

Title: Specified drug-use survey of Lotriga Granular Capsules: OCEAN3 (Outcome prevention on Cardiovascular Events by Antihyperlipidemic therapy with N3-fatty acid in Japan)

NCT Number: NCT02285166

Statistical analysis plan Approve Date: 20-AUG-2020

Certain information within this statistical analysis plan has been redacted (ie, specific content is masked irreversibly from view with a black/blue bar) to protect either personally identifiable information or company confidential information.

This may include, but is not limited to, redaction of the following:

- Named persons or organizations associated with the study.
- Patient identifiers within the text, tables, or figures or in by patient data listings.
- Proprietary information, such as scales or coding systems, which are considered confidential information under prior agreements with license holder.
- Other information as needed to protect confidentiality of Takeda or partners, personal information, or to otherwise protect the integrity of the clinical study.

If needed, certain appendices that contain a large volume of personally identifiable information or company confidential information may be removed in their entirety if it is considered that they do not add substantially to the interpretation of the data (eg, appendix of investigator's curriculum vitae).

Note; This document was translated into English as the language on original version was Japanese.

# Proofeth of Lakeder, for non-commercial use only and subject to the applicable Temes of Use

### **Table of Contents**

1.0	Definitions of Terms	1
1.		
1.3	2 Number of digits displayed	3
1.5	3 Significance level, confidence coefficient	3
1.	4 Handing of assessment period data	Ø 3
2.0	Special Drug Use Surveillance	5
2.	1 Disposition of Subjects (Composition of subject population)	5
2.:	2 Patient demographics	6
2.:	Disposition of Subjects (Composition of subject population)  Patient demographics  Description of treatment (status of Lotriga treatment)	9
2.	4 Description of treatment (status of statin treatment)	10
2.:	5 Description of treatment (status of EPA product treatment)	10
2.	6 Description of treatment (status of active vitamin D treatment)	11
2.		11
2.	8 Treatment status of dietary therapy, exercise therapy, OTC or supplement use, and conce	mitant
me	edications other than statins and EPA products	12
2.5		
2.	10 Analysis of secondary outcome measures	
2.	11 Analysis of other outcome measures	15
2.	12 Supplemental analysis of primary outcome measures	
3.0	Safety analysis	19
3.		
Fo	orm 15)	19
4.0	Summary of the subjects in the postmarketing surveillance, etc.	20
4.	1 Summary of Subjects in Postmarketing Surveillance, Etc. (Attached Form 16)	20
	Summary of Subjects in Postmarketing Surveillance, Etc. (Attached Form 16)	
	1600	
	1 Sit	
Ó		
ks.		
20°		
Dio		
\		

### 1.0 Definitions of Terms

### 1.1 Definition

Term	Definition			
Lotriga	Lotriga Granular Capsules			
SOC	MedDRA/J System Organ Class			
HLGT	MedDRA/J High Level Group Term			
PT	MedDRA/J Preferred Term			
LLT	MedDRA/J Lowest Level Term			
Enrolled patient	Patient whose enrollment as a subject was approved			
Subject with electronic questionnaire collected	An enrolled patient for whom an electronic questionnaire was sent by			
Subject with electronic questionnaire not collected	An enrolled patient who is not a subject with electronic questionnaire collected			
Locked subject	A subject with electronic questionnaire collected for whom the questionnaire			
	completion date was entered in the PMS system and the status of the			
	questionnaire is "Final Approval"			
Non-locked subject	A subject with electronic questionnaire collected who is not a locked subject			
Enrolled subject	A locked subject enrolled as a patient administered Lotriga at time of			
administered Lotriga	enrollment			
Enrolled subject not	A locked subject enrolled as a patient not administered Lotriga at time of			
administered Lotriga	enrollment			
Patient not administered	A locked subject not administered Lotriga			
Lotriga				
Patient administered	A locked subject who is not a patient not administered Lotriga			
Lotriga				
Safety-evaluable subject	A locked subject included as a safety-evaluable subject, i.e., a subject to whom			
100	none of the following apply			
₹0,	Treated before the contract period			
20.	Enrolled 15 or more days after prescribing Lotriga			
rec	No data after start of observation			
Safety-evaluable subject	Not administered Lotriga			
Safety-unevaluable subject	A locked subject excluded from the safety analysis set			
Efficacy-evaluable subject	A locked subject included as efficacy-evaluable, i.e., a subject who has no			
	major protocol violations, is evaluable for efficacy, and none of the following			
	apply			
	Not on a statin			
	Does not have hyperlipidemia			
Efficacy-unevaluable	A locked subject excluded from the efficacy analysis set			
Primary prevention subject	An efficacy-evaluable subject who is not a secondary prevention subject			

