



## CLINICAL STUDY PROTOCOL

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**Study Title:** A Phase 2, Open-Label, Multicenter, Multi-cohort, Single-Arm Study to Investigate the Safety and Efficacy of Sofosbuvir + Ribavirin in Adolescents and Children with Genotype 2 or 3 Chronic HCV Infection

**Sponsor:** Gilead Sciences, Inc.  
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**EudraCT Number:** TBD

**Indication:** Hepatitis C Virus Infection

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## TABLE OF CONTENTS

TABLE OF CONTENTS .....	2
LIST OF IN-TEXT FIGURES .....	4
PROTOCOL SYNOPSIS .....	5
GLOSSARY OF ABBREVIATIONS AND DEFINITION OF TERMS .....	14
1. INTRODUCTION .....	17
1.1. Background .....	17
1.2. Sofosbuvir .....	18
1.3. Ribavirin (RBV) .....	19
1.4. Rationale for the Current Study .....	19
1.5. Rationale for Dose Selection of SOF .....	20
1.6. Overall Risk/Benefit Assessment .....	20
2. OBJECTIVES .....	22
3. STUDY DESIGN .....	23
3.1. Treatment Plan and Regimen .....	23
3.1.1. Part A .....	23
3.1.2. Part B .....	23
3.2. Visit Schedule .....	25
3.2.1. Part A .....	25
3.2.2. Part B .....	26
3.3. Virologic Response-Based Stopping Criteria .....	26
3.4. Treatment Discontinuations Criteria .....	27
3.5. Discontinuations .....	27
3.6. Pharmacogenomic Substudy .....	27
3.7. Long Term Follow Up .....	27
4. SUBJECT POPULATION .....	28
4.1. Number of Subjects and Subject Selection .....	28
4.2. Inclusion Criteria .....	28
4.3. Exclusion Criteria .....	28
5. INVESTIGATIONAL MEDICINAL PRODUCTS .....	30
5.1. Randomization and Blinding .....	30
5.2. Sofosbuvir .....	30
5.2.1. Description and Handling .....	30
5.2.2. Storage and Handling .....	30
5.2.3. Dosage and Administration of SOF .....	31
5.3. Ribavirin .....	31
5.3.1. Description and Handling of RBV .....	31
5.3.2. Dosage and Administration of RBV .....	32
5.4. Co-administration of SOF and RBV .....	32
5.5. Study Drug Compliance .....	33
5.6. Prior and Concomitant Medications .....	33
6. STUDY PROCEDURES .....	35
6.1. Subject Enrollment .....	35
6.2. Screening Assessments .....	35
6.2.1. Screening Visit (Day -28 to Day 0) .....	35

6.3.	Part A: Treatment Assessments .....	37
6.3.1.	Day 1 Visit .....	37
6.3.2.	Day 3 .....	38
6.3.3.	Day 7 – Intensive PK .....	38
6.4.	Part B: Treatment Assessments.....	40
6.4.1.	Day 1 Visit .....	40
6.4.2.	Weeks 1 and 2 (± 3 days) .....	41
6.4.3.	Weeks 4 and 8 (± 3 days) .....	42
6.4.4.	Week 12 (± 3 days) .....	42
6.4.5.	Week 16 and 20 (± 3 days) – GT-3 Subjects only.....	43
6.4.6.	Week 24(± 3 days) – GT-3 Subjects only .....	44
6.4.7.	Unscheduled Visit/Early Termination Visit .....	45
6.5.	Post-Treatment Assessments.....	46
6.5.1.	4-Week Post-Treatment Visit (± 5 days).....	46
6.5.2.	12-Week Post-Treatment Visit (± 5 days).....	46
6.5.3.	24-Week Post-Treatment Visit (± 5 days).....	47
6.6.	Procedures and Specifications.....	48
6.6.1.	Clinical Laboratory Analytes .....	48
6.6.2.	Medical History.....	48
6.6.3.	Complete Physical Examination .....	48
6.6.4.	Tanner Pubertal Stage Assessment.....	49
6.6.5.	Vital Signs.....	49
6.6.6.	Body Mass Index (BMI) .....	49
6.6.7.	Viral Sequencing (Archive) .....	49
6.6.8.	Pregnancy Testing.....	49
6.6.9.	Quality of Life Survey (PedsQL™).....	49
7.	TOXICITY MANAGEMENT .....	50
7.1.	Modification of Dose/Schedule Due to Toxicity .....	50
7.1.1.	RBV Dose Adjustments .....	50
7.2.	Subject Stopping Rules for SOF .....	50
8.	ADVERSE EVENTS MANAGEMENT .....	51
8.1.	Definitions of Adverse Events, Adverse Reactions, and Serious Adverse Events.....	51
8.1.1.	Adverse Events.....	51
8.1.2.	Serious Adverse Events.....	51
8.1.3.	Clinical Laboratory Abnormalities and Other Abnormal Assessments as Adverse Events or Serious Adverse Events .....	52
8.2.	Assessment of Adverse Events and Serious Adverse Events.....	52
8.2.1.	Assessment of Causality for Study Drugs and Procedures.....	52
8.2.2.	Assessment of Severity .....	53
8.3.	Investigator Requirements and Instructions for Reporting Adverse Events and Serious Adverse Events .....	53
8.4.	Gilead Reporting Requirements .....	55
8.5.	Special Situations Reports .....	55
8.5.1.	Definitions of Special Situations .....	55
8.5.2.	Instructions for Reporting Special Situations.....	56
9.	STATISTICAL CONSIDERATIONS.....	58
9.1.	Analysis Objectives and Endpoints.....	58
9.1.1.	Analysis Objectives.....	58
9.1.2.	Primary Endpoint .....	59
9.1.3.	Secondary Endpoints.....	59

9.2.	Analysis Conventions .....	59
9.2.1.	Analysis Sets .....	59
9.2.2.	Data Handling Conventions .....	60
9.2.3.	Interim Analysis .....	61
9.3.	Demographic Data and Baseline Characteristics .....	61
9.4.	Pharmacokinetic Analysis .....	62
9.5.	Efficacy Analysis .....	62
9.5.1.	Primary Analysis .....	62
9.5.2.	Secondary Analysis .....	62
9.5.3.	Subgroup Analysis .....	63
9.6.	Safety Analysis .....	63
9.6.1.	Extent of Exposure .....	63
9.6.2.	Adverse Events .....	63
9.6.3.	Laboratory Evaluations .....	64
9.6.4.	Other Safety Evaluations .....	64
9.7.	Data Monitoring Committee .....	65
9.8.	Sample Size .....	65
10.	RESPONSIBILITIES .....	66
10.1.	Investigator Responsibilities .....	66
10.1.1.	Good Clinical Practice .....	66
10.1.2.	Institutional Review Board (IRB)/Independent Ethics Committee (IEC) Approval .....	66
10.1.3.	Informed Consent/Assent .....	67
10.1.4.	Confidentiality .....	67
10.1.5.	Study Files and Retention of Records .....	67
10.1.6.	Case Report Forms .....	69
10.1.7.	Drug Accountability .....	69
10.1.8.	Inspections .....	69
10.1.9.	Protocol Compliance .....	69
10.2.	Sponsor Responsibilities .....	70
10.2.1.	Protocol Modifications .....	70
10.2.2.	Study Report and Publications .....	70
10.3.	Joint Investigator/Sponsor Responsibilities .....	70
10.3.1.	Access to Information for Monitoring .....	70
10.3.2.	Access to Information for Auditing or Inspections .....	71
10.3.3.	Study Discontinuation .....	71
11.	REFERENCES .....	72
12.	APPENDICES .....	74
Appendix 1.	Investigator Signature Page .....	75
Appendix 2.	Study Procedures Table .....	76
Appendix 3.	GSI Grading Scale for Severity of Adverse Events and Laboratory Abnormalities .....	81
Appendix 4.	Tanner Stages .....	104
Appendix 5.	Pregnancy Precautions, Definition for Female of Childbearing Potential, and Contraceptive Requirements .....	105

## LIST OF IN-TEXT FIGURES

Figure 3-1.	Study Schema .....	25
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**PROTOCOL SYNOPSIS**  
**Gilead Sciences, Inc.**  
**333 Lakeside Drive**  
**Foster City, CA 94404, USA**

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<b>Study Title:</b>	A Phase 2, Open-Label, Multicenter, Multi-cohort, Single-Arm Study to Investigate the Safety and Efficacy of Sofosbuvir + Ribavirin in Adolescents and Children with Genotype 2 or 3 Chronic HCV Infection
<b>IND Number:</b>	106,739
<b>EudraCT Number:</b>	TBD
<b>Study Centers:</b>	Approximately 50 sites in the United States, Europe, Russia, Australia, and New Zealand
<b>Number of Subjects:</b>	Approximately 100 subjects
<b>Target Population:</b>	Adolescents and children (aged 3 to < 18) with chronic hepatitis C virus (HCV) infection
<b>Treatment Duration:</b>	Part A – 7 days Part B – 12 or 24 weeks
<b>Objectives:</b>	<p>The primary objective of Part A of this study is:</p> <ul style="list-style-type: none"><li>• To evaluate the steady state pharmacokinetics (PK) and confirm the dose of sofosbuvir (SOF) in HCV-infected pediatric subjects</li></ul> <p>The secondary objective of Part A of this study is:</p> <ul style="list-style-type: none"><li>• To evaluate the safety and tolerability of 7 days of dosing of SOF in HCV-infected pediatric subjects</li></ul> <p>The primary objectives of Part B of this study are:</p> <ul style="list-style-type: none"><li>• To evaluate the safety and tolerability of SOF + ribavirin (RBV) for 12 or 24 weeks in HCV-infected pediatric subjects with genotype 2 or 3, respectively</li><li>• To determine the antiviral efficacy of SOF+RBV treatment in genotype 2 and genotype 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 12 weeks after completion of treatment (SVR12)</li></ul>

The secondary objectives of Part B of this study are:

- To determine the antiviral efficacy of SOF+RBV treatment in genotype 2 and genotype 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 4 and 24 weeks after completion of treatment (SVR4 and SVR24)
- To evaluate the kinetics of circulating HCV RNA during treatment and after completion of treatment
- To evaluate the emergence of viral resistance to SOF during treatment and after completion of treatment

The exploratory objective of this study is:

- PPD

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**Study Design:**

Open-label, multi-cohort, two-part study evaluating the PK, safety, and antiviral activity of SOF in chronic HCV-infected pediatric subjects.

The study will be divided into 2 parts as follows:

**Part A:** Part A (PK lead-in) will evaluate and/or confirm age appropriate SOF doses by analyzing PK, safety, and antiviral activity of SOF through 7 days of dosing for each of three cohorts. Children aged 3 to 6, children aged 6 to < 12 years and adolescents aged 12 to < 18 years with genotype (GT) 2 or 3 HCV infection and evidence of HCV RNA > 1000 IU/mL at study entry will be evaluated.

Three cohorts of up to 10 subjects each will be sequentially enrolled:

- Cohort 1: 12 to < 18 years old weighing  $\geq$  45kg
- Cohort 2: 6 to < 12 years old
- Cohort 3: 3 to < 6 years old

The study will start with Cohort 1. Subjects will receive SOF (400-mg adult tablet or 4 x 100-mg tablets if determined necessary based on SOF swallowability assessment) for 7 days with intensive PK conducted on Day 7.

Subjects in each cohort (Cohorts 1, 2 and 3) will immediately enroll in Part B as they complete Day 7 of Part A. They will begin dosing with SOF + RBV with no interruption of study drug administration.

Following completion of study treatment in Cohort 1 (Part A) and pending PK and safety results from Cohort 1 (Part A), Cohort 2 will initiate and receive study treatment (age-appropriate formulation). Following completion of study treatment in Cohort 2 (Part A) and pending PK and safety results from Cohort 2 (Part A), Cohort 3 will receive study treatment (age-appropriate formulation).

**Part B:** Part B will be initiated by dose cohort after confirmation of age-appropriate SOF dosage levels. Subjects who participated in Part A will immediately rollover into Part B (Day 1), with no interruption of study drug administration:

Children aged 3 to < 12 years and adolescents aged 12 to < 18 years with GT-2 or GT-3 infection and evidence of HCV RNA > 1000 IU/mL at study entry (those who were dosed in Part A will have met this criteria prior to enrollment) will be evaluated.

Subjects enrolled in Part B will receive the following regimen.

- GT-2 subjects: SOF + RBV for 12 weeks
- GT-3 subjects: SOF + RBV for 24 weeks

The study will enroll both treatment-naïve and treatment-experienced pediatric subjects, with up to 20 subjects allowed to be treatment experienced. Approximately 100 total subjects, including subjects from Part A, will be enrolled in Part B as follows

- Group 1: Approximately 50 adolescent subjects (12 to < 18 years of age)
- Group 2: Approximately 50 pediatric subjects (3 to < 12 years of age)

The following definitions will be used for treatment-experienced subjects:

- Interferon intolerant: Subject who discontinued therapy ( $\leq 12$  weeks total) due to  $\geq 1$  adverse event
- Interferon non-responder: Subject who did not achieve undetectable HCV RNA levels while on treatment
- Relapse/breakthrough: Subject who achieved undetectable HCV RNA during treatment or within 4 weeks of the end of treatment but did not achieve a sustained virologic response (SVR)

The study schedule contains the following visits: Screening, Day 1, Weeks 1, 2, 4, 8, 12 (and Weeks 16, 20, and 24 for GT-3) during the treatment phase followed by post-treatment visits 4, 12 and 24 weeks after discontinuation of therapy.

All subjects who do not attain SVR or have viral relapse will be encouraged to discuss treatment with standard-of-care (SOC) therapy with their healthcare provider.

Substudy and  
Long-Term  
Follow-Up Study:

**Pharmacogenomics (PG) Substudy**

PPD

**Long-Term Follow-up**

Subjects who attain SVR24 or those who do not attain SVR24 and do not initiate experimental or approved anti-HCV therapy will be followed every 6 months for assessments of growth, quality of life, and long-term viral suppression (if applicable) in a separate protocol. This follow-up will continue for 5 years.

Diagnosis and Main  
Eligibility Criteria:

Chronic HCV-infected, treatment-naïve and up to 20 subjects may be treatment experienced, male and female subjects aged 3 to < 18.

Inclusion Criteria:

- Documented chronic GT 2 or 3 HCV infection
- HCV RNA  $\geq$  1000 IU/mL at Screening
- Part A only: subjects in cohort 1 (age 12 to <18 years of age) must weigh  $\geq$  45kg
- Agree to use 2 forms of highly effective contraception, as referenced in [Appendix 5](#), for the duration of the study and for 6 months (for female subjects) or 7 months (for male subjects) after the last dose of study medication if age, cultural, and sexual activity status appropriate (eg: if sexually active; does not pertain to pre-pubertal subjects). Females of childbearing potential (as defined in [Appendix 5](#)) must have a negative pregnancy test at Screening and Day 1.

Exclusion Criteria:

- Hematologic or biochemical parameters at screening outside the protocol-specified requirements
- HIV, acute hepatitis A virus (HAV) or chronic HBV infection



- Decompensated liver disease
- Hepatocellular carcinoma or other malignancy (with exception of certain resolved skin cancers)
- Chronic liver disease of a non-HCV etiology (eg, hemochromatosis, Wilson's disease, alpha-1 antitrypsin deficiency)
- Chronic use of systemic immunosuppressive agents or immunomodulatory agents
- Active or recent history ( $\leq 1$  year) of drug or alcohol abuse
- History or current evidence of any condition, therapy, laboratory abnormality or other circumstance that might confound the results of the study, or interfere with the subject's participation for the full duration of the study, such that it is not in the best interest of the subject to participate.

See Sections 4.2 and 4.3 of the protocol for full eligibility criteria.

Study Procedures/  
Frequency:

**Part A:**

Screening assessments will be completed within 28 days of the Day 1 visit.

Screening assessments will include: physical examination, vital signs (including height and weight), Tanner Pubertal Stage assessment, concomitant medications, safety laboratory tests, coagulation tests, HCV RNA, serology (HIV, HAV, HCV, HBV), alpha-1 anti-trypsin, serum  $\beta$ -human chorionic gonadotropin hCG (females of childbearing potential only), thyroid stimulating hormone, HbA1c, IL28B genotyping, alpha fetoprotein, urinalysis, and urine drug screen.

Study Visits will occur at Screening, Day 1, Day 3, and Day 7

On-Treatment assessments include adverse events (AEs), concomitant medications, physical examination, vital signs, height, weight, safety laboratory tests, HCV RNA, viral sequencing sampling, urine pregnancy tests (females of child bearing potential only), and urinalysis. Coagulation will be performed at Day 1 only. SOF swallowability assessment will be performed on Day 1 for a subject's ability to swallow the 400 mg SOF tablet (only subjects administered SOF in tablet form).

Subjects (up to 10 subjects) enrolled in Part A will participate in an intensive PK evaluation on Day 7. Following completion of the Day 7 intensive PK visit, subjects will then return for scheduled study visits outlined in Part B and begin dosing with SOF + RBV with no interruption of study drug administration.

**Part B:**

Screening assessments will be completed within 28 days of the Day 1 visit. For subjects continuing from Part A, screening for Part B will not be required.

Screening assessments will include physical examination, vital signs, concomitant medications, safety laboratory tests, HCV RNA, serology (HIV, HAV, HCV, HBV), alpha-1 anti-trypsin serum  $\beta$ -human chorionic gonadotropin hCG (females of childbearing potential only), thyroid stimulating hormone, IL28B genotyping, urinalysis, and urine drug screen.

- **GT-2 Subjects:** Study visits for GT-2 subjects will occur at screening, Day 1, and the end of Weeks 1, 2, 4, 8, and 12.
- **GT-3 Subjects:** Study visits for GT-3 subjects will occur at screening, Day 1, and the end of Weeks 1, 2, 4, 8, 12, 16, 20, and 24.

All Part B subjects will then return for follow-up visits at 4, 12 and 24 weeks after discontinuation of therapy.

On-treatment assessments will include adverse events, concomitant medications, physical examination, vital signs (including height and weight), safety laboratory tests, coagulation tests, HCV RNA, PK samples, and urine pregnancy tests (females of childbearing potential only). Rates of growth (height and weight percentiles) and Tanner Pubertal Stage assessment will be assessed at Day 1, end of treatment, post-treatment Week 12 and at the end of the 24-week follow-up phase; parental heights will be recorded at Day 1. When available, results from liver biopsies performed within 2 years prior to screening will be collected at Day 1. SOF swallowability assessment will be performed on Day 1 for a subject's ability to swallow the 400 mg SOF tablet (all subjects administered SOF in tablet form).

Post-treatment assessments will include adverse events, concomitant medications, vital signs, safety laboratory tests, HCV RNA, and urine pregnancy tests (females of childbearing potential only). Samples for viral RNA sequencing/phenotyping will be collected at Day 1 and every visit thereafter.

PPD



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**Test Product, Dose,  
and Mode of  
Administration:**

**Age group 12 to < 18 years:**

Part A: (Cohort 1) SOF 400-mg tablets will be administered once daily. Subjects determined unable to swallow the 400-mg tablet (by SOF swallowability assessment at Day 1 or at any time during the study) will be re-assigned to 4 x 100-mg tablets daily. Subjects who weigh <45 kg are excluded from this age group in Part A.

Part B: SOF 400-mg tablets will be administered once daily for subjects who weigh  $\geq$  45 kg. Subjects determined unable to swallow the 400-mg tablet (by SOF assessment at Day 1 or at any time during the study) will be re-assigned to 4 x 100-mg tablets daily. For subjects in this age group who weigh < 45 kg, PK data from part A will be used to determine the appropriate dose of SOF to be administered.

Subjects who participated in Part A will continue the assigned dose in Part B.

**Age group 3 to < 12 years:**

(Part A and Part B) SOF age-appropriate formulation will be administered once daily with a dose and formulation to be determined.

**RBV Weight-Based Dosing (All age groups):**

The RBV dose is:

- 15 mg/kg divided BID for subjects with weight below 50 kg
- 400 mg BID for subjects weighing  $\geq$  50 to less than 65 kg
- 400 mg q AM and 600 mg q PM (i.e., 1,000 mg Total Daily Dose) for subjects weighing  $\geq$  65 kg to less than 75 kg
- 600 mg BID for subjects with weights greater than 75 kg.

The morning dose of RBV will be taken with an age-appropriate dose of SOF with food. The evening dose of RBV will be taken with food.

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**Reference Therapy:** None

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**Evaluation Criteria:**

Safety: AEs, laboratory tests, and Tanner Pubertal Stage assessments will be collected throughout the study.

Efficacy: Efficacy will be evaluated using scheduled assessments of HCV RNA performed using COBAS<sup>®</sup> AmpliPrep/COBAS<sup>®</sup> TaqMan<sup>®</sup> HCV Test.

**PK:** For subjects in Part A, steady-state PK of SOF and its major metabolites GS-566500 and GS-331007 will be assessed at Day 7. For Cohorts 1 and 2, plasma samples will be collected for PK analyses following dosing of study drugs on Day 7 at the following time points: 0 (predose), 0.5, 1, 2, 3, 4, 8, and 12 hours postdose (with predose also serving as  $t = 24$ ). For Cohort 3, plasma samples for PK analyses will be collected at the following time points: 0 (predose), 2, 4, 8, and 12 hours postdose (with predose also serving as  $t = 24$ ).

Plasma PK parameters such as  $C_{max}$ ,  $T_{max}$ ,  $C_{last}$ ,  $T_{last}$ ,  $C_{tau}$ ,  $AUC_{tau}$ , and  $T_{1/2}$  will be estimated.

