

Study title:  
Enhancing the Success of Functional Restoration Using  
Integrative Therapies:  
Comparative Effectiveness Analysis in Active Duty Service Members  
with Chronic Pain

Informed Consent

Date of Initial Approval of Original Protocol: 4/28/2015

Date of Approval of Most Recent Informed Consent Form: 4/25/2018

(see approval stamp on page 1 of Informed Consent Document)

Today's date: 11/30/20

1 **MADIGAN ARMY MEDICAL CENTER**  
2 **Tacoma, WA**  
3

4 **Consent for Voluntary Participation in a Non-Clinical Research Study Entitled:**

5 “Enhancing the Success of Functional Restoration using Integrative Therapies: Comparative  
6 Effectiveness Analysis in Active Duty Service Members with Chronic Pain”

7 **Principal Investigator:** Dr. Diane Flynn, MD, Primary Care Pain Advisor, Interdisciplinary  
8 Pain Management Center

9 **Study site:** Interdisciplinary Pain Management Center, Madigan Army Medical Center

10 **Funded by:** U. S. Army Medical Research and Materiel Command

11 **1. INTRODUCTION OF THE STUDY**

12 You are being asked to participate in this research study because you were referred to the  
13 Interdisciplinary Pain Management Center (IPMC) for pain management. Your participation is  
14 voluntary. If you decline to participate this will not result in any punishment or loss of benefits  
15 to which you are otherwise permitted. Please read the information below and ask questions  
16 about anything you do not understand before deciding whether to take part in the study.

17 **2. PURPOSE OF THE STUDY**

18 The purpose of the study is to determine if certain therapies, such as acupuncture,  
19 chiropractic and yoga improve the effectiveness of the functional restoration intensive  
20 outpatient program (IOP) your doctor has recommended for you.

21 **3. PROCEDURES TO BE FOLLOWED**

22 If you agree to be in this study, you will be assigned to one of two study groups by chance,  
23 using a process similar to the flip of a coin. This process is called randomization. Neither you  
24 nor the study staff will select the group you will be assigned to. One treatment group will  
25 receive a course of acupuncture, chiropractic, yoga and, if  
26 recommended by your treatment team, medical massage and/or  
27 biofeedback during the three weeks prior to starting the IOP. The  
28 other group will not receive these therapies prior to the IOP, but will be  
29 given the option of those therapies after completing the IOP.

30 **4. AMOUNT OF TIME FOR YOU TO COMPLETE THE STUDY**

31 You will be part of this study from six to twelve weeks. Participation in  
32 this study may result in 13-17 additional visits to the IPMC during a  
33 three-week period to obtain the acupuncture, chiropractic, yoga and



34 other care. Each visit will last between 15-60 minutes. Every attempt will be made to cluster  
35 these visits together to limit your visits to Madigan to approximately two half-days per week.

36 **5. NUMBER OF PEOPLE THAT WILL TAKE PART IN THIS STUDY**

37 A total of 210 individuals are expected to take part in this study at Madigan.

38 **6. POSSIBLE RISKS OR DISCOMFORTS FROM BEING IN THIS STUDY**

39 The types of therapies and associated possible risks and discomforts from being in this  
40 research study include:

41 Functional rehabilitation: You will work closely with a physical therapy and occupational  
42 therapy team who will do a complete assessment of your fitness at the beginning of the  
43 program and create a gentle program to gradually and safely increase your strength and  
44 endurance. You may experience general muscle soreness for the first week or so, but this  
45 typically resolves during the program. If you experience a pain flare, the Physical and  
46 Occupational therapy team will give you advice on how to manage the flare.

47 Acupuncture: The risks of acupuncture are low, if conducted by a competent, certified  
48 acupuncture practitioner. All IPMC acupuncture providers have demonstrated competence  
49 and certification. Possible side effects and complications include:

50 Soreness. After acupuncture, you might have soreness, minor bleeding or bruising at the  
51 needle sites.

52 Organ Injury. If the needles are pushed in too deeply, they could puncture an internal organ-  
53 particularly the lungs. This is an extremely rare complication in the hands of an experienced  
54 practitioner.

55 Infections. Licensed acupuncturists are required to use sterile, disposable needles. A reused  
56 needle could expose you to diseases such as hepatitis.

57 Conditions that may increase your risks of complications include:

58 Bleeding disorders. Your chances of bleeding or bruising from the needles increase, if you  
59 have a bleeding disorder or if you're taking blood thinners such as warfarin (Coumadin).

60 Having a pacemaker. Some types of acupuncture involve applying mild electrical pulses to  
61 the needles, which can interfere with a pacemaker's operation.

62 Pregnancy. The majority of acupuncture sites and techniques are completely safe in  
63 pregnancy. However, there are a small number of acupuncture techniques that are not  
64 recommended in pregnancy and these techniques will not be used for participants who are or  
65 think they might be pregnant.

66 Chiropractic: Chiropractic adjustment is safe when it's performed by someone trained and  
67 licensed to deliver chiropractic care. The IPMC chiropractor has more than 20 years of  
68 experience. Serious complications associated with chiropractic adjustment are overall rare,  
69 but may include:

70 A herniated disk in the spine.

71 Compression of nerves in the lower spinal column, which can cause pain, weakness, loss of  
72 feeling in your legs, and loss of bowel or bladder control.

73 A certain type of stroke after neck manipulation.

74 Yoga: Like any exercise, yoga can have risks. People with herniated disks or osteoporosis,  
75 for example, should avoid deep forward bends. The IPMC yoga therapy assistant will instruct  
76 you not to do any movements that are uncomfortable.

77 Aquatics (pool) therapy: Pool therapy will be supervised by a trained medical professional  
78 and flotation devices will be used, so even people who cannot swim can safely participate.  
79 The pool is monitored to ensure it meets standards for chlorination and cleanliness.

80 Medical massage therapy: Medical massage is a low risk therapy, but may temporarily  
81 increase muscle soreness.

82 Biofeedback: Biofeedback is a method to help you increase awareness the effects of pain  
83 and muscle tension on your heart rate, breathing rate and muscle tone. There are no known  
84 risks of this method of monitoring.

85 Psychological Distress. If something in this research makes you uncomfortable or upset, you  
86 have the right to stop taking part in this research at any time without loss of benefits, and you  
87 may contact the investigator for referral. If the investigator notes that you are distressed or  
88 anxious about your participation in this research, you will be evaluated by the IPMC  
89 psychologist or referred to your primary care physician or professional counselor for help.

## 90 **7. POSSIBLE BENEFITS FROM BEING IN THIS STUDY**

91 You may benefit from this study because acupuncture, chiropractic, yoga and other non-  
92 conventional therapies may increase the effectiveness of the Intensive Outpatient Functional  
93 Restoration Program. This information will help us design effective treatment approaches  
94 for other service members with pain. However, no benefit can be guaranteed.

## 95 **8. CONFIDENTIALITY/PRIVACY OF YOUR IDENTITY AND YOUR RESEARCH** 96 **RECORDS**

97 The principal investigator will keep your research records. These records may be looked at  
98 by staff from the Representatives of the Department of Defense, Madigan Department of

99 Clinical Investigation, Regional Health Command - Pacific Institutional Review Board (IRB),  
100 the Army Human Research Protections Office (AHRPO), the study sponsor (Defense Health  
101 Program, Defense Medical Research and Development Program, U.S. Army Medical  
102 Research and Materiel Command), and other government agencies as part of their duties.  
103 These duties include making sure that the research participants are protected. Confidentiality  
104 of your records will be protected to the extent possible under existing regulations and laws  
105 but cannot be guaranteed. Complete confidentiality cannot be promised, particularly for  
106 military personnel, because information bearing on your health may be required to be  
107 reported to appropriate medical or command authorities. Your name will not appear in any  
108 published paper or presentation related to this study.

109 This research study meets the confidentiality requirements of the Health Insurance Portability  
110 and Accountability Act (HIPAA).

## 111 **9. ADDITIONAL INFORMATION ABOUT THIS STUDY**

112 A description of this clinical trial will be available on <http://www.ClinicalTrials.gov>, as required  
113 by U.S. law. This Web site will not include information that can identify you. At most, the Web  
114 site will include a summary of the results. You can search this Web site at any time.

## 115 **10. CONDITIONS UNDER WHICH YOUR PARTICIPATION IN THIS STUDY MAY BE** 116 **STOPPED WITHOUT YOUR CONSENT**

117 Your taking part in this study may be stopped without your consent if remaining in the study  
118 might be dangerous or harmful to you. Your taking part in this study may also be stopped  
119 without your consent if the military mission requires it, or if you lose your right to receive  
120 medical care at a military hospital, any monitoring procedures that you are involved in that  
121 might result in injury for you or inadequate cooperation on your part.

## 122 **11. ELIGIBILITY AND PAYMENT FOR BEING IN THIS STUDY**

123 You will not receive any payment for your participation this study.

## 124 **12. COMPENSATION IF INJURED AND LIMITS TO MEDICAL CARE**

125 There are no plans for you to receive any compensation (payment) should you be injured as  
126 a direct result of being in this study. This is not a waiver or release of your legal rights or any  
127 legal remedy available to you. You should discuss this issue thoroughly with the principal  
128 investigator before you enroll in this study.

129 Should you be injured as a result of your participation in this study, you will be given medical  
130 care for that injury at no cost to you. Medical care is limited to the care normally allowed for  
131 Department of Defense health care beneficiaries (patients eligible for TRICARE coverage  
132 and care at military hospitals and clinics). Necessary medical care does not include in-home

133 care or nursing home care. If you need to be hospitalized, you may have to pay the normal  
134 fees for subsistence (hospital meals), as per standard regulations.

135 If at any time you believe you have suffered an injury or illness as a result of participating in  
136 this research project, you should contact the Madigan Human Subjects Administrator at 253-  
137 968-0147.

### 138 **13. COSTS THAT MAY RESULT FROM TAKING PART IN THIS STUDY**

139 There is no charge to you for taking part in this study.

### 140 **14. IF YOU DECIDE TO STOP TAKING PART IN THIS STUDY AND THE INSTRUCTIONS** 141 **FOR STOPPING EARLY**

142 You have the right to withdraw from this study at any time. If you decide to stop taking part in  
143 this study, you should tell the principal investigator as soon as possible; by leaving this study  
144 at any time, you in no way risk losing your right to medical care.

### 145 **15. YOUR RIGHTS IF YOU TAKE PART IN THIS STUDY**

146 Taking part in this study is your choice. You may choose either to take part or not to take  
147 part in the study. If you decide to take part in this study, you may leave the study at any time.  
148 No matter what decision you make, there will be no penalty to you and you will not lose any  
149 of your regular benefits. Leaving the study will not affect your medical care.

### 150 **16. AUTHORIZATION FOR RESEARCH USE OF PROTECTED HEALTH INFORMATION**

151 The Federal Health Insurance Portability and Accountability Act (HIPAA) includes a Privacy  
152 Rule that gives special safeguards to Protected Health Information (PHI) that is identifiable, in  
153 other words, can be directly linked to you (for example, by your name, Social Security  
154 Number, birth date, etc.). We are required to advise you how your PHI will be used.

#### 155 **(1).What information will be collected?**

156 For this research study, we will be collecting information about your pain intensity, mood,  
157 distress, muscle tension, medication use and functional level. To determine how these  
158 factors change during and after your participation, the PHI we will collect includes your name,  
159 date of birth, gender, race, ethnicity, social security number, DoD ID number, medications  
160 prescribed, and medical evaluation board (MEB) status.

#### 161 **(2).Who may use your PHI within the Military Healthcare System?**

162 The members of the research team will have access to your health information in order to  
163 find out if you qualify to participate in this study, to administer research therapy, to monitor  
164 your progress and to analyze the research data. Additionally, your PHI may be made

165 available to health oversight groups such as the Madigan Department of Clinical Investigation  
166 and the Regional Health Command – Pacific Institutional Review Board.

167 **(3).What persons outside of the Military Healthcare System who are under the HIPAA**  
168 **requirements will receive your PHI?**

169 The study sponsor may need to do an audit of your research records. If you experience a  
170 complication, we may be required to provide information about your medical history (with your  
171 name and other personal identifying information blocked out) to the study sponsor.

172 **(4).What is the purpose for using or disclosing your PHI?**

173 The members of the research team need to use your PHI in order to analyze the information  
174 and to monitor your safety.

175 **(5). How long will the researchers keep your PHI?**

176 The research team in the Interdisciplinary Pain Management Center will keep the research  
177 data for up to six years after the end of the study. Then all the information will be destroyed.  
178 The master code, which links your name and social security number to your response to pain  
179 care, will be destroyed as soon as all data collection is completed.

180 **(6). Can you review your own research information?**

181 You will not be able to look at your research information until the study has ended.

182 **(7). Can you cancel this Authorization?**

183 Yes. If you cancel this Authorization, however, you will no longer be included in the research  
184 study. The information we collected from you can be destroyed at your request. If you want  
185 to cancel your Authorization, please contact the Principal Investigator in writing.

186 **(8).What will happen if you decide not to grant this Authorization?**

187 If you decide not to grant this Authorization, you will not be able to participate in this research  
188 study. Refusal to grant this Authorization will not result in any loss of your medical benefits.

189 **(9). Can your PHI be disclosed to parties not included in this Authorization who are not**  
190 **under the HIPAA requirements?**

191 There is a potential that your research information will be shared with another party not listed  
192 in this Authorization in order to meet legal or regulatory requirements. Examples of persons  
193 who may access your PHI include representatives of the Food and Drug Administration, the  
194 Department of Health and Human Services (DHHS) Office for Human Research Protections  
195 (OHRP), and the DHHS Office for Civil Rights. This disclosure is unlikely to occur, but in that  
196 case, your health information would no longer be protected by the HIPAA Privacy Rule.

197 **(10).Who should you contact if you have any complaints?**

198 If you believe your privacy rights have been violated, you may file a written complaint with the  
199 Madigan HIPAA Officer, 9040 Jackson Ave, Tacoma, WA, 98431. Telephone: 253-968-1642.  
200 Your signature at the end of this document acknowledges that you authorize Madigan  
201 personnel to use and disclose your Protected Health Information (PHI) collected about you  
202 for research purposes as described above.

203 **17. CONTACTS FOR QUESTIONS ABOUT THE STUDY**

204 If you have questions about the study, or if you think you have a study-related injury, you  
205 should contact the Principal Investigator, Dr. Diane Flynn at 253-968-5165. For questions  
206 about your rights as a research participant, contact the Regional Health Command – Pacific  
207 IRB Office at 253-968-0149, or the Madigan Staff Judge Advocate Office, telephone 253-968-  
208 1525.

209 A copy of this signed consent form will be provided to you.

210 **SIGNATURE OF RESEARCH PARTICIPANT**

211 You have read the information in this consent form. You have been given a chance to ask  
212 questions and all of your questions have been answered to your satisfaction.

213 **BY SIGNING THIS CONSENT FORM, I FREELY AGREE TO TAKE PART IN THE**  
214 **RESEARCH IT DESCRIBES.**

215 \_\_\_\_\_  
216 Subject's Signature Date  
217

218 \_\_\_\_\_  
219 Subject's Printed Name  
220

221 **SIGNATURE OF INVESTIGATOR / PERSON CONDUCTING CONSENT**

222 You have explained the research to the volunteer and answered all of his/her questions. You  
223 believe that the volunteer subject understands the information described in this document  
224 and freely consents to participate.

225 \_\_\_\_\_  
226 Investigator/Person Conducting Consent Signature Date (must be same as the subject's)  
227

228 \_\_\_\_\_  
229 Investigator/Person Conducting Consent Printed Name