

Study #890 - Protocol



**A Study to Evaluate the Safety and Efficacy of a kalifilcon A Contact Lens  
for Daily Disposable Use**

**PROTOCOL**

**STUDY #890**

**Sponsor:**

**Bausch + Lomb Incorporated**

This clinical investigation is being conducted in accordance with 21 Code of Federal Regulations (CFR) Parts 11, 50, 54, 56, and 812. The protocol was developed with consideration of the provisions in: International Organization for Standardization (ISO) 14155-1:2011 Clinical investigation of medical devices for human subjects – Part 1: General requirements; 14155-2:2011 Part 2: Clinical investigation of medical devices for human subjects – Part 2: Clinical investigational plan; ISO 11980:2012 Ophthalmic Optics – Contact lenses and contact lens care products – Guidance for clinical investigations; International Conference on Harmonisation (ICH) Good Clinical Practice (GCP) – Declaration of Helsinki and applicable local regulations. The Sponsor intends to register this clinical trial with the public database <https://ClinicalTrials.gov>.

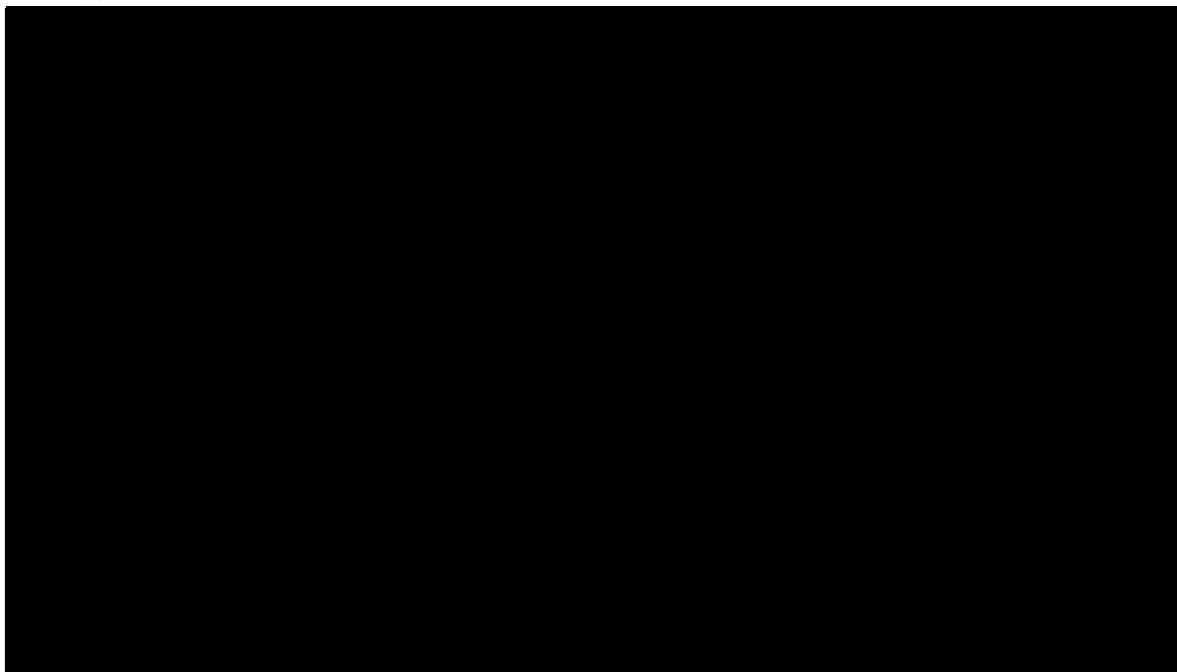
**Revision Chronology:**

Original	-	Date: 24Apr2019
Amendment 1		Date: 03May2019
Amendment 2		Date: 09Aug2019

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Approved By:



## **INVESTIGATOR STATEMENT OF APPROVAL**

### **A Study to Evaluate the Safety and Efficacy of a kalifilcon A Contact Lens for Daily Disposable Use**

#### **PROTOCOL STUDY #890**

I have read the attached document, concur that it contains all information necessary to conduct the study, and agree to abide by all provisions set forth therein.

I agree to conduct this study in accordance with 21CFR Parts 11, 50, 54, 56, 812, and 42 USC 282(j); and with consideration of the provision in: ISO 14155-1:2009 Clinical investigation of medical devices for human subjects – Part 1: General requirements; 14155-2:2009 Part 2: Clinical investigation of medical devices for human subjects – Part 2: Clinical investigational plan; ISO 11980:2009 Ophthalmic Optics – Contact Lenses and contact lens care products – Guidance for clinical investigations; ICH, GCPs, Declaration of Helsinki and applicable local regulations. I will not initiate the study until I have obtained written approval by the appropriate IRB and have complied with all financial and administrative requirements of the governing body of the clinical institution and the Sponsor. I will obtain written informed consent from each study subject prior to performing any study specific procedures.

I understand that my signature on this document indicates my agreement to this clinical Investigational Plan/Protocol and to review and, if appropriate, sign the clinical study report.

I understand that my e-signature on an electronic case report form indicates that the data therein has been reviewed and accepted by me.

I understand that this document and related information is subject to confidentiality terms found in my signed Confidentiality or Clinical Services Agreement. I agree to protect the confidentiality of my patients when allowing the Sponsor of this clinical investigation, and/or relevant regulatory authorities and IRBs, direct access to my medical records for study subjects.

---

Principal Investigator, Printed Name

---

Principal Investigator, Signature

---

Date

**Upon signing, provide a copy of this page to Bausch + Lomb and retain a copy for your files.**

## PERSONNEL AND FACILITIES

**NOTE: The information on this page is subject to change. All changes will be provided under separate cover.**

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## SYNOPSIS

<b>Name of Sponsor/Company:</b> Bausch + Lomb Incorporated
<b>Name of Investigational Product:</b>
<b>Test:</b> Bausch + Lomb kalifilcon A daily disposable contact lens
<b>Control:</b> Bausch + Lomb Biotrue ONE day daily disposable contact lens
<b>Title of Study:</b> A Study to Evaluate the Safety and Efficacy of a kalifilcon A Contact Lens for Daily Disposable Use
<b>Number of Clinical Centers:</b> Approximately 20 investigative sites, United States only
<b>Primary Objective:</b> The objective of this study is to evaluate the safety and efficacy of a new silicone hydrogel daily disposable contact lens, kalifilcon A compared to the Bausch + Lomb Biotrue ONE day® daily disposable contact lens when worn by current soft contact lens wearers on a daily disposable wear basis.
<b>Methodology:</b> The study is evaluating the safety, efficacy and product performance of an investigational silicone hydrogen contact lens. Silicone hydrogel contact lenses offer the advantage of high oxygen transmissibility compared to non-silicone hydrogel soft contact lenses, promoting the level of health of the eye.
Approximately 247 subjects (494 eyes) will be enrolled in this three-month, two arm, 1:1, randomized, parallel, bilateral, single-masked study at approximately 20 investigative sites in the United States. In order to use this study for submission to the Japanese regulatory agency, a minimum of 20% of the randomized subjects will be of Japanese descent (i.e., both maternal and paternal grandparents born in Japan).  Subjects will be assigned randomization numbers sequentially as they are enrolled and deemed eligible to participate. Randomization will be stratified by cohort (Japanese, non-Japanese) and by investigational site. Japanese descent will be documented by Family Tree Worksheet that is kept with the subject's source documents. Lenses will be dispensed according to subject-specific randomization schedules provided to the unmasked designee at each site.
<b>Number of Subjects Planned:</b> Approximately 247 subjects (494 eyes), with a minimum of 20% of subjects being of Japanese descent (i.e., both maternal and paternal grandparents born in Japan).
<b>Diagnosis and Main Criteria for Inclusion:</b> <ul style="list-style-type: none"> <li>• Subjects must be 18 to 55 years old on the date the ICF is signed and have capacity to provide voluntary informed consent.</li> <li>• Subjects must be myopic and require lens correction from -0.25 to -6.00 Diopter (D) with 0.25 D steps, in each eye.</li> <li>• Subjects must be correctable through spherocylindrical refraction and with soft spherical contact lenses to 40 letters (0.14 logarithm of the minimum angle of resolution [logMAR]) or better (2 meters distance, high-contrast chart) in each eye.</li> <li>• Subjects must be adapted soft contact lens wearers and willing to wear their study lenses for at least 8 hours per day at least 5 days per week on a daily disposable wear basis for approximately 3 months.</li> </ul>
<b>Key Exclusion Criteria:</b> <ul style="list-style-type: none"> <li>• Subjects participating in any drug or device clinical investigation within 2 weeks prior to entry into this study (Screening/Dispensing Visit) and/or during the period of study participation.</li> </ul>

- Subjects who have worn gas permeable (GP) contact lenses within the last 30 days or who have worn polymethylmethacrylate (PMMA) lenses within the last three months.
- Subjects with an active ocular disease or who are using any ocular medication.
- Subjects who currently wear monovision, multifocal, or toric contact lenses.
- Subjects with an ocular astigmatism of greater than 1.00 D in either eye.
- Subjects with anisometropia (spherical equivalent) of greater than 2.00 D.
- Subjects who are amblyopic.
- Subjects who have had any corneal surgery (e.g., refractive surgery).

### Investigational Product, Dosage and Mode of Administration:

The investigator or designee will instruct all subjects to adhere to the Subject Instructions provided with their study contact lenses.

In order to ensure that the investigator and site staff remain masked to the study lens, an unmasked designee at each site will be responsible for all study lens accountability, including dispensation and collection of study supplies to subjects.

Subjects are to be instructed not to discuss or show the dispensed study lenses to the Investigator or masked site staff during the study.

Subjects will be instructed that other contact lenses (other than the study lenses) and contact lens care products (other than the drops provided) are not allowed to be used during the study period.

### Study Duration of Treatment: approximately 3 months

### Schedule of Visits:

#### APPENDIX A: SCHEDULE OF VISITS AND PARAMETERS

All study tasks should be performed by qualified study site personnel as indicated on the delegation of authority log under the supervision of the Principal Investigator.

PROCEDURE/ASSESSMENTS	Screening/Dispensing Visit Day 1	1-Week Visit Day 6-10	1-Month Visit Day 27-35	2-Month Visit Day 54-68	3-Month Visit Day 91-101 <sup>a</sup>	Exit Visit
Informed consent/HIPAA authorization	X					
Demographics	X					
Contact lens use history and use <sup>b</sup>	X	X	X	X	X	
Ocular Medical History and Concomitant Medications	X					
Changes in Ocular Medical History and/or Concomitant Medications		X	X	X	X	X
High-contrast distance VA (with habitual lenses)	X					
Eligibility	X					
Randomization	X					
Dispense study materials	X	X				
Return worn lens study materials		X	X	X	X	X
Symptoms/complaints Rating Scales	X <sup>c</sup>	X	X	X	X	
Lens Performance Rating Scales		X	X	X	X	
Exit Visit Form (if subject exited at this visit)						X
Adverse events	X	X	X	X	X	X
To be performed without study lenses	Spherocylindrical refraction Distance high-contrast BSCVA Keratometry Slit lamp exam Keratometry comparison (Exit Visit to Screening Visit)	X X X X X				X X X
To be performed with study lenses	Distance high-contrast lens VA Over-refraction and distance high-contrast VA Lens wettability/centration/movement Lens deposits/discoloration	X X X X	X X X X	X X X X	X X X X	
VA line change comparison	Distance high-contrast lens VA to distance high-contrast BSCVA obtained at this visit	X				
	Distance high-contrast lens VA at Follow-up visit to distance high-contrast BSCVA at Screening/Dispensing Visit		X	X	X	X
	Distance high-contrast lens VA at Follow-up visit to distance high-contrast lens VA at Screening/Dispensing Visit		X	X	X	X
	Distance high-contrast BSCVA at Exit visit to distance high-contrast BSCVA at Screening/Dispensing Visit					X

a. The 3-Month Visit MUST occur no earlier than Day 91.

b. Contact lens use history is taken at the Screening Visit and contact lens use is taken at each of the follow-up visits.

c. Symptoms/Complaints at Screening Visit are to be recorded with habitual lenses and again (abbreviated see 5.1.1) with study lenses.

### Criteria for Evaluation:

The primary endpoints are as follows:

#### Effectiveness:

The primary effectiveness endpoint will be contact lens corrected distance high-contrast VA averaged over all scheduled visits.

**Safety:**

The primary safety endpoint will be any slit lamp finding greater than Grade 2 over the course of the study.

**Statistical Methods:**

Continuous data will be summarized using descriptive statistics: sample size (n), mean, standard deviation (SD), median, minimum and maximum. Categorical data will be presented using the total counts for each category and corresponding percentages. The denominator for each percentage will be the number of subjects or eyes with non-missing data at the given visit for each respective study treatment, unless otherwise indicated.

Monocular distance high-contrast VA will be assessed while wearing the study lens at the Screening/Dispensing visit and all scheduled follow-up visits. For each eye visit, the number of letters read correctly will be recorded in the case report form. The number of letters read correctly will be converted to a logMAR value. For each eye, the average of the non-missing logMAR VA values at the scheduled visits (Screening/Dispensing and follow-up visits) will be computed.

The average of the non-missing logMAR VA values at scheduled visits (screening/dispensing and all follow-up visits) will be summarized using continuous summary statistics for the PP Population in a table at the eye level. An analysis of variance model will be constructed using the PP Population with a response variable of average logMAR VA and fixed factors of treatment and site. The least squares mean and standard error will be displayed by treatment group in a table. In addition, the least squares mean difference between treatment groups (test minus control) will be displayed. An upper one-sided 95% confidence limit for the difference between treatment groups will be presented. If the confidence limit is less than 0.06, then the null hypothesis that the Test lens is not non-inferior to the Control lens will be rejected, and the Test lens will be statistically successful in this outcome.

At each follow-up visit, graded slit lamp findings will be assessed for each eye using Grades 0 through 4. Using only the non-missing observations from all visits without imputation, each eye will be classified with respect to findings greater than Grade 2 at any visit (Absent, Present). Missing data will not be imputed. Greater than Grade 2 findings (Absent, Present) will be summarized at the eye level by treatment using categorical summary statistics for the Safety Set in a table. A one-sided upper 95% confidence limit around the difference in “Present” proportions between the test and control treatment groups will be constructed using the Newcombe-Wilson score method. If the upper confidence limit is less than 5%, then the null hypothesis that the Test lens is not non-inferior will be rejected, and the Test lens will be statistically successful in this outcome.

**Sample Size Calculations:**

Power and sample size calculations were performed using nQuery software version 7.0 (Statistical Solutions Ltd).

In a previous study of a similar lens (Bausch + Lomb Protocol 661), the standard deviation of the logMAR VA averaged over all follow-up visits was 0.076. When the sample size in each group (test and control) is 222 eyes, a two group one-sided 0.05

significance level t-test will have 99% power to reject the null hypothesis that the test lens is not non-inferior (the difference in means,  $\mu_T - \mu_C$ , is 0.06 or greater) in favor of the alternative hypothesis that the test lens is non-inferior, assuming that the expected difference in means is 0 and the common standard deviation is 0.076.

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## APPENDICES

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## LIST OF ABBREVIATIONS

Abbreviation /Acronym	Term
ADE	Adverse Device Effect
AE	Adverse Event
ASADE	Anticipated Serious Adverse Device Effect
BSCVA	Best Spectacle-Corrected Visual Acuity
CFR	Code of Federal Regulations
CRA	Clinical Research Associate
D	Diopter
eCRF	Electronic Case Report Form
FDA	United States Food and Drug Administration
GCPs	Good Clinical Practices
GP	Gas Permeable
HIPAA	Health Insurance Portability and Accountability Act
ICF	Informed Consent Form
ICH	International Conference on Harmonisation
ID	Identification
IDE	Investigational Device Exemption
IRB	Institutional Review Board
ISO	International Organization for Standardization
ITT	Intent-to-Treat
logMAR	Logarithm of the Minimum Angle of Resolution
MCMC	Markov chain Monte Carlo
mm	Millimeter
OD	Right Eye
ORS	Oracle Randomization System
OS	Left Eye
PP	Per Protocol
PMMA	Polymethylmethacrylate
ROPI	Report of Prior Investigations
SAE	Serious Adverse Event
SD	Standard Deviation
Tel	Telephone
USADE	Unanticipated Serious Adverse Device Effect
US	United States
VA	Visual Acuity

**NOTE:** *The first occurrence of some abbreviations is not spelled out in the document (e.g., units of measure).*

## **1.0 INTRODUCTION**

Bausch + Lomb is evaluating the safety, efficacy, and product performance of an investigational silicone hydrogel contact lens. Silicone hydrogel contact lenses offer the advantage of high oxygen transmissibility compared to non-silicone hydrogel soft contact lenses, promoting the level of health of the eye.

## **2.0 OBJECTIVE**

The objective of this study is to evaluate the safety and efficacy of a new silicone hydrogel daily disposable contact lens, kalifilcon A compared to the Bausch + Lomb Biotrue ONE day® daily disposable contact lens when worn by current soft contact lens wearers on a daily disposable wear basis.

## **3.0 STUDY DESIGN**

### **3.1 Description of Study Design**

Approximately 247 subjects (494 eyes) will be enrolled in this three month, two arm, 1:1, randomized, parallel, bilateral, single-masked study at approximately 20 investigative sites in the United States (US). In order to use this study for submission to the Japanese Regulatory Agency, a minimum of 20%<sup>1</sup> of the randomized subjects will be of Japanese descent (i.e., both maternal and paternal grandparents born in Japan). Subjects will be assigned randomization numbers sequentially as they are enrolled and deemed eligible to participate. Randomization will be stratified by cohort (Japanese, non-Japanese) and investigational site. Japanese descent will be documented by a Family Tree Worksheet that is kept with the subject's source documents. Lenses will be dispensed according to subject-specific randomization schedules provided to the unmasked designee at each site.