	Term	Definition
	Secondary prevention	An efficacy-evaluable subject who meets any of the following conditions
	subject	Has the risk factor "history of myocardial infarction or angina
		pectoris."
		Has a history of PCI/CABG
	Age	If observation start month and day < birthday, calculated as observation start
		year - birth year - 1
		If observation start month and day ≥ birthday, calculated as observation start
		year - birth year
		If day of birth is unknown, calculated as 1st day of the month.
	BMI	Calculated as Body weight (kg) / (0.0001 x Height (cm)) x Height (cm)).
		Displayed to 1 decimal place, rounding off the second decimal place.
	Observation start date	In patients administered Lotriga, treatment start date. In patients not
		administered Lotriga, patient enrollment date.
	Observation end date	The last day of observation
	Observation period (days)	The total observation period. The first and last day of the observation period
		are the same as "observation start date" and "observation end date,"
		respectively. Calculated as Observation end date - Observation start date +1.
	Duration of treatment	The total period of time that Lotriga is administered. The total of each duration
	(days)	of Lotriga treatment recorded on the questionnaire. (The total number of days
		that the drug was actually administered, excluding times off the drug)
		Calculated as Treatment end date - Treatment start date + 1 for each duration
		of treatment.
	Mean daily dose	Calculated as Daily dose x Total duration of treatment at each dose /
	S	Observation period. See above for calculation of observation period.
	LDL cholesterol	Blood will be drawn for the laboratory test when the subject is fasting.
	(Friedewald formula)	Triglycerides will be calculated with the following equation if less than
	(mg/dL)	400mg/dL. Displayed as an integer only, rounding off the first decimal place.
	20.	Total cholesterol - HDL cholesterol - Triglycerides / 5
	160.	Note that LDL cholesterol is not calculated if "Total cholesterol - HDL
	( SIC	cholesterol - Triglycerides / 5" ≤ 0.
Ó	non-HDL cholesterol	Blood will be drawn for the laboratory test after a meal. Triglycerides will be
4	(mg/dL)	calculated with the following equation if $\geq$ 400mg/dL. Displayed as an integer
ig o		only, rounding off the first decimal place.
		Total cholesterol - HDL cholesterol
	TC / HDL-C ratio	Calculated with the following equation. Displayed to 1 decimal place,
		rounding off the second decimal place.
		Total cholesterol / HDL cholesterol

Term	Definition		
LDL-C / HDL-C ratio	Calculated with the following equation. Displayed to 1 decimal place,		
	rounding off the second decimal place.		
	LDL cholesterol (Friedewald formula) / HDL cholesterol		
PCI	Percutaneous coronary intervention		
CABG	Coronary artery bypass grafting		
TG	Triglycerides		
Summary statistics	Number of subjects, mean, standard deviation, minimum, first quartile,		
	median, third quartile, maximum		

### 1.2 Number of digits displayed

Term	Definition			
Summary statistics	Mean:			
	Displayed to one place of the source data, rounding off the second place of			
	the source data.			
	Standard deviation:			
	Displayed to two places of the source data, rounding off the third place of the			
	source data.			
	First quartile, median, third quartile:			
	Displayed to one place of the source data, rounding off the second place of			
	the source data.			
	Minimum, maximum:			
	The same number of places as the source is displayed.			
Confidence interval	Displayed to two places of the source data, rounding off the third place of the			
	source data.			
P value	Displayed to 4 decimal places, rounding off the fifth place.			
, 100	If less than 0.0001, displayed as "<.0001."			

### 1.3 Significance level, confidence coefficient

Significance level: 5% (two-sided test)

Confidence coefficient 95% (two-sided estimation)

### 1.4 Handing of assessment period data

Assessment periods are defined as start of observation, 6, 12, 18, 24, 30, and 36 months after start of observation, and last assessment time point.

In the case of multiple data for a particular period, the absolute value of the difference in the number of days from the basis number of days will be calculated and the date with the smallest absolute value will be used for that assessment period. If the absolute value is the same, the latter date of testing or measurement will be used. The last assessment time point is defined as the value tested or measured on the latest date within the period of 1170 days from the observation start date (including values tested or measured during off-drug periods). In counting the number of days elapsed from the observation start date, the observation start date is defined as Day 0 and the previous day as Day -1.

