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3 **Manipulation Under Anesthesia (MUA) to Treat Postoperative**  
4 **Stiffness after Total Knee Arthroplasty:**  
5 **A Multicenter Randomized Clinical Trial**

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## 46 INTRODUCTION

47 Stiffness after total knee arthroplasty (TKA) occurs when patients have difficulty flexing and  
48 extending their knee for routine activities of daily living. Patients require 67 degrees of knee  
49 flexion during the swing phase of gait, 83 degrees to ascend stairs, 90 degrees to descend stairs  
50 and 93 degrees to rise from a standard chair.<sup>1</sup> When less than 90 degrees of motion is reached  
51 after TKA, the quality of life for patients is substantially decreased since simple activities like  
52 walking can become difficult.<sup>2 3</sup>

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54 Despite advancements in surgical technique, implant design and pain management, arthrofibrosis  
55 (i.e., malignant stiffness) remains one of the top five reasons for revision TKA. At the upcoming  
56 2016 American Academy of Orthopaedic Surgeons annual meeting, Matthew P. Abdel, M.D.,  
57 and colleagues will present their data indicating a contemporary arthrofibrosis rate of 5.8 percent  
58 after primary TKA at Mayo Clinic. In addition, 2.8 percent of patients require a manipulation  
59 under anesthesia (MUA). While there are alternatives to MUA, physical therapy shows only  
60 modest gains and surgery is reserved for patients that fail non-operative management. With  
61 MUA, mean flexion improved 35 degrees. However, 5 percent of patients failed to maintain at  
62 least 90 degrees of flexion after the MUA at our institution. More concerning, these results were  
63 similar to the previous decade, where the rate of arthrofibrosis was 5.4 percent, with 2.9 percent  
64 requiring MUA. The only identifiable risk factor for MUA was previous knee operation. Lastly,  
65 a manipulation under anesthesia significantly increased the risk for revision. As one can see, the  
66 incidence of arthrofibrosis following primary TKA has remained unchanged over the past two  
67 decades. With the exponential increase in primary TKAs, arthrofibrosis is a large burden to the  
68 patient, surgeon and entire U.S. health care system (\$8.75 billion annually).

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70 Preliminary clinical and translational research has indicated that an inflammatory disorder of the  
71 musculoskeletal system may be responsible for post-operative stiffness in some patients.<sup>4-8</sup>  
72 Although evidence remains limited to animal models, the inflammatory cascade plays a central  
73 role in the formation, and recurrence, of arthrofibrosis, particularly at the time of insult (i.e. time  
74 of index arthroplasty and/or MUA).<sup>7,9,10</sup> This is essential as some insurance companies have  
75 stopped covering this procedure. The purpose of this study was to determine the efficacy of  
76 manipulation under anesthesia (MUA), with and without perioperative oral celecoxib and  
77 intravenous (IV) corticosteroid.

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## 79 **PATIENTS AND METHODS**

### 80 ***Study Design***

81 This study is a prospective, multicenter, randomized clinical trial (RCT) designed to evaluate the  
82 use of anti-inflammatory medications for the management of arthrofibrosis following primary  
83 TKA. Patients will be randomly assigned to either the control or experimental group. Both groups  
84 will receive a manipulation under anesthesia (MUA) if flexion is < 90 degrees at 4 –12 weeks  
85 postoperatively. Manipulation under anesthesia is the international standard of care for early  
86 arthrofibrosis. The control group will not receive any IV corticosteroids or PO non-steroidal anti-  
87 inflammatory medications (NSAIDs). The experimental group will receive a single dose of IV  
88 corticosteroids (8 mg IV dexamethasone immediately prior to MUA) and 2 weeks of PO celecoxib  
89 at a dose of 200 mg daily. Many surgeons, including several at the Mayo Clinic, consider the use  
90 of IV corticosteroids and PO celecoxib the standard of care with a MUA.