At the Screening/Dispensing Visit, approximately one-half of the subjects will be randomized to receive Bausch + Lomb investigational kalifilcon A daily disposable contact lenses (Test), and approximately one-half of the subjects will be randomized to receive Bausch + Lomb Biotrue ONE day® daily disposable contact lenses (Control). Both groups will wear the assigned lenses on a daily disposable wear basis. The lenses must be replaced with a new lens each day or whenever a lens is removed from the eye.

### **3.2 Selection of Study Population**

Recruitment for the study may start at any point after the Investigator agrees, in writing, to participate in the study. Written informed consent, enrollment in the study, or dispensing of study products cannot begin until the Investigator has received both Institutional Review Board (IRB) and Sponsor approval to conduct the study. The Sponsor and IRB must approve any advertising used to recruit subjects prior to use of that advertising.

All consented subjects must be accounted for, whether they participate in the study or not. Bausch + Lomb will provide a Screening Log on which to enter information for each subject who signs an Informed Consent Form (ICF). Once a potential subject is consented and their initials are entered onto the Screening Log, the Investigator should proceed with screening procedures.

Potential subjects are deemed either “Screen Pass” or “Screen Fail”. “Screen Fail” subjects are those subjects who have not met the study inclusion criteria or have met the exclusion criteria. “Screen Fail” subjects cannot participate in the study. Electronic case report forms (eCRFs) must not be completed for “Screen Fail” subjects; however, the copy of their signed ICF and any information collected as part of screening (e.g., source documents, etc) must be kept in their permanent records.

“Screen Pass” subjects are those subjects who have met all of the study inclusion criteria and have not met any of the exclusion criteria. Only “Screen Pass” subjects are eligible to participate in the study and can be assigned to a treatment using the randomization system.

Once a subject is randomized (i.e., a treatment is assigned), a subject is considered active and must be accounted for at every visit until exited (completed or discontinued) from the study. Refer to Section 3.2.3 for subjects determined to be lost to follow-up.

### 3.2.1 Eligibility

#### 3.2.1.1 Inclusion Criteria

1. Subjects must be 18 to 55 years old on the date the ICF is signed.
2. Subjects must be able to read, understand and provide written informed consent on the IRB approved ICF and provide authorization as appropriate for local privacy regulations.
3. Subjects must be myopic and require lens correction from -0.25 to -6.00 Diopter (D) with 0.25 D steps, in each eye.
4. Subjects must be correctable through spherocylindrical refraction and with soft spherical contact lenses to 40 letters (0.14 logarithm of the minimum angle of resolution [logMAR]) or better (2 meters distance, high-contrast chart) in each eye.
5. Subjects must be free of any anterior segment disorders.
6. Subjects must be adapted soft contact lens wearers and willing to wear their study lenses for at least 8 hours per day at least 5 days per week on a daily disposable wear basis for approximately 3 months.
7. Subjects must be willing and able to comply with all treatment and follow-up/study procedures, as well as willing and able to refrain from using any other contact lenses or solutions other than those provided for the duration of the study.

#### 3.2.1.2 Exclusion Criteria

1. Subjects participating in any drug or device clinical investigation within 2 weeks prior to entry into this study (Screening/Dispensing Visit) and/or during the period of study participation.
2. Subjects who are women of childbearing potential (those who are not surgically sterilized or postmenopausal) are excluded from participation in the investigation if they meet any one of the following conditions:
  - she is currently pregnant

- she plans to become pregnant during the study
  - she is breastfeeding
3. Subjects who have worn gas permeable (GP) contact lenses within the last 30 days or who have worn polymethylmethacrylate (PMMA) lenses within the last three months.
  4. Subjects with any systemic disease currently affecting ocular health or in the Investigator's opinion may have an effect on ocular health during the course of the study.
  5. Subjects using any systemic or topical medications that will, in the Investigator's opinion, affect ocular physiology or lens performance.
  6. Subjects with an active ocular disease or who are using any ocular medication.
  7. Subjects who currently wear monovision, multifocal, or toric contact lenses.
  8. Subjects with an ocular astigmatism of greater than 1.00 D in either eye.
  9. Subjects with anisometropia (spherical equivalent) of greater than 2.00 D.
  10. Subjects with any Grade 2 or greater finding during the slit lamp examination (refer to [Appendix B](#) for methods of clinical evaluation). Subjects with corneal infiltrates, of ANY GRADE, are not eligible.
  11. Subjects with any "Present" finding during the slit lamp examination (refer to [Appendix B](#) for methods of clinical evaluation) that, in the Investigator's judgment, interferes with contact lens wear.
  12. Subjects with any scar or neovascularization within the central 6 mm of the cornea. Subjects with minor peripheral corneal scarring (that does not extend into the central area), that in the Investigator's judgment, does not interfere with contact lens wear, are eligible for this study.
  13. Subjects who are aphakic.
  14. Subjects who are amblyopic.
  15. Subjects who have had any corneal surgery (e.g., refractive surgery).
  16. Subjects who are allergic to any component in the study care products.
  17. Subjects who meet any of the following criteria:
    - the subject is an employee of the investigative site
    - the subject, or a member of the subject's household, is an Ophthalmologist, an Optometrist, an Optician, or an Ophthalmic Assistant/Technician
    - the subject, or a member of the subject's household, is an employee of a manufacturer of contact lenses or contact lens care products (e.g., Alcon, Bausch + Lomb, Ciba Vision, CooperVision, Johnson & Johnson, etc)
    - the subject, or a member of the subject's household, is an employee of a market research firm

If a subject meets all the inclusion criteria and does not exhibit any of the exclusion criteria, the subject is eligible for entry into the study. Ineligible subjects MUST NOT be enrolled in this study. Any subject enrolled in the study who later is found to have not met the eligibility criteria at entry will be discontinued.

### 3.2.2 Subject Completion

If a subject has no ongoing Adverse Event (AE) at the conclusion of the 3-Month Follow-Up Visit, the subject should be exited from the study (complete “Exit Visit” per [Section 5.1.3](#)) and will be considered “Complete.” Subjects who require further follow-up at the conclusion of the 3-Month Follow-Up Visit will be followed according to the AE and/or Unscheduled Visit Section until the AE is resolved or stabilized. Once the AE has resolved or stabilized, the subject should be exited from the study (complete “Exit Visit” per [Section 5.1.3](#)) and will be considered “Complete.” A subject MAY be discontinued (at the discretion of the Investigator, the Sponsor, and/or the IRB/EC) prior to the final study visit for a variety of reasons, including, but not limited to:

- an AE occurring during the course of the study, which precludes continued treatment or follow-up
- persistent Grade 3 or 4 slit lamp findings (must be reported to the Sponsor within 24 hours)
- persistent study related symptoms/complaints
- unacceptable distance lens visual acuity (VA)
- unacceptable lens centration
- unacceptable lens movement
- other reason related to failure to follow study procedures

A subject MUST be discontinued prior to the final study visit for any of the following reasons:

- voluntary withdrawal
- death
- Investigator decision that it is not in the best medical interest of the subject to continue participation in the investigation
- ineligible at Screening/Dispensing - does not meet the eligibility criteria in the protocol
- inability to maintain recommended wearing schedule
- continued failure to follow subject instructions
- misses more than one consecutive follow-up visit
- lack of motivation
- lost to follow-up (refer to Section 3.2.3)
- instillation of non-medically indicated solution not specified in the protocol
- if either eye is discontinued
- becomes pregnant during the study
- **3-Month Follow-up Visit is conducted prior to Day 91**

Prior to discontinuing a subject, every effort should be made to contact the subject, schedule a final study visit, obtain as much follow-up data as possible, and to retrieve all study materials. AEs will be followed as described in [Section 6.0](#).

Subject discontinuations will be documented clearly on the source document and applicable eCRF. The Investigator should indicate the PRIMARY (one) reason that the subject was discontinued for each eye. Subjects that are discontinued from the study following randomization will not be replaced.

Exit Visit assessments should be completed for discontinued subjects.

### 3.2.3 Lost to Follow-up

Subjects who do not return for scheduled follow-up visits, as defined by the visit window and cannot be contacted, are to be considered lost to follow-up. All attempts to contact the subject should be documented and kept with the subject's source documentation, and the applicable eCRFs will be completed. Just prior to database lock, the database will be reviewed for all lost to follow-up entries to confirm, once again, that contact with the subject was never made.

## 3.3 Investigators

The study will be conducted at approximately 20 investigative site(s) located in the US by Investigators who are determined by Bausch + Lomb to be suitably qualified by training and experience to conduct this study. The Principal Investigator will sign the Device Investigator Agreement form prior to the start of the study. This form contains a statement specifying that the investigator is not allowed to deviate from the study protocol, except under emergency circumstances where the deviation protects the rights, safety and well-being of human subjects.

On average, each Investigator will enroll approximately 14 subjects, 28 eyes. A minimum of 20% of randomized subjects will be of Japanese descent (i.e., both maternal and paternal grandparents born in Japan). Some sites will enroll a predetermined number of subjects of Japanese descent. In the event that selected sites do not meet full enrollment, the Sponsor may decide to increase enrollment as needed at other currently active sites and/or additional site(s) may be added to satisfy the enrollment requirements of the study.

## 3.4 Study Duration

Investigators will have approximately four weeks from the enrollment start date communicated by the Sponsor to conduct the Screening/Dispensing Visit for all subjects at the site.

Subjects will be followed for approximately 3 months (unless discontinued or lost to follow-up) from the initial Screening/Dispensing Visit and must adhere to the following schedule:

### Schedule of Follow-up and Exit Visits

Visit	Target	Acceptable Visit Range
1-Week Follow-up Visit	Day 8	Day 6 – 10
1-Month Follow-up Visit	Day 31	Day 27 – 35
2-Month Follow-up Visit	Day 61	Day 54 – 68
3-Month Follow-up Visit	Day 91	Day 91* – 101

Visit	Target	Acceptable Visit Range
Exit Visit	N/A	Same day as the 3-Month Follow-Up Visit or same day of Unscheduled Visit for early discontinuation subjects.

**\*CRITICAL NOTE:** *All subjects must be scheduled so that the 3-month Follow-Up Visit occurs no sooner than Day 91.*

The visit range is based on the date the study lenses are initially dispensed (Screening/Dispensing Visit). A visit scheduling table will be provided to each site to aid the Investigator in scheduling follow-up visits.

### 3.5 Protocol Changes and Amendments

Changes to the protocol will be approved by the Sponsor. An amendment to the protocol will also require submission and approval from the IRB before implementation. The Sponsor (or designee) will distribute protocol amendments to the investigative sites. The Investigator is responsible for ensuring that staff involved at his/her site have completed training on the changes before implementing with subjects.

## 4.0 STUDY MATERIALS

Bausch + Lomb will provide all study materials (with the exception of any kits for ocular cultures) at no charge to the Investigator. Sites will be responsible for obtaining their own ocular culturing kits from a local lab and will be reimbursed for associated expenses. Sites will be provided with dispensing sets for both the Test and Control lenses. All other materials will be provided to the site prior to the start of the study. Refer to Product Replacement (Section 4.8) for ordering replacement test or control product in the case of loss or damage.

Subjects will be provided with lens cases in which to dry stack their used lenses, as well as Bausch + Lomb Sensitive Eyes® Drops. All used and unused lenses will be returned to the Sponsor at end of the study.

Subjects must use only study supplied lenses/solutions. Use of other contact lenses or care products is not allowed.

### 4.1 Description of Test Article(s) (Study Lenses)

The Test lens to be used in this study is the Bausch + Lomb kalifilcon A daily disposable contact lens, manufactured by Bausch + Lomb Incorporated, Rochester, NY. The description of the Test lens is as follows:

- Sphere Power: -0.25 to -6.00 D in steps of 0.25 D
- Diameter: 14.2 mm
- Base Curve: 8.6 mm
- Material: kalifilcon A

### 4.2 Description of Comparator Product(s)

The Control lens to be used in this study is the currently marketed Bausch + Lomb Biotrue ONE day® daily disposable contact lens, manufactured by Bausch + Lomb Incorporated, Rochester, NY. The description of the Control lens is as follows:

- Sphere Power: -0.25 to -6.00 D in steps of 0.25 D
- Diameter: 14.2 mm
- Base Curve: 8.6 mm
- Material: nesofilcon A

#### **4.3 Instructions for Use and Administration**

Test or Control lenses will be worn on a daily disposable wear basis. New study lenses will be dispensed at the Screening/Dispensing Visit and at the 1-week Follow-up Visit in sufficient quantities to maintain a daily disposable wear modality for the duration of the study. Subjects must wear their study lenses to all follow-up visits. After contact lens assessments are complete at Follow-up Visits, the unmasked designee from the study site will collect and store worn lenses for subsequent return to the Sponsor.

##### **4.3.1 Storage Requirements**

All Test and Control lenses provided by the Sponsor must be stored in a secure location accessible only to unmasked study personnel and maintained at room temperature above 34°F (1°C).

##### **4.3.2 Subject Instructions**

- a) All subjects must be given Subject Instructions for the use of study lenses (refer to [Appendix C](#) for Subject Instructions). Subjects must comply with the instructions provided to them. Subject Instructions will be supplied to the Investigator by Bausch + Lomb for distribution to the subject.
- b) The Investigator or other designee must review, with the subject, the Subject Instructions and the precautions and warnings, as appropriate for the study.
- c) Any subject who does not follow instructions to a degree that, in the Sponsor or Investigator's opinion, jeopardizes the subject's well-being or the validity of the study, should be discontinued.

##### **4.3.3 Fitting Guide**

The Investigator should refer to the Fitting Guide (see [Appendix D](#)) for fitting the Test and Control lenses.

#### **4.4 Other Study Materials**

All subjects will be dispensed Bausch + Lomb Sensitive Eyes® Drops for use as needed and lens cases for return of worn study lenses to the Investigator during the study. Participants are not to use their own contact lens drops/solutions, but rather the drops/solutions provided to them. ***OTHER CONTACT LENS CARE PRODUCTS ARE NOT ALLOWED TO BE USED.***

Investigators will return worn and unworn study lenses to the Sponsor at the end of the study.

#### **4.5 Packaging and Labeling**

The Test lenses will be packaged in a blister pack with an investigational label. The label will contain the following information at minimum:

- lens power
- base curve
- lot number
- expiration date
- manufacturer's name
- manufacturer's place of business
- caution statement

Control lenses will be packaged in standard commercial blister pack packaging.

#### **4.6 Accountability**

The unmasked designee at each site will be responsible for keeping current and accurate records of the amount of study lenses received and dispensed, and their disposition. The study lenses must be stored under the appropriate conditions in a secure area and are to be dispensed only to subjects enrolled in the study, in accordance with the conditions specified in this protocol. An accountability log will be provided to the sites for the unmasked designee to maintain records of the study lenses assigned to each enrolled subject.

At time points throughout the study and/or upon completion of the study, the Sponsor/Sponsor's representative will review and verify the Investigator's accountability records. Following verification, and as directed by the Sponsor, all study lenses (Test and Control, both worn and unworn) must be returned to the Sponsor at the address below:



#### **4.7 Masking/Unmasking**

The Investigator/site staff and Bausch + Lomb personnel or designee(s) involved in the conduct of the study will be masked to the study lenses. Each site must have an unmasked designee that will be responsible for dispensing and collecting the study Test and Control lenses and accountability. This designee shall not participate in study assessments that may cause bias to the study data.

The randomization schedule will be created by an unmasked statistician not otherwise involved in the study. Personnel involved with repackaging and supply of clinical trial material will also be unmasked. Field Clinical Research Associates (CRAs) will become unmasked during product reconciliation, at the conclusion of each site's participation in the study and prior to locking the database. Unmasked designees at the site will manage the dispensation and return of study lenses and related supplies. All other study personnel will remain masked until database lock.

In the event that unmasking of a subject's randomly assigned treatment is required, the Investigator (or other designee) is required to contact the Medical Monitor (or designee) to request permission to unmask. The Medical Monitor (or designee) will contact the Sponsor designee (Dan Donatello, see Personnel and Facilities Page 3) and obtain approval to grant permission to unmask. Upon receipt of authorization from the Sponsor designee, the Medical Monitor (or designee) will advise the Investigator (or other qualified designee) to log into the randomization system and unmask the subject. If the Medical Monitor cannot be contacted, the Investigator (or other qualified designee) should then contact the Sponsor designee who can authorize the unmasking of a subject. In the event that the Medical Monitor or Sponsor designee cannot be contacted and the Investigator (or other qualified designee) deems the unmasking emergent, the Investigator may log into the randomization system without authorization and unmask the subject. Whether unmasking occurs inadvertently or intentionally, the Investigator must notify the Medical Monitor or Sponsor designee as soon as possible after unmasking. In addition, the Investigator must record the date, time, and reason for unmasking the study treatment in the source documentation.

Subjects will be instructed to insert the study lenses out of the sight of the Investigator or site staff other than the unmasked designee. Subjects are not to show the study blister packs and foils to the Investigator or site staff other than the unmasked designee unless instructed to do so.

#### **4.8 Product Replacement**

Subjects will be given an adequate supply of study lenses to wear on a daily disposable basis for the duration of the study.

All additional/replacement lenses (either Test or Control) must be ordered from Bausch + Lomb Clinical Trial Materials Supply Chain by sending a Material Order Form to [REDACTED].

