

# **CLINICAL STUDY PROTOCOL**

## **An Open-label, Single-dose Study to Assess the Pharmacokinetics, Safety and Tolerability of Quizartinib in Subjects with Moderate Impaired Hepatic Function as Defined by National Cancer Institute-Organ Dysfunction Working Group (NCI-ODWG Criteria)**

(Quizartinib PK in subjects with moderate hepatic  
impairment)

**PROTOCOL NUMBER: AC220-A-U105**

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**DAIICHI SANKYO, INC**

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## DOCUMENT HISTORY

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## INVESTIGATOR AGREEMENT

### **An Open-label, Single-dose Study to Assess the Pharmacokinetics, Safety and Tolerability of Quizartinib in Subjects with Moderate Impaired Hepatic Function as Defined by National Cancer Institute-organ Dysfunction Working Group (NCI-ODWG Criteria)**

#### **Sponsor Approval:**

This clinical study protocol has been reviewed and approved by then Daiichi Sankyo, Inc. representative listed below.

PPD

Print Name

Signature

PPD

Quantitative Clinical  
Pharmacology

Title

Date (DD MMM YYYY)

#### **Investigator's Signature:**

I have fully discussed the objectives of this study and the contents of this protocol with the Sponsor's representative.

I understand that information contained in or pertaining to this protocol is confidential and should not be disclosed, other than to those directly involved in the execution or the ethical review of the study, without written authorization from the Sponsor. It is, however, permissible to provide information to a subject in order to obtain consent.

I agree to conduct this study according to this protocol and to comply with its requirements, subject to ethical and safety considerations and guidelines, and to conduct the study in accordance with International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH) Guideline for Good Clinical Practice (ICH E6[R2]), which has its foundations in the Declaration of Helsinki, and applicable regional regulatory requirements.

I agree to make available to Sponsor personnel, their representatives and relevant regulatory authorities, my subjects' study records in order to verify the data that I have entered into the case report forms. I am aware of my responsibilities as a Principal Investigator as provided by the Sponsor.

I understand that the Sponsor may decide to suspend or prematurely terminate the study at any time for whatever reason; such a decision will be communicated to me in writing.

Conversely, should I decide to withdraw from execution of the study, I will communicate my intention immediately in writing to the Sponsor.

Print Name

Signature

Principal Investigator

Title

Date (DD MMM YYYY)

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## 1. PROTOCOL SUMMARY

### 1.1. Protocol Synopsis

<b>Protocol Title</b>		
An Open-label, Single-dose Study to Assess the Pharmacokinetics, Safety and Tolerability of Quizartinib in Subjects with Moderate Impaired Hepatic Function as Defined by National Cancer Institute-Organ Dysfunction Working Group (NCI-ODWG Criteria)		
<b>Protocol Short Title</b>		
Quizartinib PK in Subjects with Moderate Hepatic Impairment (HI)		
<b>Protocol Number</b>		
AC220-A-U105		
<b>Sponsor/Collaborators</b>		
Daiichi Sankyo, Inc.		
<b>Registry Identification(s)</b>		
<ul style="list-style-type: none"> <li>NCT Number: NCT04473664</li> </ul>		
<b>IND Number</b>		
074552		
<b>Study Phase</b>		
Phase 1		
<b>Planned Geographical Coverage, Study Sites and Location</b>		
United States, up to 4 sites		
<b>Study Population</b>		
Subjects with moderate HI based on National Cancer Institute-Organ Dysfunction Working Group (NCI-ODWG; for hepatic impaired subjects only) and their healthy matching control subjects. Males and females 18 to 75 years of age (inclusive) with a body mass index (BMI) of 18 kg/m <sup>2</sup> to 37 kg/m <sup>2</sup> . Females must not be pregnant or lactating.		
<b>Study Objectives/Outcome Measures and Endpoints</b>		
The table below lists primary and secondary study objectives and endpoints which have outcome measures.		
<b>Objectives</b>	<b>Outcome Measure/Endpoints</b>	<b>Category</b>
<b>Primary</b>		
To determine plasma pharmacokinetics (PK) of quizartinib in subjects with moderate HI (as defined by NCI-ODWG criteria) compared to subjects with normal hepatic function	Plasma PK parameters, C <sub>max</sub> , AUC <sub>last</sub> , AUC <sub>inf</sub> for quizartinib will be estimated. Additionally, T <sub>max</sub> , CL/F, V <sub>z</sub> /F, and t <sub>1/2</sub> for quizartinib will also be estimated	PK
<b>Secondary</b>		
To determine the plasma PK of the active metabolite AC886 in subjects with moderate HI (as defined by	Plasma PK parameters, C <sub>max</sub> , T <sub>max</sub> , AUC <sub>last</sub> , AUC <sub>inf</sub> , and t <sub>1/2</sub> for AC886 will be estimated. Additionally, metabolite-to-parent ratio (MPR)	PK

NCI-ODWG criteria) compared to subjects with normal hepatic function	based on AUClast and AUCinf for AC886 will be calculated	
To determine the impact of moderate HI (as defined by NCI-ODWG criteria) on plasma protein binding of quizartinib and AC886	Plasma unbound fraction and unbound PK parameters of quizartinib and AC886	PK
To assess the safety and tolerability of quizartinib in subjects with moderate HI (as defined by NCI-ODWG criteria) and in subjects with normal hepatic function	Treatment-emergent adverse events, vital signs, 12-lead electrocardiograms (ECGs), clinical lab tests (chemistry, hematology)	Safety
<b>Study Design</b>		
<p>This is a Phase 1, open-label, multicenter, single-dose PK study to evaluate the effect of a single oral dose of 30 mg quizartinib in subjects with normal hepatic function and subjects with moderate HI (as defined by NCI-ODWG criteria). This study is planned to be conducted at 4 sites in the United States (US).</p> <p>A total of 16 subjects will be assigned to 2 cohorts. Eight subjects will be with moderate HI (as per NCI-ODWG criteria) and 8 subjects will be healthy subjects with normal hepatic function. The healthy subjects will be matched to the HI group for group mean/proportion by gender, age (<math>\pm 10</math> years), and weight (<math>\pm 20\%</math>). The sample sizes are not based on statistical considerations.</p> <p>This study includes a Screening Period up to 22 days prior to the enrollment and a 22-day In-Clinic Period, including screening, each enrolled subject will be in the study for approximately 43 days. The total duration of study conduct will be approximately 10 months.</p> <p>The Screening Period will occur from 22 days prior to Day 1 of the In-Clinic Period. The study start date is the date when the first subject has signed informed consent. A subject is eligible to be enrolled into the interventional phase of the study when the Investigator or designee has obtained written consent, has confirmed all eligibility criteria have been met by the subject, and all screening procedures have been completed.</p> <p>The In-Clinic Period will consist of 22 days. Subjects will be admitted to the clinical site on Day -2 and a single 30 mg dose of quizartinib will be administered orally with 240 mL of water after at least 10 hours of fasting on Day 1. The subjects should continue fasting for 4 hours after dosing. The subjects will be confined at the site until the Day 22 PK samples are collected and will be discharged at the end of study (EOS)/Day 22 (early termination [ET])/Check-out upon completion of all procedures and assessments.</p> <p>Key procedures include safety assessments (monitoring of AEs and concomitant medications, clinical laboratory tests, vital sign measurements, ECGs, and physical examinations), and collection of blood samples for PK parameters that occur at scheduled time points during the study.</p>		
<b>Study Duration</b>		
<p>The study start date is the date when the first subject has signed informed consent. A subject is eligible to be enrolled into this study when the Investigator or designee has obtained written informed consent, has confirmed all eligibility criteria have been met by the subject, and all screening procedures have been completed.</p> <p>Enrollment is planned to occur over approximately 8 months.</p> <p>Anticipated total duration of the study, excluding screening, will be approximately 10 months.</p>		
<b>Key Eligibility Criteria</b>		
<p><b>Key Inclusion Criteria:</b></p> <p>Subjects eligible for inclusion in this study must meet all inclusion criteria for this study. Below is a list limited to the key inclusion criteria:</p> <ul style="list-style-type: none"> <li>Male and female subjects 18 to 75 years of age (inclusive), at the time of consent with a BMI of 18 kg/m<sup>2</sup> to 37 kg/m<sup>2</sup>, inclusive.</li> <li>Subjects with HI are required to have: <ul style="list-style-type: none"> <li>Documented history of chronic liver disease diagnosed by ultrasonography, computed tomography</li> </ul> </li> </ul>		

- scan, liver biopsy, or magnetic resonance imaging or history of chronic (>6 months) hepatitis B virus or hepatitis C virus infection.
- Moderate HI as assessed by NCI-ODWG classification<sup>1</sup>: total bilirubin (TBil) >1.5 to 3 × upper limit of normal (ULN) (not due to Gilbert's syndrome).
- Physical examination findings that are normal or not clinically significant and clinical laboratory evaluations with normal limits or not clinically significant deviations, with exception of findings that in the opinion of the investigator are consistent with the subject's HI.
- Estimated creatinine clearance  $\geq 60$  mL/min by Cockcroft-Gault equation at Screening and Check-in.
- Subjects with known hypertension provided that:
  - They did not have paracentesis in the last 2 months before the start of quizartinib in the study.
  - Are not anticipated to need paracentesis during the period of the study (as assessed by the investigator). Subjects who previously had a shunting procedure or are planned for one are excluded from the study.

**Key Exclusion Criteria:**

Subjects meeting any exclusion criteria for this study will be excluded from this study. Below is a list limited to the key exclusion criteria:

- In the opinion of the investigator, history of a clinically significant illness within 4 weeks prior to administration of quizartinib.
- Diagnosis of or suspicion of long QT syndrome (including family history of long QT syndrome).
- Women who are pregnant or breastfeeding
- Laboratory results (serum chemistry, hematology, and urinalysis) outside the normal range, if considered clinically significant by the investigator.
- History, or presence in the average of triplicate ECGs at Screening and Day -2, of any of the following cardiac conduction abnormalities:
  - **For healthy subjects:** QTcF >450 milliseconds (ms)
  - **For hepatically impaired subjects:** QTcF >470 ms; patient with QTcF 450-470 ms may be enrolled provided their electrolytes values (potassium, calcium, and magnesium) are within normal limits.
  - Evidence of second- or third-degree atrioventricular block
  - Evidence of complete left or right bundle branch block
  - QRS or T wave morphology that could, in the Investigator's opinion, render QT interval assessment unreliable (confirmed with triplicate ECG)
- Use of drugs with a risk of QT interval prolongation or Torsades de Pointes within 14 days of Day -2 (or 5 drug half-lives, if 5 drug half-lives are expected to exceed 14 days).

Additional Exclusion Criteria for Matched Healthy Subjects:

- Any clinically relevant abnormality identified on the physical examination, ECG, vital signs, or laboratory tests at Screening.
- Liver function (aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase of liver origin, gamma-glutamyl transaminase, and TBil) test results above the upper limit of normal at Screening and during Enrollment on Day -2 are exclusionary. If transaminase levels are above ULN at Screening the subject will be excluded and cannot be rescreened.

Additional Exclusion Criteria for Subjects with HI:

- Subjects with active stage 3 or stage 4 encephalopathy.
- Fluctuating or rapidly deteriorating hepatic function as indicated by recent history or worsening of clinical and/or laboratory signs of HI as judged by the investigator.

Investigational Medicinal Product, Dose and Mode of Administration
Quizartinib oral tablet, 30 mg. Single dose of 30 mg to be administered with 240 mL of water after at least 10 hours of fasting on Day 1.
Active Ingredient(s)/INN
Quizartinib hydrochloride
Planned Sample Size
Sixteen subjects will be enrolled. Eight subjects will be with moderate HI (as define by NCI-ODWG criteria) and 8 subjects will be healthy subjects with normal hepatic function. The healthy subjects will be matched to the HI group for group mean/proportion by gender, age ( $\pm 10$ years), and weight ( $\pm 20\%$ ). The sample sizes are not based on statistical considerations. The number of subjects is considered sufficient to achieve the study objectives (see FDA Guidance 2003 <sup>2</sup> ). Subjects who discontinue after receiving study drug will not be replaced unless approved by the Sponsor.

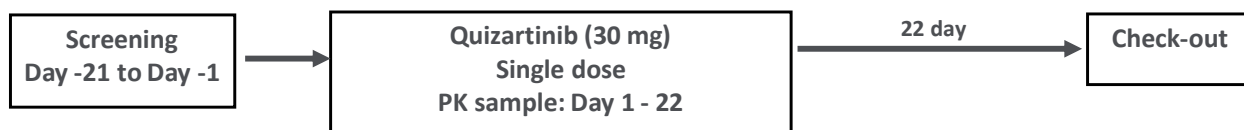
## 1.2. Study Schema

The study schema is presented in [Figure 1.1](#).

**Figure 1.1: Study Level Flow Diagram**

Subjects with moderate HI (as defined by NCI-ODWG) = 8

Healthy control subjects = 8



HI = hepatic impairment, PK = pharmacokinetic

### 1.3. Schedule of Events

The schedule of study activities is in [Table 1.1](#).

