

**CONSENT &  
AUTHORIZATION**

**IRB Protocol Number:**

**IRB Approval date:**

**Version:**

1

Official Title:	Urban Zen Integrative Therapy for Person with Pulmonary Hypertension
NCT Number:	NCT03194438
Document Name:	Informed Consent Form
Document Date:	April 25, 2017

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## 5 The Ohio State University Combined Consent to Participate in 6 Research and HIPAA Research Authorization

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**Feasibility and Acceptability of Integrative Therapy in  
Symptom Management for Persons with Pulmonary  
Hypertension**

**Study Title:**

**Principal Investigator:** **Mary Elizabeth Happ, Ph.D., RN, FGSA, FAAN**

**Sponsor:** The Ohio State University College of Nursing

9

- 10 • **This is a consent form for research participation.** It contains important information  
11 about this study and what to expect if you decide to participate. Please consider the  
12 information carefully. Feel free to discuss the study with your friends and family and  
13 to ask questions before making your decision whether or not to participate.
- 14 • **Your participation is voluntary.** You may refuse to participate in this study. If you  
15 decide to take part in the study, you may leave the study at any time. No matter what  
16 decision you make, there will be no penalty to you and you will not lose any of your  
17 usual benefits. Your decision will not affect your future relationship with The Ohio  
18 State University. If you are a student or employee at Ohio State, your decision will  
19 not affect your grades or employment status.
- 20 • **You may or may not benefit as a result of participating in this study.** Also, as  
21 explained below, your participation may result in unintended or harmful effects for  
22 you that may be minor or may be serious depending on the nature of the research.
- 23 • **You will be provided with any new information that develops during the study  
24 that may affect your decision whether or not to continue to participate.** If you  
25 decide to participate, you will be asked to sign this form and will receive a copy of the  
26 form. You are being asked to consider participating in this study for the reasons  
27 explained below.

28

### 29 1. Why is this study being done?

30 This study is being done to evaluate if a type of integrative therapy called Urban Zen  
31 Integrative Therapy (UZIT) is feasible and acceptable for patients with Pulmonary  
32 Hypertension. We also would like to observe what impact this therapy may have for  
33 patients dealing with a chronic condition such as Pulmonary Hypertension.

34

### 35 2. How many people will take part in this study?

36 Twenty patients will be asked to take part in this study.

37

38 **3. What will happen if I take part in this study?**

39  
40 If you agree to take part in this study, you will receive six, individual, weekly sessions of  
41 UZIT which will be videotaped. Some of the video recordings will be reviewed to make  
42 sure that each UZIT session is provided in a consistent manner throughout the study. After  
43 most UZIT sessions, we will ask you to describe about how the session went (semi-  
44 structured interview). You will complete questionnaires about your symptoms, activity  
45 level, and your quality of life. You will also complete a home practice diary to record  
46 how and how long you practice UZIT at home.

47  
48 UZIT will include the use of 3 essential oils (lavender, lemon, or peppermint), and gentle  
49 body movement. It also includes restorative pose which includes light twists, seated  
50 forward folds and gentle backward bends. There will also be body-awareness meditation  
51 (guided meditation) and energy healing therapy (therapeutic touch). UZIT is a free service  
52 and currently available for hospitalized patients at the Ohio State University Wexner  
53 Medical Center.

54  
55 Before each scheduled UZIT study visit, a research staff will remind you of your  
56 appointment through a phone call or a text message. We will call/ text you through the  
57 preferred method of contact as indicated by you.

58 **4. How long will I be in the study?**

59 If you choose to participate, you will be in the study for the period of 6-8 weeks. Each  
60 study session will last about 60-90 minutes. Home diary completion will take about 5-10  
61 minutes per week.

62 **5. Can I stop being in the study?**

63 You may leave the study at any time. If you decide to stop participating in the study,  
64 there will be no penalty to you, and you will not lose any benefits to which you are  
65 otherwise entitled. Your decision will not affect your future relationship with The Ohio  
66 State University.

67 **6. What risks, side effects or discomforts can I expect from being in the study?**

68 There is a potential risk of allergic reaction to inhalation of essential oil during the UZIT  
69 session, but is considered to be rare. We will take this precaution by having you smell a  
70 very small amount of each oil while sitting quietly before you are enrolled in the study.

71 Some changes in your blood pressure and heart rate related to position change during  
72 UZIT practice may occur. Since UZIT is not an exercise program, but rather a mind-body  
73 practice with gradual body position change, this risk is small.