#### **4.9 Risk Assessment**

Information concerning potential risks associated with the investigational device (as well as possible interactions with concomitant medical treatments and risk-to-benefit ratio) can be found within the Report of Prior Investigations (ROPI) for the study. Risks are also summarized within the Informed Consent document. The assessments required for the study are routinely performed and are standard of care for contact lens wearers. The subjects will be informed of any potential study specific risks in the ICF or if new risks become apparent during the study.

### **5.0 STUDY METHODS**

#### **5.1 Study Visits**

Refer to [Appendix A](#) for a schedule of visits and parameters and [Appendix B](#) for Methods of Clinical Evaluation.

Following identification of a potential subject, the Investigator (or designee) will explain the purpose of the study, procedures, risks/benefits, and subject responsibilities to the potential subject. The subject's willingness and ability to meet the follow-up requirements of the study will be determined. If the subject chooses to participate in the investigation,

written informed consent will be obtained. The subject and the person obtaining written consent will sign and date the IRB-approved ICF. The Investigator must keep the signed ICF document. The signed original document should be retained in the subject's records, and a copy should be provided to the subject. In addition, the applicable privacy regulation requirements must be met.

### 5.1.1 Screening/Dispensing Visit (Day 1)

A Screening Log will be provided by the Sponsor to track all consented subjects that the Investigator interviews regarding the study. Once all available lines on the Screening Log have been completed, or the Investigator has fulfilled his/her quota of subjects, the Investigator will sign and date the form to verify that all the subjects who interviewed for the study have provided informed consent and Health Insurance Portability Accountability Act (HIPAA) authorization.

After obtaining written informed consent, prospective subjects will be screened to determine whether they meet the entry criteria for the study.

Screening/Dispensing will proceed as follows:

- a. Enter the subject information on the next available line of the Screening Log.
- b. Collect the subject's demographics including collection of the Family Tree Worksheet for subjects of Japanese descent.
- c. Collect the following lens use history information from the subject:
  - Average number of days per week worn
  - Average daily wearing time, hours per day
  - Average hours of comfortable wear per day
  - Hours lenses worn on the day of this visit
  - Current lens brand and lens wear modality
  - Current lens care products
- d. Collect ocular medical history (within 1 year of signing the ICF) and concomitant medications. Concomitant medications (as defined for this study) include any medications for ocular conditions taken within 30 days of signing the ICF and any concomitant medications taken during the study.
- e. Perform high-contrast distance VA with the subject's habitual lenses.
- f. Perform the following assessments (without lenses):
  - spherocylindrical refraction using a phoropter
  - distance high-contrast best spectacle-corrected visual acuity (BSCVA) using a phoropter
  - keratometry
- g. Perform a slit lamp examination (without lenses) and record:
  - Any ungraded finding marked as "Present"
  - Any corneal scars
  - Any neovascularization within the central 6 mm of the cornea

- Any corneal staining
  - Any corneal infiltrate (record details on the Corneal Infiltrates Evaluation Form in [Section 8.0 of Appendix B](#))
  - Record and sketch any scars and slit lamp findings greater than Grade 2 in the subject's source document.
  - After fluorescein is used, flush the eyes with sterile saline solution. Wait at least 5 minutes before inserting new lenses.
- h. Indicate on the Screening Log whether the subject is a “Screen Pass” or “Screen Fail”. “Screen Fail” subjects are ineligible and cannot be randomized in the study. The reason for screen failure must be documented on the Screening Log and in the subject's source. The subject's source is to be maintained with their ICF.
- Only “Screen Pass” subjects should be randomized in the study. If the subject is a “Screen Pass”, perform the following:
- i. Collect Symptoms/Complaints Rating Scales (refer to [Section 6.0 of Appendix B](#)) from the subject regarding their habitual lenses.
  - j. Randomize the subject using Oracle Randomization System (ORS). The unmasked designee ONLY must determine the subject's randomized study lens assignment through ORS.
- The unmasked designee will then dispense lenses (in the subject's randomized study lens assignment and appropriate sphere power) to maintain a daily disposable modality until the 1-Week Follow-up Visit and record the dispensation in the Product Accountability Log.
- In an effort to ensure the Investigator remains masked, the unmasked designee MUST dispense the lens blister packs.
- k. Have the subject insert one pair of the study lenses in their eyes. In an effort to ensure the Investigator remains masked, the subject MUST insert the lenses out of the sight of the Investigator and other masked site personnel.
  - l. Review Subject Instructions with the subject and provide the subject with a copy of the instructions. Dispense lens cases. Instruct the subject to place all worn lenses in a lens case (up to 10 pairs of lenses per case) with no solution (dry). The subject should return the lens cases to the site at the 1-Week Follow-Up Visit. Remind the subject to use the white drawstring bag to bring all lenses (worn and unworn) to each visit.
- NOTE: Study lenses should be allowed to equilibrate a minimum of three minutes on the eye.***
- m. Record the dispensed lens sphere power and after a minimum of three minutes of the subject wearing the lenses, perform the following assessments:
    - distance high-contrast lens VA
    - over-refraction and distance high-contrast VA (using a phoropter)
    - lens wettability
    - lens centration
    - lens movement

For each eye, compare the distance high-contrast lens VA to the distance high-contrast BSCVA obtained at this visit. If the VA has decreased by 5 letters (0.1 logMAR) or more, explain.

- n. Collect abbreviated Symptoms/Complaints Rating Scales regarding the study lenses:
  - Rating Scale #1: Burning/Stinging Upon Insertion,
  - Rating Scale #2: Comfort Upon Insertion,
  - Rating Scale #11: Vision Upon Insertion.
- o. Collect/assess all AEs/ADEs, including serious or significant non-serious AEs, that have occurred since consent was signed.
- p. For female subjects of childbearing potential, re-emphasize the exclusion criterion stating that if the subject is pregnant and/or breast feeding, she is ineligible to be a part of the study.
- q. The following eCRF should be completed only if the subject is a “Screen Pass”:
  - Screening/Dispensing Visit

Note: If the subject is discontinued or exited at this visit (after randomization), complete the “Exit Visit” (per 5.1.3).

#### 5.1.2 1-Week, 1-Month, 2-Month, and 3-Month Follow-up Visits

***NOTE: If the subject does not come to a visit wearing lenses and is not experiencing any problems, it is preferred if the current visit is rescheduled within the visit window.***

- a. If this is an Exit Visit, complete the “Exit Visit” assessments (per [Section 5.1.3](#)). If a subject misses the scheduled follow-up visit and cannot be seen prior to the start of the visit range for the next scheduled follow-up visit, then the visit is considered missed.
- b. Obtain information on any changes in ocular medical history and/or the use of concomitant medications.
- c. Collect the following lens information from the subject:
  - Average number of days per week worn
  - Average daily wearing time, hours per day
  - Average hours of comfortable wear per day
  - Hours lenses worn on the day of this visit
- d. Collect Symptoms/Complaints information (refer to [Section 6.0 of Appendix B](#)) from the subject regarding their study lenses and perform the Lens Performance Rating Scales (refer to [Section 5.0 of Appendix B](#)).
- e. Evaluate the study lenses (while on eye) and record the following assessments:
  - distance high-contrast lens VA
  - over-refraction and distance high-contrast VA (using a phoropter)
  - lens wettability
  - lens deposits
  - lens centration

- lens discoloration
- lens movement

For each eye, compare

- The distance high-contrast lens VA to the distance high-contrast lens VA obtained at the Screening/Dispensing Visit.
- The distance high-contrast lens VA to the distance high-contrast BSCVA obtained at the Screening/Dispensing Visit.
- If the VA has decreased by  $\geq 10$  letters (0.2 logMAR) or more, explain.

f. Perform a slit lamp examination (without lenses) and record the following:

- Any ungraded finding marked as “Present”
- Any new corneal scars
- Any neovascularization within the central 6 mm of the cornea
- Any corneal staining
- Any corneal infiltrate (record details on the [Corneal Infiltrates Evaluation Form](#) in Appendix B)
- Record and sketch any scars and slit lamp findings greater than Grade 2 in the subject’s source document.
- After fluorescein is used, flush the eyes with sterile saline solution. Ensure that at least 5 minutes pass if the subject inserts a new pair of lenses at this visit.

g. At the 1-Week follow-up visit, the unmasked designee will dispense additional lenses, ensuring that the subject has sufficient lenses to maintain a daily disposable wear modality until the 3-Month Follow-up Visit according to the randomization assignment for each subject and record in the Product Accountability Log. Remind the subject to use the white drawstring bag to bring all lenses (worn and unworn) to each visit. In an effort to ensure the Investigator remains masked, the unmasked designee MUST dispense the lens blister packs. If the subject inserts lenses at the visit, the subject MUST insert the lenses out of the sight of the Investigator and other masked site personnel.

h. Record the following in the source documentation and transcribe to the appropriate Follow-Up Visit Form:

- dispensed lens sphere power

i. If a lens parameter change is required, the subject MUST insert one pair of the newly dispensed lenses in their eyes. After the lenses have equilibrated for at least 3 minutes, confirm the lenses are acceptable for study continuation. If the lenses are unacceptable for study continuation, complete the “Exit Visit” (per [Section 5.1.3](#)) and discontinue the subject from the study.

j. At all Follow-up Visits, collect the worn lenses from the Subject for return to the Sponsor. Dispense additional lens cases. Instruct the subject to place all worn lenses in a lens case (10 pairs of lenses per case) with no solution (dry). The subject should return

the lens cases to the site at the 1-Week, 1-Month, 2-Month, and 3-Month Follow-Up Visits.

- k. Collect/assess all AEs/ADEs, including serious or significant non-serious AEs, since consent was signed.
- l. For female subjects of child-bearing potential, re-emphasize the exclusion criterion stating that if the subject is pregnant and/or breast feeding, she is ineligible to be a part of the study.
- m. The following eCRF should be completed:
  - appropriate Follow-up Visit (1-Week, 1-Month, 2-Month, or 3-Month)

**Note: If the subject is discontinued or exited at this visit, complete the “Exit Visit” (per 5.1.3).**

***CRITICAL NOTE: All subjects must be scheduled so that the 3-month Follow Up Visit occurs no sooner than Day 91.***

- 5.1.3 Exit Visit (On the same day immediately following the 3-Month Follow-Up Visit or Unscheduled Visit for any subject(s) being discontinued from the study)

**Do not continue with the Exit Visit until/unless the subject is ready to exit the study. Subjects who require further follow-up at the conclusion of the 3-Month Follow-Up Visit will be followed according to the AE and/or Unscheduled Visit Section until the AE is resolved or stabilized.**

- a. Indicate status of the subject on the Subject Exit Form. If the status is “Discontinued” or “Non-dispensed,” indicate the PRIMARY exit reason for each eye on the Subject Exit Form.
- b. Obtain information on any changes in ocular medical history and/or the use of concomitant medications.
- c. For all subjects, complete an exit ocular examination without lenses on the eyes. Collect the following assessments:
  - spherocylindrical refraction using a phoropter
  - distance high-contrast BSCVA using a phoropter
  - keratometry
- d. For each eye, compare the Exit Visit distance high-contrast BSCVA to the distance high-contrast BSCVA obtained at the Screening/Dispensing Visit. If the VA has decreased by 10 letters (0.2 logMAR) or more, explain.
- e. For each eye, compare the Exit Visit keratometry readings to the Screening/Dispensing Visit keratometry readings. If there is a change of 1.00 D or more, explain.
- f. Collect all worn and unworn study lenses.
- g. Collect/assess all AEs and ADEs, including serious or significant non-serious AEs, that have occurred since consent was signed.
- h. The following eCRF should be completed:

- Subject Exit

#### 5.1.4 Unscheduled Visits

Additional visits may be scheduled, as necessary, to ensure the safety and well-being of subjects. All additional exams should be fully documented in the source documents and on Unscheduled Visit eCRFs, as appropriate. Visits intended to fulfill scheduled visit requirements that fall outside the designated scheduled visit range are not Unscheduled Visits. In these cases, the visit data will be collected and transcribed to the appropriate scheduled visit eCRF.

If a subject is seen for multiple visits during a given visit timeframe, the data from the visit that is intended to meet the protocol requirements for the scheduled visit should be captured on the visit eCRF. Where such a determination cannot be made, the first visit within the scheduled visit interval will be used for completion of the protocol required scheduled visit eCRF. Data from any additional visits within a scheduled visit interval will be captured on an Unscheduled Visit eCRF.

Subjects who require further follow-up on an AE/SAE upon discontinuation or at the conclusion of the 3-Month Follow-Up Visit will be followed according to the AE and/or Unscheduled Visit Section as necessary. At these follow-up visits, the subject should remove contact lenses s/he may be wearing. At a minimum, a slit lamp examination, keratometry, spherocylindrical refraction, and distance high-contrast BSCVA should be completed. The Investigator is required to follow the subject until the condition no longer warrants further follow-up for study purposes.

Product Dispensing Only (Part of the Unscheduled Visit - used only if lens replacement is needed)

If a subject is only seen for an unscheduled lens replacement, a complete exam is not required as long as the subject is not experiencing any problems. In these cases, the following information will be collected in the source documents and transcribed to the Product Dispensing Only eCRF:

- Visit date
- Subject Identification (ID) number
- Subject initials
- Primary reason for replacement

NOTE: For study lens replacement, an unmasked designee MUST dispense the study lens blister packs to the subject to ensure the Investigator remains masked. The unmasked designee will also record the lenses dispensed on the Product Accountability Log. If the subject inserts lenses at the visit, the subject MUST insert the lenses out of the sight of the Investigator and other masked site staff.

If a subject is experiencing problems, complete the following, as appropriate, and complete an Unscheduled Visit eCRF instead of a Product Dispensing Only eCRF:

- a. Indicate the reason for the Unscheduled Visit.

- b. Obtain information on any changes in ocular medical history and/or the use of concomitant medications.
- c. Collect the following lens information from the subject regarding the study lenses:
  - Average number of days per week worn
  - Average daily wearing time, hours per day
  - Average hours of comfortable wear per day
  - Hours lenses worn on the day of this visit
- d. Collect Symptoms/Complaints information (refer to [Section 6.0 of Appendix B](#)) from the subject regarding their study lenses and perform the Lens Performance Rating Scales (refer to [Section 5.0 of Appendix B](#)).
- e. If the subject did not come to the visit wearing one or more study lenses, go to step f. Otherwise, evaluate the lenses (while on eye), and record the following assessments:
  - distance high-contrast lens VA
  - over-refraction and distance high-contrast VA (using a phoropter)
  - lens wettability
  - lens deposits
  - lens centration
  - lens discoloration
  - lens movement
- For each eye, compare the distance high-contrast lens VA to the distance high-contrast lens VA obtained at the Screening/Dispensing Visit. If the VA has decreased by 10 letters (0.2 logMAR) or more, explain.
- For each eye, compare the distance high-contrast lens VA to the distance high-contrast BSCVA obtained at the Screening/Dispensing Visit. If the VA has decreased by 10 letters (0.2 logMAR) or more, explain.
- f. Perform a slit lamp examination (without lenses) and record the results and findings. Record and sketch any scars and slit lamp findings greater than Grade 2 in the subject's source document. After fluorescein is used, flush the eyes with sterile saline solution. Ensure that at least 5 minutes pass if the subject inserts a new pair of lenses at this visit.
- g. If an unscheduled lens replenishment is required, the unmasked designee will dispense additional lenses ensuring that the subject has sufficient lenses to maintain a daily disposable wear modality until the Follow-Up Visit according to the Randomization assignment for each subject and record in the Product Accountability Log. In an effort to ensure the Investigator remains masked, an unmasked designee MUST dispense the lens blister packs to the subject. If the subject inserts lenses at the visit, the subject MUST insert the lenses out of the sight of the Investigator and any other masked site staff.
- h. Record the following in the source documentation and transcribe to the appropriate eCRF form for the Unscheduled Visit:
  - dispensed lens sphere power

- i. If a lens parameter change is required, the subject MUST insert one pair of the dispensed lenses in their eyes. After the lenses have equilibrated for at least 3 minutes, confirm the lenses are acceptable for study continuation. If the lenses are unacceptable for study continuation, complete the “Exit Visit” (per [Section 5.1.3](#)) and discontinue the subject from the study.
- j. Collect the worn lenses from the Subject (if brought by the subject to the Unscheduled Visit). Dispense additional lens cases, if needed. Instruct the subject to place all worn lenses in a lens case (10 pairs of lenses per case) with no solution (dry). The subject should return the lens cases to the site at the next Follow-Up Visit.
- k. Collect/assess all AEs/ADEs, including serious or significant non-serious AEs, that have occurred since consent was signed.
- l. For female subjects of childbearing potential, re-emphasize the exclusion criterion stating that if the subject is pregnant and/or breast feeding, she is ineligible to be a part of the study.
- m. The following eCRFs should be completed:
  - Unscheduled visit

***Note: If the subject is discontinued or exited at this visit, complete the “Exit Visit” (per 5.1.3).***

#### 5.1.5 Missed Visits

If a subject misses any scheduled follow-up visit and cannot be seen prior to the start of the visit range for the next scheduled follow-up visit, the visit is considered missed.

### 5.2 Study Completion

Bausch + Lomb will notify the Investigator when to contact the IRB to inform them that the study is complete.

#### 5.2.1 Study Termination/Suspension

If, during the study, it becomes evident to the Sponsor that the study should be stopped prematurely or placed on hold, appropriate notification will be given to the Investigator(s) and IRBs, and the United States Food and Drug Administration (FDA) or Local Health Authority, as applicable. Bausch + Lomb will instruct the Investigators to stop/restart dispensing study materials and will arrange for study closeout, if applicable, at each site. Any subjects with ongoing Adverse Events at the time of premature study termination or hold will be followed by the Investigator, as outlined in the Safety Management Plan.