For subjects in Part B, a single PK blood sample will be collected at all visits while on treatment for all subjects. The PK of SOF, GS-566500 and GS-331007 and/or ribavirin will be assessed.

The effect of age and SOF dose on PK (SOF and GS-331007) will be explored.

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**Statistical Methods:**

For Part A, plasma PK parameters  $C_{max}$ ,  $T_{max}$ ,  $C_{last}$ ,  $T_{last}$ ,  $C_{tau}$ ,  $AUC_{tau}$ , and  $T_{1/2}$  will be estimated. The PK parameters will be summarized by cohort. The effect of age and SOF dose on PK (SOF and GS-331007) will be explored.

For Part B, the primary efficacy endpoint is SVR12 in all enrolled and treated subjects. Secondary endpoints are SVR4, SVR24, breakthrough and relapse. Efficacy endpoints will be summarized by age group and genotype. Point estimate and its 95% confidence interval will be provided.

Subgroup analysis on SVR24 and SVR12 will be performed by treatment experience (treatment-naive vs. experienced) and by treatment experience and genotype. Other clinically relevant subgroup analyses may be conducted as appropriate (i.e., assuming that adequate numbers of patients in these subsets are available for analysis).

All continuous endpoints will be summarized using an 8-number summary (n, mean, standard deviation, median, Q1, Q3, minimum, maximum). All categorical endpoints will be summarized by number and percentage of subjects who meet the endpoint definition.

Safety endpoints will be analyzed by the number and percent of subjects with events or abnormalities for categorical values or 8-number summary (n, mean, standard deviation, median, Q1, Q3, minimum, maximum) for continuous data by treatment group.

With approximately 50 subjects enrolled into each age group of Part B, a two-sided 95.0% confidence interval of the SVR12 rate will extend at most 11.1% in both directions from the observed SVR12 rate, assuming the expected SVR24 rate is 80%.

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This study will be conducted in accordance with the guidelines of Good Clinical Practices (GCPs) including archiving of essential documents.

## GLOSSARY OF ABBREVIATIONS AND DEFINITION OF TERMS

° C	degrees Celsius
° F	degrees Fahrenheit
β-hCG	β-human chorionic gonadotropin
AE	adverse event
ALT	alanine aminotransferase (also SGPT)
ANC	absolute neutrophil count
APTT	activated partial thromboplastin time
AST	aspartate aminotransferase (also SGOT)
AUC	area under the curve
AUC <sub>tau</sub>	area under the plasma concentration versus time curve over the dosing interval (tau)
BID	twice a day
BLQ	below the lower limit of quantification
BMI	body mass index
BW	body weight
CL <sub>cr</sub>	creatinine clearance
C <sub>max</sub>	the maximum observed serum/plasma/peripheral blood mononuclear (PBMC) concentration of drug
C <sub>tau</sub>	observed drug concentration at the end of the dosing interval (tau)
CMH	Cochran-Mantel-Haenszel
COPD	Chronic obstructive pulmonary disease
CRF	case report form(s)
CRO	Contract (or clinical) research organization
DAA	Direct acting antiviral
dL	Deciliter
DNA	deoxyribonucleic acid
DMC	Data Monitoring Committee
DSPH	Drug Safety and Public Health
ECG	Electrocardiogram
eCRF	Electronic case report form(s)
ESA	Erythropoiesis stimulating agent
E <sub>max</sub>	maximal effect
EU	European Union
EVR	Early virologic response
FAS	full analysis set
FDA	(United States) Food and Drug Administration
FEV <sub>1</sub>	forced expiratory volume in one second
GCP	Good Clinical Practice (Guidelines)
GCSF	Granulocyte colony stimulating factor

## GLOSSARY OF ABBREVIATIONS AND DEFINITION OF TERMS (CONTINUED)

GGT	gamma glutamyl transferase
GSI	Gilead Sciences, Inc.
GT	Genotype (viral)
Hb	Hemoglobin
HbA <sub>1c</sub>	Hemoglobin A <sub>1c</sub>
HBV	Hepatitis B virus
HCV	Hepatitis C virus
HDPE	high-density polyethylene
HIV	Human Immunodeficiency Virus
HLGT	High-Level Group Term
HLT	High-Level Term
ICH	International Conference on Harmonisation
IEC	independent ethics committee
IL28B	IL28B gene
IND	Investigational New Drug (Application)
IRB	institutional review board
IUD	intrauterine device
IV	Intravenous
IWRS	interactive web response system
kg	Kilogram
L	Liter
LDH	Lactase dehydrogenase
LLN	lower limit of the normal range
LLOD	Lower limit of detection
LLOQ	Lower limit of quantification
LLT	Lower-Level Term
MedDRA	Medical Dictionary for Regulatory Activities
Mg	Milligram
MH	Mantel-Haenszel
mL	Milliliter
Min	Minute
mmHg	millimeters mercury
NS (3/4A/5A/5B)	Non-structural Protein
PBMC	peripheral blood mononuclear cell(s)
PEG	peginterferon alfa-2a
P-gp	P-glycoprotein
PI	Protease inhibitor
PK	Pharmacokinetic

## GLOSSARY OF ABBREVIATIONS AND DEFINITION OF TERMS (CONTINUED)

QD	once daily (use only in tables)
QTcF	QT interval corrected using Fridericia' formula
RBC	Red blood cell count
RBV	Ribavirin
RDRP	RNA-dependent RNA polymerase
RNA	ribonucleic acid
RVR	rapid virologic response
SADR	Serious adverse drug reaction
SAE	serious adverse event
SD	Standard deviation
SOC	Standard of Care
SOP	Standard operating procedure
SUSAR	Suspected Unexpected Serious Adverse Reaction
SVR	Sustained Virologic Response
t <sub>max</sub>	The time (observed time point) of C <sub>max</sub>
TND	Target not detected
TSH	Thyroid stimulating hormone
t <sub>1/2</sub>	An estimate of the terminal elimination half-life of the drug in serum/plasma/PBMC, calculated by dividing the natural log of 2 by the terminal elimination rate constant ( $\lambda_z$ )
ULN	upper limit of the normal range
US	United States
WBC	white blood cell count



## 1. INTRODUCTION

### 1.1. Background

Hepatitis C virus (HCV) is responsible for a large proportion of chronic liver disease worldwide and accounts for 70% of cases of chronic hepatitis in industrialized countries. The global prevalence of chronic hepatitis C is estimated to average 3% {19705}.

The natural history of chronic HCV infection in children differs from that in adults since HCV infection in children is relatively benign. Most children chronically infected with HCV are asymptomatic or have mild nonspecific symptoms. Clinical symptoms are present in approximately 20% of children in the first 4 years of life, with hepatomegaly being the most frequent sign (10%). Many, but not all, perinatally infected children will have intermittently or persistently abnormal ALT or aspartate aminotransferase (AST) levels, particularly in the first 2 years of life. In children with vertical HCV infection who have undergone liver biopsy, the histological spectrum is usually mild, although severe liver disease is encountered {19801}. Despite the overall more favorable prognosis compared to adults, approximately 4% to 6% of children with chronic HCV infection have evidence of advanced fibrosis or cirrhosis and some children eventually require liver transplantation for end-stage liver disease as a consequence of HCV infection {19799}.

The goal of HCV treatment in both pediatric and adult populations is eradication of the virus, thereby preventing hepatic inflammation, hepatic fibrosis, cirrhosis, and liver failure resulting in either death or need for liver transplantation. This goal, however, is limited by the nature of the disease and the fact that not all patients are suitable candidates for currently approved treatments. In addition, pediatric treatment is controversial as the current treatment options are limited and severe side effects and tolerability can significantly limit or preclude their use. Despite well-established guidelines for the treatment of HCV in adults, there is no universal consensus on when or if to treat chronic HCV infection in children. In 2010, the European Society for Paediatric Gastroenterology, Hepatology, and Nutrition (ESPGHAN) issued guidance for clinical trial development for chronic HCV infection in children {20286}. In the guideline, the ESPGHAN suggested that the primary goal of treatment in children is to eradicate the infection to prevent late complications. Hence, the goal is not the treatment of an ongoing liver disease, but rather the prevention of a future one.

PEG and weight-based RBV are currently considered the standard of care for the treatment of HCV infection in children. Current recommendations are that patients with GT-2 or GT-3 be treated with PEG+RBV for 24 weeks and those with GT-1 or GT-4 should receive 48 weeks of therapy. Successful treatment of GT-1, however, has proved very difficult to achieve in spite of additional therapy or increased duration of treatment. A number of pediatric studies have reported that despite 48 weeks of treatment, sustained virologic response (SVR24) was observed in only 36% to 53% of subjects with GT-1, while response rates were > 80% in subjects with GT-2 or GT-3 {20285}.

Most children treated with PEG+RBV experience at least 1 adverse event due to treatment {20285}. Although most of these events are mild to moderate in severity, many of them result in dose reductions of 1 or both of the drugs. The most common adverse events have consisted of influenza-like illness (91%), headache (62%), and injection site reactions (45%), often leading to poor compliance and/or discontinuation from treatment.

Additionally, the concern for growth and development in this age group and the role that both PEG and RBV potentially play in reducing growth rates has initiated significant debate among pediatric hepatologists as to whether these treatments should even be considered in the pediatric population {20282}. Many pediatricians currently advocate delay of treatment past adolescence or even into adulthood when options with DAAs are possible {20282}. Unfortunately, in the 25% of pediatric patients who do meet the criteria for treatment (elevated transaminases and viral loads), the option of PEG+RBV therapy remains inadequate in regard to both efficacy in GT-1 and safety due to the many risks associated with this treatment.

Novel anti-hepatitis C treatments under study are directed against a variety of molecular targets, which include the virally encoded RNA-dependent RNA polymerase (RDRP, NS5B) and proteases (NS2 and NS3). Some of the most consistently effective antivirals have been nucleoside/tide analogs. These compounds share characteristics with the natural nucleoside substrates found in the cell, and once phosphorylated to the nucleoside-triphosphate, cause termination of the newly encoded viral genome.

SOF is a novel nucleotide analogue that inhibits HCV RNA replication in vitro and has demonstrated efficient inhibition of viral replication in in vitro and in vivo studies.. Furthermore, even in subjects who did experience off-treatment viral breakthrough, population sequencing revealed no treatment-emergent S282T mutations. No adverse effects on the nervous, cardiovascular, or respiratory systems have been observed, and no specific target organs of toxicity have been identified in long-term toxicology studies. Available clinical data indicate SOF has a favorable safety profile and is well tolerated.

Based on its observed safety and efficacy in adult patients with HCV, SOF has the potential to be a safe, tolerable, and effective treatment for HCV infection in the pediatric population.

## **1.2. Sofosbuvir**

Sovaldi™ (Sofosbuvir) is a potent nucleotide analogue that inhibits HCV RNA replication in vitro and has demonstrated high rates of sustained viral response (SVR) when given with RBV +/- PEG to subjects with chronic GT- 1, 2, 3 or 4 HCV infection {27503}, {23275}, {24713}, {24715}. Sovaldi™ has been approved in the United States by the Food and Drug Administration (FDA) for the treatment of HCV infection GT- 1, 2, 3 and 4 including treatment naïve and experienced patients and HIV/HCV co-infected patients.

For further information on the clinical pharmacology, virology, safety and efficacy of SOF, please refer to the current version of the Investigator's Brochure and US prescribing information.

### **1.3. Ribavirin (RBV)**

Ribavirin is a guanosine analogue that inhibits the in vitro replication of a wide range of RNA and DNA viruses {15572}, {15668}. Ribavirin monotherapy has little or no effect on the replication of HCV but can result in normalization of serum ALT activity and improvement in liver histology. When combined with interferon or PEG therapy, RBV decreases substantially the relapse rate seen after cessation of interferon therapy {12557}, {12558}.

Ribavirin is a known teratogen (FDA category X). Furthermore, RBV is known to accumulate intracellularly where it is cleared slowly, and is also excreted in semen. Therefore, extreme care must be taken to avoid pregnancy during RBV therapy and for up to 7 months following completion of treatment. A comprehensive review of RBV is contained in the package insert/SmPC.

### **1.4. Rationale for the Current Study**

This clinical study is designed to evaluate the efficacy and safety of treatment with SOF+RBV for adolescents and children with GT-2 and GT-3 chronic HCV infection.

Currently PEG and weight-based RBV are considered the standard of care for the treatment of HCV infection in children. However, this PEG and RBV regimen is long in duration, relatively toxic, and not well tolerated. Thus, there continues to be a need for new treatments for HCV that combine potent and sustained efficacy with improved tolerability and safety. Therapy that provides shorter duration and improved efficacy with an all-oral regimen would be a significant advancement over current options in pediatric patients.

The main aim for the treatment of pediatric patients with HCV is to eliminate the need to use IFN-based regimens. In this way, pediatric patients are able to avoid the necessity of weekly injections, which can be traumatic and burdensome, and also to significantly reduce the serious adverse events seen on administration of IFN-based regimens.

SOF is a promising candidate to combine with RBV based on its acceptable safety profile and its antiviral activity. Clinical trials from adult studies have demonstrated the antiviral efficacy, potency, and safety of SOF in subjects with HCV infection. SOF in combination with RBV would provide an all-oral, IFN-free regimen with a shorter treatment duration (12 - 24 weeks of SOF treatment versus standard-of-care 24 to 48 weeks of treatment) and would offer another important option in the treatment of HCV infection in the pediatric population.

While emerging Phase 3 data demonstrate high SVR12 rates in subjects with GT- 2 HCV infection, these studies suggest that patients with GT- 3 infection may benefit from a longer treatment duration with SOF+RBV. Optimal duration of SOF+RBV, for genotype 3 subjects, was established in FISSION and VALENCE. Increasing treatment duration from 12 to 24 weeks increased SVR12 from 61 to 93% in non-cirrhotics and from 34 to 92% in cirrhotics.

### **1.5. Rationale for Dose Selection of SOF**

SOF 400 mg, once daily, when dosed in combination with RBV with or without PEG has demonstrated broad genotypic efficacy and favorable safety profile in over 3300 HCV-infected subjects across multiple patient populations in Phase 2 and 3 trials. This dose is the marketed dose of SOF for the treatment of HCV-infection.

Selection of doses of SOF for adolescents and younger age groups will target systemic exposures similar to those observed in adults at the marketed dose, taking into account body weight/body surface area, the potential ontogenic changes in hepatically expressed carboxylesterase 1 (CES1) and Cathepsin A (CatA) enzymes, and the results obtained in older age groups. Available data suggest comparable hepatic expression of CES1 in adolescents and adults, with modestly decreased expression in children. As such, the adult clinical dose, 400mg, will be evaluated in adolescents {20288}. Safety and PK data in the adolescent group (Cohort 1) in Part A, will inform dose selection for the younger age groups in addition to body weight/body surface area.

Age-appropriate formulations of SOF that offer an important option in the treatment of HCV infection in the pediatric population are planned for evaluation in children below 6 years of age or children who are unable to swallow a solid-dose formulation.

### **1.6. Overall Risk/Benefit Assessment**

Although the majority of pediatric patients infected with HCV exhibit minimal hepatic sequelae despite active viral replication and inflammation, a subset of children and adolescents will require treatment. Studies suggest that 3 major categories of disease can occur within 10 years after putative HCV exposure: (1) undetectable viremia and normal ALT, (2) persistent yet uncomplicated mild liver disease, and (3) progression to end-stage liver disease {20273}. It is the last two groups of children in whom therapy may be indicated to prevent end-stage disease, either during childhood/adolescence or in early adulthood.

Given that the current standard of care for the treatment of children infected with HCV is PEG and RBV and these regimens are long in duration, relatively toxic, and not well tolerated, there continues to be a need for new treatments for HCV that combine potent and sustained efficacy with improved tolerability and safety.

SOF has demonstrated efficient inhibition of viral replication in in vitro and in vivo studies. Available clinical data indicate SOF has a favorable safety profile and is well tolerated. SOF in combination with RBV is likely to provide significant therapeutic benefit in the treatment of HCV infection in pediatric patients compared to currently available therapies in the following areas:

- Expected improved efficacy in a pediatric population compared to the current standard of care
- Expected improvement in safety and tolerability in relation to either adverse reactions or potential medication errors in the pediatric population compared to the current standard of care

- Improved dosing scheme or method of administration (oral compared to subcutaneously administration and reduced treatment duration) leading to improved safety, efficacy, tolerability, and compliance
- Expected availability of a clinically relevant age-appropriate formulation
- Different mechanism of action with potential advantage for the pediatric population in terms of improved efficacy and safety
- Expected improvement in quality of life (eg, minimized duration of therapy and avoidance of IFN-related adverse events that affect patient quality of life such as flulike syndrome and depression)

Additionally, the study sponsor will perform an ongoing review of safety data during the conduct of the study.

## 2. OBJECTIVES

The primary objective of Part A of this study is:

- To evaluate the steady state pharmacokinetics (PK) and confirm the dose of SOF in HCV-infected pediatric subjects

The secondary objective of Part A of this study is:

- To evaluate the safety and tolerability of 7 days of dosing of SOF in HCV-infected pediatric subjects

The primary objectives of Part B of this study are:

- To evaluate the safety and tolerability of SOF + ribavirin (RBV) for 12 or 24 weeks in HCV infected pediatric subjects with GT- 2 or 3, respectively

To determine the antiviral efficacy of SOF+RBV treatment in GT- 2 and GT- 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 12 weeks after completion of treatment (SVR12)

The secondary objectives of Part B of this study are:

- To determine the antiviral efficacy of SOF+RBV treatment in genotype 2 and genotype 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 4 and 24 weeks after completion of treatment (SVR4 and SVR24)
- To evaluate the kinetics of circulating HCV RNA during treatment and after completion of treatment
- To evaluate the emergence of viral resistance to SOF during treatment and after completion of treatment

The exploratory objective of this study is:

- PPD

### **3. STUDY DESIGN**

#### **3.1. Treatment Plan and Regimen**

This is an open-label, multi-cohort, two-part study evaluating the PK, safety, and antiviral activity of SOF in chronic HCV-infected pediatric subjects.

##### **3.1.1. Part A**

Part A (PK lead-in) will evaluate and/or confirm age appropriate SOF doses by analyzing PK, safety, and antiviral activity of SOF through 7 days of dosing for each cohort. Children aged 3 to < 6 years, children aged 6 to < 12 years and adolescents aged 12 to < 18 years with genotype (GT) 2 or 3 HCV infection and evidence of HCV RNA > 1000 IU/mL at study entry will be evaluated. Three cohorts of up to 10 subjects each will be sequentially enrolled:

- Cohort 1: 12 to < 18 years old weighing  $\leq$  45kg
- Cohort 2: 6 to < 12 years old
- Cohort 3: 3 to < 6 years old

The study will start with Cohort 1. Subjects will receive SOF (400-mg adult tablet or 4 x 100-mg tablets if determined necessary based on SOF swallowability assessment) for 7 days with intensive PK conducted on Day 7. A SOF swallowability assessment will be administered on Day 1 in which subjects will be observed taking the first 400-mg SOF tablet to confirm the swallowability of the 400-mg tablet size in adolescents aged 12 to < 18 years. If, at Day 1 or any time during the study, a subject is unable to continue with the 400-mg tablet size he/she will be re-assigned to 4 x 100mg SOF tablets.

Following completion of study treatment in Cohort 1 (Part A) and pending PK and safety results from Cohort 1 (Part A), Cohort 2 will initiate and receive study treatment (age appropriate formulation). Following completion of study treatment in Cohort 2 (Part A) and pending PK and safety results from Cohort 2 (Part A), Cohort 3 will receive study treatment (age-appropriate formulation).

Subjects in each cohort (Cohorts 1, 2 and 3) will immediately enroll in Part B as they complete Day 7 of Part A. On completion of Day 7 of Part A; subjects (aged 3 to < 18 years) will immediately enroll in Part B. They will begin dosing with SOF + RBV with no interruption of study drug administration.

##### **3.1.2. Part B**

Part B will be initiated by cohort after confirmation of age-appropriate SOF dosage levels. Subjects who participated in Part A will immediately rollover into Part B (Day 1), with no interruption of study drug administration:

Children aged 3 to < 12 years (Group 2) and adolescents aged 12 to < 18 years (Group 1) with GT-2 or GT-3 infection and evidence of HCV RNA > 1000 IU/mL at study entry (those who were dosed in Part A will have met this criteria prior to enrollment) will be evaluated.

Subjects enrolled in Part B will receive the following regimen.

