

Official Title: Preventing Emotional and Behavioral Problems in Middle School Youth At-Risk of Disability After the Covid-19 Pandemic With the Family Check-up Online

Brief Title: The Families and Middle School Success Project (FMSS)

Clinical Trials Registration Number: NCT05400564

Document: Parent Consent Form

Date: 12-27-2022



Consent for Research Participation

Title: Families and Middle School Success Project

Sponsor: Institute of Education Sciences, U.S. Department of Education NIDA

Researcher(s): Beth Stormshak, Ph.D., University of Oregon

Researcher Contact Info: Project Coordinator: Allison Caruthers phone: 503-412-3770
ascaru@uoregon.edu

You are being asked to participate in a research study. The box below highlights key information about this research for you to consider when making a decision whether or not to participate. Carefully consider this information and the more detailed information provided below the box. Please ask questions about any of the information you do not understand before you decide whether to participate.

Key Information for You to Consider

- **Voluntary Consent.** You are being asked to volunteer for a research study. It is up to you whether you choose to participate or not. There will be no penalty or loss of benefits to which you are otherwise entitled if you choose not to participate or discontinue participation.
- **Purpose.** The purpose of this research is to test the effectiveness of a web-based parenting curriculum (the Family Check-Up Online) to strengthen family functioning and to help middle schoolers feel better and do better in school. This curriculum provides parents/ caregivers of middle school-aged youth with information and support to reduce stress, enhance parenting skills, and improve children's school-related behaviors.
- **Duration.** The amount of time required for your participation will depend upon which research condition you are assigned to. It is expected that your participation will last between 4 and 6.5 hours, spread out across 24 months. Your child's participation will last approximately 2.5 hours spread out across 24 months, regardless of research condition.
- **Procedures and Activities.** You will be invited to participate in the following activities:
 - Surveys and Interviews:
 - Parent/ Caregiver Surveys: Five private surveys, one at the beginning of the study, and follow-up surveys 3 months, 6months, 12 months, and 24 months later. You may complete these surveys on a computer or, if you prefer, as an interview with research staff via phone or Zoom. Each one should take about 45 minutes to complete. The surveys ask questions about your feelings, your health, your family, your child's abilities and behavior, parenting your child, and life stressors.
 - Youth surveys: Five private surveys, one at the beginning of the study and follow-up surveys 3 months, 6months, 12 months, and 24 months later. Your child may complete these surveys on a computer or as an interview with research staff via phone or Zoom. Each one should take about 30 minutes to complete. The surveys ask about his/ her personality, health, mental health, family life, and school life. We will not share your child's answers to these questions with you unless we believe your child is in danger of seriously hurting himself/ herself or others. In that case, we would immediately share this information with you and work with your family to develop a safety plan and to get immediate support for your child.
 - Random Assignment: Families will be randomly divided into two groups. One group will be offered access to an online parenting app and free telehealth parenting



support sessions from a trained Family Consultant. The other group will only complete surveys.

- **Teacher Surveys and School Records:** A teacher who knows your child well will be asked to complete a brief survey at baseline, and again 6 months, 12 months, and 24 months from now to provide insight on your child's behavior at school. Because these time points span several school years, it is unlikely that the same teacher will complete all four surveys. School records including attendance, office disciplinary referrals, grades, and IEP status will be gathered from your child's school annually for three years, starting this academic year.

- **Risks.** Some of the foreseeable risks or discomforts of your participation include feeling uncomfortable answering some questions in the surveys or talking with a Family Consultant. You can choose not to respond to questions in the surveys. There is also a risk that your private information could be revealed. However, we take precautions to guard against this.
- **Benefits.** Some of the benefits that may be expected include enjoying thinking about parenting and practicing new skills. If you are assigned to the condition that receives access to the FCU Online app and parenting support sessions, you may enjoy talking to your Family Consultant about your goals and getting support on parenting.
- **Alternatives.** Participation is voluntary and the only alternative is not to participate.

Who is conducting this research?

The Principal Investigator, Dr. Beth Stormshak from the University of Oregon, is asking for your consent to this research. The study is funded by the Institute of Education Sciences.