### 5.3 Concomitant Medications/Therapy

Other contact lenses/solutions are not allowed to be used by subjects during the study.

Ocular medications or systemic or topical medications that, in the Investigator’s opinion, could potentially affect ocular physiology or lens performance are also prohibited, unless medically necessary during the course of the study. Ocular medications that have been

taken in the past 30 days before signing the ICF and any medications taken during the course of the study will be collected in the source and appropriate eCRF.

#### **5.4 Protocol Deviations**

The date of and reason for deviations will be documented in all cases. Significant or major protocol deviations impacting the safety of the subject or the integrity of the study must be reported by the Investigator to the IRB immediately. Reporting of all other protocol deviations must adhere to the requirements of the governing IRB.

Any subject enrolled in the study who later is found to have not met the eligibility criteria at entry will be discontinued. Otherwise, unless the protocol deviations put the subject at risk or the subject's condition requires that they be discontinued from the study, subjects may continue to participate until the end of the study.

According to the the Device Investigator Agreement, all investigators participating in this study agree to conduct the study in accordance with the relevant, current protocol and agree to only make changes in a protocol after being notified by the Sponsor, except when necessary to protect the safety, rights, or welfare of subjects.

Site Corrective Action plans will be developed and completed as deemed necessary by the CRO for sites or investigators who deviate from this protocol in a way that adversely affects the rights, safety or well-being of the subject(s) and/or the quality or integrity of data. The Site Corrective Action Plan will outline the deviation and the site's corrective and/or remedial actions. Decisions regarding critical deviations that merit investigator disqualification and site closure will be made by the Sponsor and documented in the Trial Master File.

### **6.0 ADVERSE EVENTS**

#### **6.1 Adverse Event Definitions**

For the purposes of this study, reportable AEs include ocular AEs and non-ocular serious adverse events (SAEs). All AEs will be classified first for seriousness and significance and then as to whether or not they are device related or non-device related and if device related, then, if it is an adverse device effect (ADE), an anticipated serious adverse device effect (ASADEs) or an unanticipated serious adverse device effect (USADEs). AEs, ADEs, ASDAEs, USADEs, SAEs, Significant Non-Serious AEs and Non-Significant Non-Serious AEs are defined as follows:

##### **6.1.1 Adverse Event (AE)**

Any untoward medical occurrence, unintended disease or injury, or untoward clinical signs (including abnormal laboratory findings) in a subject, user, or other persons, whether or not related to the investigational medical device. This definition includes events not related to the investigational medical device, comparator, or the procedures involved. For users or other persons, this definition is restricted to events not related to investigational medical devices.

Throughout the course of this study all efforts will be made to remain alert to reportable AEs. If an AE occurs, the first concern will be the safety of the subject and appropriate medical intervention will be made.

All reportable AEs occurring after signing of informed consent and through the subject's end of participation in the study must be reported. All reportable AEs must be followed until the event resolves or stabilizes.

Applicable AEs (from slit lamp examination) should be photo documented and communicated to the Medical Monitor.

### 6.1.2 Adverse Device Effect (ADE)

An ADE is an AE that is assessed to be related to the use of an investigational medical device. This definition includes AEs resulting from insufficient or inadequate instructions for use; deployment, implantation, installation, or operation; or any malfunction of the investigational medical device. This definition also includes any event resulting from use error or from intentional misuse of the investigational medical device.

#### 6.1.2.1 Anticipated Serious Adverse Device Effect (ASADE)

An ASADE is an ADE that first meets the serious criteria (see [Section 6.1.3](#)) or significant non-serious criteria (see [Section 6.1.4](#)) and which, by its nature, incidence, severity or outcome, has been previously identified in the investigational plan or application (including a supplementary plan or application) and/or in the risk analysis report. ASADEs include:

- Corneal Ulcer (infectious or non-infectious)
- Keratitis
- Sensitivity to light (photophobia)
- Excessive eye secretions including mucopurulent discharge
- Blurred vision, rainbows or halos around objects
- Poor visual acuity (reduced sharpness of vision)
- Moderate to severe eye pain not relieved by removing the lens

#### 6.1.2.2 Unanticipated Serious Adverse Device Effect (USADE)

An USADE is an ADE that first meets the serious criteria (see [Section 6.1.3](#)) and has an effect on health or safety or any life-threatening problem or death caused by, or associated with, a device if that effect, problem or death was not previously identified in nature, severity or degree of incidence in the investigational plan or application (including a supplementary plan or application), or any other unanticipated serious problem associated with a device that relates to the rights, safety, or welfare of subjects.

### 6.1.3 Serious Adverse Event (SAE)

An AE that:

- Led to death;
- Led to serious deterioration in the health of the subject, that resulted in:

- A life-threatening illness or injury; or
- A permanent impairment of a body structure or a body function (e.g., blindness); or
- Inpatient or prolonged hospitalization; or
- Medical or surgical intervention to prevent life-threatening illness or injury or permanent impairment to a body structure or a body function;
- Led to fetal distress, fetal death, or a congenital abnormality or birth defect.

*Note: A planned hospitalization for a pre-existing condition, or a procedure required by the protocol, without serious deterioration in health, is not considered an SAE.*

Serious adverse events are also those events that result in, or have potential to cause, either permanent impairment of an ocular function or damage to an ocular structure and may necessitate medical or surgical intervention.

Serious adverse events may include any hazardous, sight-threatening conditions occurring after exposure to the test article, including the following:

- A presumed infectious ulcer (defined as a progressive erosion of the corneal tissue). For the purposes of reporting, this includes:
  - Central or para-central location;
  - Penetration of Bowman's membrane;
  - Infiltrate  $\geq 2$  mm diameter;
  - Associated with iritis;
  - Associated with any increase in intraocular pressure;
  - Culture positive for microorganisms;
  - Increasing size or severity at subsequent visits;

*NOTE: Signs of a presumed infectious corneal ulcer may include irregular focal infiltrates, active lesions with raised edges, significant diffuse infiltration, anterior corneal to mid-stromal involvement, erosion with overlying staining, conjunctival and lid edema, anterior chamber reaction (iritis), and severe bulbar and limbal redness. Symptoms associated with a presumed infectious ulcer (microbial keratitis) may include pain of rapid onset, severe redness, purulent or mucopurulent discharge, tearing, and photophobia.*

- Any central or paracentral (within 6 mm of cornea) corneal event that results in permanent opacification (such as corneal scar or vascularization);
- Any serious adverse ophthalmic events including hypopyon and/or hyphema;
- Any neovascularization within the central 6 mm of the cornea;
- Permanent loss of  $\geq 2$  lines/10 letters of BSCVA;
- Loss of  $\geq 15$  letters of Distance High-Contrast lens VA from baseline

- All cases of iritis.

#### 6.1.4 Significant Non-Serious Adverse Events

A significant non-serious adverse event is an AE that does not meet the serious criteria, is considered significant by the Sponsor, and requires expedited reporting. These events include:

- Peripheral non-progressive non-infectious corneal ulcers;
- All symptomatic corneal infiltrative events;
- All cases of corneal staining greater than or equal to Grade 3;
- A temporary loss of two or more lines/10 or more letters of BSCVA (for greater than or equal to 2 weeks);
- Neovascularization cases Grade 2 or greater;
- Any ocular event that necessitates temporary lens discontinuation of greater than or equal to 2 weeks.

#### 6.1.5 Non-Significant Non-Serious Adverse Events

A non-significant non-serious adverse event may include but are not limited to the following and does not require expedited reporting:

- Bacterial Conjunctivitis;
- Viral Conjunctivitis;
- Allergic Conjunctivitis;
- Corneal Edema;
- Contact Lens Related Papillary Conjunctivitis; and,
- Loss of Contrast Sensitivity

### 6.2 Adverse Event Treatment and Culturing

With any AE, treat the subject as appropriate to prevent further complications and to potentially resolve the event consistent with the standard of care.

For purposes of this study, the Sponsor requests that cultures should be obtained in cases of corneal ulcer or suspected ocular infection, unless medically contraindicated. Cultures should be taken from the cul-de-sac, lower eyelid margin, and the corneal lesion (if applicable). The required culturing techniques are outlined in [Appendix B](#).

When a culture is obtained, the contact lenses and contact lens cases which were being utilized by the subject at the time of the AE should be collected from the subject for culturing and processing by the local clinical laboratory designated by the site.

Microbial data generated from returned subject supplies (e.g., lenses, lens cases) are for information only. Because microbes may be introduced into subject supplies during use, recovery of microbes from returned subject supplies cannot be presumed to indicate etiology or direction of organism transmission.

The ocular cultures, along with the associated contact lenses and contact lens cases, will be sent to the local clinical laboratory designated by the site for analysis. The clinical laboratory will report the culture results to the Investigator who will record the results in the eCRF.

### **6.3 Evaluations**

When evaluating for reportable AEs, the Investigator must first determine if the event is serious (refer to [Section 6.1.3](#) for criteria) and/or significant (refer to [Sections 6.1.4](#) and [6.1.5](#)) and then assess the severity of symptoms and the relationship of the event to the study device using the following guidelines:

#### **6.3.1 Severity**

- **Mild:** Subject awareness of a sign or symptom that is easily tolerated, requires no treatment, and does not interfere with subject's daily activities.
- **Moderate:** Subject awareness of a sign or symptom which may be a low level of concern to the subject and may interfere with daily activities but can be relieved by simple therapeutic care.
- **Severe:** A sign or symptom that interrupts the subject's daily activity and requires systemic therapy or other treatment

#### **6.3.2 Relationship to Study Device and/or Rewetting Drops**

- **Related:** There is at least a reasonable possibility that the AE is related to the study device (contact lens) and/or rewetting drops. Reasonable possibility means that there is evidence to suggest a causal relationship or association between the study device and/or rewetting drops and the AE. Also referred to as an ADE.
- **Not Related:** There is little or no reasonable possibility that the AE is related to the study device (contact lens) and/or rewetting drops. This assessment implies that the AE has no evidence to suggest either a causal relationship or association to the study device and/or Rewetting Drops and a more likely or certain alternative etiology exists.

### **6.4 Procedures for Reporting SAEs and Significant Non-Serious Adverse Events**

An AE classified as a SAE or a Significant Non-Serious AE requires expeditious handling and reporting to the Sponsor to comply with regulatory requirements, as follows:

- The event must be reported to the Medical Monitor (or designee) within 24 hours of the Investigator's awareness of the event via facsimile/email transmission on a paper SAE or Significant Non-Serious AE Report Form signed by the Investigator.

Lexitas Medical Monitor (or designee)



- The Medical Monitor (or designee) will email a copy of the form (within 24 hours) to [REDACTED]  
[REDACTED]  
[REDACTED].
- Investigators should not wait to receive additional information to fully document the event before initially notifying the Medical Monitor (or designee) of an SAE or a Significant Non-Serious AE. Additional relevant information such as hospital records and autopsy reports should be provided to the Medical Monitor (or designee) as soon as they are available.
- The Investigator should take all appropriate measures to ensure the safety of the subjects: notably, he/she should follow a subject with an SAE or Significant Non-Serious AE until the event has resolved or the condition has stabilized. This may imply that follow-up will continue after the subject has left the study, and that additional evaluations may be requested by the Sponsor.
- Ensure that the subject's identity is protected and the subject's identifiers in the clinical trial are properly mentioned on the form.
- **BEGIN TREATMENT OF THE AE IMMEDIATELY BY A SUITABLY LICENSED EYE CARE PROFESSIONAL.**
- Continue to update the paper SAE or Significant Non-Serious AE Report Form, if applicable, each time the subject is seen during the management of the event and at resolution of the event. All updated report forms should be submitted to the Medical Monitor (or designee) who will distribute the reports as stated above. Whenever possible, it is suggested that the Investigator take photographs of all applicable AEs and forward them to the Medical Monitor (or designee).
- Events requiring medical treatment will be evaluated by the Sponsor. Upon review of the medical treatment, Bausch + Lomb representatives may contact the Investigator to request further information concerning the treatment.
- Report all USADEs to the reviewing IRB within 10 working days following awareness of the USADE or according to the established reporting procedures of the IRB, whichever is shorter.
- Submit all bills, prescription receipts, and culture reports/fees related to the AE to the Bausch + Lomb. Expense incurred for study related medical treatment will be paid by Bausch + Lomb.

- Full details of the process for reporting adverse events are outlined in the Safety Management Plan.

#### 6.4.1 Off-site Unanticipated Serious Adverse Device Effect Reporting

When participating in multicenter clinical investigations, Investigators may receive off-site USADE reports. These are Sponsor reports of USADEs which occurred at other clinical sites for the same trial, or in different trials using the same test or control lenses, that met the criteria for reporting to a regulatory agency. These should be reported to the reviewing IRB within 10 working days or per their established reporting procedures, whichever is shorter.

#### 6.4.2 Reporting Device Deficiencies

A device deficiency is defined as an inadequacy of a medical device with respect to its identity, quality, durability, reliability, safety, or performance. Device deficiencies include malfunctions, use errors, and inadequate labeling.

Investigators must evaluate, record, and report via applicable forms any complaints/deficiencies or malfunctions experienced with the study solution during this trial to the Medical Monitor (or designee) promptly. The Sponsor and Medical Monitor shall review all device deficiencies and, upon the Sponsor's request, Investigators must supply any additional information related to the safety reporting of a particular event.

Report device deficiencies within 24 hours of knowledge to:

Lexitas Medical Monitor (or designee)

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

The Medical Monitor (or designee) will distribute, within 24 hours of knowledge, all device deficiencies to the Sponsor including: [REDACTED].

The Sponsor shall review all device deficiencies and determine and document in writing whether they could have led to an SAE. In the event of a disagreement between the Sponsor and the Investigator(s), the Sponsor shall communicate both opinions to the reviewing IRB per their established reporting procedures and the health authority.

#### 6.4.3 Guidelines for Reporting Pregnancies

All female subjects of childbearing potential must use an effective method of birth control during the study, to include 2 weeks after last visit, in a manner such that risk of contraceptive failure is minimized. Abstinence is allowed as a birth control method.

During the study, all female subjects of childbearing potential should be instructed to contact the Investigator immediately if they suspect they might be pregnant (e.g., missed or late menstrual period). Female subjects who become pregnant during the study will be discontinued from the study and followed until completion of pregnancy. Every effort will

be made to obtain the health status of the mother and infant at term or fetus (in cases of miscarriage or therapeutic abortion). Pregnancy itself is not considered an AE.

All confirmed pregnancies must be reported on a paper Pregnancy Report Form and submitted to the Medical Monitor (or designee) via facsimile or email transmission within 24 hours of the Investigator's awareness of the pregnancy. The Medical Monitor (or designee) will distribute the completed form to the Sponsor as per the distribution list in [Section 6.4](#).

All pregnancies will be followed until outcome even after study closure. The outcome of all pregnancies will be reported on a paper Pregnancy Outcome Report Form and submitted to the Medical Monitor (or designee) via facsimile or email transmission once the outcome is learned. The Medical Monitor (or designee) will distribute the completed form to the Sponsor as per the distribution list in [Section 6.4](#).

Although pregnancy occurring in a clinical study is not considered to be an AE or SAE, any pregnancy complication, spontaneous abortion, or elective termination of a pregnancy, for medical reasons, will be recorded as an SAE. Any serious complication or event resulting from the pregnancy should be reported to the Medical Monitor (or designee) within 24 hours on the SAE or Significant Non-Serious AE Report Form along with the Pregnancy Report Form.

The contact for reporting pregnancies and pregnancy outcomes is:

Lexitas Medical Monitor (or designee)

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

## **7.0 STATISTICAL METHODS**

### **7.1 Study Endpoints**

The primary safety and effectiveness endpoints will be evaluated at the eye level.

#### **7.1.1 Primary Effectiveness Endpoints**

The primary effectiveness endpoint will be contact lens corrected distance high-contrast VA averaged over all scheduled visits.

#### **7.1.2 Secondary Effectiveness Endpoints**

This study has no secondary effectiveness endpoints.

#### **7.1.3 Safety Endpoints**

The primary safety endpoint will be any slit lamp finding greater than Grade 2 over the course of the study.

## 7.2 Hypotheses

For each primary hypothesis, the statistical objective is to demonstrate non-inferiority of the Test lens compared to the Control lens.

### 7.2.1 Primary Effectiveness Endpoint

The null hypothesis ( $H_0$ ) is that the difference in mean logMAR VA between the test group and the control group ( $\mu_T - \mu_C$ ) is 0.06 or greater. The alternative hypothesis ( $H_1$ ) is that the difference is less than 0.06.

$$H_0: \mu_T - \mu_C \geq 0.06$$

$$H_1: \mu_T - \mu_C < 0.06$$

### 7.2.2 Primary Safety Endpoint

The null hypothesis ( $H_0$ ) is that the difference in the proportion of eyes with any slit lamp finding greater than Grade 2 between the test group and the control group ( $\pi_T - \pi_C$ ) is 0.05 or more. The alternative hypothesis ( $H_1$ ) is that the difference is less than 0.05.

$$H_0: \pi_T - \pi_C \geq 0.05$$

$$H_1: \pi_T - \pi_C < 0.05$$

## 7.3 Sample Size

Power and sample size calculations were performed using nQuery software version 7.0 (Statistical Solutions Ltd).

The unit of analysis for the primary endpoints will be the eye.

### 7.3.1 Primary Effectiveness Endpoint

In a previous study of a similar lens (Bausch + Lomb Protocol 661<sup>2</sup>), the standard deviation of the logMAR VA averaged over all study visits was 0.076.