- GT-2 subjects: SOF + RBV for 12 weeks
- GT-3 subjects: SOF + RBV for 24 weeks

The study will enroll both treatment-naïve and treatment-experienced pediatric subjects, with up to 20 subjects allowed to be treatment experienced. Approximately 100 total subjects, including subjects from Part A, will be enrolled in Part B as follows:

- Group 1: Approximately 50 adolescent subjects (12 to < 18 years of age)
- Group 2: Approximately 50 pediatric subjects (3 to < 12 years of age)

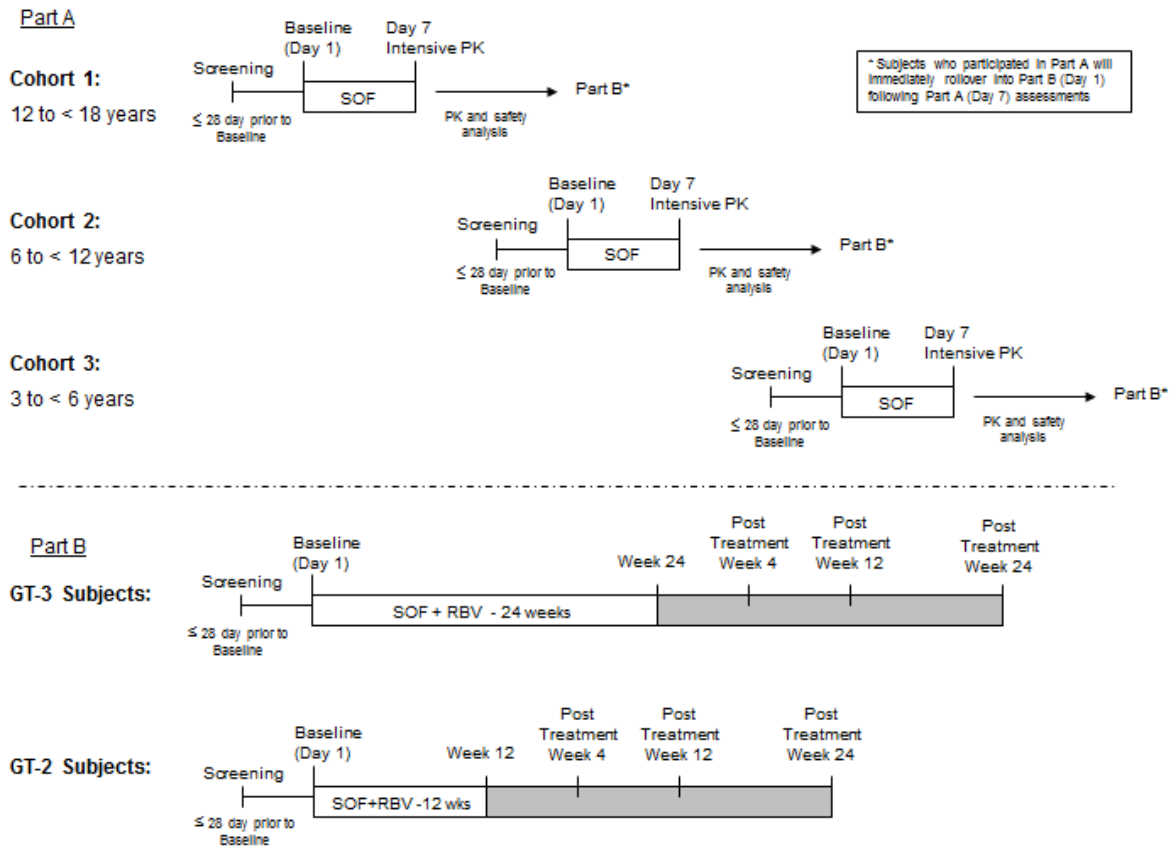
The following definitions will be used for treatment-experienced subjects:

- Interferon intolerant: Subject who discontinued therapy ( $\leq$  12 weeks total) due to  $\geq$  1 adverse event
- Interferon non-responder: Subject who did not achieve undetectable HCV RNA levels while on treatment
- Relapse/breakthrough: Subject who achieved undetectable HCV RNA during treatment or within 4 weeks of the end of treatment but did not achieve a sustained virologic response (SVR)

All subjects who do not attain SVR or have viral relapse will be encouraged to discuss treatment with standard-of-care (SOC) therapy with their healthcare provider.



**Figure 3-1. Study Schema**



**3.2. Visit Schedule**

**3.2.1. Part A**

Screening assessments will be completed within 28 days of the Day 1 visit.

Study Visits will occur at Screening, Day 1, Day 3, and Day 7.

Subjects (up to 10 subjects) enrolled in Part A will participate in an intensive PK evaluation on Day 7.

Following completion of the Day 7 intensive PK visit, subjects will then return for scheduled study visits outlined in Part B and begin dosing with SOF + RBV with no interruption of study drug administration.

### 3.2.2. Part B

Screening assessments will be completed within 28 days of the Day 1 visit. For subjects continuing from Part A, screening for Part B will not be required.

- **GT-2 Subjects:** Study visits for GT-2 subjects will occur at screening, Day 1, and the end of weeks 1, 2, 4, 8, and 12.
- **GT-3 Subjects:** Study visits for GT-3 subjects will occur at screening, Day 1, and the end of weeks 1, 2, 4, 8, 12, 16, 20, and 24.

All Part B subjects will then return for follow-up visits at 4, 12 and 24 weeks after discontinuation of therapy.

The total time to complete all study visits is approximately 40 or 52 weeks including:

- 28 day (4 week) screening period
- 12 or 24 week treatment period
- 24 week post-treatment period

The assessments performed at each visit are described in Section 6.

### 3.3. Virologic Response-Based Stopping Criteria

HCV RNA will be unblinded to the Investigator and Sponsor.

The following on-treatment virologic response-based treatment stopping criteria will be utilized:

- Confirmed HCV RNA  $\geq$ LLOQ after 2 consecutive HCV RNA  $<$ LLOQ
- Confirmed  $>1 \log_{10}$  increase from nadir
- HCV RNA  $\geq$ LLOQ through 8 weeks of treatment

Confirmation should be performed as soon as possible but within 2 weeks after determination of initial observation.

### **3.4. Treatment Discontinuations Criteria**

The Medical Monitor should be consulted prior to subject discontinuation when medically feasible. Study medication must be discontinued in the following instances:

- Unacceptable toxicity, as defined in Section 7 of the protocol, or toxicity that, in the judgment of the investigator, compromises the ability to continue study-specific procedures or is considered to not be in the subject's best interest.
- Pregnancy of female subject or female partner of male subject.
- Efficacy failure as defined in Section 3.3.
- Significant protocol violation including non-compliance with study assessments.
- Subject request to discontinue for any reason; it is important to determine whether the withdrawal of consent is primarily due to an AE, lack of efficacy or other reason.
- Discontinuation of the study at the request of Gilead, regulatory agency or an Institutional Review Board (IRB)/Independent Ethics Committee (IEC).

### **3.5. Discontinuations**

Subjects discontinuing treatment prior to completion of the assigned dosing period should complete an Early Termination visit as described in the Section 6.4.7.

Subjects who permanently discontinue all study drugs for any reason including safety and/or tolerability concerns prior to completion of the assigned dosing period will be followed according to the post-treatment study assessments described in Section 6.5.

### **3.6. Pharmacogenomic Substudy**

PPD



### **3.7. Long Term Follow Up**

All subjects (those who attain SVR24 or those who do not attain SVR24) who do not initiate other experimental or approved anti-HCV therapy will be followed every 6 months for assessments of growth, quality of life, and long-term viral suppression (if applicable) in a separate protocol. This follow-up will continue for 5 years. SUBJECT POPULATION

## 4. SUBJECT POPULATION

### 4.1. Number of Subjects and Subject Selection

Approximately 100 subjects will be enrolled in this study.

In order to manage the total study enrollment, Gilead Sciences, Inc., at its sole discretion, may suspend screening and/or discontinue the enrollment at any site at any time (upon written notice to the site). Discontinuation of the enrollment phase may result in the immediate ineligibility of all subjects screened but not yet enrolled, regardless of the progress or outcome of the screening assessments performed.

### 4.2. Inclusion Criteria

Subjects must meet *all* of the following inclusion criteria to be eligible for participation in this study.

1. Parent or legal guardian able to provide written informed consent prior to any screening evaluations and willing to comply with study requirements
2. 3 years to < 18 years of age (consent of parent or legal guardian required)
3. Part A only: subjects in Cohort 1 (age 12 to <18 years of age) must weigh  $\geq 45$  kg
4. Documented chronic HCV infection, GT-2 or GT-3
5. HCV RNA  $\geq 1000$  IU/mL at Screening
6. Adequate hematologic function (absolute neutrophil count  $\geq 1,500/\text{mm}^3$ ; hemoglobin  $\geq 10.0$  g/dL)
7. Negative serum  $\beta$ -HCG pregnancy test (for females of childbearing potential only, as defined in [Appendix 5](#))
8. Subject able to provide written assent, if they have the ability to read and write, as determined by IRB/IEC/local requirements and Investigator's discretion

### 4.3. Exclusion Criteria

Subjects who meet *any* of the following exclusion criteria are not to be enrolled in this study.

1. Pregnant or lactating subjects
2. Sexually-active males or females of childbearing potential who are not willing to use an effective method of contraception during the study (see [Appendix 5](#) for further details)

3. Decompensated liver disease defined as  $\text{INR} > 1.2 \times \text{ULN}$ , platelets  $< 50,000/\text{mm}^3$ , serum albumin  $< 3.5 \text{ g/dL}$ , or prior history of clinical hepatic decompensation (e.g., ascites, jaundice, encephalopathy, variceal hemorrhage)
4. Chronic liver disease of a non-HCV etiology (eg, hemochromatosis, Wilson's disease, alpha-1 antitrypsin deficiency)
5.  $\alpha$ -fetoprotein  $> 50 \text{ ng/mL}$
6. Serum creatinine  $> 1.5 \text{ mg/dL}$
7. Evidence of hepatocellular carcinoma (HCC)
8. Co-infection with HIV, acute HAV, or HBV
9. Significant cardiovascular, pulmonary or neurological disease
10. Evidence of a gastrointestinal malabsorption syndrome that may interfere with absorption of orally administered medications
11. History of solid organ or bone marrow transplantation
12. Chronic daily non-steroidal anti-inflammatory drug therapy
13. Systemic corticosteroid use for  $\geq 5$  days (pulmonary/nasal administration is permitted)
14. Investigational agents (except with the expressed approval of the Sponsor)
15. Clinically-relevant alcohol or drug abuse within 12 months of screening. A positive drug screen will exclude subjects unless it can be explained by a prescribed medication; the diagnosis and prescription must be approved by the investigator
16. Known hypersensitivity to the study drugs, the metabolites or formulation excipients
17. Any other condition (including alcohol or substance abuse) or prior therapy that, in the opinion of the Investigator, would make the subject unsuitable for the study or unable to comply with dosing requirements
18. Use of any prohibited concomitant medications as described in Section 5.6 within 28 days of the Day 1 visit

## **5. INVESTIGATIONAL MEDICINAL PRODUCTS**

### **5.1. Randomization and Blinding**

Not applicable.

### **5.2. Sofosbuvir**

#### **5.2.1. Description and Handling**

##### 5.2.1.1. Formulation:

SOF tablets, 400 mg, are yellow, capsule-shaped, film-coated tablets debossed with “GSI” on one side and “7977” on the other side. In addition to the active ingredient, SOF tablets contain the following inactive ingredients: mannitol, microcrystalline cellulose, croscarmellose sodium, colloidal silicon dioxide, magnesium stearate, polyvinyl alcohol, titanium dioxide, macrogol, talc, and yellow iron oxide.

SOF tablets, 100 mg, are yellow, round, film-coated, plain-faced tablets. In addition to the active ingredient, SOF tablets contain the following inactive ingredients: mannitol, microcrystalline cellulose, croscarmellose sodium, colloidal silicon dioxide, magnesium stearate, polyvinyl alcohol, titanium dioxide, macrogol, talc, and yellow iron oxide.

Ages appropriate formulations for children aged 3 to < 12 years are to be determined.

##### 5.2.1.2. Packaging and Labeling

SOF 400 mg tablets are packaged in white, high density polyethylene (HDPE) bottles. Each bottle contains 30 tablets and a silica gel desiccant canister or sachet and polyester packing material. Each bottle is enclosed with a white, continuous thread, child-resistant screw cap with an induction-sealed, aluminum-faced liner.

SOF 100 mg tablets are packaged in white, high density polyethylene (HDPE) bottles. Each bottle contains 28 tablets and a polyester packing material. Each bottle is enclosed with a white, continuous thread, child-resistant screw cap with an induction-sealed, aluminum faced liner.

SOF bottles to be distributed to centers in the US, EU and the rest of the countries will meet all applicable requirements of the US Food and Drug Administration (FDA) and Annex 13 of Good Manufacturing Practices: Manufacture of investigational medicinal products (July 2010) and/or other local regulations as applicable.

#### **5.2.2. Storage and Handling**

SOF tablets should be stored at controlled room temperature until required for administration. Controlled room temperature is defined as 25 °C (77 °F); excursions are permitted between 15 °C and 30 °C (59 °F to 86 °F).

All drug products should be stored in a securely locked area, accessible only to authorized site personnel. To ensure the stability of the study drug and to ensure proper product identification, the drug product should not be stored in a container other than the container in which they are supplied. Consideration should be given to handling, preparation, and disposal through measures that minimize drug contact with the body. Appropriate precautions should be followed to avoid direct eye contact or exposure through inhalation when handling SOF.

Sufficient quantities of SOF tablets to complete the entire study will be shipped to the investigator or qualified designee from Gilead Sciences Materials & Logistics (or its designee).

### **5.2.3. Dosage and Administration of SOF**

#### **Age group 12 to < 18 years:**

Part A: SOF 400-mg tablets will be administered once daily. Subjects determined unable to swallow the 400-mg tablet, by SOF swallowability assessment at Day 1 or any time during the study, will be re-assigned to 4 x 100-mg tablets daily.

Part B: SOF 400-mg tablets will be administered once daily for subjects who weigh  $\geq 45$  kg. Subjects determined unable to swallow the 400-mg tablet (by SOF assessment at Day 1 or at any time during the study) will be re-assigned to 4 x 100-mg tablets daily. For subjects in this age group who weigh  $< 45$  kg, PK data from part A will be used to determine the appropriate dose of SOF to be administered.

Subjects who participated in Part A will continue the assigned dose in Part B.

#### **Age group 3 to < 12 years:**

SOF age-appropriate formulation will be administered once daily with a dose and formulation to be determined.

### **5.3. Ribavirin**

#### **5.3.1. Description and Handling of RBV**

##### **5.3.1.1. Formulation**

RBV tablets, 200 mg, are blue, capsule-shaped, film-coated tablets debossed with “3RP” on one side and “200” on the other side. In addition to the active ingredient, RBV tablets contain the following inactive ingredients: microcrystalline cellulose, lactose monohydrate, croscarmellose sodium, povidone, magnesium stearate, polyvinyl alcohol, titanium dioxide, macrogol, talc and FD&C blue #2.

RBV Oral Solution 40 mg per mL is a clear, colorless to pale or light yellow bubble gum-flavored liquid. In addition to the active ingredient, RBV oral solution contains the following inactive ingredients: sucrose, glycerin, sorbitol, propylene glycol, sodium citrate, citric acid, sodium benzoate, natural and artificial flavor for bubble gum #15864, and water.

#### 5.3.1.2. Packaging and Labeling

The RBV tablets are packaged in white, HDPE bottles. Each bottle contains 168 tablets and rayon coil packing material and is enclosed with a white, continuous thread, child-resistant screw cap with an induction-sealed, aluminum-faced liner.

The RBV oral solution is packaged in 4-oz amber glass bottles (100 mL/bottle) with child-resistant closures.

RBV bottles to be distributed to centers in the US, EU and the rest of the countries will meet all applicable requirements of the US Food and Drug Administration (FDA) and Annex 13 of Good Manufacturing Practices: Manufacture of investigational medicinal products (July 2010) and/or other local regulations as applicable.

#### 5.3.1.3. Storage and Handling

RBV tablets should be stored at 25 °C (77 °F); excursions are permitted between 15 and 30 °C (59 and 86 °F).

RBV oral solution should be stored between 2-8°C (36-46°F) or at 25°C (77°F); excursions permitted to 15-30°C (59-86°F).

### 5.3.2. Dosage and Administration of RBV

RBV dose will be administered by weight as follows:

- Below 50 kg: RBV 15 mg/kg divided BID
- 50 kg to < 65 kg : RBV 400 mg BID
- 65 kg to < 75 kg: RBV 400 mg in the morning and 600 mg in the evening
- Greater than or equal to 75 kg: 600 mg BID

The morning dose of RBV will be taken with SOF and food. The evening dose of RBV will be taken with food.

RBV tablets will be supplied by Gilead Sciences for all subjects.

### 5.4. Co-administration of SOF and RBV

In Part B of the study, each subject will be given instructions to maintain approximately the same daily dosing interval between study drug doses.

For **morning doses**, subjects will be instructed to take study drugs with food as follows:

- SOF
- Weight-based RBV (as per Section [5.3.2](#)).



For **evening doses**, subjects will be instructed to take study drug with food as follows:

- Weight-based RBV (as per Section 5.3.2).

If a subject forgets to take the medication at the correct time, it may be taken later in the day; however, no more than 400 mg dose of SOF should be taken on any calendar day. The subject should resume the standing dosing schedule on the next day. Study medications should not be cut or split.

### **5.5. Study Drug Compliance**

Subjects will be instructed to return any unused SOF and RBV in the original container on Day 7 (Part A) and/or at study treatment visits every 4 weeks (Part B).

Returned medication will be reconciled by the investigator in order to monitor the subject's compliance with the medication regimen.

### **5.6. Prior and Concomitant Medications**

Concomitant medications taken within 30 days of Screening, up to and including the date of the visit four weeks after discontinuation of study treatment, need to be recorded in the source documents and eCRFs. All concomitant medications should be recorded in the source documents.

The following medications are prohibited from 28 days prior to the Day 1 visit through the end of treatment:

- Erythropoiesis-stimulating agents (ESAs); including but not limited to Procrit<sup>®</sup>, Aranesp<sup>®</sup> and Epogen<sup>®</sup>
- Granulocyte colony stimulating factor (GCSF)
- Chronic systemic immunosuppressants including corticosteroids (prednisone equivalent of > 10 mg/day for > 2 weeks), azathioprine, or monoclonal antibodies (e.g., infliximab)
- Investigational agents or devices for any indication
- Drugs disallowed per prescribing information of REBETOL<sup>®</sup>
- Concomitant use of certain medications or herbal/natural supplements (inducers of drug transporters i.e. P-gp) with study drug(s) may result in pharmacokinetic interactions resulting in decreases in exposure of study drug(s). Representative medications which are excluded from 21 days prior to Day 1 through the end of treatment are listed below:

<b>Drug</b>	<b>Agents Disallowed</b>	<b>Concomitant use with study drug may potentially result in changes of study drug concentration as listed below</b>
Anticonvulsants	Phenobarbital, Phenytoin, Carbamazepine, Oxcarbazepine	Decrease in concentration of study drug
Antimycobacterials	Rifampin, rifabutine, rifapentine	Decrease in concentration of study drug
Herbal/Natural Supplements	St. John's Wort, Echinacea, Milk thistle (i.e., silymarin), Chinese herb sho-saikoto (or Xiao-Shai-Hu-Tang)	Decrease in concentration of study drug

Medications for disease conditions **excluded** from the protocol (e.g., HIV-1 infection, active cancer, transplantation) are not listed under this Concomitant Medication section and are disallowed in the study.

## **6. STUDY PROCEDURES**

All subjects will complete screening, on-treatment and post-treatment assessments. Screening assessments will be completed within 28 days of the Day 1 visit. The end of study will occur at the 24-week Post-Treatment visit. The schedule of assessments is described below.

Information on the specific laboratory parameters to be measured and clinical assessments to be performed are provided in Section 6.6.

### **6.1. Subject Enrollment**

Each candidate's parent or legal guardian must sign an Informed Consent Form prior to the conduct of any screening procedures. Each study candidate who has the ability to read and write must sign an Assent Form, as required by IRB/IEC/local requirements, prior to the conduct of any study procedures. Screening evaluations will be used to determine the eligibility of each candidate for study enrollment. Candidates who fail to meet eligibility criteria by screening evaluations may be re-screened once  $\geq 30$  days after the initial screen if there is a reasonable expectation that the candidate will be eligible after repeat screening.

### **6.2. Screening Assessments**

#### **6.2.1. Screening Visit (Day -28 to Day 0)**

Subjects will complete all screening assessments within 28 days of the Day 1 visit. The screening window can be extended to 42 days for subjects requiring additional HCV genotype testing or for extenuating circumstances.

Subjects who participated in Part A will not require an additional screening visit prior to starting Part B.

The following procedures will be performed and documented:

- Written informed consent from parent or legal guardian and assent from subject, if applicable (see above)
- Medical history, including:
  - Hepatitis C history
  - Hepatitis C treatment history
  - Family history (i.e., height and weight of parents, if known)
  - Liver biopsy results within 2 years of Screening (if available)
- Complete physical examination

- (Part A only) Perform Tanner Pubertal Stage assessment for subjects  $\geq 6$  years of age at the time of the visit, until Tanner Stage 5 has been reached. Date of first menses will be documented
- Vital signs (temperature, blood pressure, pulse, respiratory rate), body weight and height
- Obtain blood samples for tests as listed in Section 6.6.1:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Determination of HCV viral genotype and subtype
  - HCV antibody, HIV 1/2 antibody, and HBs antigen, HAV antibody
  - HbA<sub>1c</sub>
  - TSH
  - IL28B
  - Serum  $\beta$ -hCG pregnancy test for females of childbearing potential only
  - Alpha fetoprotein (AFP)
  - Alpha-1 anti-trypsin (ATT)
- Obtain urine sample for:
  - Urinalysis
  - Urine Drug Screen
- Review of concomitant medications
- Review of all inclusion and exclusion criteria

Subjects meeting all of the inclusion criteria and none of the exclusion criteria will return to the clinic for the Day 1 visit assessments.