Assessment period	Time window (Number of days from the observation start date)	Basis Number of Days
Start of observation	-90 to 0	0
6 months after start of observation	1 to 270	180
12 months after start of observation	271 to 450	360
18 months after start of observation	451 to 630	540
24 months after start of observation	631 to 810	7200
30 months after start of observation	811 to 990	900
36 months after start of observation	991 to 1170	1080
Last assessment time point	1 to 1170	_
36 months after start of observation  Last assessment time point  Last assessment time point	55°	

### 2.0 Special Drug Use Surveillance

<Lotriga Granular Capsules [OCEAN3]>

2.1 Disposition of Subjects (Composition of subject population)

Disposition of Stablects (Composition of stablect population)					
Subjects tabulated Enrolled patients in relevant Special Drug Use Surveillance					
for analysis					
Information	Number of enrolled patients, number of study sites with enrolled patients, number of				
tabulated for	subjects with electronic questionnaire collected, number of subjects with electronic				
analysis	questionnaire not collected, number of locked subjects, number of non-locked				
	subjects, number of enrolled subjects administered Lotriga, number of enrolled				
	subjects not administered Lotriga, number of safety-evaluable subjects, number of				
	safety-unevaluable subjects, number of efficacy-evaluable subjects, and number of				
	efficacy-unevaluable subjects				
	A site where subjects are enrolled in different departments should not be counted				
	more than once in the number of study sites with enrolled patients.				
	For subjects with electronic questionnaires not collected, the number of subjects with				
	electronic questionnaires not collected for each of the following reasons and total				
	number of subjects				
	<reason collecting="" for="" not="" questionnaire=""></reason>				
	Survey in progress				
	Questionnaire could not be collected				
	- Investigator moved				
	Due to the health of the investigator				
	- Enrolled 15 or more days after prescribing Lotriga (before collection				
	of electronic questionnaire)				
	- Other				
~	For safety- and efficacy-unevaluable subjects, number of subjects excluded for each				
(of )	of the following reasons and total number of subjects. If multiple reasons apply to				
sakedai. Foi	the same subject, the subject will be tabulated more than once.				
98.	<reasons analysis="" exclusion="" for="" from="" safety="" set=""></reasons>				
The state of the s	Treated before the contract period				
	Enrolled 15 or more days after prescribing Lotriga				
	No data after start of observation				
	Not administered Lotriga				
	<reasons analysis="" efficacy="" exclusion="" for="" from="" set=""></reasons>				
	Non-target disease				
	Exclusion criteria violation				
	Items for which the number of subjects is 0 are not to be output.				
	A listing with the reasons for exclusion will be prepared for safety- and efficacy-				

	unevaluable subjects.
Figure and Table	Figure 2.1, Table 2.1
Nos.	

### 2.2 Patient demographics

	.2 Fatient demographics					
	Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance				
200	for analysis		10 × 01			
	Information	Each item will be classified by the fo	llowing categories, and the number of subjects			
	tabulated for	and frequency will be tabulated. The	ese tabulations will be performed in enrolled			
	analysis	subjects administered Lotriga, enroll	ed subjects not administered Lotriga, and in a			
		pooled population of the two.	304			
			we a			
		Item	Category			
		Category of patient in	Enrolled subjects administered Lotriga,			
		surveillance	enrolled subjects not administered Lotriga			
		Sex	Male, female			
		Age	Summary statistics			
		14.0	$<$ 65 years, $\ge$ 65 years to $<$ 75 years, $\ge$ 75			
		of.	years			
		Number of risk factors	2, 3, 4, 5, 6			
		(Itemization)				
		Hyperfension	Absent, Present			
		Type 2 diabetes mellitus	Absent, Present			
		Chronic kidney disease	Absent, Present			
		History of myocardial	Absent, Present			
	adai. For no	infarction or angina				
	(01)	pectoris				
	. 40	History of cerebral	Absent, Present			
	900	infarction				
/	The state of the s	Peripheral artery disease	Absent, Present			
$\hat{}$		Breakdown of risk factors				
		(history of myocardial infarction				
		or angina pectoris) (may be				
		counted more than once)				
		*Only if history of myocardial				
		infarction or angina pectoris	Myocardial infarction, angina pectoris			
		"present"				
		Myocardial infarction /				

	_	arp.	
		angina pectoris	
		Details on history of myocardial	
		infarction	
		*Only if history of myocardial	
		infarction "present"	
		Time of onset (closest to	Within last year, prior to last year
		observation start date)	.<
		Number of past episodes	1, 2, 3 or more
		Details on history of cerebral	1, 2, 3 or more
		infarction	: Gal
		*Only if history of cerebral	adlie
		infarction "present"	26,
		Time of onset (closest to	Within last year, prior to last year
		observation start date)	10
		Number of past episodes	1, 2, 3 or more
		History of PCI/CABG	Absent, Present
		Details on history of PCI/CABG	250
		*Only if history of PCI/CABG	
		"present"	
		Time performed (closest to	Within last year, prior to last year
		observation start date)	
		History of peripheral artery	Absent, Present
		intervention	
		Details on history of peripheral	
		artery intervention	
	0	*Only if history of peripheral	
	6,	artery intervention "present"	
1.05		Time performed (closest to	Within last year, prior to last year
, Å:		observation start date)	
		BMI	Summary statistics
ate			$<25~kg/m^2,25~to <30~kg/m^2, \geq 30~kg/m^2,$
8			Unknown
M		Smoking history	Never smoked, Current smoker, Previous
Sele.			smoker/current non-smoker
Property of Takedai. For n		Alcohol use (Drinks alcoholic	Yes, No
X		beverages almost daily [≥ 5 days	
		1.70	
		a week])	
		a week])  Hospital visit frequency	≥ Twice a month, About once a month, 1-2

