## **Study PROTOCOL**

## (Effect of auricular vagus nerve stimulator on symptom improvement and gut microbiota in patients with irritable bowel)

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**Principal Investigator:** 

Setting: Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology

#### 1. Research Background

The human gut microbiota is one of the most abundant microbial communities on earth, containing highly diverse microbial communities that provide metabolic, immune, and protective functions and play a critical role<sup>[1-3]</sup> in human health. The gastrointestinal microbiome is influenced by a variety of factors, including neural stress, genetics, host physiology (host age, disease, etc.), and environmental factors such as living conditions and drug use. At the same time, the vagus nerve (VN) is considered to be a key component of the autonomic nervous system (ANS), which innervates most of the digestive tract and many GI organs such as esophagus, stomach, small intestine, and colon. It also innervates important organs<sup>[6]</sup> in the digestive system such as liver, pancreas, and gallbladder. The vagus nerve transmits excitation to the corresponding brain regions by sensing stimulation in the intestine, and regulates<sup>[5]</sup> the gut and brain bi-directionally through the "gut-brain axis".

Recent studies have shown that VN has anti-inflammatory effects. This vagal function is mediated through a variety of pathways, some of which are still controversial. The first is the anti-inflammatory pathway of the hypothalamic-pituitary-adrenal axis, stimulated by the afferent fibers of the vagus nerve, where the adrenal gland releases cortisol, providing an important first line of innate defense against inflammatory infections and helping to restore home<sup>[7]</sup>ostasis. The second, called cholinergic anti-inflammatory pathway, releases acetylcholine (ACh) at synaptic junctions with macrophages through synaptic connections of enteric neurons mediated by vagal efferent fibers. Acetylcholine binds to the  $\alpha$ -7-nicotinic acetylcholine receptor of macrophages and inhibits the release<sup>[8]</sup> of tumor necrosis (TNF) $\alpha$ , a proinflammatory cytokine. The last pathway is the splenic sympathetic anti-inflammatory pathway, where stimulation of splenic sympathetic nerves by VN leads to the distal release of acetylcholine by norepinephrine (noradrenaline). Finally, ACh inhibits TNF $\alpha$  release from splenic macrophages via  $\alpha$ -7-nicotinic ACh receptors<sup>[9]</sup>.

Irritable bowel syndrome (IBS) is a highly prevalent functional digestive disorder characterized<sup>[10]</sup> by chronic abdominal pain and altered bowel habits in the absence of biological or structural abnormalities. In the field of IBS, effective medical treatments are very limited<sup>[27]</sup>, and

patients are urgently looking for alternatives, including probiotics, hypnotherapy, osteopathic therapy, dietary changes, and fecal microbiota transplantation<sup>[11-14]</sup>. In recent years, with the continuous elucidation of the vagus nerve mechanism, IBS patients have become more and more interested in bioelectrical modulation. These patients are usually skeptical of traditional drug treatment and believe that drugs are easy to cause side effects. For the above reasons, vagus nerve stimulation (VNS) may be a promising option<sup>[15]</sup> for improving IBS symptoms.

In fact, the interaction between the gut and nervous system seems to be one of the links of the brain-gut axis, which is mainly regulated by the autonomic nervous system. The anti-inflammatory effect of VNS has been demonstrated in previous studies, while low-grade intestinal mucosal inflammation<sup>[16,17]</sup> has been reported in IBS. In the gut, the vagus nerve is also the interface for the interaction between the microbiota and the mucointestinal tract, suggesting that there may be dys<sup>[18,19]</sup>biosis in VN in IBS.

Vagus nerve stimulation (VNS) is currently used as a treatment option for many clinical conditions, such as heart failure<sup>[20]</sup>, migraine<sup>[21]</sup>, and inflammatory bowel disease<sup>[22]</sup>. VNS techniques are mainly divided into invasive (surgical implantation) and noninvasive (percutaneous)VNS techniques. Invasive VNS (iVNS) involves implantation of a programmable pulse generator device in the chest wall and placement of electrodes around the left (typical) cervical vagus nerve. As it stands, iVNS carries several potential risks, such as bradycardia and cardiac<sup>[23]</sup> arrest, localized infection<sup>[24]</sup> around the wound, etc. The transcutaneous VNS (tVNS) delivery system relies on the skin distribution of the vagal afferent nerve and intervenes in the external ear (auricular branch of the vagus nerve) or neck (cervical branch of the vagus nerve), which avoids the risk of surgical implantation of VNS delivery devices and promotes further research on the application of tVNS.

In this study, the portable conchal stimulator produced by Ruizhenan Medical can accurately stimulate the conchal boat and stimulate the auricular vagus nerve percutaneously, giving full play to its therapeutic effect. Therefore, the purpose of this clinical trial is to use the conchial stimulator in patients with irritable bowel syndrome, and to explore whether it has a therapeutic effect on patients with irritable bowel syndrome. To explore the effect of tVNS therapy on irritable bowel syndrome (IBS) compared with drug therapy, hoping that the use of TVNS therapy can reduce the use of related drugs, or even replace drugs.

