

Clinical Trial Protocol

	Document Number: c15848749-03					
EudraCT No.:	2017-001107-71					
BI Trial No.:	1399-0002					
BI Investigational Product	BI 1265162					
Title:	Safety, tolerability and pharmacokinetics of multiple rising inhaled doses of BI 1265162 in healthy male subjects in a randomised, double blind, placebo-controlled trial					
Lay Title:	This study in healthy men tests how different doses of BI 1265162 are taken up in the body and how well they are tolerated.					
Clinical Phase:	I					
Trial Clinical Monitor:						
	Phone: Fax:					
Principal Investigator:						
	Phone: Fax:					
Status:	Final Protocol (Revised Protocol (based on global amendment 2))					
Version and Date:	Version: 3.0 Date: 04 July 2018					
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CLINICAL TRIAL PROTOCOL SYNOPSIS

Name of company:		Tabulated Trial Protocol			
Boehringer Ingelheim		THAI I TOUCUI			
Name of finished produ	ct:				
Not applicable					
Name of active ingredie	ent:				
BI 1265162					
Protocol date:	Trial number:		Revision date:		
23 May 2018	1399-0002		04 July 2018		
Title of trial:	Safety, tolerability and pharmacokinetics of multiple rising inhaled doses of BI 1265162 in healthy male subjects in a randomised, double blind, placebocontrolled trial				
Principal Investigator:					
Trial site:					
Clinical phase:	I				
Objectives:	To investigate safety, tol BI 1265162	erability and pharmacokinetics, foll	owing multiple doses of		
Methodology:	Double-blind, randomise	ed within dose groups, placebo-cont	rolled, parallel-group		
No. of subjects:					
total entered:	50*				
each treatment:	10 per dose group (8 on a	active drug and 2 on placebo)			
	experience gained during th	be entered to allow testing of additional ne trial conduct, provided the planned at actual number of subjects entered may of	nd approved highest dose will		
Diagnosis:	Not applicable				
Main criteria for inclusion:	Healthy male subjects, as 29.9 kg/m ²	ge of 18 to 45 years, body mass ind	ex (BMI) of 18.5 to		
Test product:	BI 1265162				
dose:	10, 30, 100, 300, 600 µg	bid			
mode of admin.:	Inhalation by RESPIMA	T			
Comparator product:	Placebo				
dose:	Not applicable				
mode of admin.:	Inhalation by RESPIMA	T			
Duration of treatment:	8 days (single dose admi	nistration on day 1 and 8, bid dosing	g on days 2-7)		

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Name of company:		Tabulated Trial Protocol	
Boehringer Ingelheim			
Name of finished prod	uct:		
Not applicable			
Name of active ingredi	ient:		
BI 1265162			
Protocol date: 23 May 2018	Trial number: 1399-0002		Revision date: 04 July 2018
Criteria for pharmacokinetics:		$AUC_{\tau,1}$ and C_{max} $AUC_{\tau,ss} \text{ and } C_{max,ss}$	
Criteria for safety:		ess safety and tolerability of BI 1265 drug-related adverse events.	162 is the number
Statistical methods:	Descriptive statistics wil	l be calculated for all endpoints	

FLOW CHART

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Visit	Day	Planned time (relative to drug administration [h:min]	Approximate clock time of actual day [h:min]	Event and comment	Safety laboratory	PK blood		12-lead ECG ¹⁰	Vital signs (BP, PR)	Spirometry	Questioning for AEs and concomitant therapy ⁶
1	-21 to -2			Screening (SCR) ¹	x x ⁷			X	X	X	
2	-3 to -1			Ambulatory visit (Device training)	X'						X
	-1	-25:00	07:00	Admission to trial site (Device training, if needed)	x ⁵						Х
		-24:00	08:00	,							
		-22:00	10:00	240 mL fluid intake							
		-20:00	12:00	240 mL fluid intake, thereafter lunch ³							
		-16:00	16:00	Snack (voluntary) ³							
		-11:00	19:00	Dinner							
		-12:00	20:00								
	1	-1:30	06:30	Allocation to treatment ² (Device training, if needed)	x ^{2,18}	x ²		x ^{2,9}	x ²	x ²	x ²
		0:00	08:00	Drug administration							
		0:02	08:02			X	-				
		0:05	08:05			X					
		0:10	08:10			X	_	х	X		х
		0:15	08:15			X					
		0:20	08:20			X				X	
		0:40	08:40			X					
		1:00	09:00			X		X	X		X
		2:00	10:00	240 mL fluid intake	x ¹³	X				X	
		4:00	12:00	240 mL fluid intake, thereafter lunch ³		X		X	X		X
		8:00	16:00	Snack (voluntary) ³		X					
		11:00	19:00	Dinner ³							
		12:00	20:00			X		Х	X		Х
	2	23:55	07:55		X	X					X
		24:00	08:00	Drug administration							
		24:10	08:10	D 16			Ļ .	X	X		
		24:30	08:30	Breakfast			ļ .				
		28:00	12:00	Lunch			<u> </u>				
		32:00	16:00	Snack (voluntary)			Ļ -				
		35:00 36:00	19:00	Dinner Drug administration							
	3	47:55	20:00 07:55	Di ug aummsu auon		v	L -				X
	3	48:00	08:00	Drug administration		X	L -				X
		40.00	00:00	Di ug administration							

Visit	Day	Planned time (relative to drug administration [h:min]	Approximate clock time of actual day [h:min]	Event and comment	Safety laboratory	PK blood		12-lead ECG ¹⁰	Vital signs (BP, PR)	Spirometry	Questioning for AEs and concomitant therapy ⁶
		48:10	08:10					X	X		
		48:30	08:30	Breakfast							
		50:00	10:00		x ¹³						
		52:00	12:00	Lunch							
		56:00	16:00	Snack (voluntary)	1						
		59:00	19:00	Dinner							
		60:00	20:00	Drug administration	1						X
	4	71:55	07:55		1						X
		72:00	08:00	Drug administration							
		72:30	08:30	Breakfast	13						
		74:00	10:00	7 1	x ¹³						
		76:00 80:00	12:00 16:00	Lunch	1		-				
			19:00	Snack (voluntary) Dinner	1						
		83:00 84:00	20:00		1						
	-	95:55	07:55	Drug administration	1		-				X
	5	96:00	08:00	Dang administration		X	-				X
		96:00	08:00	Drug administration		**	-				
		96:15	08:15			X	-	X	X		
		98:00	10:00	240 mL fluid intake							
		100:00	12:00	240 mL fluid intake, thereafter			-			X	
		100.00	12.00	lunch ³							
		104:00	16:00	Snack (voluntary)							
		107:00	19:00	Dinner ³							
		108:00	20:00	Drug administration							Х
	6	119:55	07:55								X
		120:00	08:00	Drug administration							
		120:10	08:10					X	X		
		120:30	08:30	Breakfast							
		122:00	10:00		x ¹³						
		124:00	12:00	Lunch			_				
		128:00	16:00	Snack (voluntary)			_				
		131:00	19:00	Dinner							
		132:00	20:00	Drug administration							X
	7	143:55	07:55		ļ	X	L -				X
		144:00	08:00	Drug administration	 		L -				
		144:10	08:10	D 16	1	X	Ļ -				
		144:30	08:30	Breakfast	1		Ļ -				
		148:00	12:00	Lunch	1		Ļ -				
		152:00	16:00	Snack (voluntary)	1		ļ -				
		155:00	19:00	Dinner	1		Ļ -				
		156:00	20:00	Drug administration		<u> </u>					X

Visit	Day	Planned time (relative to drug administration [h:min]	Approximate clock time of actual day [h:min]	Event and comment	Safety laboratory	PK blood		12-lead ECG ¹⁰	Vital signs (BP, PR)	Spirometry	Questioning for AEs and concomitant therapy ⁶
	8	164:30	06:30		12			X	X		X
		167:55	07:55		x ¹³	x ⁸					
		168:00	08:00	Drug administration		8					
		168:02	08:02			x ⁸					
		168:05	08:05			x ⁸					
		168:10	08:10			x ⁸		X	X		
		168:15	08:15			x ⁸					
		168:20	08:20			x ⁸				X	
		168:40	08:40			x ⁸					
		169:00	09:00			x ⁸	_	X	X		
		170:00	10:00	240 mL fluid intake	x ¹³	x ⁸	_			X	
		172:00	12:00	240 mL fluid intake, thereafter lunch ³		x ⁸		X	X		Х
		176:00	16:00	Snack (voluntary) ³		x ⁸					
		179:00	19:00	Dinner ³							
		180:00	20:00			x ⁸		Х	Х		х
	9	191:30	07:30				Ī -	X	X		Х
		192:00	08:00		x ¹³	x ¹⁹	_				
		192:30	08:30	Breakfast			<u> </u>				
		196:00	12:00	Lunch			<u> </u>				
		200:00	16:00	Snack (voluntary)			<u> </u>				
		203:00	19:00	Dinner			<u> </u>				х
	10	216:00	08:30	Breakfast (voluntary) -			†				x x ²⁰
	-			discharge			L				
3	10 to 15	_		End of trial (EOS) examination ⁴	X			X	X		X

- Screening includes subject information, informed consent, physical examination, check of vital signs, 12-lead ECG), safety laboratory (including alcohol breath test, drug screening), demographics (including determination of body height and weight, smoking status and alcohol history), medical history, concomitant therapy and review of inclusion/exclusion criteria.
- 2. The time is approximate; the respective procedure is to be performed and completed within 3 h prior to drug administration. Allocation to treatment may be performed at any time following enrolment but must be completed prior to (first) drug administration.
- 3. If several actions are indicated at the same time point, the intake of meals will be the last action.
- 4. End of trial examination includes physical examination, vital signs, ECG, safety laboratory, recording of AEs and concomitant therapies (if on the same day as Day 10 of visit 2, breakfast and discharge from site will be done after last EOS procedures).
- 5. Only urine drug screening and alcohol breath test will be done at this time point.
- 6. AEs and concomitant therapies will be recorded throughout the trial, but will be specifically asked for at the time points indicated in the Flow Chart above.
- 7. Safety laboratory to be taken and to be medically evaluated within 3 days prior to administration of study drug; this safety laboratory can be omitted, if the screening examination is performed on Days -3, or -2.
- 9. At baseline (i.e. Day 1, prior to drug administration) 3 triplicate ECGs are recorded within approximately one hour. The recordings should be separated by at least 15 minutes.

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- 10. The ECG recording has to be performed as triple at this time points (Visit 1 and 3, ECGs as single only)
- 11. Sampling times and periods may be adapted based on information obtained during the trial including addition of samples and visits as long as the total blood volume taken does not exceed 500 mL per subject
- 13. Serum electrolytes only
- 20. Must not be performed when day 10 of visit 2 is on the same day as EOT

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ABBREVIATIONS

AΕ Adverse event

AESI Adverse events of special interest

AMG Arzneimittelgesetz (German drug law)

 $AUC_{\tau,1}$ Area under the concentration-time curve of the analyte in plasma over a

uniform dosing interval τ after administration of the first dose

Boehringer Ingelheim BIbid Bis in die, twice daily

Below limit of quantification BLQ

Body mass index (weight divided by height squared) BMI

BP Blood pressure

CA Competent authority

CF Cystic fibrosis

CFTR Cystic fibrosis transmembrane conductance regulator

Confidence interval CI

 C_{max} Maximum measured concentration of the analyte in plasma

COPD Chronic obstructive pulmonary disease

Case report form **CRF** CTP Clinical trial protocol

CTR Clinical trial report

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CV Arithmetic coefficient of variation

DILI Drug induced liver injury

ECG Electrocardiogram
EDC Electronic data capture

EDTA Ethylenediaminetetraacetic acid

ENaC Epithelial sodium channel

EOS End of study

FEV₁ Forced expiratory volume in 1 second

FVC Forced vital capacity

gCV Geometric coefficient of variation

gMean Geometric mean

IB Investigator's brochure

IEC Independent Ethics Committee
IRB Institutional Review Board

ISF Investigator site file

LC-MS/MS Liquid chromatography with tandem mass spectrometry

MedDRA Medical Dictionary for Regulatory Activities

MRD Multiple-rising dose

NC Not calculated NOA Not analysed

NOAEL No observed adverse effect level

NOR No valid result

NOS No sample available

PE Polyethylene

PK Pharmacokinetic(s)
PKS Pharmacokinetic set
PP Polypropylene
PR Pulse rate

qd Quaque die, once daily

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QT Time between start of the Q-wave and the end of the T-wave in an

electrocardiogram

QT interval corrected for heart rate using the method of Fridericia (QTcF)

or Bazett (QTcB)

REP Residual effect period SAE Serious adverse event

SCR Screening

SRD Single-rising dose ss (at) steady state

SUSAR Suspected Unexpected Serious Adverse Reaction

TDMAP Trial Data Management and Analysis Plan

TMF Trial master file

TSAP Trial statistical analysis plan

ULN Upper limit of normal

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1. INTRODUCTION

1.1 MEDICAL BACKGROUND

BI 1265162, an epithelial sodium channel (ENaC) inhibitor, is to be developed in cystic fibrosis (CF) and chronic obstructive pulmonary disease (COPD). CF and COPD are chronic respiratory disorders characterized by airflow obstruction. ENaC is expressed on airway epithelial cells and functions as an ion channel for sodium. It mediates sodium reabsorption and regulates the water content and volume of the luminal fluid thereby maintaining airway surface liquid (ASL) and in turn regulating mucociliary clearance.