**Table 1.1: Schedule of Events**

Study Period →	Screening <sup>a</sup>	Check-in	In-Clinic Period										
Study Day→	-22 to -2	-2	-1	1		2	3	4	5	6 - 21			22
				Hour PostDose									
Study Event ↓ Study Hour →				Pre-dose	<24	24	48	72	96	144 - 480			ET/Check-out <sup>b</sup>
Informed Consent	X												
Admission		X											
Inclusion/Exclusion Criteria	X	X											
NCI-ODWG assessment	X	X <sup>c</sup>											
Child-Pugh assessment		X											
Demographic Information	X												
Medical/Surgical History	X	X											
Complete Physical Examination	X	X											X
Body Weight and BMI <sup>d</sup>	X	X											X
Height	X												
Urine Drugs of Abuse, Cotinine, Alcohol Screen <sup>e</sup>	X	X											
Virology (HBsAg/HIV/HAV/HCV)	X												
Serum Pregnancy Test (WOCBP) <sup>f</sup>	X	X											
FSH <sup>g</sup>	X												
Hematology, Serum Chemistry, Urinalysis <sup>h</sup>	X	X							X				X
Coagulation (PT, INR)	X	X		X					X				X
12-lead ECGs <sup>i</sup>	X	X		X	X	X							X
Vital Signs (BP, pulse, respiratory rate, oral temperature) <sup>j</sup>	X	X		X	X	X							X
Study Drug Administration <sup>k</sup>				X									
PK Blood Samples				X	X <sup>l</sup>	X	X	X	X	X	X	X	X
Protein Binding Sample				X	X <sup>m</sup>								
PGx Sample				X									
Record eGFR	X	X											



Study Period →	Screening <sup>a</sup>	Check-in	In-Clinic Period								
Study Day→	-22 to -2	-2	-1	1	2	3	4	5	6 - 21	22	
				Hour PostDose							
Study Event ↓ Study Hour →				Pre-dose	<24	24	48	72	96	144 - 480	ET/Check-out
AE Monitoring	X	X	←----- continuous -----→								
Prior/Concomitant Medications	←----- continuous -----→										
Clinic Confinement		←----- continuous -----→									

BP = blood pressure; BMI = body mass index; ECG = electrocardiogram; eGFR = estimated glomerular filtration rate; ET = early termination; FSH = follicle-stimulating hormone; HAV = hepatitis A virus; HBsAg = hepatitis B virus surface antigen; HCV = hepatitis C virus; HIV = human immunodeficiency virus; NCI-ODWG = National Cancer Institute Organ Dysfunction Working Group; INR = international normalized ratio; PGx = pharmacogenomic;

PK = pharmacokinetic; PT = prothrombin time; WOCBP = women of childbearing potential

- To be conducted within 21 days prior to dosing
- Following final blood collections; same procedures to be performed at ET as at Check-out
- If a subject's laboratory results need to be repeated because their NCI-ODWG classification has changed from screening, then the subject will be assigned to the HI group after confirmation of laboratory results on 2 out of 3 occasions. In such cases, HI classification must also be confirmed with the Sponsor before dosing the subject.
- BMI at Screening only.
- A breath test is also acceptable for alcohol screening
- Female subjects (WOCBP) only.
- Naturally postmenopausal female subjects only.
- Samples for serum chemistry will be collected after a 10-hour fast.
- Triplicate ECG (at least 2 minutes apart) in the supine position. When a blood collection is scheduled concomitantly with an ECG, the ECG should be taken within 5 to 10 minutes prior to the blood collection at predose, 2, 4, 8 and 24 hours after quizartinib administration.
- Vital signs will be taken in the supine position and should be taken at all ECG time points
- Quizartinib 30 mg will be administered following an overnight fast of 10 hours. Water consumption will be restricted from 1 hour predose to 2 hours postdose, except for the 240 mL of water that is to be administered with quizartinib. Subjects will continue to fast for 4 hour postdose.
- Day 1 through 22 (relative to quizartinib) PK samples for quizartinib will be collected at predose, 1, 2, 4, 6, 8, 12, 24, 48, 72, 96, 144, 216, 288, 360, 432, and 504 hours post-quizartinib dose
- Protein binding samples will be collected at predose and at 4 hours postdose

## **2. INTRODUCTION**

### **2.1. Background**

Quizartinib is a novel oral Class III receptor tyrosine kinase (RTK) inhibitor exhibiting highly potent and selective but reversible inhibition of Feline McDonough sarcoma (FMS)-like tyrosine kinase 3 (FLT3). At clinically relevant concentrations, quizartinib also binds to KIT proto oncogene receptor tyrosine kinase (KIT) (another RTK), but with lower affinity than to FLT3, and has little or no affinity for other RTKs. Quizartinib has been approved in Japan for the treatment of adult patients with relapsed/refractory FLT3-ITD-positive acute myeloid leukemia (AML). Currently quizartinib is being studied alone or in combination with other agents as a treatment for AML and myelodysplastic syndrome (MDS) in adult and pediatric populations.

Following oral administration, the peak exposure of quizartinib and its major circulating active metabolite, (AC886), occurs at a median of approximately 4 hours (range: 2 hours to 8 hours) and 5 hours (range: 4 hours to 120 hours) postdose, respectively. The plasma exposure of quizartinib and AC886 increased proportional with the dose of quizartinib over a dose range of 20 mg to 90 mg. At steady state, AC886 exposure was approximately 60% of the parent steady state exposure. In vitro reaction phenotyping using human liver microsomes and recombinant human cytochrome P450 (CYP) enzymes showed that quizartinib and AC886 are primarily metabolized by CYP3A and have estimated effective half-lives ( $t_{1/2}$ ) of 73 hours and 119 hours, respectively. Additionally, AC886 is also formed from quizartinib by CYP3A.

In a previously conducted dedicated hepatic impairment (HI) study (AC220-016 Clinical Study Report [CSR]) in subjects with mild and moderate HI as defined by Child-Pugh score, quizartinib exposure increased approximately 30% and 15% increase in AUC<sub>inf</sub>, respectively. The total active exposure (AUC) of quizartinib plus AC886 increased in subjects with mild HI by 17%, which was not considered clinically meaningful. Quizartinib can be administered with pH-modifying drugs such as proton pump inhibitors, H<sub>2</sub> antagonists, or antacids, and without regard to food. Strong CYP3A inhibitor (ketoconazole) and moderate CYP3A inhibitor (fluconazole) increased quizartinib AUC by 94% and 20%, respectively. Strong CYP3A inducer rifampin decreased quizartinib AUC by approximately 70%; however, due to bioanalytical issue, the results were not quantitatively conclusive.

This is a Phase 1, open-label, multicenter, single dose study to evaluate the PK of a 30 mg dose of quizartinib in subjects with normal hepatic function and subjects with moderate HI (as defined by National Cancer Institute-Organ Dysfunction Working Group (NCI-ODWG) criteria; See Section 10.7).

### **2.2. Study Rationale**

Study AC220-016 assessed the effects of mild and moderate HI (based on Child-Pugh criteria) on the pharmacokinetics (PK) of quizartinib and AC886, the active metabolite. The study was designed and conducted in accordance the FDA Guidance for Hepatic Impairment Studies using the Child-Pugh classification for HI (observed Child-Pugh score ranged from 5 to 9). However, FDA requires assessment of effect of HI on PK using NCI-ODWG criteria as an alternative to Child-Pugh criteria for oncology drugs. A post hoc analysis was conducted per FDA request by

recategorizing the subjects using NCI-ODWG criteria. Based on the reanalysis, mild HI (as defined by NCI-ODWG criteria) was not expected to have clinical meaningful effect on quizartinib or AC886 PK and no dose adjustment was recommended. No conclusion could be made about the effect of moderate HI (as defined by NCI-ODWG criteria) on quizartinib and AC886 PK since only one subject qualified as moderate based on NCI-ODWG criteria. Therefore, the current study aims to investigate the effect of moderate HI (as defined by NCI-ODWG criteria) on the PK of quizartinib and AC886 following single dose of 30 mg quizartinib.

### **2.3. Benefit and Risk Assessment**

This is a Phase 1 study being conducted in subjects with normal hepatic function and subjects with moderate HI (defined by NCI-ODWG criteria), and, as such, no benefit to the subjects from quizartinib is intended or expected.

In the clinical program, a total of 1,881 subjects had received quizartinib in 23 studies: 1,396 subjects with AML, 13 subjects with solid tumors, and 472 healthy subjects. In addition, 777 subjects have been treated in 6 Investigator-initiated studies. The dose of quizartinib administered in these studies ranged from 12 mg to 450 mg with treatment duration up to 169 weeks. Out of 472 healthy subjects, 307 subjects received single dose of quizartinib. Toxicities observed for quizartinib in healthy subjects (n = 307) following single dose administration were headache ([6.8% subjects), upper respiratory tract infection (2.6% subjects), and diarrhea (2.0% subjects). QT prolongation and combined elevations of alanine aminotransferase (ALT)  $>3 \times$  upper limit of normal (ULN) and total bilirubin (TBil)  $>2 \times$  ULN are the two adverse events of special interest (AESIs) following quizartinib dosing. In approximately 3% of patients with AML in a Phase 3 clinical study with repeated daily dosing, the corrected QT interval (QTc) interval was prolonged to 500 milliseconds (ms) or more.

Of the 241 subjects treated with quizartinib monotherapy in the completed Phase 3 clinical study in adults with relapsed/refractory AML (Study AC220-007), 3.3% were found to have a QT interval corrected with Fridericia's formula (QTcF) interval greater than 500 ms, and 12.4% had an increase from baseline QTcF greater than 60 ms based on central review of electrocardiogram (ECG) data; there were no cases of Torsades de Pointes (TdP), cardiac arrest, or sudden death reported. One reported case of ventricular tachycardia was not associated with QTc prolongation and did not require cardiac intervention. In the remaining completed monotherapy studies in the treatment of relapsed or refractory AML, there was 1 subject in a Phase 2 clinical study who developed non-fatal TdP while receiving a dose of 90 mg, and the event resolved following discontinuation of quizartinib; and one event of fatal cardiac arrest in which a potential arrhythmia event cannot be excluded.

In clinical studies to date, the most common serious adverse reactions are myelosuppressive in nature and include infections, febrile neutropenia, and bleeding. Overall, the most common adverse reactions also included nausea, asthenic conditions, pyrexia, vomiting, and diarrhea. Further, there is a clear association between quizartinib and QT prolongation which occurs in a dose dependent manner. Risk management for QT prolongation in the multiple dose studies includes ECG (QTcF)-based dose modifications (including dose escalation, dose interruption, dose reduction, and dose discontinuation) as well as correction of electrolytes. In summary, the adverse events (AEs) observed with quizartinib treatment could be managed by monitoring, dose

modifications, and/or standard supportive therapies. Overall, quizartinib treatment was well tolerated at the proposed dose and dose regimen in adults with relapsed/refractory AML with FLT3-ITD. Furthermore, based on the results of the transgenic rat mutation assay (TGR) quizartinib is not mutagenic and can be dosed in healthy subjects (report ongoing).

Please refer to the most updated version of the Investigator's Brochure (IB) for the risks associated with quizartinib.

### **3. OBJECTIVES, OUTCOME MEASURES, AND ENDPOINTS**

#### **3.1. Primary Objective/Endpoint**

The primary objective of this study is

- To determine plasma PK of quizartinib in subjects with moderate HI (as defined by NCI-ODWG criteria) compared to subjects with normal hepatic function

The primary endpoints of this study are:

- Plasma PK parameters, C<sub>max</sub>, AUC<sub>last</sub>, AUC<sub>inf</sub> for quizartinib will be estimated
- Additionally, T<sub>max</sub>, CL/F, V<sub>z</sub>/F, and t<sub>1/2</sub> for quizartinib will be estimated

#### **3.2. Secondary Objectives/Endpoints**

The secondary objectives of this study are:

- To determine the plasma PK of AC886 in subjects with moderate HI (as defined by NCI-ODWG criteria) compared to subjects with normal hepatic function
- To determine the impact of moderate HI (as defined by NCI-ODWG criteria) on plasma protein binding of quizartinib and AC886
- To assess the safety and tolerability of quizartinib in subjects with moderate HI (as defined by NCI-ODWG criteria) and in subjects with normal hepatic function

The secondary endpoints of this study are:

- Plasma PK parameters, C<sub>max</sub>, T<sub>max</sub>, AUC<sub>last</sub>, AUC<sub>inf</sub>, and t<sub>1/2</sub> for AC886 will be estimated. Additionally, metabolite-to-parent ratio (MPR) based on AUC<sub>last</sub> and AUC<sub>inf</sub> for AC886 will be calculated
- Plasma unbound fraction and unbound PK parameters of quizartinib and AC886
- Treatment-emergent adverse events (TEAEs), vital signs, 12-lead ECG, and clinical lab tests (chemistry, hematology)

#### **3.3. Rationale for Selection of Primary and Key Secondary Endpoints**

The objectives and endpoints selected for this study are consistent with those used for similar studies.

## **4. STUDY DESIGN**

### **4.1. Overall Design**

This is a Phase 1, open-label, multicenter, single-dose PK study of 30 mg of quizartinib in subjects with normal hepatic function and subjects with moderate HI (as defined by NCI-ODWG criteria). The severity of HI will be assessed by the NCI-ODWG classification. This study is planned to be conducted at up to 4 sites in the United States (US). The subject population is described in Section 5. A flow diagram of study activities is presented in [Figure 1.1](#).

#### **4.1.1. Design Overview**

In this study, a total of 16 subjects will be assigned to 2 cohorts. Eight subjects will have moderate HI (as per NCI-ODWG criteria) and 8 subjects will be healthy subjects with normal hepatic function. The healthy subjects will be matched to the HI group for group mean/proportion by gender, age ( $\pm 10$  years), and weight ( $\pm 20\%$ ). The sample sizes are not based on statistical considerations.

This study includes a Screening Period up to 22 days prior to the enrolment and a 22-day In-Clinic Period, including screening, each enrolled subject will be in the study for approximately 43 days. The total duration of study conduct will be approximately 10 months.

#### **4.1.2. End-of-Study**

At the defined end of study (EOS)/Day 22 (early termination [ET]/Check-out), subjects will have a final PK blood sample taken and safety assessments of AEs, laboratory tests, vital signs, ECGs, and physical examination conducted.

#### **4.1.3. Duration**

The Screening Period will occur from 22 days prior to Day 1 of the In-Clinic Period. The study start date is the date when the first subject has signed informed consent. A subject is eligible to be enrolled into the interventional phase of the study when the Investigator or designee has obtained written consent, has confirmed all eligibility criteria have been met by the subject, and all screening procedures have been completed.