Ruisen auricular concha vagus nerve stimulator can be used directly without installation. It is easy to carry and can be turned on at any time, without affecting daily work and life (as shown below). The current approved indications are as follows: for sleep disorders, anxiety, loss of appetite, fatigue as an adjunct treatment, and diabetes as an adjunct treatment. In foreign countries, concha vagus nerve stimulation has been approved for the adjuvant treatment of epilepsy and depression. At present, some hospitals in China have introduced new technologies for the treatment of disorders of consciousness, stroke rehabilitation, migraine, epilepsy, pain intervention and so on.



Schematic illustration of the actual use of the auricular concha stimulator by the participants

According to Mion, F et al. (2020), transcutaneous auricular stimulation of the left vagus nerve (taVNS) has been shown to improve symptoms of irritable bowel syndrome (IBS). In 12 patients with IBS, taVNS was applied for 6 months for at least 3 hours per day (preferably before bedtime), 5 days per week, with continuous stimulation at a pulse width of 250  $\mu$ s and a frequency of 30 Hz. Results Effective data were obtained in 9 patients (4 with diarrhea type and 5 with constipation type). IBS-SSS was significantly decreased at 3 and 6 months (baseline: 336, 3 months: 231[p = 0.0084]; The IBS-SSS decreased from 336 at baseline to 231 at 3 months [p = 0.0084], and 246 at 6 months [p = 0.0209]<sup>[28]</sup>.

According to the above research results, combined with the characteristics of the auricular

conchae stimulator that is portable and does not affect daily life and work, this study aims to use auricular conchae stimulation with pulse width of 200µs, frequency of 30Hz, 3 hours a day for 8 weeks to improve the clinical symptoms of patients with irritable bowel syndrome.

#### 2. Objectives of the study

This study aimed to recruit a group of patients with irritable bowel syndrome (IBS) to use the Ruisenan portable auricular conic stimulator. (1) To observe the improvement of symptoms in patients with IBS; (2) To analyze the changes of gut microbiota in patients with irritable bowel syndrome; (3) verify whether the level of intestinal inflammation is reduced and find metabolic markers in the gut.

#### 3. Study design

This was a randomized, double-blind study without placebo. Forty patients with physician-diagnosed IBS-D were recruited. The recruited patients were randomly assigned to undergo either real tVNS (transcutaneous vagus nerve stimulation) or sham TVNS (using the same device but without vagus nerve stimulation) at different times.

#### 4.Selection of subjects

#### 1. Eligibility Criteria

1.1 Patients with colorectal cancer were excluded by colonoscopy within two years, and the clinical manifestations were diarrhea predominant irritable bowel syndrome, which met the Rome IV diagnostic criteria<sup>[25]</sup>. That is, recurrent abdominal pain or discomfort, occurring at least 3 days per month in the past 3 months, and meeting the following two or more points:

- (1) Improvement after defecation;
- (2) the change of the frequency of defecation;
- (3) The onset of the disease accompanied by changes in stool character (appearance).

\* Symptoms have been present for at least 6 months before diagnosis, and symptoms have met the above criteria for the past 3 months

\* Discomfort refers to non-painful discomfort. For pathophysiological studies and clinical trials, the frequency of pain/discomfort used for screening assessment is at least two days per week when selecting subjects for research.

1.2 Patients with diarrhea and irritable bowel were mainly selected for enrollment.

1.3 The enrolled patients with IBS were between 18 and 65 years of age and had a normal electrocardiogram before enrollment.

1.4 Subjects were excluded from the study if they did not meet the requirements by having them fill out the questionnaire to assess the health status of the intestinal health-related questionnaire.

#### 2. Exclusion criteria

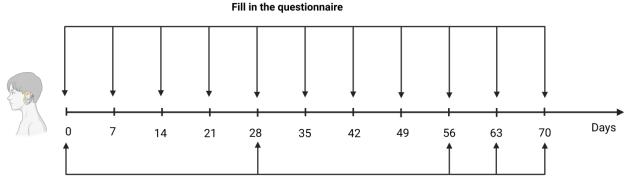
(a) patients with other gastrointestinal diseases; (b) patients with a history of previous abdominal surgery, cardiovascular disease or serious illness; (c) in the past month, the participant has used medications that could affect the outcome of the study (e.g., probiotics, prebiotics, antibiotics, laxatives, prokinetic drugs); (d) pregnant women; And (e) patients enrolled in other clinical studies.

#### 5. Estimation of sample size

Forty patients with diarrhea-predominant irritable bowel syndrome

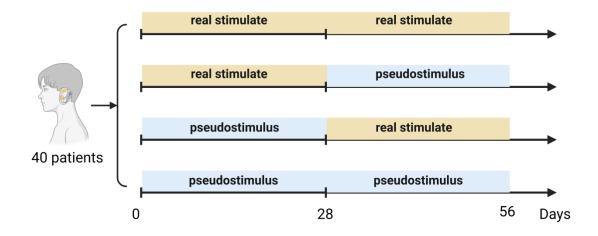
#### **6.Study PROCEDURE**

Stool samples were collected from patients with diarrhea-type irritable bowel syndrome. The auricular concha-stimulator was used for 3 hours per day with a pulse width of 200µs and a frequency of 30Hz. Each patient was randomly selected for true stimulation or sham stimulation every 4 weeks for 8<sup>[28]</sup> weeks, resulting in a total of 4 groups, namely, true-true, true-false, false-true, and false-false (FIG. 2). Stool samples were collected on day 0, day 28 and day 56. Stool samples were collected two weeks after the end of the treatment. Patients completed questionnaires on day 0 and every other 7 days thereafter, for a total of 11 questionnaire collections (Figure 1); the questionnaires are shown in Table 2.



**Collection of stool samples** 

FIG. 1 Experimental design time schedule



#### Figure 2 Schematic representation of patient randomization

A questionnaire was used to determine whether the symptoms of patients with irritable bowel syndrome improved, and stool samples were collected on days 0, 28, 56, 63, and 70 for 16s sequencing analysis. To study the changes in intestinal microbial composition and intestinal marker metabolites in patients before and after the intervention of ear conchal stimulator. Continuous sampling survey was conducted 2 weeks after treatment to observe the long-term effect of tVNS treatment, and to explore whether the irritable bowel level of patients would return to the level before treatment or could be improved after stopping the stimulation.

The study did not require recruitment advertisement, and the recruitment of subjects was completely voluntary. The possible risks in the process of the study were explained to the subjects, and the subjects signed the informed consent form after they agreed to participate in the study. A questionnaire survey was conducted on the volunteers who agreed to participate in the study to obtain their intestinal health and other health status. The subjects were screened according to the above selection criteria and exclusion criteria. The collected samples were named by number, and no personal information of the subjects was disclosed. The identity of the subjects was kept confidential throughout the study, only the number and disease phenotype were visible. Subjects may withdraw from the study at any time stage.

The composition and structure of gut microbiota of the subjects will be analyzed. Subjects can keep informed of the progress of the experiment and analysis and obtain their own relevant data at any time.

The auricular conchal stimulator used in this study was manufactured by Ruisenan Medical Company. The portable conchal stimulator used in this study was in the form of earphones, which was well accepted by patients. It is easy to use and can be used at night before going to bed without affecting the normal life of the participants. The contact point of the earphone was closely fitted to the left ear conchial boat, which precisely stimulated the conchial boat and gave full play to the therapeutic effect. The instrument has three optional modes to meet the needs of personalized treatment.

The medical device registration certificate number of Ruisenan portable ear conchial stimulator is 20212090050, which meets the national standards for medical devices. The range (level) of Ruisenan portable auricular concha stimulator is 1-60; Frequency (Hz) range: 1-120; Pulse width ( $\mu$ s) range: 100, 200; Pulse on (off) time (s) range: 10-200. A variety of diseases can be treated by parameter adjustment, such as: sleep disorders, anxiety, depression, fatigue, pain, tinnitus, rehabilitation and so on.

Product design diagram:



The product inspection certificate is shown in Annex 1.

#### 7.Description of concha vagus nerve stimulator

- 1、 brand: Ruishan
- 2、Model: tVNS501
- 3、Core technical indicators:
- (1) The stimulation location should be the concha boat, which is enriched in the vagus nerve.
- (2) Built-in rechargeable lithium battery.

(3) It has three output modes: ① Mode 1: randomly transform between pulse amplitude 0 level and set level, pulse width 200 $\mu$ s, pulse frequency in the range of 1-120Hz; ② Mode 2: pulse width 200 $\mu$ s; The pulse frequency is 20Hz for 7s and 4Hz for 3s, alternating between the two. ③ Custom mode programmable: pulse width (100-2000 $\mu$ s), pulse frequency (1-120Hz), pulse on time (10-200s), pulse off time (10-200s).

(4) The duration of stimulation can be set to 0-480min

(5) The stimulation output amplitude can be set to 0-60 levels, and the current can be adjusted to 0-50mA. The current increases linearly with the increase of the output amplitude and can be adjusted freely.