Cystic fibrosis is a lethal, inherited, multi-organ disease due to exocrine gland dysfunction that predominantly affects the lower respiratory tract and pancreas leading to chronic respiratory failure and pancreatic insufficiency. It is the most common lethal inherited disease in Caucasians [R01-1277] occurring in approximately 1 in 3000 births [R15-5503]. Pulmonary treatments include supportive care e.g. airway clearance techniques, antibacterial (including inhaled tobramycin and aztreonam), muco-active (e.g. dornase alpha and hypertonic saline) therapies are the cornerstone of pharmacotherapy [P13-14084], and more recently therapies targeting the CFTR [R17-1997]. Lung transplantation is also used. Despite recent advances, over 90% of patients surviving the neonatal period will develop pulmonary involvement and at least 90% will die due to pulmonary complications [P96-3855]. The median age of death is below 40 years old [R15-5546].

In CF, the cystic fibrosis transmembrane conductance regulator (CFTR) gene is dysfunctional resulting in impaired epithelial chloride (Cl-) transport [R15-5486] leading, in turn, to reduced water secretion into the airway surface layer (ASL). The functional defect of the CFTR is also associated with an increase of ENaC activation, increased sodium [R15-5507] and water absorption from the airway epithelial lining fluid. These effects lead to mucus dehydration and reduction in the height of the periciliary layer, which is normally tightly controlled to maintain optimal mucocillary clearance. The mucus becomes thickened, tenacious and adherent leading to collapsed cilia and poor mucus clearance [R15-4955]. The static mucus can, in itself, trigger an inflammatory response, but also provides an ideal environment for bacterial colonisation with bacterial infection that is often acquired in childhood and that persists throughout the patient's life [R15-4984, R15-4955]. Lung destruction is caused by a cycle of infection, inflammation, and injury, with obstruction of the airways. The dehydrated, thickened secretions, resultant endobronchial infection, and exaggerated inflammatory response lead to mucus plugging, bronchiectasis and progressive obstructive airways disease.

COPD prevalence is still rising due to increased smoking, particularly among women and adolescents. According to the 2015 estimates of the World Health Organization (WHO), 65 million people suffer from moderate to severe COPD. By 2030, COPD will be the third leading cause of death worldwide [R15-3034].

COPD is associated with significant morbidity and mortality. Smoking cessation is the only therapy known to alter the natural history of COPD. The management of stable COPD is

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directed towards reducing symptoms and future risk (prevention and treatment of exacerbations and disease progression). Pharmacotherapy is based primarily on bronchodilator drugs including long acting beta agonists (LABA) and long anti-muscarinic antagonists (LAMA) and inhaled or oral corticosteroids. Other treatments include the anti-inflammatory roflumilast, influenza and pneumococcal vaccinations, and treatment of exacerbations. The mucolytic therapy N-acetylcysteine may have a small effect on exacerbations [P11-05794]. An ENaC inhibitor would be expected to have additional effect compared to mucolytic therapies given the direct effects on mucus hydration.

ENaC is expressed widely on the apical side of epithelial cells in the lung and there is increasing evidence for the role the ENaC in the pathogenesis of both CF and COPD. Despite the differences in the underlying pathology between the two diseases, changes in the biophysical characteristics of the mucus are apparent with impaired transport of mucus (reduced mucus clearance) leading to mucus plugging, airflow obstruction and a milieu conductive to bacterial colonisation which in turn leads to worsening symptoms, lung function, and an increase in exacerbations. In both CF and COPD inhibition of ENaC is anticipated to reduce sodium uptake and water absorption in the airways which should translate to improvement of mucociliary clearance, pulmonary function, symptoms and quality of life whilst reducing bacterial colonization of the lower airways, and exacerbations and hospitalizations. Administration of an inhaled formulation of the potassium sparing diuretic amiloride, an ENaC inhibitor, resulted in increased mucus clearance in cystic fibrosis patients [R15-5487, R15-5485, R15-5349], but not clinical efficacy, possibly die to poor pharmacokinetic properties of administering this normally oral drug by the inhalation route [R15-5349, R15-5599, R15-5505]. The more favourable potency and kinetics demonstrated by BI 1265162 are expected to translate into clinical efficacy.

ENaC is also located on the apical side of the epithelial layer in the kidney and the colon as well as being expressed in the brain, eye, vascular endothelial, and smooth muscle cells and in the tongue. Inhibition of ENaC in the kidney leads to reduced absorption of sodium with a concomitant reduction in potassium excretion from/ into the urine. As demonstrated by the reference oral compound amiloride, increases in serum potassium or reduction in serum sodium/ chloride may be expected based on mechanism in case of excessive systemic exposure. This is not expected with therapeutic inhalational administration of BI 1265162. Based on preclinical in vitro and in vivo models, BI 1265162 administered by the inhalational route may be beneficial.

1.2 DRUG PROFILE

BI 1265162, an epithelial sodium channel (ENaC) inhibitor, is entering phase I of clinical development in cystic fibrosis (CF) and chronic obstructive pulmonary disease (COPD).

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1.2.5 Clinical experience in humans

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Systemic ENaC inhibitors such as amiloride have been used for years as potassium sparing diuretics in the treatment of hypertension, congestive heart failure and cirrhotic ascites. The side effect profile is well documented. Amiloride is normally well tolerated and, except for hyperkalaemia, significant side effects are infrequent. Nausea, anorexia, abdominal pain, flatulence and mild skin rashes are considered potentially related to amiloride but other side effects are generally associated with diuresis.

In addition there are clinical data of inhaled ENaC inhibitors administered to healthy volunteers and patients with cystic fibrosis for up to 6 months [R15-5485, R15-5349, R15-5599, R15-5505]. Phase II studies with competitor ENaC inhibitors are ongoing. The compounds appear to have been well tolerated, with effects limited to serum potassium increases after multiple dosing, particularly in a compound with an active metabolite [R15-0689].

1.2.6 Residual Effect Period

The Residual Effect Period (REP) of BI 1265162 is . This is the period after the last dose with measurable drug levels still likely to be present.

1.2.7 Drug product

Administration of BI 1265162 RESPIMAT Inhalation Solution is achieved with the RESPIMAT inhaler in combination with a drug reservoir / cartridge. At the present time, several solutions for inhalation with different drug substance concentrations have been

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developed for use in clinical studies, representing dose strengths of BI 1265162, as well as a placebo solution for inhalation. A spray volume of actuation is nebulized by the RESPIMAT inhaler.

BI 1265162 RESPIMAT Inhalation Solution is formulated as an aqueous solution of BI 1265162. The pH is adjusted with hydrochloric acid to 3.8 +/- 0.2. This pH value assures physico-chemical stability and physiological tolerability.

BI 1265162 RESPIMAT Inhalation Solution contains the stabilizer disodium edetate (EDTA) and the preservative benzalkonium chloride. EDTA and benzalkonium chloride have been reported to induce administration related bronchospasm in some patients inhaling such solutions from a nebulizer [P87-3333, P88-1291]. However, the concentration of each of these substances amounts to approximately 1 µg per actuation, which is well below the amounts at which bronchospasm has been reported [P87-3333, P88-1291]. A deposition / scintigraphic study in healthy volunteers using an aqueous formulation similar to the one used here in the RESPIMAT Inhaler indicated that approximately 40% of the dose deposited in the lungs [U97-0056]. Thus, the approximate actual pulmonary exposure per actuation to the patient will be 0.4 µg of EDTA and 0.4 µg of benzalkonium chloride. In addition, the occurrence of paradoxical bronchoconstriction in clinical trials using the RESPIMAT Inhaler conducted with a variety of substances was uncommon and limited to asymptomatic declines in FEV1 in COPD patients, primarily recorded in placebo treatment groups and comparable among RESPIMAT Inhaler and metered dose inhaler (MDI) formulations. The use of the RESPIMAT inhaler in Phase III trials has been shown to be safe with regards to paradoxical bronchoconstriction during chronic use in patients with asthma and COPD [P05-08465]. The administration of BI 1265162 RESPIMAT Inhalation Solution / Inhalation Spray is therefore not expected to cause EDTA- or BAC-related bronchospasm.

For the first clinical trials, a placebo matching the RESPIMAT platform Inhalation Solutions will be used. It uses the same excipients as the verum. The pH of this placebo has been adjusted by hydrochloric acid to a more acidic pH.

For a more detailed description of the BI 1265162 profile please refer to the current 'Investigator's Brochure', c16304878.

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2. RATIONALE, OBJECTIVES, AND BENEFIT - RISK ASSESSMENT

2.1 RATIONALE FOR PERFORMING THE TRIAL

This is the second trial with BI 1265162 and the first with multiple dose administration. The objective of this trial is to investigate the safety, tolerability and pharmacokinetics of BI 1265162 in healthy male subjects. The chosen population of healthy volunteers using multiple rising inhalative doses is adequate to provide the basis for the phase 2 clinical development program of BI 1265162 in CF and COPD. This trial will provide pharmacokinetic information in healthy volunteers at steady state exposure.

The inclusion of male healthy volunteers as a trial population in phase I studies is ideal as they provide relatively stable physiological, biochemical and hormonal conditions (steady state) for studying drug effects. Healthy volunteers can be tested under standardised conditions in an environment which allows repeated testing.

In this multiple rising dose (MRD) study, within each dose group, all actively treated individuals will receive the same BI 1265162 dose. The next higher dose will only be administered (to the next group) if the treatment in the preceding dose groups was safe and showed acceptable tolerability.

Dose Selection

It is intended to investigate the following dose levels of BI 1265162 in the MRD part of this trial: 10, 30, 100, 300 and 600µg twice daily (bid) for Days 2-7 and single dose on Day 1 and 8. The background for this dose selection is described in the following.

Starting dose

Considering the favourable preclinical and clinical safety profile of BI 1265162, and taking into consideration other systemic and inhaled ENaC inhibitors which have been well tolerated, with known, easily monitorable and reversible side effects (changes in electrolytes), a sub-therapeutic dose of 10 µg bid has been selected as the starting dose in this MRD trial. This dose is within the range of ED50 (cf. <u>Table 2.1: 1</u>).

Maximum dose and dose escalation

As stated above, a minimum daily dose of approximately may be required to achieve therapeutic exposure to BI 1265162.

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However, higher doses might still be well tolerated while providing a larger magnitude of therapeutic effects.

Further, even if the therapeutic dose turned out to be as low as higher than therapeutic doses are typically explored in MRD studies to provide a safety margin for following studies e.g. drug-drug-interaction studies, or patients with impaired excretion function, etc., where substantial increases in exposure may be observed. Studies in patients may demonstrate that an ED_{70} is insufficient to show a clinical effect and even higher doses of BI 1265162 are required e.g. in case an

(cf. <u>Table 2.1: 1</u>). To adequately address all these aspects a dose of $600~\mu g$ bid has been selected as the maximum dose. This dose will not exceed the highest (and well tolerated) tested dose in the SRD 1399.0001 of

The relevant findings in the toxicology studies in the rat were changes in serum and urine electrolytes due to the mode of action of an ENaC antagonist which will be fully reversible and will be monitored thoroughly in this clinical trial. In addition, effects on blood electrolytes should be demonstrable with increasing dosing, so an acute increase would not be expected. No such findings were seen in the SRD trial 1399.0001.

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Table 2.1: 2 1399.0001 preliminary results Geometric Mean PK Parameter (gCV%) [* Median (Min-Max)]

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A noncompartmental prediction of BI 1265162 plasma concentrations based on the preliminary PK results of study 1399.0001 revealed that BI 1265162 systemic exposure increases approximately dose-proportional over the studied dose range. Bid dosing results predicted in only relatively little accumulation. Steady-state is predicted to reach with respect to average, peak and trough concentrations by 4-5 days.

Preliminary results from the SRD 1399.0001 show that urinary excretion of BI 1265162 was around 1% of the administered dose within 48 hours after inhalation. Thus the risk of effects on the kidney regarding exchange of urine electrolytes is seen to be low.

Based on the above considerations a stepwise and careful dose increase up to a maximum dose of $600~\mu g$ bid has been selected for this trial. This selection is supported by the overall favourable preclinical and clinical safety profile of BI 1265162 in addition to the well

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documented effects of ENaC inhibition in man. Dose escalation will be in the range of factor 3 and the escalation factor will be lower than 2 for the higher dose range. This dose escalation scheme is considered to be adequate and safe, particularly when the good safety profile of BI 1265162 seen in the SRD 1399.0001 is taken into consideration.

2.2 TRIAL OBJECTIVES

The primary objective of this trial is to investigate the safety and tolerability of BI 1265162 in healthy male subjects following inhalative administration of multiple rising doses.

