided with study supplies that they do not already have, including Dexcom G6 CGM,
388 glucometer, and Precision Xtra ketone meters. Glucometers will be tested with control
389 solutions by study staff to ensure accuracy before being provided to patients. Education
390 will be provided on the use of these devices. Subjects will continue to use their
391 personal insulin pump and insulin as usual. The patient's insulin pump will be
392 downloaded. Infusion sets will be placed in a suitable subcutaneous location without
393 lipohypertrophy by the patient. If a set failure occurs prior to 10 days, they will return to
394 using their usual infusion set. If a set fails within 24 hours of insertion, it will be replaced
395 and the second set worn up to 10 days. As in the prior studies [19-20], participants will
396 be contacted by the study investigators to collect data regarding the site
397 (erythema/induration measured in millimeters) and set failure on a daily basis, either
398 through REDCap, a text message exchange, or a phone call.

399

400 Home Monitoring

401 *Training on home procedures:* Subjects will use Dexcom G6 according to manufacturer
402 labeling. While the G6 system is FDA approved for non-adjunctive use without
403 calibration, we will ask patients to confirm their blood glucose with their study
404 glucometer prior to removing an infusion set. Subjects will be instructed to check blood
405 ketones using a blood ketone meter if there is unexplained hyperglycemia. Unexplained
406 hyperglycemia refers to high glucose levels outside of the usual times they may occur,
407 such as in the initial few hours following a meal. If the CGM stops functioning during the
408 study, the subjects will insert a new sensor which will be provided to them. If there is an
409 accidental removal of an infusion set the participant will have access to a replacement
410 which he or she will be taught to place.

411

412 *Determination of an infusion set failure:*

413 They will be instructed to replace their infusion set, in the following situations.

414 1. The presence of serum ketones >0.6 mmol/L with meter glucose >250 mg/dL, in
415 the absence of illness, vigorous fat burning exercise, starvation or alcohol.
416 2. Unexplained hyperglycemia, >250mg/dL, outside anticipated periods of
417 carbohydrate consumption, illness, missed insulin doses and menstruation. This
418 hyperglycemia cannot be reduced with appropriate correction doses of insulin
419 (such as a decrease of at least 50mg/dL in 1 hour following a correction dose).
420 3. Signs of infection at the infusion site including pain, erythema or induration >10
421 mm in diameter.
422 4. Pump occlusion alarm
423 5. Adhesive failure (only the adhesive on the infusion set will be used)

424
425 *Recording of infusion site information:* At home they will examine their infusion site each
426 day for signs of redness and/or induration. If they detect redness or induration they will
427 record them in mm and send a text to study staff. At the time an infusion set is removed
428 they will record redness and induration in millimeters, and call one of the investigators if
429 there is more than 10 millimeters of erythema or induration. They will be given a ruler
430 for making these measurements. Subjects will record the time and date that infusion
431 sets or sensors are removed, and document why they removed the set and take a
432 picture of the infusion site after the infusion set is removed if there is >3 mm of
433 erythema. The picture and infusion site measurements will be sent via text or e-mail to
434 study staff, if there is not concern about infection.

435
436 **Final Visit**

437 Within fourteen days after the study infusion set was inserted, subjects will have their
438 final study visit. At the final study visit all infusion sets, glucose sensors, study pumps
439 and transmitters provided by the study will be returned.

440
441 **Part 2: Extended Wear Randomized Controlled Crossover Study**

442 **First Visit**

443 *Informed Consent Process:* The protocol will be reviewed with the subjects, they will be
444 given time to read the informed consent and ask any questions about the study.

445 Demographic information for eligibility including age, gender, diabetes duration and
446 duration of pump use will be collected.

447
448 *History and physical exam:* Race/ethnicity will be recorded, as well as information about
449 diabetes care including diabetes duration, insulin (Aspart, Lispro, Fiasp or Glulisine),
450 average total daily insulin dose, carbohydrate consumption, pump model, CGM model,
451 glucometer model and infusion set questionnaire. DSAT and DDS questionnaires will
452 be performed to assess attitudes towards diabetes technology. Physical exam will be
453 performed including vital signs, height, weight, BMI and examination of skin where
454 infusion sets and sensors will be inserted.

455
456 *Laboratory Studies:* Point-of-care hemoglobin A1c, and pregnancy test for all females.