#### **4.1.4. Dose Regimen**

The In-Clinic Period will consist of 22 days. Subjects will be admitted to the clinical site on Day -2 and a single 30 mg dose of quizartinib will be administered orally with 240 mL of water after at least 10 hours of fasting on Day 1. The subjects should continue fasting for 4 hours after dosing. The subjects will be confined at the site until the Day 22 PK samples are collected and will be discharged at the EOS (Day 22 [ET/Check-out]), upon completion of all procedures and assessments.

Key procedures include safety assessments (monitoring of AEs and concomitant medications, clinical laboratory tests, vital sign measurements, ECG, and physical examinations), and collection of blood samples for PK parameters that occur at scheduled time points during the study.

## **4.2. Justification for Dose**

Although the highest approved dose for relapse/refractory AML in Japan and highest therapeutic dose in the ongoing first-line AML study (QUANTUM-First study) is 60 mg once daily, quizartinib dose of 30 mg will be investigated in this study. Subjects with HI can have reduced hepatic metabolism which may lead to higher drug exposures. In the completed AC220-016 study, the AUC of quizartinib (30 mg single dose) was increased by approximately 30% and 15% in the mild and moderate HI subjects (Child-Pugh classification), respectively. Additionally, liver function based on the NCI-ODWG scale tends to be on the more severe categories compared to the Child-Pugh scale. As mentioned above, of the 8 subjects with the moderate HI based on Child-Pugh criteria in AC220-016, only one was classified as moderate by NCI-ODWG criteria. Additionally, out of those 8 subjects, 3 subjects were categorized as normal and 4 subjects as mild by NCI-ODWG criteria. To be prudent, a single oral dose of 30 mg will be used in the current study, the same as in AC220-016. Finally, investigation of the effect of HI at the same dose in both the studies will allow a pooled, comprehensive analysis of the effect of HI (based on NCI-ODWG criteria) on quizartinib PK using data from both the studies (AC220-106 and the current study).

## 5. STUDY POPULATION

This Phase 1 study is being conducted in subjects with moderate HI (as defined by NCI-ODWG criteria) and matched healthy subjects.

### 5.1. Inclusion Criteria

Subjects eligible for inclusion in this study have to meet all inclusion criteria for this study:

1. Voluntarily consents to participate in this study and provides written informed consent before the start of any study-specific procedures.
2. Male and female subjects 18 to 75 years of age (inclusive), with a body mass index (BMI) of 18 kg/m<sup>2</sup> to 37 kg/m<sup>2</sup> (inclusive).
3. In females:
  - Documented surgical sterilization, postmenopausal status for at least 1 year (follicle stimulating hormone [FSH] >40 mIU/mL serum at Screening), or agreement to have sterile male partner, or agreement to use 1 of the protocol-approved means of contraception from Screening until 6 months after the dose of quizartinib. See Section 5.3 Contraception Requirements.
  - Must not retrieve eggs/ova via assisted reproductive technology (ART) either for their own use or donation while on study or for 6 months after the last dose of quizartinib, whichever is later.
4. In males:
  - Documented surgical sterilization, or sexual abstinence, or agreement to use 1 of the protocol-approved means of contraception from Screening until 6 months after the dose of quizartinib. See Section 5.3 Contraception Requirements.
  - Agreement to avoid sperm donation for 6 months after the dose of quizartinib.
5. Subjects must agree to refrain from donation of blood from 56 days prior to Screening, plasma from 2 weeks prior to Screening, and platelets from 6 weeks prior to Screening.
6. Must be willing to refrain from consuming grapefruit/grapefruit juice, Seville oranges, and pomegranates/pomegranate juice 10 days before the dose of the study drug is given on Day 1 until ET/Check-out.
7. Subjects with HI are required to have:
  - a. Documented history of chronic liver disease diagnosed by ultrasonography, computed tomography (CT) scan, liver biopsy, or magnetic resonance imaging or history of chronic (>6 months) hepatitis B virus (HBV) or hepatitis c virus (HCV) infection.
  - b. Moderate HI as assessed by NCI-ODWG classification<sup>1</sup>: TBil >1.5 to 3 × ULN (not due to Gilbert's syndrome).
  - c. Physical examination findings that are normal or not clinically significant and clinical laboratory evaluations with normal limits or not clinically significant



deviations, with exception of findings that in the opinion of the investigator are consistent with the subject's HI.

8. Estimated creatinine clearance (CrCl)  $\geq 60$  mL/min by Cockcroft-Gault equation at Screening and Check-in.
9. Subjects with known hypertension provided that:
  - a. They did not have paracentesis in the last 2 months before the start of quizartinib in the study.
  - b. Are not anticipated to need paracentesis during the period of the study (as assessed by the investigator). Subjects who previously had a shunting procedure or are planned for one are excluded from the study.

## 5.2. Exclusion Criteria

Subjects meeting any exclusion criteria for this study will be excluded from this study.

### Medical History

1. Any serious and/or unstable pre-existing medical, psychiatric disorder or other conditions (including lab abnormality except HI) that could interfere with participant's safety, obtaining informed consent or compliance to the study procedures.
2. Subjects with primary biliary cirrhosis or primary sclerosing cholangitis.
3. Subjects with history of Gilbert's syndrome.
4. Presence or history of clinically severe adverse reaction to any drug.
5. History of stomach or intestinal surgery or resection that would potentially alter absorption and/or excretion of orally administered drugs (with the exception of appendectomy, hernia repair, and/or cholecystectomy).
6. History of any cancer, except non-melanoma skin cancer, or resected non-metastatic cancer with no evidence of disease accepted by the Investigator and Sponsor medical monitor.
7. Bradycardia of less than 45 beats per minute.
8. History of moderate to heavy alcohol use defined as consumption of more than 28 units of alcohol per week for males or 14 units of alcohol per week for females, where 1 unit of alcohol equals one-half pint of beer, 4 ounces (oz) of wine, or 1 oz of spirits, or significant history of alcoholism or drug/chemical abuse within the last 2 years.
9. Diagnosis of or suspicion of long QT syndrome (including family history of long QT syndrome).
10. In the opinion of the investigator, history of a clinically significant illness within 4 weeks prior to administration of quizartinib.

### Medication Use and Lifestyle

11. Concomitant medication (inhibitor or inducer of CYP3A4 [eg, itraconazole, rifampin]) within 2 weeks before dosing and throughout study.

12. Receipt of any prescribed or over-the-counter (OTC) systemic, herbal (including St John's wort), or topical medication within 14 days of quizartinib administration, or any expectation of requiring use of such medication while participating in the study is prohibited.
13. Concomitant use of medications known to affect the elimination of serum creatinine (eg, trimethoprim or cimetidine) and inhibitors of renal tubular secretion (eg, probenecid) within 14 days or 5 half-lives, if known, of the drugs, whichever is greater, prior to quizartinib administration.
14. Use of drugs with a risk of QT interval prolongation or TdP within 14 days of Day -2 (or 5 drug half-lives, if 5 drug half-lives are expected to exceed 14 days).
15. Start of any new medication or any changes to a current dosage within 14 days prior to IMP administration excluding approved oral contraceptives.
16. Consumption of alcohol, xanthine-containing beverages, or foods including regular coffee, regular tea, caffeine-containing soft drinks and energy drinks, and chocolate within 72 hours before Day -2 and throughout the study.

### Laboratory Tests

17. History, or presence in the average of triplicate ECGs at Screening and Day -2, of any of the following cardiac conduction abnormalities:
  - **For healthy subjects:** QTcF >450 ms
  - **For hepatically impaired subjects:** QTcF >470 ms; patient with QTcF 450-470 ms may be enrolled provided their electrolytes values (potassium, calcium, and magnesium) are within normal limits.
  - Evidence of second- or third-degree atrioventricular block
  - Evidence of complete left or right bundle branch block
  - QRS or T wave morphology that could, in the investigator's opinion, render QT interval assessment unreliable (confirmed with triplicate ECG)
18. Laboratory results (serum chemistry, hematology, and urinalysis) outside the normal range, if considered clinically significant by the investigator.
19. Women who are pregnant or breastfeeding.
20. A positive drugs of abuse screen including urine ethanol test (unless the drug is medically prescribed by a licensed health care provider) or alcohol breath test at Screening or at Check-in on Day -2 or a subject who will not agree to smoke  $\leq 10$  cigarettes or equivalent per day from Screening up to Enrollment, and is unable to be restricted to  $\leq 5$  cigarettes per day and for 6 hours postdose during their period of residence in the clinical unit.
21. Positive serology for hepatitis B surface antigen and anti-HCV (healthy subjects), hepatitis A virus immunoglobulin M, or anti-human immunodeficiency virus Type 1 and Type 2 (all subjects).
22. Loss of more than 450 mL blood during the 3 months before the trial (eg, as a blood donor).

23. Current enrollment in or have not yet completed at least 30 days or 5 elimination half-lives, whichever is longer, since receiving an investigational device or product, or receipt of other investigational agents within 30 days of quizartinib.

***Additional Exclusion Criteria for Matched Healthy Subjects:***

24. Any clinically relevant abnormality identified on the physical examination, ECG, vital signs, or laboratory tests at Screening.
25. Liver function (aspartate aminotransferase [AST], ALT, and alkaline phosphatase of liver origin, gamma-glutamyl transaminase, and TBil) test results above the upper limit of normal at Screening and during Enrollment on Day -2 are exclusionary. If transaminase levels are above ULN at Screening the subject will be excluded and cannot be rescreened.

***Additional Exclusion Criteria for Subjects with HI:***

26. Subjects with active stage 3 or stage 4 encephalopathy.
27. Fluctuating or rapidly deteriorating hepatic function as indicated by recent history or worsening of clinical and/or laboratory signs of HI as judged by the Investigator.

### **5.3. Contraception Requirements**

#### **Female Subjects**

Females of childbearing potential must use contraception while enrolled in this study.

From Screening until 6 months after the dose of quizartinib:

- Sterile male partner
- Any of the following with spermicide:
  - Non-hormonal intrauterine device (IUD)
  - Female or male condom
  - Contraceptive sponge
  - Diaphragm
  - Cervical cap

Any of the following (hormonal contraceptives may be started only after Day 22. However, one of the non--hormonal methods listed above must be used in conjunction with the hormonal contraceptive for 2 weeks until the hormonal contraceptive becomes effective and then the hormonal contraceptive must be continued until 6 months after the dose of quizartinib):

- Hormonal IUD
- Intravaginal system
- Oral, implantable, transdermal, or injectable contraceptive

#### **Male Subjects**

Males of reproductive potential must use contraception while enrolled in this study.

From Screening until 6 months after the dose of quizartinib:

- Sterile or postmenopausal female partner

- Male condom with spermicide
- Use of any of the following with spermicide by female partner:
  - Non-hormonal IUD
  - Female condom
  - Contraceptive sponge
  - Diaphragm
  - Cervical cap
- Use of any of the following by female partner:
  - Hormonal IUD
  - Intravaginal system
  - Oral, implantable, transdermal, or injectable contraceptive

#### **5.4. Screening Failures, Rescreening, and Subject Replacement**

Subjects who withdraw or are withdrawn from the study will not be replaced unless approved by the Sponsor. Subjects with HI may be replaced with approval from the Sponsor.

If transaminase levels are above ULN at Screening the subject will be excluded and cannot be rescreened.

If a subject's TBil need to be repeated because their NCI-ODWG classification prior to dosing has been changed from screening, then the subject will be assigned to the HI group (as defined by NCI-ODWG criteria) after confirmation of laboratory results on 2 out of 3 occasions before subject can be dosed. In such cases, HI classification (as defined by NCI-ODWG criteria) must also be confirmed with the Sponsor before dosing the subject.

## 6. STUDY TREATMENT(S)

### 6.1. Study Drug(s) Description

Quizartinib is a white to off-white crystalline powder, dichloride salt, administered orally as a 30 mg tablet (26.5 mg free base).

Table 6.1 describes the formulation, dose, regimen, duration, packaging, and labeling of the study drug.

**Table 6.1: Study Drug Dosing Information**

<b>Study Drug Name</b>	Quizartinib
<b>Dosage Formulation</b>	Tablets
<b>Dosage Level(s)</b>	30 mg
<b>Route of Administration</b>	Oral
<b>Dosing Regimen</b>	30 mg tablet to be administered on Day 1
<b>Duration</b>	Single dose on Day 1
<b>Packaging</b>	Packaging will clearly display the name of product, storage condition, and other required information as applicable in accordance with local regulations
<b>Labeling</b>	Bottles will be labeled as required per local regulatory requirement

Quizartinib will be supplied by the Sponsor.

### 6.2. Preparation, Handling, Storage, and Accountability for Study Drug(s)

#### 6.2.1. Preparation, Handling, and Disposal

The quizartinib will be supplied as tablets.

Procedures for proper handling and disposal should be followed in compliance with the standard operating procedures (SOP) of the site.

#### 6.2.2. Administration

A single 30 mg tablet of quizartinib will be administered with 240 mL of water after at least 10 hours of fasting.

#### 6.2.3. Storage

Quizartinib tablets should be stored at controlled room temperature, 20°C to 25°C (68°F to 77°F) with allowed excursions between 15°C and 30°C (59°F and 86°F) and protected from light. The storage condition of quizartinib drug bottles must be logged daily. If the storage room/cabinet does not have an automated continuous temperature recording system to record the daily temperature data, daily temperature must be monitored and recorded on a temperature log.

#### **6.2.4. Drug Accountability**

When a drug shipment is received, the Investigator or designee will check the amount and condition of the drug against the shipping documentation.

The Receipt of Shipment Form should be faxed as instructed on the form. The original will be retained at the study site.

The investigational pharmacy will maintain a record of study drug inventory.

The investigator or designee will maintain subject ID, lot number and date dispensed on a subject study drug dispensing and accountability log.

### **6.3. Measure to Minimize Bias: Randomization and Blinding**

#### **6.3.1. Method of Treatment Allocation**

There is no randomization in this study. All subjects will be assigned to receive quizartinib on Day 1.