In addition to being a professor at the University of Oregon, Dr. Stormshak is the founder of Northwest Prevention Science, Inc., a for-profit entity that provides consultation and training services on the Family Check-Up. Other researchers involved in this research also have a financial interest in Northwest Prevention Science, Inc. Research on the Family Check-Up may contribute to and inform the services Dr. Stormshak and others provide through Northwest Prevention Science, Inc. As a result, Dr. Stormshak, the other researchers, and Northwest Prevention Science, Inc. may financially benefit from this research. Questions about this may be directed to Dr. Stormshak.

Why is this research being done?

The purpose of this research is to test the effectiveness of a web-based parenting curriculum (the Family Check-Up Online) to strengthen family functioning and to improve middle school-aged children's school attendance, behavior, and academic achievement. This curriculum provides parents/ caregivers of middle school-aged youth with information and support to reduce stress, enhance parenting skills, and improve children's school-related behaviors. You are being asked to participate because you are the parent/ legal guardian of a child between the ages of 11 and 14. About 300 families will take part in this research.

How long will I be in this research?

We expect that your participation will last approximately 4-6.5 hours spread out across 24 months. This includes times spent to complete five surveys, and if assigned to the App + Coach condition, time spent using a web-based application (FCU Online) and participating in five phone or Zoom check-ins with a Family Consultant. Your child's participation will last approximately 2.5 hours, split into five sessions of roughly 30 minutes each. This includes time spent completing five surveys over 24 months.



What happens if I agree to participate in this research?

If you agree to participate in this research, your participation will include:

Baseline surveys: You will be asked to complete a survey that takes about 45 minutes to an hour to complete. You will have a choice to complete this survey on your own via computer or as an interview via phone or Zoom. Your survey asks questions about your feelings, your health, your family, your child's abilities and behavior, parenting your child, and life stressors. Your child will also be asked to complete a survey and will have a choice of completing this survey on their own via computer or as an interview via phone or Zoom. This survey takes about 30 minutes to complete. Your child's survey asks questions about his/ her personality, health, family life, and school life.

- **Group selection:** After completing surveys, your family will be put into one of two study groups by chance (like tossing a coin). You have a 50% chance of being placed in each group. You cannot choose your study group. The two study groups are:
 - **App + coach:** Parents/ Caregivers in this group will be asked to log-in and explore an app that offers an online parenting program. This website includes five modules. Each one consists of a brief survey (roughly 10 questions each), feedback, and parenting resources such as videos and interactive tools. Parents/ caregivers will be given the opportunity to discuss parenting with a Family Consultant after completing each module. These check-ins can occur via text, phone, or Zoom, and give you the opportunity to get personalized support. Sessions completed over phone or Zoom will be recorded via Zoom in order to evaluate how well your Family Consultant followed the elements of the Family Check-Up model during their appointments with you. If you choose to complete these sessions by phone, the Family Consultant will put you on speakerphone and start a Zoom "meeting" with themselves, recording the meeting. The only image that would appear in this recording is the Family Consultant's black screen. After the meeting is over, the video portion of the recording would be deleted while retaining the audio file. If you choose to complete the meeting via Zoom, the meeting will be recorded. Your image and the Family Consultant's image would be recorded (assuming you both choose to share your video), but, again, the video portion would be deleted after the meeting is over and only the audio file would be retained for analysis. After you have used the FCU Online app and completed check-in appointments with your Family Consultant, you will be asked to complete an additional survey regarding your feelings about the web-based application and the support you received from your Family Consultant. This survey will take approximately 10 minutes.
 - **Control:** Parents/ Caregivers in this group will only complete surveys and will not be provided access to the app or Family Consultant.
- **Follow up surveys:** To help researchers understand how children and families may change after using the FCU Online program, you and your child will each be asked to complete follow-up surveys/ interviews identical to the baseline surveys. You and your child will be asked to complete these surveys 3 months, 6 months, 12 months, and 24 months from now. Each parent/ caregiver survey will take about 45 minutes to an hour to complete. Each child survey will take about 30 minutes to complete.
- **Teacher Surveys and School Records:** A teacher who knows your child well will be asked to complete a brief survey at baseline, and again 6 months, 12 months, and 24 months from now to provide insight on your child's behavior at school. Because these time points span several school years, it is unlikely that the same teacher will complete all four surveys. School records including attendance, office disciplinary referrals, grades, and IEP status will be gathered from your child's school annually for three years, starting this academic year.

The research project is being conducted remotely, meaning that all research activities will be conducted online or over the phone.