When the sample size in each group is 222 eyes, a two group one-sided 0.05 significance level t-test will have 99% power to reject the null hypothesis that the test lens is not non-inferior (the difference in means,  $\mu_T - \mu_C$ , is 0.06 or greater) in favor of the alternative hypothesis that the test lens is non-inferior, assuming that the expected difference in means is 0 and the common standard deviation is 0.076.

### 7.3.2 Primary Safety Endpoint

Over two previous studies of similar lenses (Bausch + Lomb Protocols 661<sup>2</sup> and 690<sup>3</sup>), 0.6% of eyes had a slit lamp finding greater than Grade 2. An estimated proportion of 1% will be used to compute the sample size.

With 222 eyes in each group, the upper limit of the observed one-sided 95% confidence interval will be expected to be less than 0.05 with 99% power when the Control proportion,  $\pi_C$ , is 0.01 and the Test expected proportion,  $\pi_T$ , is 0.01. These results are based on 100,000 simulations using the Newcombe-Wilson score method to construct the confidence interval. (Newcombe RG (1988) Interval estimation for the difference between

independent proportions: comparison of eleven methods. Statistics in Medicine 17:873-890.)

### 7.3.3 Overall Power and Enrollment Targets

#### 7.3.3.1 Enrollment Target

Every effort will be made to minimize losses to follow-up. Nevertheless, enrollment targets will be increased to allow completion of the expected sample sizes with losses of up to 10%.

The expected number of completed eyes is approximately 222 per treatment group. This corresponds to 111 subjects per treatment group. With two treatment arms, the target for completed subjects will be approximately 222 subjects. To allow for losses of up to 10%, approximately  $[222 \div (1 - 0.1)] = 247$  subjects will be enrolled. A minimum 20% of the enrolled subjects will be of Japanese descent.

#### 7.3.3.2 Overall Power

If the primary endpoints are not correlated with each other, then the power will be approximately  $99\% \times 99\% = 98\%$ .

## 7.4 Randomization

Subjects will be randomly assigned to treatment groups in a one-to-one ratio. Randomization will be stratified by cohort (Japanese, Non-Japanese) and by site.

## 7.5 Study Populations

### 7.5.1 Intent-to-Treat (ITT) Population

The ITT Population will consist of all randomized subjects and both of their eyes, excluding any subjects who were ineligible based on Screening/Dispensing Visit assessments and randomized in error and not dispensed study lenses. Subjects will be included in ITT Population summaries according to the treatment group to which they were randomly assigned.

### 7.5.2 Per Protocol (PP) Population

The PP population will be the primary population used for analysis of the primary effectiveness endpoint. The PP Population will consist of all ITT Population subjects without important (major) protocol deviations for subject level summaries and, for eye level summaries, both of their eyes. Important protocol deviation categories are defined in [Section 7.6.4](#). Subjects will be included in PP Population summaries according to the treatment group to which they were randomly assigned. The PP population will be determined prior to study unmasking.

### 7.5.3 Safety Population

The Safety Population will consist of all randomized subjects and, for eye level summaries, both of their eyes. Subjects will be included in Safety summaries according to the treatment that they received. If a subject receives more than one treatment and one of those treatments

is the Test device, then the subject will be included in Safety Population summaries under the Test treatment group.

## 7.6 Statistical Analysis

### 7.6.1 Methods of Analysis

#### 7.6.1.1 General Methods

Continuous data will be summarized using descriptive statistics: sample size (n), mean, standard deviation (SD), median, minimum and maximum. Categorical data will be presented using the total counts for each category and corresponding percentages. The denominator for each percentage will be the number of subjects or eyes with non-missing data at the given visit for each respective study treatment, unless otherwise indicated.

As is customary for contact lens trials, eyes will be treated as independent sampling units in eye level analyses unless otherwise noted.

Further details will be provided in a separate statistical analysis plan which will be completed and approved prior to unmasking of the treatment assignments.

Data will be summarized for all subjects and, for a Japanese submission only, separately for the Japanese subjects. Primary Effectiveness Endpoint Analysis

#### 7.6.1.2 Primary Effectiveness Endpoint Analysis

Monocular distance high-contrast VA will be assessed while wearing the study lens at the Screening/Dispensing visit and all scheduled follow-up visits. For each eye visit, the number of letters read correctly will be recorded in the case report form. The number of letters read correctly will be converted to a logMAR value. For each eye, the average of the non-missing logMAR VA values at the scheduled visits (Screening/Dispensing and follow-up visits) will be computed.

The average of the non-missing logMAR VA values at scheduled visits (screening/dispensing and all follow-up visits) will be summarized using continuous summary statistics for the PP Population in a table at the eye level. An analysis of variance model will be constructed using the PP Population with a response variable of average logMAR VA and fixed factors of treatment and site. The least squares mean and standard error will be displayed by treatment group in a table. In addition, the least squares mean difference between treatment groups (test minus control) will be displayed. An upper one-sided 95% confidence limit for the difference between treatment groups will be presented. If the confidence limit is less than 0.06, then the null hypothesis that the Test lens is not non-inferior to the Control lens will be rejected, and the Test lens will be statistically successful in this outcome.

As a sensitivity analysis, the ITT population will be used to test the hypothesis. Summaries by treatment will be provided as described above using the available data. Missing data will be multiply imputed using the Markov chain Monte Carlo (MCMC) method.

#### 7.6.1.3 Primary Safety Endpoint Analysis

At each follow-up visit, graded slit lamp findings will be assessed for each eye using Grades 0 through 4. Using only the non-missing observations from all visits without imputation, each eye will be classified with respect to findings greater than Grade 2 at any visit (Absent, Present). Missing data will not be imputed. Greater than Grade 2 findings (Absent, Present) will be summarized at the eye level by treatment using categorical summary statistics for the Safety Set in a table. A one-sided upper 95% confidence limit around the difference in “Present” proportions between the test and control treatment groups will be constructed using the Newcombe-Wilson score method. If the upper confidence limit is less than 5%, then the null hypothesis that the Test lens is not non-inferior will be rejected, and the Test lens will be statistically successful in this outcome.

#### 7.6.1.4 Definition of Statistical Success

The test device will be statistically successful if it is successful in both primary endpoints.

### 7.6.2 Subject Demographics and Baseline Characteristics

Demographics and baseline characteristics will be summarized descriptively by treatment and overall for the ITT, PP, and Safety Populations. Demographics and baseline characteristics will also be listed.

#### 7.6.3 Subject Discontinuation

The reasons for study discontinuation will be summarized by treatment and overall for the ITT Population. Details of discontinued eyes will be also listed by treatment.

#### 7.6.4 Protocol Deviations

Important (major) protocol deviations will be summarized by category and treatment group for the ITT Population in a table.

Categories of important protocol deviations will include the following, which will be derived via data entered on the case report forms or in the randomization system.

- Ineligibility
- Not dispensed study treatment
- Misrandomization
- Dispensing of the incorrect lens (type or prescription)
- Use of medications that could potentially affect the primary effectiveness endpoint

Failure to comply with the procedures used to assess the primary effectiveness endpoint, such as missing a scheduled visit or failing to complete the procedure in accordance with instructionsAdditional important protocol deviation categories may be added prior to unmasking.

Important (major) protocol deviations will also be displayed in a listing.

#### 7.6.5 Treatment Compliance

As is customary for contact lens trials, treatment compliance will not be evaluated.

#### 7.6.6 Treatment Exposure

As is customary for contact lens trials, treatment exposure will not be evaluated.

#### 7.6.7 Missing Data

Imputation of missing data is not conservative in a non-inferiority analysis setting. Therefore, primary effectiveness analyses will be completed using the PP Set without imputation of missing data.

Sensitivity analyses of data from the ITT Set with missing data imputation are described in [Section 7.6.1.2](#). Unless otherwise specified in the protocol or statistical analysis plan, missing data will not be imputed.

#### 7.6.8 Multiple Comparisons

The test lens must be successful in both primary endpoint analyses to achieve overall statistical success. Therefore, no adjustments for multiple comparisons are needed.

#### 7.6.9 Interim Analyses

No interim analyses are planned.

### 8.0 DATA QUALITY ASSURANCE

#### 8.1 Study Monitoring

Bausch + Lomb representatives must be allowed to visit all study site locations to assess the data, quality, and study integrity in a manner consistent with applicable health authority regulations and the procedures adopted by Bausch + Lomb.

Prior to the start of the study, member(s) of Bausch + Lomb (or designees) will review the protocol, eCRF, regulatory obligations, and other material or equipment relevant to the conduct of the study with the Investigator/Sub-Investigator and relevant study site personnel.

Monitoring visits and telephone consultations will occur as necessary, or per the monitoring plan, during the course of the investigation to verify the following:

- the rights and well-being of subjects are protected
- the conduct of the investigation is in compliance with the currently approved protocol/amendment, 21CFR Parts 11, 50, 54, 56, 812, and 42 USC 282(j); and with consideration of the provisions in: ISO 14155-1:2011 Clinical investigation of medical devices for human subjects – Part 1: General requirements; 14155-2:2011 Part 2: Clinical investigation of medical devices for human subjects – Part 2: Clinical investigational plan; ISO 11980:2012 Ophthalmic Optics – Contact lenses and contact lens care products – Guidance for clinical investigations; ICH, GCPs, and applicable local regulations
- the integrity of the data, including adequate study documentation
- the facilities remain acceptable

- the Investigator and site personnel remains qualified and able to conduct the study
- study lenses accountability (at the conclusion of each site's participation)

During the course of the study, if the Sponsor determines that an Investigator is not compliant with the protocol and/or applicable regulatory requirements, the Sponsor will take action to secure compliance. In addition, the Sponsor may terminate the Investigator's participation in the study if appropriate, or if the Investigator remains non-compliant despite the Sponsor's actions.

Full details for site monitoring procedures are covered in the BL890 Monitoring Plan.

## **8.2     Source Documentation**

All medical information obtained at each study visit must be recorded in the subject's record (source documentation) in real time as it is collected. Source documentation consists of original subject documents, as well as data and records with information relevant to the subject and his/her participation in the study.

Examples of source documents include: hospital records, clinical and office charts, laboratory notes, memoranda, signed ICF, evaluation checklists, recorded data from automated instruments, copies or transcriptions certified after verification as being accurate copies, and information initially recorded in an electronic format. Source documentation worksheets may be provided by the Sponsor to record pertinent information.

Subject completed forms are also considered to be source data. For this study, this includes the Family Tree Worksheet that is to be completed by subjects who are of Japanese ancestry. Only subjects are to record information in the Family Tree Worksheet. In no instance should an Investigator or study site personnel record any data or make changes to subject completed forms. The Investigator or designee should review subject completed forms during study visits for completeness and accuracy. If an entry is found to be illegible or a mistake is found (e.g., incorrect year was recorded), the subject should be instructed to edit the entry by drawing a single line through the original entry, entering the new information, and dating and initialing the change.

## **8.3     Case Report Forms and Data Verification**

Subject data required by this protocol are to be transferred from the source to the eCRFs. The Investigator and his/her study site personnel will be responsible for completing the eCRFs. The Investigator is required to verify that all of the requested information is accurately recorded on the eCRFs by providing an electronic signature. All information requested on the eCRFs needs to be supplied, including subject identification and initials, date(s), assessment values, etc, and any omission or discrepancy will require explanation. All information on eCRFs must be traceable to source documents.

The study monitor will be responsible for reviewing and verifying the data recorded on the eCRFs per the study Monitoring Plan, utilizing the original source documentation and will query discrepant findings. The Investigator and study site personnel will be responsible for answering all queries.

Details regarding procedures used for data review, database cleaning and issuing and resolving queries are provided in the BL890 Monitoring Plan and Data Management Plan.

Procedures for verification, validation and securing of electronic clinical data systems are outlined in the Data Management Plan.

A copy of the eCRFs will be provided to the Investigator at the conclusion of the study, who must ensure that it is stored in a secure place.

#### **8.4 Recording of Data and Retention of Documents**

Subject data recorded on eCRFs during the study will be documented in a coded fashion. The subject will only be identified by the subject number and by their initials if also required. Confidentiality of subject records must be maintained to ensure adherence to applicable local privacy regulations.

The Investigator must retain essential documents indefinitely after the completion of the study, unless otherwise notified by the Sponsor. The Investigator agrees to adhere to the document retention procedures when signing the protocol Investigator Statement of Approval.

Essential documents include but are not limited to the following:

- IRB approvals for the study protocol, all amendments, ICF(s), and advertisements
- IRB annual study review
- IRB correspondence and reports (e.g., AE reports, protocol deviations, and safety updates)
- regulatory documents (e.g., financial disclosure and delegation of authority forms)
- all source documents
- archive of eCRFs
- subject's signed ICF
- Device Investigator Agreement
- accountability records for the study lenses
- correspondence from and to the Sponsor
- any other documents relevant to the conduct of the study

In the event that study records are transferred to another location, the Investigator will provide notice of such transfer in writing to Bausch + Lomb.

#### **8.5 Auditing Procedures**

Audits of clinical research activities in accordance with the Sponsor's internal Standard Operating Procedures to evaluate compliance with the principles of GCP may take place. A regulatory authority may also wish to conduct an inspection (during the study or after its completion). If an inspection is requested by a regulatory authority and/or IRB, the Investigator must inform the Sponsor immediately that this request has been made.

#### **8.6 Institutional Review Board**

The Investigator should ensure their participation in the study, in addition to the protocol, subject recruitment materials (written information or materials including web pages, radio advertisements, television spots or written text developed to encourage subject enrollment) and the ICF to be used in this study are approved by their institution IRB, or if not using their institution's IRB, approved by the reviewing central IRB prior to entering any subjects in the study. Documentation of IRB approval of the study protocol and informed consent

must be provided to the Sponsor prior to initiation of the study. In addition, the Investigator must ensure that the reviewing IRB has provided approval for any protocol amendments prior to implementation. If the amendment necessitates a revision to the ICF, the Investigator should ensure the revised form is also submitted to and approved by the Sponsor and the IRB and implemented as directed.

## **8.7 Publication of Results**

All study data generated as a result of this study will be regarded as confidential, until appropriate analysis and review by the Sponsor or its designee and the Investigator(s) are completed. The results of the study may be published or presented by the Investigator(s) after the review by, and in consultation and agreement with the Sponsor, and such that confidential or proprietary information is not disclosed.

Prior to publication or presentation, a copy of the final text should be forwarded by the Investigator(s) to the Sponsor or its designee, for comment. Such comments shall aim to ensure the scientific integrity of the proposed publications and/or presentations and ensure that the data and material referring to Bausch + Lomb products and activities receive fair, accurate, and reasonable presentation.

The Sponsor may also choose to submit the results of this study for publication.

## **8.8 Statements of Compliance**

### **8.8.1 Ethics Review**

The final protocol, including the final version of the Subject Instructions and Informed Consent Form, must be approved by an IRB before enrollment of any subject into the study. The Principal Investigator (or Sponsor/CRO on behalf of the Principal Investigator) is responsible for informing the IRB of any amendment to the protocol as per local requirements. Any additional requirements imposed by the IRB shall be followed.

### **8.8.2 Ethical Conduct of the Investigation**

The study will be performed in accordance with the ethical principals that have their origin in the most recent version of the Declaration of Helsinki, and with applicable regulatory requirements. Patients who are close colleagues, associates or family members of, or in any way dependent on the Sponsor or the investigator, will not be included in this study.

### **8.8.3 Informed Consent Process**

The Principal Investigator will ensure that each subject is given full and adequate oral and written information about the nature, purpose, possible risks and benefits of the study. Subjects must also be notified that they are free to discontinue participation in the study at any time. The subject should be given the opportunity to ask questions and time for consideration.

The subject's signed informed consent must be obtained before conducting any study related procedures. The original must be filed by the investigative site. A copy of the signed Informed Consent Form should be given to the subject. If modifications are made to the Informed Consent Form, the new version must be approved by the IRB. The new version

Study #890 - Protocol

of revised Informed Consent Form(s) must be reviewed and signed by all active (if required by the IRB) and new subjects at the first opportunity after approval by the IRB.

## **9.0 REFERENCES**

<sup>1</sup> Basic Principles on Global Clinical Trials – Japan. PMDA September 28, 2007. Notification 0928010

<sup>2</sup> Bausch + Lomb Incorporated Study #661-‘A Study to Evaluate the Safety and Efficacy of a New Silicone Hydrogel Contact Lens Design’

<sup>3</sup> Bausch + Lomb Incorporated Study #690-‘A Study to Evaluate the Safety and Efficacy of a Novel Contact Lens for Daily Disposable Use’

**APPENDIX A: SCHEDULE OF VISITS AND PARAMETERS**

All study tasks should be performed by qualified study site personnel as indicated on the delegation of authority log under the supervision of the Principal Investigator.

