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Brooke Army Medical Center Institutional Review Board

HUMAN SUBJECTS RESEARCH PROTOCOL APPLICATION – Part B

1. <u>PROTOCOL TITLE</u>: Incorporation of Mindfulness Exercises to Reduce Anxiety and Pain during Urodynamic Testing: A Randomized Controlled Trial

2. <u>ABSTRACT</u> Urodynamic study (UDS) is a series of tests that evaluate bladder and urethral function. UDS is associated with pain and anxiety due to the invasive nature of the testing. Several interventions have been attempted to reduce the unpleasantness associated with UDS without success. A novel technique that has been shown to reduce chronic bladder pain is mindfulness. We want to study the changes in patient's perceptions of UDS after a mindfulness intervention.

3. OBJECTIVES/SPECIFIC AIMS/RESEARCH QUESTIONS.

The objective of the study is to investigate whether mindfulness techniques improve patient's perceptions of UDS testing.

- 1. Objective #1-To evaluate changes in anxiety symptoms during UDS testing.
 - Hypothesis: anxiety will improve during UDS testing with prior mindfulness intervention.
- 2. Objective#2- To evaluate changes in pain intensity during UDS testing.
- Hypothesis: pain will improve during UDS testing with prior mindfulness intervention

4. MILITARY RELEVANCE

Pain and anxiety that may be present in UDS impacts the emotional and physical distress experienced by active duty service members and beneficiaries who need testing. The emotional and physical distress can interfere with the accuracy of their diagnosis so that the proper treatment is not administered for their bothersome urinary issues. This may lead the patient to suffer and this may restrict them from vital training, military readiness, or important life events.

32 5. BACKGROUND AND SIGNIFICANCE

Urodynamic study (UDS) is a series of tests that evaluate the bladder and urethral function. UDS involves placing
 catheters that are into the bladder and rectum that can be uncomfortable. UDS is conducted by several people during
 testing and UDS may require an extended amount of time that can further add to the emotional and physical demands.
 Not surprisingly, UDS has been reported to be associated with anxiety, embarrassment, and pain^{1,2}.

Several previous techniques to improve patient's perceptions during UDS have been unsuccessful³⁻⁵. These interventions
 include lavender oil, music, and an educational video, and have not improved patients' experiences during UDS. Written
 patient educational material given before UDS testing has mixed results in the literature³⁻⁴.

42 Mindfulness based stress reduction is a technique that improves coping during stress events. Mindfulness has been 43 shown to reduce anxiety and pain in the chronic pain disorders of the bladder⁶. Mindfulness can enhance, "detached 44 observation" which can aid in reducing subjective negative evaluation of nociceptive stimuli⁷. It has been suggested that 45 mindful meditation enhances self-regulation which is beneficial during physically and emotionally taxing experiences⁷

48 6. RESEARCH DESIGN

A prospective randomized controlled pilot trial will be conducted from patients that undergo UDS testing. Eligible study subjects that are willing to participate will be accrued from the Urology clinic. Subjects will be consented by the Pl. Subjects will be randomized between standard clinic UDS or UDS with mindfulness exercises. Subjects will not be blinded to the intervention or control group, although the physician performing the UDS will be blinded to the allocation of treatment. A power calculation was performed and 30 subjects are required for this study. Assessment or methodology will be from questionnaires after UDS testing. All subjects will be evaluated with an anxiety questionnaire, urodynamic discomfort guestionnaire, and pain visual analog scale.

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59 **7.** <u>**RESEARCH PLAN**</u> 60

61 7.1 Selection of Subjects

7.1.1. Subject Population. The target population includes all adult (DoD beneficiaries) and active duty patients over the age
 of 18 that are scheduled to have UDS testing. Pregnant women, children, basic trainees or other special populations will not
 be included.

67 **7.1.2. Source of Research Material**.

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Source of Research Material	Clinical Purposes(Y/N)	Research Purposes (Y/N)
Anxiety Questionnaire	Ν	Υ
UDS Questionnaire	Ν	Y
Pain VAS	Ν	Y

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70 7.1.3. Inclusion and Exclusion Criteria. Inclusion criterion includes adults (DoD beneficiaries and active duty patients
 71 over the age of 18-80) scheduled for UDS testing. Diagnosis to include urinary symptoms requiring urodynamic testing are
 72 stress urinary incontinence, Mixed urinary incontinence, Urgency urinary incontinence, overactive bladder, urinary
 73 retention, and lower urinary tract symptoms.