#### **6.3.2. Blinding**

This is an open-label study. No blinding will be required.

### **6.4. Treatment Compliance**

To ensure treatment compliance, the single dose will be administered under the supervision of clinical study personnel. A mouth and hand check must be carried out for all the subjects to ensure that the study medication has been swallowed.

The exact times of investigational medicinal product (IMP) dosing and the number of units administered will be recorded in the electronic case report form (eCRF).

### **6.5. Guidelines for Dose Modification**

Not applicable. No dose modification will be allowed.

## **6.6. Prior and Concomitant Medications**

All therapies received by subjects within 22 days prior to enrollment will be recorded as prior therapies.

All therapies used from the time the subject signs the informed consent form (ICF) for study participation to the ET/Check-out will be recorded as concomitant therapies. Concomitant therapies include all prescription, OTC, and herbal remedies.

Any concomitant medications received from screening through the final study visit (ET or EOS) will be recorded in the eCRF. Concomitant medications and other prescription medications, OTC and herbal products, and dietary supplements, are not permitted unless discussed and allowed by the Sponsor and Medical Monitor.

## **6.7. Prohibited Medications, Dietary Supplements, and Foods**

The following (except medications approved by the Sponsor on a case-by-case basis) are prohibited within 14 days (or 5 drug half-lives, if 5 drug half-lives were expected to exceed 14 days) before Day -2 and throughout the study:

- Hormonal contraceptives and all other prescription medications including CYP3A inducers ([Table 10.3](#)) and inhibitors ([Table 10.2](#)).
- Medical marijuana
- Melatonin and all other OTC products
- Echinacea, ginkgo biloba, ginseng, kava kava, St. John's wort, and all other herbal products
- Vitamins and minerals generally consistent with daily requirements are permitted during the 14 days before Day -2. However, all dietary supplements are prohibited starting on Day -2 and throughout the study.
- Blood oranges, grapefruit, grapefruit juice, pomegranates, pomegranate juice, Seville (bitter) oranges, and star fruit are prohibited for 10 days before the dose of the study drug is given on Day 1 until ET/Check-out..
- Alcohol, xanthine-containing beverages, or foods including regular coffee, regular tea, caffeine-containing soft drinks and energy drinks, and chocolate are prohibited for 72 hours before Day -2 and throughout the study.

## **6.8. Permitted Therapies/Products**

The use of acetaminophen of less than 2 grams/day and 1% topical hydrocortisone for contact dermatitis are acceptable concomitant therapies at any time during the study. Prune juice and stool softeners for constipation may not be given from 2 days prior to quizartinib dosing through the day of quizartinib dosing, but may be given at any time 24 hours after the dose of quizartinib.

## **7. WITHDRAWAL/DISCONTINUATION FROM THE STUDY**

### **7.1. Subject Withdrawal/Discontinuation from the Study**

Subjects may discontinue from the study for any of the following reasons:

- AE
- Withdrawal by subject
- Investigator decision
- Pregnancy
- Protocol deviation
- Study termination by Sponsor

### **7.2. Withdrawal Procedures**

In accordance with the Declaration of Helsinki and other applicable regulations, a subject has the right to withdraw from the study at any time and for any reason without prejudice to his or her future medical care by the study physician or at the study site.

If a subject withdraws from the study, s/he will be required to have ET study procedures performed (refer to Section [4.1.2](#)).

If a subject is withdrawn from the study, the Investigator will complete and report the observations as thoroughly as possible up to the date of withdrawal, including the date of last treatment and the reason for withdrawal.

If the subject is withdrawn due to an AE, the Investigator will follow the subject until the AE has resolved or stabilized.

All subjects who are withdrawn from the study should complete protocol-specified withdrawal procedures.

See [Table 1.1](#) for data to be collected at the time of study discontinuation and for any further evaluations that need to be completed.

### **7.3. Lost to Follow-up**

Subjects will be considered lost to follow-up if he/she leaves the clinical research unit prior to the ET/Check-out and is unable to be contacted by the study site staff. Before a subject is deemed lost to follow-up, the Investigator or designee will make every effort to regain contact with the subject (by telephone call, texts, emails). These contact attempts should be documented.



## **8. STUDY PROCEDURES**

See Schedule of Events (SoE) ([Table 1.1](#)) for the procedures conducted at specific time points during Screening, Check-in, In-Clinic Period, and ET/Check-out.

### **8.1. Eligibility Assessment**

Review the subject's demographics, medical history, vital signs (blood pressure, heart rate, respiratory rate, and temperature), and results of tests (eg, physical examination, ECG, and laboratory assessments) and compare against the eligibility criteria ([Section 5.1](#) and [Section 5.2](#)).

### **8.2. Informed Consent**

Before a subject's participation in the study, it is the Investigator's responsibility to obtain freely given consent, in writing, from the subject after adequate explanation of the aims, methods, anticipated benefits, and potential hazards of the study and before any protocol-specific procedures or any study drugs are administered. Subjects should be given the opportunity to ask questions and receive responses to their inquiries and should have adequate time to decide whether or not to participate in the study. The written ICF should be prepared in the local language(s) of the potential subject population. See [Section 10.1.4](#) for additional details.

### **8.3. General Medical History and Baseline Conditions**

Subject's medical history will be obtained by the Investigator or a qualified designee.

An untoward medical occurrence (including clinically relevant laboratory values/vital signs that are out-of-range) that is noted prior to the first dose of study medication will be recorded on General Medical History and Baseline Conditions eCRF, not the adverse event eCRF.

### **8.4. Demographics**

Review the subject's demographics against the eligibility criteria.

### **8.5. Pharmacokinetic/Pharmacodynamic Assessments**

#### **8.5.1. Pharmacokinetic Assessment(s)**

PK blood samples will be collected, processed, and shipped as detailed in the SoE and in the Laboratory Processing Specification document as detailed in [Section 10.5](#).

Allowable time windows for PK blood samples are provided in [Section 10.7](#).

Quizartinib and AC886 showed temperature- and concentration-dependent partitioning into blood cells; therefore, blood samples must be processed at room temperature for determination of plasma quizartinib and AC886 concentrations.

#### **8.5.2. Pharmacodynamic Assessment(s)**

No pharmacodynamic (PD) assessments are planned for this study.

## **8.6. Safety Assessments**

### **8.6.1. Reporting of Exposure to COVID-19 (SARS-CoV-2)**

All confirmed or suspected coronavirus disease 2019 (COVID-19) events must be recorded in the eCRF.

- Subjects who test positive for COVID-19 should be reported as “Confirmed COVID-19”, either as an AE or serious adverse event (SAE).
- Subjects whose medical history and clinical manifestations, signs, and possible exposure are consistent with COVID-19 but for whom no polymerase chain reaction or antibody test for COVID-19 is available should be reported as “Suspected COVID-19”, either as an AE or SAE.

The usual protocol mandated SAE reporting requirements should be followed for confirmed or suspected COVID-19 (or SARS-CoV-2) as done for any other AE, ie, the investigator should assess whether any seriousness criteria are met per protocol, and appropriate protocol reporting requirements should be followed.

In the event that the Investigator assesses that a COVID-19 case does not meet any seriousness criteria as outlined in the protocol, it should be reported as a non-serious AE in the case report form (CRF).

When assessing the severity of the COVID-19 AE, please use the severity grading criteria as defined in Section 10.4.3 will be used.

All study drug interruption or dose reduction or discontinuation due to the COVID-19 event must be recorded on the AE and drug administration eCRFs.

For both serious and non-serious COVID-related AEs, the following information should be provided as a minimum:

- Date and laboratory results confirming the COVID-19 diagnosis (including viral antigen test and/or antiviral antibody serological test) in the lab eCRF, if available.
- Clinical course of the case including presenting signs, symptoms, exposure, actions taken with the investigational products, medications used for treatment or prophylaxis of COVID-19, and outcome in relevant eCRF (eg, concomitant medication, AE).
- Findings from diagnostic imaging (including CT scan or other chest imaging).

### **8.6.2. Adverse Events**

#### **8.6.2.1. Method to Detect Adverse Events**

The definitions of an AE or SAE can be found in Section 10.4. AEs may be directly observed, reported spontaneously by the subject or by questioning the subject (or, when appropriate, by a caregiver, surrogate, or the subject's legally authorized representative) at each study visit. Subjects should be questioned in a general way, without asking about the occurrence of any specific symptoms. The Investigator must assess all AEs to determine seriousness, severity, and causality. The Investigator and any qualified designees are responsible for detecting,

documenting, and recording events that meet the definition of an AE or SAE and remain responsible for following AEs that are serious, considered related to the study drug or study procedures, or that caused the subject to discontinue the study drug.

All clinical laboratory results, vital signs, and ECG results or findings should be appraised by the Investigator to determine their clinical significance. Isolated abnormal laboratory results, vital sign findings, or ECG findings (ie, not part of a reported diagnosis) should be reported as AEs if they are symptomatic, lead to study drug discontinuation, lead to dose reduction, require corrective treatment, or constitute an AE in the Investigator's clinical judgment.

Medical conditions (including laboratory values/vital signs that are out of range) that were diagnosed or known to exist prior to informed consent will be recorded as part of medical history.

#### **8.6.2.2. Time Period for Collecting Adverse Events, including AESIs and Serious Adverse Events**

All AEs occurring after the subject signs the ICF and up to 30 days after the last dose of study medication whether observed by the Investigator or reported by the subject, will be recorded. All SAEs will be followed until resolution, stabilization, the event is otherwise explained, or the subject is lost to follow-up.

#### **8.6.2.3. Reporting Procedure for Investigators**

All AEs (including AESIs and SAEs) will be reported. All AEs (serious and non-serious) must be reported with the Investigator's assessment of seriousness, severity, and causality to the study drugs.

Always report the diagnosis as the AE or SAE term. When a diagnosis is unavailable, report the primary sign or symptom as the AE or SAE term with additional details included in the narrative until the diagnosis becomes available. If the signs and symptoms are distinct and do not suggest a common diagnosis, report them as individual entries of AE or SAE.

#### **8.6.2.4. Serious Adverse Events Reporting**

The following types of events must be reported by the Investigator within 24 hours of awareness:

- SAEs (Section [10.4.2](#))
- Hepatic events (both serious and non-serious) meeting the laboratory criteria of a potential Hy's Law criteria (as defined in Section [8.6.2.7.1](#)).
- QTcF prolongation, TdP, and other ventricular arrhythmias (as defined in Section [8.6.2.7.2](#))

Details summarizing the course of the SAE, including its evaluation, treatment, and outcome should be provided and updated, as needed. Specific or estimated dates of AE onset, treatment, and resolution should be included. Medical history, concomitant medications, and laboratory data that are relevant to the event should also be summarized in the SAE report. For fatal events, the SAE report should state whether an autopsy was or will be performed and should include the

results if available. Source documents (including medical reports) will be retained at the study site and should not be submitted to the Sponsor for SAE reporting purposes.

Call the local SAE Hotline or your study monitor for any questions on SAE reporting.

See Section 8.6.2.2 for details on the time period for collecting SAEs.

#### **8.6.2.5. Reporting Requirement to Sites and Regulatory Authorities**

The investigator must report all SAEs to the Sponsor and may need to report any suspected unexpected serious adverse reactions occurring in study sites or other studies of quizartinib to the Institutional Review Board (IRB).

The Sponsor will comply with any additional local safety reporting requirements. The Sponsor will assess if an AE is to be considered “unexpected” based on the “Reference Safety Information” section in the current IB.<sup>3</sup>

#### **8.6.2.6. Follow-up for AEs and SAEs**

The Investigator is obligated to perform or arrange for the conduct of supplemental measurements and/or evaluations as medically indicated to elucidate the nature and/or causality of the AE or SAE as fully as possible. This may include additional laboratory tests or investigations.

Urgent safety queries must be followed up and addressed promptly. The Investigator will submit any updated SAE data to the Sponsor within 24 hours of receipt of the information. Follow-up information and response to non-urgent safety queries should be combined for reporting to provide the most complete data possible within each follow-up report.

#### **8.6.2.7. Adverse Events of Special Interest**

Hepatic events which meet the potential Hy’s law criteria defined as the combined elevations of ALT  $>3 \times$  ULN and TBil  $>2 \times$  ULN, and QT prolongation are the two AESIs following quizartinib dosing.

##### **8.6.2.7.1. Hepatic Events**

Hepatic events (both serious and non-serious) which meet the criteria below should be reported as AESI:

- **For healthy subjects:** an elevated (ALT and/or AST)  $\geq 3 \times$  ULN and an elevated TBil  $>2 \times$  ULN,
- **For hepatically impaired subjects:** Taking into consideration the NCI-ODWG criteria for moderate HI (which requires any elevation of ALT or AST and TBil  $>1.5$ - $3 \times$  ULN), worsening of baseline hepatobiliary parameters should be considered. Worsening of baseline values defined as an increase of  $3 \times$  the baseline ALT and/or AST value AND increase of TBil from baseline, with increased TBil values being at least  $>2 \times$  ULN.

These events must be reported with the Investigator’s assessment of seriousness, severity, causality, and a detailed narrative. These events should be reported within 24 hours of

Investigator's awareness of the event regardless of seriousness. A targeted questionnaire will be available as an eCRF to collect relevant additional information for these potential cases. Please refer to Section 10.7 for NCI-ODWG criteria table.

If the subject discontinues study drug due to liver enzyme abnormalities, the subject will have additional clinical and laboratory evaluations as described in Section 10.2 in order to determine the nature and severity of the potential liver injury.

#### **8.6.2.7.2. QTcF Prolongation, Torsades de Pointes, and Other Ventricular Arrhythmias**

Subjects who experience >480 ms QTcF prolongation must be monitored closely with ECGs, performed twice weekly for the first week of the QTcF prolongation and then weekly thereafter until the QTcF prolongation is resolved. QTcF prolongation  $\geq$  Grade 3, either serious or non-serious and whether or not causally related, must be recorded as an AE or SAE in the eCRF within 24 hours of the assessment, with the Investigator's assessment of seriousness, causality, and a detailed narrative.