### **6.3. Part A: Treatment Assessments**

#### **6.3.1. Day 1 Visit**

After confirmation of eligibility has been evaluated, the following tests and procedures must be completed prior to enrollment and dosing/dispensing on Day 1:

- Perform complete physical examination
- Vital signs, including body weight and height
- Assessment of AEs and concomitant medications
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only)
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
- Obtain urine samples for the following procedures:
  - Urinalysis
  - $\beta$ -hCG pregnancy test for females of childbearing potential only

##### **6.3.1.1. Drug Administration**

- Dispense study drugs as directed by the IWRS
- Instruct the subject on the appropriate RBV dose after weight-based dosage is calculated using Day 1 weight
- Instruct the subject on the packaging, storage and administration of all study drugs
- Perform SOF swallowability assessment (for subjects administered SOF in tablet form only)
- Observe the subject taking the first dose of study drugs (with food) and record the time of first dose

### 6.3.2. Day 3

The following procedures/assessments are to be completed on Day 3:

- Perform a symptom-directed physical examination as needed
- Vital signs, including body weight and height
- Assessment of AEs and concomitant medications
- Review medication compliance with subject and/or parent/guardian
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - HCV RNA
  - Viral sequencing (archive)

### 6.3.3. Day 7 – Intensive PK

The Day 7 Intensive PK visit should occur on the protocol-specified visit date based on the Day 1 visit. For the purposes of scheduling, the Day 7 Intensive PK visit may be performed within +3 days of the protocol-specified visit date (Subjects will continue daily dosing through the Intensive PK Visit).

Subjects should come in a fasted state for the Day 7 Intensive PK visit (i.e., no food or drink except water at least 8 hours prior to the Day 7 Intensive PK visit). Subjects and/or parents/guardians should be instructed that the Day 7 dose of SOF must **not** be taken until the evaluations listed below are completed.

If the subject has already dosed prior to the Day 7 clinic visit or is **not** in a fasted state, the Day 7 intensive PK assessments must not be completed. The subject and/or parents/guardians should be instructed to return in a fasted state within 3 days (Days 8, 9, or 10) for the intensive PK visit. Appropriate study drug re-dispensation must be performed in this case.

If dosing non-compliance is identified on or prior to the Day 7 visit, the Day 7 intensive PK assessments must not be completed. The subject and/or parents/guardians should be counseled regarding proper dosing and be scheduled to return for the Day 7 intensive PK visit no sooner than 3 days following compliant dosing and no later than Day 11 (i.e., return on Day 10 or Day 11). If dosing non-compliance is due to an AE, consultation with the Gilead Medical Monitor is required regarding the potential of rescheduling the Day 7 intensive PK visit.

In both scenarios described above, the subject and/or parents/guardians should be reminded not to take the SOF prior to arriving at the clinic on the day of the re-scheduled intensive PK visit. All Day 7 intensive PK assessments listed below should be completed when the subject returns.

The following evaluations are to be completed at the Day 7 Intensive PK visit:

- Perform a symptom-directed physical examination as needed
- Vital signs, including body weight and height
- Assessment of AEs and concomitant medications
- Perform study drug accountability
- Review medication compliance with subject and/or parent/guardian.
- Obtain urine samples for the following procedures:
  - Urinalysis
  - Urine pregnancy test (females of childbearing potential only). If the urine pregnancy test is positive, the Intensive PK sampling will not be completed. The positive result will be confirmed with a serum pregnancy test.
- Obtain blood samples for the following laboratory analyses:
  - Hematology
  - Chemistry
  - HCV RNA
  - Viral sequencing (archive)
- Perform intensive PK sampling:
  - Blood samples will be collected at 0 (predose,  $\leq 30$  minutes prior to dosing). After collection of the predose sample, subjects will be provided a standardized meal. Within 5 minutes after consuming the standardized meal, subjects will be dosed with SOF per dosing requirements.

- Post-dose blood samples will be collected as follows:
  - For Cohorts 1 and 2: 0.5, 1, 2, 3, 4, 8, and 12 hours post-dose (with predose also serving as t= 24).
  - For Cohort 3 only: 2, 4, 8, and 12 hours post-dose (with predose also serving as t = 24).
- Subjects will be restricted from food intake until after collection of the 4-hour post dose blood sample, except for subjects in Cohort 3. Please also refer to the PK manual for details about standardized meals and PK sample processing instructions.

After completing the Day 7 intensive PK visit subjects will then continue to the Day 1 visit in Part B described in Section 6.4.1

#### **6.4. Part B: Treatment Assessments**

##### **6.4.1. Day 1 Visit**

After confirmation of eligibility has been evaluated, the following tests and procedures must be completed prior to enrollment and dosing/dispensing on Day 1:

- Perform complete physical examination
- Vital signs, including body weight and height
- Review of AEs and concomitant medications
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only) Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - PPD



- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire.

#### 6.4.1.1. Drug Administration

- Dispense study drugs as directed by the IWRS
- Instruct the subject on the appropriate RBV dose after weight-based dosage is calculated using Day 1 weight
- Instruct the subject on the packaging, storage and administration of all study drugs
- Observe the subject taking the first dose of study drugs (with food) and record the time of first dose
- Perform SOF swallowability assessment (for subjects administered SOF in tablet form only)

#### 6.4.2. Weeks 1 and 2 ( $\pm$ 3 days)

The following procedures/assessments are to be completed at these visits:

- Vital signs, including body weight and height
- Perform symptom-directed physical examination
- Assessment of AEs and concomitant medications
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - Single PK

**6.4.3. Weeks 4 and 8 ( $\pm 3$  days)**

- Vital signs, including body weight and height
- Perform symptom-directed physical examination
- Assessment of AEs and concomitant medications
- Obtain blood samples for
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - Single PK
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Review medication compliance with subject and/or parent/guardian (subject should return all study drugs at these visits)
- Dispense study drugs as directed by the IWRS

**6.4.4. Week 12 ( $\pm 3$  days)**

- Perform complete physical examination
- Tanner Staging Assessment
- Vital signs, including body weight and height
- Assess Rates of Growth (percentiles)
- Assessment of AEs and concomitant medications
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only)

- Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - TSH
  - Single PK
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire. (GT-2 Subjects only)
- Review medication compliance with subject and/or parent/guardian.
- Dispense study drugs as directed by the IWRS (GT-3 Subjects Only)
- GT-2 Subjects should return all bottles and kits at the Week 12 Visit

**6.4.5. Week 16 and 20 ( $\pm$  3 days) – GT-3 Subjects only**

- Vital signs, including body weight and height
- Perform symptom-directed physical examination
- Assessment of AEs and concomitant medications
- Obtain blood samples for
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - Single PK

- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Review medication compliance with subject and/or parent/guardian (subject should return all study drugs at these visits)
- Dispense study drugs as directed by the IWRS

**6.4.6. Week 24( $\pm$  3 days) – GT-3 Subjects only**

- Perform complete physical examination
- Tanner Staging Assessment
- Vital signs, including body weight and height
- Assess Rates of Growth (percentiles)
- Assessment of AEs and concomitant medications
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only)
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - TSH
  - Single PK
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire

- Review medication compliance with subject and/or parent/guardian.
- GT-3 Subjects should return all bottles and kits at the Week 24 Visit

#### **6.4.7.            Unscheduled Visit/Early Termination Visit**

A subject should attend an unscheduled visit if requested by the sponsor or the investigator. The assessments are at the investigator's discretion.

The Sponsor/CRO should be informed when a subject comes off treatment due to an adverse event. If a subject discontinues treatment then the assessments outlined below should be performed.

- Perform complete physical examination
- Tanner Pubertal Stage Assessment
- Vital signs, including body weight and height
- Assess Rates of Growth (percentiles)
- Assessment of AEs and concomitant medications
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only)
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - Coagulation tests
  - HCV RNA
  - Viral sequencing (archive)
  - TSH
  - Single PK
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire.

- Review medication compliance with subject and/or parent/guardian.
- Subjects should return all bottles and kits at the Early Termination Visit

All subjects should complete Post-Treatment assessments after early termination, as described in Section 6.5.

## **6.5. Post-Treatment Assessments**

### **6.5.1. 4-Week Post-Treatment Visit ( $\pm 5$ days)**

- Vital signs, including body weight and height
- Perform symptom-directed physical examination
- Assessment of AEs and concomitant medications
- Obtain blood samples for:
  - Hematology
  - Chemistry
  - HCV RNA
  - Viral sequencing (archive)
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to < 18 years of age only)

Female subjects of childbearing potential should be provided with Urine Pregnancy Test-Kits, instructed on their use and requested to continue to self-monitor for pregnancy for 6-months after their last dose of RBV. If required by local regulations, additional pregnancy tests beyond 6 months may be added. The subject should be contacted every 4 weeks and asked to report results of the urine pregnancy tests. If a positive urine pregnancy test is reported, the subject should return to the clinic for a serum pregnancy test.

### **6.5.2. 12-Week Post-Treatment Visit ( $\pm 5$ days)**

- Vital signs, including body weight and height
- Perform symptom-directed physical examination

- Perform Tanner Pubertal Stage assessment for subjects  $\geq 6$  years of age at the time of the visit, until Tanner Stage 5 has been reached. Date of first menses will be documented.
- Obtain blood samples for
  - Hematology
  - Chemistry
  - HCV RNA
  - Viral sequencing (archive)
- Obtain urine sample for:
  - $\beta$ -hCG pregnancy test for females of childbearing potential only
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to  $< 18$  years of age only)
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire

**6.5.3. 24-Week Post-Treatment Visit ( $\pm 5$  days)**

- Vital signs, including body weight and height
- Perform symptom-directed physical examination
- Tanner Pubertal Stage Assessment & Rates of growth
- Obtain blood samples for
  - HCV RNA
  - Viral sequencing (archive)
- Obtain urine sample for  $\beta$ -hCG pregnancy test for females of childbearing potential only
- Subject completes quality of life survey: Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire.
- Pregnancy prevention counseling including partner pregnancy prevention for male participants (Subjects 12 to  $< 18$  years of age only)

## **6.6. Procedures and Specifications**

### **6.6.1. Clinical Laboratory Analytes**

Hematology: Hematocrit, Hemoglobin (Hb), Platelet count, Red blood cell count (RBC), White blood cell count (WBC) with differential (absolute and percentage) including Lymphocytes, Monocytes, Neutrophils, Eosinophils, Basophils, Reticulocyte count and MCV.

Coagulation: INR, Prothrombin time (PT), Activated partial thromboplastin time (APTT)

Chemistry Alanine aminotransferase (ALT/SGPT), Aspartate aminotransferase (AST/SGOT), Albumin, Alkaline phosphatase, Creatinine, Total Bilirubin (reflex to Direct Bilirubin), Glucose, Lipase, Potassium, Sodium, Gamma-glutamyl transferase (GGT), alpha fetoprotein (AFP), alpha-1 anti-trypsin.

Urinalysis: Appearance, Blood, Color, Glucose, Leukocyte esterase, pH, Protein, Urobilinogen. Reflex to microscopic urinalysis if dipstick result is abnormal.

Virological Tests: Serologies for HCV, HBV and HIV. HCV RNA will be measured using the COBAS<sup>®</sup> AmpliPrep/COBAS<sup>®</sup> TaqMan<sup>®</sup> HCV Test, v2.0 for Use with the High Pure System. HCV genotype and subtype will be determined using the Siemens VERSANT<sup>®</sup> HCV Genotype INNO-LiPA 2.0 Assay. Gilead reserves the right to use alternate assays for HCV RNA and HCV genotype should the above assays become unavailable.

IL28B genotype will be determined by polymerase chain reaction (PCR) amplification of the SNP, rs12979860, with sequence specific forward and reverse primers and allele specific fluorescently labeled TaqMan<sup>®</sup> MGB probes. Gilead reserves the right to use alternate assays for IL28B determination should the above assay become unavailable.

Pregnancy Tests: Serum  $\beta$ -hCG, Urine  $\beta$ -hCG (if positive, requires immediate confirmation with Serum  $\beta$ -hCG)

Additional Tests: Hemoglobin A1c (HbA1c), TSH (reflex Free T4), Alfa fetoprotein (AFP), Alpha-1 anti-trypsin (AAT)

### **6.6.2. Medical History**

Medical history including details regarding illnesses and allergies, date(s) of onset, and whether condition(s) is currently ongoing, and medication history will be collected on all subjects during screening.

### **6.6.3. Complete Physical Examination**

A complete physical examination must include source documentation of general appearance, and the following body systems: Head, neck and thyroid; eyes, ears, nose, throat, mouth and tongue; chest (excluding breasts); respiratory; cardiovascular; lymph nodes, abdomen; skin, hair, nails; musculoskeletal; neurological.



#### **6.6.4. Tanner Pubertal Stage Assessment**

The Tanner Stage scale is available in [Appendix 4](#)

#### **6.6.5. Vital Signs**

Assessment of vital signs will include measurement of resting blood pressure, pulse, respiratory rate, and temperature.

Blood pressure will be measured using the following standardized process:

- Subject should sit for  $\geq 5$  minutes with feet flat on the floor and measurement arm supported so that the midpoint of the manometer cuff is at heart level;
- Use a mercury sphygmomanometer or automatic blood pressure device with an appropriately sized cuff with the bladder centered over the brachial artery;
- Measure and record the blood pressure to the nearest 2 mmHg mark on the manometer or to the nearest whole number on an automatic device.

#### **6.6.6. Body Mass Index (BMI)**

BMI is calculated by the following equation.

$$\text{BMI} = \frac{\text{weight (pounds)} \times 703}{(\text{height in inches})^2} \quad \text{or} \quad \frac{\text{weight in kilograms}}{(\text{height in meters})^2}$$

#### **6.6.7. Viral Sequencing (Archive)**

Plasma samples will be collected at Day 1 and each visit for viral sequence analysis. Unused samples may be archived.

#### **6.6.8. Pregnancy Testing**

All females of childbearing potential will have urine pregnancy testing every 4 weeks during the dosing period and for a minimum of 6 months following the last dose of RBV. If required by local regulations, additional pregnancy tests beyond 6 months may be added. In the event of a positive urine pregnancy result, subjects will be instructed to stop study drugs immediately and return to the clinic as soon as possible for a serum pregnancy test.

Pregnancy test kits will be dispensed to female subjects of child bearing potential at the 4-Week Post-Treatment visit. The subject will be contacted by telephone monthly to confirm that urine pregnancy testing has been performed post-treatment and to record the outcome.

Alternatively, if required by local regulations or preferred by the investigator or subject, the subject may return to the clinic for urine pregnancy tests.

#### **6.6.9. Quality of Life Survey (PedsQL™)**

The survey will be completed in Part B of the study at Day 1, End of Treatment (Week 12 for GT-2 subjects, Week 24 for GT-3 subjects, or at Early Termination, if applicable), 12-Week Post-Treatment, and 24-Week Post-Treatment visits.

## **7. TOXICITY MANAGEMENT**

### **7.1. Modification of Dose/Schedule Due to Toxicity**

#### **7.1.1. RBV Dose Adjustments**

No formal RBV dose reduction guidelines exist for pediatric patients. Prior to RBV dose adjustments, the Gilead Medical Monitor should be consulted.

### **7.2. Subject Stopping Rules for SOF**

The Gilead Medical Monitor should be consulted prior to dose discontinuation of SOF unless the investigator believes that immediate action is warranted to ensure the continued safety of the subject.

Administration of SOF may be discontinued due to a clinical or laboratory event. There is no option for SOF dose reduction. If SOF is stopped for toxicity, it should not be restarted, RBV should be stopped and the subject should complete an Early Termination Visit.

Subjects who meet any of the following laboratory criteria should stop treatment with SOF and RBV:

- Elevation of ALT and/or AST  $>5x$  Day 1 or nadir, confirmed by immediate repeat testing
- Abnormal elevation of ALT  $>3x$  Day 1 and total bilirubin  $>2 x$  ULN, confirmed by immediate repeat testing
- Confirmed elevation of ALT  $>15 x$  ULN
- Any Grade 3 or greater rash associated with constitutional symptoms
- Any Grade 4 event or lab abnormality assessed as related to treatment with SOF

## 8. ADVERSE EVENTS MANAGEMENT

### 8.1. Definitions of Adverse Events, Adverse Reactions, and Serious Adverse Events

#### 8.1.1. Adverse Events

An adverse event (AE) is any untoward medical occurrence in a clinical study subject administered a pharmaceutical product, which does not necessarily have a causal relationship with the treatment. An AE can therefore be any unfavorable and/or unintended sign, symptom, or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product. AEs may also include pre- or post- treatment complications that occur as a result of protocol-mandated procedures, overdose, drug abuse/misuse reports, or occupational exposure. Preexisting events that increase in severity or change in nature during or as a consequence of participation in the clinical study will also be considered AEs.

An AE does not include the following:

- Medical or surgical procedures such as surgery, endoscopy, tooth extraction, and transfusion. The condition that led to the procedure may be an adverse event and must be reported
- Pre-existing diseases, conditions, or laboratory abnormalities present or detected before the screening visit that do not worsen.
- Situations where an untoward medical occurrence has not occurred (e.g., hospitalization for elective surgery, social and/or convenience admissions).
- Overdose without clinical sequelae (see Section 8.5)
- Any medical condition or clinically significant laboratory abnormality with an onset date before the consent form is signed and not related to a protocol-associated procedure is not an AE. It is considered to be pre-existing and should be documented on the medical history CRF

#### 8.1.2. Serious Adverse Events

A **serious adverse event** (SAE) is defined as an event that, at any dose, results in the following:

- Death
- Life-threatening (Note: The term “life-threatening” in the definition of “serious” refers to an event in which the subject was at risk of death at the time of the event; it does not refer to an event that hypothetically might have caused death if it were more severe.)

- In-patient hospitalization or prolongation of existing hospitalization
- Persistent or significant disability/incapacity
- A congenital anomaly/birth defect
- A medically important event or reaction: such events may not be immediately life-threatening or result in death or hospitalization but may jeopardize the subject or may require intervention to prevent one of the other outcomes constituting SAEs. Medical and scientific judgment must be exercised to determine whether such an event is reportable under expedited reporting rules. Examples of medically important events include intensive treatment in an emergency room or at home for allergic bronchospasm; blood dyscrasias or convulsions that do not result in hospitalization; and development of drug dependency or drug abuse. For the avoidance of doubt, infections resulting from contaminated medicinal product will be considered a medically important event and subject to expedited reporting requirements.

### **8.1.3. Clinical Laboratory Abnormalities and Other Abnormal Assessments as Adverse Events or Serious Adverse Events**

Laboratory abnormalities without clinical significance are not recorded as AEs or SAEs. However, laboratory abnormalities (eg, clinical chemistry, hematology, and urinalysis) that require medical or surgical intervention or lead to IMP interruption, modification, or discontinuation must be recorded as an AE, as well as an SAE, if applicable. In addition, laboratory or other abnormal assessments (eg, electrocardiogram, x-rays, vital signs) that are associated with signs and/or symptoms must be recorded as an AE or SAE if they meet the definition of an AE or SAE as described in Sections 8.1.1 and 8.1.2. If the laboratory abnormality is part of a syndrome, record the syndrome or diagnosis (eg, anemia), not the laboratory result (ie, decreased hemoglobin).

## **8.2. Assessment of Adverse Events and Serious Adverse Events**

The investigator or qualified subinvestigator is responsible for assessing AEs and SAEs for causality and severity, and for final review and confirmation of accuracy of event information and assessments.

### **8.2.1. Assessment of Causality for Study Drugs and Procedures**

The investigator or qualified subinvestigator is responsible for assessing the relationship to IMP therapy using clinical judgment and the following considerations:

- **No:** Evidence exists that the adverse event has an etiology other than the investigational medicinal product. For SAEs, an alternative causality must be provided (e.g., pre-existing condition, underlying disease, intercurrent illness, or concomitant medication).
- **Yes:** There is reasonable possibility that the event may have been caused by the investigational medicinal product.

It should be emphasized that ineffective treatment should not be considered as causally related in the context of AE reporting.

The relationship to study procedures (e.g., invasive procedures such as venipuncture or biopsy) should be assessed using the following considerations:

- **No:** Evidence exists that the AE has an etiology other than the study procedure.
- **Yes:** The adverse event occurred as a result of protocol procedures (eg., venipuncture)

### **8.2.2. Assessment of Severity**

The severity grading of AEs will be assessed as Grade 1, 2, 3, or 4 using the GSI Grading Scale for Severity of Adverse Events and Laboratory Abnormalities ([Appendix 3](#)). For AEs associated with laboratory abnormalities, the event should be graded on the basis of the clinical severity in the context of the underlying conditions; this may or may not be in agreement with the grading of the laboratory abnormality.

### **8.3. Investigator Requirements and Instructions for Reporting Adverse Events and Serious Adverse Events**

All SAEs, regardless of causal relationship, that occur after the subject first consents to participate in the study (ie, signing the informed consent) and throughout the duration of the study, including the protocol-required 24-week post treatment follow-up period, must be reported to the CRF/eCRF database and Gilead Drug Safety and Public Health (DSPH) as instructed. This also includes any SAEs resulting from protocol-mandated procedures performed from screening onwards.

All AEs, regardless of causal relationship, that occur from initiation of study medication until 4 weeks after last administration of study IMP must be reported to the CRF/eCRF database as instructed.

All AEs should be followed up until resolution or until the adverse event is stable, if possible. Gilead Sciences may request that certain AEs be followed beyond the post-treatment follow up period.

Investigators are not obligated to actively seek SAEs after the 24 week post-treatment follow-up period. However, if the investigator learns of any SAEs that occur after this time and the event is deemed relevant to the use of IMP, he/she should promptly document and report the event directly to Gilead DSPH. Gilead DSPH contact information is as follows: Email: [Safety\\_FC@gilead.com](mailto:Safety_FC@gilead.com) or Fax: +1 (650) 522-5477. All AEs and SAEs will be recorded in the CRF/eCRF database within the timelines outlined in the CRF/eCRF completion guideline.

#### Serious Adverse Event Paper Reporting Process

- All SAEs will be recorded on the serious adverse event report form and submitted by emailing or faxing the report form within 24 hours of the investigator's knowledge of the event to the attention of the designated CRO Pharmacovigilance Representative.