When completing surveys and interviews, you and your child may skip any question that makes either of you uncomfortable and you may stop your participation at any time.

What happens to the information collected for this research?

Information collected for this research will be used to test the effects of the FCU Online program on a variety of outcomes for children and families: parenting skills; parent and child depression; parent and child self-regulation;



child attendance, behavior, and grades; and family functioning. Your name will not be used in any published reports or conference presentations about this study. A description of this clinical trial will be available on <http://www.clinicaltrials.gov>, as required by U.S. Law. This website will not include information that can identify you. At most, the website will include a summary of the results. You can search this website at any time.

In addition, de-identified study data will be deposited at a public repository, Inter-university Consortium for Political and Social Research (ICPSR), to increase its utility to qualified individuals within the scientific community. This means that variables that could be used to identify you and other participants will be removed from the data set. The remaining data could then be distributed to another investigator for future research studies without your additional informed consent. In order to access these data, the ICPSR Data Archive will require that investigators (1) use the data for research purposes only; (2) not to identify any individual participant; (3) secure the data using appropriate computer technology; and (4) destroy or return the data after analyses are complete.

In addition, identifiers will be removed from the identifiable private information and, after such removal, the information could be used for future research studies or distributed to another investigator for future research studies without your additional informed consent.

How will my privacy and data confidentiality be protected?

We will take measures to protect your privacy. Despite taking steps to protect your privacy, we can never fully guarantee your privacy will be protected. Measures we will take include:

- Using a HIPAA-compliant Zoom account issued through the University of Oregon to conduct interviews and check-ins.

We will take measures to protect the security of all your personal information, but we can never fully guarantee confidentiality of all study information. Measures we will take include:

- Training staff conducting phone/ Zoom interviews and check-ins to protect participant privacy;
- Storing consent forms, surveys collected via Qualtrics, and video recordings of sessions with Family Consultants on our secure server;
- Strictly limiting access to our secure server to authorized research staff involved in the project;
- Not including participants' identifying information on surveys or recordings, instead assigning a unique family ID number;
- Recording directly to a University-owned computer (not the cloud) and storing them in a password protected file on a secure server; and
- Using audio/ video recordings for research purposes only. We will not use recordings for other purposes without your written consent.

Individuals and organization that conduct or monitor this research may be permitted access to and inspect the research records. This may include access to your private information. These individuals and organizations include the Institutional Review Board that reviewed this research and the study sponsor, the Institute of Education Sciences (IES).

We protect your information from disclosure to others to the extent required by law. We cannot promise complete secrecy. You should understand that the researcher is not prevented from taking steps, including reporting to authorities, to prevent serious harm of yourself or others.

The research team includes individuals who are mandatory reporters. If the research team has reasonable cause to suspect abuse or neglect of a child or adult, a report may be required under Oregon State Law. In such a case, the research team may be obligated to breach confidentiality and may be required to disclose personal information.

This research is covered by a Certificate of Confidentiality from the National Institutes of Health. The researchers with this Certificate may not disclose or use information or documents that may identify you in any federal, state, or local civil, criminal, administrative, legislative, or other action, suit, or proceeding, or be used as evidence, for



example, if there is a court subpoena, unless you have consented for this use. Information, or documents protected by this Certificate cannot be disclosed to anyone else who is not connected with the research except if there is a federal, state, or local law that requires disclosure (such as to report child abuse, but not for federal, state, or local civil, criminal, administrative, legislative, or other proceedings, see below); or if it is used for other scientific research, as allowed by federal regulations protecting research subjects.

The Certificate cannot be used to refuse a request for information from personnel of the United States federal or state government agency sponsoring the project that is needed for auditing or program evaluation by the Institute of Education Sciences, the agency funding this project. You should understand that a Certificate of Confidentiality does not prevent you from voluntarily releasing information about yourself or your involvement in this research. If you want your research information released to an insurer, medical care provider, or any other person not connected with the research, you must provide consent to allow the researchers to release it.

The Certificate of Confidentiality will not be used to prevent disclosure as required by federal, state, or local law of child abuse and neglect, or harm to self or others.

What are the risks if I participate in this research?

There may be risks of stress, emotional distress, inconvenience, and possible loss of privacy and confidentiality associated with participating in a research study.

What are the benefits of participating in this research?