Monitoring in subjects with QTcF prolongation will include the following:

- Electrolytes (potassium, calcium, and magnesium) should be checked and supplementation given to correct any values outside the normal range.
- Concomitant medications should be reviewed to identify and, if appropriate, discontinue any medication with known QT prolonging effects.

#### **8.6.2.8. Overdose**

An overdose is defined as the accidental or intentional administration of any dose of a product that is considered both excessive and medically important. All occurrences of overdose must be reported to the Sponsor within 24 hours of awareness and recorded via SAVER/overdose form or eCRF.

An "excessive and medically important" overdose includes any overdose in which either an SAE, a non-serious AE, or no AE occurs and is considered by the Investigator as clinically relevant, ie, poses an actual or potential risk to the subject. Occupational exposures must be reported via the SAVER form.

#### **8.6.2.9. Pregnancy**

It is the responsibility of the Investigator or designee to notify the Sponsor of any pregnancy while receiving or within 6 months of the dose of quizartinib in a female subject or a male subject's female partner using the Exposure In Utero (EIU) Reporting Form.

Although pregnancy is not technically an AE, all pregnancies must be followed to conclusion to determine their outcome. If a pregnancy is reported, the Investigator should inform the Sponsor within 24 hours of learning of the pregnancy as this information is important for drug safety and public health concerns.

The Investigator should make every effort to follow the female subject or partner of a male subject (upon obtaining written consent from partner) until completion of the pregnancy and record the complete pregnancy outcome information, including normal delivery or induced

abortion. Any adverse pregnancy outcome, either serious or non-serious, should be reported in accordance with study procedures. If the outcome of the pregnancy meets the criteria for immediate classification as an SAE (ie, post-partum complications, spontaneous or induced abortion, stillbirth, neonatal death, or congenital anomaly, including that in an aborted fetus), the Investigator should follow the procedures for reporting SAEs. For reports of pregnancy in the female partner of a male subject, the EIU Reporting Form (or SAE form if associated with an adverse outcome) should be completed with the subject's ID number, initials, and date of birth, and details regarding the female partner should be entered in the narrative section.

For women of childbearing potential, document the results of a negative serum pregnancy test. For eligibility, a serum pregnancy test must be performed with the results available prior to enrollment.

Toxicities will be graded according to the National Cancer Institute-Common Terminology Criteria for Adverse Events (NCI-CTCAE), version 5.0. If multiple toxicities are encountered, then DLT assessment will be based on the most severe toxicity experienced.

#### **8.6.2.10. Pregnancy Testing**

Women of childbearing potential will have a serum pregnancy test conducted at Screening and the results documented. For eligibility (as defined in Section 5.1), a serum pregnancy test must be performed with the results available prior to enrollment.

Women who are postmenopausal will have an FSH test conducted at Screening.

#### **8.6.3. Clinical Laboratory Evaluations**

Clinical laboratory evaluations will be performed as detailed in the SoE in Section 1.3.

The clinical laboratory tests will include hematology, coagulation, blood chemistry, and urinalysis. Refer to Section 10.2 for the complete list of laboratory parameters.

Allowable time windows for safety laboratory collections are provided in Section 10.7.

Abnormal laboratory values occurring during the clinical study will be followed until repeat test results return to normal (or baseline), stabilize, or are no longer clinically relevant. New or worsened clinically relevant laboratory abnormalities should be recorded as AEs.

Urine drugs of abuse, cotinine, alcohol screen will be tested during the Screening and Check-in. A breath test is also acceptable for alcohol screening

#### **8.6.4. Physical Examinations**

Physical examination will be performed as detailed in the SoE in Section 1.3.

A complete physical examination should include a height (at Screening only), weight, and calculation of BMI, and an evaluation of the head, eyes, ears, nose, and throat and the cardiovascular, dermatological, musculoskeletal, respiratory, gastrointestinal, and neurological systems. Any abnormality identified at baseline should be recorded. At subsequent visits (or as clinically indicated), limited, symptom-directed physical examinations can be performed at the discretion of the investigator. Changes from baseline abnormalities should be collected in the



subject's study record. New or worsened clinically relevant abnormalities should be recorded as AEs.

#### **8.6.5. Vital Signs**

Vital signs will be collected from each subject as detailed in the SoE in Section 1.3.

Allowable time windows for vital sign assessments are provided in Section 10.7.

Vital signs will include the measurements of respiratory rate, heart rate, systolic and diastolic blood pressures, and temperature. Blood pressure and pulse rate will be measured after the subject has rested in a supine position for at least 5 minutes or more and prior to laboratory draws. Vital signs will be taken in the supine position and should be taken at all ECG time points.

#### **8.6.6. Electrocardiograms**

ECGs will be performed as detailed in the SoE in Section 1.3.

Allowable time windows for vital sign assessments are provided in Section 10.7.

Triplicate ECG will be performed (at least 2 minutes apart) in the supine position. When a blood collection is scheduled concomitantly with an ECG, the ECG should be taken within 5 to 10 minutes prior to the blood collection at predose, 2, 4, 8 and 24 hours after quizartinib administration. Abnormal, clinically relevant findings occurring post-baseline will be reported as AEs. Whether or not the measurement is performed, the date the ECG is to be performed and results will be recorded.

### **8.7. Pharmacogenomic (Inherited Genetic) Analysis**

A single blood sample for pharmacogenomic (PGx) analysis will be collected pre-dose on the morning of Day 1. Detailed instructions for the collection, handling, and shipping of samples are outlined in the Laboratory Specifications Document as detailed in Section 10.6.

Genetic analyses will not be performed on blood samples collected for PK or safety assessments. Subject confidentiality will be maintained.

Deoxyribonucleic acid (DNA) samples will be stored, as outlined in Section 8.7.2 for performing possible PGx analysis in the future; otherwise all remaining DNA samples will be destroyed.

#### **8.7.1. Banking of Specimens for Inherited Genetic Analysis**

Procedures for the long-term preservation (banking) of blood and/or DNA specimens extracted from subjects' blood samples for each subject that consented are described in Section 10.6.

The banked samples may be analyzed for genes involved in absorption, distribution, metabolism, elimination, safety, and efficacy of quizartinib. Additionally, samples may be analyzed for genes involved in quizartinib related signaling pathways, or to examine diseases or physiologic processes related to quizartinib. DNA samples will not be immortalized or sold to anyone. This information may be useful in increasing the knowledge of differences among individuals in the way they respond to the study drug, as well as helping in the development of new drugs or improvement of existing drugs.

### **8.7.2. Storage and Disposal of Specimens**

Banked DNA samples will be stored for a maximum of 15 years after the finalization of the clinical study report for this protocol. These specimens will be kept for PGx analysis in case new genomic or genetic information is obtained in the future regarding the response (PK) to quizartinib, or in case serious adverse drug reactions are noted in a clinical study and PGx analysis is to be conducted for investigation into the cause.

During the storage period, the samples will be coded with labels having no personal information and will not be immortalized or sold to anyone. Subjects will have the right to withdraw consent and have their sample destroyed at any time. However, the data will not be discarded if analysis has been completed before the subject withdraws consent.

### **8.7.3. Disclosure of the Results of Future Pharmacogenetic Analysis**

Because the nature and value of future PGx analysis cannot be known at this time, any results obtained from research involving PGx samples will not be disclosed to the subject or Investigators now or in the future.



## **9. STATISTICAL CONSIDERATIONS**

### **9.1. Statistical Hypothesis**

This is not a hypothesis testing study.

### **9.2. Sample Size Determination**

Sixteen subjects will be enrolled. Eight subjects will be with moderate HI (as defined by NCI-ODWG criteria) and 8 subjects will be healthy subjects with normal hepatic function. The healthy subjects will be matched to the HI group for group mean/proportion by gender, age ( $\pm 10$  years), and weight ( $\pm 20\%$ ). The sample sizes are not based on statistical considerations. The number of subjects is considered sufficient to achieve the study objectives (see FDA Guidance 2003<sup>2</sup>). Subjects who discontinue after receiving study drug will not be replaced unless approved by the Sponsor.

### **9.3. Exposure and Compliance**

As the dose administration is under the control of the study sites, compliance to study medication will not be an issue. Study drug administration will be summarized by subject, treatment, and time of dosing.

### **9.4. Population for Analysis Sets**

#### **9.4.1. Pharmacokinetic Population**

The PK analysis will be performed using the PK Population defined as all evaluable subjects who received 1 dose of study drug and has a measurable concentration.

#### **9.4.2. Safety Population**

The safety analysis will be performed using the Safety Population defined as all subjects who received 1 dose of study drug.

### **9.5. Statistical Analysis**

The statistical analysis plan (SAP) will be developed and finalized before database lock and will describe the subject populations to be included in the analyses, and procedures for accounting for missing, unused, and spurious data. This section is a summary of the planned statistical analyses of the primary and secondary endpoints.

#### **9.5.1. Safety Analyses**

##### **9.5.1.1. Adverse Events**

TEAEs are defined as new AEs that occur after the first dose of study drug or as AEs that were present prior to first dose of study drug but which worsened in severity after the start of study drug. AEs collected 30 days after the last dose of study drug will not be considered TEAEs unless they are treatment-related. AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). An AE will be assigned to the study day in which it started,

even if it resolved on a subsequent day. The incidence of TEAEs will be summarized by treatment group. The number and percentage of subjects reporting TEAEs will be calculated overall, by system organ class, by preferred term, and by treatment group.

TEAEs will be further summarized by CTCAE grade and relationship to study drug. Similarly, the number and percentage of subjects reporting treatment-emergent SAEs and related treatment-emergent SAEs will be tabulated, treatment-emergent AESIs, and TEAEs leading to discontinuation of study drug.

A by-subject AE (including treatment-emergent) data listing including but not limited to verbatim term, preferred term, system organ class, CTCAE grade, and relationship to study drug will be provided. Deaths, SAEs, AESIs, and AEs associated with study drug discontinuation, will be listed.

#### **9.5.1.2. Clinical Laboratory Evaluation**

Descriptive statistics will be provided for the clinical laboratory results by scheduled time of evaluation and by treatment group, as well as for the change from baseline. The baseline value is defined as the last non-missing value before the initial administration of study drug. In addition, mean change from baseline will be presented by treatment group for the maximum and minimum post-treatment values and the values at the ET/Check-out.

Abnormal clinical laboratory results will be graded according to NCI-CTCAE version 5.0, if applicable, and the grade will be presented in a by-subject data listing. A shift table, presenting by treatment group the two-way frequency tabulation for baseline and the worst post-treatment value according to the CTCAE grade, will be provided for clinical laboratory tests. A listing of abnormal clinical laboratory test results deemed of clinical significance or of Grade 3 or 4 will be generated.

#### **9.5.1.3. Electrocardiograms**

Descriptive statistics will be provided for the ECG measurements by scheduled time of evaluation and by treatment group, as well as for the change from baseline. The baseline value is defined as the last non-missing value before the initial administration of study drug. In addition, the number and percentage of subjects with ECG interval values meeting the criteria will be tabulated (eg, QTc  $\leq$ 450 ms, >450 to  $\leq$ 480 ms, >480 ms to  $\leq$ 500 ms, and >500 ms).

A listing of ECG data will be generated.

#### **9.5.1.4. Vital Signs**

Descriptive statistics will be provided for the vital sign measurements by scheduled time of evaluation and by treatment group, as well as for the change from baseline. The baseline value is defined as the last non-missing value before the initial administration of study treatment. A listing of vital sign data will be generated.

#### **9.5.1.5. Other**

Listings of all other safety endpoints (eg, physical examination findings) will be generated.

### 9.5.2. Pharmacokinetic Analysis

PK analysis and statistical analysis of PK endpoints will be conducted in accordance with the protocol, SAP, and the Daiichi Sankyo, Inc. Non-Compartmental Analysis Guidelines.

Descriptive statistics will be presented for plasma concentrations and protein binding at each evaluation time point and for all PK parameters for quizartinib and AC886 by hepatic function group (normal and moderate HI as defined by NCI-ODWG criteria).

#### 9.5.2.1. Pharmacokinetic Parameters

PK and statistical analysis will be performed using appropriate software; eg, Phoenix<sup>TM</sup> WinNonlin<sup>®</sup> (Version 8.1 or higher, Certara, L.P.) and/or SAS<sup>®</sup> (Version 9.4 or higher, SAS Institute Inc.).

The following PK parameters will be calculated for quizartinib and AC886, as applicable:

C <sub>max</sub>	Maximum concentration, determined directly from individual concentration-time data
T <sub>max</sub>	Time to reach maximum concentration
t <sub>1/2</sub>	The observed terminal half-life
AUC <sub>last</sub>	Area under the concentration-time curve from time-zero to the time of the last quantifiable concentration; calculated using the linear trapezoidal rule
AUC <sub>inf</sub>	Area under the concentration-time curve from time-zero extrapolated to infinity
AUC <sub>extr</sub> (%)	The percentage of AUC <sub>inf</sub> based on extrapolation Note: If AUC <sub>extr</sub> is greater than 20%, AUC <sub>inf</sub> and related parameters (CL/F and V <sub>z</sub> /F) for the specific treatment will be summarized with and without subjects for whom AUC <sub>extr</sub> >20%.
CL/F	Clearance after extravascular administration (for quizartinib only)
V <sub>z</sub> /F	Volume of distribution in the terminal phase (for quizartinib only)
MPR AUC <sub>last</sub>	Metabolite-to-parent ratio for AUC <sub>last</sub> , AUC <sub>last</sub> (AC886)/AUC <sub>last</sub>
MPR AUC <sub>inf</sub>	Metabolite-to-parent ratio for AUC <sub>inf</sub> , AUC <sub>inf</sub> (AC886)/AUC <sub>inf</sub>

#### 9.5.2.2. Statistical Analysis of Pharmacokinetic Endpoints

Plasma concentration-time data will be analyzed using noncompartmental methods and summarized with descriptive statistics.

In the primary analysis, C<sub>max</sub>, AUC<sub>last</sub>, and AUC<sub>inf</sub> will be compared for subjects in the moderate HI group (as defined by NCI-ODWG criteria) to those of normal hepatic function. Note that when percent extrapolated AUC (%AUC<sub>extr</sub>) is greater than 20%, AUC<sub>inf</sub> and related parameters will be summarized with and without those individuals having >20% AUC<sub>extr</sub>. These comparisons between the moderate HI group (as defined by NCI-ODWG criteria) with the healthy control group will be made using an analysis of variance model on logarithm-transformed C<sub>max</sub>, AUC<sub>last</sub>, and AUC<sub>inf</sub>. The resulting point estimates (least-squares means) differences (HI/normal hepatic function), and 90% confidence intervals

(CIs) for the difference will be exponentiated to get the ratio and corresponding 90% CI of the ratio on the untransformed scale and will be presented for each HI cohort (normal and moderate HI as defined by NCI-ODWG criteria).

Each comparison will also be made by performing an analysis of covariance (ANCOVA) on the logarithm-transformed C<sub>max</sub>, AUC<sub>last</sub>, and AUC<sub>inf</sub> including the hepatic function, sex, age, and weight as factors. Within the framework of ANCOVA, the estimate and 90% CI will be provided for the ratio of central values between the HI group and normal hepatic function group.

## **9.6. Interim Analyses**

PK and safety analyses may be conducted as ongoing basis.

## **10. APPENDICES - SUPPORTING DOCUMENTATION AND OPERATIONAL CONSIDERATIONS**

### **10.1. Appendix 1 Regulatory and Ethical Considerations**

#### **10.1.1. Regulatory Compliance**

The study protocol, the IB, available safety information, recruitment procedures (eg, advertisements), subject information and consent form, any subject written instructions to be given to the subject, information about payments and compensation available to the subjects, and documentation evidencing the Investigator's qualifications should be submitted to the independent IRB for ethical review and approval according to local regulations, prior to the study start. The written approval should identify all documents reviewed by name and version.

Changes in the conduct of the study or planned analysis will be documented in a protocol amendment and/or the SAP. Written approval of all protocol amendments and changes to any of the above listed documents must be obtained from the IRB.

The Investigator should notify the IRB of deviations from the protocol or SAEs occurring at the study site and other AE reports in accordance with local procedures.

The Sponsor will appoint all Investigators. Among other possible duties, each Investigator will be responsible for reviewing the Final Clinical Study Report and testifying to the accuracy of the description of the study conduct. Because the Investigators should have personal knowledge of the conduct of the study, they will normally be chosen from among those investigators who have enrolled and treated at least one subject. However, where any Investigator has special knowledge of the field or of the study, the Investigator can be chosen prior to enrollment of the first subject. In all cases, the Investigator must be chosen prior to locking the data base.

#### **10.1.2. Compliance Statement, Ethics, and Regulatory Compliance**

This study will be conducted in compliance with the protocol, the ethical principles that have their origin in the Declaration of Helsinki, the International Council for Harmonization (ICH) consolidated Guideline E6 for Good Clinical Practice (GCP) (CPMP/ICH/135/95), and applicable regulatory requirement(s) including the following:

- US Food and Drug Administration (FDA) GCP Regulations: Code of Federal Regulations Title 21, parts 11, 50, 54, 56 and 312 as appropriate and/or;
- Other applicable local regulations.

In addition, the Investigator will inform the Sponsor in writing within 24 hours of any urgent safety measures taken by the Investigator to protect the study subjects against any immediate hazard, and of any suspected/actual serious GCP non-compliance that the Investigator becomes aware of.

#### **10.1.3. Supply of New Information Affecting the Conduct of the Study**

When new information becomes available that may adversely affect the safety of subjects or the conduct of the study, the Sponsor will inform all Investigators involved in the clinical study,

IRBs, and regulatory authorities of such information, and when needed, will amend the protocol and/or subject information.

The Investigator should immediately inform the subject whenever new information becomes available that may be relevant to the subject's consent or may influence the subject's willingness to continue participation in the study. The communication should be documented on medical records, for example, and it should be confirmed whether the subject is willing to remain in the study.

If the subject information is revised, it must be re-approved by the IRB. The Investigator will obtain written informed consent to continue participation with the revised written information even if subjects were already informed of the relevant information. The Investigator or other responsible personnel who provided explanations and the subject should sign and date the revised ICF.

#### **10.1.4. Informed Consent**

In obtaining and documenting informed consent, the Investigator should comply with the applicable regulatory requirements, and should adhere to GCP and to the ethical principles that have their origin in the Declaration of Helsinki. The ICF and any revision(s) should be approved by the IRB prior to being provided to potential subjects.

The subject's written informed consent should be documented in the subject's medical records. The ICF should be signed and personally dated by the subject and by the person who conducted the informed consent discussion (not necessarily the Investigator). The original signed ICF should be retained in accordance with institutional policy, and a copy of the signed ICF should be provided to the subject. The date and time (if applicable) that informed consent was given must be recorded in the eCRF.

If the subject cannot read, then according to ICH GCP Guideline, Section 4.8.9, an impartial witness should be present during the entire informed consent discussion. This witness should sign the ICF after the subject has consented to their participation. By signing the ICF, the witness attests that the information in the ICF and any other written information was adequately explained to and apparently understood by the subject and that informed consent was freely given by the subject.

A separate special consent for inherited genetic analysis will be obtained from subjects in accordance with health authorities in their particular region/country.

Suggested model text for the ICF for the study and any applicable subparts (PK, PD, etc) is provided in the Sponsor's ICF template for the Investigator to prepare the documents to be used at his or her study site. Updates to applicable forms will be communicated via letter from the Sponsor.

For study sites in the US, an additional consent is required for the Health Insurance Portability and Accountability Act.

#### **10.1.5. Subject Confidentiality**

The Investigators and the Sponsor will preserve the confidentiality of all subjects taking part in the study, in accordance with GCP and local regulations.

The Investigator must ensure that the subject's anonymity is maintained. On the eCRFs or other documents submitted to the Sponsor, subjects should be identified by a unique subject identification (SID) as designated by the Sponsor. Documents that are not for submission to the Sponsor (eg, signed ICF) should be kept in strict confidence by the Investigator.

In compliance with ICH GCP Guidelines, it is required that the Investigator and institution permit authorized representatives of the company, of the regulatory agency(s), and the independent IRB/EC direct access to review the subject's original medical records for verification of study-related procedures and data. The Investigator is obligated to inform the subject that his/her study-related records will be reviewed by the above named representatives without violating the confidentiality of the subject.

#### **10.1.6. Data Integrity and Quality Assurance**

##### **10.1.6.1. Monitoring and Inspections**

The Sponsor monitor and regulatory authority inspectors are responsible for contacting and visiting the Investigator for the purpose of inspecting the facilities and, upon request, inspecting the various records of the study (eg, eCRFs, source data, and other pertinent documents).

The verification of adherence to the protocol; completeness, accuracy, and consistency of the data; and adherence to ICH GCP and local regulations on the conduct of clinical research will be accomplished through a combination of onsite visits by the monitor and review of study data remotely. The frequency of the monitoring visit will vary based on the activity at each study site. The monitor is responsible for inspecting the eCRFs and ensuring completeness of the study essential documents. The monitor should have access to subject medical records and other study-related records needed to verify the entries on the eCRFs. Detailed information is provided in the monitoring plan.

The monitor will communicate deviations from the protocol, SOPs, GCP and applicable regulations to the Investigator and will ensure that appropriate action (s) designed to prevent recurrence of the detected deviations is taken and documented.

The Investigator agrees to cooperate with the monitor to ensure that any problems detected in the course of these monitoring visits are addressed to the satisfaction of the Sponsor and documented.

In accordance with ICH GCP and the Sponsor's audit plans, this study may be selected for audit by representatives from the Sponsor. Audit of study site facilities (eg, pharmacy, drug storage areas, laboratories) and review of study related records will occur in order to evaluate the study conduct and compliance with the protocol, ICH GCP, and applicable regulatory requirements. The Investigator should respond to audit findings.

In the event that a regulatory authority informs the Investigator that it intends to conduct an inspection, the Sponsor shall be notified immediately.

##### **10.1.6.2. Data Collection**

An eCRF must be completed for each subject. Screen failure information will be collected at the clinical site in a log. All data collected during the study will be recorded in the individual,

subject-specific eCRF. Instructions will be provided for the completion of the eCRF and any corrections made will be automatically documented via an “audit trail.”

The eCRF should be kept current to enable the study monitor to review the subject’s status throughout the course of the study. Upon completion of the subject’s eCRF, it will be reviewed and signed off by the Investigator via the electronic data capture (EDC) system’s electronic signature. This signature will indicate that the Investigator inspected or reviewed the data in the subject-specific eCRF, the data queries, and the site notifications and agrees with the eCRF content.

#### **10.1.6.3. Data Management**

Each subject will be identified in the database by a unique SID.

To ensure the quality of clinical data across all subjects and study sites, a contract research organization (CRO) Clinical and Data Management review will be performed on subject data according to specifications developed by the Sponsor. Data will be vetted both electronically by programmed data rules within the application and manually. Queries generated by rules and raised by reviewers will be generated within the EDC application. During this review, subject data will be checked for consistency, completeness and any apparent discrepancies.

Data received from external sources such as central laboratories will be reconciled to the clinical database.

All AEs will be coded using MedDRA. SAEs in the clinical database will be reconciled with the safety database.

#### **10.1.6.4. Study Documentation and Storage**

The Investigator will maintain a Signature List of appropriately qualified persons to whom he/she has delegated study duties. All persons authorized to obtain informed consent and make entries and/or corrections on eCRFs will be included on the Signature List.

Investigators will maintain a confidential screening log of all potential study candidates that includes limited information of the subjects, date and outcome of the screening process.

Investigators will be expected to maintain an Enrollment Log of all subjects enrolled in the study indicating their assigned study number.

Investigators will maintain a confidential SID code list. This confidential list of names of all subjects allocated to study numbers on enrolling in the study allows the Investigator to reveal the identity of any subject when necessary.

Source documents are original documents, data, and records from which the subject’s eCRF data are obtained. These include but are not limited to hospital records, clinical and office charts, laboratory and pharmacy records, diaries, microfiches, X-rays, and correspondence.

Electronic CRF entries may be considered source data if the eCRF is the site of the original recording (ie, there is no other written or electronic record of data). In this study, the study eCRF may be used as source documents.

Records of subjects, source documents, monitoring visit logs, data correction forms, eCRFs, inventory of study drug, regulatory documents (eg, protocol and amendments, IRB



correspondence and approvals, approved and signed ICFs, Investigator's Agreement, clinical supplies receipts, distribution and return records), and other Sponsor correspondence pertaining to the study must be kept in appropriate study files at the study site (site specific Trial Master File). Source documents include all recordings and observations or notations of clinical activities and all reports and records necessary for the evaluation and reconstruction of the clinical study. These records will be retained in a secure file for the period required by local laws or regulations or study site policy. Prior to transfer or destruction of these records, the Sponsor must be notified in writing and be given the opportunity to provide further instruction.

#### **10.1.6.5. Record Keeping**

The Investigators and study staff are responsible for maintaining a comprehensive and centralized filing system (site specific Trial Master File) of all study-related (essential) documentation, suitable for inspection at any time by representatives from the Sponsor and/or applicable regulatory authorities. Essential documents include:

- Subject files containing completed eCRFs, ICFs, and supporting source documentation (if kept).
- Study files containing the protocol with all amendments, IB, copies of relevant essential documents required prior to commencing a clinical study, and all correspondence to and from the independent IRB and the Sponsor.
- Records related to the study drug(s) including acknowledgment of receipt at study site, accountability records and final reconciliation and applicable correspondence.

In addition, all original source documents supporting entries in the eCRFs must be maintained and be readily available.

All essential documentation will be retained by the Investigator until at least 2 years after the last approval of a marketing application in an ICH region and until there are no pending or contemplated marketing applications in an ICH region or at least 2 years have lapsed since the formal discontinuation of clinical development of the investigational drug. These documents should be retained for a longer period, however, if required by the applicable laws or regulatory requirements or by an agreement with the Sponsor. It is the responsibility of the Sponsor to inform the Investigator/Institution as to when these documents no longer need to be retained.

Subjects' medical files should be retained in accordance with applicable legislation and in accordance with the maximum period of time permitted by the hospital, institution or private practice.

No study document should be destroyed without prior written agreement between the Sponsor and the Investigator. Should the Investigator wish to assign the study records to another party or move them to another location, he/she must notify the Sponsor in writing of the new responsible person and/or the new location.

#### **10.1.7. Finances**

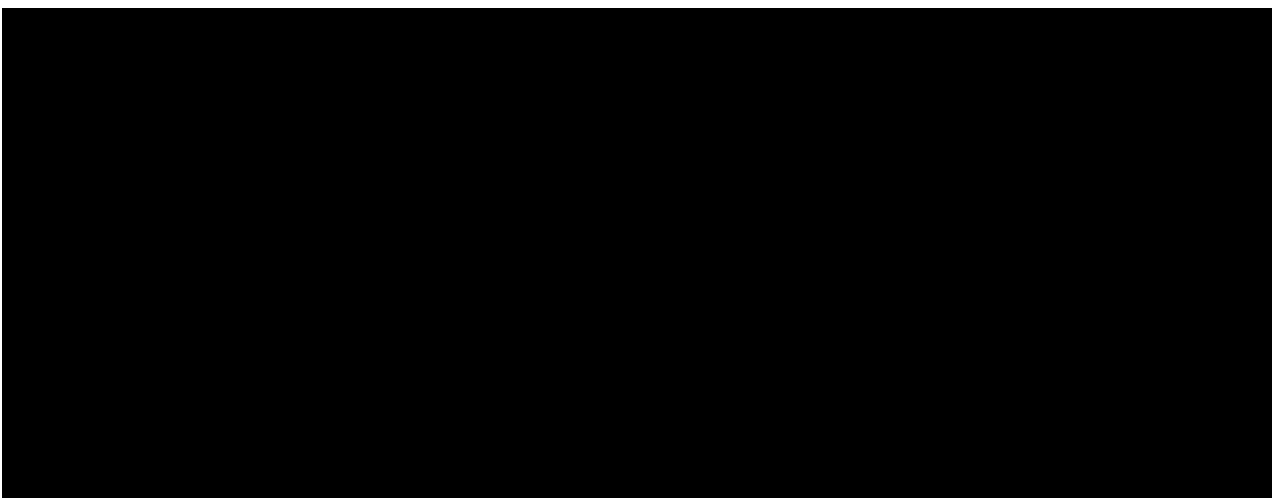
Prior to starting the study, the Principal Investigator and/or Institution will sign a clinical study agreement with CRO. This agreement will include the financial information agreed upon by the parties.