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92 ***Patients***

93 Patients will be recruited from sixteen high-volume, academic, tertiary-care referral centers  
94 (Table 1). All enrolling centers have been vetted, as only surgeons that are members of the  
95 prestigious closed Knee Society (representing the top 100 knee surgeons in the world) are  
96 participating. Patients approached for enrollment will have received a primary unilateral TKA  
97 for a diagnosis of osteoarthritis. All primary TKA constructs will have constraint that is less than  
98 that of varus-valgus constraint (VVC). All VVC and hinged TKAs will be excluded. Only  
99 MUAs scheduled between 4 –12weeks postoperatively will be included.

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101 Institutional Review Board (IRB) approval will be obtained from all respective institutions prior  
102 to initiation of the study. The Mayo Clinic will serve as the coordinating site. Patients will be  
103 excluded from the study if any of the following exist: (1) intolerance to NSAIDs, (2) renal  
104 dysfunction, (3) age < 18 or > 90 years, (4) primary diagnosis of rheumatoid arthritis and (5)  
105 patients with GFR <60 as the cut off for CKD (stage 3 CKD). Use of non-steroidal anti-  
106 inflammatory medications during the first two weeks after manipulation under anesthesia will  
107 exclude patients from participation in this study. However, celecoxib (a non-steroidal anti-  
108 inflammatory medication) will be provided for those patients randomized to the experimental  
109 group, once daily for fourteen days following the manipulation under anesthesia. Aspirin, used  
110 routinely for DVT prophylaxis post-operatively, did not restrict patients from participation in this  
111 study. Patients who are on NSAIDs prior to MUA, is ok to enroll. Patient demographics were  
112 noted, including age at index arthroplasty, sex, body mass index (BMI), ASA score, and  
113 Charlson Index.

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115 ***Randomization***

116 Patients will be randomized into one of 2 groups: (1) MUA only (i.e. control group) or (2) MUA  
117 plus 8 mg of IV dexamethasone immediately before MUA, followed by 2 weeks of PO celecoxib  
118 (200 mg daily) (experimental group). Assignment of patients into either group will be made prior  
119 to the initiation of enrollment for the study. Patients will be randomized using a computer  
120 generated (SAS PROC) block randomization schedule to ensure equivalent numbers of patients  
121 in each group over the course of the study in case early stopping is required. The randomization  
122 schedule will be generated by the Mayo Clinic Department of Epidemiology and Biostatistics  
123 with the randomization list provided to the investigators prior to initiation of patient recruitment.  
124 Neither the patient nor the treating physician were blinded the randomization group.

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126 ***Manipulation Under Anesthesia***

127 Patients will undergo MUA per their institutional protocol. As previously noted, these will be  
128 completed between 4 and 10 weeks postoperatively for flexion < 90 degrees. Patients will  
129 receive sedation per their institutional protocol. Physical therapy (either supervised or  
130 unsupervised) will be prescribed 2 -3 times per week for 4 – 6 weeks thereafter.

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132 ***Outcome Measures***

133 The primary outcome measure will be knee range of motion, including both passive and active  
134 flexion and extension 6 weeks after the manipulation and 1 year from the date of the TKA.  
135 Secondary outcomes will include comparison of Knee Society Scores (KSS), 12-item Short  
136 Form Survey (SF-12v2), Knee Injury and Osteoarthritis Outcome Score (KOOS), and the  
137 Promise-29 outcome form (Appendices 1-4). Outcomes will be documented pre-MUA, 6 weeks

138 after the MUA, and 1 year after the TKA. A standardize evaluation form will be shared amongst  
139 all participating sites, and will be used consistently for all patients (Appendix 1-4).

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141 ***Power Analysis***

142 The mean and standard deviation for sample size calculation were derived from a study by  
143 Yercan et al. In that study, the authors found that the mean ROM following MUA was  $114^\circ \pm$   
144  $16^\circ$ .<sup>11</sup> We hypothesized that a  $10^\circ$  difference in total range of motion would constitute a  
145 clinically significant difference. With a type I error rate of 5%, 108 total patients (54 per arm) are  
146 required to have 90% power to detect this difference. Accounting for a dropout rate of 20%, 65  
147 patients per arm (130 total patients) will be recruited.