		- Nagarana	
		Fish consumption frequency	Almost every day, About once every 2 days,
			Once-twice a week, Almost never, Missing
			data
		Previous or concurrent cerebral	Absent, Present
		or cardiac vascular disorders	
		Details on previous or	
		concurrent cerebral or cardiac	
		vascular disorders	10°
		*Only if previous or concurrent	
		cerebral or cardiac vascular	c alo.
		disorders "present"	Olio
		(Itemization)	200
		Atrial fibrillation	we.
		Left ventricular	Absent, Present
		hypertrophy	-6
		Cardiac failure	Absent, Present
		Cerebral hemorrhage	Absent, Present
		Subarachnoid hemorrhage	Absent, Present
		Transient ischemic attack	Absent, Present
		(TIA)	Absent, Present
		Aortic aneurysm	Absent, Present
		Aortic dissection	Absent, Present
		Details on previous or	
		concurrent cerebral hemorrhage	
		*Only if prayious or conguerant	
		cerebral hemorrhage "present"	
	20	Time of onset (closest to	Within last year, prior to last year
	of l.	observation start date)	
	. 40	Number of past episodes	1, 2, 3 or more
	98.	Details on previous or	
	2/4°	concurrent subarachnoid	
ξ^		hemorrhage	
12101		*Only if previous or concurrent	
O'KO		subarachnoid hemorrhage	
30%	akedai. For not	"present"	
8,		Time of onset (closest to	Within last year, prior to last year
		observation start date)	
		Number of past episodes	1, 2, 3 or more
		Family history of coronary artery	Absent, Present

	disorders (parents, siblings)		
	Family history of cerebrovascular disorders (parents, siblings)	Absent, Present	
	TG (mg/dL) within 3 months	Summary statistics	
	before start of observation (Start	< 200 mg/dL, ≥ 200 mg/dL	
	of observation)		
Figure and Table	Table 2.2		
Nos.			

### 2.3 Description of treatment (status of Lotriga treatment)

2	bescription of the	uu	nent (status of Louiga acadinent)	0.1
	Subjects tabulated	Ef	fficacy-evaluable subjects in relevan	nt Special Drug Use Surveillance
	for analysis			**0
	Information	Ea	ach item will be classified by the fo	llowing categories, and the number of subjects
	tabulated for	ar	nd frequency will be tabulated. The	ese tabulations will be performed in a pooled
	analysis	po	opulation of enrolled subjects adr	ninistered Lotriga and enrolled subjects not
		ad	lministered Lotriga.	
			Item	Category
			Lotriga treatment (during the	Absent, Present
			observation period)	
			Details of Lotriga treatment	
			*Only if Lotriga treatment	
			"present"	
		6	Starting dose	2 g, 4 g, other
	40		Change in daily dose	Absent, Present
	.40.		Description of change in	
	80.		daily dose	$< 2 \text{ g}, 2 \text{ to} < 4 \text{ g}, 4 \text{ to} < 6 \text{ g}, \ge 6 \text{ g}$
	Xes		Mean daily dose	1 to 30 days, 31 to 90 days, 91 to 180 days,
	10.		D 0.	181 to 360 days, 361 to 720 days, 721 to
,01			Duration of treatment	1080 days, ≥ 1081 days
O'KO	Figure and Table Nos.	Т	able 2.3	
.00	Nos.	-		

### 2.4 Description of treatment (status of statin treatment)

Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance			
for analysis				
Information	Each item will be classified by the fo	llowing categories, and the number of subjects		
tabulated for	and frequency will be tabulated. Th	ese tabulations will be performed in enrolled		
analysis	subjects administered Lotriga, enroll	ed subjects not administered Lotriga, and in		
	pooled population of the two.	101		
		2/8		
	Item	Category		
	Statin treatment	Absent, Present		
	Details of statin treatment	26,		
	*Only if statin treatment	*Only if statin treatment		
	"present"	*0		
	Type (may be counted more	Pravastatin sodium, simvastatin, fluvastatin		
	than once)	sodium, atorvastatin calcium, pitavastatin		
		calcium, rosuvastatin calcium		
	20			
	ONINO			
	Duration of treatment	1 to 30 days, 31 to 90 days, 91 to 180 days,		
	(during observation period)	181 to 360 days, 361 to 720 days, 721 to		
	, cio	1080 days, ≥ 1081 days		
Figure and Table	Table 2.4			
Nos.	offi,			