4, output waveform:

| professional<br>Patterns | Monopulse schematic | Parameters             | Programmable range  |
|--------------------------|---------------------|------------------------|---|
|                          | $\Box$              | Pulse amplitude        | Change randomly between level 0 and set level                                 |
| A mode                   |                     | Pulse width (μs)       | 200, error ±10%   |
|                          |                     | Pulse frequency (Hz)   | Vary randomly in the range 1-120  |
|                          |                     | Pulse width (μs)       | 200, error ±10%   |
| B mode                   |                     | Pulse frequency (Hz)   | 1<br>20Hz run 7s, 4Hz run 3s, alternating<br>1<br>between the two, error 土25% |
|                          |                     |                        | 100,000,  |
|                          |                     | Pulse width (μs)       | 100, 200, error ±10%  |
| Custom                   |                     | Pulse frequency (Hz)   | Range 1-120, error $\pm 10\%$   |
| Modes                    |                     | Pulse on time (s)      | Range 10-200, error ±10%  |
|                          |                     | Pulse closing time (s) | <br>Range 10-200, error ±10%<br>  |

#### 5、Functions

The current approved indications for concha-vagus nerve stimulation are as following: for the adjunctive treatment of sleep disorders, anxiety, loss of appetite, fatigue, and diabetes. In foreign countries, concha vagus nerve stimulation has been approved for the adjuvant treatment of epilepsy and depression. At present, some hospitals in China have introduced new technologies for the treatment of disorders of consciousness, stroke rehabilitation, migraine, epilepsy, pain intervention and so on. At the same time, a number of scientific research papers using the device are published every year, including the journal Brain Stimulation has also included research papers on the device.

6, configuration requirements: no installation, direct use. It is convenient to carry, can be opened at any time to stimulate, and does not affect daily work and life.

7, consumables: no consumables

8. Hospitals currently using the equipment of the same brand and model:

General Hospital of the People's Liberation Army

Sichuan West China Hospital

Beijing Xuanwu Hospital

Beijing Tiantan Hospital

Shanghai Mental Health Center The First Affiliated Hospital of Zhejiang University School of Medicine Jiangsu Provincial People's Hospital Fujian Medical University Union Hospital The First Affiliated Hospital of Sun Yat-sen University Beijing Aviation General Hospital Xijing Hospital of Air Force Medical University The First Affiliated Hospital of Army Medical University Xiangya Boai Rehabilitation Hospital, Hunan Province People of Henan Province Anhui Provincial Hospital

#### 8. Possible risks and preventive measures

#### 1. Possible risks:

① During the study period, if the patient's skin is allergic to the ear electrode, the use of the ear electrode can be immediately suspended, and the stopping date and time can be recorded.

② If the current density of the electrode exceeds 2mA(r.m. s)/cm2, special attention should be paid to the use of the electrode. In case of discomfort, please stop using the electrode immediately or reduce the pulse amplitude.

2. Preventive measures:

If the symptoms of patients are not improved significantly after receiving otovagus nerve stimulation, the researchers of this experiment, as digestive specialists, will give further professional guidance, including drugs, psychological counseling, traditional Chinese medicine and other comprehensive programs, in order to improve the symptoms of patients.

#### 9. Data collection and statistical analysis

The degree of pain, the frequency of diarrhea, and the degree of abdominal distension were used to evaluate the changes in the patient's irritable bowel status. The degree of pain refers to the use of psychometric practices to evaluate the patient's subjective feeling, which is also considered to be the main factor to evaluate the poor health-related quality of life (HRQL). The severity of irritable bowel syndrome was measured according to the Gastrointestinal Symptom Rating Scale for Irritable Bowel Syndrome (GSRS-IBS)<sup>[26]</sup>. On the GSRS-IBS scale, a score of 1 to 3 is defined as mild symptoms, a score of 4 or 5 as more severe, and a score of 6 or 7 as extremely severe<sup>[26]</sup>.

Two-way analysis of variance (ANOVA) was used for statistical analysis. Two-sided t test was used for pairwise comparison, and p value was corrected for multiple comparisons. Kruskal-Wallis test was used for multiple group comparison. Spearman correlation analysis was used to determine the correlation between gut microbiota alterations and biological and pathological parameters related to constipation, with p values corrected by Benjamini-Hochberg method (Benjamini and Hochberg, 1995). A False Discovery Rate (FDR) threshold of 0.1 was used to consider the p value statistically significant. p < 0.05 or p < 0.01 was considered statistically significant. All rule plots were generated using GraphPad Prism version 8.0 (La Jolla, CA, United States). All statistical analyses were performed using GraphPad prismore and R(version 4.1.2,https://www.r-project.org/)