Secondary objectives is the exploration of the pharmacokinetics (PK) including dose proportionality and time dependency of BI 1265162 after multiple dosing

A description of the endpoints to be determined, and the observations along with specific information as how to collect the data for that information, is provided in <u>Section 5</u>.

2.3 BENEFIT - RISK ASSESSMENT

Participation in this study is without any (therapeutic) benefit for healthy subjects. Their participation in the study, however, is of major importance to the development of BI 1265162. The subjects are exposed to the risks of the study procedures and the risks related to the exposure to the trial medication.

Procedure-related risks

The use of an indwelling venous catheter for the purpose of blood sampling may be accompanied by mild bruising and also, in rare cases, by transient inflammation of the wall of the vein. In addition, in rare cases a nerve might be injured while inserting the venous catheter, potentially resulting in paresthesia, reduced sensibility, and/or pain for an indefinite period. The same risks apply to venipuncture for blood sampling.

The total volume of blood withdrawn during the entire study per subject will not exceed the volume of a normal blood donation (500 mL). No health-related risk to healthy subjects is expected from this blood withdrawal.

Drug-related risks and safety measures

As the nature of the target and the mechanism of action of BI 1265162 are well understood, comparable compounds have been tested internally and by other companies before, and the animal models are believed to be predictive for the effects in humans, BI 1265162 is not seen as a high risk compound. The specific mechanism of action is also well recognized for when administered systemically (orally) as a diuretic with a well-established safety profile and known side effects (effects on serum and urine electrolytes at exposures much higher than expected to be achieved with the current inhaled compound). Healthy subjects will not be exposed to undue risks and AEs in relation to the information expected from this trial.

The pharmacological effects of BI 1265162 are dose dependent and no evidence for prolonged or irreversible effects has been observed.

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The following safety measures will be applied in this study in order to minimize the risk for healthy volunteers:

- Careful dose selection as described in Section 2.1.
- For safety reasons, each dose group of 10 subjects (8 on active drug, 2 on placebo) will be divided into 2 cohorts of 5 subjects each (4 on active drug, 1 on placebo). Both cohorts will be dosed in a randomized fashion and each drug administration will be separated by at least 10 minutes. For inhalative administered drugs, this is usually a sufficient time frame to observe acute effects.
- For each dose group, the 2 cohorts will be separated by at least 5 days (first dosing of the first cohort and first dosing of the second) to assess steady state conditions of the first cohort. A continuous safety evaluation, including results of safety laboratories, ECG readings, spirometry, recordings of vital signs and adverse events will be performed before the individual subject and the subsequent cohort is dosed.
- Based on the preclinical toxicology findings and taking the mode of action into consideration, dose escalation will be stopped as soon as at least 2 subjects at one dose level on active drug showed serum potassium levels > 5.5 mmol/L in non-hemolyzed blood.
- An extensive safety laboratory will be performed with special focus on serum electrolytes (see <u>Flow Chart</u>).
- Lung function assessments will be performed via spirometry.
- Only subjects with a normal kidney function (using the eGFR, calculated from serum creatinine using CKD-EPI formula) will be included in the study
- The subjects will stay at the trial site from 1 day prior first dosing till at least 48h after last study drug administration at each dose level.
- During in house-confinement the subjects will be under medical observation and thoroughly monitored for both expected and unexpected adverse events.
- Only if the respective dose of BI 1265162 is safe and showed acceptable tolerability and if the stopping criteria are not met (refer to Section 3.3.4.2), the next higher dose will be given at least 5 days later (referring to the last dosing of the 1st subject of each dose group).

Although rare, a potential for drug-induced liver injury (DILI) is under constant surveillance by sponsors and regulators. Therefore, this trial requires timely detection, evaluation, and follow-up of laboratory alterations in selected liver laboratory parameters to ensure subjects' safety, see also Section 5.2.2.1, adverse events of special interest.

In summary, BI 1265162 is in early clinical development. Single doses up to were well tolerated in the first in man trial 1399.0001. Based upon preclinical and early clinical data for BI 1265162 and clinical information from systemically and inhaled administered ENaC inhibitors and competitor inhaled compounds as well as the implemented safety measures described above, healthy subjects will not be exposed to undue risks. Healthy

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volunteers are not expected to have any direct medical benefit from participation in this clinical trial with BI 1265162, as is the usual case in such phase I trials. Subjects will be closely monitored. BI 1265162 has the potential to be an inhalative treatment for CF, a disease of high unmet medical need. The expected potential benefits for patients and the important information expected from this trial as a basis for further clinical development of this compound are felt to outweigh the potential risks and justify exposure of healthy human volunteers in the clinical development of BI 1265162.

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3. DESCRIPTION OF DESIGN AND TRIAL POPULATION

3.1 OVERALL TRIAL DESIGN AND PLAN

This multiple-rising dose trial is designed as double-blind, randomised, and placebocontrolled within parallel dose groups.

A total of 50 healthy male subjects is planned to participate in the trial, according to 5 sequential groups comprising 10 subjects per group. However, additional subjects may be entered to allow testing of additional doses on the basis of experience gained during the trial conduct, provided the planned and approved highest dose will not be exceeded. Thus, the actual number of subjects entered may exceed 50, but will not exceed 70 subjects entered. Such changes may be implemented via non-substantial CTP Amendments.

Within each dose group, 8 subjects will receive the active drug and 2 will receive placebo. Only one dose is tested within each dose group. Each dose group will consist of 2 cohorts (5 subjects each, 4 on active drug and 1 on placebo) which will be treated subsequently for safety reasons. The time interval between these cohorts will be at least 70 hours from first subject dosed.

The dose groups to be evaluated are outlined in <u>Table 3.1: 1</u> below.

Table 3.1: 1 Dose groups

Dose Group	1	2	3	4	5	
Dose (µg) bid	10	30	100	300	600	
Number of subjects	10	10	10	10	10	
Subjects receiving placebo	2	2	2	2	2	
Subjects receiving active drug	8	8	8	8	8	

The dose groups will be investigated consecutively in ascending order of doses, maintaining a time interval of at least 5 days between the last drug administration in the previous dose group and the first drug administration of the subsequent dose group. The decision to proceed to the next dose group will be based upon the safety and tolerability of the preceding dose groups. The next dose will only be given if, in the opinion of the investigator, no safety concerns arose in the preceding dose group (i.e. no dose-limiting events occurred) and if none of the pre-specified trial-specific stopping criteria were met (refer to Section 3.3.4.2).

A documented Safety Review must take place prior to each dose escalation. Furthermore, an unscheduled safety review meeting can be requested anytime for any reasonable cause by the Principal Investigator (or an authorised deputy) or the sponsor of the study, e.g. because of any unforeseen adverse events, etc. Dose escalation will only be permitted if no safety concerns exist in the opinion of the Principal Investigator (or an authorised deputy) and the trial clinical monitor (or an authorised deputy).

Although no formal Safety Review meeting will take place within a given dose group, safety will be continuously monitored during this trial, and an individual will only be dosed in the

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absence of any safety concern (i.e. no dose-limiting events occurred) and if none of the prespecified trial-specific stopping criteria have been met (refer to Section 3.3.4.2).

The minimum data set for review consists of the following data:

- AEs in the current and preceding dose groups (including clinically relevant findings from ancillary safety testing listed below) (Note: AEs may be ongoing at the time of Safety Reviews and AE information may be subject to change prior to Database Lock)
- Results from 12-lead EGG in the current and preceding dose groups.
- Vital signs in the current and preceding dose groups
- Spirometry data
- Clinical laboratory tests in the current and preceding dose groups
- Check of criteria for stopping subject treatment as per <u>Section 3.3.4.1</u>

The decision to escalate the dose will be made jointly by the Principal Investigator (or an authorised deputy) and the trial clinical monitor (or an authorised deputy) after in-depth analysis of all available safety data, especially SAEs (if occurred), AEs and out-of-range laboratory results (if considered clinically significant). Safety Reviews can be conducted face-to-face or by video/telephone conference. The trial clinical monitor is responsible for organization and minutes of the reviews. Minutes will be signed off by the Principal Investigator (or an authorised deputy) and filed in the ISF and TMF.

The investigator (after consultation with the sponsor) is allowed to alter the scheduled dose levels (e.g. add low and/or intermediate dose levels) on the basis of experience gained during the study, provided the planned and approved highest dose is not exceeded. In this case, the total number of subjects in this trial might increase. The investigator and/or the sponsor should stop dose escalation in case the safety evaluation leads to concerns that would not allow higher dosing.

An overview of all relevant trial activities is provided in the <u>Flow Chart</u>. For visit schedules and details of trial procedures at selected visits, refer to <u>Sections 6.1</u> and <u>6.2</u>, respectively.

3.1.1 Administrative structure of the trial

The trial is sponsored by Boehringer Ingelheim (BI) Pharma GmbH & Co. KG, Germany.

BI has appointed a Trial Clinical Monitor, responsible for coordinating all required activities, in order to

- manage the trial in accordance with applicable regulations and internal SOPs,
- direct the clinical trial team in the preparation, conduct, and reporting of the trial,
- ensure appropriate training and information of local clinical monitors (CML), Clinical Research Associates (CRAs), and participating trial sites.

The trial medication will be provided by the Clinical Trial Supplies Unit (CTSU), BI Pharma GmbH & Co. KG, Biberach, Germany.

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The trial will be conducted at

under the supervision of the Principal

Investigator.

Safety laboratory tests will be performed by the local laboratory of the trial site

The analyses of BI 1265162 concentrations in plasma and urine will be performed at the Department of Drug Metabolism and Pharmacokinetics, BI Pharma GmbH & Co. KG, Biberach, Germany.

The digitally recorded 12-lead ECGs will be sent to a specialised contract research organisation () for evaluation.

On-site monitoring will be performed by BI or a contract research organisation appointed by BI.

Data management and statistical evaluation will be done by BI or a contract research organisation appointed by BI according to BI SOPs.

Tasks and functions assigned in order to organise, manage, and evaluate the trial are defined according to BI SOPs. A list of responsible persons and relevant local information can be found in the ISF.

3.2 DISCUSSION OF TRIAL DESIGN, INCLUDING THE CHOICE OF **CONTROL GROUP**

For multiple-rising dose trials, the design described in Section 3.1 is viewed favourable under the provision not to expose the subjects involved to undue risks since the main study objective is to investigate safety and tolerability of BI 1265162.

With the rising dose design, double-blind conditions regarding the subject's treatment (active or placebo) are maintained within each dose group. However, the current dose level will be known to subjects and investigators. The disadvantage of this trial design is a possible observer bias with regard to the dose-depending effects as well as time effects, but it has the virtue of minimizing subject risk by sequentially studying ascending doses. As time-effects are expected to be small relative to the differences between the doses in the broad range investigated, unbiased comparisons between treatments can still be expected.

It is standard in trials involving healthy volunteers to include a placebo group as control for the evaluation of safety and tolerability. Each dose group consists of 10 subjects with 8 on active treatment, and 2 on placebo. The placebo control group includes all subjects of all dose groups treated with placebo. 8 subjects per active treatment group are in general considered as sufficient for the exploratory evaluation of pharmacokinetics.

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After the first dose (single dose segment), a sufficient wash-out period will be included before the second dose (first dose of the multiple dose segment) is administered. This will allow for appropriate calculation of the pharmacokinetic parameters after a single dose administration and for comparison with pharmacokinetic parameters at steady state.

3.3 SELECTION OF TRIAL POPULATION

It is planned that 50 healthy males will enter the study. The actual number of subjects entered may exceed the total of 50 if additional intermediate doses will be tested (see <u>Section 3.1</u>). Subjects will be recruited from the volunteers' pool of the trial site.

Only male subjects will be included into the study because hitherto no data on reproductive toxicology are available.

A log of all subjects enrolled into the trial (i.e. having given informed consent) will be maintained in the ISF at the investigational site irrespective of whether they have been treated with investigational drug or not.

3.3.1 Main diagnosis for study entry

The study will be performed in healthy subjects.

