

Haleon  
Clinical Protocol  
Protocol Number: 300178

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## **CLINICAL PROTOCOL**

### **A 4-Week Randomised, Controlled, Examiner-blind, Clinical Study Investigating the efficacy of an Experimental Toothpaste containing Stannous Fluoride in improving gingival health**

**Protocol Number:** 300178

**Compound/Product Name:**  
0.454% Stannous Fluoride  
0.3% Zinc Chloride  
1% Alumina

**Phase:** Not applicable (N/A)

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## Sponsor Information

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## Document History

Document	Version	Summary of Changes
Original protocol	1.0	Not applicable (N/A)
Amendment 1	2.0	<p>To align baseline visit as Day 1 throughout (it was referred to as Day 0 in places).</p> <p>To add comparison of pre-brushing at Day 1 and post-brushing at Week 4 to the exploratory analysis in section 8.3.1</p> <p>Addition of prophy and snack consumption to sections 5.3 &amp; 5.4 to align with rest of protocol.</p>
Amendment 2	3.0	<p><b>CCI</b></p> <p>Change to protocol requirements on repeatability assessments (Sections 3, 6.2.7, 8.3.4 and Table 5.1 (Schedule)) from at least one subject per clinical assessment day to at least 3 subjects over the entire duration of each of visits 2&amp;3.</p> <p>Correction to typo in document history where V2.0 was described as a new version rather than as Amendment 1.</p>

New versions incorporate all revisions to date prior to submission to institutional review boards/ethics committees (IRBs/ECs), etc.

Amendments incorporate all revisions to date, including amendments made at the request of institutional review boards/ethics committees (IRBs/ECs), etc.

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**Principal Investigator Protocol Agreement Page**

- I confirm agreement to conduct the study in compliance with the protocol and any amendments according to the current International Conference on Harmonisation Good Clinical Practice (ICH GCP) guidelines.
- I acknowledge that I am responsible for overall study conduct. I agree to personally conduct or supervise the described study.
- I agree to ensure that all associates, colleagues and employees assisting in the conduct of the study are informed about their obligations. Mechanisms are in place to ensure site staff receives all appropriate information throughout the study.
- I agree to conduct this study in full conformance with the laws and regulations of the country in which the research is conducted and the Declaration of Helsinki.

Investigator Name:	PPD
Investigator Qualifications:	PPD
Investigator Signature:	PPD
Date of Signature/Agreement:	PPD DD-Mmm-YYYY

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## 1 INTRODUCTION

### 1.1 Background & Study Rationale

Gingivitis is a reversible inflammation of the periodontal tissues surrounding the tooth in response to the presence of dental plaque ([Kinane 2001](#)). It is reported to have a high prevalence worldwide in large population surveys ([Albandar 2002](#)) and by the World Health Organization ([Petersen and Ogawa 2012](#)) but is also largely preventable. Left untreated, it is the main cause of tooth loss and considered one of the main threats to oral health ([Murphy 2010](#)). Gingivitis is in fact a pre-requisite for periodontitis (an irreversible severe inflammatory gum condition and major cause of tooth loss); while not all individuals with gingivitis go on to develop periodontitis, management of gingivitis is the primary measure employed in the prevention of this periodontal disease ([Kinane, Attstr et al. 2005](#), [Chapple, Van der Weijden et al. 2015](#)). The prevalence of periodontitis has remained largely unchanged over the last 25 years and the evidence-base shows periodontitis has associations with certain non-communicable diseases.

It is also important to highlight that the worldwide economic impact of unmanaged oral health is large. The intangible cost (pain, difficulties with speech, low self-confidence, problems with expressing emotions such as smiling, etc.) of poor oral health on people's self-confidence and quality of life should also be considered ([Peres, Thomson et al. 2020](#)).

Recent reports highlighted that prevention, diagnosis and management of periodontitis is cost-effective and, preventing the progression of gingivitis to periodontitis, could save even many more costs associated with other health conditions that share risk factors with periodontitis ([Murphy 2010](#)).

Plaque-induced gingivitis is a reversible condition caused by the host's inflammatory immune response to the accumulation of dental plaque at the gingival margin and within the gingival sulcus ([Chapple, Mealey et al. 2018](#)). Thus, gingivitis can be managed and prevented by removal of plaque with regular effective oral hygiene ([Brook 2003](#), [Ower 2003](#)). While mechanical plaque removal (i.e., toothbrushing) is fundamental to the successful control of dental plaque, additional benefits can be achieved by adjunctive use of chemical anti-plaque agents included in daily use dentifrices or mouth rinses ([Chapple, Van der Weijden et al. 2015](#)).

Anti-microbial agents have been incorporated into toothpastes for many years with a view to enhancing plaque control and associated periodontal benefits ([Cummins and Creeth 1992](#)). Their addition to toothpaste formulations complements mechanical plaque removal by helping to reduce/inhibit the growth of bacterial plaque in areas of the mouth less accessible to toothbrushing, and by helping to prevent/slow subsequent re-colonization of 'cleaned' surfaces by the plaque bacteria ([Cummins and Creeth 1992](#), [Teles and Teles 2009](#)).

Stannous Fluoride ( $\text{SnF}_2$ ) is a well-known chemotherapeutic agent which has been incorporated into dentifrices since the 1940s for its oral health benefits ([Van Loveren 1990](#), [Miller, Truong](#)

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[et al. 1994](#), [Van Loveren 2001](#), [Makin 2013](#)). The stannous ion (Sn[II]) is a broad-spectrum antimicrobial agent which has been shown to reduce bacterial biomass/ virulence and inhibit bacterial metabolism ([Tinanoff 1990](#), [Tinanoff 1995](#), [Archila, Bartizek et al. 2004](#), [Bellamy, Boulding et al. 2012](#), [He, Barker et al. 2012](#)). Sn [II] ions rapidly oxidize to “inactive” stannic ions (Sn [IV]) and hydrolyse to form insoluble tin compounds (for example, stannous hydroxide) in the presence of water derived ions ([Makin 2013](#)). To maximize the delivery of bioavailable Sn[II] ions to the oral cavity, SnF<sub>2</sub> dentifrices are often “stabilized” by the addition of complexing agents or developed as low water content/anhydrous formulations.

Numerous clinical studies reported in the scientific literature demonstrate the anti-gingivitis/ anti-plaque efficacy of 0.4-0.454% SnF<sub>2</sub> dentifrices, for example, ([Mankodi, Petrone et al. 1997](#), [Mankodi, Bartizek et al. 2005](#), [Mallatt, Mankodi et al. 2007](#), [Parkinson, Targett et al. 2014](#), [Parkinson, Amini et al. 2018](#), [Parkinson, Amini et al. 2018](#), [Parkinson, Milleman et al. 2020](#), [Acherkouk, Patel et al. 2021](#)) in a population with mild to moderate plaque-induced gingivitis at timepoints ranging from 2 to 24 weeks.

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**CCI** [REDACTED]. It provides cleaning and polishing action on the tooths surface with low abrasivity ([Milleman, Milleman et al. 2016](#)).

Zinc is known for its ability to reduce oral malodour, inhibiting the production of volatile sulfur compounds (VSCs). Zinc ion has also bacteriostatic properties, but it is not expected to impact the efficacy of stannous ions. Haleon have conducted 5 studies investigating the ability of zinc salts to reduce VSCs produced by oral bacteria. **CCI** [REDACTED]

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**CCI** [REDACTED]. Data from recent Haleon study 300025, have been generated in a population with clinical diagnosed gingivitis. Therefore, this formulation could offer reduction in breath odour (not investigated as part of this clinical study).

The aim of the current 4-week clinical study is to evaluate the ability of an experimental toothpaste, containing 0.454% SnF<sub>2</sub>, 0.3% ZnCl<sub>2</sub> and 1% Alumina, to improve gingival health and plaque accumulation compared to a regular fluoride toothpaste (negative control) in subjects with plaque-induced mild to moderate gingivitis; **CCI** [REDACTED]

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Although a diversity recruitment plan is not required for this study, the recruitment strategy will include a diverse population, with balanced distribution of subjects based on the prevalence of gingivitis considering sex, age, race and ethnicity.

Research has found that periodontal disease is more prevalent in males (56.4%) than females (38.4%); five out of ten adult males are affected by gum diseases, while 4 out of 10 females are affected. The difference has been found to be associated with females following better oral care regimens. Gingivitis prevalence is also positively associated with increasing age and typically, it affects more Asians, White East European and Black African than White British (based on UK ethnicity) ([Peres, Thomson et al. 2020](#), [Rathee and Jain 2023](#)).

## 2 STUDY OBJECTIVES AND ENDPOINTS

**Table 2-1 Study Objectives and Endpoints**

Objective(s)	Endpoint(s)
<b>Primary</b>	
To evaluate gingival health, as measured by the Bleeding Index (BI), of an experimental dentifrice containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride and 1% Alumina compared to a negative control following 4 weeks twice daily use	Mean BI at Week 4.
<b>Secondary</b>	
To evaluate gingival health, as measured by the Number of Bleeding Sites (NBS) of an experimental dentifrice containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride and 1% Alumina compared to a negative control following 4 weeks twice daily use	Mean NBS at Week 4
To evaluate gingival health, as measured by Modified Gingival Index (MGI) of an experimental dentifrice containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride and 1% Alumina compared to a negative control following 4 weeks twice daily use	Mean MGI at Week 4.
To evaluate supragingival plaque levels, as measured by the Turesky Plaque Index (TPI), of an experimental dentifrice containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride and 1% Alumina compared to a negative control for following 4 weeks twice daily use	<ul style="list-style-type: none"> <li>• Mean overall TPI at Week 4.</li> <li>• Mean interproximal TPI at Week 4.</li> </ul>

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**Exploratory****CCI****Safety**

To evaluate the safety and oral tolerability of an experimental dentifrice containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride and 1% Alumina following 4 weeks twice daily use

Treatment emergent adverse events (TEAEs) over 4 weeks

This study will be considered successful if there is a statistically significant difference in mean Bleeding Index (BI) after 4 weeks of twice daily brushing with the experimental toothpaste containing 0.454% SnF<sub>2</sub>, 0.3% ZnCl<sub>2</sub> and 1% Alumina compared to the control toothpaste.