### Electronic Serious Adverse Event (eSAE) Reporting Process

- All AEs and SAEs will be recorded in the CRF/eCRF database within the timelines outlined in the CRF/eCRF completion guideline.
- Site personnel record all SAE data in the eCRF database and from there transmit the SAE information to Gilead DSPH within 24 hours of the investigator's knowledge of the event. Detailed instructions can be found in the eCRF completion guidelines.
- If for any reason it is not possible to record the SAE information electronically, ie, the eCRF database is not functioning, record the SAE on the paper serious adverse event reporting form and submit within 24 hours as described above.
- As soon as it is possible to do so, any SAE reported via paper must be transcribed into the eCRF Database according to instructions in the eCRF completion guidelines.
- If an SAE has been reported via a paper form because the eCRF database has been locked, no further action is necessary.

Contact information is as follows:

Gilead Sciences, Drug Safety and Public Health:	Fax:	+1 650 522-5477
	E-mail:	Safety_FC@gilead.com

CRO Pharmacovigilance Representative:	Fax:	TBD
	E-mail:	

Gilead Sciences, Medical Monitor:	Name:	Luisa Stamm, MD, PhD
	Phone:	PPD
	Mobile:	PPD
	Fax:	PPD
	E-mail:	PPD

- For fatal or life-threatening events, copies of hospital case reports, autopsy reports, and other documents are also to be submitted by e-mail or fax when requested and applicable. Transmission of such documents should occur without personal subject identification, maintaining the traceability of a document to the subject identifiers.
- Additional information may be requested to ensure the timely completion of accurate safety reports.

Any medications necessary for treatment of the SAE must be recorded onto the concomitant medication section of the subject's CRF/eCRF and the event description section of the SAE report form.

#### **8.4. Gilead Reporting Requirements**

Depending on relevant local legislation or regulations, including the applicable US FDA Code of Federal Regulations, the EU Clinical Trials Directive (2001/20/EC) and relevant updates, and other country-specific legislation or regulations, Gilead may be required to expedite to worldwide regulatory agencies reports of SAEs, serious adverse drug reactions (SADRs), or suspected unexpected serious adverse reactions (SUSARs). In accordance with the EU Clinical Trials Directive (2001/20/EC), Gilead or a specified designee will notify worldwide regulatory agencies and the relevant IEC in concerned Member States of applicable SUSARs as outlined in current regulations.

Assessment of expectedness for SAEs will be determined by Gilead using reference safety information specified in the investigator's brochure or relevant local label as applicable.

All investigators will receive a safety letter notifying them of relevant SUSAR reports. The investigator should notify the IRB or IEC of SUSAR reports as soon as is practical, where this is required by local regulatory agencies, and in accordance with the local institutional policy.

#### **8.5. Special Situations Reports**

##### **8.5.1. Definitions of Special Situations**

Special situation reports include all reports of medication error, abuse, misuse, overdose, and pregnancy reports regardless of an associated AE. Also includes reports of adverse reactions in infants following exposure from breastfeeding, and reports of adverse reactions associated with product complaints and reports arising from occupational exposure.

A pregnancy report is used to report any pregnancy following maternal or paternal exposure to the medicinal product.

Medication error is any unintentional error in the prescribing, dispensing, or administration of a medicinal product while in the control of the health care provider, subject, or consumer.

Abuse is defined as persistent or sporadic intentional excessive use of a medicinal product by a subject.

Misuse is defined as any intentional and inappropriate use of a medicinal product that is not in accordance with the protocol instructions or the local prescribing information.

An overdose is defined as an accidental or intentional administration of a quantity of a medicinal product given per administration or cumulatively which is above the maximum recommended dose as per protocol or in the product labelling (as it applies to the daily dose of the subject in question). In cases of a discrepancy in drug accountability, overdose will be established only when it is clear that the subject has taken the excess dose(s). Overdose cannot be established when the subject cannot account for the discrepancy except in cases in which the investigator has reason to suspect that the subject has taken the additional dose(s).

Product complaint is defined as complaints arising from potential deviations in the manufacture, packaging or distribution of the medicinal product.

## **8.5.2. Instructions for Reporting Special Situations**

### **8.5.2.1. Instructions for Reporting Pregnancies**

The investigator should report all pregnancies that are identified after the subject first consents to participate in the study (ie, signs the informed consent) and throughout the study, including the post-treatment follow-up period, to the CRO Pharmacovigilance Representative using the pregnancy report form within 24 hours of becoming aware of the pregnancy.

The pregnancy itself is not considered an AE nor is an induced elective abortion to terminate a pregnancy without medical reasons.

Any premature termination of pregnancy (eg, a spontaneous abortion, an induced therapeutic abortion due to complications or other medical reasons) must be reported within 24 hours as an SAE. The underlying medical reason for this procedure should be recorded as the AE term.

A spontaneous abortion is always considered to be an SAE and will be reported as described in Sections 8.1.1 and 8.3. Furthermore, any SAE occurring as an adverse pregnancy outcome post study must be reported directly to Gilead DSPH.

The subject should receive appropriate monitoring and care until the conclusion of the pregnancy. The outcome should be reported to CRO Pharmacovigilance Representative using the pregnancy outcome report form. If the end of the pregnancy occurs after the study has been completed, the outcome should be reported directly to Gilead DSPH. Gilead DSPH contact information is as follows: Email: Safety\_FC@gilead.com or Fax: +1 (650) 522-5477.

Pregnancies of female partners of male study subjects exposed to Gilead or other study drugs must also be reported and relevant information should be submitted to the CRO Pharmacovigilance Representative using the pregnancy report form and pregnancy outcome report forms within 24 hours. Monitoring of the partner pregnancy should continue until the conclusion of the pregnancy. If the end of the pregnancy occurs after the study has been completed, the outcome should be reported directly to Gilead DSPH, fax number +1 (650) 522-5477 or email Safety\_FC@gilead.com.

Clinical staff should also report any pregnancies to the Ribavirin Pregnancy Registry at 1-800-593-2214 (see also <http://www.ribavirinpregnancyregistry.com>).

Refer to [Appendix 5](#) for Pregnancy Precautions, Definition for Female of Childbearing Potential, and Contraceptive Requirements.

### **8.5.2.2. Reporting Other Special Situations**

All other special situation reports must be reported on the special situations report form and forwarded to the CRO Pharmacovigilance Representative within 24 hours of the investigator



becoming aware of the situation. These reports must consist of situations that involve study IMP, but do not apply to concomitant medications. Except for situations that result in AEs, special situations involving concomitant medications will not be reported. Any inappropriate use of contraindicated medications should not be reported as “misuse,” but may be more appropriately documented as a protocol deviation.

Refer to Section 8.3 and the CRF/eCRF completion guidelines for full instructions on the mechanism of special situations reporting.

All clinical sequelae in relation to these special situation reports will be reported as AEs or SAEs at the same time using the AE eCRF and/or the SAE report form. Details of the symptoms and signs, clinical management and outcome will be reported, when available.

## 9. STATISTICAL CONSIDERATIONS

### 9.1. Analysis Objectives and Endpoints

#### 9.1.1. Analysis Objectives

The primary objective of Part A of this study is:

- To evaluate the steady state pharmacokinetics (PK) and confirm the dose of SOF in HCV-infected pediatric subjects

The secondary objective of Part A of this study is:

- To evaluate the safety and tolerability of 7 days of dosing of SOF in HCV-infected pediatric subjects

The primary objectives of Part B of this study are:

- To evaluate the safety and tolerability of SOF + RBV for 12 or 24 weeks in HCV-infected pediatric subjects with genotype 2 or 3, respectively
- To determine the antiviral efficacy of SOF+RBV treatment in genotype 2 and genotype 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 12 weeks after completion of treatment (SVR12)

The secondary objectives of Part B of this study are:

- To determine the antiviral efficacy of SOF+RBV treatment in genotype 2 and genotype 3 HCV-infected subjects separately, as assessed by the proportion of subjects with SVR 4 and 24 weeks after completion of treatment (SVR4 and SVR24)
- To evaluate the kinetics of circulating HCV RNA during treatment and after completion of treatment
- To evaluate the emergence of viral resistance to SOF during treatment and after completion of treatment

The exploratory objective of this study is:

- PPD 

### **9.1.2. Primary Endpoint**

In Part A,  $AUC_{\tau}$  of GS-331007 is considered as the primary PK parameter for determining the appropriate SOF dose.

For Part B, the primary efficacy endpoint is SVR12. Safety endpoint is any AE leading to permanent discontinuation of study drug(s).

### **9.1.3. Secondary Endpoints**

In Part A, the secondary PK endpoints include  $AUC_{\tau}$ ,  $C_{\max}$ ,  $AUC_{\text{last}}$ ,  $T_{\max}$ ,  $C_{\text{last}}$ ,  $T_{\text{last}}$ ,  $C_{\tau}$  and  $t_{1/2}$  for GS-331007 and SOF as appropriate. AEs leading to permanent discontinuation of study drug(s) will be evaluated as a secondary safety endpoint.

For Part B, secondary efficacy endpoints include SVR4, SVR24, end-of-treatment response, breakthrough and relapse. Additional efficacy evaluations may include HCV RNA change from Day 1; ALT normalization; viral kinetic parameters; and changes in patient reported outcomes based on the Quality of Life survey to assess the effect of treatment on quality of life.

## **9.2. Analysis Conventions**

All individual subject data will be listed as measured. All statistical summaries and analyses will be performed using SAS<sup>®</sup> software (SAS Institute, Cary, North Carolina, USA).

### **9.2.1. Analysis Sets**

#### **9.2.1.1. Pharmacokinetics (PK) Analysis Sets**

##### **Intensive PK Analysis Set**

The intensive PK analysis set will include all part A subjects who received at least one dose of study drug and for whom at least one non-missing PK concentration data, during the intensive sampling period, reported by PK lab. The PK analysis set will be used for detailed pharmacokinetic analysis of SOF, and metabolites.

##### **Sparse PK Analysis Set**

The PK analysis set will include all enrolled subjects who received at least one dose of study drug and for whom at least one observed concentration data of SOF or GS-331007 are available. The PK analysis set will be used for analysis of general PK and single sample plasma concentrations.

#### 9.2.1.2. Safety Analysis Set

The primary analysis set for safety analyses will include subjects who were enrolled and have received at least one dose of study drug.

Treatment-emergent data will be analyzed and defined as data collected from the first dose of study drug through the date of last dose of study drug plus 30 days.

#### 9.2.1.3. Efficacy Analysis Set

The analysis set for antiviral activity analyses will be the Full Analysis Set (FAS) which includes HCV infected subjects who were enrolled and have received at least one dose of study drug.

### 9.2.2. Data Handling Conventions

Natural logarithm transformation for key PK parameters, such as  $C_{max}$  and  $AUC_{tau}$ , will be applied for pharmacokinetic analysis.

The PK concentration values below the limit of quantitation (BLQ) will be treated as zero for the determination of summary and order statistics. Individual values that are BLQ will be presented as “BLQ” in the concentration data listing and will be excluded in any calculation of geometric means or ratios. For the presentation of summary and order statistics, if at least 1 subject has a concentration value BLQ for the time point, then the minimum value will be displayed as “BLQ”. If more than 50% of the subjects have a concentration data value BLQ for the time point, then the minimum and median values will be displayed as “BLQ”. If all subjects have concentration data values BLQ for the time point, then all order statistics (minimum, first quartile [Q1], median, third quartile [Q3], maximum) will be displayed as “BLQ”.

Missing data can have an impact upon the interpretation of the trial data. Other than the endpoints discussed below, in general, values for missing data will not be imputed.

For the analysis of post-baseline categorical efficacy endpoints, if a data point is missing and is preceded and followed in time by values that are deemed successes, then the missing data point will be termed a success; otherwise the data point will be termed a failure.

Any subject with missing data due to premature discontinuation of the study medication will be considered a failure at the time points subsequent to the date of discontinuation. If no HCV RNA values are obtained after the last dose of study medication, the subject will be considered a treatment failure for the SVR endpoints.

Where appropriate, safety data for subjects that did not complete the study will be included in summary statistics. For example,

- If a subject received study medication, the subject will be included in a summary of adverse events according to the treatment received; otherwise, if the subject is not dosed then they will be excluded from the summary.
- If safety laboratory results for a subject are missing for any reason at a time point, the subject will be excluded from the calculation of summary statistics for that time point. If the subject is missing a pre-dose value, then the subject will be excluded from the calculation of summary statistics for the pre-dose value and the change from pre-dose values.

Values for missing safety laboratory data will not be imputed; however, a missing baseline result will be replaced with a screening result, if available. If no pre-treatment laboratory value is available, the baseline value will be assumed to be normal (i.e., no grade [Grade 0]) for the summary of graded laboratory abnormalities.

Values for missing vital signs data will not be imputed; however, a missing baseline result will be replaced with a screening result, if available.

HCV RNA values below the LLOQ for the assay will be set to the lower limit minus 1 for calculation of summary statistics for the actual HCV RNA values and the change from baseline values by study visit. The reported values will be provided in the HCV RNA listing.

For selected analyses of early time point data, HCV RNA data (IU/mL) may be transformed to the logarithmic (base 10) scale ( $\log_{10}$  IU/mL).

### **9.2.3. Interim Analysis**

No formal interim analyses are planned for this study.

### **9.3. Demographic Data and Baseline Characteristics**

Demographic and baseline measurements will be summarized using standard descriptive methods by age group and overall for Part A and Part B respectively.

Demographic data will include sex, self-identified race/ethnicity, and age.

Baseline characteristic data will include body weight, height, body mass index, HCV RNA level ( $\log_{10}$  IU/mL), HCV genotype, prior treatment experience, and additional endpoints as necessary.

#### **9.4. Pharmacokinetic Analysis**

PK analysis will be performed for Part A using the intensive PK analysis set. The concentration data of SOF and metabolites (GS-566500 and GS-331007) over sampling time will be listed and summarized by nominal time and cohort. Pharmacokinetic parameters (e.g.,  $AUC_{\tau}$ ,  $AUC_{last}$ ,  $C_{max}$ ,  $T_{max}$ ,  $C_{last}$ ,  $T_{last}$ ,  $C_{\tau}$ ,  $\lambda_z$ ,  $CL/F$ ,  $V_z/F$  and  $t_{1/2}$ ) will be listed and summarized for SOF and metabolites (as applicable) using descriptive statistics (e.g., sample size, arithmetic mean, geometric mean and its 95% confidence interval, coefficient of variation %, standard deviation, median, Q1, Q3, minimum, and maximum) by cohort and overall. Plasma concentrations over time will be plotted in semilogarithmic and linear formats as mean  $\pm$  standard deviation, and median (Q1, Q3).

To evaluate the exposures of GS-331007 achieved in pediatric subjects of this study are similar to the exposures observed in historical control subjects, GS-331007 exposure data from this study will be compared to the integrated historical control data by carrying out an analysis of variance (ANOVA) for log-transformed  $AUC_{\tau}$  of GS-331007 as the primary endpoint while  $C_{max}$  of GS-331007 will be evaluated as secondary endpoints. The 90% confidence intervals will be constructed for the ratio of geometric means of each PK parameters. The equivalence boundary is set as 50% to 200%. Individual patient management will be performed by maintaining exposures comparable to adults.  $AUC_{\tau}$  less than the 2.5<sup>th</sup> percentile of adult values may require dose adjustment as appropriate.

The effect of age and SOF dose on PK (SOF and GS-331007) will be assessed.

#### **9.5. Efficacy Analysis**

Efficacy will be evaluated using scheduled assessments of HCV RNA performed using COBAS<sup>®</sup> TaqMan<sup>®</sup> HCV Test, v2.0 for use with the High Pure System.

Efficacy analysis will be performed by age group and genotype for Part B.

All continuous endpoints will be summarized using an 8-number summary (n, mean, standard deviation, median, Q1, Q3, minimum, maximum). All categorical endpoints will be summarized by number and percentage of subjects who meet the endpoint definition.

##### **9.5.1. Primary Analysis**

The primary efficacy endpoint is SVR12 (HCV RNA < LLOQ 12 weeks after completion of treatment) in the FAS population. The primary analysis will be performed after all enrolled subjects have been followed through 12 weeks post-treatment or discontinued from study.

##### **9.5.2. Secondary Analysis**

The proportion of subjects with HCV RNA below LLOQ over time (including SVR endpoints) will be presented by age group and genotype in Part B in tabular and graphical form.

Descriptive summaries and listings will be provided for additional efficacy evaluations including serum HCV RNA actual values and change from baseline, proportion of subjects with virologic failures, and other endpoints of interest including ALT normalization.

PPD



### **9.5.3. Subgroup Analysis**

Subgroup analysis on SVR24 and SVR12 will be performed by treatment experience (treatment-naive vs. experienced), and by treatment experience and genotype.

Details on efficacy analyses will be described in the statistical analysis plan.

### **9.6. Safety Analysis**

Safety analysis will be performed for Part A and Part B respectively.

Safety will be evaluated by assessment of clinical laboratory tests, physical examinations, vital signs measurements, and by the documentation of AEs.

All safety data collected while on or after the first dose of study drug administration up to 30 days after the last dose of study drug will be summarized by cohort according to the study drug received.

Safety endpoints will be analyzed by the number and percent of subjects with events or abnormalities for categorical values or 8-number summary (n, mean, standard deviation, median, Q1, Q3, minimum, maximum) for continuous data.

#### **9.6.1. Extent of Exposure**

A subject's extent of exposure to study drug will be generated from the study drug administration page of the CRF. Exposure data will be summarized.

#### **9.6.2. Adverse Events**

Clinical and laboratory AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). System Organ Class (SOC), High-Level Group Term (HLGT), High-Level Term (HLT), Preferred Term (PT), and Lower-Level Term (LLT) will be attached to the clinical database.

Events will be summarized on the basis of the date of onset for the event. A treatment-emergent adverse event will be defined as any new or worsening adverse event that begins on or after the date of first dose of study drug up to the date of last dose of study drug plus 30 days.

Summaries (number and percentage of subjects) of treatment-emergent adverse events (by SOC and preferred term) will be provided by cohort:

- All AEs,
- All study drug-related AEs,
- Combined Grade 2, 3 and 4 AEs,
- Combined Grade 3 and 4 AEs,
- Combined Grade 2, 3 and 4 study drug-related AEs,
- Combined Grade 3 and 4 study drug-related AEs,
- All AEs that caused permanent discontinuation from study drug,
- All AEs that caused change in dose or temporary interruption of study drug,
- All SAEs (including death), and
- All study drug-related SAEs

All AEs collected during the course of the study will be presented in data listings.

### **9.6.3. Laboratory Evaluations**

Selected laboratory data will be summarized using only observed data. Absolute values and changes from baseline at all scheduled visits will be summarized by age group and overall.

Graded laboratory abnormalities will be defined using the grading scheme in Grading of Laboratory Abnormalities provided in [Appendix 3](#).

Incidence of treatment-emergent laboratory abnormalities, defined as values that increase by at least one toxicity grade from baseline at any time post baseline up to and including the date of last dose of study drug plus 30 days, will be summarized by age group and overall. If baseline data are missing, then any graded abnormality (i.e., at least a Grade 1) will be considered treatment emergent.

All laboratory abnormalities will be included in the listings of laboratory data.

### **9.6.4. Other Safety Evaluations**

#### **9.6.4.1. Tanner Pubertal Stage Assessment**

Tanner Stages ([Appendix 4](#)) will be summarized by baseline Tanner Stage using frequency and percentage. Age of first menses will be summarized descriptively.



### **9.7. Data Monitoring Committee**

An external multidisciplinary data monitoring committee (DMC) will review the progress of the study and perform interim reviews of safety and PK data and provide recommendation to Gilead about whether the nature, frequency, and severity of adverse effects associated with study treatment warrant the early termination of the study in the best interests of the participants, whether the study should continue as planned, or the study should continue with modifications.

The DMC's specific activities will be defined by a mutually agreed charter, which will define the DMC's membership, conduct and meeting schedule.

While the DMC will be asked to advise Gilead regarding future conduct of the study, including possible early study termination, Gilead retains final decision-making authority on all aspects of the study.

### **9.8. Sample Size**

With approximately 50 subjects enrolled into each age group of Part B, a two-sided 95.0% confidence interval of the SVR12 rate will extend at most 11.1% in both directions from the observed SVR12 rate, assuming the expected SVR12 rate is 80%.

## **10. RESPONSIBILITIES**

### **10.1. Investigator Responsibilities**

#### **10.1.1. Good Clinical Practice**

The investigator will ensure that this study is conducted in accordance with the principles of the “Declaration of Helsinki” (as amended in Edinburgh, Tokyo, Venice, Hong Kong, and South Africa), International Conference on Harmonisation (ICH) guidelines, or with the laws and regulations of the country in which the research is conducted, whichever affords the greater protection to the study subject. For studies conducted under a United States IND, the investigator will ensure that the basic principles of “Good Clinical Practice,” as outlined in 21 CFR 312, subpart D, “Responsibilities of Sponsors and Investigators,” 21 CFR, part 50, 1998, and 21 CFR, part 56, 1998, are adhered to.

Since this is a “covered” clinical trial, the investigator will ensure that 21 CFR, Part 54, 1998, is adhered to; a “covered” clinical trial is any “study of a drug or device in humans submitted in a marketing application or reclassification petition subject to this part that the applicant or FDA relies on to establish that the product is effective (including studies that show equivalence to an effective product) or that make a significant contribution to the demonstration of safety.” This requires that investigators and all subinvestigators must provide documentation of their financial interest or arrangements with Gilead Sciences, or proprietary interests in the drug being studied. This documentation must be provided before participation of the investigator and any subinvestigator. The investigator and subinvestigator agree to notify Gilead Sciences of any change to reportable interests during the study and for one year following completion of the study. Study completion is defined as the date that the last subject has completed the protocol defined activities.

This study is also subject to and will be conducted in accordance with 21 CFR, part 320, 1993, “Retention of Bioavailability and Bioequivalence Testing Samples.”

#### **10.1.2. Institutional Review Board (IRB)/Independent Ethics Committee (IEC) Approval**

This protocol and any accompanying material to be provided to the subject (such as advertisements, subject information sheets, or descriptions of the study used to obtain informed consent/assent) will be submitted by the investigator to an IRB (for studies conducted in the United States) or IEC (for studies conducted outside of the United States). Approval from the IRB or IEC must be obtained **before** starting the study and should be documented in a letter to the investigator specifying the protocol number, protocol version, protocol date, documents reviewed, and date on which the committee met and granted the approval.

Any modifications made to the protocol after receipt of IRB or IEC approval must also be submitted to the IRB or IEC for approval before implementation.

### **10.1.3. Informed Consent/Assent**

The investigator is responsible for obtaining written informed consent from the parent/legal guardian of the subject participating in this study after adequate explanation to the parent/legal guardian and subject of the aims, methods, objectives, and potential hazards of the study and before undertaking any study-related procedures. Written assent should be obtained from the subject as required by IRB/IEC/local requirements for this age population. The investigator must utilize an IRB- or IEC-approved consent/assent form for documenting written informed consent/assent. Each informed consent/assent will be appropriately signed and dated by the subject or the subject's parent/legally authorized representative and the person obtaining consent/assent.

### **10.1.4. Confidentiality**

The investigator must assure that subjects' anonymity will be strictly maintained and that their identities are protected from unauthorized parties. Only subject initials, date of birth, and an identification code (i.e., not names) should be recorded on any form or biological sample submitted to the Sponsor, IRB or IEC, or laboratory. The investigator must keep a screening log showing codes, names, and addresses for all subjects screened and for all subjects enrolled in the trial.

The investigator agrees that all information received from Gilead Sciences, including but not limited to the Investigator Brochure, this protocol, CRFs, the investigational new drug, and any other study information, remain the sole and exclusive property of Gilead Sciences during the conduct of the study and thereafter. This information is not to be disclosed to any third party (except employees or agents directly involved in the conduct of the study or as required by law) without prior written consent from Gilead Sciences. The investigator further agrees to take all reasonable precautions to prevent the disclosure by any employee or agent of the study site to any third party or otherwise into the public domain.

### **10.1.5. Study Files and Retention of Records**

The investigator must maintain adequate and accurate records to enable the conduct of the study to be fully documented and the study data to be subsequently verified. These documents should be classified into at least the following two categories: (1) investigator's study file, and (2) subject clinical source documents.

The investigator's study file will contain the protocol/amendments, CRF and query forms, IRB or IEC and governmental approval with correspondence, informed consent, drug records, staff curriculum vitae and authorization forms, and other appropriate documents and correspondence.

The required source data are listed in the Source Data verification Plan, and should include sequential notes containing at least the following information for each subject:

- Subject identification (name, date of birth, gender);
- Documentation that subject meets eligibility criteria, i.e., history, physical examination, and confirmation of diagnosis (to support inclusion and exclusion criteria);
- Participation in trial (including trial number);
- Trial discussed and date of informed consent;
- Dates of all visits;
- Documentation that protocol specific procedures were performed;
- Results of efficacy parameters, as required by the protocol;
- Start and end date (including dose regimen) of trial medication (preferably drug dispensing and return should be documented as well);
- Record of all AEs and other safety parameters (start and end date, and preferably including causality and intensity);
- Concomitant medication (including start and end date, dose if relevant; dose changes should be motivated);
- Date of trial completion and reason for early discontinuation, if applicable.

All clinical study documents must be retained by the investigator until at least 2 years after the last approval of a marketing application in an ICH region (i.e., United States, Europe, or Japan) and until there are no pending or contemplated marketing applications in an ICH region; or, if no application is filed or if the application is not approved for such indication, until 2 years after the investigation is discontinued and regulatory authorities have been notified. Investigators may be required to retain documents longer if required by applicable regulatory requirements, by local regulations, or by an agreement with Gilead Sciences. The investigator must notify Gilead Sciences before destroying any clinical study records.

Should the investigator wish to assign the study records to another party or move them to another location, Gilead Sciences must be notified in advance.

If the investigator cannot guarantee this archiving requirement at the study site for any or all of the documents, special arrangements must be made between the investigator and Gilead Sciences to store these in sealed containers outside of the site so that they can be returned sealed to the investigator in case of a regulatory audit. When source documents are required for the continued care of the subject, appropriate copies should be made for storage outside of the site.

Biological samples at the conclusion of this study may be retained in storage by the Sponsor for a period up to 10 years for purposes of this study.

#### **10.1.6. Case Report Forms**

For each subject enrolled, a CRF (or eCRF) must be completed and signed by the principal investigator or subinvestigator (as appropriate) within a reasonable time period after data collection. This also applies to records for those subjects who fail to complete the study (even during a prerandomization screening period if a CRF was initiated). If a subject withdraws from the study, the reason must be noted on the CRF. If a subject is withdrawn from the study because of a treatment-limiting adverse event, thorough efforts should be made to clearly document the outcome.

#### **10.1.7. Drug Accountability**

The investigator or designee (i.e., pharmacist) is responsible for ensuring adequate accountability of all used and unused investigational medicinal product, and comparators. This includes acknowledgment of receipt of each shipment of study product (quantity and condition), subject dispensing records, and returned or destroyed study product. Dispensing records will document quantities received from Gilead Sciences and quantities dispensed to subjects, including lot number, date dispensed, subject identifier number, subject initials, and the initials of the person dispensing the medication.

At study initiation, the monitor will evaluate the site's standard operating procedure for investigational medicinal product disposal/destruction in order to ensure that it complies with Gilead Sciences requirements. Drug may be returned or destroyed on an ongoing basis during the study if appropriate. At the end of the study, following final drug inventory reconciliation by the monitor, the study site will dispose of and/or destroy all unused investigational medicinal product supplies, including empty containers, according to these procedures. If the site cannot meet Gilead Sciences' requirements for disposal, arrangements will be made between the site and Gilead Sciences or its representative for destruction or return of unused investigational medicinal product supplies.

All drug supplies and associated documentation will be periodically reviewed and verified by the study monitor over the course of the study.

#### **10.1.8. Inspections**

The investigator should understand that source documents for this trial should be made available to appropriately qualified personnel from Gilead Sciences or its representatives, to IRBs or IECs, or to regulatory authority or health authority inspectors.

#### **10.1.9. Protocol Compliance**

The investigator is responsible for ensuring the study is conducted in accordance with the procedures and evaluations described in this protocol.

## **10.2. Sponsor Responsibilities**

### **10.2.1. Protocol Modifications**

Protocol modifications, except those intended to reduce immediate risk to study subjects, may be made only by Gilead Sciences. All protocol modifications must be submitted to the IRB or IEC in accordance with local requirements. Approval must be obtained before changes can be implemented.

### **10.2.2. Study Report and Publications**

A clinical study report will be prepared and provided to the regulatory agency(ies). Gilead Sciences will ensure that the report meets the standards set out in the ICH Guideline for Structure and Content of Clinical Study Reports (ICH E3). Note that an abbreviated report may be prepared in certain cases.

After conclusion of the study and without prior written approval from Gilead Sciences, investigators in this study may communicate, orally present, or publish in scientific journals or other scholarly media *only after the following conditions have been met:*

- the results of the study in their entirety have been publicly disclosed by or with the consent of Gilead Sciences in an abstract, manuscript, or presentation form; or
- the study has been completed at all study sites for at least 2 years.

No such communication, presentation, or publication will include Gilead Sciences' confidential information (see Section 10.1.4).

The investigator will submit any proposed publication or presentation along with the respective scientific journal or presentation forum at least 30 days before submission of the publication or presentation. The investigator will comply with Gilead Sciences' request to delete references to its confidential information (other than the study results) in any paper or presentation and agrees to withhold publication or presentation for an additional 60 days in order to obtain patent protection if deemed necessary.

## **10.3. Joint Investigator/Sponsor Responsibilities**

### **10.3.1. Access to Information for Monitoring**

In accordance with ICH Good Clinical Practice (ICH GCP) guidelines, the study monitor must have direct access to the investigator's source documentation in order to verify the data recorded in the CRFs for consistency.

The monitor is responsible for routine review of the CRFs at regular intervals throughout the study to verify adherence to the protocol and the completeness, consistency, and accuracy of the data being entered on them. The monitor should have access to any subject records needed to verify the entries on the CRFs. The investigator agrees to cooperate with the monitor to ensure that any problems detected in the course of these monitoring visits are resolved.

### **10.3.2. Access to Information for Auditing or Inspections**

Representatives of regulatory authorities or of Gilead Sciences may conduct inspections or audits of the clinical study. If the investigator is notified of an inspection by a regulatory authority the investigator agrees to notify the Gilead Sciences medical monitor immediately. The investigator agrees to provide to representatives of a regulatory agency or Gilead Sciences access to records, facilities, and personnel for the effective conduct of any inspection or audit.

### **10.3.3. Study Discontinuation**

Both the sponsor and the investigator reserve the right to terminate the study at any time. Should this be necessary, both parties will arrange discontinuation procedures and notify the appropriate regulatory authority(ies), IRBs, and IECs. In terminating the study, Gilead Sciences and the investigator will assure that adequate consideration is given to the protection of the subjects' interests.

## 11. REFERENCES

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## 12. APPENDICES

- Appendix 1. Investigator Signature Page
- Appendix 2. Study Procedures Table
- Appendix 3. GSI Grading Scale for Severity of Adverse Events and  
Laboratory Abnormalities
- Appendix 4. Tanner Stages
- Appendix 5. Pregnancy Precautions, Definition for Female of Childbearing  
Potential, and Contraceptive Requirements

**Appendix 1. Investigator Signature Page**

**GILEAD SCIENCES, INC.  
333 LAKESIDE DRIVE  
FOSTER CITY, CA 94404**

**STUDY ACKNOWLEDGEMENT**

**A Phase 2, Open-Label, Multicenter, Multi-cohort, Single-Arm Study to Investigate the  
Safety and Efficacy of Sofosbuvir + Ribavirin in Adolescents and Children with  
Genotype 2 or 3 Chronic HCV Infection**

**GS-US-334-1112, Original, 20 December 2013**

This protocol has been approved by Gilead Sciences, Inc. The following signature documents this approval.

Luisa Stamm  
Luisa Stamm, MD, Ph.D (Printed)  
Medical Monitor

PPD  
\_\_\_\_\_  
Sig

12.23.13  
Date

**INVESTIGATOR STATEMENT**

I have read the protocol, including all appendices, and I agree that it contains all necessary details for me and my staff to conduct this study as described. I will conduct this study as outlined herein and will make a reasonable effort to complete the study within the time designated.

I will provide all study personnel under my supervision copies of the protocol and access to all information provided by Gilead Sciences, Inc. I will discuss this material with them to ensure that they are fully informed about the drugs and the study.

\_\_\_\_\_  
Principal Investigator Name (Printed)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Site Number

**Appendix 2. Study Procedures Table**

**Appendix Table 1. Part A: Screening and On-Treatment Study Visits**

	Screening (-28 days)	On-treatment		
		Day 1 <sup>b</sup>	Day 3	Day 7 (+ 3 days)
<b>Clinical Assessments</b>				
Informed Consent / Assent	X			
Determine Eligibility	X	X		
Medical History	X			
Complete Physical Examination	X	X		
Symptom-directed Physical Examination			X	X
Tanner Pubertal Stage Assessment	X			
Vital Signs <sup>a</sup>	X	X	X	X
AEs and Concomitant Medications	X	X	X	X
Pregnancy Prevention Counseling <sup>f</sup>		X		
SOF Swallowability Assessment		X		
Review of Study Medication Compliance				X
Study Drug Dispensing <sup>b</sup>		X		
<b>Laboratory Assessments</b>				
Hematology, Chemistry	X	X	X	X
Coagulation Tests	X	X		

	Screening (-28 days)	On-treatment		
		Day 1 <sup>b</sup>	Day 3	Day 7 (+ 3 days)
HCV RNA	X	X	X	X
Viral Sequencing <sup>c</sup>		X	X	X
Serum or Urine Pregnancy Testing <sup>c</sup>	X	X		X
Urinalysis	X	X		X
Urine Drug Screen	X			
Intensive PK				X <sup>d</sup>
HCV Genotyping, IL28B	X			
HCV antibody, HIV 1/2 antibody, HBs antigen, HAV antibody	X			
HbA1c	X			
TSH	X			
Alpha fetoprotein	X			
Alpha-1 anti-trypsin	X			

a Vital signs include height, weight, blood pressure, pulse, respiratory rate and temperature

b Day 1 assessments must be performed prior to dosing

c Plasma samples will be collected and stored for potential HCV sequencing and other virology studies

d For Cohorts 1 and 2, plasma samples will be collected for PK analyses following dosing of study drugs on Day 7 at the following time points: 0 (predose), 0.5, 1, 2, 3, 4, 8, and 12 hours post-dose. For Cohort 3, plasma samples for PK analyses will be collected at the following time points: 0 (predose), 2, 4, 8, and 12 hours post-dose.

e Females of childbearing potential only. Serum pregnancy test performed at screening and for confirmation of positive urine pregnancy test.

f Including partner pregnancy prevention for male participants (subjects 12 to < 18 years of age only).

**Appendix Table 2. Part B: Screening and On-Treatment Study Visits**

	Screening	Day 1 <sup>c</sup>	Visit identified by on-treatment study week								Early Termination
			1	2	4	8	12	16 <sup>g</sup>	20 <sup>g</sup>	24 <sup>g</sup>	
<b>Clinical Assessments</b>											
Informed Consent / Assent	X										
Determine Eligibility	X	X									
Medical History	X										
Complete Physical Examination	X	X					X			X	X
Symptom-Directed Physical Examination			X	X	X	X		X	X		
Tanner Pubertal Stage Assessment & Rates of growth <sup>h</sup>		X					X			X	X
Vital Signs <sup>a</sup>	X	X	X	X	X	X	X	X	X	X	X
AEs and Concomitant Medications	X	X	X	X	X	X	X	X	X	X	X
Pregnancy Prevention Counseling <sup>i</sup>		X					X			X	X
SOF Swallowability Assessment		X									
Quality of Life Survey <sup>c</sup>		X					X			X	X
Review of Study Medication Compliance					X	X	X	X	X	X	X
Study Drug Dispensing <sup>b</sup>		X			X	X	X	X	X		
<b>Laboratory Assessments</b>											
Hematology, Chemistry Coagulation	X	X	X	X	X	X	X	X	X	X	X
HCV RNA	X	X	X	X	X	X	X	X	X	X	X
Viral Sequencing <sup>d</sup>		X	X	X	X	X	X	X	X	X	X

	Screening	Day 1 <sup>c</sup>	Visit identified by on-treatment study week								
			1	2	4	8	12	16 <sup>g</sup>	20 <sup>g</sup>	24 <sup>g</sup>	Early Termination
Serum or Urine Pregnancy Testing	X	X			X	X	X	X	X	X	X
Single PK			X	X	X	X	X	X	X	X	X
<b>PPD</b>											
Urinalysis, Urine Drug Test	X										
HCV Genotyping, IL28B	X										
HCV antibody, HIV 1/2 antibody, HBs antigen, HAV antibody	X										
HbA1c	X										
TSH	X						X			X	X
Alpha fetoprotein, Alpha-1 anti-trypsin	X										

- a Vital signs include height, weight, blood pressure, pulse, respiratory rate and temperature
- b The IWRS will provide direction on the specifics of each subject's study drug dispensing.
- c Day 1 assessments must be performed prior to dosing
- d Plasma samples will be collected and stored for potential HCV sequencing and other virology studies
- e Quality of life survey will be completed by all subjects at Day 1, 12, and 24/ET visits if a site is approved to use the survey. Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire.
- f **PPD**
- g GT-2 subjects will complete through the Week 12 visit; GT-3 subjects will complete through the Week 24 visit.
- h Performed at Day 1 and End of Treatment
- I Including partner pregnancy prevention for male participants (subjects 12 to < 18 years of age only).

**Appendix Table 3. Part B: Post Treatment Visits Following Primary Study**

	4 Weeks Post Treatment	12 Weeks Post Treatment	24 Weeks Post Treatment
<b>Clinical Assessments</b>			
Vital Signs, Body weight and Height	X	X	X
Symptom-directed Physical Exam	X	X	X
AEs	X	X <sup>d</sup>	X <sup>d</sup>
Concomitant Medications	X		
Quality of Life Survey <sup>a</sup>		X	X
Tanner Pubertal Stage Assessment & Rates of Growth		X	X
Pregnancy Prevention Counseling <sup>e</sup>	X	X	X
<b>Laboratory Assessments</b>			
Hematology, Chemistry	X	X	
HCV RNA	X	X	X
Viral Sequencing <sup>b</sup>	X	X	X
Urine Pregnancy Test <sup>c</sup>	X	X	X

a Quality of life survey will be completed by all subjects at 12-Week and 24-Week Post Treatment visits if a site is approved to use the survey. Subject is to review questionnaire with a parent/guardian and write/mark answers directly onto the questionnaire.

b Plasma samples will be collected and stored for potential HCV sequencing and other virology studies

c Female subjects of childbearing potential should be provided with Urine Pregnancy Test Kits, instructed on their use and requested to continue to self-monitor for pregnancy for 6 months after their last dose of RBV. If required by regulations, additional pregnancy tests beyond 6 months may be added. The subject should be contacted every 4 weeks and asked to report results of the urine pregnancy tests. If a positive urine pregnancy test is reported, the subject should return to the clinic for a serum pregnancy test.

d All SAEs, including deaths, regardless of cause or relationship, must be reported after patient signs the informed consent through the end of the study

e Including partner pregnancy prevention for male participants (subjects 12 to < 18 years of age only).



**Appendix 3. GSI Grading Scale for Severity of Adverse Events and Laboratory Abnormalities**

Version: 18June2012

<b>HEMATOLOGY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Hemoglobin HIV POSITIVE	8.5 to 10.0 g/dL	7.5 to < 8.5 g/dL	6.5 to < 7.5 g/dL	< 6.5 g/dL
<b>Adult and Pediatric ≥ 57 Days</b>	85 to 100 g/L	75 to < 85 g/L	65 to < 75 g/L	< 65 g/L
HIV NEGATIVE	10.0 to 10.9 g/dL	9.0 to < 10.0 g/dL	7.0 to < 9.0 g/dL	< 7.0 g/dL
<b>Adult and Pediatric ≥ 57 Days</b>	100 to 109 g/L	90 to < 100 g/L	70 to < 90 g/L	< 70 g/L
	OR	OR	OR	
	Any decrease from Baseline	Any decrease from Baseline	Any decrease from Baseline	
	2.5 to < 3.5 g/dL	3.5 to < 4.5 g/dL	≥ 4.5 g/dL	
	25 to < 35 g/L	35 to < 45 g/L	≥ 45 g/L	
<b>Infant, 36–56 Days</b> (HIV POSITIVE OR NEGATIVE)	8.5 to 9.4 g/dL	7.0 to < 8.5 g/dL	6.0 to < 7.0 g/dL	< 6.0 g/dL
	85 to 94 g/L	70 to < 85 g/L	60 to < 70 g/L	< 60 g/L
<b>Infant, 22–35 Days</b> (HIV POSITIVE OR NEGATIVE)	9.5 to 10.5 g/dL	8.0 to < 9.5 g/dL	7.0 to < 8.0 g/dL	< 7.0 g/dL
	95 to 105 g/L	80 to < 95 g/L	70 to < 80 g/L	< 70 g/L
<b>Infant, 1–21 Days</b> (HIV POSITIVE OR NEGATIVE)	12.0 to 13.0 g/dL	10.0 to < 12.0 g/dL	9.0 to < 10.0 g/dL	< 9.0 g/dL
	120 to 130 g/L	100 to < 120 g/L	90 to < 100 g/L	< 90 g/L

<b>HEMATOLOGY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Absolute Neutrophil Count (ANC)	1000 to 1300/mm <sup>3</sup>	750 to < 1000/mm <sup>3</sup>	500 to < 750/mm <sup>3</sup>	< 500/mm <sup>3</sup>
<b>Adult and Pediatric, &gt; 7 Days</b>	1.00 to 1.30 GI/L	0.75 to < 1.00 GI/L	0.50 to < 0.75 GI/L	< 0.50 GI/L
<b>Infant, 2 – ≤ 7 Days</b>	1250 to 1500/mm <sup>3</sup>	1000 to < 1250/mm <sup>3</sup>	750 to < 1000/mm <sup>3</sup>	< 750/mm <sup>3</sup>
<b>Infant, 1 Day</b>	1.25 to 1.50 GI/L	1.00 to < 1.25 GI/L	0.75 to < 1.00 GI/L	< 0.75 GI/L
	4000 to 5000/mm <sup>3</sup>	3000 to < 4000/mm <sup>3</sup>	1500 to < 3000/mm <sup>3</sup>	< 1500/mm <sup>3</sup>
	4.00 to 5.00 GI/L	3.00 to < 4.00 GI/L	1.50 to < 3.00 GI/L	< 1.50 GI/L
Absolute CD4+ Count HIV NEGATIVE ONLY				
<b>Adult and Pediatric &gt; 13 Years</b>	300 to 400/mm <sup>3</sup>	200 to < 300/mm <sup>3</sup>	100 to < 200/mm <sup>3</sup>	< 100/mm <sup>3</sup>
	300 to 400/μL	200 to < 300/μL	100 to < 200/μL	< 100/μL
Absolute Lymphocyte Count HIV NEGATIVE ONLY				
<b>Adult and Pediatric &gt; 13 Years</b>	600 to 650/mm <sup>3</sup>	500 to < 600/mm <sup>3</sup>	350 to < 500/mm <sup>3</sup>	< 350/mm <sup>3</sup>
	0.60 to 0.65 GI/L	0.50 to < 0.60 GI/L	0.35 to < 0.50 GI/L	< 0.35 GI/L

<b>HEMATOLOGY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Platelets	100,000 to < 125,000/mm <sup>3</sup> 100 to < 125 GI/L	50,000 to < 100,000/mm <sup>3</sup> 50 to < 100 GI/L	25,000 to < 50,000/mm <sup>3</sup> 25 to < 50 GI/L	< 25,000/mm <sup>3</sup> < 25 GI/L
WBCs	2000/mm <sup>3</sup> to 2500/mm <sup>3</sup> 2.00 GI/L to 2.50 GI/L	1,500 to < 2,000/mm <sup>3</sup> 1.50 to < 2.00 GI/L	1000 to < 1,500/mm <sup>3</sup> 1.00 to < 1.50 GI/L	< 1000/mm <sup>3</sup> < 1.00 GI/L
Hypofibrinogenemia	100 to 200 mg/dL 1.00 to 2.00 g/L	75 to < 100 mg/dL 0.75 to < 1.00 g/L	50 to < 75 mg/dL 0.50 to < 0.75 g/L	< 50 mg/dL < 0.50 g/L
Hyperfibrinogenemia	> ULN to 600 mg/dL > ULN to 6.0 g/L	> 600 mg/dL > 6.0 g/L	— —	— —
Fibrin Split Product	20 to 40 µg/mL 20 to 40 mg/L	> 40 to 50 µg/mL > 40 to 50 mg/L	> 50 to 60 µg/mL > 50 to 60 mg/L	> 60 µg/mL > 60 mg/L
Prothrombin Time (PT)	> 1.00 to 1.25 × ULN	> 1.25 to 1.50 × ULN	> 1.50 to 3.00 × ULN	> 3.00 × ULN
International Normalized Ratio of prothrombin time (INR)	1.1 to 1.5 x ULN	>1.5 to 2.0 x ULN	>2.0 to 3.0 x ULN	>3.0 x ULN
Activated Partial Thromboplastin Time (APTT)	> 1.00 to 1.66 × ULN	> 1.66 to 2.33 × ULN	> 2.33 to 3.00 × ULN	> 3.00 × ULN
Methemoglobin	5.0 to 10.0%	> 10.0 to 15.0%	> 15.0 to 20.0%	> 20.0%

<b>CHEMISTRY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Hyponatremia	130 to <LLN mEq/L 130 to <LLN mmol/L	125 to < 130 mEq/L 125 to < 130 mmol/L	121 to < 125 mEq/L 121 to < 125 mmol/L	< 121 mEq/L < 121 mmol/L
Hypernatremia	146 to 150 mEq/L 146 to 150 mmol/L	> 150 to 154 mEq/L > 150 to 154 mmol/L	> 154 to 159 mEq/L > 154 to 159 mmol/L	> 159 mEq/L > 159 mmol/L
Hypokalemia	3.0 to 3.4 mEq/L 3.0 to 3.4 mmol/L	2.5 to < 3.0 mEq/L 2.5 to < 3.0 mmol/L	2.0 to < 2.5 mEq/L 2.0 to < 2.5 mmol/L	< 2.0 mEq/L < 2.0 mmol/L
Hyperkalemia	5.6 to 6.0 mEq/L 5.6 to 6.0 mmol/L	> 6.0 to 6.5 mEq/L > 6.0 to 6.5 mmol/L	> 6.5 to 7.0 mEq/L > 6.5 to 7.0 mmol/L	> 7.0 mEq/L > 7.0 mmol/L
Hypoglycemia				
<b>Adult and Pediatric</b>	55 to 64 mg/dL 3.03 to 3.58 mmol/L	40 to < 55 mg/dL 2.20 to < 3.03 mmol/L	30 to < 40 mg/dL 1.64 to < 2.20 mmol/L	< 30 mg/dL < 1.64 mmol/L
<b>≥ 1 Month</b>				
<b>Infant, &lt; 1 Month</b>	50 to 54 mg/dL 2.8 to 3.0 mmol/L	40 to < 50 mg/dL 2.2 to < 2.8 mmol/L	30 to < 40 mg/dL 1.7 to < 2.2 mmol/L	< 30 mg/dL < 1.7 mmol/L
Hyperglycemia, Nonfasting	116 to 160 mg/dL 6.42 to 8.91 mmol/L	> 160 to 250 mg/dL > 8.91 to 13.90 mmol/L	> 250 to 500 mg/dL > 13.90 to 27.79 mmol/L	> 500 mg/dL > 27.79 mmol/L
Hyperglycemia, Fasting	110 to 125 mg/dL 6.08 to 6.96 mmol/L	>125 to 250 mg/dL >6.96 to 13.90 mmol/L	>250 to 500 mg/dL >13.90 to 27.79 mmol/L	>500 mg/dL >27.79 mmol/L

CHEMISTRY				
	Grade 1	Grade 2	Grade 3	Grade 4
Hypocalcemia  (corrected for albumin if appropriate*)  <b>Adult and Pediatric</b>  <b>≥ 7 Days</b> <b>Infant, &lt; 7 Days</b>	7.8 to 8.4 mg/dL 1.94 to 2.10 mmol/L  6.5 to 7.5 mg/dL 1.61 to 1.88 mmol/L	7.0 to < 7.8 mg/dL 1.74 to < 1.94 mmol/L  6.0 to < 6.5 mg/dL 1.49 to < 1.61 mmol/L	6.1 to < 7.0 mg/dL 1.51 to < 1.74 mmol/L  5.5 to < 6.0 mg/dL 1.36 to < 1.49 mmol/L	< 6.1 mg/dL < 1.51 mmol/L  < 5.5 mg/dL < 1.36 mmol/L
Hypercalcemia (corrected for albumin if appropriate*) <b>Adult and Pediatric ≥ 7 Days</b> <b>Infant, &lt; 7 Days</b>	>ULN to 11.5 mg/dL >ULN to 2.88 mmol/L 11.5 to 12.4 mg/dL 2.86 to 3.10 mmol/L	> 11.5 to 12.5 mg/dL > 2.88 to 3.13 mmol/L > 12.4 to 12.9 mg/dL > 3.10 to 3.23 mmol/L	> 12.5 to 13.5 mg/dL > 3.13 to 3.38 mmol/L > 12.9 to 13.5 mg/dL > 3.23 to 3.38 mmol/L	> 13.5 mg/dL > 3.38 mmol/L > 13.5 mg/dL > 3.38 mmol/L
Hypocalcemia (ionized)	3.0 mg/dL to < LLN 0.74 mmol/L to < LLN	2.5 to < 3.0 mg/dL 0.62 to < 0.74 mmol/L	2.0 to < 2.5 mg/dL 0.49 to < 0.62 mmol/L	< 2.0 mg/dL < 0.49 mmol/L
Hypercalcemia (ionized)	> ULN to 6.0 mg/dL > ULN to 1.50 mmol/L	> 6.0 to 6.5 mg/dL > 1.50 to 1.63 mmol/L	> 6.5 to 7.0 mg/dL > 1.63 to 1.75 mmol/L	> 7.0 mg/dL > 1.75 mmol/L
Hypomagnesemia	1.40 to <LLN mg/dL 1.2 to <LLN mEq/L 0.58 to <LLN mmol/L	1.04 to < 1.40 mg/dL 0.9 to < 1.2 mEq/L 0.43 to < 0.58 mmol/L	0.67 to < 1.04 mg/dL 0.6 to < 0.9 mEq/L 0.28 to < 0.43 mmol/L	< 0.67 mg/dL < 0.6 mEq/L < 0.28 mmol/L

CHEMISTRY				
	Grade 1	Grade 2	Grade 3	Grade 4
Hypophosphatemia				
<b>Adult and Pediatric</b>	2.0 to < LLN mg/dL	1.5 to < 2.0 mg/dL	1.0 to < 1.5 mg/dL	< 1.0 mg/dL
<b>&gt; 14 Years</b>	0.63 to < LLN mmol/L	0.47 to < 0.63 mmol/L	0.31 to < 0.47 mmol/L	< 0.31 mmol/L
<b>Pediatric 1 Year–14 Years</b>	3.0 to 3.5 mg/dL	2.5 to < 3.0 mg/dL	1.5 to < 2.5 mg/dL	< 1.5 mg/dL
<b>Pediatric &lt; 1 Year</b>	0.96 to 1.14 mmol/L	0.80 to < 0.96 mmol/L	0.47 to < 0.80 mmol/L	< 0.47 mmol/L
	3.5 to 4.5 mg/dL	2.5 to < 3.5 mg/dL	1.5 to < 2.5 mg/dL	< 1.5 mg/dL
	1.12 to 1.46 mmol/L	0.80 to < 1.12 mmol/L	0.47 to < 0.80 mmol/L	< 0.47 mmol/L
Hyperbilirubinemia				
<b>Adult and Pediatric</b>	> 1.0 to 1.5 × ULN	> 1.5 to 2.5 × ULN	> 2.5 to 5.0 × ULN	> 5.0 × ULN
<b>&gt; 14 Days</b>				
<b>Infant, ≤ 14 Days</b> (non-hemolytic)	NA	20.0 to 25.0 mg/dL	> 25.0 to 30.0 mg/dL	> 30.0 mg/dL
		342 to 428 μmol/L	> 428 to 513 μmol/L	> 513 μmol/L
<b>Infant, ≤ 14 Days</b> (hemolytic)	NA	NA	20.0 to 25.0 mg/dL	> 25.0 mg/dL
			342 to 428 μmol/L	> 428 μmol/L
Blood Urea Nitrogen	1.25 to 2.50 × ULN	> 2.50 to 5.00 × ULN	> 5.00 to 10.00 × ULN	> 10.00 × ULN
Hyperuricemia	>ULN to 10.0 mg/dL	> 10.0 to 12.0 mg/dL	> 12.0 to 15.0 mg/dL	> 15.0 mg/dL
	>ULN to 597 μmol/L	> 597 to 716 μmol/L	> 716 to 895 μmol/L	> 895 μmol/L

CHEMISTRY				
	Grade 1	Grade 2	Grade 3	Grade 4
Hypouricemia	1.5 mg/dL to < LLN 87 µmol/L to < LLN	1.0 to < 1.5 mg/dL 57 to < 87 µmol/L	0.5 to < 1.0 mg/dL 27 to < 57 µmol/L	< 0.5 mg/dL < 27 µmol/L
Creatinine	> 1.50 to 2.00 mg/dL > 133 to 177 µmol/L	> 2.00 to 3.00 mg/dL > 177 to 265 µmol/L	> 3.00 to 6.00 mg/dL > 265 to 530 µmol/L	> 6.00 mg/dL > 530 µmol/L
Bicarbonate	16.0 mEq/L to < LLN 16.0 mmol/L to < LLN	11.0 to < 16.0 mEq/L 11.0 to < 16.0 mmol/L	8.0 to < 11.0 mEq/L 8.0 to < 11.0 mmol/L	< 8.0 mEq/L < 8.0 mmol/L
Triglycerides (Fasting)	NA	500 to 750 mg/dL 5.64–8.47 mmol/L	> 750 to 1200 mg/dL > 8.47–13.55 mmol/L	> 1200 mg/dL > 13.55 mmol/L
LDL (Fasting)	130 to 160 mg/dL 3.35 to 4.15 mmol/L	>160 to 190 mg/dL >4.15 to 4.92 mmol/L	> 190 mg/dL >4.92 mmol/L	NA
Pediatric >2 to <18 years	110 to 130 mg/dL 2.84 to 3.37 mmol/L	>130 to 190 mg/dL >3.37 to 4.92 mmol/L	> 190 mg/dL >4.92 mmol/L	NA
Hypercholesterolemia (Fasting)	200 to 239 mg/dL 5.16 to 6.19 mmol/L	> 239 to 300 mg/dL > 6.19 to 7.77 mmol/L	> 300 mg/dL > 7.77 mmol/L	NA
<b>Pediatric &lt; 18 Years</b>	170 to 199 mg/dL 4.39 to 5.15 mmol/L	> 199 to 300 mg/dL > 5.15 to 7.77 mmol/L	> 300 mg/dL > 7.77 mmol/L	NA
Creatine Kinase	3.0 to < 6.0 × ULN	6.0 to < 10.0 × ULN	10.0 to < 20.0 × ULN	≥ 20.0 × ULN

\* Calcium should be corrected for albumin if albumin is < 4.0 g/dL

<b>ENZYMES</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
AST (SGOT)	1.25 to 2.50 × ULN	> 2.50 to 5.00 × ULN	> 5.00 to 10.00 × ULN	> 10.00 × ULN
ALT (SGPT)	1.25 to 2.50 × ULN	> 2.50 to 5.00 × ULN	> 5.00 to 10.00 × ULN	> 10.00 × ULN
GGT	1.25 to 2.50 × ULN	> 2.50 to 5.00 × ULN	> 5.00 to 10.00 × ULN	> 10.00 × ULN
Alkaline Phosphatase	1.25 to 2.50 × ULN	> 2.50 to 5.00 × ULN	> 5.00 to 10.00 × ULN	> 10.00 × ULN
Total Amylase	> 1.0 to 1.5 × ULN	> 1.5 to 2.0 × ULN	> 2.0 to 5.0 × ULN	> 5.0 × ULN
Pancreatic Amylase	> 1.0 to 1.5 × ULN	> 1.5 to 2.0 × ULN	> 2.0 to 5.0 × ULN	> 5.0 × ULN
Lipase	> 1.0 to 1.5 × ULN	> 1.5 to 3.0 × ULN	> 3.0 to 5.0 × ULN	> 5.0 × ULN
Albumin	3.0 g/dL to < LLN 30 g/L to < LLN	2.0 to < 3.0 g/dL 20 to < 30 g/L	< 2.0 g/dL < 20 g/L	NA



URINALYSIS				
	Grade 1	Grade 2	Grade 3	Grade 4
Hematuria (Dipstick)	1+	2+	3-4+	NA
Hematuria (Quantitative)				
See Note below				
Females	>ULN - 10 RBC/HPF	> 10-75 RBC/HPF	> 75 RBC/HPF	NA
Males	6-10 RBC/HPF	> 10-75 RBC/HPF	> 75 RBC/HPF	NA
Proteinuria (Dipstick)	1+	2-3+	4+	NA
Proteinuria, 24 Hour Collection				
<b>Adult and Pediatric     ≥ 10 Years</b>	200 to 999 mg/24 h	>999 to 1999 mg/24 h	>1999 to 3500 mg/24 h	> 3500 mg/24 h
<b>Pediatric &gt; 3 Mo to     &lt; 10 Years</b>	201 to 499 mg/m <sup>2</sup> /24 h	>499 to 799 mg/m <sup>2</sup> /24 h	>799 to 1000 mg/m <sup>2</sup> /24 h	> 1000 mg/ m <sup>2</sup> /24 h
Glycosuria (Dipstick)	1+	2-3+	4+	NA

Notes:

Toxicity grades for Quantitative and Dipstick Hematuria will be assigned by Covance Laboratory, however for other laboratories, toxicity grades will only be assigned to Dipstick Hematuria.

With the exception of lipid tests, any graded laboratory test with a result that is between the LLN and ULN should be assigned Grade 0.

If the severity of a clinical AE could fall under either one of two grades (e.g., the severity of an AE could be either Grade 2 or Grade 3), select the higher of the two grades for the AE.

CARDIOVASCULAR				
	Grade 1	Grade 2	Grade 3	Grade 4
Cardiac Arrhythmia (general)  (By ECG or physical exam)	Asymptomatic AND No intervention indicated	Asymptomatic AND Non-urgent medical intervention indicated	Symptomatic, non-life-threatening AND Non-urgent medical intervention indicated	Life-threatening arrhythmia OR Urgent intervention indicated
Cardiac-ischemia/Infarction	NA	NA	Symptomatic ischemia (stable angina) OR Testing consistent with ischemia	Unstable angina OR Acute myocardial infarction
Hemorrhage (significant acute blood loss)	NA	Symptomatic AND No transfusion indicated	Symptomatic AND Transfusion of ≤ 2 units packed RBCs (for children ≤ 10 cc/kg) indicated	Life-threatening hypotension OR Transfusion of > 2 units packed RBCs indicated (for children ≤ 10 cc/kg) indicated
Hypertension (with repeat testing at same visit)	140–159 mmHg systolic OR 90–99 mmHg diastolic	> 159–179 mmHg systolic OR > 99–109 mmHg diastolic	> 179 mmHg systolic OR > 109 mmHg diastolic	Life-threatening consequences (eg, malignant hypertension) OR Hospitalization (other than ER visit) indicated
<b>Pediatric ≤ 17 Years</b> (with repeat testing at same visit)	NA	91st–94th percentile adjusted for age, height, and gender (systolic and/or diastolic)	≥ 95th percentile adjusted for age, height, and gender (systolic and/or diastolic)	Life-threatening consequences (eg, malignant hypertension) OR Hospitalization indicated (other than emergency room visit)
Hypotension	NA	Symptomatic, corrected with oral fluid replacement	Symptomatic, IV fluids indicated	Shock requiring use of vasopressors or mechanical assistance to maintain blood pressure
Pericardial Effusion	Asymptomatic, small effusion requiring no intervention	Asymptomatic, moderate or larger effusion requiring no intervention	Effusion with non-life-threatening physiologic consequences OR Effusion with nonurgent intervention indicated	Life-threatening consequences (eg, tamponade) OR Urgent intervention indicated

<b>CARDIOVASCULAR</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Prolonged PR Interval  <b>Pediatric ≤ 16 Years</b>	PR interval 0.21 to 0.25 sec  1st degree AV block (PR > normal for age and rate)	PR interval > 0.25 sec  Type I 2nd degree AV block	Type II 2nd degree AV block OR Ventricular pause > 3.0 sec  Type II 2nd degree AV block	Complete AV block  Complete AV block
Prolonged QTc  <b>Pediatric ≤ 16 Years</b>	Asymptomatic, QTc interval 0.45 to 0.47 sec OR Increase interval < 0.03 sec above baseline  Asymptomatic, QTc interval 0.450 to 0.464 sec	Asymptomatic, QTc interval 0.48 to 0.49 sec OR Increase in interval 0.03 to 0.05 sec above baseline  Asymptomatic, QTc interval 0.465 to 0.479 sec	Asymptomatic, QTc interval ≥ 0.50 sec OR Increase in interval ≥ 0.06 sec above baseline  Asymptomatic, QTc interval ≥ 0.480 sec	Life-threatening consequences, eg, Torsade de pointes or other associated serious ventricular dysrhythmia  Life-threatening consequences, eg, Torsade de pointes or other associated serious ventricular dysrhythmia
Thrombosis/Embolism	NA	Deep vein thrombosis AND No intervention indicated (eg, anticoagulation, lysis filter, invasive procedure)	Deep vein thrombosis AND Intervention indicated (eg, anticoagulation, lysis filter, invasive procedure)	Embolic event (eg, pulmonary embolism, life-threatening thrombus)
Vasovagal Episode (associated with a procedure of any kind)	Present without loss of consciousness	Present with transient loss of consciousness	NA	NA
Ventricular Dysfunction (congestive heart failure, CHF)	NA	Asymptomatic diagnostic finding AND intervention indicated	New onset with symptoms OR Worsening symptomatic CHF	Life-threatening CHF

<b>RESPIRATORY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Bronchospasm (acute)	FEV1 or peak flow reduced to 70% to 80%	FEV1 or peak flow 50% to 69%	FEV1 or peak flow 25% to 49%	Cyanosis OR FEV1 or peak flow < 25% OR Intubation
Dyspnea or Respiratory Distress	Dyspnea on exertion with no or minimal interference with usual social & functional activities	Dyspnea on exertion causing greater than minimal interference with usual social & functional activities	Dyspnea at rest causing inability to perform usual social & functional activities	Respiratory failure with ventilatory support indicated
<b>Pediatric &lt; 14 Years</b>	Wheezing OR minimal increase in respiratory rate for age	Nasal flaring OR Intercostal retractions OR Pulse oximetry 90% to 95%	Dyspnea at rest causing inability to perform usual social & functional activities OR Pulse oximetry < 90%	Respiratory failure with ventilatory support indicated

<b>OCULAR/VISUAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Uveitis	Asymptomatic but detectable on exam	Symptomatic anterior uveitis OR Medical intervention indicated	Posterior or pan-uveitis OR Operative intervention indicated	Disabling visual loss in affected eye(s)
Visual Changes (from baseline)	Visual changes causing no or minimal interference with usual social & functional activities	Visual changes causing greater than minimal interference with usual social & functional activities	Visual changes causing inability to perform usual social & functional activities	Disabling visual loss in affected eye(s)

<b>SKIN</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Alopecia	Thinning detectable by study participant or caregiver (for disabled adults)	Thinning or patchy hair loss detectable by health care provider	Complete hair loss	NA
Cutaneous Reaction – Rash	Localized macular rash	Diffuse macular, maculopapular, or morbilliform rash OR Target lesions	Diffuse macular, maculopapular, or morbilliform rash with vesicles or limited number of bullae OR Superficial ulcerations of mucous membrane limited to one site	Extensive or generalized bullous lesions OR Stevens-Johnson syndrome OR Ulceration of mucous membrane involving two or more distinct mucosal sites OR Toxic epidermal necrolysis (TEN)
Hyperpigmentation	Slight or localized	Marked or generalized	NA	NA
Hypopigmentation	Slight or localized	Marked or generalized	NA	NA
Pruritis (itching – no skin lesions)  (See also Injection Site Reactions: Pruritis associated with injection)	Itching causing no or minimal interference with usual social & functional activities	Itching causing greater than minimal interference with usual social & functional activities	Itching causing inability to perform usual social & functional activities	NA