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149 ***Statistical Analysis***

150 All data will be summarized and reported descriptively using appropriate summary statistics,  
151 including mean and standard deviation (SD) for continuous variables, and count and percentage  
152 for categorical variables. The analysis was focused on the two primary study outcomes: range of  
153 motion (total arc of motion as well as angle of terminal knee flexion, measured in degrees), and  
154 subjective outcome (KSS, SF-12v2, KOOS, and PROMIS). Outcomes were compared between  
155 the two study groups using two-sample t-tests if the data are approximately normally distributed;  
156 if the data are not sufficiently normally distributed, non-parametric Wilcoxon rank sum tests was  
157 used. Since the subjects were assigned to the study groups in a randomized manner, no  
158 significant differences between the subjects in the two groups were expected. However,  
159 apparent differences in subject demographics or baseline clinical data were evaluated, and  
160 further analysis was undertaken using multivariable modeling to compare the two groups while

161 adjusting for other important variables. When necessary and appropriate, the analysis was  
162 adjusted for enrolling center. Categorical outcomes were analyzed using chi-square tests and  
163 logistic regression. Separate analyses were performed for the 6-week and 1-year outcomes. All  
164 statistical tests were two-sided and p-values less than 0.05 will be considered significant.

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166 ***Sources of Funding***

167 This study is partially supported by the Knee Society Branded Multi-Center Randomized Clinical  
168 Trial Grant.

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211 **TABLES**

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**Table 1. List of participating institutions included**

Aria 3B Orthopaedic Specialists  
Colorado Joint Replacement  
Duke University Medical Center  
HipKnee Arkansas Foundation  
Hospital for Joint Diseases  
Hospital for Special Surgery  
Houston Methodist  
Joint Implant Surgeons  
Mayo Clinic  
New York-Presbyterian at Columbia University  
OrthoCarolina  
Rothman Institute  
Rush University Medical Center  
  
Cleveland Clinic  
University of Nebraska Medical Center  
University of Utah Orthopaedic Center

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239 **Table 2.** Baseline demographics and clinical characteristics

<b>Demographic and Clinical Characteristics</b>	<b>MUA (N = X)</b>	<b>MUA + AI (N = X)</b>
Demographic Characteristics		
Age (year)		
Sex (female/male) (no. of patients)		
Weight (kg)		
Height (cm)		
BMI (kg/m <sup>2</sup> )		
ASA Status (no. of patients)		
Implant Type		
Range of Motion		
Total		
Flexion Contracture		
Extension Deficit		

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257 **Table 3.** Outcome following manipulation under anesthesia for stiffness after total knee  
 258 arthroplasty

<b>Blood Loss, Drain Output, and Rate of Blood Transfusions</b>	<b>MUA (N = X)</b>	<b>MUA + AI (N = X)</b>	<b><i>p</i> value</b>
Six Week ROM Total Pre-Manipulation Flexion Total 6 weeks Post-MUA Flexion < 90 degrees < 80 degrees Total 6 weeks Post-MUA Flexion < 90 degrees < 80 degrees			
Six Week Subjective Outcome KSS SF-12v2 KOOS Promis 29			

<b>Blood Loss, Drain Output, and Rate of Blood Transfusions</b>	<b>MUA (N = X)</b>	<b>MUA + AI (N = X)</b>	<b>p value</b>
One Year ROM Total Pre-Manipulation Flexion Total 6 weeks Post-MUA Flexion < 90 degrees < 80 degrees Total 6 weeks Post-MUA Flexion < 90 degrees < 80 degrees			
One Year Subjective Outcome KSS SF-12v2 KOOS Promis 29			

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