3.3.2 Inclusion criteria

Subjects will only be included into the trial, if they meet the following criteria:

Subjects will only be included into the trial, if they meet the following criteria:

- 1. Healthy male subjects according to the assessment of the investigator, based on a complete medical history including a physical examination, vital signs (BP, PR), 12-lead ECG, and clinical laboratory tests
- 2. Age of 18 to 45 years (incl.)
- 3. BMI of 18.5 to 29.9 kg/m^2 (incl.)
- 4. FEV₁ and FVC of equal or greater than 80% of predicted normal, at screening and prior to randomisation
- 5. Signed and dated written informed consent prior to admission to the study in accordance with GCP and local legislation

3.3.3 Exclusion criteria

Subjects will not be allowed to participate if any of the following general criteria apply:

- 1. Any finding in the medical examination (including BP, PR or ECG) is deviating from normal and judged as clinically relevant by the investigator
- 2. Repeated measurement of systolic blood pressure outside the range of 90 to 140 mmHg, diastolic blood pressure outside the range of 50 to 90 mmHg, or pulse rate outside the range of 50 to 90 bpm

- 3. Any laboratory value outside the reference range that the investigator considers to be of clinical relevance
- 4. Any evidence of a concomitant disease judged as clinically relevant by the investigator
- 5. Gastrointestinal, hepatic, renal, respiratory, cardiovascular, metabolic, immunological or hormonal disorders
- 6. Cholecystectomy and/or surgery of the gastrointestinal tract that could interfere with the pharmacokinetics of the trial medication (except appendectomy and simple hernia repair)
- 7. Diseases of the central nervous system (including but not limited to any kind of seizures or stroke), and other relevant neurological or psychiatric disorders
- 8. History of relevant orthostatic hypotension, fainting spells, or blackouts
- 9. Chronic or relevant acute infections
- 10. History of relevant allergy or hypersensitivity (including allergy to the trial medication or its excipients)
- 11. Use of drugs within 30 days prior to administration of trial medication if that might reasonably influence the results of the trial (incl. QT/QTc interval prolongation)
- 12. Participation in another trial where an investigational drug has been administered within 60 days prior to planned administration of trial medication, or current participation in another trial involving administration of investigational drug
- 13. Smoker (more than 10 cigarettes or 3 cigars or 3 pipes per day)
- 14. Inability to refrain from smoking on specified trial days
- 15. Alcohol abuse (consumption of more 30 g per day for males)
- 16. Drug abuse or positive drug screening
- 17. Blood donation of more than 100 mL within 30 days prior to administration of trial medication or intended donation during the trial
- 18. Intention to perform excessive physical activities within one week prior to administration of trial medication or during the trial
- 19. Inability to comply with dietary regimen of trial site
- 20. A marked baseline prolongation of QT/QTc interval (such as QTc intervals that are repeatedly greater than 450 ms in males) or any other relevant ECG finding at screening
- 21. A history of additional risk factors for Torsades de Pointes (such as heart failure, hypokalemia, or family history of Long QT Syndrome)
- 22. A history of chronic kidney disease (EGFR <59 mls/min including corrections as per ethnicity)
- 23. Subject is assessed as unsuitable for inclusion by the investigator, for instance, because considered not able to understand and comply with study requirements, or has a condition that would not allow safe participation in the study
- 24. The subject has a diagnosis history of pulmonary hyperreactivity
- 25. Cannot use Respirat® appropriately

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26. Male subjects with WOCBP partner who are unwilling to use male contraception (condom or sexual abstinence) from the first administration of trial medication until 14 days after last administration of trial medication (BI 1265162 or placebo)

For study restrictions, refer to Section 4.2.2.

3.3.4 Removal of subjects from therapy or assessments

3.3.4.1 Removal of individual subjects

An individual subject is to be removed from the trial if:

- The subject withdraws consent for trial treatment or trial participation, without the need to justify the decision
- The subject needs to take concomitant drugs that interfere with the investigational product or other trial medication
- The subject is no longer able to participate for other medical reasons (such as surgery, adverse events (AEs), or diseases)
- The subject shows an elevation of AST and/or ALT ≥3-fold ULN combined with an elevation of total bilirubin ≥2-fold ULN (measured in the same blood sample) and/or needs to be followed up according to the 'DILI checklist' provided in the ISF.

In addition to these criteria, the physician may discontinue subjects at any time based on his or her clinical judgment.

A subject can also be removed from the trial if eligibility criteria are being violated or if the subject fails to comply with the protocol (for instance, by non-adherence to dietary rules, or non-attendance at study assessments).

If a subject is removed from or withdraws from the trial prior to first administration of trial medication, the data of this subject will not be entered in the case report form (CRF) or trial database and will not be reported in the clinical trial report (CTR). If a subject is removed from or withdraws from the trial after first administration of trial medication, this will be documented and the reason for discontinuation must be recorded in the CRF. In this case, the data will be included in the CRF/trial database and will be reported in the CTR. At the time of discontinuation a complete end of trial examination will be performed if possible and the information will be recorded in the CRFs. If the discontinuation occurs before the end of the REP (see Section 5.2.2.2), the discontinued subject should if possible be questioned for AEs and concomitant therapies at or after the end of the REP in order to ascertain collection of AEs and concomitant therapies throughout the REP, if not contrary to any consent withdrawal of the subject. These discontinuations will be discussed in the CTR.

3.3.4.2 Discontinuation of the trial by the sponsor

Boehringer Ingelheim reserves the right to discontinue the trial overall or at a particular trial site at any time for any of the following reasons:

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- New toxicological findings or serious adverse events invalidate the earlier positive benefit-risk-assessment. Dose escalation will be terminated if more than 50% of the subjects at one dose level show drug-related and clinically relevant adverse events of moderate or severe intensity, or if at least one drug-related serious adverse event is reported.
- The expected enrolment goals overall or at a particular trial site are not met
- Violation of GCP, or the CTP or the contract with BI by a trial site or investigator, disturbing the appropriate conduct of the trial
- The sponsor decides to discontinue the further development of the investigational product.
- Dose escalation will be stopped as soon as at least 2 subjects at one dose level on active drug showed relevant individual QT prolongation, i.e. a QTc increase of greater 60 ms from baseline in connection with absolute QT or QTc greater than 500 ms, which has been confirmed by a repeat ECG recording.
- Dose escalation will be stopped as soon as at least 2 subjects at one dose level on active drug showed serum potassium levels > 5.5 mmol/L in non-hemolyzed blood

The investigator / the trial site will be reimbursed for reasonable expenses incurred in case of trial termination (except in case of the third reason).

3.3.5 Replacement of subjects

In case that more than 2 subjects on active per dose group do not complete the trial, the trial clinical monitor together with the trial pharmacokineticist and the trial statistician are to decide if and how many subjects will be replaced. A replacement subject will be assigned a unique study subject number, and will be assigned to the same treatment as the subject he replaces.

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4. TREATMENTS

4.1 TREATMENTS TO BE ADMINISTERED

The investigational product has been manufactured by BI Pharma GmbH & Co. KG.

4.1.1 Identity of BI investigational product and comparator product

Substance: BI 1265162

Pharmaceutical formulation: Solution for inhalation

Source: BI Pharma GmbH & Co. KG, Germany

Unit strength: 10 μg/actuation, 100 μg/actuation

Route of administration: Oral inhalation

Device: RESPIMAT A5

Duration of use: Single and multiple doses

Posology: 1-0-0 on trial days 1 and 8; 1-0-1 on days 2-7

Substance: Placebo

Pharmaceutical formulation: Solution for inhalation

Source: BI Pharma GmbH & Co. KG, Germany

Unit strength: Not applicable

Route of administration: Oral inhalation

Device: RESPIMAT A5

Duration of use: Single and multiple doses

Posology: 1-0-0 on trial days 1 and 8; 1-0-1 on days 2-7

A placebo matching the Respimat[®] platform Inhalation Solutions will be used. It uses the same excipients as the verum.

4.1.2 Method of assigning subjects to treatment groups

Prior to the screening visit, subjects will be contacted in writing and informed about the planned visit dates. The subjects willing to participate will be recruited to dose groups according to their temporal availability. As soon as enough subjects have been allocated to 1 of the 10 dose cohorts (2 cohorts per dose group), the following subjects will be allocated to one of the other dose cohorts. Therefore, the allocation of subjects to dose cohorts is not influenced by trial personnel, but only by the subjects' temporal availability. As the study

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includes healthy subjects from a homogenous population, relevant imbalances between the dose groups are not expected.

A list of medication numbers will be provided to the trial site in advance. The allocation of subjects to study subject numbers will be performed prior to the first administration of trial medication. For this purpose, the subjects will be allocated to a study subject number by the method first come first served. Once a subject number has been assigned, it cannot be reassigned to any other subject.

The randomisation procedure is described in <u>Section 7.5</u>.

4.1.3 Selection of doses in the trial

The doses selected for this trial cover the subtherapeutic as well as the estimated therapeutic range and include a safety margin (see Section 1.2).

4.1.4 Drug assignment and administration of doses for each subject

The treatments to be evaluated are outlined in <u>Table 4.1.4: 1</u> below. The number of actuations for placebo corresponds to the number of actuations of the respective dose level.

Table 4.1.4: 1 BI 1265162 and placebo treatments, inhalative administration

Dose	Substance	Pharmaceutical form	Dose per actuation (BI 1265162, administered dose)	Number of device actuations per single dose	Total daily dose (Day 1 and 8)	Total daily dose (Day 2-7)
1	BI 1265162	inhalation solution, RESPIMAT	10 μg	1	10 μg	20 μg
2	BI 1265162	inhalation solution, RESPIMAT	10 μg	3	30 μg	60 μg
3	BI 1265162	inhalation solution, RESPIMAT	100 μg	1	100 μg	200 μg
4	BI 1265162	inhalation solution, RESPIMAT	100 μg	3	300 μg	600 μg
5	BI 1265162	inhalation solution, RESPIMAT	100 μg	6	600 µg	1200 μg
1-5	Placebo*	inhalation solution, RESPIMAT		identical to active treatment for that dose level		

^{*} Subjects receiving placebo are equally distributed across dose groups

On Day 1 and 8 subjects will receive a single (qd) dose in the morning only. On all other trial days (2-7) BI 1265162 will administered bid.

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Each newly assembled RESPIMAT Inhaler has to be primed by an unblinded (not involved in the conduct of the trial) qualified medical/pharmaceutical staff at the trial site under the responsibility of the investigator. To avoid contamination, except those devices used for training, priming should NOT take place in the same room where the subject is inhaling trial medication or the trial will be performed or samples will be processed. The inhaler should be primed under e.g. hood/outside by actuating it until an aerosol is visible plus three additional actuations. All priming actuations should be directed to the ground. For detailed priming instructions please refer to the RESPIMAT Inhaler handling instructions in Appendix 10.1.

Both the study drug as well as the placebo treatment (depending on randomisation) will be inhaled with the RESPIMAT in a sitting or standing position under supervision of the investigating physician or an authorised designee. If more than one actuation is needed, planned time 0:00h will always be the first actuation. If more than one actuation will be needed, the duration from first to last actuation will be recorded. To avoid any contamination (e.g PK tubes), administration of trial medication should be performed in a separate room; subject and staff should wear e.g. gloves and protective wear.

The so-called four-eye principle (two-person rule) should be applied for administration of trial medication, otherwise correct dosage cannot be ensured.

To ensure a dosing interval of 12 h, the administration of trial medication should take place at the same time every day.

Subjects will be kept under close medical surveillance until 24 h following the last drug administration. For restrictions with regard to diet see Section 4.2.2.2.

4.1.5 Blinding and procedures for unblinding

4.1.5.1 Blinding

The trial is designed double-blind with regard to the subjects and the investigators (as well as the research staff at the trial site) in order to eliminate observer or performance bias. This means avoiding systematic differences in assessments regarding the subject's treatment (active or placebo).

At the trial site, access to the randomisation schedule is restricted to unblinded pharmacists, pharmacy staff members or staff who will prepare the trial medication. Access to the codes will be controlled and documented by a signed confidentiality statement, which will be stored in the TMF. Persons directly involved in the clinical conduct of the trial will not have access to the treatment allocation prior to database lock.

In addition, the trial pharmacokineticist, trial pharmacometrician and bioanalyst may receive the randomisation codes prior to official unblinding to perform preliminary PK analysis. He or she will confirm in writing that the codes will be treated confidentially.

In addition, the drug metabolism scientist may receive the randomisation codes prior to official unblinding to perform metabolites in safety testing analysis (MIST). He or she will confirm in writing that the codes will be treated confidentially.

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Within the central ECG lab, the staff involved with interval measurements and morphological analyses will be blinded with respect to the treatment and also with regard to the recording date and time as well as planned time points of the ECGs. The interval measurements for a given subject will be performed in a random and blinded sequence by a single technician. No more than two different blinded readers will evaluate the ECGs of the study.

Regarding the sponsor, the database of this trial will be handled open-label, meaning that the trial functions of the sponsor are unblinded (including clinical monitor, data manager, statistician, bioanalyst, pharmacokineticist, pharmacometrician, drug metabolism scientist as well as dedicated CRO personnel). The objective of the trial is not expected to be affected.

4.1.5.2 Procedures for emergency unblinding

For blinded trials, the investigator will be supplied with a set of sealed envelopes containing the medication codes for each subject according to the randomisation scheme. The envelopes will be kept unopened at the trial site until the end of data collection. An envelope may only be opened in emergency situations when the identity of the trial drug must be known to the investigator in order to provide appropriate medical treatment or to assure safety of trial participants. If the envelope for a subject is opened, the sponsor must be informed immediately. The reason for opening the code break must be documented on the envelope or appropriate CRF page along with the date and the initials of the person who broke the code. At the close-out visit all envelopes are collected.

4.1.6 Packaging, labelling, and re-supply

Drug supplies will be provided by the Department of Pharmaceutical Development of Boehringer Ingelheim Pharma GmbH & Co. KG, Biberach, Germany

The clinical trial supply consists of containers holding the trial medication which are labelled with trial identification. The required information according to the German Drug Law as well as Annex 13/EU GMP Guideline will be provided on the containers. The clinical trial supply containers will be labelled with:

- BI trial number
- Name of product and strengths or identification code
- Pharmaceutical dosage form, quantity of dosage units
- Route and mode of administration
- Term 'For Clinical Trial Use' (domestic language)
- Sponsor name and address
- Storage conditions
- Use-by date
- Subject or medication number
- Batch number

The telephone number of the sponsor and name, address and telephone number of the trial site are given in the subject information form. The EudraCT number is indicated on the title page of this protocol as well as on the subject information and informed consent forms. Examples of the labels will be available in the ISF.