74  
75 When answering survey questions about symptoms or feelings, there is also a potential  
76 risk of emotional distress.

82 There is a small risk of confidentiality breach. We will follow the Institutional Review  
83 Board (IRB) guidelines in protecting your privacy pertaining to electronic, hard copy data  
84 collection as well as video recording of the sessions.

85

86 **7. What benefits can I expect from being in the study?**

87 There may be no direct benefit to you from being in the study. However, possible benefit  
88 may include relaxation and/or symptom relief.

89

90

91 **8. What other choices do I have if I do not take part in the study?**

92

93 You may choose not to participate without penalty or loss of benefits to which you are  
94 otherwise entitled.

95

96 **9. What are the costs of taking part in this study?**

97

98 There is no additional costs to you in taking part in this study. UZIT session will be  
99 provided to you free of charge. You do not have to pay for parking to attend the UZIT  
100 session.

101

102 **10. Will I be paid for taking part in this study?**

103

104 Study participants will be paid in the form of \$5.00 grocery store gift cards at the end of  
105 each UZIT session. The total amount for the entire study is \$30.00.

106

107 **11. What happens if I am injured because I took part in this study?**

108

109 If you suffer an injury from participating in this study, you should notify the researcher  
110 immediately, who will determine if you should obtain medical treatment at The Ohio State  
111 University Wexner Medical Center.

112

113 The cost for this treatment will be billed to you or your medical or hospital insurance. The  
114 Ohio State University has no funds set aside for the payment of health care expenses for  
115 this study.

116

117 **12. What are my rights if I take part in this study?**

118

119 If you choose to participate in the study, you may discontinue participation at any time  
120 without penalty or loss of benefits. By signing this form, you do not give up any personal  
121 legal rights you may have as a participant in this study.

122

123 You will be provided with any new information that develops during the course of the  
124 research that may affect your decision whether or not to continue participation in the  
125 study.

126

127      You may refuse to participate in this study without penalty or loss of benefits to which  
128      you are otherwise entitled.

129

130      An Institutional Review Board responsible for Human Subjects research at The Ohio State  
131      University reviewed this research project and found it to be acceptable, according to  
132      applicable state and federal regulations and University policies designed to protect the  
133      rights and welfare of participants in research.

134

135

136

137 **13. Will my study-related information be kept confidential?**

138

139      Every effort will be made to keep your study-related information confidential. However,  
140      there may be circumstances where this information must be released. For example,  
141      personal information regarding your participation in this study may be disclosed if  
142      required by state law.

143

144      Also, your records may be reviewed by the following groups (as applicable to the  
145      research):

- Office for Human Research Protections or other federal, state, or international  
regulatory agencies;
- U.S. Food and Drug Administration;
- The Ohio State University Institutional Review Board or Office of Responsible  
Research Practices;
- The sponsor supporting the study, their agents or study monitors; and
- Your insurance company (if charges are billed to insurance).

153

154      A description of this clinical trial will be available on <http://www.ClinicalTrials.gov>, as  
155      required by U.S. law. This website will not include information that can identify you. At  
156      most, the website will include a summary of the results. You can search the website at  
157      any time.

158

159 **14. HIPAA AUTHORIZATION TO USE AND DISCLOSE INFORMATION FOR  
160      RESEARCH PURPOSES**

161

162 **I. What information may be used and given to others?**

163

- Past and present medical records;
- Research records;
- Records about phone calls made as part of this research;
- Records about your study visits;
- Information that includes personal identifiers, such as your name, or a number  
associated with you as an individual;

170        • Information gathered for this research about:  
171              Cardiac Catheterization result  
172              Pulmonary Hypertension medications and other medications  
173              Video- recording of UZIT sessions  
174              Diaries and questionnaires  
175              The diagnosis and treatment of a mental health condition  
176              Hospitalization encounters

177        **II. Who may use and give out information about you?**

178  
179        Researchers and study staff.  
180

181        **III. Who might get this information?**

183        • Authorized Ohio State University staff not involved in the study may be aware that  
184              you are participating in a research study and have access to your information;  
185        • If this study is related to your medical care, your study-related information may be  
186              placed in your permanent hospital, clinic or physician's office record;  
187        • Others: The Dissertation committee members who collaborate in this project,  
188              healthcare providers who manage your care, data safety monitoring board that  
189              review safety data.

191        **IV. Your information may be given to:**

193        • The U.S. Food and Drug Administration (FDA), Department of Health and Human  
194              Services (DHHS) agencies, and other federal and state entities;  
195        • Governmental agencies in other countries;  
196        • Governmental agencies to whom certain diseases (reportable diseases) must be  
197              reported; and  
198        • The Ohio State University units involved in managing and approving the research  
199              study including the Office of Research and the Office of Responsible Research  
200              Practices.

202        **V. Why will this information be used and/or given to others?**

204        • To do the research;  
205        • To study the results; and  
206        • To make sure that the research was done right.

208        **VI. When will my permission end?**

210        There is no specified date at which your permission ends unless you withdraw consent.  
211        Your information will be used indefinitely. This is because the information used and  
212              created during the study may be analyzed for many years, and it is not possible to know  
213              when this will be complete.

214

215 **VII. May I withdraw or revoke (cancel) my permission?**

216

217 Yes. Your authorization will be good for the time period indicated above unless you  
218 change your mind and revoke it in writing. You may withdraw or take away your  
219 permission to use and disclose your health information at any time. You do this by  
220 sending written notice to the researchers. If you withdraw your permission, you will not  
221 be able to stay in this study. When you withdraw your permission, no new health  
222 information identifying you will be gathered after that date. Information that has already  
223 been gathered may still be used and given to others.

224

225 **VIII. What if I decide not to give permission to use and give out my health  
226 information?**

227

228 Then you will not be able to be in this research study and receive research-related  
229 treatment. However, if you are being treated as a patient here, you will still be able to  
230 receive care.

231

232 **IX. Is my health information protected after it has been given to others?**

233

234 There is a risk that your information will be given to others without your permission. Any  
235 information that is shared may no longer be protected by federal privacy rules.

236

237 **X. May I review or copy my information?**

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239 Signing this authorization also means that you may not be able to see or copy your study-  
240 related information until the study is completed.

241

242 **15. Who can answer my questions about the study?**

243

244 For questions, concerns, or complaints about the study, or if you feel you have been  
245 harmed as a result of study participation, you may contact

246 *Dr. Mary Beth Happ, Ohio State University College of Nursing, 1585 Neil Ave., Columbus, OH  
247 43210 USA. Phone: 1-614-292-8336 Fax: 1-614-292-7976 Email: Happ.3@osu.edu.*

248

249 For questions related to your privacy rights under HIPAA or related to this research  
250 authorization, please contact

251

252 *HIPAA Privacy Manager, the Ohio State University Medical Center, 140 Doan Hall, 410  
253 W. Tenth Avenue, Columbus OH 43210 Tel: 614-293-4477.*

254

255 For questions about your rights as a participant in this study or to discuss other study-  
256 related concerns or complaints with someone who is not part of the research team, you

257       may contact Ms. Sandra Meadows in the Office of Responsible Research Practices at 1-  
258       800-678-6251.

259

260       If you are injured as a result of participating in this study or for questions about a study-  
261       related injury, you may contact

262

263       *Dr. Mary Beth Happ, Ohio State University College of Nursing, 1585 Neil Ave., Columbus, OH*  
264       *43210 USA. Phone: 1-614-292-8336 Fax: 1-614-292-7976 Email: Happ.3@osu.edu.*

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266

267

268 **Signing the consent form**

269

270 I have read (or someone has read to me) this form and I am aware that I am being asked to  
271 participate in a research study. I have had the opportunity to ask questions and have had them  
272 answered to my satisfaction. I voluntarily agree to participate in this study.

273

274 I am not giving up any legal rights by signing this form. I will be given a copy of this  
275 combined consent and HIPAA research authorization form.

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Printed name of subject

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Signature of subject

AM/PM

Date and time

---

Printed name of person authorized to consent for subject  
(when applicable)

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Signature of person authorized to consent for subject  
(when applicable)

AM/PM

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Relationship to the subject

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Date and time

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278

279

280 **Investigator/Research Staff**

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282 I have explained the research to the participant or his/her representative before requesting the  
283 signature(s) above. There are no blanks in this document. A copy of this form has been given  
284 to the participant or his/her representative.

285

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Printed name of person obtaining consent

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Signature of person obtaining consent

AM/PM

Date and time

286

287

288

**Witness(es) - May be left blank if not required by the IRB**

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Printed name of witness

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Signature of witness

AM/PM

Date and time

---

Printed name of witness

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Signature of witness

AM/PM

Date and time

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