#### **10.1.8. Reimbursement, Indemnity, and Insurance**

The Sponsor provides insurance for study subjects to make available compensation in case of study-related injury.

Reimbursement, indemnity and insurance shall be addressed in a separate agreement on terms agreed upon by the parties.

#### **10.1.9. Publication and Public Disclosure Policy**



#### **10.1.10. Protocol Deviations**

The Investigator should conduct the study in compliance with the protocol agreed to by the Sponsor and, if required, by the regulatory authority(ies), and which was given approval/favorable opinion by the IRBs.

A deviation to any protocol procedure or waiver to any stated criteria will not be allowed in this study except where necessary to eliminate immediate hazard(s) to the subject.

The Sponsor must be notified in writing of all intended or unintended deviations to the protocol (eg, inclusion/exclusion criteria, dosing, missed study visits) within 24 hours and in accordance with the clinical study agreement between the parties.

The Investigator, or person designated by the Investigator, should document and explain any deviation from the approved protocol.

If a subject was ineligible or received the incorrect dose or study treatment, and had at least one administration of study drug, data should be collected for safety purposes.

If applicable, the Investigator should notify the IRB of deviations from the protocol in accordance with local procedures.

#### **10.1.11. Study and Site Closure**

The Sponsor reserves the right to close the study site or terminate the study at any time for any reason at the sole discretion of the Sponsor. Study sites will be closed upon study completion. A study site is considered closed when all required documents and study supplies have been collected and a study-site closure visit has been performed.

The Investigator may initiate study-site closure at any time, provided there is reasonable cause and sufficient notice is given in advance of the intended termination.

Reasons for the early closure of a study site by the Sponsor or Investigator may include but are not limited to:

- Failure of the Investigator to comply with the protocol, the requirements of the IRB or local health authorities, the Sponsor's procedures, or GCP guidelines
- Inadequate recruitment of subjects by the Investigator
- Discontinuation of further study intervention development

#### 10.1.12. Product Complaints

A product complaint is any dissatisfaction with a product that may be attributed to the identity, quality, durability, reliability, or safety of the product. Individuals who identify a potential product complaint situation should immediately report the event. Whenever possible, the associated product should be maintained in accordance with the label instructions pending further guidance from a quality representative from the Sponsor.

For product complaints, refer to the Pharmacy Manual for instructions and details.

## 10.2. Appendix 2: Central and/or Local Laboratory

The clinical laboratory tests listed in [Table 10.1](#) are to be performed in this study.

**Table 10.1: Clinical Laboratory Tests**

Test	Analytes	
Blood Chemistry	albumin albumin globulin (A/G) ratio alanine aminotransferase (ALT) alkaline phosphatase (ALP) aspartate aminotransferase (AST) bicarbonate/CO2 bilirubin (total) bilirubin (direct) blood urea nitrogen (BUN)/urea calcium (Ca) chloride (Cl) creatinine cholesterol (total)	creatine phosphokinase gamma-glutamyl transaminase (GGT) glucose ([non-fasting/fasting]) lactate dehydrogenase lipase lipoprotein, high density (HDL) lipoprotein, low density (LDH) magnesium (Mg) phosphorus potassium (K) protein (total) sodium (Na) triglycerides uric acid
Troponin	high sensitivity troponin-I troponin-T	

Test	Analytes	
Hematology	hemoglobin hematocrit platelet count red blood cell (RBC) count white blood cell (WBC) count mean corpuscular hemoglobin mean corpuscular hemoglobin concentration mean corpuscular volume	differential WBC count: basophils eosinophils lymphocytes monocytes neutrophils
Coagulation	prothrombin time (PT)/international normalized ratio (INR)	
Urinalysis (abbreviated)	bilirubin glucose ketone bodies occult blood pH protein	urobilinogen sediments: casts RBC WBC

### 10.3. Appendix 3: Reference Standards

#### 10.3.1. Cockcroft-Gault Equation

The estimated CrCl (mL/min) will be calculated using the Cockcroft-Gault equation<sup>4</sup> based on [actual/ideal] weight in kilograms (1 kilogram = 2.2 pounds):

##### **Conventional – serum creatinine in mg/dL:**

**Male:**

$$\text{CrCl (mL/min)} = \frac{[140 - \text{age (in y)}] \times \text{weight (in kg)}}{\text{serum creatinine (in mg/dL)} \times 72}$$

**Female:**

$$\text{CrCl (mL/min)} = \frac{[140 - \text{age (in y)}] \times \text{weight (in kg)}}{\text{serum creatinine (in mg/dL)} \times 72} \times 0.85$$

##### **International System of Units (SI) – serum creatinine in μmol/L:**

**Male:**

$$\text{CrCl (mL/min)} = \frac{[140 - \text{age (in y)}] \times \text{weight (in kg)}}{\text{serum creatinine (in } \mu\text{mol/L)} \times 72 \times 0.0113}$$

**Female:**

$$\frac{[140 - \text{age (in y)}] \times \text{weight (in kg)}}{\text{serum creatinine (in } \mu\text{mol/L)} \times 72 \times 0.0113}$$

#### 10.3.2. CYP3A4 Inhibitors and Inducers

Table 10.2 lists the generic names of strong, moderate, and weak CYP3A4 inhibitors.

**Table 10.2: CYP3A4 Inhibitors**

Inhibitor Type	Generic Drug Name	Allowance
Strong	boceprevir cobicistat danoprevir and ritonavir elvitegravir and ritonavir grapefruit juice indinavir and ritonavir itraconazole ketoconazole, lopinavir, and ritonavir paritaprevir and ritonavir and (ombitasvir and/or dasabuvir) posaconazole ritonavir saquinavir and ritonavir telaprevir tipranavir and ritonavir telithromycin troleandomycin voriconazole	Use is prohibited
Moderate	aprepitant ciprofloxacin conivaptan crizotinib cyclosporine diltiazem dronedarone erythromycin fluconazole fluvoxamine imatinib tofisopam verapamil	Use is prohibited
Weak	chlorzoxazone cilostazol cimetidine clotrimazolefosaprepitant istradefylline ivacaftor lomitapide ranitidine ranolazine ticagrelor	Use is prohibited

Source: <https://www.fda.gov/drugs/drug-interactions-labeling/drug-development-and-drug-interactions-table-substrates-inhibitors-and-inducers#table3-2>

Table 10.3 lists the generic names of strong, moderate, and weak CYP3A4 inducers.

**Table 10.3: CYP3A4 Inducers**

Inducer Type	Generic Drug Name	Allowance
Strong	apalutamide carbamazepine enzalutamide mitotane phenytoin rifampin St. John's wort	Use is prohibited
Moderate	bosentan efavirenz etravirine phenobarbital primidone	Use is prohibited
Weak	armodafinil modafinil rufinamide	Use is prohibited

Source: <https://www.fda.gov/drugs/drug-interactions-labeling/drug-development-and-drug-interactions-table-substrates-inhibitors-and-inducers#table3-3>

## 10.4. Appendix 4: General Information - Adverse Events

### 10.4.1. Definition of Adverse Event

An AE is any untoward medical occurrence in a subject administered a pharmaceutical product and that does not necessarily have to have a causal relationship with this treatment. An AE can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding, for example), symptom, or disease temporally associated with the use of a medicinal product, whether or not considered related to the medicinal product.

It is the responsibility of Investigators, based on their knowledge and experience, to determine those circumstances or abnormal laboratory findings which should be considered AEs.

#### Events Meeting the AE Definition

- Any abnormal laboratory test results (hematology, clinical chemistry, or urinalysis) or other safety assessments (eg, ECG, radiological scans, vital signs measurements), including those that worsen from baseline, considered clinically relevant in the medical and scientific judgment of the Investigator (ie, not related to progression of underlying disease).
- Exacerbation of a chronic or intermittent pre-existing condition including either an increase in frequency and/or intensity of the condition.

- New conditions detected or diagnosed after study intervention administration even though it may have been present before the start of the study.
- Signs, symptoms, or the clinical sequelae of a suspected drug-drug interaction.
- Signs, symptoms, or the clinical sequelae of a suspected overdose of either study intervention or a concomitant medication.

#### **Events NOT Meeting the AE Definition**

- Any clinically relevant abnormal laboratory findings or other abnormal safety assessments which are associated with the underlying disease, unless judged by the Investigator to be more severe than expected for the subject's condition.
- The disease/disorder being studied or expected progression, signs, or symptoms of the disease/disorder being studied, unless more severe than expected for the subject's condition.
- Medical or surgical procedure (eg, endoscopy, appendectomy): the condition that leads to the procedure is the AE.
- Situations in which an untoward medical occurrence did not occur (social and/or convenience admission to a hospital).

#### **10.4.2. Serious Adverse Event**

An SAE is any untoward medical occurrence that at any dose:

- Results in death
- Is life-threatening
  - The term 'life-threatening' in the definition of 'serious' refers to an event in which the subject was at risk of death at the time of the event. It does not refer to an event, which hypothetically might have caused death, if it were more severe
- Requires inpatient hospitalization or prolongation of existing hospitalization
  - In general, hospitalization signifies that the subject has been detained (usually involving at least an overnight stay) at the hospital or emergency ward for observation and/or treatment that would not have been appropriate in the physician's office or outpatient setting. Complications that occur during hospitalization are AEs. If a complication prolongs hospitalization or fulfills any other serious criteria, the event is serious. When in doubt as to whether "hospitalization" occurred or was necessary, the AE should be considered serious.
  - Hospitalization for elective treatment of a pre-existing condition that did not worsen from baseline is not considered an AE.
- Results in persistent or significant disability/incapacity
  - The term disability means a substantial disruption of a person's ability to conduct normal life functions.

- This definition is not intended to include experiences of relatively minor medical significance such as uncomplicated headache, nausea, vomiting, diarrhea, influenza, and accidental trauma (eg, sprained ankle) which may interfere with or prevent everyday life functions but do not constitute a substantial disruption.
- Is a congenital anomaly/birth defect
- Is an important medical event
- Medical or scientific judgment should be exercised in deciding whether SAE reporting is appropriate in other situations such as important medical events that may not be immediately life-threatening or result in death or hospitalization but may jeopardize the subject or may require medical or surgical intervention to prevent one of the other outcomes listed in the above definition. These events should usually be considered serious.
- Examples of such events include invasive or malignant cancers, intensive treatment in an emergency room or at home for allergic bronchospasm, blood dyscrasias or convulsions that do not result in hospitalization, or development of drug dependency or drug abuse.

#### **10.4.3. Grade Assessment**

The severity of AEs will be graded using the latest NCI-CTCAE (version 5.0). For each episode, the highest severity grade attained should be reported.

The NCI-CTCAE guidelines do not allow certain grades for certain AEs. For example, pain can be Grade 1 to 3 only (ie, cannot be life-threatening or fatal), whereas sepsis can only be Grade 4 or 5 (ie, can only be life-threatening or fatal). In addition, alopecia can only be Grade 1 or 2. The NCI-CTCAE guidelines should be followed closely.

- Grade 1: Mild AE
- Grade 2: Moderate AE
- Grade 3: Severe AE
- Grade 4: Life-threatening consequences; urgent intervention indicated
- Grade 5: Death related to AE

#### **10.4.4. Difference between Severity and Seriousness**

The term "severe" is often used to describe the intensity (severity) of a specific event (as in mild, moderate, or severe myocardial infarction); the event itself, however, may be of relatively minor medical significance (such as severe headache). This is not the same as "serious," which is based on subject/event outcome or action criteria usually associated with events that pose a threat to a subject's life or functioning. Seriousness (not severity) serves as a guide for defining regulatory reporting obligations.



#### **10.4.5. Causality Assessment**

The Investigator should assess causal relationship between an AE and the study drug based on his/her clinical judgment and the following definitions. The causality assessment must be made based on the available information and can be updated as new information becomes available.

- Related:
  - The AE follows a reasonable temporal sequence from study drug administration and cannot be reasonably explained by the subject's clinical state or other factors (eg, disease under study, concurrent diseases, and concomitant medications).
  - or
  - The AE follows a reasonable temporal sequence from study drug administration and is a known reaction to the drug under study (or its chemical group) or is predicted by known pharmacology.
- Not Related:
  - The AE does not follow a reasonable sequence from study drug administration or can be reasonably explained by the subject's clinical state or other factors (eg, disease under study, concurrent diseases, and concomitant medications).

#### **10.4.6. Action Taken Regarding Study Drug(s)**

- Dose Not Changed: No change in study drug dosage was made.
- Drug Withdrawn: The study drug was permanently stopped.
- Drug Interrupted: The study drug was temporarily stopped.
- Unknown: Subject is lost to follow-up
- Not applicable

#### **10.4.7. Other Action Taken for Event**

- None.
  - No treatment was required.
- Medication required.
  - Prescription and/or OTC medication was required to treat the AE.