### 2.5 Description of treatment (status of EPA product treatment)

	Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance
ı	for analysis	

Information	E	Each item will be classified by the following categories, and the number of subjects		
tabulated fo	or ar	and frequency will be tabulated. These tabulations will be performed in enrolled		
analysis	st	ibjects administered Lotriga, enroll	ed subjects not administered Lotriga, and in a	
	po	ooled population of the two.		
		Item	Category	
		EPA product treatment	Absent, Present	
		Details of EPA treatment		
		*Only if EPA product treatment		
		"present"		
		Reason for treatment (may	Lipid control, occurrence of cardiovascular	
		be counted more than once)	event (or increased risk thereof), request of	
			patient, other	
Figure and Tabl	e Ta	able 2.5	1,40	
Nos.			. e.C.	

## 2.6 Description of treatment (status of active vitamin D treatment)

			*
	Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance	
	for analysis	OUI),	
	Information	Each item will be classified by the fo	llowing categories, and the number of subjects
	tabulated for	and frequency will be tabulated. Th	ese tabulations will be performed in enrolled
	analysis	subjects administered Lotriga, enroll	ed subjects not administered Lotriga, and in a
		pooled population of the two.	
		alul.	
		Item	Category
	~	Active vitamin D treatment	Absent, Present
	Figure and Table	Table 2.6	
	Nos.		
	169.0		
2.7	Pregnancy during	the observation period	
Oroperty of	Subjects tabulated	Safety-evaluable women who receive	red Lotriga in the relevant Special Drug Use
Chi	for analysis	Surveillance	
000			
S.C.			

Subjects tabulated	Safety-evaluable women who received Lotriga in the relevant Special Drug Use
for analysis	Surveillance

Information	Each item will be classified by the following categories, and the number of subjects		
tabulated for	and frequency will be tabulated.		
analysis			
	Item	Category	
	Presence/absence of pregnancy	Absent, Present	
	during the observation period		
		,17	
Figure and Table	Table 2.7	70.	
Nos.		2/6	

2.8 Treatment status of dietary therapy, exercise therapy, OTC or supplement use, and concomitant medications other than statins and EPA products

incurcations of	ici man statins and EFA products	0.		
Subjects tabulated	Efficacy-evaluable subjects in relevant Special	Drug Use Surveillance		
for analysis	_ x <sub>O</sub>			
Information	Each item will be classified by the following categories, and the number of subjects			
tabulated for	and frequency will be tabulated for each asse-	ssment period. These tabulations will		
analysis	be performed in enrolled subjects administ	ered Lotriga, enrolled subjects not		
	administered Lotriga, and in a pooled populati	on of the two. The tabulations will be		
	based on the assessment time points on the que	estionnaire.		
	However, any data at 12 months after start of	observation or at discontinuation will		
	be handled as data at 6 months if there is no da	ata at 6 months and will be handled as		
	data at 12 months if there is data at 6 months. I	n the same manner, data at 36 months		
	after start of observation or at discontinuation	of observation will be handled as data		
	at 18 months if there is no data at 18 months an	d will be handled as data at 24 months		
	if there is data at 18 months. Further, data from	24, 30, and 36 months will be handled		
	in the same manner.			
~				
akedai. For no	Item	Category		
· A:	Presence or absence of dietary therapy	Absent, Present		
100	Presence or absence of exercise therapy	Absent, Present		
3/2	Use of OTC or supplements	Absent, Present, Unknown		
	(Breakdown for "present"; may be			
	counted more than once)	EPA, DHA, vitamin D, other		
	Administration of hyperlipidemia	Absent, Present		
	treatment (other than statins, Lotriga, or			
	EPA)			
	(Breakdown for "present"; may be	Anion exchange resin, small		
	counted more than once)	intestinal cholesterol transporter		
	counted more than once)	intestinal cholesterol transporter		

		nicotinic acid products, other
	Administration of antihypertensives	Absent, Present
	(Breakdown for "present"; may be	ARB, ACE-I, CCB, α-blockers, β-
	counted more than once)	blockers, αβ-blockers, diuretics,
		renin inhibitors, other
	Administration of antidiabetics	Absent, Present
	(Breakdown for "present"; may be	SUs, BG agents, α-GIs, TZDs,
	counted more than once)	DPP-4 inhibitors, GLP-1 receptor
		agonists, SGLT-2 inhibitors,
		glinides, insulin products, other
	Administration of antiplatelet drugs	Absent, Present
	(Breakdown for "present"; may be	Aspirin, ticlopidine, clopidogrel,
	counted more than once)	cilostazol, other
	Administration of anticoagulants	Absent, Present
	(Breakdown for "present"; may be	Warfarin, factor Xa inhibitors,
	counted more than once)	thrombin inhibitors, other
	Administration of nitrates	Absent, Present
Figure and Table	Table 2.8	•
Nos.	le l	