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| Table 1. Gastrointestinal Symptom Rating Scale for Irritable Bowel Syndrome (GSRS-IBS). |           |           |           |           |           | -IBS).    |           |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| The   | No        | Slight    | Mild      | Moderate  | Moderate  | Severe    | Very      |
| following   | discomfor | discomfor | discomfor | discomfor | to severe | discomfor | severe    |
| questions   | t at all  | t         | t         | t         | discomfor | t         | discomfor |
| relate to the   |           |           |           |           | t         |           | t         |
| symptoms  | 1         | 2         | 3         | 4         | 5         | 6         | 7         |
| of irritable  |           |           |           |           |           |           |           |
| bowel   |           |           |           |           |           |           |           |
| syndrome.   |           |           |           |           |           |           |           |
| The severity  |           |           |           |           |           |           |           |
| or intensity  |           |           |           |           |           |           |           |
| of the  |           |           |           |           |           |           |           |
| following   |           |           |           |           |           |           |           |
| symptoms  |           |           |           |           |           |           |           |
| during the  |           |           |           |           |           |           |           |
| past 2 weeks  |           |           |           |           |           |           |           |
| 1. Are you  |           |           |           |           |           |           |           |
| bothered by   |           |           |           |           |           |           |           |
| abdominal   |           |           |           |           |           |           |           |
| pain?   |           |           |           |           |           |           |           |
| 2. Have you   |           |           |           |           |           |           |           |

Table 1. Gastrointestinal Symptom Rating Scale for Irritable Bowel Syndrome (GSRS-IBS).

|               | 1 | [ | [ | [ | r | r | 1 |
|---------------|---|---|---|---|---|---|---|
| ever felt     |   |   |   |   |   |   |   |
| pain or       |   |   |   |   |   |   |   |
| discomfort    |   |   |   |   |   |   |   |
| in your       |   |   |   |   |   |   |   |
| abdomen       |   |   |   |   |   |   |   |
| that relieved |   |   |   |   |   |   |   |
| with bowel    |   |   |   |   |   |   |   |
| movements?    |   |   |   |   |   |   |   |
| 3. Have you   |   |   |   |   |   |   |   |
| been          |   |   |   |   |   |   |   |
| bothered by   |   |   |   |   |   |   |   |
| feelings of   |   |   |   |   |   |   |   |
| bloating?     |   |   |   |   |   |   |   |
| 4. Are you    |   |   |   |   |   |   |   |
| bothered by   |   |   |   |   |   |   |   |
| gas?          |   |   |   |   |   |   |   |
| 5. Do you     |   |   |   |   |   |   |   |
| suffer from   |   |   |   |   |   |   |   |
| constipation  |   |   |   |   |   |   |   |
| (difficulty   |   |   |   |   |   |   |   |
| defecating)?  |   |   |   |   |   |   |   |
| 6. Do you     |   |   |   |   |   |   |   |
| suffer from   |   |   |   |   |   |   |   |
| diarrhea      |   |   |   |   |   |   |   |
| (frequent     |   |   |   |   |   |   |   |
| bowel         |   |   |   |   |   |   |   |
| movements)    |   |   |   |   |   |   |   |
| ?             |   |   |   |   |   |   |   |
| 7. Do you     |   |   |   |   |   |   |   |
| have trouble  |   |   |   |   |   |   |   |
| having loose  |   |   |   |   |   |   |   |
| bowel         |   |   |   |   |   |   |   |
| movements?    |   |   |   |   |   |   |   |
| 8. Do you     |   |   |   |   |   |   |   |
| have          |   |   |   |   |   |   |   |
| difficulty    |   |   |   |   |   |   |   |
| bowel         |   |   |   |   |   |   |   |
| movements     |   |   |   |   |   |   |   |
| because       |   |   |   |   |   |   |   |
| your stools   |   |   |   |   |   |   |   |
| are dry?      |   |   |   |   |   |   |   |
| 9. Have you   |   |   |   |   |   |   |   |
| ever been     |   |   |   |   |   |   |   |
| bothered by   |   |   |   |   |   |   |   |
| J             | 1 | 1 | 1 | 1 | 1 | 1 |   |

| the urgent      |  |  |  |  |
|-----------------|--|--|--|--|
| need to         |  |  |  |  |
| defecate (the   |  |  |  |  |
| need to go to   |  |  |  |  |
| the toilet to   |  |  |  |  |
|                 |  |  |  |  |
| defecate)?      |  |  |  |  |
| 10. Do you      |  |  |  |  |
| have the        |  |  |  |  |
| feeling that    |  |  |  |  |
| your bowel      |  |  |  |  |
| movements       |  |  |  |  |
| are             |  |  |  |  |
| incomplete?     |  |  |  |  |
| 11. Do you      |  |  |  |  |
| feel full       |  |  |  |  |
| soon after      |  |  |  |  |
| you start a     |  |  |  |  |
| meal?           |  |  |  |  |
| 12. Do you      |  |  |  |  |
| still feel full |  |  |  |  |
| long after      |  |  |  |  |
| you stop        |  |  |  |  |
| eating?         |  |  |  |  |
| 13. Do you      |  |  |  |  |
| have            |  |  |  |  |
| significant     |  |  |  |  |
| abdominal       |  |  |  |  |
| swelling?       |  |  |  |  |