#### **10.4.8. Adverse Event Outcome**

- Recovered/Resolved
  - The subject fully recovered from the AE with no sequelae observed.
- Recovered/Resolved with Sequelae
  - The subject fully recovered from the AE but with sequelae.
- Recovering/Resolving

- The AE is improving but not recovered
- Not Recovered/Not Resolved
  - The AE continues without improving.
- Fatal
  - Fatal should be used when death is a direct outcome of the AE
- Unknown

## 10.5. Appendix 5: Pharmacokinetic Blood Samples Collection, Processing, Storage, and Shipment

Quizartinib PK blood samples for quizartinib and AC886 will be processed as described below. Additionally, to determine protein binding, separate 12-mL blood samples will be collected at the specified time points.

Processing Instructions	
1	PK blood samples will be collected into 4 mL Vacutainer tube containing K2-EDTA. The tube will be filled completely. The time and date of collection for each sample will be recorded.
2	Immediately after collection, the 4 mL K2-EDTA tube will be gently invert 8-10 times. <b>NOTE: BLOOD SAMPLES SHOULD BE KEPT AT ROOM TEMPERATURE UNTIL PLACED IN CENTRIFUGE</b>
3	Blood samples will be centrifuged for 10 minutes at approximately 1500-2000G at <b>ROOM TEMPERATURE</b> . Note: Samples should be centrifuged within 30 minutes of draw. There should be approximately 2 mL of plasma for each blood sample. If plasma and blood cells have not completely separated or the red blood cells suspend in the plasma, re-centrifuge the specimen for an additional 5 minutes to achieve complete separation.
4	The resulting plasma samples will be carefully aliquoted into two 3.6 mL red cryovials. - One disposable pipette should be used for each time point - DO NOT pour off plasma - Aliquoting should be performed immediately after completion of centrifugation - Be sure the plasma supernatant is separated carefully from the red cells without contamination
5	Cap the two 3.6 mL red cryovials and immediately (within 30 minutes) freeze at -20 degrees Celsius or colder in an UPRIGHT position.
6	Samples will remain frozen until assayed.

Protein Binding Sample Processing Instructions	
1	Protein binding blood samples will be collected into two 6mL K2-EDTA tubes. The tubes will be filled completely. The time and date of collection for each sample will be recorded.
2	Immediately after collection, the 6 mL K2-EDTA tubes will be gently invert 8-10 times. <b>NOTE: BLOOD SAMPLES SHOULD BE KEPT AT ROOM TEMPERATURE UNTIL PLACED IN CENTRIFUGE</b>
3	Blood samples will be centrifuged for 10 minutes at approximately 1500-2000G at <b>ROOM TEMPERATURE</b> .

	Note: Samples should be centrifuged within 30 minutes of draw. There should be approximately 3 mL of plasma for each blood sample. If plasma and blood cells have not completely separated or the red blood cells suspend in the plasma, re-centrifuge the specimen for an additional 5 minutes to achieve complete separation.
4	The resulting plasma samples will be carefully aliquoted into two 5 mL blue cryovials. <ul style="list-style-type: none"><li>- One disposable pipette should be used for each time point</li><li>- DO NOT pour off plasma</li><li>- Aliquoting should be performed immediately after completion of centrifugation</li><li>- Be sure the plasma supernatant is separated carefully from the red cells without contamination</li></ul>
5	Cap the two 5 mL blue cryovials and immediately (within 30 minutes) freeze at -20 degrees Celsius or colder in an UPRIGHT position.
6	Samples will remain frozen until assayed.

#### A. Labeling of aliquot tubes

Labels will contain at least the following information:

- a) Study number
- b) SID
- c) Period or dosing phase; sampling time (relative to dosing)

#### B. Shipment

Prior to each study sample shipment, a shipment notification email including a minimum of the following information should be provided to the Bioanalytical Principal Investigator and Sample Management:

- a) Electronic sample manifest containing all samples in the shipment
- b) Name of courier or transport company
- c) Shipment date and time (if available)
- d) Expected delivery date and time (if available)
- e) Number of samples included in the shipment
- f) Shipment tracking or airway bill number
- g) Email address(es) for shipment receipt acknowledgments

The bioanalytical laboratory for PK plasma samples will be:

PPD

Inotiv

2701 Kent Avenue

West Lafayette, IN 47908

Phone: PPD

Email: PPD

The shipping address for PK plasma samples will be:

Inotiv

2701 Kent Avenue

West Lafayette, IN 47908

ATTN: Sample Management

The bioanalytical laboratory and shipping address for protein binding samples will be:

PPD

PPD

Bioanalytical Study Management

Worldwide Clinical Trials

8609 Cross Park Drive, Austin, TX, 78754, USA

Phone: PPD

Other: PPD (Skype)

Fax: PPD


Email: PPD

## 10.6. Appendix 6: Pharmacogenomic Blood Samples Collection, Processing, Storage, and Shipment

As part of this study, a genotyping blood sample (1 × 3 mL) will be taken from each subject on Study Day 1. This sample will be stored for possible future PGx and/or PGx analysis. At Screening, all potential subjects should be presented with the standard ICF, which will include details of these procedures.

In the future, the stored sample may be used for genetic and PGx tests related to study drug exposure or response and to explore disease pathophysiology if warranted. Specific candidate genes and the entire genome may be examined. This may provide information on how individuals react to the study medication and may facilitate improvements in the understanding of differences among individuals with respect to the way the study medication is metabolized. It may also help in the development of new drugs or improvement of existing drugs. The results of the genetic analysis will not be provided to subjects or the Investigator, nor can the results of this analysis be requested at a later date. A subject may not request withdrawal of the PGx blood sample after it has been obtained. Any information obtained from the PGx research will be the property of the Sponsor. The PGx samples will be stored frozen for up to 15 years, at which time they will be destroyed. During this time, the DNA sample will not be immortalized or sold to anyone.

PGx blood samples will be processed as described below.

Processing Instructions	
1	PGx blood samples are collected as whole blood in a 3 mL Becton-Dickinson (BD) Vacutainer® lavender top K2-EDTA tube with Hemogard™ closure. 
2	<b>Do not centrifuge</b>
3	Transfer whole blood to a 3 mL cryovial that is suitable for longterm storage of whole blood at ultra-low temperatures for storage and shipment.
4	Freeze immediately.
5	Store samples at -80°C or at -20 °C (only in non-cycling freezer) if a -80°C freezer is not available.

### A. Labeling of storage tubes

Labels should be secured to each storage tube.

Sample label should include:

- Designated set number
- Subject number

- c) Study number
- d) Protocol number, if applicable
- e) Barcode, if applicable
- f) Time points
- g) Aliquot number

B. Shipment

**PGx blood samples will be shipped on dry ice.**

Please copy PPD on shipment notification from the central laboratory to provide necessary documents to Fisher Clinical Services in advance of each shipment.

PPD

PPD Clinical Sample Management

Daiichi Sankyo, Inc.

211 Mt. Airy Road

Basking Ridge, NJ 07920

Phone: PPD

Mobile: PPD

PPD

The bioanalytical laboratory for PGx blood samples will be:

Thermo Fisher Scientific

14665 Rothgeb Drive

Rockville, MD 20850

The shipping address for PGx blood samples will be:

PPD PPD Client Services, Bioservices

Thermo Fisher Scientific

14665 Rothgeb Drive

Rockville, MD 20850

Phone: PPD

Mobile: PPD

PPD

PPD

## 10.7. Appendix 7: Allowable Time Windows for Pharmacokinetic Blood Samples, Safety Laboratory Collection, and Vital Sign and Electrocardiogram Assessments

**Table 10.4: Acceptable Time Windows**

Allowable Time Windows (Safety laboratory, PK, Vital Signs, ECGs)		
Procedures	Allowable Time Window	
	Predose	Postdose
Safety laboratory collection	$\pm 120$ minutes	$\leq 24$ hour $\pm 1$ hour  $>1$ day $\pm 1$ day
ECGs	No more than <b>90</b> minutes before dose; <u>inclusive of</u> at least 5 minutes of quiet rest in the supine position	Within 20 minutes of nominal time point inclusive of supine rest time.
	Approximately 2 minutes between triplicate ECGs <u>with 1 additional</u> minute allowed between each of the triplicate ECGs	Approximately 2 minutes between triplicate ECGs <u>with 1 additional</u> minute allowed between each of the triplicate ECGs
Vital signs	No more than <b>90</b> minutes before dose; <u>inclusive of</u> at least 5 minutes of supine rest	Within 10 minutes of nominal time point, inclusive of supine rest time.
PK sample collection	- 60 minutes	$\pm 2$ minutes (1 hour postdose)
		$\pm 10$ minutes (2 and 4 hours postdose)
		$\pm 15$ minutes (6, 8, 12 and 24 hours postdose)
		$\pm 30$ minutes (48 through 96 hours postdose)
		$\pm 60$ minutes (144 through 504 hours postdose)

ECG = electrocardiogram; PK = pharmacokinetics.

Note: ECG will be performed prior to PK.



## 10.8. Appendix 8: Classification of Hepatic Impairment by the National Cancer Institute Organ Dysfunction Working Group and Child-Pugh Scoring

	TBil	ALT or AST	Criteria for AESI reporting for hepatic Combination AT/TBil
<b>Healthy subject</b>	<ULN	<ULN	ALT and /or AST $\geq 3 \times$ ULN and an elevated TBil $> 2 \times$ ULN
<b>Moderate HI</b>	$> 1.5$ to $3 \times$ ULN*	Any*	Worsening of baseline values; with worsened values being ALT and /or AST $\geq 3 \times$ baseline values and an increase of TBil from baseline, with increased TBil values being at least $> 2 \times$ ULN.

\*NCI-ODWG criteria for moderate HI

AESI = adverse event of special interest; ALT = alanine aminotransferase; AST = aspartate aminotransferase;

HI = hepatic impairment; TBil = total bilirubin ULN = upper limit of normal

### Child-Pugh Scoring System

ASSESSMENT	DEGREE OF ABNORMALITY	SCORE
Encephalopathy <sup>a</sup>	None	1
	1 or 2	2
	3 or 4	3
Ascites	Absent	1
	Slight	2
	Moderate	3
Serum Bilirubin (mg/dL)	<2.0	1
	2.0 – 3.0	2
	>3.0	3
Serum Albumin (g/dL)	>3.5	1
	2.8 – 3.5	2
	<2.8	3
Prothrombin Time (seconds > control)	0 – 4.0	1
	4.0 – 6.0	2
	>6.0	3

- a
- Grade 0: normal consciousness, personality, neurological examination, electroencephalogram.
  - Grade 1: restless, sleep disturbed, irritable/agitated, tremor, impaired handwriting, 5 cps waves.
  - Grade 2: lethargic, time-disoriented, inappropriate, asterixis, ataxia, slow triphasic waves.
  - Grade 3: somnolent, stuporous, place-disoriented, hyperactive reflexes, rigidity, slower waves.
  - Grade 4: unrousable coma, no personality/behavior, decerebrate, slow 2-3 cps delta activity

### Assessment of Severity Based on Child-Pugh Scores

TOTAL SCORE	GROUP	SEVERITY
5-6	A	Mild
7 - 9	B	Moderate
10 -15	C	Severe

## 11. REFERENCES

1. Krens SD, Lassche G, Jansman FGA, Desar IME, Lankheet NAG, Burger DM, van Herpen CML, van Erp NP. Dose recommendations for anticancer drugs in patients with renal or hepatic impairment. *Lancet Oncol.* 2019 Apr; 20(4):e200-e207. doi: 10.1016/S1470-2045(19)30145-7. Review. PubMed PMID: 30942181.
2. FDA guidance 2003. <https://www.fda.gov/media/71311/download>
3. AC220 Investigator's Brochure. Most current version.
4. Cockcroft DW, Gault MH. Prediction of creatinine clearance from serum creatinine. *Nephron* 1976; 16:31-41.

## 12. LIST OF ABBREVIATIONS

Abbreviation	Definition
AE	adverse event
AESI	adverse event of special interest
ALT	alanine aminotransferase
ANCOVA	analysis of covariance
AST	aspartate aminotransferase
AUC	area under the curve
%AUCextr	percent extrapolated AUC
BMI	body mass index
CI	confidence interval
Cmax	maximum concentration
COVID-19	coronavirus disease 2019
CrCl	creatinine clearance
CRF	case report form
CRO	contract research organization
CT	computed tomography
CTCAE	common terminology criteria for adverse events
CYP	cytochrome P450
DNA	deoxyribonucleic acid
ECG	electrocardiogram
eCRF	electronic case report form
EDC	electronic data capture
EOS	end of study
ET	early termination
EU	European Union
FDA	Food and Drug Administration
FSH	follicle stimulating hormone
GCP	good clinical practice
HAV	hepatitis A virus
HBsAg	hepatitis b virus surface antigen
HCV	hepatitis c virus
HI	hepatic impairment
HIV	human immunodeficiency virus

Abbreviation	Definition
IB	Investigator's Brochure
ICF	informed consent form
ICH	International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use
ICMJE	International Council of Medical Journal Editors
IMP	investigational medicinal product
INR	international normalized ratio
IRB	Institutional Review Board
IUD	intrauterine device
MedDRA	Medical Dictionary for Regulatory Activities
MPR	metabolite-to-parent ratio
NCI-CTCAE	National Cancer Institute-common terminology criteria for adverse events
NCI-ODWG	National Cancer Institute-organ dysfunction working group
OTC	over-the-counter
oz	ounces
PD	pharmacodynamic(s)
PGx	pharmacogenomic(s)
PK	pharmacokinetic(s)
PT	prothrombin time
QTc	corrected QT interval
QTcF	QT interval corrected with Fridericia's formula
RTK	receptor tyrosine kinase
SAE	serious adverse event
SAP	statistical analysis plan
SID	subject identification
SoE	schedule of events
TBil	total bilirubin
TdP	Torsades de Pointes
TEAE	treatment-emergent adverse event
Tmax	time to reach maximum plasma concentration
t1/2	half life
ULN	upper limit of normal
US	United States