Exclusion criteria include age less than 18 years, pregnant-as verified by urine or blood sample, have an alteration in
 neurologic bladder function, or inability to provide informed consent. Condition that will be excluded include neurogenic
 bladder, Interstitial cystitis, chronic bladder pain, and inability to have urodynamics performed.

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79 7.1.4. Description of the Recruitment and Prescreening Process. The PI will be present at UDS testing and, in the same
 80 setting, will identify potential study participants by reviewing their medical record. The PI will recruit potential study
 81 participants for enrollment into this study by discussing it with them and reviewing the attached consent. After completion of
 82 informed consent, subjects will be enrolled in the study. Subjects will register into the study and entered into randomization as
 83 described previously.

7.1.5. Subject Screening Procedures. Pregnancy testing for subjects will the possibility of becoming pregnant which is
 already routine testing before UDS testing. Patients who, based on medical record or clinic visit, lack capacity to provide
 informed consent will not be included in the study.

7.1.6. Consent Process. The PI will obtain the informed consent in a private clinic room on the day of the UDS testing. For
subjects who wish to participate in the study, informed consent will be obtained at the time of counselling by the PI. Subjects
who do not demonstrate the ability to understand or the willingness to sign the written consent document will be excluded from
study entry.

7.1.7. Compensation for participation. No compensation or payment to subjects will be made for participation in this
 study.

97 **7.2 Drugs, Dietary Supplements, Biologics, or Devices.**

- 98 99 **7.2.1 N/A**
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- 101 7.2.2 N/A

7.3. Study Procedures/Research Interventions. Potential subjects will be invited to participate in this study by the PI, when 103 it is determined that a UDS evaluation is appropriate for their standard treatment. All subjects who agree to participate will be 104 randomized into either the control group or the intervention (mindfulness exercise) group. Randomization assignment will be 105 106 computer generated at a 1:1 ratio. On the day of their scheduled appointment subjects will present to the Urology Clinic at SAMMC. All subjects will be escorted to an exam room within the Urology Clinic, as per usual protocol, where they will be 107 108 consented to participate in the study. After consenting to participate, subjects will be asked to complete an initial self-report 109 assessment of state anxiety (see attached). Following completion of the initial state-anxiety assessment, subjects in the control group will be asked to sit alone quietly in the exam room with the lights on, uninterrupted, for 10 minutes. They will be 110 given no other instructions other than to wait in the exam room. Subjects in the intervention group will be lead through a 111 112 mindfulness exercise in which they will be asked to focus their attention on their breath (see attached script). This exercise will take approximately 10 minutes and will be led by a Clinical Psychologist. Mindfulness exercises facilitate a state of detached 113 observation of the subject's situation and reduce negative physical and emotional stimuli7. At the end of the 10 minutes 114 (control) or the completion of the mindfulness exercise (intervention), all subjects will complete a second state-anxiety 115 assessment. Routine UDS evaluation (one catheter in the rectum and one catheter in the bladder) will commence for all 116 subjects. Following completion of the UDS evaluation, subjects will complete a third and final assessment of state-anxiety in 117 which they will be asked to assess their level of anxiety when it was at its highest while undergoing the UDS evaluation. 118

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Assessment	Visit / Follow Up (F/U) Interval		
Study Day /	1 week	Procedure	
period	before		
Informed		х	
Consent, discuss			
Plan, etc.			
Randomization		х	
Demographics,		х	
History &			
Physical			
Anxiety Questionnaire		х	
UDS Questionnaire		Х	
Pain VAS		Х	
Self-evaluation		Х	
Questionnaire			

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- 124 7.3.1 Collection of Human Biological Specimens, N/A 125
- 126 7.3.1.1 Laboratory evaluations and special precautions. N/A 127

128 7.3.1.2 Specimen storage. N/A 129

130 7.3.2 Data Collection. A de-identified electronic database will be maintained by the PI and associate PIs on a CAC protected computer. Access to the database will be only by study investigators. Database will be password and firewall 131 protected. There will be no transmission of data or external databases. 132

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- 134 7.3.3. Human Biological Specimens/Tissue/Data Banking. N/A
- Statistical Consideration 136

137 138 7.4 7.4.1 Sample Size Estimation. Based on a sample size calculation, to achieve an alpha of 0.05 with power of 80% 139 sample size should incorporate 25 subjects. After accounting for 15-20% drop out/withdrawal, a total of 30 patients should 140 be enrolled.