PROCEDURE/ASSESSMENTS	Screening/Dispensing Visit Day 1	1-Week Visit Day 6-10	1-Month Visit Day 27-35	2-Month Visit Day 54-68	3-Month Visit Day 91-101 <sup>a</sup>	Exit Visit
Informed consent/HIPAA authorization	X					
Demographics	X					
Contact lens use history and use <sup>b</sup>	X	X	X	X	X	
Ocular Medical History and Concomitant Medications	X					
Changes in Ocular Medical History and/or Concomitant Medications		X	X	X	X	X
High-contrast distance VA (with habitual lenses)	X					
Eligibility	X					
Randomization	X					
Dispense study materials	X	X				
Return worn lens study materials		X	X	X	X	X
Symptoms/complaints Rating Scales	X <sup>c</sup>	X	X	X	X	
Lens Performance Rating Scales		X	X	X	X	
Exit Visit Form (if subject exited at this visit)						X
Adverse events	X	X	X	X	X	X
To be performed without study lenses	Spherocylindrical refraction	X				X
	Distance high-contrast BSCVA	X				X
	Keratometry	X				X
	Slit lamp exam	X	X	X	X	X
Keratometry comparison (Exit Visit to Screening Visit)						
To be performed with study lenses	Distance high-contrast lens VA	X	X	X	X	X
	Over-refraction and distance high-contrast VA	X	X	X	X	X
	Lens wettability/centration/movement	X	X	X	X	X
	Lens deposits/discoloration		X	X	X	X
<b>VA line change comparison</b>						
Distance high-contrast lens VA to distance high-contrast BSCVA obtained at this visit	X					
Distance high-contrast lens VA at Follow-up visit to distance high-contrast BSCVA at Screening/Dispensing Visit		X	X	X	X	
Distance high-contrast lens VA at Follow-up visit to distance high-contrast lens VA at Screening/Dispensing Visit		X	X	X	X	
Distance high-contrast BSCVA at Exit visit to distance high-contrast BSCVA at Screening/Dispensing Visit						X

a. The 3-Month Visit MUST occur no earlier than Day 91.

b. Contact lens use history is taken at the Screening Visit and contact lens use is taken at each of the follow-up visits.

c. Symptoms/Complaints at Screening Visit are to be recorded with habitual lenses and again (abbreviated see 5.1.1) with study lenses.

## **APPENDIX B: METHODS OF CLINICAL EVALUATION**

Maintenance and calibration of the equipment relevant to study assessments must be appropriately performed and documented by the investigative site, where applicable. Any changes to the procedures described in this appendix will be provided under separate cover.

### **1.0 Visual Acuity/Refraction**

It is essential that a standard procedure be used to obtain VA measurements. The VA and refraction measurements should be obtained by a physician, optometrist, or trained technician. One standard logMAR chart, high 90% contrast, with Sloan letters will be used to obtain the VA measurements in this study. The following VA equipment from Precision Vision, Inc. will be used in this study: 90% High Contrast 6.5 feet (2.0 meters) testing distance Translucent Chart (CAT. NO. 2103-2), and the Precision Vision Small Illuminator Cabinet (CAT. NO. 914).

### **1.1 Illumination of the logMAR Chart and Room Illumination**

The internal illumination of the logMAR chart should be turned on. This will provide the nominal contrast for each of the charts. **Room illumination should be turned off**, to ensure that the illumination is consistent for each measurement. Ambient sources of light in the room, such as computer monitors, should be turned off or covered. A small source of illumination may be used to allow recording of data and to ensure that it is not difficult or dangerous for staff or subjects to move around the testing area, but these light sources should not be placed so that they are directed toward the subject during testing. The room lighting and any ambient sources should be consistent in their use and placement at each subject visit throughout the course of this study.

### **1.2 Determination of High Contrast Visual Acuity**

The subject should be seated so that the distance from the subject's eyes to the logMAR chart is 6.5 feet (2.0 meters). The chart should be at eye level for the subject. The logMAR charts have two alternative letter sequences from 28 letters (0.3 logMAR) to 62 letters (-0.3 logMAR). It is recommended that one letter sequence is used for the right eye, and the second letter sequence is used for the left eye, to minimize learning effects at each visit. Care should be taken to completely occlude the eye not being measured.

Since the test distance of the chart is not at optical infinity, refractive power compensation is required to simulate optical infinity. **The VA should be measured through the phoropter using the distance refractive correction with the addition of +0.50 D to compensate for the reduced test distance of 6.5 feet (2.0 meters).**

If all letters are correctly identified on any given line, then the subject is encouraged to read the next smaller line. When the subject says they cannot read a letter, they should be required to guess. A maximum effort should be made to identify each letter. A scoring sheet for each eye is provided to keep track of the letters correctly identified by writing an "X" over the incorrectly identified letter. The subject continues reading down the chart to the last letter of a given line, **until the subject has missed 3 letters on a line with 5 letters**. The incorrect letters can occur at the beginning, middle, or end of this line and do not have to be consecutive.

### 1.3 Recording and Scoring logMAR Values

Using the Sponsor supplied recording/scoring sheet, an example of which is shown below, the tester will record the actual VA measurement.

The number of letters CORRECT will be recorded on the recording/scoring sheet in the far right box on the corresponding line. The lines will then be added up and the “TOTAL” number of letters correctly identified will be recorded on the recording/scoring sheet. The “TOTAL” is the number that will be entered onto the eCRF.

Example of Distance VA:

In the example below, all letters in lines 1 through 8 were read correctly. Line 9 had 4 correct responses, and line 10 had only 1 correct response. After line 10, the VA test would be considered complete. In this example, the total letters correctly identified is 42. This number is recorded in the space marked “TOTAL” and also recorded on the eCRF.

Distance Visual Acuity (High Contrast)			
Snellen	logMAR	Chart	Letters Correct
20/160	0.9	S Z N	3
20/125	0.8	R N C V	4
20/100	0.7	K C R H N	5
20/80	0.6	Z K D V C	5
20/63	0.5	H V O R K	5
20/50	0.4	R H S O N	5
20/40	0.3	K S V R H	5
20/32	0.2	H N K C D	5
20/25	0.1	N D V <del>X</del> O	4
20/20	0	<del>X</del> <del>X</del> O <del>X</del> X	1
20/16	-0.1	V R N D O	
20/12.5	-0.2	C Z H K S	
20/9.5	-0.3	O R Z S K	
			TOTAL
			42

## 2.0 Slit Lamp Examination

The following parameters will be assessed during the Slit Lamp Examination (without lenses):

### Epithelial Edema

- 0 - None: No epithelial or sub-epithelial haziness. Normal transparency.
- 1 - Trace: Barely discernible localized epithelial or sub-epithelial haziness.
- 2 - Mild: Faint but definite localized or generalized epithelial haziness.
- 3 - Moderate: Significant localized or general epithelial haziness.
- 4 - Severe: Definite widespread, epithelial cloudiness giving dull glass appearance to cornea, or numerous coalescent bullae.

### Epithelial Microcysts

- 0 - None: No microcysts seen using retroillumination.
- 1 - Trace: Fewer than 50 microcysts over central or para-central cornea. No overlying staining or surface anomaly.
- 2 - Mild: More than 50 microcysts over central or paracentral cornea. No overlying staining or surface anomaly.
- 3 - Moderate: More than 50 microcysts, tending to be coalescent and accompanied by overlying faint staining or dry spots.
- 4 - Severe: Numerous, dense, coalescent microcysts accompanied by overlying significant staining or erosion.

### Corneal Staining

Corneal staining must be assessed after the instillation of fluorescein. If needed, a Wratten Gel Filter will be provided by the Sponsor for the evaluation of corneal staining. The Wratten Gel Filter must be used as a barrier filter in the observation pathway, in combination with the cobalt blue filter.

- 0 - None: No fluorescein staining.
- 1 - Trace: Minimal superficial staining or stippling, and non-coalescing. Includes superficial foreign body staining.
- 2 - Mild: Lightly coalescent or diffuse punctate staining, with no stain diffusion into stroma.
- 3 - Moderate: Significant or densely coalescent punctate staining, including slight diffusion of stain into stroma.
- 4 - Severe: Severe abrasion or erosion with loss of epithelial substance. Marked and rapid diffusion of stain into stroma.

**New corneal scar or pre-existing corneal scar**

Absent or Present

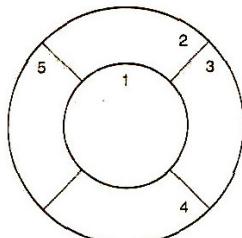
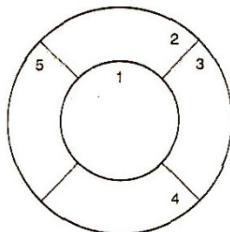
Location:

Check all that apply

- Central (central 6 mm, 3 mm from corneal center)
- Nasal
- Inferior
- Temporal
- Superior

Size: X.X (mm)

Draw:



**Limbal Injection**

- 0 - None: No hyperemia present. Normal appearance of limbal vessels including prominent limbal vascular arcades.
- 1 - Trace: Very slight hyperemia of limbal vessels in one quadrant.
- 2 - Mild: Mild hyperemia of limbal vessels in more than one quadrant.
- 3 - Moderate: Marked hyperemia of limbal vessels in any quadrant.
- 4 - Severe: Marked hyperemia of limbal vessels in all quadrants.

### **Bulbar Injection**

- 0 - None: No hyperemia present. Normal appearance of conjunctival vessels.
- 1 - Trace: Slight hyperemia of conjunctival vessels in one quadrant.
- 2 - Mild: Mild hyperemia of conjunctival vessels in more than one quadrant.
- 3 - Moderate: Marked hyperemia of conjunctival vessels in any quadrant.
- 4 - Severe: Marked hyperemia of conjunctival vessels in all quadrants.

### **Upper Lid Tarsal Conjunctival Abnormalities**

- 0 - None: Normal, velvet tarsal conjunctival appearance. No hyperemia or enlarged papillae.
- 1 - Trace: Slight tarsal conjunctival hyperemia with slight loss of smoothness.
- 2 - Mild: Slight tarsal conjunctival hyperemia with slight loss of smoothness. Noticeable enlargement of papillae, but less than 1.0 mm in diameter.
- 3 - Moderate: Definite loss of smoothness with enlarged papillae, but less than 1.0 mm in diameter with marked tarsal conjunctival hyperemia.
- 4 - Severe: Localized or generalized giant papillae, larger than 1.0 mm in diameter and/or severe tarsal conjunctival hyperemia.

### **Corneal Neovascularization**

- 0 - None: Normal appearing limbus, including prominent limbal vascular arcades.
- 1 - Trace: Vascularization less than 1.5 mm of advancement into cornea in one quadrant.
- 2 - Mild: Vascularization less than 1.5 mm of advancement into cornea in more than one quadrant.
- 3 - Moderate: Vascularization 1.5 mm to less than 2.5 mm of advancement into cornea in any quadrant.
- 4 - Severe: Vascularization more than 2.5 mm of advancement into cornea in any quadrant.

### **Corneal Infiltrates**

- 0 - No infiltrates.
- 1 - Single infiltrate; (focal and peripheral), asymptomatic.
- 2 - Single or multiple infiltrate(s); with injection and/or associated symptoms.
- 3 - Single or multiple infiltrate(s); injection with overlying corneal defect(s).
- 4 - Single or multiple infiltrate(s); injection with diffusion of stain into stroma.

### **Absent or Present**

New Corneal Scar

Corneal Striae

Conjunctivitis

Other Anterior Segment Abnormalities

External Adnexa Abnormalities

### **3.0 Method for the Examination, Description and Classification of Lens Deposits, Wettability and Discoloration**

#### Introduction:

The following procedure has been developed to assist in the examination, description, and classification of wettability, discoloration and deposits found on contact lenses in the Investigator's office.

#### Materials needed:

Slit Lamp

#### Procedure:

Each lens should be examined on the eye using the slit lamp employing a 7X to 15X magnification.

#### Classify the deposit and record findings at each visit as follows:

##### **I. Type of Deposit**

Indicate the most prominent lens surface deposit found using the following classifications:

- crystalline deposits
- crust-like deposits
- film
- spots

##### **II. Estimated Percentage of Lens Surface Covered By Deposits**

Estimate the percentage of the lens surface that is covered by deposits using the following classifications:

- 1 - 25%
- 26 - 50%
- 51 - 75%
- 76 - 100%

##### **III. Degree of Deposit**

Indicate the degree of the deposit on the lens surface using the following classifications:

- light
- medium
- heavy

##### **IV. Wettability**

- 100% of anterior lens surface is wettable
- Presence of small (<0.1 mm), individual discrete non-wetting areas
- Presence of single area of non-wetting between 0.1 mm and 0.5 mm in size

- Presence of several areas of non-wetting between 0.1 mm and 0.5 mm in size
- Presence of one or more non-wetting areas greater than 0.5 mm in size

#### **V. Discoloration**

If, while on-eye, any discoloration is observed, remove lens and confirm discoloration using the following classifications:

0 - None

1 - Faded

2 - Yellow

3 - Brown

4 -Pink

#### **4.0 Method for the Examination, Description and Classification of Lens Fit at all Visits**

The following procedure has been developed to assist in the examination, description, and classification of contact lenses fit at all visits in the Investigator's office.

Materials needed: Slit Lamp

Procedure: Each lens should be examined on the eye using the slit lamp employing a 7X to 15X magnification.

Lens fit will be assessed utilizing the scales below:

##### **I. Lens Centration**

Enter Rating 0 – 3:

###### **Qualitative Lens Centration**

- Compare lens edge overlap of limbus in all visible sectors.
- The centration diagram is pictured as lateral decentration toward 9:00 on the clock dial. Centration assessment applies to all clock hours.
  - If the limbus is an ill-defined band, assess from the center of the band.
  - If the contact lens edge translates with blinking, assess to the average lens edge position.
  - Centration assessment applies to primary gaze.
  - If the inferior edge of the contact lens is not visible, gently pull the lower eyelid away.
- Rate and record lens centration on a scale of 0 to 3 based on the following lens diagrams and descriptors:
  - 0) Equal Overlap 360 degrees
  - 1) Maximum overlap  $\leq 2/3$  in any sector
  - 2) Maximum overlap  $> 2/3$  in any sector
  - 3) Any Corneal Exposure

##### **II. Lens Movement**

- As the subject blinks normally, observe lens movement, with particular attention to the inferior portion of the lens.
  - All movement depicted in the diagram below is from the absolute centered position.
  - All movement descriptions apply from the various decentered positions.
  - Corneal exposure in any sector is an unacceptable fit.

- If the inferior edge of the contact lens is not visible, gently pull the lower eyelid away.
- Rate and record lens movement on a scale of -2 to +2 based on the following lens diagrams and descriptors:

-2 - No observable movement (perform Josephson push-up test, see below)

-1 - Minimal; Just observable movement, lens returns to origin.

0 - Optimal/Free movement, lens returns to origin.

+1 - Substantial movement; but lens does not immediately return to origin.

+2 - Excessive movement; lens does not return to origin, may result in corneal exposure.

### **Josephson Push Up Test**

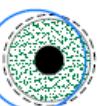
- Use Josephson Push-up test when specified or indicated (-2 = No observable lens movement, see lens movement ratings above).
  - Apply gentle upward digital pressure to the lower lid such that the lower lid margin pushes on the inferior aspect of the contact lens edge.
- Determine if this action causes the contact lens to move and rate as follows:

1 - Lens is mobile

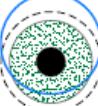
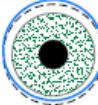
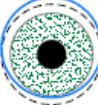
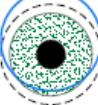
2 - Lens is immobile



**PRIMARY GAZE**  
**LENS CENTRATION**

GRADE	0	1	2	3
LIMBAL OVERLAP	EQUAL OVERLAP 360 DEGREES	MAXIMUM OVERLAP ≤ 2/3 IN ANY SECTOR	MAXIMUM OVERLAP > 2/3 IN ANY SECTOR	ANY CORNEAL EXPOSURE
				

**PRIMARY GAZE**  
**LENS MOVEMENT**

GRADE	-2	-1	0	+1	+2
PRE BLINK					
POST BLINK					
RETURN					
	NO OBSERVABLE MOVEMENT	MINIMAL/JUST OBSERVABLE MOVEMENT	OPTIMAL/FREE MOVEMENT	SUBSTANTIAL MOVEMENT	EXCESSIVE MOVEMENT

## 5.0 Lens Performance Rating Scales

For completion of the Lens Performance Rating Scale Form (conducted at all follow-up visits with the Test or Control lenses), the Investigator or designee will obtain Subject responses according to the following procedure for the specific symptoms listed in the Lens Performance Rating Table (below):

**Step 1:** Ask the Subject: “Are you experiencing any Symptoms or problems with the lenses?”

**Step 2:** If the Subject answers “*No*”, enter “0” on the subject source documentation for all symptoms.

**Step 3:** If the Subject answers “*Yes*”, then ask: “*What specifically are you noticing?*” Note that the subject will not be shown the list of options / descriptions.

**Step 4:** From the Subject’s response, select the most appropriate symptom (from the Lens Performance Rating Table below) and ask the Subject to rate the symptom on the following scale:

- 0 - None
- 1 - Slight symptoms. The symptom is felt or noticed occasionally.
- 2 - Mild symptoms. The symptom is noticeable but not irritating or limiting use.
- 3 - Moderate symptoms. The symptom is moderately irritating or annoying; the use of device is limited by <25% (short wear time, etc.).
- 4 - Severe symptoms. The symptom is very irritating or annoying, and the lens cannot be tolerated.

### Lens Performance Rating Table

Symptom	OS (left eye)	OD (right eye)
Discomfort	0-4	0-4
Excessive tearing	0-4	0-4
Photophobia	0-4	0-4
Halos	0-4	0-4
Itching/Burning	0-4	0-4
Spectacle Blur	0-4	0-4
Variable Vision	0-4	0-4
Blurred Vision	0-4	0-4
Lens needs cleaning	0-4	0-4
Handling	0-4	0-4

## **6.0 Symptoms/Complaints Rating Scales**

Subject should be provided the rating scales (separate document) to refer to while responding to the symptoms/complaints being collected. Enter rating from 0-100 (e.g., 90).