457
458 *Initiation visit and placement of infusion set:* Subjects will be block randomized to initially
459 wear a Lantern infusion set or an Inset II/Mio (standard infusion set). At the enrollment

460 visit, subjects are provided with study supplies that they do not already have, including
461 Dexcom G6 CGM, glucometer, and Precision Xtra ketone meters. Glucometers will be
462 tested by study staff to ensure accuracy before being provided to patients. Education
463 will be provided on the use of these devices. Subjects will continue to use their
464 personal insulin pump and insulin as usual. The patient's insulin pump will be
465 downloaded. Subjects will be instructed on inserting both infusion sets, which share a
466 common insertion procedure. Infusion sets will be placed in a suitable subcutaneous
467 location without ipsilateral or contralateral lipohypertrophy by the patient. Duration of
468 wear will be determined from study part 1. If a set fails within 24 hours it will be
469 replaced with a research infusion set for the study arm they are assigned to. If a set
470 failure occurs after 24 hours, they will return to using their usual infusion set.
471

472 Home Monitoring

473 *Training on home procedures:* Subjects will use Dexcom G6 according to manufacturer
474 labeling. While the G6 system is FDA approved for non-adjunctive use without
475 calibration, we will ask patients to confirm blood glucose with glucometer prior to
476 removing an infusion set. Subjects will be instructed to check blood ketones using a
477 blood ketone meter if there is unexplained hyperglycemia. Unexplained hyperglycemia
478 refers to high glucose levels outside of the usual times they may occur, such as in the
479 initial few hours following a meal. If the CGM stops functioning during the study, the
480 subjects will insert a new sensor which will be provided to them. If there is an
481 accidental removal of an infusion set the participant will have access to a replacement
482 which he or she will be taught to place.
483

484 *Determination of an infusion set failure:*

485 They will be instructed to replace the infusion set, in the following situations.

- 486 1. The presence of serum ketones >0.6 mmol/L with meter glucose >250 mg/dL, in
487 the absence of illness, vigorous fat burning exercise, starvation or alcohol.
- 488 2. Unexplained hyperglycemia, >250 mg/dL, outside anticipated periods of
489 carbohydrate consumption, illness, missed insulin doses and menstruation. This
490 hyperglycemia cannot be reduced with appropriate correction doses of insulin
491 (such as a decrease of at least 50 mg/dL in 1 hour following a correction dose).
- 492 3. Signs of infection at the infusion site including pain, erythema or induration >10
493 mm in diameter.
- 494 4. Pump occlusion alarm
- 495 5. Adhesive failure (only the adhesive on the infusion set will be used)

496
497 *Recording of infusion site information:* At home they will exam their infusion site each
498 day for signs of redness and/or induration. If they detect redness or induration they will
499 record them in mm and send a text to study staff. At the time an infusion set is removed
500 they will record redness and induration in millimeters, and call one of the investigators if
501 there is more than 10 millimeters of erythema or induration. They will be given a ruler
502 for making these measurements. Subjects will record the time and date that infusion
503 sets or sensors are removed, and document why they removed the set and take a
504 picture of the infusion site after the infusion set is removed if there is ≥ 3 mm of

505 induration or erythema. The picture and infusion site measurements will be sent via text
506 or e-mail to study staff, if there is not concern about infection.

507

508 *Second infusion set placement:* After the duration of wear established from study part 1
509 elapses the patient will place the second infusion set type in the contralateral body area.
510 The same failure criteria and reporting will be used for the other set.

511

512 Final Visit

513 After the last infusion set was inserted, subjects will have their final study visit to
514 evaluate the infusion site. The insertion sites will be examined, erythema, induration and
515 local skin reaction will be measured and photographs taken for any significant changes.
516 Their insulin pump, CGM, blood glucose and ketone meter will be downloaded. All
517 infusion sets, glucose sensors, study pumps and transmitters provided by the study will
518 be returned. Additionally, the DSAT and DDS questionnaires will be repeated.

519 **CHAPTER 4: Adverse Event Reporting and Protocol Monitoring**

520 **Definition**

521 A reportable adverse event is any untoward medical occurrence that meets criteria for a
522 serious adverse event or any unexpected medical occurrence in a study subject that is
523 study or device-related. Skin irritation from sensor wear will be recorded in specific
524 sections of the case report forms. An adverse event form is only completed if skin
525 irritation is severe or antibiotics are required.