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No re-supply is planned.

4.1.7 Storage conditions

Drug supplies will be kept in their original packaging and in a secure limited access storage area according to the recommended (labelled) storage conditions. Where necessary, a temperature log must be maintained to make certain that the drug supplies are stored at the correct temperature. If the storage conditions are found to be outside the specified range, the local clinical monitor (as provided in the list of contacts) is to be immediately contacted.

4.1.8 Drug accountability

The investigator / pharmacist / investigational drug storage manager will receive the investigational drugs delivered by the sponsor when the following requirements are fulfilled:

- Approval of the trial protocol by the IRB / ethics committee
- Availability of a signed and dated clinical trial contract between the sponsor and the head of the trial site
- Approval/notification of the regulatory authority, e.g. competent authority
- Availability of the curriculum vitae of the principal investigator
- Availability of a signed and dated clinical trial protocol

Only authorised personnel as documented in the form 'Trial Staff List' may dispense medication to trial subjects. The trial medication must be administered in the manner specified in the CTP. All unused medication will be disposed locally by the trial site upon written authorisation by the clinical monitor. Receipt, usage and disposal must be documented on the respective forms. Account must be given for any discrepancies.

The investigator / pharmacist must maintain records of the product's delivery to the trial site, the inventory at the site, the use by each subject, and the disposal of unused products.

These records will include dates, quantities, batch / serial numbers, expiry ('use-by') dates, and the unique code numbers assigned to the investigational products and trial subjects. The investigator / pharmacist will maintain records that document adequately that the subjects were provided the doses specified by the CTP, and that reconcile all investigational products received from the sponsor. At the time of disposal, the investigator / pharmacist must verify that all unused or partially used drug supplies have been returned by the clinical trial subject and that no remaining supplies are in the investigator's possession.

4.2 OTHER TREATMENTS, EMERGENCY PROCEDURES, RESTRICTIONS

4.2.1 Other treatments and emergency procedures

There are no special emergency procedures to be followed. No additional treatment is planned. However, in case of adverse events in need of treatment, the investigator can authorise symptomatic therapy. In those cases, subjects will be treated as necessary and, if

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required, kept under supervision at the trial site or transferred to a hospital until all medical evaluation results have returned to an acceptable level.

4.2.2 Restrictions

4.2.2.1 Restrictions regarding concomitant treatment

In principle, no concomitant therapy is. All concomitant or rescue therapies will be recorded (including time of intake on study days) on the appropriate pages of the CRF.

4.2.2.2 Restrictions on diet and life style

Prior to Day -1, Day 1, Day 5 and Day 8 the subjects have fasted for at least 8 h.

While admitted to the trial site the subjects are restricted from consuming any other foods or drinks than those provided by the staff. Standardized meals will be served at the time points described in the <u>Flow Chart</u>. Composition of food on Day -1, Day 1, Day 5 and Day 8 should be identical. Use of table salt is not allowed during in-house confinement at the trial site.

On Day -1, Day 1, Day 5 and Day 8, from 1 h before drug intake until lunch, fluid intake is restricted to 240 mL of water served at 2 h and 4 h post-dose (mandatory for all subjects). From lunch onwards, liquid intake is restricted to an additional 3000 mL. Subjects will be asked to drink the additional amount till one hour prior to the stop of urine collection on the following day. The total amount of liquid intake will be documented.

Grapefruits, Seville oranges (sour or bitter oranges) and their juices, and dietary supplements and products including St. John's wort (Hypericum perforatum) are not permitted starting 7 days before the first administration of trial medication until 24 h after last administration of trial medication.

Alcoholic beverages, consumption of seafood and dried fruits are not permitted from 48 hours before the study drug administration and until 24 h after last administration of trial medication.

Smoking is not allowed during in-house confinement at the trial site.

Methylxanthine-containing drinks or foods (such as coffee, tea, cola, energy drinks, and chocolate) are not during in-house phase.

Excessive physical activity (such as competitive sport) should be avoided starting 7 days before the first administration of trial medication until the end of study examination.

Direct exposure to the sun or exposure to solarium radiation should be avoided during the entire study

4.3 TREATMENT COMPLIANCE

Compliance will be assured by administration of all trial medication in the study centre under supervision of the investigating physician or a designee. The measured plasma concentrations and/or urinary excretion will provide additional confirmation of compliance.

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Subjects who are non-compliant (for instance, who do not appear for scheduled visits or violate trial restrictions) may be removed from the trial and the CRF will be completed accordingly (for further procedures, please see <u>Section 3.3.4.1</u>).

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5. VARIABLES AND THEIR ASSESSMENT

5.1 EFFICACY - CLINICAL PHARMACOLOGY

5.1.1 **Endpoints of efficacy**

No efficacy endpoints will be evaluated in this trial.

5.1.2 **Assessment of efficacy**

Not applicable.

5.2 **SAFETY**

5.2.1 **Endpoints of safety**

Primary endpoint to assess safety and tolerability of BI 1265162 is the number [N (%)] of subjects with drug-related adverse events.

Further criteria of interest:

- AEs (including clinically relevant findings from the physical examination)
- Safety laboratory tests
- 12-lead ECG
- Vital signs (blood pressure, pulse rate)
- Spirometry (FEV1, FVC, FEF25-75)

5.2.2 Assessment of adverse events

5.2.2.1 Definitions of adverse events

Adverse event

An adverse event (AE) is defined as any untoward medical occurrence in a patient or clinical investigation subject administered a medicinal product and which does not necessarily have to have a causal relationship with this treatment.

An AE can therefore be any unfavourable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product.

The following should also be recorded as an AE in the CRF and BI SAE form (if applicable):

- Worsening of the underlying disease or of other pre-existing conditions <adjust if these are trial endpoints and/or if they are exempted events, e.g. in oncology trials>
- Changes in vital signs, ECG, physical examination and laboratory test results, if they are judged clinically relevant by the investigator.

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If such abnormalities already exist prior to trial inclusion, they will be considered as baseline conditions and should be collected in the eCRF only.

Serious adverse event

A serious adverse event (SAE) is defined as any AE which fulfils at least one of the following criteria:

- results in death,
- is life-threatening, which refers to an event in which the patient was at risk of death at the time of the event; it does not refer to an event that hypothetically might have caused death if more severe,
- requires inpatient hospitalisation
- requires prolongation of existing hospitalisation,
- results in persistent or significant disability or incapacity
- is a congenital anomaly/birth defect,
- is deemed serious for any other reason if it is an important medical event when based upon appropriate medical judgment which may jeopardise the patient and may require medical or surgical intervention to prevent one of the other outcomes listed in the above definitions. Examples of such events are intensive treatment in an emergency room or at home for allergic bronchospasm, blood dyscrasias or convulsions that do not result in hospitalisation or development of dependency or abuse.

AEs considered 'Always Serious'

Cancers of new histology and exacerbations of existing cancer must be classified as a serious event regardless of the time since discontinuation of the drug and must be reported as described in <u>5.2.2</u>, subsections "AE Collection" and "**AE reporting to sponsor and timelines**".

In accordance with the European Medicines Agency initiative on Important Medical Events, Boehringer Ingelheim has set up a list of further AEs, which, by their nature, can always be considered to be 'serious' even though they may not have met the criteria of an SAE as defined above.

The latest list of 'Always Serious AEs' can be found in the eDC system, an electronic data capture system which allows the entry of trial data at the trial site. These events should always be reported as SAEs as described above.

Adverse events of special interest

The term adverse events of special interest (AESI) relates to any specific AE that has been identified at the project level as being of particular concern for prospective safety monitoring and safety assessment within this trial, e.g. the potential for AEs based on knowledge from other compounds in the same class. AESIs need to be reported to the sponsor's Pharmacovigilance Department within the same timeframe that applies to SAEs, please see Section 5.2.2.2.

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The following are considered as AESIs:

• Hepatic injury

A hepatic injury is defined by the following alterations of hepatic laboratory parameters:

- AST (aspartate transaminase) and/or ALT (alanine aminotransferase) ≥3-fold ULN combined with an elevation of total bilirubin ≥2-fold ULN measured in the same blood sample, or
- aminotransferase (ALT, and/or AST) elevations ≥10 fold ULN o

These lab findings constitute a hepatic injury alert and the subjects showing these lab abnormalities need to be followed up according to the 'DILI checklist' provided in the eCD. In case of clinical symptoms of hepatic injury (icterus, unexplained encephalopathy, unexplained coagulopathy, right upper quadrant abdominal pain, etc.) without lab results (ALT, AST, total bilirubin) available, the Investigator should make sure that these parameters are analysed, if necessary in an unscheduled blood test. Should the results meet the criteria of hepatic injury alert, the procedures described in the DILI checklist should be followed.

Intensity of AEs

The intensity (severity) of the AE should be judged based on the following:

Mild: Awareness of sign(s) or symptom(s) that is/are easily tolerated Sufficient discomfort to cause interference with usual activity Moderate:

Severe: Incapacitating or causing inability to work or to perform usual activities

Causal relationship of AEs

Medical judgment should be used to determine the relationship, considering all relevant factors, including pattern of reaction, temporal relationship, de-challenge or re-challenge, confounding factors such as concomitant medication, concomitant diseases and relevant history.

Arguments that may suggest that there is a reasonable possibility of a causal relationship could be:

- The event is consistent with the known pharmacology of the drug
- The event is known to be caused by or attributed to the drug class.
- A plausible time to onset of the event relative to the time of drug exposure.
- Evidence that the event is reproducible when the drug is re-introduced
- No medically sound alternative aetiologies that could explain the event (e.g. preexisting or concomitant diseases, or co-medications).
- The event is typically drug-related and infrequent in the general population not exposed to drugs (e.g. Stevens-Johnson syndrome).
- An indication of dose-response (i.e. greater effect size if the dose is increased, smaller effect size if dose is reduced).

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Arguments that may suggest that there is no reasonable possibility of a causal relationship could be:

- No plausible time to onset of the event relative to the time of drug exposure is evident (e.g. pre-treatment cases, diagnosis of cancer or chronic disease within days / weeks of drug administration; an allergic reaction weeks after discontinuation of the drug concerned)
- Continuation of the event despite the withdrawal of the medication, taking into account the pharmacological properties of the compound (e.g. after 5 half-lives). Of note, this criterion may not be applicable to events whose time course is prolonged despite removing the original trigger.
- Additional arguments amongst those stated before, like alternative explanation (e.g. situations where other drugs or underlying diseases appear to provide a more likely explanation for the observed event than the drug concerned).
- Disappearance of the event even though the trial drug treatment continues or remains unchanged.

5.2.2.2 Adverse event collection and reporting

AEs collection

Upon enrolment into a trial, the subject's baseline condition is assessed (for instance, by documentation of medical history/concomitant diagnoses), and relevant changes from baseline are noted subsequently.

Subjects will be required to report spontaneously any AEs as well as the time of onset, end, and intensity of these events. In addition, each subject will be regularly assessed by the medical staff throughout the clinical trial and whenever the investigator deems necessary. As a minimum, subjects will be questioned for AEs (and concomitant therapies) at the time points indicated in the <u>Flow Chart</u>. Assessment will be made using non-specific questions such as 'How do you feel?'. Specific questions will be asked wherever necessary in order to more precisely describe an AE.

A carefully written record of all AEs shall be kept by the investigator in charge of the trial. Records of AEs shall include data on the time of onset, end time, intensity of the event, and any treatment or action required for the event and its outcome.

The following must be collected and documented on the appropriate CRF(s) by the investigator:

- From signing the informed consent onwards until an individual subject's end of trial:
 - o All AEs (serious and non-serious) and all AESIs
 - The only exception to this rule are AEs (serious and non-serious) and AESIs in Phase I trials in healthy volunteers, when subjects discontinue from the trial due to screening failures prior to administration of any trial medication. In these cases, the subjects' data must be collected at trial site but will not be entered in the CRF or trial database and will not be reported in the CTR.

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- After the individual subject's end of trial:
 - The investigator does not need to actively monitor the subject for AEs but should only report related SAEs and related AESIs of which the investigator may become aware of by any means of communication, e.g. phone call. Those AEs should, however, not be reported in the CRF.

AE reporting to sponsor and timelines

The Investigator must report SAEs, AESIs, and non-serious AEs which are relevant for the reported SAE or AESI, on the BI SAE form via fax immediately (within 24 hours) to the sponsor's unique entry point (country specific contact details will be provided in the ISF). The same timeline applies if follow-up information becomes available. In specific occasions the Investigator could inform the sponsor upfront via telephone. This does not replace the requirement to complete and fax the BI SAE form.

With receipt of any further information to these events, a follow-up SAE form has to be provided. For follow-up information, the same rules and timelines apply as for initial information.

Information required

All (S)AEs, including those persisting after the individual subject's end of trial, must be followed up until they have resolved, have been sufficiently assessed as 'chronic' or 'stable', or no further information can be obtained.

5.2.3 Assessment of safety laboratory parameters

For the assessment of laboratory parameters, blood and urine samples will be collected by the trial site at the time points indicated in the <u>Flow Chart</u> after the subjects have fasted for at least 8 h. Overnight fasting is not required at the discretion of the investigator or designee for retests.

The parameters that will be determined are listed in <u>Tables 5.2.3: 1</u> and <u>5.2.3: 2</u>. Reference ranges will be provided in the ISF, Section 10.

Manual differential white blood cell count or urine sediment examinations will only be performed if there is an abnormality in the automatic blood cell count or in the urinalysis, respectively.

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Table 5.2.3: 1 Routine laboratory tests

Category	Test name	A^1	B^2	C^3
Haematology	Haematocrit	X	X	X
	Haemoglobin	X	X	X
	Red blood cells (RBC)	X	X	X
	White blood cells (WBC)	X	X	X
	Platelets	X	X	X
	Reticulocyte count	X	X	X
Automatic WBC	Neutrophiles	X	X	X
differential	Eosinophils	X	X	X
(relative and absolute)	Basophils	X	X	X
,	Monocytes	X	X	X
	Lymphocytes	X	X	X
Manual differential	Polymorphnuclear neutrophils (segs), band			
WBC (if automatic	neutrophils (stabs), eosinophils, basophils,			
differential WBC is	monocytes, lymphocytes			
abnormal and clinically	monocytes, tymphocytes			
relevant in the opinion of				
the investigator) Coagulation	Activated partial thromboplastin time (aPTT)	X	X	X
Coagulation				
Г	Prothrombin Time (Quick and INR)	X	X	X
Enzymes	Aspartate aminotransferase (AST/GOT, SGOT)	X	X	X
	Alanine aminotransferase (ALT/GPT, SGPT)	X	X	X
	Alkaline phosphatase	X	X	X
	Gammaglutamyl transferase (GGT)	X	X	X
	Lactate dehydrogenase	X	X	X
	Amylase	X	X	X
	Lipase	X	X	X
Substrates	Glucose (plasma)	X	-	-
	Creatinine	X	X	X
	eGFR, calculated from serum creatinine using CKD-EPI formula	X	X	X
	Bilirubin, total	X	X	X
	Bilirubin, direct	X	X	X
	Cholesterol, total	X	X	X
	Triglycerides	X	X	X
	C-reactive protein	X	X	X
	Urea	X	X	X
Electrolytes	Calcium	X	X	X
Licetrorytes	Sodium	X	X	X
	Potassium	X	X	X
	Chloride	X	X	X
**		X		
Hormones	·			
* From urine collection	Urine potassium	-	X	-
cf. Flow Chart	Urine sodium	-	X	-
	Urine chloride	-	X	-
	Urine creatinine	-	X	-
	Potassium-creatinine-quotient	-	X	-
	Sodium-creatinine-quotient	-	X	-

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Table 5.2.3: 1 Routine laboratory tests (cont'd)

Category	Test name	\mathbf{A}^{1}	B^2	C^3
24h collection Urine	Urine potassium	-	X	-
	Urine sodium	-	X	-
	Urine chloride	-	X	-
	Urine creatinine	-	X	-
	Urine-aldosterone	-	X	-
Urinalysis (Stix)	Urine nitrite	X	X	X
[Urin-Sediment will be	Urine protein	X	X	X
performed, if urinalysis	Urine glucose	X	X	X
abnormal]	Urine ketone	X	X	X
	Urobilinogen	X	X	X
	Urine bilirubin	X	X	X
	Urine RBC	X	X	X
	Urine WBC	X	X	X
	Urine pH	X	X	X
Urine sediment	Only positive findings will be reported (for			
(microscopic	instance, the presence of sediment bacteria,			
examination if	casts in sediment, squamous epithelial cells,			
erythrocytes, leukocytes	erythrocytes, leukocytes)			
nitrite or protein are				
abnormal in urine)				

¹A: parameters to be determined at screening examination

The tests listed in <u>Table 5.2.3: 2</u> are exclusionary laboratory tests which may be repeated as required. The results will not be entered in the CRF/database and will not be reported in the CTR. It is planned to perform these tests during screening only. Drug screening will be performed at screening and on Day 1.

²B: parameters to be determined during the study (for time points refer to <u>Flow Chart</u>)

³C: parameters to be determined during the Follow-up-examination

^{*} Urine collection fractions will be stored intermittent in a refrigerator. All collected fractions (0-24h) will be mixed for the 24h urine electrolyte measurement (intervals from 12:00-24:00, 108:00-120:00,180:00-192:00h will only be used for urine electrolytes not PK). Mixing should be done by transferring the entire content of all collection containers into a single PE/PP or glass container, and stirring the mixed fractions for about 1 min (manually or using a stir bar or other stirring device out of PE, PP, Teflon or glass).

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Table 5.2.3: 2 Exclusionary laboratory tests

Functional lab group	Test name		
Drug screening (urine)	Amphetamine/MDA		
2 rag servening (winter)	Barbiturates		
	Benzodiazepine		
	Cannabis		
	Cocaine		
	Methadone		
	Methamphetamines/MDMA/XTC		
	Opiates		
	Phencyclidine		
	Tricyclic antidepressants		
Infectious serology (blood)	Hepatitis B surface antigen (qualitative)		
	Hepatitis B core antibody (qualitative)		
	Hepatitis C antibodies (qualitative)		
	HIV-1 and HIV-2 antibody/p24-antigen (qualitative)		

To encourage compliance with alcoholic restrictions, a breath alcohol test (Alcotest[®] 7410, Dräger AG, Lübeck, Germany) will be performed as indicated in the Flow Chart and may be repeated at any time during the study at the discretion of an investigator or designee. The results will not be included in the CTR.

The laboratory tests listed in Table 5.2.3: 1 and 5.2.3: 2 will be performed at Medizinisches Versorgungszentrum Dr. Klein, Dr. Schmitt & Partner, Kaiserslautern, Germany with the exception of drug screening tests. These tests will be performed at the trial site using Multidrogen Pipettiertest (Urin) (Diagnostik Nord GmbH, Schwerin, Germany).

Laboratory data will be transmitted electronically from the laboratory to the trial site.

5.2.4 Electrocardiogram

5.2.4.1 12-lead resting ECG

Recording

Twelve-lead resting ECGs (I, II, III, aVR, aVL, aVF, V1 - V6) will be recorded using a computerised electrocardiograph (CardioSoft EKG System, GE Medical Systems, Freiburg, Germany) at the time points given in the Flow Chart. Electrode placement will be performed according to the method of Wilson, Goldberger and Einthoven modified by Mason and Likar (hips and shoulders instead of ankles and wrists). Precise electrode placement will be marked with an indelible mark on the skin to allow reproducible placement throughout the study.

To achieve a stable heart rate at rest and to assure high quality recordings, the site personnel will be instructed to assure a relaxed and quiet environment so that all subjects are at complete rest.

All ECGs will be recorded for a 10 sec duration after subjects have rested for at least 5 min in a supine position. ECG recording will always precede all other study procedures scheduled for the same time (except for blood drawing from an intravenous cannula that is already in place) to avoid compromising ECG quality.

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ECGs will be recorded as single ECGs or as triplicate ECGs (i.e. three single ECGs recorded within 180 sec) as indicated in the <u>Flow Chart</u>. Three triple ECGs will be recorded at baseline as indicated in the <u>Flow Chart</u>.

ECGs may be repeated for quality reasons for instance due to alternating current artefacts, muscle movements, or electrode dislocation. For repetition within triplicate ECGs the time window of 180 sec applies as well. The repeat ECGs are assigned to the respective scheduled time point. All ECG recordings including the repeat ECGs will be sent to the ECG core lab. The central ECG lab will select the ECGs with the best quality at each time point.

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Abnormal findings, irrespective of whether they originate from central or local evaluation, will be reported as AEs (during the trial) or baseline conditions (at screening) if judged clinically relevant by the investigator.

Any ECG abnormalities will be monitored carefully and, if necessary, the subject will be removed from the trial and will receive the appropriate medical treatment.

5.2.5 Assessment of other safety parameters

5.2.5.1 Vital signs

Systolic and diastolic blood pressures (BP) as well as pulse rate (PR) or heart rate (heart rate is considered to be equal to pulse rate) will be measured by a blood pressure monitor (e.g. Dash 3000, GE Medical Systems, Freiburg, Germany) at the times indicated in the Flow Chart, after subjects have rested for at least 5 min in a supine position. All recordings should be made using the same type of blood pressure recording instrument on the same arm if possible.

5.2.5.2 Medical examinations

At screening, the medical examination will include demographics including height and body weight, smoking and alcohol history, relevant medical history and concomitant therapy, review of inclusion and exclusion criteria, review of vital signs (BP, PR), 12-lead ECG, laboratory tests, and a physical examination. At the end of trial examination, it will include review of vital signs, 12-lead ECG, laboratory tests, and a physical examination.

5.2.5.3 Spirometry

Functional FEV1 (Forced expiratory volume in 1 second), FEF25-75 (Forced expiratory flow) and FVC (Forced vital capacity) will be measured with a diagnostic system (e.g FlowScreen® or MasterScope). Measurements will be taken with the subject in seated position and carried out by an observer (It is preferable that the same trained individual performs the measurements for a given subject). The best of three efforts will be defined as the highest FEV1 and the highest FVC each obtained on any of three blows meeting the ATS criteria (with a maximum of eight attempts). The highest FEV1, FVC and best FEF25-75

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(taken from the blow with the largest sum of FEV1 and FEV) will be selected regardless of whether they come from different spirometric manoeuvres or from the same manoeuvre (Refer to Flow Chart for time points). Equipment and techniques should conform to American Thoracic Society (ATS) criteria [P05-12782].

Abnormal findings will be recorded as AEs if judged clinically relevant by the investigator.

5.4 APPROPRIATENESS OF MEASUREMENTS

All measurements performed during this trial are standard measurements and will be performed in order to monitor subjects' safety and to determine pharmacokinetic parameters

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in an appropriate way. The scheduled measurements will allow monitoring of changes in vital signs, standard laboratory values, and ECG parameters that might occur as a result of administration of trial medication. The safety assessments are standard, are accepted for evaluation of safety and tolerability of an inhalative administered drug, and are widely used in clinical trials. The pharmacokinetic parameters and measurements outlined in Section 5.5 are generally used for assessments of drug exposure.

5.5 DRUG CONCENTRATION MEASUREMENTS AND PHARMACOKINETICS

Date and clock time of drug administration and pharmacokinetic sampling will be recorded in the CRFs.

PK sampling times and periods may be adapted during the trial based on information obtained during trial conduct including addition of samples and visits as long as the total blood volume taken per subject does not exceed 500 mL. Such changes would be implemented via non-substantial CTP Amendments.

5.5.1 Pharmacokinetic endpoints

The following pharmacokinetic parameters will be determined if feasible:

5.5.1.1 Secondary endpoints

After the first dose:

- AUC_{τ ,1} (area under the concentration-time curve of the analyte in plasma over a uniform dosing interval τ after administration of the first dose)
- C_{max} (maximum measured concentration of the analyte inplasma)

After the last dose:

- AUC_{τ ,ss} (area under the concentration-time curve of the analyte in plasma at steady state over a uniform dosing interval τ)
- $C_{max,ss}$ (maximum measured concentration of the analyte in plasma at steady state over a uniform dosing interval τ)

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5.5.2 Methods of sample collection

5.5.2.1 Plasma sampling for pharmacokinetic analysis

For quantification of analyte plasma concentrations, 3 mL of blood will be taken from an antecubital or forearm vein into a K2-EDTA (dipotassium ethylenediaminetetraacetic acid)-anticoagulant blood drawing tube (e.g. K2-EDTA BD Vacutainer®, Item no. 368856) at the times indicated in the <u>Flow Chart</u>. Blood will be withdrawn by means of either an indwelling venous catheter or by venipuncture with a metal needle.

The EDTA-anticoagulated blood samples will be centrifuged for about 10 min at about 2000 g to 4000 g and at 4 to 8°C. Two plasma aliquots will be obtained and stored in polypropylene tubes. The first aliquot should contain at least 0.7 mL plasma. The process from blood collection until transfer of plasma aliquots into the freezer should be completed within 90 min. For each aliquot the time when the sample was placed in the freezer will be documented. Until transfer on dry ice to the analytical laboratory, the aliquots will be stored upright at about -20°C or below at the trial site. The second aliquot will be transferred to the analytical laboratory after the bioanalyst has acknowledged safe arrival of the first aliquot. At the analytical laboratory the plasma samples will be stored at about -20°C or below until analysis.

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At a minimum, the sample tube labels should list the following information: BI trial number, subject number, visit, and planned sampling time. Further information such as matrix and analyte may also be provided.

After completion of the trial the plasma samples may be used for further methodological investigations,

The study samples will be discarded after completion of the additional investigations but not later than 5 years upon the final study report has been signed.

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5.5.3 Analytical determinations

5.5.3.1 Analytical determination of analyte plasma concentration

BI 1265162 concentrations in plasma will be determined by a validated LC-MS/MS (liquid chromatography tandem mass spectrometry) assay. The analysis will be performed at Drug Metabolism and Pharmacokinetics Germany, Boehringer Ingelheim Pharma GmbH & Co KG, Biberach, Germany. All details of the analytical method will be available prior to the start of sample analysis.

As described in <u>Section 4.1.5</u>, the bioanalyst may be unblinded during sample analysis.

5.6 BIOMARKER

Not applicable.

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5.7 PHARMACODYNAMICS

Not applicable.

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5.8 PHARMACOKINETIC - PHARMACODYNAMIC RELATIONSHIP

Not applicable.

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6. INVESTIGATIONAL PLAN

6.1 VISIT SCHEDULE

Exact times of measurements outside the permitted time windows will be documented. The acceptable time windows for screening and end of trial examination are given in the <u>Flow</u> Chart.

Study measurements and assessments scheduled to occur 'before' trial medication administration on Days 1 and 8 are to be performed and completed within a 3 h-period prior to the trial drug administration (including blank values for PK).

The acceptable deviation from the scheduled time for vital signs, spirometry, ECG and laboratory tests will be \pm 30 min for the first 4 h after trial drug administration and \pm 45 min thereafter.

If scheduled in the <u>Flow Chart</u> at the same time as a meal, blood sampling, vital signs and 12-lead ECG recordings have to be done first. Furthermore, if several measurements including venipuncture are scheduled for the same time, venipuncture should be the last of the measurements (if at the same time point as spirometry, spirometry should be last measurement) due to its inconvenience to the subject and possible influence on physiological parameters.

For planned individual plasma concentration sampling times and refer to the <u>Flow Chart</u>. While these nominal times should be adhered to as closely as possible, the actual sampling times will be recorded and used for determination of pharmacokinetic parameters.

If a subject misses an appointment, it will be rescheduled if possible. The relevance of measurements outside the permitted time windows will be assessed no later than at the Blinded Report Planning Meeting.

6.2 DETAILS OF TRIAL PROCEDURES AT SELECTED VISITS

6.2.1 Screening period

After having been informed about the trial, all subjects will give their written informed consent in accordance with GCP and local legislation prior to enrolment in the study.

For information regarding laboratory tests (including drug and virus screening), ECG, vital signs, and physical examination, refer to <u>Sections 5.2.3</u> to <u>5.2.5</u>.

6.2.2 Treatment period

Each subject will receive one dose of the respective trial medication (BI 1265162 or placebo) at Visit 2.

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Each subject will receive a single dose of BI 1265162 or placebo on Day 1 and Day 8 and then daily multiple doses (bid) of BI 1265162 of the respective dose strength or placebo for from Day 2 onwards.

Trial medication will be administered by each subject under direct supervision of the investigator or designee. Details on treatments and procedures of administration are described in Section 4.1.4.

Study participants will be admitted to the trial site in the morning of Day -1 for base line assessment and kept under close medical surveillance for at least 48 h following the last drug administration. The subjects will then be allowed to leave the trial site after formal assessment and confirmation of their fitness by the investigator or designee.

For details on time points and procedures for collection of plasma and samples for PK analysis, refer to Flow Chart and Section 5.5.2.

The safety measurements performed during the treatment period are specified in <u>Section 5.2</u> of this protocol and in the <u>Flow Chart</u>. For details on time points for all other trial procedures, refer to the <u>Flow Chart</u>. AEs and concomitant therapy will be assessed continuously from screening until the end of trial examination.

6.2.3 End of trial period

For AE assessment, laboratory tests, recording of ECG and vital signs, and physical examination during the end of trial period, see <u>Sections 5.2.2</u> to <u>5.2.5</u>.

Subjects who discontinue treatment before the end of the planned treatment period should undergo the end of trial visit.

All abnormal values (including laboratory parameters) that are judged clinically relevant by the investigator will be monitored using the appropriate tests until a return to a medically acceptable level is achieved. (S)AEs persisting after subject's end of trial must be followed up until they have resolved, have been sufficiently characterised, or no further information can be obtained.

The end of the trial as a whole is defined by the 'last regular visit completed by last subject' or 'end date of the last open AE' or 'date of the last follow-up test' or 'date of an AE has been decided as sufficiently followed-up', whichever is latest.

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7. STATISTICAL METHODS AND DETERMINATION OF SAMPLE SIZE

7.1 STATISTICAL DESIGN – MODEL

7.1.1 Objectives

The primary objective of this trial is to investigate the safety and tolerability of BI 1265162 by using descriptive statistics for all endpoints comparing active dose groups to placebo. The primary endpoint is defined in <u>Section 5.2.1</u>. Inferential statistics is not planned (as explained in <u>Section 7.2</u>).

The secondary objective is the exploration of the pharmacokinetics (PK) of BI 1265162. Endpoints as specified in <u>Section 5.5.1</u> will be analysed by descriptive statistics. Secondary endpoints as defined in <u>Section 5.5.1.1</u> will be subjected to analysis of dose proportionality by use of the power model.

7.2 NULL AND ALTERNATIVE HYPOTHESES

Safety and tolerability of 5 different dose groups of BI 1265162 are to be determined on the basis of the investigated parameters in comparison to placebo. It is not planned to test any statistical hypotheses with regard to these variables in a confirmatory sense. Instead, they will be described in their entirety and evaluated by descriptive statistical methods.

Confidence intervals will be computed and will have to be interpreted in the perspective of the exploratory character of the study, i.e. confidence intervals are considered as interval estimates for effects.

7.3 PLANNED ANALYSES

Adherence to the protocol (such as inclusion/exclusion criteria, times of measurement, compliance with intake of trial medication, treatment dispensing errors, prohibited concomitant medication, completeness and consistency of data) will be checked. Important protocol violations (IPVs) will be identified no later than in the Report Planning Meeting and provided in the TSAP.

7.3.1 Primary analyses

All treated subjects (that is, all subjects who received at least one dose of study drug), will be included in the treated set.

The primary endpoint will be analysed only descriptively on the treated set. For more details see Section 7.3.3.

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7.3.2 Secondary analyses

The secondary parameters (refer to Section 5.5.1) will be calculated according to the BI Standard Operating Procedure (SOP) 'Standards and processes for analyses performed within Clinical Pharmacokinetics/Pharmacodynamics' (001-MCS-36-472). Analyses will be performed for parent drug.

Plasma and concentration data and parameters of a subject will be included in the statistical pharmacokinetic analysis if they are not flagged for exclusion due to a protocol violation relevant to the evaluation of PK (to be decided no later than in the Report Planning Meeting) or due to PK non-evaluability (as revealed during data analysis, based on the criteria specified below). Exclusion of a subject's data will be documented in the CTR.

Relevant protocol violations may be

- Incorrect trial medication taken, i.e. the subject received at least one dose of trial medication the subject was not assigned to
- Incorrect dose of trial medication taken
- Use of restricted medications

Plasma and concentrations and/or parameters of a subject will be considered as non-evaluable, if for example

- the subject experiences emesis at or before two times median t_{max} of the respective treatment. Median t_{max} is to be determined for the test product excluding the subjects experiencing emesis,
- missing samples/concentration data at important phases of PK disposition curve.

The PK parameter analysis set (PKS) includes all subjects in the Treated Set (TS) who provide at least one PK parameter that was not excluded according to the description above.

All statistical evaluations of PK parameters will be based on the PKS.

The main analysis of PK parameters will be based on descriptive statistics.

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$$\exp(Y) = \alpha' * \exp(X)^{\beta} * \varepsilon$$

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7.3.3 Safety analyses

Safety will be assessed for the endpoints and parameters of interest listed in <u>Section 5.2.1</u>. All treated subjects (that is, all subjects who received at least one dose of study drug), will be included in the safety analysis. Safety analyses will be descriptive in nature and will be based on BI standards.

Treatments will be compared in a descriptive way. The placebo control group in the safety evaluation will consist of all placebo treated subjects, regardless of the dose group in which they were treated. The active treatment groups will be compared to the placebo group in a descriptive way. Tabulations of frequencies/proportions will be used for the evaluation of categorical (qualitative) data, and tabulations of descriptive statistics will be used to analyse continuous (quantitative) data.

The analyses will be done by 'treatment at onset'.

Measurements (such as ECG, vital signs, or laboratory parameters) or AEs will be assigned to treatments (see Section 4.1) based on the actual treatment at the planned time of the measurement or on the recorded time of AE onset (concept of treatment emergent AEs). Therefore, measurements planned or AEs recorded prior to first intake of trial medication will be assigned to 'screening', those between first trial medication intake until end of the residual effect period (see Section 5.2.2.2) will be assigned to the treatment period. Events after the residual effect period but prior trial termination date will be summarized as 'follow-up'. These assignments including the corresponding time intervals will be defined in detail in the TSAP. Please note that AEs occurring after the last per protocol contact but entered before database lock will be reported to drug safety only and will not be captured in the trial database.

Additionally, further treatment intervals (analysing treatments) may be defined in order to provide summary statistics for time intervals, such as combined treatments, on-treatment totals or periods without treatment effects (such as screening and follow-up).

Adverse events will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). Frequency, severity and causal relationship of AEs will be tabulated by treatment, system organ class and preferred term. SAEs, AESIs (see Section 5.2.2.1) and other significant AEs (according to ICH E3) will be listed separately.

Laboratory data will be compared to their reference ranges. Values outside the reference range will be highlighted in the listings. Additionally, differences from baseline will be evaluated.

For vital signs and spirometry, the differences from baseline will be evaluated.

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7.3.4 Interim analyses

No interim analysis is planned.

7.3.5 Pharmacokinetic analyses

The pharmacokinetic parameters listed in <u>Section 5.5.1</u> for drug BI 1265162 will be calculated according to the BI SOP 'Standards and processes for analyses performed within Clinical Pharmacokinetics/Pharmacodynamics' (001-MCS-36-472).

Subjects who are not included in the PKS (refer to <u>Section 7.3.1</u>) will be reported with their individual plasma concentrations and individual pharmacokinetic parameters; however, they will not be included in descriptive statistics for plasma concentrations, pharmacokinetic parameters or other statistical assessment.

Only concentration values within the validated concentration range and actual sampling times will be used for the calculation of pharmacokinetic parameters. Concentrations used in the pharmacokinetic calculations will be in the same format provided in the bioanalytical report, (that is, to the same number of decimal places provided in the bioanalytical report).

7.4 HANDLING OF MISSING DATA

7.4.1 Safety

With respect to safety evaluations, it is not planned to impute missing values.

7.4.2 Plasma drug concentration - time profiles

Handling of missing PK data will be performed according to the relevant SOP of the Sponsor (001-MCS-36-472).

Drug concentration data identified with NOS (no sample available), NOR (no valid result), NOA (not analysed), or BLQ (below the lower limit of quantification) will be displayed as such and not replaced by zero at any time point (this rule also applies also to the lag phase, including the predose values).

7.4.3 Pharmacokinetic parameters

Handling of missing PK data will be performed according to the relevant SOP of the Sponsor (001-MCS-36-472).

For tabulation and graphical displays, concentration data identified with NOS, NOR or NOA will generally not be considered. Concentration values in the lag phase identified as BLQ will be set to zero. All other BLQ values of the profile will be ignored. The lag phase is defined as the period between time zero and the first time point with a concentration above the quantification limit.

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7.5 RANDOMISATION

Subjects will be randomised within each dose group in a 4:1 ratio, which reflects the ratio of subjects receiving active drug to placebo.

The sponsor will arrange for the randomisation as well as packaging and labelling of trial medication. The randomisation list will be generated using a validated system, which involves a pseudo-random number generator and a supplied seed number so that the resulting allocation is both reproducible and non-predictable.

The randomisation list will contain additional blocks to allow for subject replacement (refer to 3.3.5).

7.6 DETERMINATION OF SAMPLE SIZE

It is planned to include a total of 50 subjects in this trial. The planned sample size is not based on a power calculation. The size of 10 subjects per dose group (8 on active treatment, and 2 on placebo) is commonly used in multiple-rising dose studies of the present type and is in general considered as sufficient for the exploratory evaluation of multiple dose safety and pharmacokinetics [R95-0013].

Additional subjects may be entered to allow testing of additional doses on the basis of experience gained during the trial conduct provided the planned and approved highest dose will not be exceeded. Thus, the actual number of subjects entered may exceed 50, but will not exceed 70 subjects entered.

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8. INFORMED CONSENT, DATA PROTECTION, TRIAL RECORDS

The trial will be carried out in compliance with the protocol, the principles laid down in the Declaration of Helsinki, in accordance with the ICH Harmonised Tripartite Guideline for Good Clinical Practice (GCP) and relevant BI SOPs

The investigator should inform the sponsor immediately of any urgent safety measures taken to protect the study subjects against any immediate hazard, and also of any serious breaches of the protocol or of ICH GCP.

As a general rule, no trial results should be published prior to finalisation of the CTR.

<u>Insurance Coverage</u>: The terms and conditions of the insurance coverage must be given to each subject and are made available to the investigator via documentation in the ISF.

8.1 STUDY APPROVAL, SUBJECT INFORMATION, AND INFORMED CONSENT

This trial will be initiated only after all required legal documentation has been reviewed and approved by the respective Institutional Review Board (IRB) / Independent Ethics Committee (IEC) and competent authority (CA) according to national and international regulations. The same applies for the implementation of changes introduced by amendments.

Prior to a subject's participation in the trial, written informed consent must be obtained from each subject (or the subject's legally accepted representative) according to ICH GCP and to the regulatory and legal requirements of the participating country. Each signature must be personally dated by each signatory and the informed consent and any additional subject information form are to be retained by the investigator as part of the trial records. A copy of the signed and dated written informed consent and any additional subject information must be given to each subject or the subject's legally accepted representative.

The subject must be informed that his/her personal trial-related data will be used by Boehringer Ingelheim in accordance with the local data protection law. The level of disclosure must also be explained to the subject.

The subject must be informed that his or her medical records may be examined by authorised monitors (Clinical Monitor Local/Clinical Research Associate) or Clinical Quality Assurance auditors appointed by Boehringer Ingelheim, by appropriate IRB/IEC members, and by inspectors from regulatory authorities.

8.2 DATA QUALITY ASSURANCE

A quality assurance audit/inspection of this trial may be conducted by the sponsor or sponsor's designees, by IRBs/IECs, or by regulatory authorities. The quality assurance auditor will have access to all medical records, the investigator's trial-related files and correspondence, and the informed consent documentation of this clinical trial.

The data management procedures to ensure the quality of the data are described in detail in the trial data management and analysis plan (TDMAP) available in the TMF.

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8.3 RECORDS

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CRFs for individual subjects will be provided by the sponsor. See <u>Section 4.1.5.2</u> for rules about emergency code breaks. For drug accountability, refer to <u>Section 4.1.8</u>.

8.3.1 Source documents

Source documents provide evidence for the existence of the subject and substantiate the integrity of the data collected. Source documents are filed at the investigator's site.

All data reported in the CRFs must be consistent with the source data or the discrepancies must be explained.

The investigator may need to request previous medical records or transfer records, depending on the trial.

8.3.2 Direct access to source data and documents

The investigator/institution will permit trial-related monitoring, audits, IRB/IEC review and regulatory inspection, providing direct access to all related source data/documents. CRFs and all source documents, including progress notes (if applicable) and copies of laboratory and medical test results must be available at all times for review by the sponsor's clinical trial monitor, auditor and inspection by health authorities (e.g. FDA). The Clinical Research Associate/on site monitor and auditor may review all CRFs, and written informed consents. The accuracy of the data will be verified by reviewing the documents described in Section 8.3.1.

8.3.3 Storage period of records

Trial site:

The trial site must retain the source and essential documents (including ISF) according to the national or local requirements (whatever is longer) valid at the time of the end of the trial.

Sponsor:

The sponsor must retain the essential documents according to the sponsor's SOPs.

8.4 EXPEDITED REPORTING OF ADVERSE EVENTS

BI is responsible to fulfil their legal and regulatory reporting obligation in accordance with regulatory requirements.

8.5 STATEMENT OF CONFIDENTIALITY

Individual subject medical information obtained as a result of this trial is considered confidential and disclosure to third parties is prohibited with the exceptions noted below. Subject confidentiality will be ensured by using subject identification code numbers.

Treatment data may be provided to the subject's personal physician or to other appropriate medical personnel responsible for the subject's welfare. Data generated as a result of the trial

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need to be available for inspection on request by the participating physicians, the sponsor's representatives, by the IRB/IEC and the regulatory authorities i.e. the CA.

8.6 COMPLETION OF TRIAL

The EC/competent authority in each participating EU member state needs to be notified about the end of the trial (last subject/subject out, unless specified differently in <u>Section 6.2.3</u> of the CTP) or early termination of the trial.

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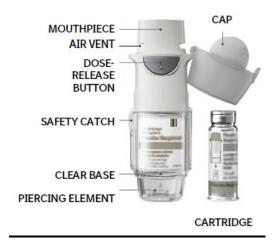
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10. APPENDICES

HANDLING INSTRUCTIONS FOR RESPIMAT INHALER FOR USE IN 10.1 **CLINICAL TRIALS**

These instructions explain generally the use of BI 1265162 RESPIMAT inhaler. Depending on the clinical study, the product is administered under direct medical supervision or used by patients at home. Depending on the situation, the Instructions can be adapted to the specific situation as need may be.

Read these Instructions for Use before you start demonstrating or using RESPIMAT.



How to store BI 1265162 RESPIMAT

Keep BI 1265162 RESPIMAT out of the sight and reach of children.

Do not freeze BI 1265162 RESPIMAT. For further storage conditions, please refer to product label.

If BI 1265162 RESPIMAT has not been used for more than 7 days, repeat steps 4 to 6 (turn, open, press) under 'Prepare for first Use' until a cloud is visible. Then repeat steps 4 to 6 three more times.

Do not use BI 1265162 RESPIMAT after the expiry date.

How to care for BI 1265162 RESPIMAT

Clean the mouthpiece including the metal part inside the mouthpiece with a damp cloth or tissue, at least once a week. Cleaning on a daily basis or daily disinfection with an alcoholic tissue is also possible.

Any minor discoloration in the mouthpiece does not affect BI 1265162 RESPIMAT inhaler performance.

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When to get a new BI 1265162 RESPIMAT



- BI 1265162 RESPIMAT inhaler contains 120 puffs if used as indicated.
- The dose indicator shows approximately how much medication is left.
- When the dose indicator enters the red area of the scale, get a new BI 1265162 RESPIMAT from the investigational site; there are approximately 30 puffs left.
- Once the dose indicator reaches the end of the red scale, BI 1265162 RESPIMAT locks automatically no more doses can be released. At this point, the clear base cannot be turned any further. The inhaler should not be discarded; it should be returned to investigational site.

Prepare for first use

Remove clear base

Keep the cap closed.

Press the safety catch while firmly pulling off the clear base with your other hand.



Insert cartridge

Insert the narrow end of the cartridge into the inhaler.

Place the inhaler on a firm surface and push down firmly until it snaps into place.



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Replace clear base Put the clear base back into place until it clicks.	CLEAR BASE
Turn Keep the cap closed. Turn the clear base in the direction of the arrows on the label until it clicks (half a turn).	ARROWS
Open Open the cap until it snaps fully open.	CAP
Press Point the inhaler toward the ground Press the dose-release button. Close the cap. Repeat steps 4-6 until a cloud is visible. After a cloud is visible, repeat steps 4-6 three more times.	DOSE- RELEASE BUTTON STEPS 4-6

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Daily use

TURN

Keep the cap closed.

TURN the clear base in the direction of the arrows on the label until it clicks (half a turn).



OPEN

OPEN the cap until it snaps fully open.



PRESS

Breathe out slowly and fully.

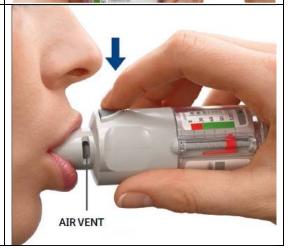
Close your lips around the mouthpiece without covering the air vents.

While taking a slow, deep breath through your mouth, PRESS the dose-release button and continue to breathe in.

Hold your breath for 10 seconds or for as long as comfortable.

Repeat Turn, Open, Press for a total of puffs required by the clinical trial protocol.

Close the cap until you use your BI 1265162 RESPIMAT inhaler again.



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Answers to Common Questions

It is difficult to insert the cartridge deep enough.

Did you accidentally turn the clear base before inserting the cartridge? Open the cap, press the dose-release button, then insert the cartridge.

Did you insert the cartridge with the wide end first? Insert the cartridge with the narrow end first.

I cannot press the dose-release button.

Did you turn the clear base? If not, turn the clear base in a continuous movement until it clicks (half a turn).

Is the dose indicator on BI 1265162 RESPIMAT pointing to zero?

BI 1265162 RESPIMAT inhaler is locked after 120 puffs. Prepare and use a new BI 1265162 RESPIMAT inhaler.

I cannot turn the clear base.

Did you turn the clear base already? If the clear base has already been turned, follow steps "OPEN" and "PRESS" under "Daily Use" to get your medicine.

Is the dose indicator on the BI 1265162 RESPIMAT pointing to zero? The BI 1265162 RESPIMAT inhaler is locked after 120 puffs. Prepare and use your new RESPIMAT inhaler.

The dose indicator on the BI 1265162 RESPIMAT reaches zero too soon.

Did you turn the clear base before you inserted the cartridge? The dose indicator counts each turn of the clear base regardless whether a cartridge has been inserted or not. Did you spray in the air often to check whether the BI 1265162 RESPIMAT is working? Once you have prepared BI 1265162 RESPIMAT, no test-spraying is required if used daily. Did you insert the cartridge into a used RESPIMAT? Always insert a new cartridge into a **NEW RESPIMAT.**

BI 1265162 RESPIMAT sprays automatically.

Was the cap open when you turned the clear base? Close the cap, then turn the clear base. Did you press the dose-release button when turning the clear base? Close the cap, so the dose-release button is covered, then turn the clear base.

Did you stop when turning the clear base before it clicked? Turn the clear base in a continuous movement until it clicks (half a turn).

BI 1265162 RESPIMAT doesn't spray.

Did you insert a cartridge? If not, insert a cartridge.

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Did you repeat Turn, Open, Press less than three times after inserting the cartridge? Repeat Turn, Open, Press three times after inserting the cartridge as shown in the steps 4 to 6 under "Prepare for first Use".

Is the dose indicator on BI 1265162 RESPIMAT pointing to 0? If the dose indicator points to 0, you have used up all your medication and the inhaler is locked. Once BI 1265162 RESPIMAT is assembled, do not remove the clear base or the cartridge. Always insert a new cartridge into a NEW RESPIMAT.

Further information

BI 1265162 RESPIMAT inhaler must not be disassembled after inserting the cartridge and replacing the clear base.

Do not touch the piercing element inside the base.

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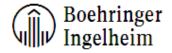
11. DESCRIPTION OF GLOBAL AMENDMENT(S)

Number of global amendment	1	
Date of CTP revision	25 June 2018	
EudraCT number	2017-001107-71	
BI Trial number	1399-0002	
BI Investigational Product(s)	BI 1265162	
Title of protocol	Safety, tolerability and pharmacokinetics of multiple rising inhaled doses of BI 1265162 in healthy male subjects in a randomised, double blind, placebo-controlled trial	
To be implemented only after		
approval of the IRB / IEC /		
Competent Authorities		
To be implemented		
immediately in order to		
eliminate hazard –		
IRB / IEC / Competent		
Authority to be notified of		
change with request for		
approval		
Can be implemented without		
IRB / IEC / Competent		
Authority approval as changes		
involve logistical or		
administrative aspects only		
Section to be changed	Synopsis and 3.3.2 Inclusion Criteria No 2	
Description of change	Upper age was changed from 50 to 45 years	
Rationale for change	Request by CA	

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Number of global amendment	2	
Date of CTP revision	04 July 2018	
EudraCT number	2017-001107-71	
BI Trial number	1399-0002	
BI Investigational Product(s)	BI 1265162	
Title of protocol	Safety, tolerability and pharmacokinetics of multiple rising inhaled doses of BI 1265162 in	
	healthy male subjects in a randomised, double	
	blind, placebo-controlled trial	
	omia, piaceoo-controlled trial	
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approval of the IRB / IEC /		
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To be implemented		
immediately in order to		
eliminate hazard –		
IRB / IEC / Competent		
Authority to be notified of		
change with request for		
approval		
Can be implemented without		
IRB / IEC / Competent		
Authority approval as changes		
involve logistical or		
administrative aspects only		
and the second s		
Section to be changed	Flowchart	
Description of change	Planned time corrected on day 3 (47:00 to 47:55)	
Rationale for change	Initial wrong planned time on day 3	
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APPROVAL / SIGNATURE PAGE

Document Number: c15848749 Technical Version Number: 3.0

Document Name: clinical-trial-protocol-revision-02

Title: Safety, tolerability and pharmacokinetics of multiple rising inhaled doses of BI 1265162 in healthy male subjects in a randomised, double blind, placebo-controlled trial

Signatures (obtained electronically)

Meaning of Signature	Signed by	Date Signed
Author-Trial Clinical Pharmacokineticist		04 Jul 2018 20:58 CEST
Approval-Biostatistics		05 Jul 2018 07:58 CEST
Author-Trial Clinical Monitor		05 Jul 2018 08:30 CEST
Approval-Therapeutic Area		05 Jul 2018 12:02 CEST
Approval-Team Member Medicine		05 Jul 2018 14:17 CEST
Verification-Paper Signature Completion		09 Jul 2018 10:26 CEST
Author-Trial Statistician		09 Jul 2018 12:17 CEST

Boehringer IngelheimPage 2 of 2Document Number: c15848749Technical Version Number: 3.0

(Continued) Signatures (obtained electronically)

Meaning of Signature Signed by Date Signed
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