- **Burning/Stinging Upon Insertion (Rating Scale #1)**
- **Comfort Upon Insertion (Rating Scale #2)**
- **Overall Comfort (Rating Scale #3)**
- **Comfort at End of Day (Rating Scale #4)**
- **Ease of Handling/Insertion (Rating Scale #5)**
- **Ease of Handling/Removal (Rating Scale #6)**
- **Dryness (Rating Scale #8)**
- **Vision Upon Insertion (Rating Scale #11)**
- **Vision (Rating Scale #12)**
- **Vision in Low Light (Rating Scale #13)**
- **Vision at End of Day (Rating Scale #26)**
- **Lens Cleanliness Upon Removal (Rating Scale #15)**
- **Overall Impression (Rating Scale #19)**

## 7.0 Culture Procedures

**NOTE: The site must use their standard of care culture kit and ship specimens to their local laboratory for testing per the local lab's required procedures. Hard copy results must be filed in the subject record and entered into the eCRF.**

### PROCEDURES FOR THE INVESTIGATOR

- A. In the case of corneal ulcer or suspected ocular infection, the cul-de-sac, lower lid margin, and the corneal lesion (if applicable) of the affected eye must be cultured.

#### 1. Cul-de-sac Culture

- a. The swab from a culture collection kit is moistened in sterile, physiological saline solution, with no preservatives.
- b. The ocular specimen is obtained by holding the lids open and asking the subject to gaze upward. The moistened swab should be drawn across the cul-de-sac while rotating the swab 360 degrees around the axis of the stick. Care should be taken to avoid contact of the swab with the lashes and the lid margins.
- c. Place the swab in the transport tube media according to manufacturer's directions.
- d. Repeat steps a through c using a separate swab and a separate tube of transport media for the other eye if both eyes require culturing.

#### 2. Eyelid Culture

- a. The swab from a culture collection kit is moistened in sterile, physiological saline solution, with no preservatives.
- b. The specimen is obtained from the margin of the lower lid by drawing the swab along the margin of the lid.
- c. Place the swab in the transport tube media according to manufacturer's directions.
- d. Repeat steps a through c using a separate swab and a separate tube of transport media for the other eye if both eyes require culturing.

#### 3. Corneal Culture

- a. The swab from a culture collection kit is moistened in sterile, physiological saline solution, with no preservatives.
- b. The specimen is obtained from the corneal lesion by rotating the swab on the lesion for 10 seconds.
- c. Place the swab in the transport tube media according to manufacturer's directions.
- d. Repeat steps a through c using a separate swab and a separate tube of transport media for the other eye if both eyes require culturing.

- B. Labeling and Transportation of Cultures to the Clinical Laboratory:

1. Complete the requisition supplied by your laboratory included with the culture collection kit. Affix labels supplied in the culture collection kit to the ocular specimens as well as to the container used to transport the contact lens case (which contains the contact lens[es] and contact lens case solution) if available.
2. The specimens, contact lens(es), lens case(s), lens case solution(s) and report form are then sent to the local laboratory under refrigerated conditions using the supplies provided by your laboratory.

## 8.0 Corneal Infiltrates Evaluation Form

**Infiltrate Size (Largest):** OD: \_\_\_\_\_ (X.X mm) OS: \_\_\_\_\_ (X.X mm)

**Infiltrate Depth (Deepest):**

OD: \_\_\_\_\_ OS: \_\_\_\_\_

1. Epithelial
2. Anterior Stroma
3. Full thickness

**Infiltrate Density:**

OD: \_\_\_\_\_ OS: \_\_\_\_\_

Grade Description

- |   |                                      |
|---|--------------------------------------|
| 0 | None                                 |
| 1 | Faint                                |
| 2 | Moderate (iris/lens details clear)   |
| 3 | Marked (iris/lens details hazy)      |
| 4 | Intense (iris/lens details obscured) |

**Infiltrate Type:**

OD: \_\_\_\_\_ OS: \_\_\_\_\_

Grades

- |   |                         |
|---|-------------------------|
| 0 | None                    |
| 1 | Micropunctate           |
| 2 | Macropunctate           |
| 3 | Coalesced Macropunctate |
| 4 | Patch                   |

**Ocular Discharge:**

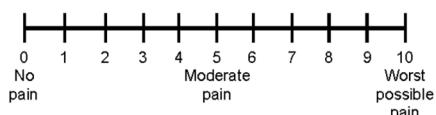
OD: \_\_\_\_\_ OS: \_\_\_\_\_

1. Mucopurulent (green or yellow in color)
2. Whitish
3. Watery

**Degree of Pain:**

OD: \_\_\_\_\_ OS: \_\_\_\_\_

0-10 Numeric Pain Rating Scale



**Size of any overlying defect:**

OD: \_\_\_\_\_(X.X mm) OS: \_\_\_\_\_(X.X mm)

**Location:**

Check all that apply

OD:

Central (central 6 mm, 3 mm from corneal center)

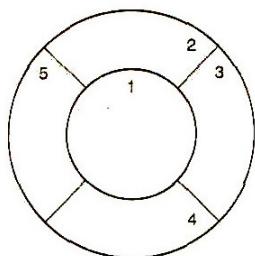
Nasal

Inferior

Temporal

Superior

**Draw:**



OS:

Central (central 6 mm, 3 mm from corneal center)

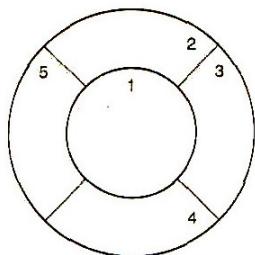
Nasal

Inferior

Temporal

Superior

**Draw:**



## **APPENDIX C: SUBJECT INSTRUCTIONS FOR SINGLE-USE DISPOSABLE WEAR**

**CAUTION: Investigational Device. Limited by Federal law (U.S.A.) to investigational use.**

### **INTRODUCTION**

You will participate in this study designed to evaluate the product performance of an investigational daily disposable contact lens (Test) when compared to the currently marketed Bausch + Lomb Biotrue ONE day® daily disposable contact lens (Control). As a participant in this study, you will be randomly assigned to wear either the Test or Control lenses on a daily disposable basis. Study lenses will be dispensed at the Screening/Dispensing and 1-Week Follow-up Visits. **It is very important that you do not use any other contact lenses or solutions other than those dispensed to you during the study.**

You will wear the lenses on a daily disposable basis but do not discard your worn lenses. You will replace the lenses daily, any time you remove your lenses, or when removed from your eyes as indicated by the person who dispenses your study lenses. All lenses, used and unused, must be returned to the study site. It is essential to your safety that you read and understand the information and instructions in these Subject Instructions and have your study doctor answer any questions, both before and after you receive contact lenses.

**Do not discuss or describe your contact lenses with anyone except the person who gave you the study lenses at the Screening/Dispensing Visit.** You should not show the study blister packs and foils to the Investigator or site staff unless instructed to do so.

Please keep all appointments and follow these instructions thoroughly. If you have any questions or problems, call your study doctor at \_\_\_\_\_.

***Note: Please schedule your 1-Week Follow-up, 1-Month Follow-up, 2-Month Follow-up, and 3-Month Follow-up Visits at the Screening/Dispensing Visit.***

### **Follow-Up Visit Schedule**

Visit	Day	Month	Year	Time
1-Week Follow-Up	/	/		_____
1-Month Follow-Up	/	/		_____
2-Month Follow-Up	/	/		_____
3-Month Follow-Up	/	/		_____

***Note: Wear your study lenses to each of your follow-up visits.***

For this study, you will be using the following products:

- Bausch + Lomb Sensitive Eyes® Drops (to be used if needed).

- Lens cases for storage of worn study lenses (up to 10 pairs of lenses, stacked dry).

## GENERAL INFORMATION

***Do NOT use any products other than those dispensed to you by your study doctor for use in this study.***

If problems or symptoms should occur, immediately remove your lenses and follow the steps described in the sections of these instructions entitled **Warnings** and **Adverse Effects**. Prompt attention to problems is essential and may require immediate professional care.

Remember, when wearing contact lenses, your eyes should look and feel good and your vision should be clear.

Because they are worn directly on your eyes, contact lenses affect the way in which your eyes function. These effects tend to increase with the length of time the lenses remain on your eyes between removals. Although the great majority of people successfully wear contact lenses without problems, before you decide whether to continue wearing contact lenses for daily disposable wear, you should discuss with your study doctor the effects of contact lenses on your eyes and the risks associated with wearing contact lenses. You also should read the sections of these instructions entitled "Warnings", "Adverse Reactions", "Precautions", and "Wearing Restrictions and Indications". Ask your study doctor to explain anything that you do not understand, including any additional restrictions which may be given to you by your study doctor. These contact lenses have been prescribed for single-use disposable wear, and should be replaced each time lenses are removed from your eyes.

You also need to remember that soft contact lenses, including those covered by these instructions, are made of a type of plastic that absorbs liquids, vapors and small particles, and for some people, may collect deposits from your natural eye fluids. Therefore, you should strictly follow these instructions and any other instructions given to you by your study doctor. Any failure to follow these instructions and the wearing restrictions will increase the chances of contamination, damage to the lenses, or a buildup of deposits on the lenses, which can lead to serious, sight-threatening eye infections and injuries.

Adherence to your prescribed wearing schedule and regular check-up visits to your study doctor are also necessary for the proper and safe use of contact lenses. Soft contact lenses generally are comfortable from the beginning. Therefore, be sure to follow the wearing schedule prescribed for you, and do not over wear your lenses simply because they remain comfortable and you are not experiencing a problem. Only your study doctor, through a professional examination, can determine how your eyes are reacting to the contact lenses and whether there are any early signs of possible problems.

Finally, if problems or symptoms should occur, immediately remove your lenses and follow the steps described in the section of these instructions entitled "Warnings and Adverse Reactions." Prompt attention to problems is essential and may require immediately professional care.

Remember, when wearing soft contact lenses your eyes should look and feel good, and your vision should be clear.

## 1. WEARING RESTRICTIONS and INDICATIONS

The lenses have been prescribed for single-use disposal wear, and will be replaced after each removal.

- Keep a spare pair of lenses available in case you have to remove your lenses immediately upon the appearance of a problem or symptom.
- Do not use aerosol products such as hair spray while wearing your lenses. The lenses may absorb the spray, resulting in injury to the eye and damage to the lens.
- Avoid wearing the lenses around fumes, irritating vapors, smoky or dusty conditions. The lenses may absorb the chemicals or particles, resulting in injury to the eye.
- Avoid rubbing your eyes with the lenses in, which can irritate the eye or dislodge the lens.
- If you get something in your eye, remove the lens immediately. Do not replace with new lens until your eye feels normal.
- Tell your regular physician and every other doctor that you visit, that you wear contact lenses and the type of lenses that you wear. If you are admitted to a hospital, also tell your nurses that you wear contact lenses.
- A bottle of Sensitive Eyes® Drops will be dispensed to you at the Screening/Dispensing Visit. You may use these drops as needed throughout the study. Your Study Doctor can provide you with additional Drops should you need a new bottle.
- Do not use any eye drops, ointments, or medicines in your eye unless they are specifically approved by your study doctor or physician. Some drops, ointments, or medicines will cause injury to the eye if used by a contact lens wearer.
- Ask your study doctor whether there are any other wearing restrictions that apply to you, write those restrictions in the spaces provided below and follow them carefully.

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## **2. WARNINGS**

You should be aware of and fully discuss with your study doctor the following warnings pertaining to contact lens wear:

- Problems with contact lenses could result in serious injury to your eye. It is essential that you follow your study doctor's direction and all labeling instructions for proper use of lenses. Eye problems, including corneal ulcers, can develop rapidly and lead to loss of vision.
- Daily disposable lenses are not indicated for overnight wear, and you should not wear lenses while sleeping. Clinical studies have shown that the risk of serious adverse reactions is increased when daily disposable lenses are worn overnight.
- Strict compliance with your wearing restrictions, wearing schedule, and follow-up visit schedule should be followed.
- Studies have shown that contact lens wearers who are smokers have a higher incidence of adverse reactions than nonsmokers.
- If you experience eye discomfort, excessive tearing, vision changes, or redness of the eye, you should immediately remove lenses and promptly contact your study doctor.

## **3. PRECAUTIONS**

You should be aware of and fully discuss with your study doctor the following safety precautions:

- Do not use saliva or anything other than the recommended solutions for lubricating or wetting your lenses.
- If the lens sticks (stops moving) on your eye, follow the recommended directions on Care for a Sticking Lens. The lens should move freely on your eye for the continued health of your eye. If non-movement of the lens continues, you should immediately consult your study doctor. Do not attempt to remove the lens, except on the instructions of your study doctor.
- Always wash and rinse your hands before handling lenses. Do not get cosmetics, lotions, soaps, creams, deodorants, or sprays in your eyes or on the lenses. It is best to put on lenses before putting on makeup. Water-base cosmetics are less likely to damage lenses than oil-base products.
- Do not touch contact lenses with your fingers or hands if your hands are not free of foreign materials, as microscopic scratches of the lenses may occur, causing distorted vision and/or injury to your eye.
- Carefully follow these handling, insertion, and wearing instructions for the daily disposable contact lenses and those instructions prescribed by your study doctor.
- Never wear lenses beyond the period recommended by your study doctor.
- Always handle lenses gently and avoid dropping them.
- Ask your study doctor about wearing lenses during water activities and other sports.

- Inform your doctor (health care professional) about being a contact lens wearer.
- Never use tweezers or other tools to remove lenses from the lens container unless specifically indicated for that use. Pour the lens into your hand.
- Do not touch the lens with your fingernails.
- Always contact your study doctor before using any medicine in your eyes.
- Always inform your employer of being a contact lens wearer. Some jobs may require use of eye protection equipment or may require that you not wear contact lenses.
- As with any contact lens, follow-up visits are necessary to assure the continuing health of your eyes. Your study doctor should provide you with a recommended follow-up schedule.

#### **4. ADVERSE REACTIONS (Problems And What To Do)**

You should be aware that the following problems may occur:

- Eyes stinging, burning, itching (irritation), or other eye pain,
- Comfort is less than when lens was first placed on eye,
- Abnormal feeling of something in the eye (foreign body, scratched area),
- Excessive watering (tearing) of the eyes,
- Unusual eye secretions,
- Redness of the eyes,
- Reduced sharpness of vision (poor visual acuity),
- Blurred vision, rainbows, or halos around objects,
- Sensitivity to light (photophobia),
- Dry eyes.

If you notice any of the above, you should:

- Immediately remove your lenses.
- If the discomfort or problem stops, then look closely at the lens. If the lens has dirt, an eyelash, or other foreign body on it, or the problem stops and the lens appears undamaged, insert a new lens. After insertion of a new lens, if the problem continues, you should immediately remove the lenses and consult your study doctor.

When any of the above problems occur, a serious condition such as infection, corneal ulcer, neovascularization, or iritis may be present. You should keep the lens off your eye and seek immediately professional identification of the problem and prompt treatment to avoid serious eye damage.

#### **5. PERSONAL CLEANLINESS and LENS HANDLING**

- **Preparing the Lens for Wearing:**

It is essential that you learn and use good hygienic methods in the care and handling of the lenses. Cleanliness is the first and most important aspect of proper contact lens care. In particular, your hands should be clean and free of any foreign substances when you handle your lenses. The procedures are:

- Always wash your hands thoroughly with mild soap, rinse completely, and dry with a lint-free towel before touching your lenses.
- Avoid the use of soaps containing cold cream, lotion, or oily cosmetics before handling your lenses, since these substances may come into contact with the lenses and interfere with successful wearing.
- Handle your lenses with your fingertips, and be careful to avoid contact with fingernails. It is helpful to keep your fingernails short and smooth.
- Start off correctly by getting into the habit of always using proper hygienic procedures so that they become automatic.
- Should you accidentally place an inside-out lens on your eye, one of the following signs should signal you to remove and replace it correctly:
  - Less than usual comfort,
  - The lens may fold on the eye,
  - Excessive lens movement on blink,
  - Blurred vision.

If the lens folds and sticks together: Place the lens in the palm of your hand and GENTLY rub the lens between your index finger and palm in a gentle back and forth motion. Replace the lens if it does not unfold.

If the lens flattens or drapes across your finger, the lens or your finger may be too wet. To correct this, dry your finger by transferring the lens several times from one index finger to the other, drying the opposite finger each time.

- **Placing the Lens on the Eye:**

There are other methods of lens placement. If the following methods are difficult for you, your study doctor will provide you with an alternate method.

**Note:** If after placement of the lens, your vision is blurred, check for the following:

- The lens is not centered on the eye (see “Centering the Lens,” next in these instructions).
- If the lens is centered, remove the lens (see “Removing the Lens” section) and check for the following:
  - Cosmetics or oils on the lens (replace the lens),
  - The lens is on the wrong eye,
  - The lens is inside-out (it would also not be as comfortable as normal).

If you find that your vision is still blurred after checking the above possibilities, remove both lenses and consult your eye professional.

### **The One-Hand Placement Technique**

Place the lens on your index finger. Head up, looking straight ahead, pull down your lower eyelid with the middle finger of your placement hand. Look up steadily at a point above you. Then place the lens on the lower white part of your eye. Remove your index finger and slowly release the lower lid. Look down to position the lens properly. Close your eyes for a moment; the lens will center itself on your eye.

### **The Two-Hand Placement Technique**

With the lens on your index finger, use the middle finger of the other hand to pull the upper lid against the brow. Use the middle finger of your placement hand to pull down the lower lid and then place the lens centrally on your eye. While holding this position, look downward to position the lens properly. Slowly release your eyelids.

If the lens feels uncomfortable, then look in the mirror and gently place a finger on the edge of the contact lens and slowly slide the lens away from your nose while looking in the opposite direction. Then by blinking, the lens will re-center itself. If the lens still feels uncomfortable, follow the steps described in the section of these instructions entitled "Adverse Reactions."