| The following questions relate to the         | no | A little | More | Quite a lot | Totally so |
|---|----|----------|------|-------------|------------|
| symptoms of irritable bowel syndrome.         |    | bit      |      |             | 5          |
| The severity or intensity of the following    | 0  | 1        | 2    | 3           | 4          |
| symptoms during the past week                 | 0  | -        | -    |             |            |
| 1. I feel helpless because of my bowel        |    |          |      |             |            |
| movements                                     |    |          |      |             |            |
| 2. The smell caused by my stool makes         |    |          |      |             |            |
| me feel embarrassed                           |    |          |      |             |            |
| 3. I worry about how much time I spend        |    |          |      |             |            |
| in the bathroom                               |    |          |      |             |            |
| 4. Because of my defecation problems, I       |    |          |      |             |            |
| feel vulnerable to other diseases             |    |          |      |             |            |
| 5. I feel like I'm putting on weight          |    |          |      |             |            |
| because of my bowel problems                  |    |          |      |             |            |
|   |    |          |      |             |            |
| 6. I feel unable to organize my life          |    |          |      |             |            |
| because of my bowel problems                  |    |          |      |             |            |
| 7. I feel unhappy with my life because of     |    |          |      |             |            |
| my bowel problems                             |    |          |      |             |            |
| 8. I feel uncomfortable whenever I talk       |    |          |      |             |            |
| about my bowel problems                       |    |          |      |             |            |
| 9. I feel frustrated about having problems    |    |          |      |             |            |
| with my bowel movements                       |    |          |      |             |            |
| 10. I feel disconnected from those around     |    |          |      |             |            |
| me because of my bowel problems               |    |          | -    |             |            |
| 11. I have to watch how much I eat            |    |          |      |             |            |
| because of my bowel problems                  |    |          |      |             |            |
| 12. My sex life is not working because of     |    |          |      |             |            |
| my bowel movements                            |    |          |      |             |            |
| 13. I feel angry about my bowel               |    |          |      |             |            |
| movements                                     |    |          |      |             |            |
| 14.1. I feel prone to irritating others       |    |          |      |             |            |
| because of my bowel problems                  |    |          |      |             |            |
| 15. I'm worried that my bowel problems        |    |          |      |             |            |
| are going to get worse                        |    |          |      |             |            |
| 16. I feel irritable and irritable because of |    |          |      |             |            |
| my bowel movements                            |    |          |      |             |            |
| 17. I'm worried that people think I'm         |    |          |      |             |            |
| exaggerating my bowel problems                |    |          |      |             |            |
| 18. Do I feel like I can't get anything       |    |          |      |             |            |
| done because of my bowel movements            |    |          |      |             |            |
| 19. I have to avoid stressful situations      |    |          |      |             | 1          |
| because of my bowel problems                  |    |          |      |             |            |
| 20. My bowel problems have reduced my         |    |          |      | 1           | 1          |
| libido  |    |          |      |             |            |

| 22. Because of my defecation problems, I  |   | - | 1 |      | 1 |
|---|---|---|---|------|---|
| have to avoid strenuous exerciseImage: Image: I | 21. My bowel problems limit what I wear       |   |   |      |   |
| 23. Because of my defecation problems, I  | 22. Because of my defecation problems, I      |   |   |      |   |
| have to watch what kind of food I eat   | have to avoid strenuous exercise              |   |   |      |   |
| 24. I have trouble getting along with<br>unfamiliar people because of my bowel<br>movements       Image: Constraint of the second          | 23. Because of my defecation problems, I      |   |   |      |   |
| unfamiliar people because of my bowel<br>movementsImage: Constraint of the second  | have to watch what kind of food I eat         |   |   |      |   |
| movementsImage: constraint of the second | 24. I have trouble getting along with         |   |   |      |   |
| 25. I feel slow to respond because of my<br>bowel movements26. I feel unclean because of my<br>defecation problems27 It is difficult for me to travel long<br>distances because I have problems with<br>  | unfamiliar people because of my bowel         |   |   |      |   |
| bowel movementsImage: Constraint of the second  | movements                                     |   |   |      |   |
| 26. I feel unclean because of my       26. I feel unclean because of my         defecation problems       27 It is difficult for me to travel long         distances because I have problems with       27 It is difficult for me to travel long         distances because I have problems with       28. I feel frustrated that I can't eat what I         want because of my defecation problems       29. Being close to the bathroom is         necessary because of my defecation       29. Being close to the bathroom is         necessary because of my defecation       20. My daily life revolves around my         bowel problems.       20. My daily life revolves around my         bowel problems.       23. I worry about not being able to         control my bowel movements       23. I'm afraid I won't be able to defecate         properly       33. My bowel problems are affecting my         relationships with those closest to me       23. If eel like no one understands my   | 25. I feel slow to respond because of my      |   |   |      |   |
| defecation problemsImage: constraint of the second sec | bowel movements                               |   |   |      |   |
| 27 It is difficult for me to travel long       27 It is difficult for me to travel long         distances because I have problems with       my bowel movements         28. I feel frustrated that I can't eat what I       28. I feel frustrated that I can't eat what I         want because of my defecation problems       29. Being close to the bathroom is         necessary because of my defecation       29. Being close to the bathroom is         necessary because of my defecation       20. My daily life revolves around my         bowel problems.       20. My daily life revolves around my         30. My daily life revolves around my       20. My daily life revolves around my         bowel problems.       23. I'm afraid I won't be able to defecate         groperly       33. My bowel problems are affecting my         relationships with those closest to me       23. I'feel like no one understands my  | 26. I feel unclean because of my              |   |   |      |   |
| distances because I have problems with<br>my bowel movementsImage: Constraint of the second sec  | defecation problems                           |   |   |      |   |
| my bowel movementsImage: constraint of the set of th | 27 It is difficult for me to travel long      |   |   |      |   |
| 28. I feel frustrated that I can't eat what I<br>want because of my defecation problems29. Being close to the bathroom is<br>necessary because of my defecation<br>problems30. My daily life revolves around my<br>bowel problems.31. I worry about not being able to<br>control my bowel movements32. I'm afraid I won't be able to defecate<br>properly33. My bowel problems are affecting my<br>relationships with those closest to me34. I feel like no one understands my  | distances because I have problems with        |   |   |      |   |
| want because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because of my defecation problemsImage: Constraint of the bathroom is necessary because around my bowel problems are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because around my bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with those closest to meImage: Constraint of the bathroom is necessary because are affecting my relationships with the closest to  | my bowel movements                            |   |   |      |   |
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| necessary because of my defecation<br>problemsImage: Constraint of the second sec  | want because of my defecation problems        |   |   |      |   |
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| 30. My daily life revolves around my         bowel problems.         31. I worry about not being able to         control my bowel movements         32. I'm afraid I won't be able to defecate         properly         33. My bowel problems are affecting my         relationships with those closest to me         34. I feel like no one understands my   | necessary because of my defecation            |   |   |      |   |
| bowel problems.Image: Control my bowel movementsImage: Control my bowel movements32. I'm afraid I won't be able to defecate<br>properlyImage: Control my bowel movementsImage: Control my bowel movements33. My bowel problems are affecting my<br>relationships with those closest to meImage: Control my bowel movementsImage: Control my bowel movements34. I feel like no one understands myImage: Control my bowel movementsImage: Control my bowel movements  | problems                                      |   |   |      |   |
| 31. I worry about not being able to   | 30. My daily life revolves around my          |   |   |      |   |
| control my bowel movementsImage: Control my bowel movements32. I'm afraid I won't be able to defecate<br>properlyImage: Control my bowel problems are affecting my<br>relationships with those closest to me33. My bowel problems are affecting my<br>relationships with those closest to meImage: Control my bowel problems are affecting my<br>relationships with those closest to me34. I feel like no one understands myImage: Control my bowel problems are affecting my<br>relationships with those closest to me   | bowel problems.                               |   |   |      |   |
| 32. I'm afraid I won't be able to defecate  | 31. I worry about not being able to           |   |   |      |   |
| properlyImage: Constraint of the second  | control my bowel movements                    |   |   |      |   |
| 33. My bowel problems are affecting my relationships with those closest to me       34. I feel like no one understands my   | 32. I'm afraid I won't be able to defecate    |   |   |      |   |
| relationships with those closest to me 34. I feel like no one understands my  | properly                                      |   |   |      |   |
| 34. I feel like no one understands my   | 33. My bowel problems are affecting my        |   |   | <br> |   |
|   | relationships with those closest to me        |   |   |      |   |
| bowel movements   | 34. I feel like no one understands my         |   |   |      |   |
|   | bowel movements                               |   |   |      |   |

Attachment 1:

### 中华人民共和国医疗器械注册证

| 注册证编号:     | 苏核注准2024.211.990            |
|------------|-----------------------------|
| 注册人名称      | 常州瑞建安医疗器械有限公司               |
| 注册人住所      | 常州市新亚区华山中路26号 D3006-D3008   |
| 生产地址       | 常州市新北位重山中路 26 号 D3006-D3008 |
| 代理人名称      | 不适用                         |
| 代理人住所      | 不适用                         |
| 产品名称       | 耳甲迷走神经刺激器                   |
| 型号、规格      | tVNS501 化供存档                |
| 结构及组成      | 产品由刺激器主机和电灵导编制。九效           |
| 适用范围       | 适用于睡眠障碍、乏力、食欲减退、焦虑症状的辅助     |
|            | 治疗; 配合药物, 适用于糖尿病的辅助治疗。      |
| 附件         | 产品技术要求                      |
| 其他内容       | THE REAL                    |
| 备注         |                             |
| 审批部门: 江苏省药 | 品监督管理局 批准日期: 2021年 02月 03日  |