526

527 Hypoglycemic events are recorded as Adverse Events if the event required assistance
528 of another person due to altered consciousness to actively administer carbohydrate,
529 glucagon, or other resuscitative actions. This means that the subject was impaired
530 cognitively to the point that he/she was unable to treat him or herself, was unable to
531 verbalize his or her needs, was incoherent, disoriented, and/or combative, or
532 experienced seizure or coma. These episodes may be associated with sufficient
533 neuroglycopenia to induce seizure or coma. If plasma glucose measurements are not
534 available during such an event, neurological recovery attributable to the restoration of
535 plasma glucose to normal is considered sufficient evidence that the event was induced
536 by a low plasma glucose concentration.

537

538 Hyperglycemic events are recorded as Adverse Events if the event involved diabetic
539 ketoacidosis, as defined by the DCCT, and had all of the following:

- 540 1. Symptoms such as polyuria, polydipsia, nausea, or vomiting
- 541 2. Serum ketones greater than 1.6 mM, or large/moderate urine ketones
- 542 3. Either arterial blood pH <7.30 or venous pH <7.24 or serum bicarbonate <15
- 543 4. Treatment provided in a health care facility

544

545 **Recording of Adverse Events**

546 Throughout the course of the study, all efforts will be made to remain alert to possible
547 adverse events or untoward findings. The first concern will be the safety of the subject,
548 and appropriate medical intervention will be made.

549

550 The investigator will elicit reports of adverse events from the subject at each visit and
551 complete all adverse event forms online. Each adverse event form is reviewed by the
552 Coordinating Center to verify the coding and the reporting that is required.

553

554 The study investigator will assess the relationship of any adverse event to be related or
555 unrelated by determining if there is a reasonable possibility that the adverse event may
556 have been caused by the study device or study procedures.

557

558 The intensity of adverse events will be rated on a three point scale: (1) mild, (2)
559 moderate, or (3) severe. It is emphasized that the term severe is a measure of
560 intensity: thus a severe adverse event is not necessarily serious. For example, itching
561 for several days may be rated as severe, but may not be clinically serious.

562

563

564 Adverse events that continue after the participant's discontinuation or completion of the
565 study will be followed until their medical outcome is determined or until no further
566 change in the condition is expected.

567

568 Adverse events will be coded using the MedDRA dictionary.

569

570 Definitions of relationship and intensity are listed on the website data entry form.

571

572 Adverse events that continue after the subject's discontinuation or completion of the
573 study will be followed until their medical outcome is determined or until no further
574 change in the condition is expected.

575

576 **Reporting Serious or Unexpected Adverse Events**

577 A serious adverse event is any untoward occurrence that: Results in death; is life-
578 threatening (a non life-threatening event which, had it been more severe, might have
579 become life-threatening, is not necessarily considered a serious adverse event);
580 requires inpatient hospitalization or prolongation of existing hospitalization; results in a
581 disability or permanent damage which causes a substantial disruption of a person's
582 ability to conduct normal life functions; results in a congenital anomaly/birth defect;
583 requires intervention to prevent permanent impairment or damage (Devices); or any
584 other serious (Important Medical Event) which may jeopardize the patient and may
585 require medical or surgical intervention (treatment) to prevent a serious adverse event.

586

587 An Unanticipated Adverse Device Event is defined as an adverse event caused by, or
588 associated with, a device, if that effect or problem was not previously identified in
589 nature, severity, or degree of incidence.

590

591 Serious or unexpected adverse events must be reported to the Principal Investigator
592 immediately.

593 Bruce Buckingham, M.D.
594 Division of Endocrinology and Diabetes
595 780 Welch Road, Room CJ320H
596 Palo Alto, CA 94305
597 Office Phone 650-725-6549
598 Office Fax 650-736-6690

599

600 The principle investigator will notify all participating investigators of any adverse device
601 event that is both serious and unexpected. Notification will be made within 10 days after
602 becoming aware of the event.

603

604 Each investigator is responsible for informing his/her IRB of serious study-related
605 adverse events and abiding by any other reporting requirements specific to their IRB.

606

607 If a serious or unexpected adverse event occurs, the Principal Investigator will prepare
608 a report to the study sponsor within 5 days, and a report will also be sent to the IRB and
609 FDA within 10 days if the adverse event is serious or an unexpected device event. The

610 principal investigators will meet to decide the appropriate action to be taken, i.e.
611 continue study unmodified, modify study or stop study.