#### **– Centering the Lens:**

Very rarely, a lens that is on the cornea will be displaced onto the white part of the eye during lens wear. This can also occur during placement and removal of the lenses if the correct techniques are not performed properly. To center a lens, follow one of the procedures below.

Hold the upper and lower eyelids open with your fingers. Then while looking in a mirror, gently place a finger on the contact lens and gently slide the lens towards the center of the eye.

***Or***

Hold the upper and lower eyelids open with your fingers. Then, while looking in a mirror, move your eye towards the lens to place it on the center of the eye.

#### **– Removing the Lens:**

Always remove the same lens first.

- 1) Wash, rinse, and dry your hands thoroughly.

2) Always be sure that the lens is in the correct position on your eye before you try to remove it (a simple check of your vision, closing one eye at a time, will tell you if the lens is in the correct position). Look up and slowly pull down your lower eyelid with the middle finger of your removal hand and place your index finger on the lower edge of lens. Squeeze the lens lightly between the thumb and the index finger and remove it. Avoid sticking the edges of the lens together.

3) Remove the other lens by following the same procedure.

**Note: If this method of removing your lens is difficult for you, your study doctor will provide you with an alternate method.**

– **Care for a Sticking (Nonmoving) Lens:**

It is important to the health of your eyes that your contact lenses move freely. If a lens sticks (stops moving), put a few drops of the Bausch + Lomb Sensitive Eyes® Drops provided by your study doctor into your eye. In this case, do not use plain water or anything other than the recommended solutions. Do not attempt to remove a lens that is sticking, which could damage your eye. If the lens does not begin to move when you blink after several applications of the solution or, contact your study doctor immediately. Do not attempt to remove the lens except on the advice of your study doctor.

## **6. EMERGENCIES**

If chemicals of any kind (household products, gardening solutions, laboratory chemicals, etc.) are splashed into your eyes, you should: **FLUSH EYES IMMEDIATELY WITH TAP WATER AND THEN REMOVE LENSES PROMPTLY. CONTACT YOUR STUDY DOCTOR OR VISIT A HOSPITAL EMERGENCY ROOM WITHOUT DELAY.**

## **APPENDIX D: FITTING GUIDE FOR SINGLE-USE DISPOSABLE WEAR**

**CAUTION:** Investigational device. Limited by Federal law (U.S.A.) to investigational use.

### **IMPORTANT**

This Fitting Guide has been developed to provide professionals with information covering characteristics of the investigational daily disposable contact lens and to illustrate fitting procedures. Please read carefully and keep this information for future use. This Fitting Guide is intended for the eye care professional, but should be made available to subjects upon request. The eye care professional should provide the subject with the Subject Instructions that pertain to the subject's prescribed lens, and the recommended wearing schedule.

### **LENS PARAMETERS AVAILABLE (For the Test and Control lenses used in this study)**

Test and Control Articles:

The description of the Test and Control daily disposable contact lenses is as follows:

- Sphere Power: -0.25 D to -6.00 D in 0.25 D increments
- Diameter: 14.2 mm
- Base Curve: 8.6 mm
- Materials: kalifilcon A (Test) and nesofilcon A (Control)

### **HOW THE LENS WORKS (ACTIONS)**

In their hydrated state, when the contact lens is placed on the cornea, they act as a refracting medium to focus light rays on the retina.

### **INDICATIONS**

The Bausch + Lomb daily disposable contact lens is intended for the daily disposable wear correction of refractive ametropia (myopia and hyperopia) in aphakic and not-aphakic persons with non-diseased eyes, exhibiting astigmatism of 2.00 D or less, that does not interfere with visual acuity. The lenses may be prescribed in spherical powers ranging from -0.25 D to -6.00 D. The lenses have been prescribed for single-use disposal wear, and will be replaced after each removal.

### **CONTRAINDICATIONS (REASONS NOT TO USE)**

DO NOT USE the Bausch + Lomb daily disposable contact lens when any of the following conditions exist:

- Acute and subacute inflammation or infection of the anterior chamber of the eye,
- Any eye disease, injury, or abnormality that affects the cornea, conjunctiva, or eyelids,
- Severe insufficiency of lacrimal secretion (dry eyes),
- Corneal hypoesthesia (reduced corneal sensitivity),
- Any systemic disease that may affect the eye or be exaggerated by wearing contact lenses,

- Allergic reactions of ocular surfaces or adnexa (surrounding tissue) that may be induced or exaggerated by wearing contact lenses or use of contact lens solutions,
- Any active corneal infection (bacterial, fungal, or viral),
- If eyes become red or irritated.

## WARNINGS

After a thorough eye examination, including appropriate medical background, subjects should be fully apprised by the prescribing professional of all the risks with contact lens wear. Subjects should be advised of the following warnings pertaining to contact lens wear:

- Problems with contact lenses could result in **serious injury** to the eye. It is essential that subjects follow their eye care professional's direction and all labeling instructions for proper use of lenses. Eye problems, including corneal ulcers, can develop rapidly and lead to **loss of vision**.
- Daily disposable lenses are not indicated for overnight wear, **and subjects should be instructed not to wear lenses while sleeping**. Clinical studies have shown that the risk of serious adverse reactions is increased when daily disposable lenses are worn overnight.
- Studies have shown that contact lens wearers who are smokers have a higher incidence of adverse reactions than nonsmokers.
- If a subject experiences eye discomfort, excessive tearing, vision changes, or redness of the eye, the subject should be instructed to **immediately remove lenses** and promptly contact his or her eye care professional.

## PRECAUTIONS

Precautions for Eye Care Professionals:

- Due to the small number of subjects enrolled in clinical investigation of lenses, all refractive powers, design configurations, or lens parameters available in the lens material are not evaluated in significant numbers. Consequently, when selecting an appropriate lens design and parameters, the eye care professional should consider all characteristics of the lens that can affect lens performance and ocular health, including oxygen permeability, wettability, central and peripheral thickness, and optic zone diameter.
- The potential impact of these factors on the subject's ocular health should be carefully weighed against the subject's need for refractive correction; therefore, the continuing ocular health of the subject and lens performance on eye should be carefully monitored by the prescribing eye care professional.
- Fluorescein should not be used while the subject is wearing the lenses, because the lenses will become discolored. Whenever fluorescein is used, flush the eyes with sterile saline solution. Wait at least 5 minutes before inserting new lenses. If it is not possible to flush the eyes, wait a minimum of 1 hour before inserting new lenses. If inserted too soon, the lenses may absorb residual fluorescein.
- Before leaving the eye care professional's office, the subject should be able to promptly remove lenses.

- The lenses are prescribed for disposable wear, and are to be stored for return to the eye care professional once they are removed from the subject's eye. It is important that subjects be instructed to always have available a pair of replacement lenses. In the event that a lens must be removed from the eye because of dust, a foreign body or other contaminant gets on the lens or the lens becomes dehydrated, the lens should be removed and replaced with a replacement lens.

Eye care professionals should carefully instruct subjects about the following safety precautions:

- If the lens sticks (stops moving) on the eye, follow the recommended directions on Care for a Sticking Lens. The lens should move freely on the eye for the continued health of the eye. If non-movement of the lens continues, the subject should be instructed to **immediately** consult his or her eye care professional. Do not attempt to remove the lens, except on the instructions of the eye care professional.
- Always wash and rinse hands before handling lenses. Do not get cosmetics, lotions, soaps, creams, deodorants, or sprays in the eyes or on the lenses. It is best to put on lenses before putting on makeup. Water-base cosmetics are less likely to damage lenses than oil-base products.
- Do not touch contact lenses with the fingers or hands if the hands are not free of foreign materials, as microscopic scratches of the lenses may occur, causing distorted vision and/or injury to the eye.
- Carefully follow the handling, insertion, removal, and wearing instructions in the Subject Instructions and those prescribed by the eye care professional.
- Never wear lenses beyond the period recommended by the eye care professional.
- If aerosol products such as hair spray are used while wearing lenses, exercise caution and keep eyes closed until the spray has settled.
- Always handle lenses gently and avoid dropping them.
- Avoid all harmful or irritating vapors and fumes while wearing lenses.
- Ask the eye care professional about wearing lenses during water activities and other sports.
- Inform the doctor (health care professional) about being a contact lens wearer.
- Never use tweezers or other tools to remove lenses from the lens container unless specifically indicated for that use. Pour the lens into the hand.
- Do not touch the lens with fingernails.
- Always contact the eye care professional before using any medicine in the eyes.
- Always inform the employer of being a contact lens wearer. Some jobs may require use of eye protection equipment or may require that the subject not wear contact lenses.
- As with any contact lens, follow-up visits are necessary to assure the continuing health of the subject's eyes. The subject should be instructed as to a recommended follow-up schedule.

## **ADVERSE REACTIONS**

The subject should be informed that the following problems may occur:

- Eyes stinging, burning, itching (irritation), or other eye pain,
- Comfort is less than when lens was first placed on eye,
- Abnormal feeling of something in the eye (foreign body, scratched area),
- Excessive watering (tearing) of the eyes,
- Unusual eye secretions,
- Redness of the eyes,
- Reduced sharpness of vision (poor visual acuity),
- Blurred vision, rainbows, or halos around objects,
- Sensitivity to light (photophobia),
- Dry eyes.

If the subject notices any of the above, he or she should be instructed to:

- **Immediately remove lenses.**
- If the discomfort or problem stops, then look closely at the lens. If the lens is in any way damaged, do not put the lens back on the eye. Place the lens in the storage case and contact the eye care professional. If the lens has dirt, an eyelash, or other foreign body on it, or the problem stops and the lens appears undamaged, the subject should insert a new lens. After insertion of a new lens, if the problem continues, the subject should **immediately remove the lenses and consult the eye care professional.**

If the above symptoms continue after removal of the lens, or upon insertion of a new lens, the subject should **immediately remove the lenses and contact his or her eye care professional** or physician, who must determine the need for examination, treatment or referral without delay.

(See Important Treatment Information for Adverse Reactions.) A serious condition such as infection, corneal ulcer, corneal vascularization, or iritis may be present, and may progress rapidly. Less serious reactions such as abrasions, epithelial stinging or bacterial conjunctivitis must be managed and treated carefully to avoid more serious complications.

### **IMPORTANT TREATMENT INFORMATION FOR ADVERSE REACTIONS**

Sight-threatening ocular complications associated with contact lens wear can develop rapidly, and therefore early recognition and treatment of problems are critical. Infectious corneal ulceration is one of the most serious potential complications, and may be ambiguous in its early stage.

Signs and symptoms of infectious corneal ulceration include discomfort, pain, inflammation, purulent discharge, sensitivity to light, cells and flare and corneal infiltrates. Initial symptoms of a minor abrasion and an early-infected ulcer are sometimes similar.

Accordingly, such epithelial defect, if not treated properly, may develop into an infected ulcer. In order to prevent serious progression of these conditions, a subject presenting symptoms of abrasions or early ulcers should be evaluated as a potential medical emergency, treated accordingly, and be referred to a corneal specialist when appropriate.

Standard therapy for corneal abrasions such as eye patching or the use of steroids or steroid/antibiotic combinations may exacerbate the condition. If the subject is wearing a contact lens on the affected eye when examined, the lens should be removed immediately and the lens and lens care products retained for analysis and culturing.

## **SELECTION OF SUBJECTS**

Persons who require vision correction and who would not or could not adhere to the replacement regimen for the Bausch + Lomb daily disposable contact lenses or are unable to place and remove the lenses should not be provided with them. Failure to follow handling instructions could lead to serious eye infections, which might result in corneal ulcers.

Subject communication is vital because it relates not only to subject selection but also to ensure compliance. It is also necessary to discuss the information contained in the Subject Instructions with the subject at the time of the initial examination. Subjects selected to wear the Bausch + Lomb daily disposable contact lens should be chosen for their motivation to wear contact lenses, general health, and cooperation. The eye care professional must take care in selecting, examining, and instructing contact lens subjects. Subject hygiene and willingness to follow eye care professional instructions are essential to their success.

A detailed history is crucial to determining subject needs and expectations. Your subject should be questioned regarding vocation, desired lens-wearing time (full or part time), and desired lens usage (reading, recreation or hobbies). Initial evaluation of the lens should be preceded by a complete eye examination, including visual acuity, keratometry, and slit lamp examination.

It is normal for the subject to experience mild symptoms such as lens awareness, variable vision, occasional tearing (watery eyes), and slight redness during the adaptation period. Although the adaptation period varies for each individual, generally within one week these symptoms will disappear. If these symptoms persist, the subject should be instructed to contact his or her eye care professional.

## **FITTING PROCEDURE**

### **1. Pre-fitting Examination**

A pre-fitting subject history and examination are necessary to:

- determine whether a subject is a suitable candidate for daily disposable contact lenses (consider subject hygiene and mental and physical state),
- make ocular measurements for initial contact lens parameter selection, and
- collect and record baseline clinical information to which post-fitting examination results can be compared.

A pre-fitting examination should include spherocylindrical refraction and VA, keratometry, and biomicroscopic examination.

### **2. Initial Lens Power Selection**

- Lens power is determined from the subject's spherical equivalent prescription corrected to the corneal plane.
- Select the appropriate power lens and place the lens on the eye. Allow the lens to remain on the eye long enough (3 minutes) to achieve a state of equilibrium. Small

variations in the tonicity, pH of the lens solutions, and individual tear composition may cause slight changes in fitting characteristics.

- Allow any increase in tear flow to subside before evaluating the lens. The time required will vary with the individual.

### **3. Initial Lens Evaluation**

- To determine proper lens parameters observe the lens relationship to the eye using a slit lamp.
  - o Movement: The lens should provide discernible movement with:
    - Primary gaze blink
    - Up gaze blink
    - Up gaze lag
  - o Centration: The lens should provide full corneal coverage.
- Lens evaluation allows the contact lens fitter to evaluate the lens/cornea relationship in the same manner as would be done with any soft lens.

### **4. Criteria of a Well-Fitted Lens**

If the initial lens selection fully covers the cornea, provides discernible movement after a blink, is comfortable and provides satisfactory visual performance, it is a well-fitted lens and can be dispensed.

### **5. Characteristics of a Tight (Steep) Lens**

A lens which is much too steep may subjectively and objectively cause distortion which will vary after a blink. However, if a lens is only marginally steep, the initial subjective and objective vision and comfort findings may be quite good. A marginally steep lens may be differentiated from a properly fitted lens by having the subject gaze upward. A properly fitted lens will tend to slide downward approximately 0.5mm while a steep lens will remain relatively stable in relationship to the cornea, particularly with the blink.

### **6. Characteristics of a Loose (Flat) Lens**

If the lens is too flat, it will:

- Decenter, especially on post-blink.
- Have a tendency to edge lift inferiorly and sit on the lower lid, rather than positioning between the sclera and palpebral conjunctiva.
- Have a tendency to be uncomfortable and irritating with fluctuating vision.
- Have a tendency to drop or lag greater than 2.0 mm on up-gaze post-blink.

### **7. Follow-up Care**

- From the day of dispensing, the following schedule is required for this study.
  - o 1-Week Follow-Up Visit
  - o 1-Month Follow-Up Visit
  - o 2-Month Follow-Up Visit
  - o 3-Month Follow-Up Visit

- Prior to a follow-up examination, the contact lenses should be worn for approximately 1 continuous hour and the subject should be asked to identify any problems which might be occurring related to contact lens wear.
- With lenses in place on the eyes, evaluate fitting performance to assure that CRITERIA OF A WELL FITTED LENS continue to be satisfied. Examine the lenses closely for surface deposition and/or damage.
- After the lens removal, instill sodium fluorescein (unless contraindicated) into the eyes and conduct a thorough biomicroscopy examination.
  - o The presence of vertical corneal striae in the posterior central cornea and/or corneal neovascularization may be indicative of excessive corneal edema.
  - o The presence of corneal staining and/or limbal-conjunctival hyperemia can be indicative of an unclean lens, a reaction to solution preservatives, excessive lens wear, and/or a poorly fitting lens.
  - o Papillary conjunctival changes may be indicative of an unclean and/or damaged lens. If any of the above observations are judged abnormal, various professional judgments are necessary to alleviate the problem and restore the eye to optimal conditions.

## **WEARING SCHEDULE**

The wearing and daily disposable schedules should be determined by the eye care professional. Regular checkups, as determined by the eye care professional, are extremely important.

### *Daily Disposable:*

There may be a tendency for the daily disposable subject to over-wear the lenses initially. Therefore, the importance of adhering to a proper, initial daily disposable schedule should be stressed to these subjects. The wearing schedule should be determined by the eye care professional. The wearing schedule chosen by the eye care professional should be provided to the subject. The lens is to be prescribed for single-use disposable wear, and is to be replaced after each removal.

All subjects should be supplied with a copy of the Subject Instructions.

## **HANDLING OF LENSES**

When lenses are dispensed, the subject should be provided with appropriate and adequate instructions and warnings for lens handling. The eye care professional should recommend appropriate and adequate procedures for each individual subject in accordance with the particular lens-wearing schedule.

### **CARE FOR A STICKING (NONMOVING) LENS**

If the lens sticks (stops moving), the subject should be instructed to use their study rewetting drops in their eye. The subject should be instructed to not use plain water, or anything other than the recommended solutions. The subject should be instructed to contact the eye care professional if the lens does not begin to move upon blinking after several applications of the solution, and to not attempt to remove the lens except on the advice of the eye care professional.

### **REPORTING OF ADVERSE REACTIONS**

All serious adverse experiences and adverse reactions observed in subjects wearing the Bausch + Lomb daily disposable contact lens should be reported according to the Protocol.

### **HOW SUPPLIED**

The lenses are provided individually in a plastic blister containing sterile saline packaging solution. Each container is marked with the manufacturing lot number of the lens, power, base curve, and expiration date.