### **3 STUDY DESIGN**

This will be a single-center, 4 weeks, randomized, controlled, examiner-blind, 2 treatment arms, stratified, parallel group design clinical study, investigating gingival health and supragingival plaque reduction on healthy subjects after using an experimental toothpaste containing 0.454% SnF<sub>2</sub>, 0.3% ZnCl<sub>2</sub> and 1% Alumina; the antimicrobial effect of the toothpaste will be also evaluated as exploratory objective.

Study subjects will be healthy adult volunteers, aged 18-70 years (inclusive), with mild to moderate plaque-induced gingivitis and with  $\geq 20$  natural teeth that meet all study criteria at both the Screening and Baseline visits (including  $\geq 40$  evaluable surfaces for Modified Gingival Index (MGI) Bleeding Index (BI), Basic Periodontal Examination (BPE) and Turesky Plaque Index (TPI) (BI and TPI will be performed at Baseline (V2), as part of the inclusion criteria, and BPE at Screening (V1) as per exclusion criteria).

This design is typical of many studies conducted to evaluate the clinical efficacy of dentifrices for gingival health. A parallel group design has been selected as more appropriate for this investigation. Anticipated differential changes in clinical variables among treatment groups could lead to carryover effects and an altered oral health state should a crossover design be employed. The dosage regimen of twice daily use (morning and evening) will be the same for each treatment group and is based on consumer habit and common practice within oral care clinical trials.

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Only study subjects with a pre-specified level of gingivitis will be randomized to study product. The baseline levels of measures of gingivitis and plaque are consistent with previous Haleon gingivitis studies with mean MGI 1.75-2.30 considered representative of generalised mild-moderate gingivitis. Furthermore, a minimum of 20 permanent gradable teeth is **CCI**

**CCI** representative of a minimum of a “shortened dental arch” ([Käyser 1989](#)) equating to anywhere between 20-28 gradable teeth (excluding 3rd molars) per subject.

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Sufficient subjects will be screened to assess approximately 180 subjects so that at least 160 subjects are randomized (approximately 80 per group) to ensure approximately 144 (approximately 72 per group) evaluable subjects complete the entire study (allowing for 10% for drop-outs post-baseline).

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The clinical efficacy of the experimental toothpaste will be compared with that of a regular fluoride toothpaste. A standard fluoride dentifrice has been chosen as the negative control dentifrice in this study, as this is likely to reflect a subject's typical oral care product use.

This study will consist of 3 study visits: Screening (Visit 1), Baseline (Visit 2) and Week 4 (Visit 3). Gingival health will be assessed using MGI and BI; plaque will be assessed by TPI, overall and interproximal. **CCI**

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At Screening visit (Visit 1), subjects will provide their written informed consent to participate in the study. Demographics, medical history, prior/current medications will be recorded, subject's current oral care product used, and inclusion and exclusion criteria will be checked followed by an oral examination consisting of oral soft tissue (OST), oral hard tissue (OHT) examination, MGI and BPE assessments (as per inclusion/exclusion criteria) to identify subjects likely to meet the qualifying levels of gingivitis at baseline.

Subjects that show signs of periodontitis as assessed by BPE, with a score of 4 or above in one or more sextants will be excluded from the study. In addition, subjects with  $BPE \geq 3$  will undergo a pocket depth assessment, and those with  $\geq 5\text{mm}$  pocket depth in a single tooth will be excluded.

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Within approximately 3-5 weeks (21-35 days) of Screening (Visit 1), eligible subjects will return to the site for the Baseline visit (Visit 2), with overnight plaque (subjects will be instructed to abstain from oral hygiene for 12 hours [+3 hours; -2 hours] i.e., overnight immediately before the visit). At the Baseline visit, concomitant medication and inclusion/exclusion criteria will be checked and then subjects will undergo a full OST examination, **CCI**, MGI, BI and TPI assessments.

Subjects will be considered eligible with a minimum of 20 natural teeth, at least 40 evaluable surfaces, overall  $MGI \geq 1.75$  and  $\leq 2.3$  and overall  $TPI \geq 1.5$ . Subjects with MGI and TPI score outside the study range will be discontinued from the study at this visit.

After **CCI** all initial clinical assessments, eligible subjects will receive a standardise snack followed by a full mouth dental prophylaxis to remove sub and supragingival calculus, stain, plaque and debris from the teeth. Post-prophylaxis, the subject's teeth will be re-disclosed, and a second clinician will check all plaque and calculus has been

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removed. Any residual plaque and/or calculus will be removed to bring the subject to zero plaque (TPI = 0) before entering the treatment period.

Subjects will be then stratified based on gender and baseline mean whole mouth MGI score (Low:  $\leq 2.00$ /High  $> 2.00$ ), to ensure a balance of gingivitis across both treatment groups and will be then randomized to study products. Gender is a known modifier of the initiation and outcome of conditions related to gingival health ([Alam, Mishra et al. 2012](#)). Stratifying by MGI will facilitate evaluation of BI and MGI in low and high MGI subgroups.

The MGI and the BI are established clinical measures of gingival inflammation and gingival bleeding, respectively (i.e. gingival health); the TPI is an established clinical measure of supra-gingival plaque accumulation. To avoid inter-examiner variability, a single examiner will be responsible for the conduct of each of the clinical indices (MGI, BI, TPI).

Randomized subjects will then receive their assigned study product, a toothbrush, rinsing cups and instructions on product usage. Subjects will be instructed to brush twice daily (morning and evening) with their allocated study product, in their usual manner, for 1-timed minute for the next 4 weeks. They will complete the first brushing at the study site, under supervision.

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After using the study products for 4 weeks, subjects will return to the study site (Visit 3) with overnight plaque (subjects will be instructed to abstain from overnight toothbrushing for 12 hours [+3 hours; -2 hours] immediately before each assessment visit), at approximately the same time of day as the Baseline visit. Changes in health/concomitant medications will be recorded and compliance check will be performed by visual inspection of study products by study staff to ensure adequate brushing. Subjects will then have a full OST examination followed by a **CCI** [REDACTED], MGI, BI and TPI assessments.

Subjects will be offered a standardised snack and then will be asked to brush their teeth on site, under supervision, with the assigned toothpaste. **CCI** [REDACTED]. Subjects will also have a full final OST/OHT examination.

At the end of V3 (Week 4), study closeout procedures (return of study product etc.) will take place and the subject may undergo an additional prophylaxis if it is deemed necessary by the examiner. Adverse events (AEs) will be recorded from informed consent and at the end of each study visit.

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To assess examiner reproducibility across the treatment period, repeat MGI and TPI assessments will be performed on selected subjects at Visits 2-3. (Replicate BI examinations cannot be performed due to the inherent invasiveness of this measure). At least 3 subjects will be selected for repeatability examinations for each of the 2 clinical measures over the duration of each of visits 2 and 3. This will give a total of at least 6 subjects with repeatability examinations for each of the MGI and TPI assessments across the entire study. Repeatability examinations should be separated by a minimum of 10 minutes and, where possible, separated by another subject. MGI and TPI repeat assessments should be performed on different subjects.

All assessments will be carried out on the facial and lingual/palatal surfaces of each incisor, canine, pre-molar and molar, excluding third molars. To control inter-examiner variability, the same examiner will be used throughout the study for each clinical index.

Subjects will not be able to eat or drink, except for small sips of water used to alleviate thirst or to aid with medication, following supervised brushing at V2 and V3 **CCI**

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## 4 STUDY POPULATION

### 4.1 Type and Planned Number of Subjects

Subjects will be male or female, non-smokers, aged 18-70 years (inclusive), with a minimum of 20 natural teeth and generalized mild-moderate plaque-induced gingivitis. At Baseline (pre-prophylaxis), qualifying subjects will have a mean overall MGI  $\geq 1.75$  to  $\leq 2.30$  and an overall mean TPI  $\geq 1.5$ .

Sufficient subjects will be screened to assess approximately 180 subjects so that at least 160 subjects are randomized (approximately 80 per group) to ensure approximately 144 (approximately 72 per group) evaluable subjects complete the entire study (allowing for 10% for drop-outs post-baseline).

An enrolled subject is one who has agreed to participate in the clinical study following completion of the informed consent process and has successfully met the eligibility criteria to proceed beyond the screening visit, as described in this protocol.

This study can fulfill its objectives only if appropriate subjects are enrolled. The following eligibility criteria are designed to select subjects for whom participation in the study is considered appropriate. All relevant medical and non-medical conditions should be taken into consideration when deciding whether a subject is suitable for this protocol.

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Subject eligibility to participate in the clinical study should be reviewed and documented by an appropriate member of the investigator's study team before subjects are included in the study.

## **4.2 Inclusion Criteria**

An individual must meet all the following inclusion criteria to be eligible to be included into the study:

1. Provision of a signed and dated informed consent document indicating that the subject has been informed of all pertinent aspects of the study before any assessment is performed.
2. Biological sex at birth was male or female.
3. Aged 18 to 70 years inclusive, at the signing of the informed consent.
4. Willing and able to comply with scheduled visits, treatment plan, saliva sample collection, study restrictions, **Lifestyle Considerations** and other study procedures.
5. In good general and mental health with, in the opinion of the investigator or medically qualified designee, no clinically significant or relevant abnormalities in medical history or upon oral examination, or condition, that would impact the subject's safety, wellbeing or the outcome of the study, if they were to participate in the study, or affect the individual's ability to understand and follow study procedures and requirements.
6. Subject oral health that meets all the following:

### **AT SCREENING (Visit 1)**

7. Subject with at least 20 natural, permanent teeth, (excluding 3<sup>rd</sup> molars).
8. Subject with at least 40 evaluable surfaces for MGI, BI, and TPI.

*An evaluable surface is defined as having 2/3rds of the natural tooth surface gradable for the selected clinical indices. The following should not be included in the evaluable surface count- third molars; fully crowned/extensively restored, grossly carious, orthodontically banded/bonded or abutment teeth; surfaces with calculus deposits which, in the opinion of the clinical examiner, would interfere with the baseline assessments of the selected clinical indices.*

9. A healthy subject with mild to moderate plaque-induced gingivitis in the opinion of the clinical examiner
10. Overall MGI  $\leq$  1.75 to  $\leq$  2.30

### **AT BASELINE (V2):**

11. Overall MGI  $\geq$  1.75 to  $\leq$  2.30
12. Overall TPI score  $\geq$  1.5

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#### **4.3 Exclusion Criteria**

An individual who meets any of the following exclusion criteria will be excluded from the study:

1. An employee of the investigational site, either directly involved in the conduct of the study or a member of their immediate family; or an employee of the investigational site otherwise supervised by the investigator; or a Haleon employee directly involved in the conduct of the study or a member of their immediate family.
2. A subject who has participated in other studies (including non-medicinal studies) involving investigational product(s) within 30 days prior to study entry and/or during study participation.
3. A subject with, in the opinion of the investigator (or medically qualified designee), an acute or chronic medical or psychiatric condition or laboratory abnormality that may increase the risk associated with study participation or investigational product administration or may interfere with the interpretation of study results and, in the judgment of the investigator or medically qualified designee, would make the subject inappropriate for entry into this study.
4. A subject who has any other clinical serious or unstable conditions (e.g., cardiovascular diseases, diabetes, liver disorders, and kidney disorders) which could have affected study outcomes and/or subject safety.
5. A subject who is pregnant (self-reported) or intending to become pregnant over the duration of the study.
6. A subject who is breastfeeding.
7. A subject with known or suspected intolerance or hypersensitivity to any study materials (or closely related compounds) or any of their stated ingredients.
8. A subject unwilling or unable to comply with the **Lifestyle Considerations** described in this protocol.
9. A subject who is a current smoker or an ex-smoker (including vaper) who stopped within 6 months of Screening.
10. A subject who is using smokeless forms of tobacco (e.g., chewing tobacco, gutkha, pan containing tobacco, nicotine-based e-cigarettes).
11. A subject who is diagnosed xerostomia or is taking any medication that in the view of the investigator is causing xerostomia.
12. A subject who has a medical condition which could have directly influenced gingival bleeding.
13. A subject who has a bleeding disorder that could have affected study outcomes and/or subject safety.
14. A subject who has a recent history (within the last year) of alcohol or other substance abuse.

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15. A subject who has a severe oral condition (e.g., acute necrotizing ulcerative gingivitis or oral or peri-oral ulceration including herpetic lesions) that could, in the opinion of the investigator, compromise study outcomes or the oral health of the subject/examiner if they participate in the study.
16. Presence of a tongue or lip piercing, or any other oral feature that could interfere with the usage of a toothbrush.

### **17. Medication exclusions:**

#### **At screening (Visit 1):**

- a. A subject using any antibiotic medication within 28 days prior to screening or at any time during the study.
- b. Subject who has used an anti-bacterial toothpaste/mouthwash (e.g., chlorhexidine) or another oral care product within 2 weeks of Screening that, in the opinion of the investigator or dentally qualified designee, could affect gingival health, plaque formation or oral bacteria.
- c. A subject currently taking an anti-inflammatory medication which, in the opinion of the Investigator, could affect gingival condition.
- d. A subject currently taking a systemic medication (e.g., anti-inflammatory, anticoagulant, immunosuppressants) or traditional/ herbal remedy which, in the opinion of the Investigator, could affect plaque/ gingival condition (e.g., ibuprofen, aspirin, warfarin, cyclosporin, phenytoin, calcium channel blockers, statins).

### **18. Medication exclusions:**

#### **At Baseline (Visit 2):**

- a. A subject who has taken any antibiotics during the washout period (between Screening and Baseline).
- b. A subject who has taken (in the previous 14 days) a systemic medication (e.g., anti-inflammatory, anti-coagulant, immunosuppressants) or traditional/ herbal remedy which, in the opinion of the Investigator, could affect plaque/ gingival condition (e.g., ibuprofen, aspirin, warfarin, cyclosporin, phenytoin, calcium channel blockers).
- c. A subject who has used an antibacterial dentifrice or mouthwash (e.g., chlorhexidine) or any oral care product that in the view of the investigator could interfere with gingival health, plaque formation and oral bacteria, in the period between Screening and the Baseline visit.

### **19. Periodontal exclusions:**

- a. A subject who shows signs of periodontitis (at both Screening (V1) and Baseline visits (V2)).
- b. A subject with a BPE score of 4 or above in one or more sextants.

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- c. A subject with BPE score  $\geq 3$ , if with probing pocket, a single tooth dept is  $\geq 5$ mm.
- d. A subject who is receiving or has received treatment for periodontal disease (including surgery) within 12 months of Screening.
- e. A subject who has gingivitis, which in the opinion of the investigator, is not expected to respond to treatment with an over the counter (OTC) dentifrice.

## **20. Dental Exclusions:**

- a. A subject who has active caries that could, in the opinion of the investigator, compromise study outcomes or the oral health of the subject if they participate in the study.
- b. A subject who has dentures (partial or full).
- c. A subject who has an orthodontic appliance (bands, appliances, or fixed/ removable retainers).
- d. A subject who received orthodontic therapy within 3 months of Screening.
- e. A subject who has numerous restorations in a poor state of repair.
- f. A subject who has any dental condition (e.g., overcrowding) that could, in the opinion of the investigator, compromise study outcomes or the oral health of the subject if they participate in the study.
- g. A subject who has had dental prophylaxis within 12 weeks of Screening.
- h. A subject who has had teeth bleaching within 12 weeks of Screening.
- i. A subject who has high levels of extrinsic stain or calculus deposits, in the opinion of the investigator, that could have interfered with plaque assessments.

21. A subject who has previously been enrolled in this study.

22. A subject who, in the opinion of the investigator or medically qualified designee, should not participate in the study.

## **4.4 Lifestyle Considerations**

If, in the opinion of the investigator or medically qualified designee, a subject has not complied with a study restriction (e.g., oral hygiene, dietary or alcohol-related) prior to a study visit or cannot attend a study visit, every effort will be made to reappoint them within the permitted visit tolerances (see Schedule of Activities, [Table 5-1](#)). The reason for re-appointment will be documented in the electronic case report form (eCRF).

If re-appointment is not possible, the following visit specific actions should be taken:

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- **Baseline (Visit 2):** if the subject cannot be reappointed (within the 3-5 weeks visit tolerance), they will be withdrawn from the study. No clinical efficacy assessments will be performed. The subject may be replaced.
- **Week 4 (Visit 3):** if the subject cannot be reappointed (within the visit tolerance), they will be withdrawn from the study. No clinical efficacy assessments will be performed. The subject will not be replaced.

#### 4.4.1 Dietary, Tobacco and Alcohol restrictions

##### Before a Clinical Assessment Visit: Baseline (Visit 2) and Week 4 (Visit 3)

- Subjects must not eat or drink for at least 4 hours before a clinical assessment visit and until all clinical assessments are complete during visit day.

*Note: Small sips of room-temperature water are permitted, if required, to take medications or to relieve a dry mouth up to 1 hour before their appointment time*

*Note: A standardize snack will be offered after clinical assessments have been completed and before brushing on site*

- Subjects will not be permitted to smoke, vape or use tobacco (e.g., chewing tobacco, gutkha, pan containing tobacco, nicotine-based e-cigarettes) products during their scheduled visits to the study site.
- Subjects should refrain from alcohol consumption for 24 hours before the clinical assessment visits.

#### 4.4.2 Oral Care Restrictions

##### From Screening (Visit 1) to the Subject's Last Study Visit (Visit 3):

- Subjects should not carry out any interproximal dental cleaning. Use of dental floss, toothpicks, waterpicks or inter-dental brushes is prohibited (except for the removal of impacted food with non-antimicrobial products only).
- Subjects should not chew gum or consume confectionery containing xylitol (e.g., sugar-free mints).
- Subjects should delay any non-emergency dental treatment until after study completion (including dental prophylaxis).

##### From Baseline (Visit 2) to the Subject's Last Study Visit (Visit 3):

- Subjects should not use any other oral care products (e.g., toothpastes, toothbrushes, mouthrinses) than those provided during the study.

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### Before Clinical Efficacy Assessment Visits: Baseline (Visit 2) and Week 4 (Visit 3)

- Subjects should refrain from oral hygiene procedures for 12 hours (+3 hours, -2 hours) before their visit and attend the study site with overnight plaque growth.

## 5 STUDY PROCEDURES

This section lists the procedures to be completed at each planned study visit. Each procedure is listed in **Table 5-1 Schedule of Activities**.

Adherence to the study design requirements, including all procedures are essential and required for study conduct.

All information and data collected at each study visit will be documented in the eCRF, unless stated otherwise.

### 5.1 Schedule of Activities

**Table 5-1 Schedule of Activities**

The schedule of activities table provides an overview of the protocol visits and procedures.

The investigator may schedule visits (unplanned visits) in addition to those listed on the schedule of activities, in order to conduct evaluations or assessments required to protect the well-being of the subject.

Procedure/Assessment	Screening		Visit 2 Baseline Day 1	Visit 3 Week 4 Day 28 (± 2)
	Visit 1			
Informed Consent	X	Lead in period [approximately 3-5 weeks (21-35 days)]		
Demographics	X			
Medical History	X			
Current/Prior Medication Review	X			
Review subject's current oral care products	X			
Oral soft tissue (OST) examination	X		X	X
Oral hard tissue (OHT) examination	X			X
Inclusion/exclusion criteria	X		X	
Modified Gingival Index (MGI)	X <sup>1</sup>		X <sup>1</sup>	X
Basic Periodontal Examination (BPE)	X <sup>1</sup>			
BI (Bleeding index)			X	X

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CC1			X	X
Disclose dental plaque			X	X
Turesky Plaque Index (TPI) assessment			X	X
Subject eligibility	X		X	
Repeat MGI assessment <sup>3</sup>			X	X
Repeat TPI assessment <sup>4</sup>			X	X
Compliance Checks			X	X
Concomitant medications and treatments			X	X
Subject Continuance				X
Dental prophylaxis			X	
2 <sup>nd</sup> clinician check to confirm TPI=0 (additional dental cleaning will be performed as required)			X	
Randomization			X	
Dispense study product, toothbrush, rinsing cups and oral hygiene instructions			X	
Supervised brushing with study product			X	X
CC1			X	X
Subject brings study product, toothbrush for brushing on site				X
Visual inspection of study product to check compliance				X
Adverse Events (AEs) Review <sup>5</sup>	X		X	X
Optional dental prophylaxis at the end of Visit 3				X
Study Conclusion/Subject Exit from Study				X

**Footnotes:**

1. In relation to the general dentition inclusion/ exclusion criteria
2. Subjects will abstain from overnight toothbrushing for a minimum of 12hrs (+3hr, -2hr) immediately prior to the assessment visits (Visits 2-3)
3. At least 3 subjects at each of visits 2&3 will be selected for repeat MGI assessments.
4. At least 3 subjects at each of visits 2&3 will be selected for repeat TPI assessments.
5. Adverse Events (AEs) and therefore all Serious Adverse Events (SAEs) will be collected immediately after a subject provides consent to participate in the study by the completion of the Informed Consent Form (ICF).

*The site may contact subjects prior to study visits, either as part of pre-screening activities or as a reminder of the approaching scheduled visit. Further details included in the ICF.*

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## 5.2 Visit 1/Screening

Screening procedures will be conducted by the Investigator (or suitably qualified designee), prior to randomization to study product. Where practically feasible, they should be completed in the order listed below.

- 1) Informed consent
- 2) Demographics
- 3) Medical history and prior/concomitant medication/treatment
- 4) Review of subject's current oral care product
- 5) OST examination
- 6) OHT examination
- 7) Inclusion/exclusion criteria (including MGI and BPE)
- 8) Subject eligibility
- 9) Record Adverse Events.

### 5.2.1 Informed Consent

The investigator (or designee) must obtain informed consent from each subject participating in this study after adequate explanation of the aims, methods, objectives, potential hazards of the study and their rights to refuse to enter the study or to withdraw from it at any time.

Informed consent must be obtained before any study specific activity is performed. Two copies of the informed consent form (ICF) will be signed and dated by the subject, and the subject will be provided with one copy and the other will be kept at site.

If, during a subject's participation in the study, any new information becomes available that may affect the subject's willingness to participate in the study, each ongoing subject should receive a copy of this new information and be re-consented into the study. Each subject should be provided with a copy of the signed and dated amended consent form.

### 5.2.2 Demographics

The following demographic information will be collected: year of birth, sex at birth, race and ethnicity.

### 5.2.3 Review of subject's current oral care product

Subjects will be encouraged to bring their current oral care products to the study site to enable staff to check the ingredient listings for compliance with Exclusion Criterion 19. Ingredient listings and on-pack claims will be reviewed to confirm that products do not contain any anti-bacterial ingredients (e.g. Stannous Fluoride, chlorhexidine, cetyl pyridinium chloride, zinc

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salts) or make any antibacterial/ anti-gingivitis claims. Subjects using any oral care product that, in the opinion of the investigator, could interfere with plaque formation or measures of gingivitis will be excluded.

#### **5.2.4 Medical History and Prior Medication/Treatment**

Relevant medical and/or surgical history (in the last 1 year), including allergies or drug sensitivity and prior medications/treatments, including prescription and non-prescription drugs, dietary supplements and herbal remedies, taken in the last 30 days, that began before obtaining informed consent will be recorded as the Medical History/Current Medical Conditions.

#### **5.2.5 Oral examination/Assessments**

The following procedures will be completed, and data recorded in the eCRF. The following screening procedures should be carried out by a qualified dental professional:

- OST examination
- OHT examination
- MGI and BPE assessments (as part of the eligibility criteria)

The oral examinations/assessments should be carried out as described in [Section 6](#).

All findings will be recorded in the eCRF.

#### **5.2.6 Inclusion/Exclusion Criteria**

Inclusion and exclusion criteria as per Section [4.2](#) and [4.3](#).

#### **5.2.7 Subject Eligibility**

The investigator and/or medically qualified designee will review inclusion/exclusion criteria, medical history and prior medications and oral examinations to confirm subject eligibility to participate in the study.

#### **5.2.8 Enrolled Subjects and Screen Failures**

An enrolled subject is one who has agreed to participate in the clinical study following completion of the informed consent process directly or via their legally authorized representative and successfully met eligibility criteria to proceed beyond the screening visit.

Screen failures are defined as subjects who consent to participate in the clinical study but are not subsequently randomized.

To ensure transparent reporting of screen failure subjects, a minimal set of screen failure information will include demography, screen failure details (e.g., withdrawal of consent), eligibility criteria, any protocol deviations and any adverse events.

Individuals who do not meet the criteria for participation in this study (screen failure) will not be re-screened.

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### **5.3 Visit 2/Day 1 (Baseline)**

- 1) Review of concomitant medication or non-drug treatments/procedures, adverse events, and lifestyle restrictions.
- 2) OST examination
- 3) **CCI** [REDACTED]
- 4) MGI assessment
- 5) BI assessment (including number of bleeding sites which is derived from BI assessment)
- 6) Plaque disclosure
- 7) TPI assessment
- 8) Inclusion/exclusion criteria
- 9) Subject eligibility
- 10) MGI repeatability assessment (where applicable)
- 11) TPI repeatability assessment (where applicable)
- 12) Subject is offered snack to consume
- 13) Prophylaxis tooth cleaning followed by confirmation of zero plaque by second clinician
- 14) RandomizationDispense study product, toothbrush, rinsing cups and oral hygiene instructions
- 15) Supervised brushing at site
- 16) **CCI** [REDACTED]
- 17) AE recording (if applicable)

Spontaneous reporting of adverse events and those elicited by asking subjects to respond to a non-leading question such as “How do you feel?” will be assessed any AEs recorded in the eCRF.

### **5.4 Visit 3/Day 28 (week 4)**

- 1) Collection and visual inspection of study products returned by subjects to assess compliance
- 2) Review of concomitant medication or non-drug treatments/procedures, adverse events, and lifestyle restrictions.
- 3) Subject continuance confirmation
- 4) OST examination
- 5) **CCI** [REDACTED]
- 6) MGI assessment

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- 7) BI assessment (including number of bleeding sites which is derived from BI assessment)
- 8) Plaque disclosure
- 9) TPI assessment
- 10) MGI repeatability assessment (where applicable)
- 11) TPI repeatability assessment (where applicable)
- 12) Subject is offered snack to consume
- 13) Supervised brushing at site
- 14) **CCI**
- 15) Optional dental prophylaxis (if deemed necessary by examiner)
- 16) AE recording (if applicable)
- 17) Study conclusion

Changes in concomitant medication or non-drug treatments/procedures will be documented in the eCRF.

Spontaneous reporting of adverse events and those elicited by asking subjects to respond to a non-leading question such as “How do you feel?” will be assessed and any AEs recorded in the eCRF.

## **6 STUDY ASSESSMENTS**

Every effort should be made to ensure that protocol required tests and procedures are completed as described. However, it is anticipated that from time to time there may be circumstances, outside the control of the investigator that may make it unfeasible to complete an assessment. In these cases, the investigator must take all steps necessary to ensure the safety and wellbeing of the subject. When a protocol required assessment cannot be performed, the investigator (or designee) will document the reason for the missed assessment as a protocol deviation and any corrective and preventative actions that he or she has taken to ensure that required processes are adhered to as soon as possible. The Sponsor must be informed of any missed assessments in a timely manner.

### **6.1 Screening Assessments**

Screening assessments will be performed by appropriately trained staff/clinical examiners at the times, and in the order, defined in the **STUDY PROCEDURES** section of this protocol.

A single examiner will be responsible for the conduct of the clinical measures of gingivitis/plaque accumulation **CCI** for the duration of the study.

Eligible tooth assessments will be accomplished by oral examination and will evaluate dentition exclusions along with a gross gingival assessment in relation to the general dentition inclusion/exclusion criteria.

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Assessments will be carried out by the investigator, or qualified designee, against the inclusion/exclusion criteria. Ineligible subjects will not be re-screened.

Findings from these examinations will be used to determine subject eligibility.

## 6.2 Efficacy Assessments

The following efficacy assessments will be performed by appropriately trained staff/clinical examiners, at the times and in the order defined in the **STUDY PROCEDURES** section of this protocol.

### 6.2.1 Sampling and Laboratory Procedures

All sampling and laboratory procedures will be provided in separate work instruction documents. They will be prepared by PI or designee and reviewed by Haleon, and they will be approved by PI or designee and CRS or designee and stored in eDMS prior to Screening Visit. The work instruction documents will cover, but not limited, the following elements:

- **CCI**
- **CC1**
- Sample destruction at the clinical site

### 6.2.2 Modified Gingival Index (MGI)

The MGI is a non-invasive visual assessment of gingival inflammation ([Lobene 1986](#)).

MGI will be assessed for all evaluable surfaces of the facial and lingual/palatal gingiva, four sites per tooth (facial gingiva: papilla and margin; lingual/palatal gingiva: papilla and margin) and scored as follows.

Score	Description
0	Absence of inflammation
1	Mild inflammation; slight change in colour, little change in colour; little change in texture of any portion of the marginal or papillary gingival unit.
2	Mild inflammation; criteria as above but involving the entire marginal or papillary gingival unit.
3	Moderate inflammation; glazing, redness, oedema, and/or hypertrophy of the marginal or papillary gingival unit.
4	Severe inflammation; marked redness, oedema and/or hypertrophy of the marginal or papillary gingival unit, spontaneous bleeding, congestion, or ulceration.

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### 6.2.3 Bleeding Index (BI)

The BI is an invasive assessment of gingival bleeding ([Saxton and Van der Ouderaa 1989](#)). BI will be assessed for all evaluable surfaces of the facial and lingual/palatal gingiva, six sites per tooth (mesiobuccal, buccal and distobuccal; mesiolingual/palatal, lingual/palatal and distolingual/palatal) and scored as follows.

Score	Description
0	Absence of bleeding on probing
1	Bleeding observed within 30 seconds of probing
2	Bleeding observed immediately on probing

Sites with a score of 1 or 2 will be classified as 'bleeding' sites.

To perform the bleeding assessment, a round-end probe (e.g., CPITN\* probe) is inserted approximately 1 millimetre (mm) into the gingival sulcus (at approximately 60 degrees) and moved around the tooth from the distal interproximal area to the mesial interproximal area, gently stretching the gingival epithelium. Contact with the tooth surface should be avoided. Presence/absence of gingival bleeding is assessed for 30 secs after probing. Assessments should be performed one quadrant at a time, with BI scores recorded for the most recently probed quadrant before moving on to the next.

\*CPITN = Community Periodontal Index of Treatment Needs

### 6.2.4 Basic Periodontal Examination (BPE)

The BPE is a simple, rapid and routinely used screening tool for periodontitis. The mouth is divided into sextants and the highest BPE score per sextant is recorded (on all teeth in that sextant, excluding wisdom teeth).

To perform BPE assessment, a BPE probe is used. This has a 'ball end' 0.5mm in diameter and a black band from 3.5mm to 5.5mm. Light probing force should be used (20-25 grams). The probe should be 'walked around' the teeth in each sextant. All sites should be examined to ensure that the highest score in the sextant is recorded before moving on to the next sextant.

The BPE scores are:

0	Probing depth <3.5mm, no bleeding on probing and no plaque retentive factors
1	Probing depth <3.5mm, bleeding on probing and no plaque retentive factors
2	Probing depth <3.5mm, and presence of plaque retentive factors

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3	Probing depth $\geq 3.5\text{mm}$ but $< 5.5\text{ mm}$
4	Probing depth $\geq 5.5\text{mm}$
*	Presence of furcation involvement (can be added to any BPE score)

Both the number and the \* should be recorded if a furcation is detected - e.g. the score for a sextant could be 3\* (e.g. indicating probing depth 3.5-5.5 mm PLUS furcation involvement in the sextant).

### 6.2.5 Plaque Disclosure Procedure

Dental plaque forms as a colourless deposit on the teeth and so requires ‘staining’ with disclosing solution prior to TPI assessment. The disclosing solution supplied by the sponsor will be used according to the manufacturer’s instructions.

At the request of the subject, to minimize staining of the lips, the clinical assessor may apply a thin layer of petroleum jelly to the subject’s lips as a barrier, prior to applying the disclosing solution.

Care should be taken to ensure no petroleum jelly comes into contact with the labial surfaces of the anterior teeth as this could impact TPI assessment for these surfaces.

Subject rinses with 10 mL tap water for 10 seconds and expectorates to remove any food debris from the mouth.

Disclosing solution will be dispensed into a dispensing cup (~2.5 ml) and subject rinses for 10 seconds to distribute this solution around their mouth. Care should be taken not to dislodge the plaque during this process.

Subject rinses with another 10 mL tap water for 10 seconds and expectorates to remove excess solution from the mouth.

### 6.2.6 Turesky Modification of the Quigley Hein Index (TPI)

The TPI is a non-invasive assessment of supra-gingival plaque accumulation ([Turesky 1970](#), [Lobene 1986](#)). TPI will be assessed for all evaluable surfaces of the facial and lingual surfaces of the teeth (7-7 in each arch). Each tooth surface is divided into 3 areas; three scores are recorded facially (mesiofacial, facial, distofacial) and three scores lingually (mesiolingual, lingual and distolingual), generating six scores per tooth.

The plaque is first disclosed, as described in [Section 6.2.5](#), then each evaluable site is scored as follows:

Score	Description
0	No plaque

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1	Slight flecks of plaque at the cervical margin of the tooth
2	Thin, continuous band of plaque (1 millimetre (mm) or smaller) at the cervical margin of the tooth
3	Band of plaque wider than 1mm but covering less than 1/3 of the area
4	Plaque covering at least 1/3 but less than 2/3 of the area
5	Plaque covering 2/3 or more of the crown of the tooth

### 6.2.7 Repeatability Assessments

Repeat MGI and TPI assessments will be performed by the clinical examiners at Visits 2 and 3. At least 3 subjects will be selected for repeat assessments over the duration of each of visit 2 and 3. Thus a total of at least 6 subjects repeat assessments for each endpoint will be collected during this study. ‘Repeat’ subjects will be selected at random from those in attendance on any given assessment day. Different subjects should be used for repeat MGI and TPI assessments.

There should be a delay of at least 10 minutes between the original and the repeat assessment for a given subject; ideally, repeat assessments should be separated by another subject. No other clinical procedure should be carried out on the selected subject between repeat assessments.

Scores from the first assessment must not be visible to the examiner/scribe when the repeat assessment is carried out.

## 6.3 Safety and Other Assessments

The following safety assessments will be performed by appropriately trained staff/clinical examiners, at the times and in the order defined in the **STUDY PROCEDURES** section of this protocol.

### 6.3.1 Oral Soft Tissue (OST) Examination

The OST examination will be accomplished by direct observation and palpation, using retraction aids as appropriate. It will include examination of the labial mucosa (including lips), buccal mucosa, mucogingival folds, gingival mucosa, hard palate, soft palate, tonsillar area, pharyngeal area, tongue, sublingual area, submandibular area and salivary glands. The results of the examination will be recorded in the eCRF as either ‘normal’ or ‘abnormal’; the details of any abnormalities will be described in the eCRF.

Any OST observation that changes from ‘normal’ to ‘abnormal’, or worsens, from Screening will be recorded as an AE in the eCRF.

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### **6.3.2 Oral Hard Tissue (OHT) Examination**

The OHT examination will be accomplished by direct observation, using retraction aids as appropriate. It will identify enamel irregularities, tooth fractures, grossly carious lesions/gross decay, defective/faulty restorations, direct & indirect restorations including fixed/removal prostheses, non-carious tooth surface loss (abrasion, attrition, abfraction and erosion), any other hard tissue irregularities (e.g., hypo/hypermineralisation, decalcification) and significant tooth staining. Conditions will be listed as 'absent' or 'present'; those noted as 'present' will be described in the eCRF. Any OHT observation that changes from 'absent' to 'present', or worsens, from Screening will be recorded as an AE in the eCRF.

The presence of implants, fixed or removable dentures, fixed or removable orthodontic braces/bands, fixed orthodontic retainers, full crowns or veneers will be recorded, along with evidence of gross intra-oral neglect or the need for extensive dental therapy.

### **6.3.3 Pregnancy Testing**

For Haleon studies in which no drug is utilized or studies of single-use marketed products that are classified as a non-medicinal product in the market where the testing is occurring and there is no pregnancy warning on labelling, a pregnancy test will not be required.

Female subjects will provide verbal confirmation of pregnancy status at Screening (Visit 1) and will be asked to inform study staff immediately should this change at any point during the study. Female subjects who are pregnant or intending to become pregnant during the study (self-reported) will be excluded.

### **6.4 Banked Bio specimens**

Aliquots of saliva samples collected during the study will be stored at the study site Biobank for future additional analysis (i.e microbiome analysis). Unless prohibited by local regulations or ethics committee decision, subjects will be asked to indicate on the consent form whether they will allow the banked biospecimens to also be used for the specified research.

## **7 INVESTIGATIONAL/STUDY PRODUCTS**

For the purposes of this study, per International Conference on Harmonization (ICH) guidelines, and Haleon policy, study intervention is defined as any investigational intervention(s), marketed product(s), placebo, or medical device(s) intended to be administered to a study participant according to the study protocol.

This includes a product with a marketing authorization when used or assembled (formulated or packaged) in a way different from the approved form, or when used for an unapproved indication, or when used to gain further information about an approved use.

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## 7.1 Investigational/Study Product Supplies

The following study products will be supplied by the Clinical Supplies Department, Haleon:

**Table 7-1** **Investigational/Study Product Supplies**

	<b>Test Product</b>	<b>Reference Product (Negative Control)</b>
<b>Product Name</b>	Experimental toothpaste containing 0.454% Stannous Fluoride, 0.3% Zinc Chloride, 1% Alumina	Colgate Cavity Protection (UK Marketplace)
<b>Pack Design</b>	Carton of 2 over-wrapped tubes	
<b>Dispensing Details</b>	One carton – baseline visit	
<b>Product Master Formulation Code (MFC)</b>	CCI [REDACTED]	Commercial Product
<b>Fluoride concentration</b>	1100ppm	1450ppm
<b>Dose/Application</b>	Full ribbon of toothpaste on head of toothbrush provided	
<b>Route of Administration</b>	Oral	
<b>Usage Instructions</b>	Subjects will brush their teeth for one timed minute twice a day (morning and evening) Rinsing is not a study requirement. Subjects who wish to rinse after brushing will be provided with a measuring cup to rinse once with 10mL water.	
<b>Return Requirements</b>	All used/unused samples to be returned	

**Table 7-2** **Sundry Items**

<b>Item</b>	<b>Supplied By</b>	<b>Pack Design</b>	<b>Dispensing Details</b>	<b>Return/Disposal Details</b>	
				<b>Used Samples</b>	<b>Unused Samples</b>

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Aquafresh® Clean Control toothbrush (medium)	Haleon	Individual commercial pack	One at baseline for use with test/reference product	Destroy at site using site disposal procedures-following approval from Haleon Clinical Supplies	Return
Opaque Carrier bag	Haleon	Individual bags	One at Baseline visit	Subject to keep or destroyed at site using site disposal procedures following approval from Haleon Clinical Supplies	Return

## 7.2 Product Supplies, Product Storage, Accountability, Returns and Destruction

All study products supplied are for use only in this clinical study and should not be used for any other purpose.

Guidance will be provided to the Investigator and site staff for the receipt, storage and management of products for the duration of the trial by Haleon Clinical Supplies during the Site Initiation Visit and with further instructions included with the shipping documentation.

The site should ensure that the room or area set aside for storage is able to maintain the correct temperature to meet the product label storage conditions, is sufficient to store all products and is secure and access controlled.

Any temperature excursions or discrepancies during transit or whilst study products are stored at site require the affected products to be quarantined and this must be communicated immediately to the Sponsor who will provide documentation to approve further usage.

Use of any of the affected product(s) prior to sponsor approval will be considered a protocol deviation.

Study products are to be dispensed only to subjects enrolled in the study in accordance with the protocol, by authorised site staff. Subjects will be informed on product usage, storage, return and what to do in the event of product loss when they are first dispensed after enrolment.

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All study products will be accounted for using the investigational/study product accountability form/record. The Investigator is responsible for study product accountability, reconciliation, and record maintenance.

Detailed instructions for the return of study product/study supplies will be provided by Haleon during the study in time for study close out. Investigational products can only be destroyed at site in agreement with and after approval from the Sponsor.

### **7.3 Blinding and Allocation/Randomization**

Subjects will be randomized into the study provided they have satisfied all subject selection criteria.

All subjects will be centrally randomized using an Interactive Response Technology (IRT).

Returned study products should not be re-dispensed to any subject.

The investigator's knowledge of the product allocation should not influence the decision to enroll a subject or affect the order in which subjects are enrolled.

This study is described as examiner-blind (the examiner will be blinded to the product received). To ensure the examiner remains blinded throughout the study, staff involved in the preparation and dispensing of study products will work in a separate area.

Subjects will be instructed not to remove study products from the opaque bags provided/cartons outside of the dispensing room, while at the study site. Dispensing staff will not be involved in any efficacy/safety assessment procedures during the study.

Qualifying subjects will be stratified by their gender and Baseline Mean MGI resulting in the following stratum:

- Male, baseline Mean MGI  $\leq 2.00$  (low)
- Male, baseline Mean MGI  $> 2.00$  (high)
- Female, baseline Mean MGI  $\leq 2.00$  (low)
- Female, baseline Mean MGI  $> 2.00$  (high)

This study is described as examiner-blind (the clinical examiner(s) will be blinded to treatment received). However, study subjects, investigator site staff involved in safety or efficacy assessments, study statistician(s), data management staff, other employees of the Sponsor (including the clinical research scientist (CRS)) and vendors acting on behalf of the sponsor who may influence study outcomes will also be blinded to treatment allocation.

### **7.4 Breaking the Blind**

In case of an emergency, the investigator or designee has the sole responsibility for determining if unblinding of a subject's product assignment is warranted. Subject safety must always be the

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first consideration in making such a determination. If the investigator decides that unblinding is warranted, the investigator should make every effort to contact the sponsor prior to unblinding a subject's product assignment unless this could delay emergency treatment of the subject.

If a subject's product assignment is unblinded, the sponsor must be notified within 24 hours after breaking the blind. The date and reason that the blind was broken must be recorded in the source documentation and case report form, as applicable.

## **8 STATISTICAL CONSIDERATIONS AND DATA ANALYSES**

### **8.1 Sample Size Determination**

Sufficient subjects will be screened to assess approximately 180 subjects so that at least 160 subjects are randomized (approximately 80 per group) to ensure approximately 144 (approximately 72 per group) evaluable subjects complete the entire study (allowing for 10% for drop-outs post-baseline).

The study will be sufficiently powered to demonstrate statistically significant differences between Test Product compared to the Reference Product (negative control) for mean BI at Week 4 (primary objective). A sample size of 72 evaluable subjects per treatment group will provide at least 90% power to detect a mean difference of 0.07 units (SD = 0.128) in mean BI score after 4 weeks of treatment, using a 2-tailed 2-sample t-test with a 5% significance level. The sample size calculation was performed using PASS software version 23.0.1.

The assumed treatment efficacy estimates come from a review of sponsor clinical studies (CCI [REDACTED] and 212537, sponsor data held on file and available on request) where the mean difference (SD) between the test and reference product reported a mean difference in BI of 0.07 between groups with standard deviation ranging from 0.04 to 0.128 at 12 weeks. The higher SD of 0.128 was used to calculate the sample size for this study.

### **8.2 Populations for Analysis**

#### **8.2.1 Definitions of Analysis Populations**

The Safety population will comprise all randomized subjects who receive at least one dose of investigational product. Summaries and analyses of this population will be based on the investigational product the subject received.

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The primary population for the assessment of efficacy will be a modified intention-to-treat (mITT) population. The mITT population will comprise all randomized subjects who receive at least one dose of investigational product and complete at least one-post Baseline BI assessment. This population will be based on the investigational product the subject was randomized to. All subjects who receive a randomization number will be considered randomized.

The Per-Protocol (PP) population will comprise all subjects in the mITT population who have at least one non-missing BI assessment considered to be unaffected by protocol deviations.

The repeatability population for MGI is defined as all subjects who have at least one repeat MGI clinical assessment at any visit.

The repeatability population for TPI is defined as all subjects who have at least one repeat TPI clinical assessment at any visit.

### **8.2.2 Exclusions of Data from Analysis**

Exclusion of any data from the analyses will be agreed during a Blinded Data Review (BDR) Meeting prior to database lock. Any reasons for exclusion of a subject from an analysis population or data from an analysis will be listed, if applicable.

A PP analysis will be performed for the primary endpoint if  $\geq 10\%$  subjects in the mITT population are excluded from the PP population. Efficacy data determined to have been potentially impacted by a protocol deviation will be excluded from the PP analysis. The decisions as to whether or not a protocol deviation impacts efficacy data and whether to perform a PP analysis will be made during BDR, prior to database lock.

### **8.3 Statistical Analyses**

This is a summary of the planned statistical analyses; the detail of the proposed statistical analyses will be documented in the Statistical Analysis Plan (SAP), to be written following finalization of the protocol and prior to study unblinding.

The mITT population will be used for all efficacy analyses.

All p-values presented will be two-sided and assessed at the 5% significance level. No adjustments will be made for multiple comparisons in this study.

Summary statistics (mean, median, SE, SD, minimum, maximum) will be presented for the primary and secondary endpoints at each assessment time point for both the observed value and change from baseline.

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Raw means ( $\pm$  SE) of primary and secondary endpoints will be plotted by treatment group at each assessment timepoint.

### **8.3.1 Primary Endpoint Analysis(es)**

The primary endpoint of this study Mean BI at Week 4; the primary hypothesis test will be the comparison between the Test product and the Reference product (negative control) in the mITT population as follows:

Mean BI will be calculated by taking the average over all tooth sites assessed for a subject. Mean BI at Week 4 will be analysed using an ANCOVA Model with treatment group as fixed effects and Baseline Mean BI and Baseline Mean MGI as a covariate. Gender will also be included as stratification factor. The MGI stratification factor is not included in the model as the actual value is included as a covariate. The adjusted mean treatment difference will be presented, along with the two-sided p-value and 95% CIs. The observed margin (OM) option in SAS will be used when estimating least square means.

The assumptions of normality and homogeneity of variance in the model will be investigated. In case of violation of these assumptions, a data transformation, or a suitable non-parametric test (adjusted for the randomization stratification) will be performed; the results will be provided to support the ANCOVA results.

### **8.3.2 Secondary Endpoint Analysis(es)**

The secondary endpoints will be analysed using the same ANCOVA model described above for the primary endpoint, but with Baseline Mean BI replaced with the Baseline of the respective endpoint (Baseline Mean overall TPI for Mean overall TPI at Week 4; Baseline Mean interproximal TPI for Mean interproximal TPI at Week 4; Baseline NBS for NBS at Week 4. For Mean MGI at Week 4, no additional term will be added as Baseline Mean MGI is already included in the model as a covariate).

### **8.3.3 Safety Analysis(es)**

Safety analyses will be performed on the Safety population, according to investigational product received. AEs will be regarded as 'treatment emergent' if they occur on or after the first use of investigational product at Baseline. In the event of a missing start date, an AE will be assumed to be 'treatment emergent' unless the end date is prior to starting treatment. In case of misallocation, compared to the randomization schedule, TEAEs will be associated with the most recent study investigational received.

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Each AE will be categorized as oral or non-oral by the investigator or medically qualified designee. All will be reviewed by the CRS and coded using the MedDRA prior to database lock and unblinding.

A listing of all AEs will be presented for all subjects in the Safety population with the following AE summaries (number of distinct AEs and frequency/proportion of subjects affected) presented by treatment group and overall:

- TEAEs
- TEAEs by System Organ Class (SOC) and Preferred Term (PT)
- TEAEs by Oral/Non-Oral and PT
- Treatment emergent treatment related AEs by Oral/Non-Oral and PT
- Treatment emergent treatment related serious AEs by SOC and PT

Separate listings will be presented for:

- Deaths, SAEs and any AEs leading to product or study discontinuation.
- OST findings (with a summary of abnormalities by visit)

#### **8.3.4 Other Analysis(es)**

##### **Exploratory Analysis**

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- Day 1 post-brushing compared to Day 1 pre-brushing
- Week 4 post-brushing compared to Week 4 pre-brushing
- Week 4 pre-brushing compared to Day 1 pre-brushing
- Week 4 post-brushing compared to Day 1 pre-brushing

*Note: post-brushing is 3 hours post-brushing on site*

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Between treatment difference will be analysed using a Mixed Model with Repeated Measures (MMRM) with investigational product, visit and [investigational product x visit] as fixed effects and Baseline CCI █ as a covariate. Gender and Baseline MGI will also be included as stratification covariates. Subject will be included as a repeated measure with unstructured covariance matrix. Kenward Rogers degrees of freedom approach will be applied ([Kenward and Roger 1997](#)). The difference between the least square mean changes from Baseline for the Test Product compared to Reference Product (negative control) at Week 4 from the MMRM will be presented, along with the two-sided p-value and 95% CIs. The assumptions of normality and homogeneity of variance in the MMRM will be investigated. Similarly, the assumptions for the paired t-test will be investigated. In case of violation of these assumptions, a suitable non-parametric test will be performed to support the results.

### **Repeatability of Examiner**

The repeatability of the examiner in conducting the MGI and TPI assessments will also be performed for at least 3 subjects (for each index) over the duration of each of visit 2 and 3. The repeat assessments will be compared to the original assessments. The repeat assessments will not be used in any efficacy analysis.

The first and second assessments of each index will be analyzed with a Fleiss-Cohen weighted kappa coefficient ( $\kappa$ ), along with the 95% CI, to assess the intra-examiner reliability. Reliability will be deemed: Excellent if  $\kappa > 0.75$ , Fair to good if  $0.4 \leq \kappa \leq 0.75$  and Poor if  $\kappa < 0.4$ .

This analysis will be conducted on each respective index repeatability population (MGI population and TPI population).

#### **8.3.5 Demographic and Baseline Characteristics**

Demographic and Baseline characteristics will be summarized by treatment group for the Safety and mITT populations (and for the PP population, if a PP analysis is performed) using descriptive statistics.

Categorical variables (such as sex, race, ethnicity and Baseline Mean MGI stratification value) will be summarized by the number and percentage of subjects with each relevant characteristic in each treatment group. Continuous variables such as (age) will be summarized by mean, SD, median, minimum and maximum values in each treatment group.

### 8.3.6 Use of Other Therapies

#### 8.3.6.1 Prior and Concomitant Medications

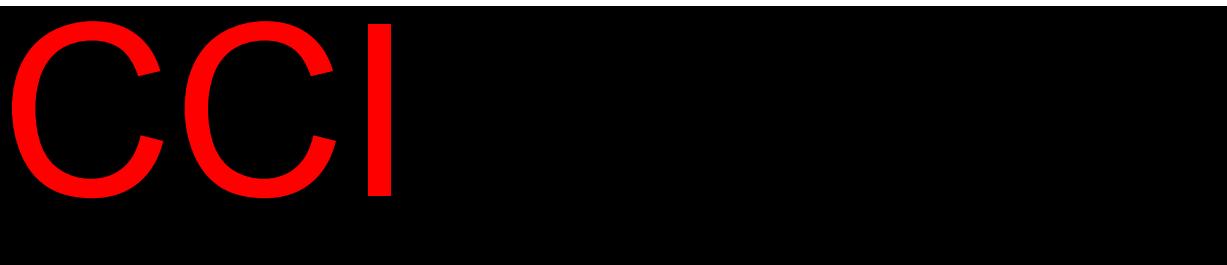
Prior medications/non-drug therapies and concomitant medications/significant non-drug therapies taken during the study will be listed for the Safety population.

### 8.3.7 Handling of Dropouts and Missing Data

#### Primary and Secondary Analysis:

Subjects who withdraw from the study early will be included in the statistical analysis up to the point of when they withdraw. There will be no imputation for missing data (i.e. analyses will be conducted on an observed case basis).

#### Exploratory Analysis:



## 9 APPENDICES

### 9.1 Adverse Event (AE) and Serious AE (SAE)

The investigator and any qualified designees are responsible for detecting, documenting, and reporting events that meet the definition of an adverse event (AE) or serious AE (SAE) and remain responsible for following up AEs that are serious, considered related to the study product or the study, or that caused the subject to discontinue the study product or study.

All AEs will be reported on the AE page of the eCRF by the investigator or site staff from the completion (signature) of the ICF and until 5 days following last administration of the study product (or last procedure).

In addition to that, a SAE form should be completed (if required). Hard copies of the 'paper' SAE form will be provided in the investigator study master file. Original SAE forms will be retained in the investigator study master file.

The SAE form, completed as fully as possible, must be scanned and e-mailed to the Case Management Group mailbox ([see Table 9-1](#)), with a copy to the appropriate Haleon Study Manager with the study number and subject number in the subject line of the email **immediately and under no circumstance should this exceed 24 hours** after study site

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personnel learn of the event. The investigator will submit any updated SAE data to the sponsor, **immediately and under no circumstance should this exceed 24 hours** of it being available.

**Table 9-1 Case Management Group mailbox**

EMEA: Europe, Middle East, Commonwealth of Independent State (CIS), Africa	PPD
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## 9.2 Definition of an AE

An AE is any untoward medical occurrence in a clinical study subject, temporally associated with the use of a study product including any washout or lead-in product (or medical device), whether or not considered related to the study product, including any washout or lead-in product (or medical device).

NOTE: An AE can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding), symptom, or disease (new or exacerbated) temporally associated with the use of a study product including any washout or lead-in product (or medical device).

## 9.3 Definition of a SAE

A SAE is a particular category of an adverse event where the adverse outcome is serious. If an event is not an AE per definition above, then it cannot be an SAE even if serious conditions are met (e.g. hospitalization for signs/symptoms of the disease under study, death due to progression of disease).

A serious adverse event is any untoward medical occurrence at any dose that:

- **Results in death**
- **Is life-threatening**
- **Requires inpatient hospitalization or prolongation of existing hospitalization**
- **Results in persistent or significant disability/incapacity**
- **Results in congenital anomaly/birth defect**
- **Other serious (important) medical events**

**Note:** Classification of an AE as 'serious' is based on the outcome of the event and is a factor in determining reporting requirements.

## 9.4 Pregnancy

Pregnancy information will be collected on all pregnancies reported while a female subject is participating in the study from the signing of informed consent until 5 days after last administration of study product.

The investigator will record pregnancy information on the appropriate form scan and e-mail it to the Case Management Group mailbox ([see Table 9-1](#)), with copy to the appropriate Study Manager, within 24 hours. Original pregnancy information forms will be retained in the investigator study master file.

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The subject will be followed to determine the outcome of the pregnancy. Information on the status of the mother and infant / neonate (including concomitant medications taken by the mother during the pregnancy) will be forwarded by the investigator to the Case Management Group mailbox at Haleon ([see Table 9-1](#)), with copy to the appropriate Study Manager. Generally, follow-up will be no longer than 6 to 8 weeks following the estimated delivery date. Any termination of the pregnancy will be reported.

While pregnancy itself is not considered to be an AE, abnormal pregnancy outcomes (e.g., spontaneous abortion, fetal death, stillbirth, congenital anomalies, ectopic pregnancy) are, and should be recorded as an SAE. Any female subject who becomes pregnant while participating will be withdrawn.

## **9.5 Evaluating Adverse Events**

### **9.5.1 Assessment of Intensity**

The investigator or medically qualified designee will make an assessment of intensity for each AE reported during the study and will assign it to one of the following categories:

- Mild: An event that is easily tolerated by the subject, causing minimal discomfort and not interfering with everyday activities.
- Moderate: An event that is sufficiently discomforting to interfere with normal everyday activities
- Severe: An event that prevents normal everyday activities.

NOTE: An AE that is assessed as severe should not be confused with an SAE. Severe is a category utilized for rating the intensity of an event; and both non-serious AEs and SAEs can be assessed as severe. For example, a headache may be severe (interferes significantly with the subject's usual function) but would not be classified as serious unless it met one of the criteria for SAEs, listed above. An event is defined as 'serious' when it meets at least 1 of the predefined outcomes as described in the definition of an SAE, NOT when it is rated as severe.

### **9.5.2 Assessment of Causality**

The causality assessment is one of the criteria used when determining regulatory reporting requirements.

For each AE (serious and non-serious), the investigator (or medically qualified designee) **must** provide an assessment of causality on the AE eCRF page and the SAE form (subject to the classification of the AE). The investigator will also document in the medical notes that he/she has reviewed the AE and assessed causality, where applicable.

A "reasonable possibility" of a relationship conveys that there are facts, evidence, and/or arguments to suggest a causal relationship, rather than a relationship cannot be ruled out. Generally, the facts (evidence) or arguments to suggest a causal relationship should be provided.

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The investigator will use clinical judgment to determine the relationship and will also consult the Safety Statement, in the determination of his/her assessment. Alternative causes, such as underlying disease(s), concomitant therapy, other risk factors, and the temporal relationship of the event to the study product will be considered and investigated.

For each AE/SAE, the investigator must document in the medical notes that he/she has reviewed the AE/SAE and has provided an assessment of causality.

The investigator's assessment of causality must be provided for all AEs (serious and non-serious); the investigator must record the causal relationship in the eCRF, as appropriate, and report such an assessment in accordance with the SAE reporting requirements if applicable.

There may be situations when an SAE has occurred, and the investigator has minimal information to include in the initial report to Haleon. **However, it is very important that the investigator always make an assessment of causality for every event prior to the initial transmission of the SAE data to Haleon.** The investigator may change his/her opinion of causality in light of follow-up information and send an SAE follow-up report with the updated causality assessment.

## **9.6 Follow-up of AEs and SAEs**

The investigator is obligated to perform or arrange for the conduct of supplemental measurements and/or evaluations as may be indicated or as requested by Haleon to elucidate as fully as possible the nature and/or causality of the SAE or AE. This may include additional laboratory tests or investigations, histopathological examinations, or consultation with other health care professionals.

New or updated information will be recorded on the AE eCRF page and on the SAE form (subject to the classification of the AE).

The investigator will submit any updated SAE data to Haleon within 24 hours of receipt of the information.

The investigator will submit any updated SAE data to Haleon within 24 hours.

## **9.7 DISCONTINUATION OF STUDY PRODUCT AND SUBJECT DISCONTINUATION/WITHDRAWAL**

If a subject is discontinued early from the study product (Section [9.7.1](#)) or discontinued or prematurely withdraws from the study (Section [9.7.2](#)), the reason(s) for intervention discontinuation or withdrawal and the associated date must be documented in the relevant section(s) of the eCRF. If a subject is discontinued early from the study product, the subject should stay in the study and complete the remaining assessments unless they need to be withdrawn (see Section [9.7.2](#)).

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### **9.7.1 Discontinuation of Study Product**

A subject may be discontinued from the study product at any time whilst still in the study at the discretion of the investigator related to safety, subject consent or a potential worsening of the risk / benefit assessment from the subject of remaining on the intervention for the following reasons:

- Adverse Event
- Lack of efficacy from the intervention
- Subject request
- Subject to be withdrawn from the study (see Section **9.7.2**)

### **9.7.2 Subject Discontinuation/Withdrawal**

A subject may withdraw from the study at any time at his or her own request or may be withdrawn at any time at the discretion of the investigator or sponsor for safety, behavioral reasons, or the inability of the subject to comply with the protocol-required schedule of study visits or procedures.

The following circumstances require discontinuation of study product and/or premature subject withdrawal:

- Protocol violation that may impact the subject's safety
- Withdrawal of informed consent
- Subject lost to follow-up
- Unblinding of the subject
- Pregnancy

If the subject withdraws from the study and withdraws consent for disclosure of future information, no further evaluations should be performed, and no additional data should be collected. The sponsor may retain and continue to use any data collected before such withdrawal of consent.

### **9.7.3 Lost to Follow up**

If a subject fails to return to the site for a required study visit, the investigator or designee must make every effort to regain contact with the subject (where possible, 3 telephone calls or emails or local equivalent methods) and reschedule the missed visit as soon as possible and counsel the subject on the importance of maintaining the assigned visit schedule and ascertain whether the subject wishes to and/or should continue in the study.

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A subject will be considered lost to follow up and withdrawn from the study if he or she repeatedly fails to return for scheduled visits and is unable to be contacted by the study site.

If contact is made with the subject, the investigator should inquire about the reason for withdrawal, request that the subject return all products that they had been dispensed and if appropriate request that the subject return for a final visit and follow-up with the subject regarding any unresolved adverse events (AEs).

## **9.8 Data Management**

As used in this protocol, the term CRF is understood to refer to either a paper form or an electronic data record or both, depending on the data collection method.

For this study, subject data will be entered into an electronic CRF (eCRF), using a validated system. Data relating to SAEs, pregnancy and incidents will also be collected on paper forms.

The source documents which contain the source of data recorded in the CRF should be specified. The CRF can be used as a source document at the discretion of data management.

Each subject will be assigned and identified by a unique Screening Subject Number. Any reference made to an individual subject within the study must be done using their unique Screening Subject Number.

### **9.8.1 Case Report Form**

A CRF is a printed, optical, or electronic document designed to record the protocol required information to be reported to the sponsor on each trial subject.

For each subject who has given informed consent/assent the CRF must be completed and signed by the Principal Investigator (or authorized designee) to certify that the data are complete and correct. The investigator must maintain accurate documentation (source data) that supports the information entered in the CRF.

Management of clinical data will be performed in accordance with Third Party Biostatistics and Data Management (BDM) Vendor applicable standards and data cleaning procedures with oversight by Haleon to ensure integrity of the data, for example, to remove errors and inconsistencies in the data.

To protect the privacy of subjects, no Personal Information (PI) (including the subject's name or initials or full birth date) is to be recorded in the CRF or as part of the query text.

All CRF pages should be completed during a subject assessment when the CRF has been designated as the source. Data that is sourced elsewhere should be entered into the CRF in an agreed upon timeframe between the Investigator and Sponsor.

Haleon will obtain and retain all CRFs and associated study data as applicable at the completion of the study.

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### **9.8.2 Data Handling**

Documentation of all data management activities should allow step-by-step retrospective assessment of data quality and study performance.

Any changes or corrections to data will be performed in the Electronic Data Capture (EDC) System, and it will include rationale for changes. The EDC system has an audit trail, which will provide a complete record of the changes and corrections endorsed by the Investigator.

Adverse events will be coded using Medical Dictionary for Regulatory Activities (MedDRA) and any concomitant medications terms (if applicable) using an internal validated medication dictionary (WHODrug dictionary).

### **9.8.3 Data Queries**

Programmed edit checks will be generated automatically, as the data are being entered into the system. Reports and listings on the CRF data will also be run, in addition to the queries already programmed and generated by the system, to raise manual queries as needed for site clarification or correction. The third-party BDM vendor will raise queries as needed on safety data to code the terms (AEs and Drugs or concomitant medication) appropriately.

The study monitor will perform ongoing review of the CRFs in accordance with the monitoring plan, to confirm that data entered into the CRF by authorized site personnel are accurate, complete, and verifiable from source documents; that the safety and rights of participants are being protected; and that the study is being conducted in accordance with the currently approved protocol and any other study agreements, ICH GCP, and all applicable regulatory requirements.

Any queries will be generated in the EDC System to the Investigator or designee, enabling the errors to be addressed in parallel with Data Management review. The study monitor can also run reports and listings on the CRFs, to raise manual queries as needed for site clarification or correction.

## **9.9 Regulatory and Ethical Considerations**

### **9.9.1 Institutional Review Board/ Ethics Committee**

It is the responsibility of the investigator to have prospective approval of the study protocol, protocol amendments, informed consent document, investigator brochure/safety statement (including any updates) and other relevant documents, e.g. recruitment advertisements, if applicable, from the IRB/EC. All correspondence with the IRB/EC should be retained in the investigator file. Copies of IRB/EC approvals should be forwarded to Haleon prior to the initiation of the study, and also when subsequent amendments to the protocol are made.

The only circumstance in which an amendment may be initiated prior to IRB/EC approval is where the change is necessary to eliminate apparent immediate hazards to the subjects. In that

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event, the investigator must notify the IRB/EC and Haleon in writing immediately after the implementation.

### **9.9.2 Ethical Conduct of the Study**

The study will be conducted in accordance with the protocol and legal and regulatory requirements, as well as the general principles set forth in the International Ethical Guidelines for Biomedical Research Involving Human Subjects (Council for International Organizations of Medical Sciences 2002), International Ethical Guidelines for Health-Related Research Involving Humans (Council for International Organizations of Medical Sciences, 2016), guidelines for GCP (ICH 1996 and revision 2), and the Declaration of Helsinki (World Medical Association 2013).

In addition, the study will be conducted in accordance with the protocol, the ICH guideline on GCP and applicable local regulatory requirements and laws.

### **9.9.3 Subject Information**

All parties will ensure protection of subject personal data and will not include subject names or other identifiable data in any reports, publications, or other disclosures, except where required by laws.

When study data are compiled for transfer to Haleon and other authorized parties, subject names, addresses, and other identifiable data will be replaced by numerical codes based on a numbering system provided by Haleon in order to de-identify study subjects.

The study site will maintain a confidential list of subjects who participated in the study, linking each subject's numerical code to his or her actual identity. In case of data transfer, Haleon will maintain high standards of confidentiality and protection of subjects' personal data consistent with applicable privacy laws.

## **9.10 Records Retention**

Following closure of the study, the investigator must maintain all site study records (except for those required by local regulations to be maintained elsewhere), in a safe and secure location.

Where permitted by local laws/regulations or institutional policy, some or all of these records can be maintained in a format other than hard copy (e.g., microfiche, scanned, electronic); however, caution needs to be exercised before such action is taken.

The investigator must notify Haleon of any changes in the archival arrangements, including, but not limited to, archival at an off-site facility or transfer of ownership of the records in the event the investigator is no longer associated with the site.

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## 9.11 Disclosure and Publication Policy

Study information from this protocol may be posted on publicly available clinical trial registers before enrollment of subjects begins in accordance with applicable Haleon policies.

Haleon intends to make anonymized subject-level data from this study available to external researchers for scientific analyses or to conduct further research that can help advance medical science. This helps ensure the data provided by study participants are used to maximum effect in the creation of knowledge and understanding.

The procedures and timing for public disclosure of the results summary and for development of a manuscript for publication will be in accordance with sponsor policy and as per the country specific requirements for disclosure.

## 10 ABBREVIATIONS

The following is a list of abbreviations that may be used in the protocol.

**Table 10-1 Abbreviations**

Abbreviation	Term
AE	Adverse Event
ACNOVA	Analysis Of Covariance
BPE	Basic Periodontal Examination
BDM	Biostatistics and Data Management
BDR	Blinded Data Review
BI	Bleeding Index
CFU	Colony Forming Unit
CRF	Case Report Form
CRS	Clinical Research Scientist
DMS	Data Management System
EC	Ethics Committee
eCRF	Electronic Case Report Form
EDC	Electronic Data Capture
FDA	Food and Drug Administration
GCP	Good Clinical Practice
ICF	Informed Consent Form
ICH	International Conference on Harmonisation
IRB	Institutional Review Board
IRT	Interactive Response Technology
ITT	Intent To Treat
MedDRA	medical Dictionary for Regulatory Activities
MFC	Manufacturing Formulation Code
MGI	Modified Gingival Index

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Abbreviation	Term
mITT	Modified Intent-To-Treat
mm	Millimetre
MMRM	Mixed Model with Repeated Measures
N/A	Not Applicable
NBS	Number of Bleeding Sites
OH	Oral Health
OHT	Oral Hard Tissue
OST	Oral Soft Tissue
PI	Principal Investigator
PI	Personal Information
PP	Per Protocol
SAE	Serious Adverse Event
SD SE	Standard Deviation
SE	Standard Error
SnF <sub>2</sub>	Stannous Fluoride
SS	Safety Statement
TEAE	Treatment Emergent Adverse Event
TPI	Turesky Modification of The Quigley Hein Plaque Index
VSCs	Volatile Sulphur Compounds
w/w	Weight For Weight
ZnCl <sub>2</sub>	Zinc Chloride

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