	Nos.				
2.0	2.9 Analysis of primary outcome measures				
2.9	The state of the s	( 0			
	Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance			
	for analysis				
	Information	[Analysis of primary outcome measures]			
	tabulated for	First onset of any of the following cardiovascular events			
	analysis	The following key major cardiovascular events			
	'skeds: For no	Major cardiovascular event			
	10,	1) Cardiovascular death (sudden death, fatal myocardial infarction, fatal			
	, o.	cardiac failure, fatal stroke [cerebral infarction, cerebral hemorrhage,			
	1600	subarachnoid hemorrhage], other cardiovascular death)			
_	SK	2) Non-fatal myocardial infarction			
3		3) Non-fatal stroke (cerebral infarction, cerebral hemorrhage,			
		subarachnoid hemorrhage)			
ii.		<ul> <li>Anginal pectoris requiring coronary revascularization (PCI or CABG)</li> </ul>			
		<ul> <li>Peripheral artery disease requiring surgery or peripheral artery intervention</li> </ul>			
		[Description of analysis]			
		The following analysis will be performed. If no events occur during the observation			
		period (the period from the observation start date to the observation end date), the			

censoring time point will be the observation period end date.

- Kaplan-Meier estimates of cumulative incidence every 6 months in enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga
- II. Comparison of enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga, based on a Cox proportional hazards model, using as covariates age (continuous value), sex, BMI, smoking history, fish consumption frequency, presence or absence of previous or concurrent cerebral or cardiac vascular disorders, fasting triglycerides (continuous value), presence or absence of administration of antihypertensives, presence or absence of administration of antidiabetics, presence of administration of antiplatelet drugs, presence or absence of administration of anticoagulants, and number of risk factors (continuous value).
- III. For covariates whose interactions with the treatment group are statistically significant at a significance level of 5% when a Cox proportional hazard model with all of the covariates in II., freatment group, and interactions between the covariates and the treatment group is applied to the primary endpoint, the following analyses will be performed:

Estimates of cumulative incidence by every 6 months by each category\* of the covariates by the treatment group based on Kaplan-Meier methods Comparison of the treatment group based on a Cox proportional hazard model with only the treatment group.

(\*: for the continuous covariates, the following categorization will be made. age: "<65 years,  $\geq$  65 years to < 75 years,  $\geq$  75 years," BMI: "< 25 kg/m2, 25 to < 30 kg/m2,  $\geq$  30 kg/m2," fasting triglycerides: "< 200 mg/dL,  $\geq$  200 mg/dL," and number of risk factors: "2, 3, or 4 to 6")

The level at which smoking history and fish consumption frequency are collected on the questionnaire will be changed according to the following logic.

Smoking history: "Current smoker," "previous smoker/current non-smoker," and "missing data" will be consolidated into one level.

Fish consumption frequency: Missing data will be handled as one level.

The same analysis as in III. will be performed in the respective subgroups of primary prevention subjects and secondary prevention subjects by presence or absence of history of myocardial infarction, angina pectoris, cerebral infarction, or cerebral hemorrhage and by presence or absence of history of coronary revascularization.

For reference, the same analyses as in I and II will be performed in "patients administered Lotriga and patients not administered Lotriga" instead of "enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga."

Figure and Table

Table 2.9

14

1
1
1
1

### 2.10 Analysis of secondary outcome measures

10 Analysis of secon	dary outcome measures		
Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance		
for analysis			
Information	[Secondary outcome measures]		
tabulated for	[1] All deaths (cardiovascular deaths and deaths other than cardiovascular deaths)		
analysis	[2] First onset of any of the following key major cardiovascular events		
	[3] First onset of any of the following cardiovascular events		
	Major cardiovascular events		
	Cardiovascular death (sudden death, fatal myocardial infarction, fatal		
	cardiac failure, fatal stroke [cerebral infarction, cerebral hemorrhage,		
	subarachnoid hemorrhage], other cardiovascular death)		
	Non-fatal myocardial infarction		
	3) Non-fatal stroke (cerebral infarction, cerebral hemorrhage,		
	subarachnoid hemorrhage)		
	Angina pectoris requiring hospitalization*		
	<ol> <li>Anginal pectoris requiring coronary revascularization (PCI or CABG)</li> </ol>		
	Cardiac failure requiring hospitalization		
	<ol><li>Transient ischemic attack requiring hospitalization</li></ol>		
	6. Peripheral artery disease requiring hospitalization*		
	7. Peripheral artery disease requiring surgery or peripheral artery intervention		
	*Including percutaneous revascularization performed on an out-patient		
	basis.		
	[4] Onset of individual cardiovascular events		
	~. <sup>*</sup> C°		
~	[Description of analysis]		
1.01	The same analysis will be performed as the analysis in I. and II. for the primary		
	outcome measure.		
Figure and Table	Table 2.10		
Nos.			

		outcome measure.			
	Figure and Table	Table 2.10			
	Nos.				
2.1	2.11 Analysis of other outcome measures				
Property 2.1	Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance			
	for analysis				
	Information	[Other outcome measures]			
	tabulated for	[1] First onset of any of fatal myocardial infarction, non-fatal myocardial infarction,			
	analysis	and angina pectoris requiring hospitalization			
		[2] First onset of any of fatal stroke, non-fatal stroke, and transient ischemic attack			

Among the items in [3], summary statistics for test values and change (Test value for each testing time point - Test value at start of observation) at each testing time point	
outcome measure.	
The same analysis will be performed as the analysis in [1] and [2] for the primary	
[Description of analysis]	
electrocardiogram	
HbA1c [NGSP value]), and occurrence of clinically significant changes in	
HDL-C, TC/HDL-C ratio, LDL-C/HDL-C ratio, EPA, DHA, AA, DHLA, and	
heart rate), time course of laboratory test results (TG, TC, HDL-C, LDL-C, non-	
requiring hospitalization  [3] Time-course of vital signs (systolic blood pressure, diastolic blood pressure, and	

Nos.	72					
, allo						
2.12 Supplemental analysis of primary outcome measures						
Subjects tabulated	Efficacy-evaluable subjects in relevant Special Drug Use Surveillance					
for analysis	150					
Information	[Analysis of primary outcome measures]					
tabulated for	First onset of any of the following cardiovascular events					
analysis	The following key major cardiovascular events					
	Major cardiovascular events					
	Cardiovascular death (sudden death, fatal myocardial infarction, fatal)					
~	cardiac failure, fatal stroke [cerebral infarction, cerebral hemorrhage,					
(d'	subarachnoid hemorrhage], other cardiovascular death)					
. 40	2) Non-fatal myocardial infarction					
90.	3) Non-fatal stroke (cerebral infarction, cerebral hemorrhage,					
The state of the s	subarachnoid hemorrhage)					
	Anginal pectoris requiring coronary revascularization (PCI or CABG)					
	Peripheral artery disease requiring surgery or peripheral artery intervention					
	[Description of analysis]					
	The following supplemental analysis of the above primary outcome measures will be					
	performed using propensity scores.					
	The propensity scores for each subject will be estimated using a logistic regression					
	model in which the probability of assignment to the Lotriga treatment group					
	2 Supplemental and Subjects tabulated for analysis Information tabulated for					

converted to a logit is the response variable and the following items are the objective variables.

The covariates to be included in the propensity score model are defined as follows. Age (continuous value), sex, BMI, smoking history, fish consumption frequency, presence or absence of previous or concurrent cerebral or cardiac vascular disorders, fasting triglycerides (continuous value), presence or absence of administration of antihypertensives, presence or absence of administration of antidiabetics, presence or absence of administration of antiplatelet drugs, presence or absence of administration of anticoagulants, number of risk factors (continuous value)

The level at which smoking history and fish consumption frequency are collected on the questionnaire will be changed according to the following logic.

Smoking history: "Current smoker," "previous smoker/current non-smoker," and "missing data" will be consolidated into one level.

Fish consumption frequency: Missing data will be handled as one level.

[2] Weighted analysis using inverse probability weighting (IPW)\*

### [1] Distribution of propensity scores

Summary statistics will be calculated for estimated propensity scores. In addition, the number and proportion of subjects in categories produced by demarcating propensity scores by the quartiles will be tabulated. This tabulation will be performed separately from that performed by enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga.