We cannot promise any benefits to you or others from your participation in this research. However, possible benefits to you include enjoying thinking about parenting and practicing new skills. If assigned to the App + Coach condition, you may also enjoy talking to your Family Consultant about your goals and getting support on parenting.

What if I want to stop participating in this research?

Taking part in this research study is your decision. Your participation in this study is voluntary. You do not have to take part in this study, but if you do, you can stop at any time. You have the right to choose not to participate in any study activity or completely withdraw from continued participation at any point in this study without penalty or loss of benefits to which you are otherwise entitled. Your decision whether or not to participate will not affect your relationship with the researchers or the University of Oregon. If you decide to leave this research, contact the research team so that the investigator can delete your survey/ interview data and any recordings of sessions with Family Consultants. Please note that if data has already been de-identified at the time of your request and no link between identifiers and family ID number remains, we will not be able to delete your data.

Will I be paid for participating in this research?

For taking part in this research, your family may receive up to a total of \$500. You will be paid \$75 after completing the first parent/ caregiver survey. You will be paid an additional \$75 after each of the four follow-up surveys/ interviews. Your child will be paid \$25 after completing the first youth survey and again after each of their four follow-up surveys/ interviews.

Payment will be made via check (sent by mail) or Amazon gift card (sent electronically by email or text) and will be sent after receiving completed surveys from you and your child. Please be aware that compensation for participation in research studies may be considered taxable income. The University requires tracking for compensation that is paid to you; this may include your name and contact information. This information is stored confidentially and separate from research data. If you receive \$600 or more in a calendar year, you may be contacted to provide additional information (e.g., social security number) for tax reporting purposes.

Who can answer my questions about this research?

If you have questions, concerns, or have experienced a research related injury, contact the research team at:

Dr. Allison Caruthers, Project Coordinator



503-412-3770

ascaru@uoregon.edu

An Institutional Review Board ("IRB") is overseeing this research. An IRB is a group of people who perform independent review of research studies to ensure the rights and welfare of participants are protected. UO Research Compliance Services is the office that supports the IRB. If you have questions about your rights or wish to speak with someone other than the research team, you may contact:

Research Compliance Services
5237 University of Oregon
Eugene, OR 97403-5237
(541) 346-2510
ResearchCompliance@uoregon.edu

STATEMENT OF CONSENT

I have had the opportunity to read and consider the information in this form. I have asked any questions necessary to make a decision about my participation. I understand that I can ask additional questions throughout my participation.

I understand that by signing below, I volunteer to participate in this research. I understand that I am not waiving any legal rights. I have been provided with a copy of this consent form. I understand that if my ability to consent or assent for myself changes, either I or my legal representative may be asked to re-consent prior to my continued participation in this study.

Please enter your full name below. This will serve as your signature indicating your consent to participate in this study.

Please enter today's date:

Name of child participating in study: _____

Relationship to child participating in study: _____

As described above, if you are assigned to the App+ Coach condition, you will be video recorded while receiving coaching sessions with the Family Consultant. Recordings will only be used to monitor the Family Consultant's fidelity to the research model and will be destroyed at the end of the project.

Please enter your initials in the space below if you consent to the use of video recording as described.

What is your preferred form of payment:

☐ check

☐ Amazon gift card

Please click **NEXT** to complete the consent process.



UNIVERSITY OF OREGON

Official Title: Preventing Emotional and Behavioral Problems in Middle School Youth At-Risk of Disability After the Covid-19 Pandemic With the Family Check-up Online

Brief Title: The Families and Middle School Success Project (FMSS)

Clinical Trials Registration Number: NCT05400564

Document: Student Assent Form

Date: 12-27-2022



Families and Middle School Success Project Student Assent Form

Purpose:

- The purpose of this research study is to test a web-based parenting program to see how useful it is to families.
- We have two goals:
 - to strengthen family relationships;
 - to help middle schoolers feel better and do better in school.
- You and your caregiver are asked to participate because you are between the ages of 11 and 14.
- About 300 families will take part in this study.
- If you want to participate, it will take about 2.5 hours of your time. This time will be broken up into 5 sessions spread out over 2 years.

Researcher Information:

This study is being done by Elizabeth Stormshak, Ph.D. from the University of Oregon. This study involves a program called the Family Check Up. In addition to being a professor, Dr. Stormshak is the founder of a business related to the Family Check Up. If this project is successful, it may help her and other researchers on this project to sell Family Check-Up products to organizations that want to help kids and families.