<b>GASTROINTESTINAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Anorexia	Loss of appetite without decreased oral intake	Loss of appetite associated with decreased oral intake without significant weight loss	Loss of appetite associated with significant weight loss	Life-threatening consequences OR Aggressive intervention indicated [eg, tube feeding or total parenteral nutrition]
Ascites	Asymptomatic	Symptomatic AND Intervention indicated (eg, diuretics or therapeutic paracentesis)	Symptomatic despite intervention	Life-threatening consequences
Cholecystitis	NA	Symptomatic AND Medical intervention indicated	Radiologic, endoscopic, or operative intervention indicated	Life-threatening consequences (eg, sepsis or perforation)
Constipation	NA	Persistent constipation requiring regular use of dietary modifications, laxatives, or enemas	Obstipation with manual evacuation indicated	Life-threatening consequences (eg, obstruction)
Diarrhea				
<b>Adult and Pediatric            ≥ 1 Year</b>	Transient or intermittent episodes of unformed stools OR Increase of ≤ 3 stools over baseline/24 hr	Persistent episodes of unformed to watery stools OR Increase of 4–6 stools over baseline per 24 hrs.	Bloody diarrhea OR Increase of ≥ 7 stools per 24-hour period OR IV fluid replacement indicated	Life-threatening consequences (eg, hypotensive shock)
<b>Pediatric &lt; 1 Year</b>	Liquid stools (more unformed than usual) but usual number of stools	Liquid stools with increased number of stools OR Mild dehydration	Liquid stools with moderate dehydration	Liquid stools resulting in severe dehydration with aggressive rehydration indicated OR Hypotensive shock

<b>GASTROINTESTINAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Dysphagia-Odynophagia	Symptomatic but able to eat usual diet	Symptoms causing altered dietary intake without medical intervention indicated	Symptoms causing severely altered dietary intake with medical intervention indicated	Life-threatening reduction in oral intake
Mucositis/Stomatitis (clinical exam)  See also Proctitis, Dysphagia-Odynophagia	Erythema of the mucosa	Patchy pseudomembranes or ulcerations	Confluent pseudomembranes or ulcerations OR Mucosal bleeding with minor trauma	Tissue necrosis OR Diffuse spontaneous mucosal bleeding OR Life-threatening consequences (eg, aspiration, choking)
Nausea	Transient (< 24 hours) or intermittent nausea with no or minimal interference with oral intake	Persistent nausea resulting in decreased oral intake for 24–48 hours	Persistent nausea resulting in minimal oral intake for > 48 hours OR Aggressive rehydration indicated (eg, IV fluids)	Life-threatening consequences (eg, hypotensive shock)
Pancreatitis	NA	Symptomatic AND Hospitalization not indicated (other than ER visit)	Symptomatic AND Hospitalization indicated (other than ER visit)	Life-threatening consequences (eg, sepsis, circulatory failure, hemorrhage)
Proctitis (functional-symptomatic)  Also see Mucositis/Stomatitis for Clinical Exam	Rectal discomfort AND No intervention indicated	Symptoms causing greater than minimal interference with usual social & functional activities OR Medical intervention indicated	Symptoms causing inability to perform usual social/functional activities OR Operative intervention indicated	Life-threatening consequences (eg, perforation)
Vomiting	Transient or intermittent vomiting with no or minimal interference with oral intake	Frequent episodes of vomiting with no or mild dehydration	Persistent vomiting resulting in orthostatic hypotension OR Aggressive rehydration indicated	Life-threatening consequences (eg, hypotensive shock)

<b>NEUROLOGICAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Alteration in Personality-Behavior or in Mood (eg, agitation, anxiety, depression, mania, psychosis)	Alteration causing no or minimal interference with usual social & functional activities	Alteration causing greater than minimal interference with usual social & functional activities	Alteration causing inability to perform usual social & functional activities	Behavior potentially harmful to self or others (eg, suicidal/homicidal ideation or attempt, acute psychosis) OR Causing inability to perform basic self-care functions
Altered Mental Status  For Dementia, see Cognitive and Behavioral/Attentional Disturbance (including dementia and ADD)	Changes causing no or minimal interference with usual social & functional activities	Mild lethargy or somnolence causing greater than minimal interference with usual social & functional activities	Confusion, memory impairment, lethargy, or somnolence causing inability to perform usual social & functional activities	Delirium OR obtundation, OR coma
Ataxia	Asymptomatic ataxia detectable on exam OR Minimal ataxia causing no or minimal interference with usual social & functional activities	Symptomatic ataxia causing greater than minimal interference with usual social & functional activities	Symptomatic ataxia causing inability to perform usual social & functional activities	Disabling ataxia causing inability to perform basic self-care functions
Cognitive and Behavioral/Attentional Disturbance (including dementia and Attention Deficit Disorder)	Disability causing no or minimal interference with usual social & functional activities OR Specialized resources not indicated	Disability causing greater than minimal interference with usual social & functional activities OR Specialized resources on part-time basis indicated	Disability causing inability to perform usual social & functional activities OR Specialized resources on a full-time basis indicated	Disability causing inability to perform basic self-care functions OR Institutionalization indicated
CNS Ischemia (acute)	NA	NA	Transient ischemic attack	Cerebral vascular accident (CVA, stroke) with neurological deficit



<b>NEUROLOGICAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Developmental delay – <b>Pediatric ≤ 16 Years</b>	Mild developmental delay, either motor or cognitive, as determined by comparison with a developmental screening tool appropriate for the setting	Moderate developmental delay, either motor or cognitive, as determined by comparison with a developmental screening tool appropriate for the setting	Severe developmental delay, either motor or cognitive, as determined by comparison with a developmental screening tool appropriate for the setting	Developmental regression, either motor or cognitive, as determined by comparison with a developmental screening tool appropriate for the setting
Headache	Symptoms causing no or minimal interference with usual social & functional activities	Symptoms causing greater than minimal interference with usual social & functional activities	Symptoms causing inability to perform usual social & functional activities	Symptoms causing inability to perform basic self-care functions OR Hospitalization indicated (other than ER visit) OR Headache with significant impairment of alertness or other neurologic function
Insomnia	NA	Difficulty sleeping causing greater than minimal interference with usual social/functional activities	Difficulty sleeping causing inability to perform usual social & functional activities	Disabling insomnia causing inability to perform basic self-care functions
Neuromuscular Weakness (including myopathy & neuropathy)	Asymptomatic with decreased strength on exam OR Minimal muscle weakness causing no or minimal interference with usual social & functional activities	Muscle weakness causing greater than minimal interference with usual social & functional activities	Muscle weakness causing inability to perform usual social & functional activities	Disabling muscle weakness causing inability to perform basic self-care functions OR Respiratory muscle weakness impairing ventilation
Neurosensory Alteration (including paresthesia and painful neuropathy)	Asymptomatic with sensory alteration on exam or minimal paresthesia causing no or minimal interference with usual social & functional activities	Sensory alteration or paresthesia causing greater than minimal interference with usual social & functional activities	Sensory alteration or paresthesia causing inability to perform usual social & functional activities	Disabling sensory alteration or paresthesia causing inability to perform basic self-care functions

<b>NEUROLOGICAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Seizure: (new onset)	NA	1 seizure	2–4 seizures	Seizures of any kind that are prolonged, repetitive (eg, status epilepticus), or difficult to control (eg, refractory epilepsy)
Seizure: (pre-existing)  For Worsening of Existing Epilepsy the Grades Should Be Based on an Increase from Previous Level of Control to Any of These Levels	NA	Increased frequency of pre-existing seizures (non-repetitive) without change in seizure character OR infrequent breakthrough seizures while on stable meds in a previously controlled seizure disorder	Change in seizure character from baseline either in duration or quality (eg, severity or focality)	Seizures of any kind that are prolonged, repetitive (eg, status epilepticus), or difficult to control (eg, refractory epilepsy)
Seizure – <b>Pediatric &lt; 18 Years</b>	Seizure, generalized onset with or without secondary generalization, lasting < 5 minutes with < 24 hours post ictal state	Seizure, generalized onset with or without secondary generalization, lasting 5–20 minutes with < 24 hours post ictal state	Seizure, generalized onset with or without secondary generalization, lasting > 20 minutes	Seizure, generalized onset with or without secondary generalization, requiring intubation and sedation
Syncope (not associated with a procedure)	NA	Present	NA	NA
Vertigo	Vertigo causing no or minimal interference with usual social & functional activities	Vertigo causing greater than minimal interference with usual social & functional activities	Vertigo causing inability to perform usual social & functional activities	Disabling vertigo causing inability to perform basic self-care functions

<b>MUSCULOSKELETAL</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Arthralgia See also Arthritis	Joint pain causing no or minimal interference with usual social & functional activities	Joint pain causing greater than minimal interference with usual social & functional activities	Joint pain causing inability to perform usual social & functional activities	Disabling joint pain causing inability to perform basic self-care functions
Arthritis See also Arthralgia	Stiffness or joint swelling causing no or minimal interference with usual social & functional activities	Stiffness or joint swelling causing greater than minimal interference with usual social & functional activities	Stiffness or joint swelling causing inability to perform usual social & functional activities	Disabling joint stiffness or swelling causing inability to perform basic self-care functions
Bone Mineral Loss  <b>Pediatric &lt; 21 Years</b>	BMD t-score or z-score -2.5 to -1.0  BMD z-score -2.5 to -1.0	BMD t-score or z-score < -2.5  BMD z-score < -2.5	Pathological fracture (including loss of vertebral height)  Pathological fracture (including loss of vertebral height)	Pathologic fracture causing life-threatening consequences  Pathologic fracture causing life-threatening consequences
Myalgia (non-injection site)	Muscle pain causing no or minimal interference with usual social & functional activities	Muscle pain causing greater than minimal interference with usual social & functional activities	Muscle pain causing inability to perform usual social & functional activities	Disabling muscle pain causing inability to perform basic self-care functions
Osteonecrosis	NA	Asymptomatic with radiographic findings AND No operative intervention indicated	Symptomatic bone pain with radiographic findings OR Operative intervention indicated	Disabling bone pain with radiographic findings causing inability to perform basic self-care functions

<b>SYSTEMIC</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Acute Systemic Allergic Reaction	Localized urticaria (wheals) with no medical intervention indicated	Localized urticaria with medical intervention indicated OR Mild angioedema with no medical intervention indicated	Generalized urticaria OR Angioedema with medical intervention indicated OR Symptomatic mild bronchospasm	Acute anaphylaxis OR Life-threatening bronchospasm OR laryngeal edema
Chills	Symptoms causing no or minimal interference with usual social & functional activities	Symptoms causing greater than minimal interference with usual social & functional activities	Symptoms causing inability to perform usual social & functional activities	NA
Fatigue Malaise	Symptoms causing no or minimal interference with usual social & functional activities	Symptoms causing greater than minimal interference with usual social & functional activities	Symptoms causing inability to perform usual social & functional activities	Incapacitating fatigue/malaise symptoms causing inability to perform basic self-care functions
Fever (nonaxillary)	37.7°C to 38.6°C 99.8°F to 101.5°F	38.7°C to 39.3°C 101.6°F to 102.8°F	39.4°C to 40.5°C 102.9°F to 104.9°F	> 40.5°C > 104.9°F
Pain- Indicate Body Site See also Injection Site Pain, Headache, Arthralgia, and Myalgia	Pain causing no or minimal interference with usual social & functional activities	Pain causing greater than minimal interference with usual social & functional activities	Pain causing inability to perform usual social & functional activities	Disabling pain causing inability to perform basic self-care functions OR Hospitalization (other than ER visit) indicated
Unintentional Weight Loss	NA	5% to 9% loss in body weight from baseline	10% to 19% loss in body weight from baseline	≥ 20% loss in body weight from baseline OR Aggressive intervention indicated [eg, tube feeding or total parenteral nutrition]

INJECTION SITE REACTION				
	Grade 1	Grade 2	Grade 3	Grade 4
Injection Site Pain (pain without touching)  Or Tenderness (pain when area is touched)	Pain/tenderness causing no or minimal limitation of use of limb	Pain/tenderness limiting use of limb OR Pain/tenderness causing greater than minimal interference with usual social & functional activities	Pain/tenderness causing inability to perform usual social & functional activities	Pain/tenderness causing inability to perform basic self-care function OR Hospitalization (other than ER visit) indicated for management of pain/tenderness
Injection Site Reaction (Localized), > 15 Years  <b>Pediatric ≤ 15 Years</b>	Erythema OR Induration of 5 × 5 cm to 9 × 9 cm (or 25–81 × cm <sup>2</sup> )  <b>Erythema OR Induration OR Edema present but ≤ 2.5 cm diameter</b>	Erythema OR Induration OR Edema > 9 cm any diameter (or > 81 cm <sup>2</sup> )  <b>Erythema OR Induration OR Edema &gt; 2.5 cm diameter but &lt; 50% surface area of the extremity segment (eg, upper arm/thigh)</b>	Ulceration OR Secondary infection OR Phlebitis OR Sterile abscess OR Drainage  <b>Erythema OR Induration OR Edema involving ≥ 50% surface area of the extremity segment (eg, upper arm/thigh) OR Ulceration OR Secondary infection OR Phlebitis OR Sterile abscess OR Drainage</b>	Necrosis (involving dermis and deeper tissue)  <b>Necrosis (involving dermis and deeper tissue)</b>
Pruritis Associated with Injection  See also Skin: Pruritis (itching—no skin lesions)	Itching localized to injection site AND Relieved spontaneously or with < 48 h treatment	Itching beyond the injection site but not generalized OR Itching localized to injection site requiring ≥ 48 h treatment	Generalized itching causing inability to perform usual social & functional activities	NA

<b>ENDOCRINE/METABOLIC</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Lipodystrophy (eg, back of neck, breasts, abdomen)	Detectable by study participant or caregiver (for young children and disabled adults)	Detectable on physical exam by health care provider	Disfiguring OR Obvious changes on casual visual inspection	NA
Diabetes Mellitus	NA	New onset without need to initiate medication OR Modification of current meds to regain glucose control	New onset with initiation of indicated med OR Diabetes uncontrolled despite treatment modification	Life-threatening consequences (eg, ketoacidosis, hyperosmolar non-ketotic coma)
Gynecomastia	Detectable by study participant or caregiver (for young children and disabled adults)	Detectable on physical exam by health care provider	Disfiguring OR Obvious on casual visual inspection	NA
Hyperthyroidism	Asymptomatic	Symptomatic causing greater than minimal interference with usual social & functional activities OR Thyroid suppression therapy indicated	Symptoms causing inability to perform usual social & functional activities OR Uncontrolled despite treatment modification	Life-threatening consequences (eg, thyroid storm)
Hypothyroidism	Asymptomatic	Symptomatic causing greater than minimal interference with usual social & functional activities OR Thyroid replacement therapy indicated	Symptoms causing inability to perform usual social & functional activities OR Uncontrolled despite treatment modification	Life-threatening consequences (eg, myxedema coma)
Lipoatrophy (eg, fat loss from the face, extremities, buttocks)	Detectable by study participant or caregiver (for young children and disabled adults)	Detectable on physical exam by health care provider	Disfiguring OR Obvious on casual visual inspection	NA

<b>GENITOURINARY</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Intermenstrual Bleeding (IMB)	Spotting observed by participant OR Minimal blood observed during clinical or colposcopic exam	Intermenstrual bleeding not greater in duration or amount than usual menstrual cycle	Intermenstrual bleeding greater in duration or amount than usual menstrual cycle	Hemorrhage with life-threatening hypotension OR Operative intervention indicated
Urinary Tract obstruction (eg, stone)	NA	Signs or symptoms of urinary tract obstruction without hydronephrosis or renal dysfunction	Signs or symptoms of urinary tract obstruction with hydronephrosis or renal dysfunction	Obstruction causing life-threatening consequences

<b>INFECTION</b>				
	<b>Grade 1</b>	<b>Grade 2</b>	<b>Grade 3</b>	<b>Grade 4</b>
Infection (any other than HIV infection)	Localized, no systemic anti-infective treatment indicated AND Symptoms causing no or minimal interference with usual social & functional activities	Systemic anti-infective treatment indicated OR Symptoms causing greater than minimal interference with usual social & functional activities	Systemic anti-infective treatment indicated AND Symptoms causing inability to perform usual social & functional activities OR Operative intervention (other than simple incision and drainage) indicated	Life-threatening consequences (eg, septic shock)

**Basic Self-care Functions:** Activities such as bathing, dressing, toileting, transfer/movement, continence, and feeding.

**Usual Social & Functional Activities:** Adaptive tasks and desirable activities, such as going to work, shopping, cooking, use of transportation, pursuing a hobby, etc

## Appendix 4. Tanner Stages

<b>1. Pubic hair (male and female)</b>	
<b>Tanner I</b>	no pubic hair at all (prepubertal Dominic state)
<b>Tanner II</b>	small amount of long, downy hair with slight pigmentation at the base of the penis and scrotum (males) or on the labia majora (females)
<b>Tanner III</b>	hair becomes more coarse and curly, and begins to extend laterally
<b>Tanner IV</b>	adult-like hair quality, extending across pubis but sparing medial thighs
<b>Tanner V</b>	hair extends to medial surface of the thighs
<b>2. Genitals (male) (One standard deviation around mean age)</b>	
<b>Tanner I</b>	Testes, scrotum, and penis about same size and proportion as in early childhood
<b>Tanner II</b>	Enlargement of scrotum and testes; skin of scrotum reddens and changes in texture; little or no enlargement of penis (10.5-12.5)
<b>Tanner III</b>	Enlargement of penis, first mainly in length; further growth of testes and scrotum (11.5-14)
<b>Tanner IV</b>	Increased size of penis with growth in breadth and development of glans; further enlargement of testes and scrotum and increased darkening of scrotal skin (13.5-15)
<b>Tanner V</b>	Genitalia adult in size and shape
<b>3. Breasts (female)</b>	
<b>Tanner I</b>	no glandular tissue: areola follows the skin contours of the chest
<b>Tanner II</b>	breast bud forms, with small area of surrounding glandular tissue; areola begins to widen
<b>Tanner III</b>	breast begins to become more elevated, and extends beyond the borders of the areola, which continues to widen but remains in contour with surrounding breast
<b>Tanner IV</b>	increased breast size and elevation; areola and papilla form a secondary mound projecting from the contour of the surrounding breast
<b>Tanner V</b>	breast reaches final adult size; areola returns to contour of the surrounding breast, with a projecting central papilla.



## **Appendix 5. Pregnancy Precautions, Definition for Female of Childbearing Potential, and Contraceptive Requirements**

### **1. Background**

RBV is contraindicated in pregnancy as significant teratogenic and embryocidal effects have been demonstrated in all animal species tested. Pregnancy must be excluded before the start of treatment with study drugs and prevented thereafter by reliable contraceptive methods. Pregnancy tests will be performed regularly throughout this study. Furthermore, RBV is known to accumulate intracellularly where it is cleared slowly, and is also excreted in semen. Therefore, extreme care must be taken to avoid pregnancy during RBV therapy and for up to 6 months following completion of treatment (7 months for males). Please refer to the latest version of the product insert for additional information.

Non-clinical toxicity studies of sofosbuvir demonstrated no adverse effect on fertility or embryo-fetal development. However, there are no clinical studies of sofosbuvir in pregnant women. Please refer to the latest version of the investigator's brochure for additional information.

### **2. Definition of Female of Childbearing Potential and Contraceptive Requirements for Female Subjects (and their male partners)**

For the purpose of this trial, all post-menarchal females will be considered to be of childbearing potential, unless there is documentation of irreversible ovarian failure or surgical sterilization.

Post menarchal females must have a negative serum pregnancy test at Screening and a negative urine pregnancy test on the Day 1 visit prior to randomization. They must also agree to one of the following from 3 weeks prior to Day 1 until 6 months after last dose of RBV:

- Complete abstinence from intercourse. Periodic abstinence (e.g., calendar, ovulation, symptothermal, post-ovulation methods) is not permitted.

Or

- Consistent and correct use of 1 of the following methods of birth control listed below, in addition to a male partner who correctly uses a condom, from the date of Screening until 6 months after the last dose of RBV:
  - intrauterine device (IUD) with a failure rate of < 1% per year
  - female barrier method: cervical cap or diaphragm with spermicidal agent
  - tubal sterilization
  - vasectomy in male partner

- implants of levonorgestrel
- injectable progesterone
- oral contraceptives (either combined or progesterone only)
- contraceptive vaginal ring
- transdermal contraceptive patch

### **3. Contraceptive Requirements for Male Subjects (and their female partners)**

All male study participants must agree to consistently and correctly use a condom from Baseline until 7 months after the last dose of RBV. If their female partner is of childbearing potential (as defined above), their female partner must use 1 of the methods of birth control listed above from the date of Screening until 7 months after last dose of RBV.

Male subjects must agree to refrain from sperm donation for at least 7 months after the last dose of RBV.

### **4. Procedures to be Followed in the Event of Pregnancy**

Subjects will be instructed to notify the investigator if they become pregnant at any time during the study, or if they become pregnant within 6 months (7 months for partners of male subjects) of last RBV dose. Subjects who become pregnant or who suspect that they are pregnant must report the information to the investigator and discontinue study drug immediately. Subjects whose partner has become pregnant or suspects she is pregnant must report the information to the investigator.

Instructions for reporting pregnancy, partner pregnancy, and pregnancy outcome are outlined in Section 8.5.2.1.