Using IPW for each subject, Kaplan-Meier estimates of cumulative incidence every 6 months in enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga and Cox regression with treatment group (enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga) included in the model will be performed. As necessary, logistic regression (where the model includes treatment group) and doubly robust estimation with IPW will be performed, handling events in the primary outcome measure as binary data (presence or absence of event). The explanatory variables included in the outcome regression model in the doubly robust estimation will be the same as in the propensity score model. Estimates, standard error, and twosided 95% confidence interval will be calculated for the treatment groups in the

# Property of Yakeda. For no [3] Propensity score matching

One-to-one nearest neighbor matching without replacement using 0.2-fold the standard deviation of estimated propensity scores converted to logits as the calipers will be applied. The standard difference between enrolled subjects

Cox regression, logistic regression, and doubly robust estimation. Robust

decentralization will be used for the standard error.

	administered Lotriga and enrolled subjects not administered Lotriga will be calculated for variables used for estimating propensity scores before and after matching. In propensity-matched populations, Kaplan-Meier estimates of cumulative incidence every 6 months in enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga and Cox regression analysis with treatment group (enrolled subjects administered Lotriga and enrolled subjects not administered Lotriga) included in the model will be performed. As necessary, logistic regression (where the model includes treatment group) will be performed, handling events in the primary outcome measure as binary data (presence or absence of event). Estimates, standard error, and two-sided 95% confidence interval will be calculated for the treatment groups in the Cox regression and logistic regression. Robust decentralization will be used for the standard error.  [4] Weighted analysis using matching weight (MW)*  The same analysis as in [2] will be performed with MW weighting for each subject. However, a bootstrap approach will be used in the doubly robust estimation.  [5] Other				
	As necessary, analysis with a marginal structural Cox model will be				
F: 1 T.11	performed using covariates, etc., estimated every 6 months.				
Figure and Table	Table 2.12				
Nos.	3). A Weighting Analogue to Pair Matching in Propensity Score Analysis. Int J Biostat,				
31·9(2)·215-34	13). A weighting Analogue to Fair Matching in Propensity Score Analysis. Int J Biostat,				
Property of Takeda. For non-comme					

### 3.0 Safety analysis

3.1 Listing of Occurrence of Adverse Reactions and Infections in Postmarketing Surveillance, Etc.

### (Attached Form 15)

The following items will be tabulated from each postmarketing surveillance, etc.			
Item	Information tabulated for analysis		
Number of safety-	Number of subjects in the "subjects tabulated for		
evaluable subjects	analysis" (above) from the relevant analysis.		
Number of subjects with	Number of subjects who experienced adverse drug		
adverse drug reactions,	reactions, etc.		
etc.	reactions, etc.		
Percentage of subjects	This will be calculated as Number of subjects with		
with adverse drug	adverse drug reactions, etc. / Number of safety-		
reactions, etc.	evaluable subjects x 100.		
	When performing the analysis the following		
	method will be employed.		
3	[Types of adverse drug reactions, etc.]		
Types of adverse drug reactions, etc.	MedDRA/J will be used for coding adverse		
	drug reactions, etc. Adverse drug reactions,		
	etc., will be tabulated by SOC and then by PT		
	within the SOCs. For the SOC of		
	"Investigations," adverse drug reactions, etc.		
	will be tabulated by HLGT (arranged in		
	ascending order of HLGT codes, but not		
	output) and then by PT.		
	The number of subjects with and incidence of		
	adverse drug reactions, etc. will be listed in		
	the Internationally Agreed Order of SOCs. If		
	the same SOC occurs multiple times in the		
	same subject, it will be counted as 1 subject in that SOC.		
	For PTs, the number of subjects with and		
	incidence of adverse drug reactions, etc. will		
	be listed in ascending order of PT codes. If		
	the same PT occurs multiple times in the		
	same subject, it will be counted as 1 subject		
	with that PT.		
	Number of safety- evaluable subjects  Number of subjects with adverse drug reactions, etc.  Percentage of subjects with adverse drug reactions, etc.  Types of adverse drug		

Figure and Table	Table 3.1
Nos.	

- 4.0 Summary of the subjects in the postmarketing surveillance, etc.
  - 4.1 Summary of Subjects in Postmarketing Surveillance, Etc. (Attached Form 16)

10.00		Commence of the second of the
	Subjects tabulated	Subjects with collected questionnaires in relevant Special Drug Use Surveillance
	for analysis	X Offi
	Information	Listings will be prepared for the following items in accordance with (Notes 1-3) of
	tabulated for	Attached Form 16 in "Re-examination Notification," Notification No. 1128-2, of the
	analysis	Pharmaceutical Evaluation Division, PSEHB, dated November 28, 2017.
		Item
		Subject No.
		Site
		Sex
		Date of birth
		Reason for use (disease code, disease name)
		Complications (disease code, disease name)
		Route of administration
		Maximum dose
		Mean dose
		Unit
		Duration of use
		Conconntant drugs (drug code, drug name)
		Extent of effect
		Adverse drug reactions (disease code, disease name, outcome)
	~	Questionnaire No.
	(of	Dropouts
	Figure and Table	Table 4.1
	Nos O	
	SK	
S.		
Property of		
Self		
2504		
X		