Surveys/ Interviews:

- We would like you to answer some questions about your personality, health, family, and school life.
- You have the choice of answering these questions by either completing a survey on a computer or by being interviewed by a member of our research team over Zoom.
- You will be asked to answer these questions five times: now, and then again in 3 months, 6 months, 12 months, and 24 months.
- Each survey or interview will take you about 30 minutes.
- We repeat this interview so that we can see how things have changed or stayed the same for you and your family over a two-year period.
- Your caregiver will also complete surveys or interviews. In addition, they may also be asked to use an app on their phone.
- After you complete each survey or interview, you will be paid \$25 with either a check (sent by mail) or an Amazon gift card (sent by email or text).
- This will happen after each of the five surveys you complete.

Procedure:

- If you choose to answer these questions by computer survey, we will send a link to the email address you or your caregiver provided. You will answer each question on your own, and submit the survey when you are done.
- If you choose to answer these questions by interview, research staff will set up an appointment to have a Zoom meeting with you. During this meeting, they will have a survey on a computer in front of them. They will read you the questions and type in your answers.



- Each year that you participate in the project we will ask one of your teachers about your behavior at school.
- We will also collect information from your school about your grades, attendance, and behavior.

Privacy:

- Your answers to the survey/ interview questions are kept private.
- Your name will not be stored in the same computer file as your answers.
- Your name will not be included in the data file. Instead we will use an ID number that is unique to you.
- Only a few people on our research team have the ability to link your name to your ID number.
- Your name will not be put on any papers or reports written about this project.
- We do not plan to share your answers with your caregiver. However, if we believe that you are in danger of hurting yourself or others, our family consultant will first talk with you about your safety and then talk with your caregiver so that, together, we can keep you safe.
- The only time we will share your name or your caregiver's name with anyone outside the family is if we think someone is being abused or plans to harm one's self. We are required by the State of Oregon to report that.

Risks:

- You may feel uncomfortable answering some questions in the survey/ interview.
- You can skip any questions you do not want to answer.
- It is possible that someone may accidentally see your private information.
- We take many steps to protect your privacy, but we cannot be positive an accident will not happen.

Voluntary:

- You can help with this project if you would like to.
- You do not have to help if you do not want to (even if your caregiver says you can).
- You can also change your mind and stop participating at any time for any reason.

Questions:

- Do you have any questions about this project?
- If there is ever a time that you do not understand what we are asking you to do, please ask us questions or ask your caregiver to ask us questions.
- You can type questions in the box on the project website and someone will send you an email with a response.

☐

I agree to participate in the Families and Middle School Success Project.

☐

I understand that I will be emailed a copy of this assent form to keep for my records.

Student Name: _____

Parent Name: _____

Today's Date: _____

Email address: _____



Preferred form of payment:



check



Amazon gift card

Official Title: Preventing Emotional and Behavioral Problems in Middle School Youth At-Risk of Disability After the Covid-19 Pandemic With the Family Check-up Online

Brief Title: The Families and Middle School Success Project (FMSS)

Clinical Trials Registration Number: NCT05400564

Document: Teacher Consent Form

Date: 12-27-2022



Teacher Consent for Research Participation

The Families and Middle School Success Project

Title: Preventing Emotional and Behavioral Problems in Middle School Youth At-risk of Disability after Covid-19 with the Family Check-up Online (AKA "The Families and Middle School Success Project")

Sponsor: US Department of Education, Institute of Education Sciences (IES)

Researcher(s): Elizabeth Stormshak, Ph.D., University of Oregon

Researcher Contact Info: Project Coordinator, Allison Caruthers, Ph.D. 503-412-3770 or ascaru@uoregon.edu

You are being asked to participate in a research study. The box below highlights key information about this research for you to consider when making a decision whether or not to participate. Carefully consider this information and the more detailed information provided below the box. Please ask questions about any of the information you do not understand before you decide whether to participate.