612

613 **Potential Risks and Side Effects**

614 Local Reaction

615 With insulin infusion sets there is a low risk for developing local skin infections which
616 may be increased by the prolonged wear of infusion sets from the recommended 3 days
617 to 10 days for this study. Itchiness, redness, bleeding, and bruising at the insertion site
618 might also occur. Local tape allergy is a possibility as well. These risks may also be
619 increased when patients use insulin infusion sets for longer than 3 days.

620

621 Invasive Testing

622 All subjects will be required to perform fingerstick blood glucose tests and fingerstick for
623 measurement of HbA1c. While part of routine diabetes care, this can be painful and
624 distressing to some patients. There is a very slight risk of infection and bruising at the
625 site of the fingerstick.

626

627 Hypoglycemia

628 As with any person having insulin-dependent diabetes, there is always a risk of having a
629 low blood sugar (hypoglycemia). The frequency of nocturnal hypoglycemia should be
630 no more than it would be as part of daily living with diabetes. Symptoms of
631 hypoglycemia can include sweating, jitteriness, and not feeling well. Just as at home,
632 there is the possibility of loss of consciousness or seizures (convulsions) and that for a
633 few days you may not be as aware of symptoms of low blood sugar. Even if severe low
634 blood sugar does occur, it almost always goes away quickly with treatment to raise the
635 blood sugar.

636

637 Hyperglycemia

638 Since we are asking subjects to wear an insulin infusion set for 10 days, there will be a
639 higher risk for hyperglycemia occurring than would occur if infusion sets were changed
640 every three days. A delayed change in an infusion set could therefore cause an
641 additional episode of hyperglycemia with extended infusion set wear. Since subjects
642 will be performing frequent monitoring of their blood glucose and monitoring blood
643 ketone levels in the event of hyperglycemia, these events should be of limited duration
644 and ketosis should be detected early and easily treated.

645

646 **Protection against Risks and Treatment of Side Effects**

647 Subjects will be given descriptions of possible local side effects with insulin infusion sets
648 or sensor insertion sets. They will be told to contact the study staff if they see any signs
649 of a skin reaction. Based on the severity of local skin reaction, topical anti-inflammatory
650 medications can be used (such as topical steroids).

651

652 Subjects will be instructed on the signs and symptoms of hypoglycemia and
653 hyperglycemia, and appropriate management to correct these states. They will be
654 instructed in the use of glucagon emergency kit for reversal of severe hypoglycemia.
655 For hyperglycemia, they will be instructed to ensure the insulin pump is appropriately

656 functioning, and there are no obstructions in the insulin infusion set. They will also be
657 instructed to check serum ketones. If hyperglycemia persists, they will be instructed to
658 use subcutaneous insulin injections, and change to a new insulin infusion set.

659

660 All subjects that wish to have a topical anesthetic applied prior to insulin infusion set and
661 Continuous Glucose Monitoring (CGM) sensor insertion will have this option available to
662 them.

663

664 **Other Risks**

665 Some subjects may develop skin irritation or allergic reactions to the adhesives used to
666 secure the CGM sensor or infusion set. If these reactions occur, different adhesives or
667 "under-taping" (such as with IV 3000, Tegaderm, etc.) will be tried, sites will be rotated
668 frequently, and a mild topical steroid cream or other medication may be required.

669

670 Whenever the skin is broken there is the possibility of an infection. The CGM sensor
671 and insulin infusion set sites are inserted under the skin. It is possible that any part that
672 is inserted under the skin may cause an infection. These occur infrequently, but, if an
673 infection was to occur, oral and/or topical antibiotics can be used, and they generally
674 respond quickly to a short course of oral antibiotics such as cefaclor. The risk of skin
675 problems could be greater if a sensor or infusion set is used for extended periods of
676 time. Therefore participants will be carefully instructed about daily inspection of their
677 sensor and infusion set sites.

678

679 Data downloaded from the CGM sensor, insulin pump, and the home glucose and
680 ketone meters will be collected for the study as measures of diabetes self-management
681 behaviors. Some people may be uncomfortable with the researchers having such
682 detailed information about their daily diabetes habits.

683 **CHAPTER 5: Miscellaneous Considerations**

684

685 **Potential Benefits**

686 Continuous Glucose Monitoring (CGM) data will provide each subject individualized
687 information about their glucose response to variables such as meals and exercise that
688 may help in their management of diabetes.

689

690 The ability to wear an infusion set for extended periods may help decrease local skin
691 reactions from the frequent insertion and removal of infusion sets which results in
692 removal of portions of the top layer of the epidermis which may lead to a higher risk of
693 infections if a new infusion set or sensor is inserted into this area before the epidermis is
694 completely healed.

695

696 If there is less unexplained hyperglycemia and fewer episodes of ketosis with prolonged
697 infusion set wear as a result of the Lantern infusion set, this would be a significant
698 benefit to all people using insulin infusion pumps.

699

700 If the Lantern infusion set could extend the use of a subcutaneous infusion sets to 7-10
701 days, this would allow of the future development of a combination infusion set and
702 continuous glucose sensor. Such a platform would play an integral role in the
703 development of a simplified closed-loop artificial pancreas system.

704

705 **Subject Compensation**

706 Subjects will be compensated \$50 for each outpatient study visit and \$20 for each entry
707 into REDCap or contact with a study investigator. Maximum payment of \$280 for all
708 study visits in Part 1 and \$530 for participating in Part 2. Compensation of partial
709 participation will be prorated.

710

711 **Subject Withdrawal**

712 Participation in the study is voluntary, and a subject may withdraw at any time. The
713 investigator may withdraw a subject who is not complying with the protocol. For subjects
714 who withdraw, their data will be used up until the time of withdrawal.

715

716 **Subject Discontinuation Criteria**

717 Subjects who become pregnant will be discontinued from the study. The investigator
718 may withdraw a subject who is not complying with the protocol. Withdrawal of a subject
719 will be considered for the following reasons:

720

721

722

723

1. Failure to monitor their sensor and infusion sites on a daily basis
2. Developing >1.0 mmol/L ketones on 2 or more occasions and failing to change
their infusion set or a single episode of diabetic ketoacidosis due to an infusion
site failure

724

725 For subjects who withdraw or who are withdrawn, their data will be used for analysis
726 purposes up until the time of withdrawal.

728 **Confidentiality**
729 For security and confidentiality purposes, subjects will be assigned an identifier that will
730 be used instead of their name. De-identified subject information may be provided to the
731 funder.

732
733 **Level of Risk**

734 This research proposal in children is consistent with United States Department of Health
735 and Human Services, Protection of Human Subjects, Subpart D, Section 46.404
736 (Research not involving more than minimal risk).

737
738 **Planned Duration of the Entire Study**
739

Planned duration of the entire study will be 12 months.

740 **CHAPTER 6: Statistical Considerations**

741

742 **Part 1**

743 Before beginning a larger cross-over study we would perform this pilot study to
744 determine the maximum length of Lantern infusion set wear when 80% are still
745 functional if failure was not due the infusion set being accidentally pulled out. This study
746 is not statistically powered.

747

748 **Part 2**

749 Primary Outcome

750 Our primary outcome will be time to failure of each infusion set. We have reviewed 353
751 weeks of infusion set wear from prior studies [19-20, unpublished data] and subjects
752 who wear the control set have an average length of wear of 5.1 days with a standard
753 deviation of 1.7 days and intra-subject correlation of 0.69. For a meaningful clinical
754 benefit, the subjects who wear the treatment set should extend wear by at least 1 day to
755 an average length of wear of 6.1 days. A sample size of 40 subjects will provide 95%
756 power to detect a difference of 1 day in the average length of wear in a two-sided test
757 with an alpha level of 0.05. A minimum of 25 subjects must be recruited for 80% power.

758

759 Exploratory Outcomes

760 Other outcomes will include – BMI, cause of infusion set failure, measurements of
761 erythema and induration, pump insulin delivery data, glucometer readings, ketone meter
762 data, average daily CGM blood glucose, DSAT/DDS score and infusion set
763 questionnaire.

764

765 We will summarize the other secondary measures using means, standard deviation,
766 medians, ranges, proportions and 95% confidence interval, as appropriate. We will also
767 explore the relationship between BMI, DSAT/DDS score and the time to failure primary
768 outcome. Finally, we will test if there is a significant change in the DSAT/DDS score
769 following participation in the clinical trial.

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