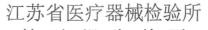
有效期至: 2026年02月02日

Annex 2:



## 江苏省医疗器械检验所

Jiangsu Testing and Inspection Institute for Medical Devices



检验报告首页

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报告编号: 2020QW4704 耳甲迷走神经刺激器 样品名称 样品编号 SLOW2006319\* 送样 (√) 抽样() 型号规格 tVNS501 窗 标 检验类别 委托检验 委托方 常州瑞神安医疗器械有限公司 委托方 常州市新北区华山中路 26 产品编号: 50102000218 产品编号/批号 生产批号: 通讯地址 501020 D3006-D3008 标示生产单位 常州瑞神安医疗器械有限公司 抽样单编号 受检单位 常州瑞神安医疗器械有限公司 生产日期 2020-05 抽样单位 样品数量 抽样地点 抽样基数 抽样日期 检验地象 本检验所试验室 校长日期 收样日期 2020年6月18日 2020年7月6日~11月26日 检验项目 全项目(不含生物相容性) GB 9706.1-2007《医用电气读》,部分"安全通用要求》 YY 0607-2007《医用电气读》,22部分、神经和取物刺激器安全专用要求》 YY 0868-2011《神经和政内刺激器用电极》 检验依据 \$ 10 常州瑞神安医疗器域入限公司产品技术要求《耳电迷走神经刺激器》 被检样品符合、GB 9706.1-2007、医用电气设备 第七部分:安全通用要求》、YY 0607-2007 《医用电气设备 第2 部分: 神经和肌肉刺激器安全专用要求》、YY 0868-2011《神经和肌肉 刺激器用电极》常州瑞神安医疗器械有限公司产品技术要求《耳甲迷走神经刺激器》规定 的要求人 检验结论 北方 专用率或检验单位公室 (检验报告 年12 40 签发日期 202 1) 本医疗器械产品技术要求不能直接作为资质认定许可的方法。本实验室对报告涉及的 检验项目具备相应的承检能力; 备 2) 报告中的"——"表示此项不适用,报告中"/"表示此项空白; 注 3) 加 "\*" 的为整改后合格项; 4) 电磁兼容性报告见 2020QW4704-EMC 检验报告; 5) 报告页眉中的"D"表示电气安全及性能,"Z"表示照片。 批 准:

职务:



#### 江苏省医疗器械检验所 Jiangsu Testing and Inspection Institute for Medical Devices

# ひ良

报告编号: 2020QW4704-EMC

## 江苏省医疗器械检验所 江苏省达9J 用 WALL 检验报告首页 <sup>首页1页E32页Z2页共35页</sup>

1

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| 样品名称                 | 耳甲迷走神 刺激器<br>送样 (2)   | ——样品编号             | SLQW2006319*   |
|----------------------|---|--------------------|--|
| 商 标                  |   | 型号规格               | tVNS501  |
| 委托方                  | 常州瑞神安医疗器械有限公司   | 检验类别               | 委托检验   |
| 委托方<br>通讯地址          | 常州市新北区年11年第26号<br>D3006-D3008   | 产品编号/批号            | 50102000218  |
| 示示生产单位               | 常州瑞神安医疗器械有限公司   | 抽样单编号              | 1 ATTACK   |
| 受检单位                 | 常州瑞神安医疗器械有限公司   | 生产日期               | 3008-95-18   |
| 抽样单位                 | F TH B  | 样品数量入              | 堂支   |
| 抽样地点                 | M 414 20  | 抽样基数               | /  |
| 抽样日期                 | 1   | 林美地点               | 本检验所试验室  |
| 收样日期                 | 2020年6月18日  | 为检验日期              | 2020年7月9日~11月13日   |
| 检验项目                 | 电磁兼容<br>YY 0505-2012 全项目及 XX 007-20                                       | 2007 第 36 章, 具体内   | 容见"3 试验结果概述"   |
| 检验依据                 | YY 0505-2012《医康史 设备 第 1-<br>试验》<br>YY 0607-2007《医用电气设备 第 2                |                    |  |
|                      | 被检视器符合 YY 0505-2012《医用<br>磁兼容全求和试验》及 YY 0607-2007                         |                    |  |
| 检验结论                 | 专用要求》第36章规定的要求。   | (检)<br>签发          | 全报音专用字或检验学道公律)<br>合相音专用字或检验学道公律)<br>合相音专用字或检验学道公律)<br>自期 |
| 检验结论<br>分子<br>备<br>注 | ★規要求》第36章规定的要求。 1、报告中"/"表示此项空白,"──" 2、其中带"*"的项目是整改后合格项 3、报告页眉中的"E"表示电磁兼容, | 签发<br>表示不适用;<br>目; | 人 哈哈招告专田音 /  |

批准: 子和重州 职务: 加州