Key Information for You to Consider

- **Voluntary Consent.** You are being asked to volunteer for a research study of families with middle school-aged children. The parents/ caregivers of participating children have given their permission for our research staff to contact you. It is up to you whether you choose to participate or not. There will be no penalty or loss of benefits to which you are otherwise entitled if you choose not to participate or discontinue participation.
- **Purpose.** The purpose of this research is to test the effectiveness of a web-based app called the Family Check-Up Online. The purpose of this app is to provide content to parents that will help them manage the challenges of parenting their middle school-aged child. The app provides parents with information and support to reduce stress, enhance parenting skills, and improve children's school-related behaviors. The goals of the app are to strengthen family functioning and to help middle schoolers feel better and do better in school. Because we are interested in how the FCU Online influences children's school-related outcomes, we would also like to collect information from teachers about participating children's behavior at school.
- **Duration.** Teacher surveys take approximately 10 minutes to complete.
- **Procedures and Activities.** You may have one or several children in your classroom participating in this project. Teacher participation in this project involves completing one questionnaire per participating child. We will ultimately collect a teacher survey for each child at baseline and then again 6 months, 12 months, and 24 months later. If a participating child is still in your class at one of these follow-up testing periods, you may be asked to complete a follow-up survey, too. The survey asks you to evaluate the child's academic performance, classroom behavior, and peer relationships as an individual as well as in comparison to other students in the class. You will also be asked to complete a one-page questionnaire asking about your background and teaching experience. Teacher surveys take less than 10 minutes each to complete.
- **Risks.** Some of the foreseeable risks or discomforts of your participation may include feeling some discomfort completing questionnaires that ask questions about the social and behavioral development of students in your classroom, or breach of confidentiality. Although project staff go to great lengths to protect your confidentiality and the confidentiality of our participating families and children, there is a small risk that your name may be associated with your study participation. To reduce this risk, we assign a participant identification number to all of your responses. Identifying information needed for



participant contact, such as names, addresses, and telephone numbers, will be kept in locked file cabinets in locked offices. Only designated project staff will have access to this information.

- **Benefits.** Some of the benefits that may be expected include enjoying thinking about your students' development. You may also find it interesting and rewarding to contribute to scientific research and advance knowledge about child development and family well-being. Knowledge gained from this study may assist in the development of more effective, family-friendly intervention supports to promote positive child and family outcomes for middle school-aged children.
- **Alternatives.** Participation is voluntary and the only alternative is not to participate.

Who is conducting this research?

Elizabeth Stormshak, Ph.D., from the University of Oregon, is asking for your consent to this research. This research is funded by the U.S. Department of Education, Institute of Education Sciences (IES).

In addition to being a professor at the University of Oregon, Dr. Stormshak is the founder of Northwest Prevention Science, Inc., a for-profit entity that provides consultation and training services on the Family Check-Up. Other researchers involved in this research also have a financial interest in Northwest Prevention Science, Inc. Research on the Family Check-Up may contribute to and inform the services Dr. Stormshak and others provide through Northwest Prevention Science, Inc. As a result, Dr. Stormshak, the other researchers, and Northwest Prevention Science, Inc. may financially benefit from this research. Questions about this may be directed to Dr. Stormshak.

Why is this research being done?

The purpose of this research is to test the effectiveness of a web-based parenting curriculum (the Family Check-Up Online) to strengthen family functioning and to improve middle school-aged children's school attendance, behavior, and academic achievement. This curriculum provides parents/ caregivers of middle school-aged youth with information and support to reduce stress, enhance parenting skills, and improve children's school-related behaviors. You are being asked to participate because you are the teacher of one of the children participating in this study. About 300 families will take part in this research.

What happens to the information collected for this research?

Information collected for this research will be used to test the effects of the FCU Online program on a variety of outcomes for children and families: parenting skills; parent and child depression; parent and child self-regulation; child attendance, behavior, and grades; and family functioning. Your name will not be used in any published reports or conference presentations about this study. A description of this clinical trial will be available on <http://www.clinicaltrials.gov>, as required by U.S. Law. This website will not include information that can identify you. At most, the website will include a summary of the results. You can search this website at any time.

In addition, de-identified study data will be deposited at a public repository, Inter-university Consortium for Political and Social Research (ICPSR), to increase its utility to qualified individuals within the scientific community. This means that variables that could be used to identify you and other participants will be removed from the data set. The remaining data could then be distributed to another investigator for future research studies without your additional informed consent. In order to access these data, the ICPSR Data Archive will require that investigators (1) use the data for research purposes



only; (2) not to identify any individual participant; (3) secure the data using appropriate computer technology; and (4) destroy or return the data after analyses are complete.