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Estimate Required Sample Size	25
Estimate Participant Drop Out / Withdrawal	5
Total Enrollment Requirement	30
Enrollment at Each Site	
BAMC	30

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7.4.2 Primary (i.e., primary outcome variables) and secondary endpoints. The primary outcome is a reduction in anxiety
 as measured by the anxiety survey. Secondary outcome is a reduction in physical distress as measured by the pain VAS and
 UDS survey. Secondary endpoints will include self-evaluation questionnaires to assess differences between both groups.

7.4.3 Data analysis. ANOVA will be used to compare the visual analog scale scores between groups. Paired t-test will be used to evaluate the anxiety difference. Chi-squared test will be used to compare self-evaluation categories between both groups.

153 7.7 Confidentiality. Questionnaires will be de-identified of PII. Data will be entered into the database within 24 hours of collection. Questionnaires will then be stored in a locked cabinet in the Urology Clinic, only accessible by Dr Jellison. 154 155 Electronic database will be stored on a CAC protected computer within a password protected folder. Only investigators in this 156 study will have access to the electronic database. Upon completion of the study, hard copy data will be archived and secured in a locked cabinet within a locked office. The signed informed consent documents will be retained for 3 years and the signed 157 HIPAA Authorizations will be maintained for 6 years after the date of completion, after which, the files will be destroyed in 158 HIPAA approved disposal container and electronic data will be destroyed through the Information Management Division (IMD) 159 as per SAMMC protocol. 160 161

162 **7.7.1 Certificate of Confidentiality.** Particularly sensitive information will not be collected.

164 8.0 RISKS/BENEFITS ASSESSMENT

8.1 Risks. Risks associated with participating in this study are minimal. There is a very slight risk for loss of
 confidentiality. This risk will be minimized by maintaining data on secure computers. There may be minimal risks to
 subjects of emotional unease by participating in mindfulness exercises.

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8.2 Potential Benefits. Subjects may experience lower levels of anxiety, pain and/or discomfort after undergoing
 mindfulness exercises.

174 9.0 ADVERSE EVENTS, UNANTICIPATED PROBLEMS, AND DEVIATIONS

9.1 No adverse events are anticipated. If subjects are not comfortable with the UDS testing, we will stop testing as per standard clinic protocol.

9.2 Reporting Unanticipated Problems Involving Risks to Subjects or Others, Serious Adverse Events and Deaths to the Office of the IRB, BAMC.

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All unanticipated problems involving risk to subjects or others, serious adverse events, and all subject deaths related to the study will be reported within 48 hours of the research team's knowledge of the event by phone (210-916-0606), by e-mail (BAMC_IRB_AE@amedd.army.mil), by facsimile (210-916-1650) or via letter addressed to Human Protections Administrator, Office of the Institutional Review Board, Brooke Army Medical Center, Attn: MCHE-CI,3698 Chambers Pass, Fort Sam Houston, TX 78234-6315. A complete written report will follow the initial notification within 10 working days.

190 9.3 Research Monitor. N/A

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- 10.0 WITHDRAWAL FROM STUDY PARTICIPATION. In the event a subject decides to withdraw from the study, the
 mindfulness exercises will end and the patient will proceed with routine UDS evaluation. There are no consequences to
 the subject from withdrawing from the study.
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196 11.0 USAMRMC Volunteer Registry Database. N/A

198 12.0 REFERENCES.

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216 **13.0 TIME REQUIRED TO COMPLETE THE RESEARCH (including data analysis).**

With an estimated accrual of 6 patients per month, participant accrual is estimated at 10 months. Another 2 months would be spent processing data. This results in an estimated study duration of 12 months.

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221 **14.0 STUDY CLOSURE PROCEDURES**

A Protocol Closure Report will be completed and submitted to the Brooke Army Medical Center IRB upon completion of all data collection, analysis and publications/presentations. The informed consent document will be kept for a minimum of 3 years, and the HIPAA Authorization form will be kept for a minimum of 6 years, following completion of the study at the urology clinic in locked cabinet that is accessible by the PI

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