In addition, identifiers will be removed from the identifiable private information and, after such removal, the information could be used for future research studies or distributed to another investigator for future research studies without your additional informed consent.

How will my privacy and data confidentiality be protected?

We will take measures to protect the security of all your personal information, but we can never fully guarantee confidentiality of all study information. Measures we will take include:

- Storing consent forms and surveys collected via Qualtrics on our secure server;
- Strictly limiting access to our secure server to authorized research staff involved in the project; and
- Not including participants' identifying information on surveys, instead assigning a unique family ID number.

Individuals and organization that conduct or monitor this research may be permitted access to and inspect the research records. This may include access to your private information. These individuals and organizations include the Institutional Review Board that reviewed this research and the study sponsor, the Institute of Education Sciences (IES).

We protect your information from disclosure to others to the extent required by law. We cannot promise complete secrecy. You should understand that the researcher is not prevented from taking steps, including reporting to authorities, to prevent serious harm of yourself or others.

The research team includes individuals who are mandatory reporters. If the research team has reasonable cause to suspect abuse or neglect of a child or adult, a report may be required under Oregon State Law. In such a case, the research team may be obligated to breach confidentiality and may be required to disclose personal information.

This research is covered by a Certificate of Confidentiality from the National Institutes of Health. The researchers with this Certificate may not disclose or use information or documents that may identify you in any federal, state, or local civil, criminal, administrative, legislative, or other action, suit, or proceeding, or be used as evidence, for example, if there is a court subpoena, unless you have consented for this use. Information, or documents protected by this Certificate cannot be disclosed to anyone else who is not connected with the research except if there is a federal, state, or local law that requires disclosure (such as to report child abuse, but not for federal, state, or local civil, criminal, administrative, legislative, or other proceedings, see below); or if it is used for other scientific research, as allowed by federal regulations protecting research subjects.

The Certificate cannot be used to refuse a request for information from personnel of the United States federal or state government agency sponsoring the project that is needed for auditing or program evaluation by the Institute of Education Sciences, the agency funding this project. You should understand that a Certificate of Confidentiality does not prevent you from voluntarily releasing information about yourself or your involvement in this research. If you want your research information released to an insurer, medical care provider, or any other person not connected with the research, you must provide consent to allow the researchers to release it.

The Certificate of Confidentiality will not be used to prevent disclosure as required by federal, state, or local law of child abuse and neglect, or harm to self or others.

What if I want to stop participating in this research?

Taking part in this research study is your decision. Your participation in this study is voluntary. You do not have to take part in this study, but if you do, you can stop at any time. You have the right to choose not to participate in any study activity or completely withdraw from continued participation at any point in this study without penalty or loss of benefits to which you are otherwise entitled. Your decision whether or not to participate will not affect your relationship with the researchers

Informed Consent - [The Families and Middle School Success Project](#)

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or the University of Oregon. If you decide to leave this research, contact the research team so that the investigator can delete your survey data. Please note that if data has already been de-identified at the time of your request and no link between identifiers and family ID number remains, we will not be able to delete your data.

Will I be paid for participating in this research?

You will receive \$10 to compensate you for your time for each survey that you complete about a participating child. Payment will be made via check (sent by mail).



Who can answer my questions about this research?

If you have questions, concerns, or have experienced a research-related injury, contact the research team at:

Allison Caruthers, Ph.D., Project Director
(503) 412-3770 or ascaru@uoregon.edu

An Institutional Review Board ("IRB") is overseeing this research. An IRB is a group of people who perform independent review of research studies to ensure the rights and welfare of participants are protected. UO Research Compliance Services is the office that supports the IRB. If you have questions about your rights or wish to speak with someone other than the research team, you may contact:

Research Compliance Services
5237 University of Oregon
Eugene, OR 97403-5237
(541) 346-2510
ResearchCompliance@uoregon.edu

STATEMENT OF CONSENT

I have had the opportunity to read and consider the information in this form. I have asked any questions necessary to make a decision about my participation. I understand that I can ask additional questions throughout my participation.

I understand that by typing my name below, I volunteer to participate in this research. I understand that I am not waiving any legal rights. I have been provided with a copy of this consent form. I understand that if my ability to consent or assent for myself changes, either I or my legal representative may be asked to re-consent prior to my continued participation in this study.

Please enter your full name below. This will serve as your signature indicating your consent to participate in this study.

Please enter today's date:
