

CLINICAL STUDY PROTOCOL

Protocol Number: LYR-210-2021-004
Current Version/Date: 4.0/31 October 2023
NCT/EUDRACT #: NCT05219968/2021-005906-83
Study Title: ENLIGHTEN 1: A Phase III, Randomized, Blinded, Controlled, Parallel-Group Trial to Evaluate the Efficacy and Safety of LYR-210 for the Treatment of Chronic Rhinosinusitis (CRS) in Adults
Sponsor: Lyra Therapeutics, Inc.
480 Arsenal Way
Watertown, MA USA 02472
617-393-4600

GCP Statement

This study is to be performed in full compliance with the protocol, Good Clinical Practices (GCP), as defined by United States Food and Drug Administration (USFDA) and International Council for Harmonisation (ICH) and applicable regulatory requirements. All required study documentation will be archived as required by regulatory authorities

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Sponsor Signature Page

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Signatures have been redacted

Coordinating Investigator Signature Page

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Investigator Agreement Signature Page

I hereby agree to participate in this clinical investigation sponsored by Lyra Therapeutics, Inc., (hereinafter "Study Sponsor"). I agree to conduct this investigation in accordance with this version of the protocol. I agree to protect the rights, safety, and welfare of participants under my care. I agree that the study will be conducted according to the principles of the ICH E6 guideline for GCP, the ethical principles that have their origins in the World Medical Association Declaration of Helsinki, and local regulatory authority or ethics committee requirements as appropriate. I agree to supervise all use of the investigational product and to ensure appropriate informed consent is obtained from all participants prior to inclusion in this study.

I understand that this investigation will be monitored by the Study Sponsor and/or a designee employed by the Study Sponsor. This monitoring will involve periodic inspection of my investigational site and ongoing review of the data that is submitted by me to the Study Sponsor. I am also aware that I may be inspected by a representative of the local regulatory authority or ethics committee to verify compliance with applicable federal regulations related to clinical research on human participants.

I am aware that the Study Sponsor reserves the right to discontinue this investigation at any time. If I decide to discontinue my participation as an Investigator in this study, I will notify the Study Sponsor with suitable written notice and in accordance with the Clinical Trial Agreement prior to discontinuing. I understand that I am obligated to complete the follow-up of the participants already participating in the investigation.

I agree to provide to the Study Sponsor my current curriculum vitae (CV) along with the current CV of those physicians at this institution who will be using this investigational product or participating in this study as Sub-Investigators under my supervision. These CVs include education, training, and the extent and type of our relevant experience with pertinent dates and locations. I certify that I have not been involved in an investigation that was terminated for noncompliance at the insistence of a Study Sponsor, or a local regulatory authority or ethics committee.

I understand that this investigation, protocol, and trial results are confidential, and I agree not to disclose any such information to any person other than a representative of Study Sponsor or local regulatory authority or ethics committee without the prior written consent of the Study Sponsor.

Investigator:

Name (Print): _____

Signature: _____

Date of Signature: _____

(DD MMM YYYY)

Site Number: _____

Clinical Study Protocol Synopsis

Short title:	ENLIGHTEN 1
Complete title:	ENLIGHTEN 1: A Phase III, Randomized, Blinded, Controlled, Parallel-Group Trial to Evaluate the Efficacy and Safety of LYR-210 for the Treatment of Chronic Rhinosinusitis (CRS) in Adults
Protocol number	LYR-210-2021-004
Study design:	Multicenter, phase III, randomized, blinded, controlled, parallel group with safety extension phase with crossover or continued treatment
Investigational product:	LYR-210 System (7500 µg)
Active ingredient:	Mometasone furoate (MF)
Study locations	Worldwide (primarily US and Europe)
Number of sites	Up to 60 sites
Enrollment:	Approximately 180 adult randomized participants with symptomatic CRS without nasal polyps or with grade 1 nasal polyps (limit of approximately 30 participants with nasal polyps)
Randomization	2 treatment:1 control
Treatment:	<p>Bilateral LYR-210 in treatment participants vs sham procedure (no product) in control participants.</p> <p>A safety extension phase after the Week 24 visit will involve crossover LYR-210 treatment for all control participants and treatment participants will be randomized 1:1 to either sham or a second round of LYR-210 treatment with follow-up through 52 weeks.</p> <p>All participants will continue daily saline irrigation through week 52.</p>
Inclusion criteria:	<p>A participant must meet all the following criteria to be eligible for this study:</p> <ol style="list-style-type: none">1. Age ≥ 18.2. Diagnosed as having CRS, defined by duration of 12 weeks or longer with 2 or more of the following symptoms:<ul style="list-style-type: none">• nasal blockage/obstruction/congestion• nasal discharge (anterior, posterior, or both)• facial pain/pressure• reduction/loss of sense of smell3. Bilateral ethmoid disease, defined as each side of anterior and/or posterior ethmoid sinus is $\geq 5\%$ opacified upon the screening

computed tomography (CT), as determined by the Central Imaging Core Lab/ Central Reviewer.

4. Mean 3 cardinal symptom (3CS) score over the preceding 7 days ≥ 5 (0-3 scale for each of the symptoms) determined within 7 days of Day 1 (ie, the determination for eligibility can be made on any day from Day -7 through Day 1).
5. Undergone at least 2 trials of medical treatments in the past, 1 of which must include intranasal corticosteroid sprays (INCS) for a minimum of 4 weeks with written medical record or confirmed via patient report and documented in the medical report record and are no longer using INCS at Screening.
6. Has been informed of the nature of the study and provided written informed consent as approved by the IRB/EC of the respective clinical site or regulatory authority, if applicable by national law.
7. Agrees to comply with all study requirements.
8. If currently on a waiting list for sinonasal surgery at the time of Screening, willing to be removed from the waiting list or have preplanned/elective sinonasal surgery date cancelled for the duration of the study. [Note: this does not preclude a participant from receiving or being recommended for sinonasal surgery as rescue treatment during the study]

**Exclusion
criteria:**

A participant who meets **any** of the following criteria will be excluded from this study:

1. Inability to tolerate topical anesthesia or endoscopic procedure.
2. Previous ethmoidectomy, or surgery of the middle meatus and/or middle turbinate preventing proper placement and retention of LYR-210. NOTE: any previous ethmoidectomy is exclusionary.
3. Previous nasal surgery or polypectomy within 3 months of Screening visit.
4. Presence of nasal polyp grade 2 or higher (ie, polyps extending outside the middle meatus) on either side.
5. Seasonal allergic rhinitis (SAR) with symptoms and/or, based on time of year, would anticipate onset of symptoms within 24 weeks of randomization. NOTE: mild SAR symptoms, as assessed by the Investigator, are not exclusionary.
6. Perennial rhinitis with symptoms that are well controlled by regular use of intranasal corticosteroids.
7. Severe asthma or experienced 1 or more exacerbations of asthma requiring systemic corticosteroid (SCS) use within the 6 months

prior to the Screening visit. Participants with moderate or severe asthma will also be excluded if they have not been on a stable regimen of inhaled corticosteroids for asthma for a minimum of 3 months prior to the Screening visit.

8. Endoscopic exclusion criteria at Screening or Day 1 visit:
 - a) Obstruction of middle meatus or degenerated middle turbinate preventing proper placement and retention of LYR-210.
 - b) Evidence of mucosal erosion or ulceration.
 - c) Acute nasal/sinus infection or purulence.
 - d) Evidence of nasal septal perforation.
9. Screening CT exclusion criteria:
 - a) Anatomic variation that, in the opinion of the investigator, would adversely impact placement of LYR-210.
 - b) Structural, non-inflammatory related CRS (eg, large concha bullosa preventing proper matrix placement, tumor).
 - c) Sinus disease extended into orbital or intracranial space.
 - d) Evidence of mycetoma/fungal ball, allergic fungal rhinosinusitis.
 - e) Sinus mucocele
10. History or clinical evidence or suspicion of invasive fungal sinusitis, allergic fungal rhinosinusitis, atrophic rhinitis, or odontogenic sinusitis.
11. Known history of hypersensitivity or intolerance to corticosteroids.
12. Oral steroid or monoclonal antibody (Xolair, Nucala, Dupixent, etc.) dependent condition, including biologics use within 3 months of screening and systemic steroids use within 1 month of screening. NOTE: monoclonal antibodies for this exclusion are limited to those that target T2 inflammatory pathways and/or are known to impact CRS-related inflammation and symptoms.
13. SCS administered within 1 month prior to Screening visit.
14. Known history of hypothalamic pituitary adrenal axial dysfunction.
15. Previous pituitary or adrenal surgery.
16. More than 1 episode of epistaxis with frank bleeding requiring medical attention within 2 months of Screening visit or more than 1 episode of epistaxis with frank bleeding within 1 month of Screening visit.
17. Acute exacerbation of nasal allergy or CRS, upper respiratory tract infection (URTI), or common cold within 4 weeks of the

Screening visit. The infection/exacerbation must be resolved, and antibiotic or other medication use must be discontinued prior to screening.

18. Dental procedure/implant on maxillary dentition within 4 weeks of the Screening visit.
19. Past or present acute or chronic intracranial or orbital complications of CRS (eg, brain abscess, related problems with eyes or central nervous system).
20. History or diagnosis (in either eye) of glaucoma or ocular hypertension (IOP >21 mmHg).
21. Presence (in either eye) of posterior subcapsular cataract of grade 2 or higher, nuclear sclerosis of grade 3 or higher, or cortical cataract of grade 2 or higher or involving a minimum of center optic zone of 3 mm diameter.
22. Loss of functional vision in 1 or both eyes.
23. Diagnosed with ongoing rhinitis medicamentosa.
24. Known history of immune dysfunction including immune deficiency (IgG subclass deficiency or IgA deficiency) or autoimmune disease (eg, Wegener's granulomatosis, sarcoidosis) that requires immunomodulatory therapy. NOTE: Autoimmune conditions that do not require immunomodulatory therapy are not exclusionary.
25. Currently positive for COVID-19 or residual sinonasal symptoms from a previous COVID-19 infection within the past 6 months.
26. Past, present, or planned organ transplant, or chemotherapy with immunosuppression within the past 5 years.
27. History or diagnosis of ciliary dysfunction (eg, cystic fibrosis, primary ciliary dyskinesia [Kartagener syndrome]).
28. Past or present systemic vasculitis (eg, granulomatosis with polyangiitis).
29. Evidence of disease or condition expected to compromise survival or ability to complete follow-up assessments.
30. Pregnant or breast feeding. Females of child-bearing potential must test negative for pregnancy at the time of screening based on a serum pregnancy test and reverified on Day 1 prior to randomization based on a urine pregnancy test. Both male and female participants of reproductive potential must agree to use highly effective methods of birth control, throughout the study.


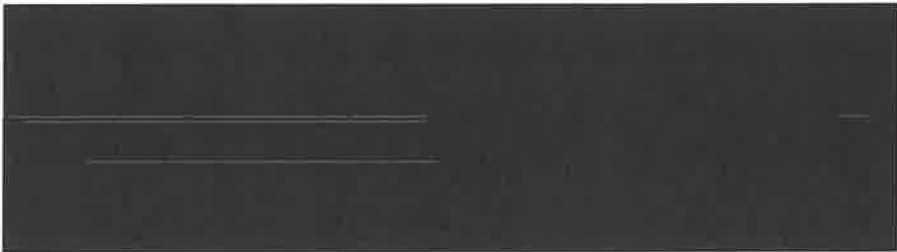
31. Previously received an experimental treatment another clinical study within 5 half-lives or 30 days (whichever is longer) of Screening visit or prior participation in another LYR-210 clinical trial.
32. Currently participating in another drug or device study.
33. Determined by the investigator as not suitable for reasons not already specified if the health of the participant or the validity of the study outcomes may be compromised.

Objective:	Evaluate the efficacy and safety of LYR-210 compared with sham control for treatment in adults with CRS.
Primary efficacy endpoint:	Change from baseline (CFBL) in the 7-day average composite score of 3 cardinal symptoms (3CS) of nasal blockage/obstruction/ congestion, anterior/posterior nasal discharge, and facial pain/pressure at Week 24 in participants without nasal polyps.
Key secondary efficacy endpoints:	<ol style="list-style-type: none">1. CFBL in the 7-day average composite score of 3CS at Week 24.2. CFBL in the 22-item Sino-Nasal Outcome Test (SNOT-22) total score at Week 24.3. CFBL in the percent opacification of the bilateral anterior and posterior ethmoids at Week 20 as determined by 3-D volumetric CT analysis.4. Rescue treatment requirement through Week 24. (This endpoint will be descriptively summarized in this study and the statistical testing will be conducted on pooled data from this [ENLIGHTEN 1] study and the ENLIGHTEN 2 study.)

The above key secondary and below secondary endpoints will be analyzed for all participants.

Secondary endpoints	<ol style="list-style-type: none">1. CFBL in 3CS score at Weeks 2, 4, 8, 12, 16, and 202. CFBL in the symptom score for individual cardinal symptoms of nasal blockage/obstruction/congestion, anterior/posterior nasal discharge, and facial pain/pressure at Weeks 2, 4, 8, 12, 16, 20 and 243. CFBL in loss of smell score at Weeks 4, 8, 12, 16, 20, and 24, for all participants and for participants with baseline score ≥ 24. Improvement of ≥ 1 point, ≥ 2 points, and ≥ 3 points from baseline in 3CS score at Week 24
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5. CFBL in SNOT-22 total score at Weeks 4, 8, 12, 16, and 20
6. CFBL in SNOT-22 subdomain scores at Weeks 4, 8, 12, 16, 20, and 24
7. Improvement of ≥ 8.9 and ≥ 12 from baseline in SNOT-22 total score at Week 24
8. CFBL in the Zinreich score of the bilateral anterior and posterior ethmoids at Week 20
9. Time to first rescue treatment requirement through Week 24
10. Rescue medication use through Week 24
11. Sinonasal surgery requirement through Week 24
12. Total systemic corticosteroids (SCS) dose prescribed through Week 24
13. Number of days on SCS through Week 24

- 
16. CFBL in 36-Item short form health survey, version 2 (SF-36v2) physical health, mental health, and domain scores at Week 24
 17. CFBL in EuroQoL 5-dimension, 5-level (EQ-5D-5L) score at Week 24
 18. Improvement of ≥ 1 category and ≥ 2 categories from baseline in the severity of CRS-related symptoms as indicated by the PGIS at Week 24
 19. Overall change of “very much better” or “much better” in the CRS-related symptom severity as indicated by the PGIC at Week 24
 20. CFBL in Medical Outcomes Study Sleep Scale (MOS Sleep-R) score at Week 24
 21. CFBL in Work Productivity and Activity Impairment-Specific Health Problem (WPAI-SHP), version 2.0 at Weeks 4, 12, and 24
- 

Safety endpoints

1. Treatment-emergent adverse events (TEAEs) and serious adverse events (TESAEs) through Week 24.
2. Abnormal (clinically significant) laboratory values (hematology and chemistry) through Week 24.
3. Newly identified adverse findings in middle meatus including epistaxis, mucosal erosion or ulceration, and perforation through Week 24.
4. Clinically significant increase of IOP through Week 24.
5. Newly identified or worsened cataract in 1 or both eyes by slit-lamp examination through Week 24.

For safety analysis incorporating the safety extension phase, the above safety endpoints will be summarized from Day 1 through Week 48 for the LYR-210 to LYR-210 and LYR-210 to Sham treatment sequences and from Safety Extension Day 1 through Week 48 for the Sham to LYR-210 treatment sequence.

Key study assessments

- Cardinal symptoms (CS) questionnaire
- SNOT-22
- Work Productivity and Activity Impairment-Specific Health Problem (WPAI-SHP), version 2.0
- 36-Item short form health survey, version 2 (SF-36v2)
- EuroQoL 5-dimension, 5-level (EQ-5D-5L)
- Patient Global Impression of Severity (PGIS)
- Patient Global Impression of Change (PGIC)
- Medical Outcomes Study Sleep Scale (MOS Sleep-R)
- Endoscopic nasal examination
- Ocular examination (intraocular pressure [visual acuity [VA], IOP] and slit lamp)
- 3-D volumetric (CT) score
- Bilateral ethmoid Zinreich score
- Plasma pharmacokinetics (PK) (in ~30 participants only)
- Adverse events (AEs)

Week 24 Analysis

The data from the first 24-week period (Treatment Stage) will be locked (ie, CRFs will be frozen) when all participants complete the 24-week period and all data from this period is cleaned. Following database lock (ie, CRFs freeze), the data from this period will be analyzed according to the prespecified statistical analysis plan. The 24-week treatment analysis will be conducted to assess efficacy and safety during the first 24 weeks (i.e, Treatment Stage) excluding the endpoints measured after 24 weeks in the Safety Extension. Since all efficacy analyses comparing LYR-210 to sham are conducted based only on data through Week 24, no alpha adjustment is required as the information fraction at the 24-week analysis is 100%.

There will be no additional statistical comparisons between LYR-210 vs sham beyond Week 24 timepoint. The efficacy data that are collected beyond Week 24 will be descriptively summarized without a formal statistical comparison.

Abbreviations and Acronyms

AE	Adverse event
AECRS	Acute exacerbation of CRS
ANCOVA	Analysis of covariance
CFBL	Change from baseline
CFR	Code of Federal Regulations (US)
CI	Confidence interval
CMH	Cochran-Mantel- Haenszel
CRF	Case report form
CRO	Clinical research organization
CRS	Chronic rhinosinusitis
CS	Cardinal symptoms
CT	Computed tomography
CTRA	Clinical trial research agreement
CV	Curriculum vitae
EC	Ethics Committee
EDC	Electronic data capture
EIU	Exposure in utero
EQ-5D-5L	EuroQoL 5-dimension, 5-level
EOS	End of study
EOSEP	End of safety extension phase
EOT	End of treatment
EOTP	End of treatment phase
ePRO	Electronic patient-reported outcomes questionnaire
ET	Early treatment termination
EU MDR	European Medical Device Regulations (2017/745)
FESS	Functional endoscopic sinus surgery
FSH	Follicle stimulating hormone
GCP	Good clinical practices
HPLC	High performance liquid chromatography
ICF	Informed consent form
ICH	International Council on Harmonisation
INCS	Intranasal corticosteroid spray
IOP	Intraocular pressure
IRB	Institutional Review Board
IRT	Interactive response technology
ISE	Integrated summary of efficacy
ITT	Intention-to-treat
IUD	Intrauterine device
IUS	Intrauterine hormone stimulating system
Kg	Kilogram
K-M	Kaplan-Meier

LABA	Long-Acting Beta-Agonists
LC-MS	Liquid chromatography mass spectrometry
MCID	Minimum clinically important difference
MedDRA	Medical Dictionary for Regulatory Activities
MF	Mometasone furoate
MOS Sleep-R	Medical Outcomes Study Sleep scale
MRM	Multiple repeated measures
OMC	Ostiomeatal complex
PGIC	Patient Global Impression of Change
PGIS	Patient Global Impression of Severity
PK	Pharmacokinetic
PP	Per-protocol
SABA	Short-Acting Beta-Agonists
SAE	Serious adverse event
SAP	Statistical analysis plan
SAR	Seasonal allergic rhinitis
SCS	Systemic corticosteroids
SD	Standard deviation
SF-36v2	36-Item Short Form Health Survey, version 2
SNOT-22	22-item Sino-Nasal Outcome Test
SUSAR	Serious and unexpected suspected adverse reaction
TEAE	Treatment-emergent adverse event
TESAE	Treatment-emergent serious adverse event
TMF	Trial master file
UADE	Unanticipated adverse device effect
URTI	Upper respiratory tract infection
VA	Visual acuity
WHO	World Health Organization
WPAI-SHP	Work Productivity and Activity Impairment -Specific Health Problem
WPS	Worst possible score

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1. Background and Purpose

1.1 Background

Chronic rhinosinusitis (CRS) is a common condition defined by symptomatic inflammation of the paranasal sinuses lasting longer than 12 weeks. CRS affects approximately 10.9% of the European population¹ and 4.9% of the United States (US) population² and is the 5th most common condition in people under age 65 in the US.³ CRS results in 18 million annual office visits,⁴ and the economic implications are high in both the US⁵ and Europe.⁶ Common symptoms of CRS include nasal blockage/obstruction/congestion, facial pressure or pain, nasal discharge, and sense of smell dysfunction.^{7,8} The underlying cause of CRS-related symptoms is inflammation of mucosal tissue, often leading to impairment of mucociliary clearance.

Currently there is no FDA-approved medical therapy for CRS without nasal polyps. A clinically proven anti-inflammatory treatment delivered directly to the sinonasal mucosal tissue that can reach deep in the nasal passageway and is not dependent upon patient compliance is needed as a treatment option to offer CRS patients.

Lyra Therapeutics, Inc. (Lyra) is developing the LYR-210 System, comprised of an implantable anti-inflammatory drug matrix (LYR-210 drug matrix) and a single-use applicator. The LYR-210 System is being studied in adult CRS patients who have failed previous medical management. The LYR-210 drug matrix is a miniaturized local drug implant designed to fit within the confined space of a patient's middle meatus. The LYR-210 drug matrix is comprised of the synthetic corticosteroid mometasone furoate (MF), embedded in a bioabsorbable polymer matrix that allows for gradual, sustained, and targeted release of MF for up to 24 weeks from a single administration.

LYR-210 drug matrices are intended to be administered bilaterally into the middle meatus by an otolaryngologist in the physician's office under endoscopic visualization using the provided single-use applicator. Administration of LYR-210 drug matrices is performed with topical anesthesia using minimally invasive techniques. Two dose strengths of LYR-210 (2500 ug and 7500 ug) were developed and evaluated in the early phase clinical studies; Lyra intends to proceed with the 7500 ug dose of LYR-210 for future development. At this dose, LYR-210 is designed to deliver a total dose of 15 000 µg of MF for up to 24 weeks with an average daily dose of 89 µg of MF per human patient (approximately 45 µg of MF per nostril). When treatment is complete, the LYR-210 drug matrices are removed using standard tools during an office visit.



1.3 Purpose

The purpose of this study is to evaluate the efficacy and safety of the LYR-210 compared with a sham procedure control for treatment in adults with CRS.

2. Study Objectives and Endpoints

2.1 Study objectives

2.1.1 Primary objective

The primary objective is to evaluate the efficacy of LYR-210, compared with a sham procedure control, in improving the 3 cardinal symptoms of CRS in CRS participants without nasal polyps, who had previously failed medical management. The 3CS are nasal blockage/obstruction/congestion, anterior/posterior nasal discharge, and facial pain/pressure.

2.1.2 Secondary objective

The secondary objectives are to:

1. Evaluate the efficacy of LYR-210, compared with sham control, in improving the 3 cardinal symptoms of CRS in CRS participants without nasal polyps or with nasal polyps of grade 1 who had previously failed medical management.
2. Evaluate the efficacy of LYR-210, compared with sham control, in improving the individual CRS symptoms, CRS-related quality of life, the extent of inflammation in the ethmoid sinuses, and the need for rescue treatment in surgery naïve CRS participants without nasal polyps or with nasal polyps of Grade 1, who had previously failed medical management.

2.2 Study endpoints

2.2.1 Primary estimand/Primary efficacy endpoint

The primary estimand is a composite strategy. The attributes of the primary estimand are provided below in **Table 1**.

Table 1. Attributes of the Primary Estimand

Attribute	Specification
Treatment	LYR-210 7500 µg drug matrix over a 20-week period or sham procedure, with daily saline nasal irrigation
Population	Adults with CRS who do not have nasal polyps, have failed medical management (including treatment with intranasal corticosteroid sprays [INCS]), and have not had endoscopic sinus surgery (ESS)
Variable (Primary Endpoint)	The primary endpoint is change from baseline (CFBL) in the 7-day average composite score of the 3 cardinal symptoms (3CS) of nasal blockage/obstruction/congestion, anterior/posterior nasal discharge, and facial pain/pressure at Week 24 in participants without nasal polyps. The daily composite score is the sum of the 3 daily cardinal symptom scores. If less than 4 daily scores are available for a week, the 3CS score will be considered missing for the week.
Intercurrent Events	(1) Rescue with systemic corticosteroids (SCS) or ESS: a composite variable strategy will be implemented where the 3CS score post SCS use or ESS will be censored and imputed with the participant's worst observed score. (2) Use of decongestants: a treatment policy strategy will be adopted in which the 3CS scores reported by the participants will be used regardless of whether decongestants have been used. (3) Use of INCS: INCS use is prohibited during both the washout and treatment periods per the protocol. If the use of INCS occurs in violation of the protocol, a treatment policy strategy will be used. (4) Infection with COVID-19: a hypothetical strategy will be used in which the 3CS score reported by the participants will be used as if the COVID-19 infection never occurred. (5) Spontaneous dislodgement of LYR-210 drug matrix: a treatment policy strategy will be used in the event of spontaneous drug matrix dislodgement.
Population-level Summary for the Variable	Mean change from baseline in the 3CS score at Week 24

See [Section 9](#) (Statistical Considerations) for the primary analysis method.

2.2.2 Key secondary efficacy endpoints

If the primary efficacy endpoint is met, the statistical significances of the key secondary endpoints will be evaluated, each at a 2-sided significance level of 0.05, using the hierarchical method in the following order:

1. CFBL in the 7-day average composite score of 3CS at Week 24
2. CFBL in the 22-item Sino-Nasal Outcome Test (SNOT-22) total score at Week 24
3. CFBL in the percent opacification of the bilateral anterior and posterior ethmoids at Week 20 as determined by 3-D volumetric CT analysis
4. Rescue treatment requirement through Week 24. (This endpoint will be descriptively summarized in this study and the statistical testing will be conducted on pooled data from this [ENLIGHTEN 1] study and the ENLIGHTEN 2 study)

With this method, statistical significance cannot be achieved for a key secondary endpoint unless statistical significance is achieved for all key secondary endpoints already tested. This approach ensures that the overall study-wise type-1 error rate is controlled at 0.05 for the comparisons between treatment and control on the primary and all key secondary endpoints.

The key secondary and secondary endpoints will be analyzed for all participants.

2.2.3 Additional secondary endpoints

Additional secondary endpoints include:

1. CFBL in 3CS score at Weeks 4, 8, 12, 16, and 20
2. CFBL in the symptom score for individual cardinal symptoms of nasal blockage/obstruction/congestion, anterior/posterior nasal discharge, and facial pain/pressure at Weeks 4, 8, 12, 16, 20, and 24
3. CFBL in loss of smell score at Weeks 4, 8, 12, 16, 20, and 24, for all participants and for participants with baseline score ≥ 2
4. Improvement of ≥ 1 point, ≥ 2 points, and ≥ 3 points from baseline in 3CS score at Week 24
5. CFBL in SNOT-22 total score at Weeks 4, 8, 12, 16, and 20
6. CFBL in SNOT-22 subdomain scores at Weeks 4, 8, 12, 16, 20, and 24
7. Improvement of ≥ 8.9 and ≥ 12 from baseline in SNOT-22 total score at Week 24
8. CFBL in the Zinreich score of the bilateral anterior and posterior ethmoids at Week 20
9. Time to first rescue treatment requirement through Week 24
10. Sinonasal surgery requirement through Week 24
11. Rescue medication use through Week 24
12. Total systemic corticosteroid (SCS) dose prescribed through Week 24
13. Number of days on SCS through Week 24

16. CFBL in 36-Item short form health survey, version 2 (SF-36v2) physical health, mental health, and domain scores at Week 24
17. CFBL in EuroQoL 5-dimension, 5-level (EQ-5D-5L) score at Week 24
18. Improvement of ≥ 1 category and ≥ 2 categories in the severity of CRS-related symptoms, as indicated by the PGIS at Week 24
19. Overall change of “Very much better” or “Much better” in the CRS-related symptom severity as indicated by the PGIC at Week 24
20. CFBL in Medical Outcomes Study Sleep Scale (MOS Sleep-R) score at Week 24

21. CFBL in Work Productivity and Activity Impairment-Specific Health Problem (WPAI-SHP), version 2.0 at Weeks 4, 12, and 24



2.2.5 Safety endpoints

Safety endpoints include:

1. Treatment-emergent adverse events (TEAEs) and treatment-emergent serious adverse events (TESAEs) through Week 24.
2. Abnormal (clinically significant) laboratory values (hematology and chemistry) through Week 24.
3. Newly identified adverse endoscopic findings in middle meatus including epistaxis, mucosal erosion or ulceration, and perforation through Week 24.
4. Clinically significant increase of IOP through Week 24.
5. Newly identified or worsened cataract in one or both eyes by slit-lamp examination through Week 24.

For safety analysis incorporating the safety extension phase, the above safety endpoints will be summarized from Day 1 through Week 48 for the LYR-210 to LYR-210 and LYR-210 to Sham treatment sequences and from Safety Extension Day 1 through Week 48 for the Sham to LYR-210 treatment sequence.

3. Investigational Plan

3.1 Study design

This global, multicenter study will be conducted in a randomized, controlled, parallel-group, participant-blinded fashion in approximately 180 symptomatic adult CRS participants who have failed previous medical management. Participants enrolled in the study will include participants who have accessible and intact middle meatus. The efficacy and safety of LYR-210 matrix (7500 µg) versus a control group receiving a sham procedure will be assessed on a background therapy of daily saline irrigation. Participants will be randomized 2:1 to either treatment with LYR-210 or to control.

The study will consist of 3 stages:

- Screening and run-in stage: 2-4 weeks before randomization and treatment procedure
- Treatment stage (LYR-210 or control): randomization and treatment procedure through 24 weeks
- Safety extension: Weeks 25 through 52

A safety extension phase after the Week 24 visit will involve crossover for all control participants and treatment participants will be re-randomized 1:1 to either sham or a second round of active treatment with follow-up through Week 52. The study design is depicted in **Figure 1**.

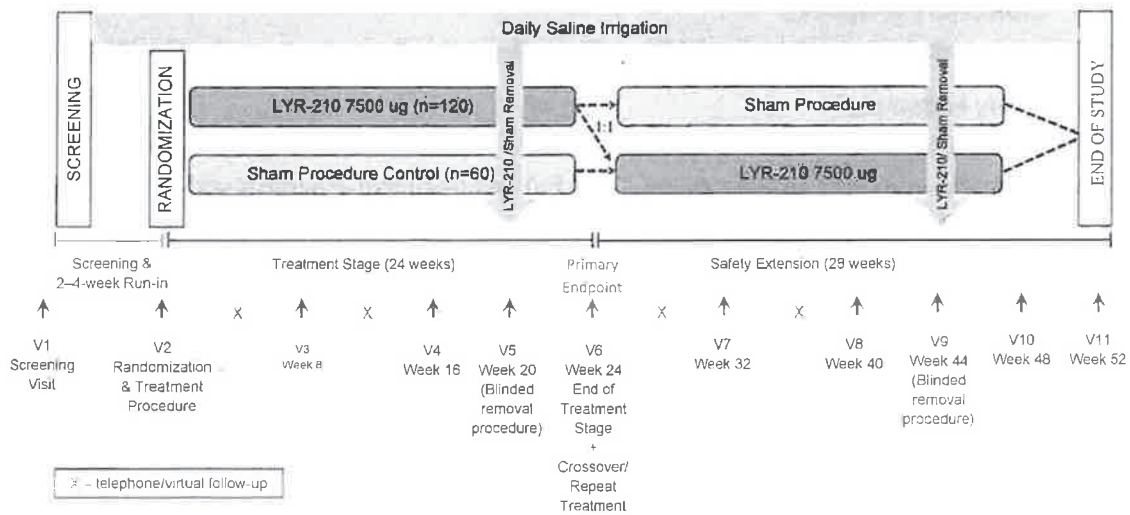


Figure 1. Study Design.

3.2 Study size and duration

Approximately 180 participants are planned to be enrolled in this study.

The total duration of the study, including the enrollment period, is approximately 26 months.

The total duration of the study participation for all enrolled and treated participants is expected to be approximately 13 months (including the Screening/Run-in, Treatment, and Safety Extension stages). The end of the study (EOS) is defined as the date of the last EOS visit of the last participant of the study.

3.3 Study population

The study population will consist of approximately 180 adult participants with symptomatic CRS without nasal polyps or with grade 1 nasal polyps, who have failed previous medical management. Enrollment of participants with nasal polyps of grade 1 will be limited to approximately 30 participants.

3.3.1 Inclusion criteria

A participant must meet all the following criteria to be eligible for this study:

1. Age ≥ 18 .
2. Diagnosed as having CRS, defined by duration of 12 weeks or longer with 2 or more of the following symptoms:
 - nasal blockage/obstruction/congestion
 - nasal discharge (anterior, posterior, or both)
 - facial pain/pressure
 - reduction/loss of sense of smell
3. Bilateral ethmoid disease, defined as each side of anterior and/or posterior ethmoid sinus is $\geq 5\%$ opacified upon the screening computed tomography (CT), as determined by the Central Imaging Core Lab/ Central Reviewer.
4. Mean 3CS score over the preceding 7 days ≥ 5 (0-3 scale for each of the symptoms) determined within 7 days of Day 1 (ie, the determination for eligibility can be made on any day from Day -7 through Day 1).
5. Undergone at least 2 trials of medical treatments in the past, 1 of which must include intranasal corticosteroid sprays (INCS) for a minimum of 4 weeks with written

medical record or confirmed via patient report and documented in the medical report record and are no longer using INCS at Screening.

6. Has been informed of the nature of the study and has provided written informed consent as approved by the Institutional Review Board (IRB)/Ethics Committee (EC) of the respective clinical site or regulatory authority if applicable by national law.
7. Agrees to comply with all study requirements.
8. If currently on a waiting list for sinonasal surgery at the time of Screening, is willing to be removed from the waiting list or have a preplanned/elective sinonasal surgery date cancelled for the duration of the study. [Note: this does not preclude a participant from receiving or being recommended for sinonasal surgery as rescue treatment during the study]

3.3.2 Exclusion criteria

A participant who meets any of the following criteria will be excluded from this study:

1. Inability to tolerate topical anesthesia or endoscopic procedure.
2. Previous ethmoidectomy, or surgery of the middle meatus and/or middle turbinate preventing proper placement and retention of LYR-210. NOTE: any previous ethmoidectomy is exclusionary.
3. Previous nasal surgery or polypectomy within 3 months of Screening visit.
4. Presence of nasal polyps grade 2 or higher (ie, polyps extending outside the middle meatus) on either side.
5. Seasonal allergic rhinitis (SAR) with symptoms and/or, based on time of year, would anticipate onset of symptoms within 24 weeks of randomization. NOTE: mild SAR symptoms, as assessed by the Investigator, are not exclusionary.
6. Perennial rhinitis with symptoms that are well controlled by regular use of intranasal corticosteroids.
7. Severe asthma or experienced 1 or more exacerbations of asthma requiring SCS use within the 6 months prior to the Screening visit. Participants with moderate or severe asthma will also be excluded if they have not been on a stable regimen of inhaled corticosteroids for asthma for a minimum of 3 months prior to the Screening visit.
8. Endoscopic exclusion criteria at Screening or Day 1 visit:
 - a) Obstruction of middle meatus or degenerated middle turbinate preventing proper placement and retention of LYR-210.
 - b) Evidence of mucosal erosion or ulceration.
 - c) Acute nasal/sinus infection or purulence.
 - d) Evidence of nasal septal perforation.
9. Screening CT exclusion criteria:
 - a) Anatomic variation that, in the opinion of the investigator, would adversely impact placement of LYR-210.

- b) Structural, non-inflammatory related CRS (eg, large concha bullosa preventing proper matrix placement, tumor).
 - c) Sinus disease extended into orbital or intracranial space.
 - d) Evidence of mycetoma/fungal ball, allergic fungal rhinosinusitis.
 - e) Sinus mucocele
10. History or clinical evidence or suspicion of invasive fungal sinusitis, allergic fungal rhinosinusitis, atrophic rhinitis, or odontogenic sinusitis.
 11. Known history of hypersensitivity or intolerance to corticosteroids.
 12. Oral steroid or monoclonal antibody (Xolair, Nucala, Dupixent, etc.) dependent condition, including biologics use within 3 months of screening and systemic steroid use within 1 month of screening. NOTE: monoclonal antibodies for this exclusion are limited to those that target T2 inflammatory pathway and/or are known to impact CRS-related inflammation and symptoms.
 13. SCS administered within 1 month prior to Screening visit.
 14. Known history of hypothalamic pituitary adrenal axis dysfunction.
 15. Previous pituitary or adrenal surgery.
 16. More than 1 episode of epistaxis with frank bleeding requiring medical attention within 2 months of Screening visit or more than 1 episode of epistaxis with frank bleeding within 1 month of Screening visit.
 17. Acute exacerbation of nasal allergy or CRS, upper respiratory tract infection (URTI), or common cold within 4 weeks of the Screening visit. The infection/exacerbation must be resolved, and antibiotic or other medication use must be discontinued prior to screening.
 18. Dental procedure/implant on maxillary dentition within 4 weeks of the Screening visit.
 19. Past or present acute or chronic intracranial or orbital complications of CRS (eg, brain abscess, related problems with eyes or central nervous system).
 20. History or diagnosis (in either eye) of glaucoma or ocular hypertension (IOP >21 mmHg).
 21. Presence (in either eye) of posterior subcapsular cataract of grade 2 or higher, nuclear sclerosis of grade 3 or higher, or cortical cataract of grade 2 or higher or involving a minimum of center optic zone of 3-mm diameter.
 22. Loss of functional vision in 1 or both eyes.
 23. Diagnosed with ongoing rhinitis medicamentosa.
 24. Known history of immune dysfunction including immune deficiency (IgG subclass deficiency or IgA deficiency) or autoimmune disease (eg, Wegener's granulomatosis, sarcoidosis) that requires immunomodulatory therapy. NOTE: Autoimmune conditions that do not require immunomodulatory therapy are not exclusionary.
 25. Currently positive for COVID-19 or residual sinonasal symptoms from a previous COVID-19 infection within the past 6 months.

26. Past, present, or planned organ transplant, or chemotherapy with immunosuppression within the past 5 years.
27. History or diagnosis of ciliary dysfunction (eg, cystic fibrosis, primary ciliary dyskinesia [Kartagener syndrome]).
28. Past or present systemic vasculitis (eg, granulomatosis with polyangiitis).
29. Evidence of disease or condition expected to compromise survival or ability to complete follow-up assessments.
30. Pregnant or breast feeding. Females of child-bearing potential must test negative for pregnancy at the time of screening based on a serum pregnancy test and reverified at the time of enrollment based on a urine pregnancy test. Both male and female participants of reproductive potential must agree to use highly effective methods of birth control, throughout the study. (See **Appendix 14.3** for further information on contraception and pregnancy.)
31. Previously received an experimental treatment in another clinical study within 5 half-lives or 30 days (whichever is longer) of Screening visit or prior participation in another LYR-210 clinical trial.
32. Currently participating in an investigational drug or device study.
33. Determined by the investigator as not suitable for reasons not already specified if the health of the participant or the validity of the study outcomes may be compromised.

3.4 Concomitant medications

Participants in the study are free to use any appropriate concomitant medications if medically warranted per treating physician's discretion. However, a standardized concomitant medications regimen is recommended during the run-in and treatment stages to avoid confounding the efficacy or safety assessments of LYR-210. Effective treatment will not be withheld from study participants solely for entering the study.

3.4.1 Permitted medications

At the discretion of the investigator, the following concomitant medications may be used in accordance with the restrictions described for each treatment:

- All participants will be provided with saline and instructions for daily intranasal saline irrigation as background treatment starting from screening through Week 52/EOS.
- If acute sinus infection is suspected at any time during the study, treatment with antibiotics or macrolides (for a maximum of 14 days) will be allowed after a clinic visit and according to the investigator's judgment.
- For severe acute nasal blockage lasting a minimum of 3 consecutive days: a course of oxymetazoline nasal decongestant spray for a maximum of 3 consecutive days and a total maximum of 10 days during the treatment period. Oxymetazoline cannot be used within 24 hours before CT assessments.
- Nonsedating oral antihistamine including second (eg, loratadine, cetirizine), third (eg, fexofenadine), and fourth generation or equivalent.

- Participants who have been on a stable regimen of inhaled corticosteroids or leukotriene receptor antagonist for a minimum of 3 months prior to the Screening visit should remain on the same dosage throughout the study. Inhaled corticosteroid use must be limited to a stable low- or medium-dose as defined by guidelines established by the 2020 Global Initiative for Asthma Management and Prevention.¹⁰
- Participants who have been on a stable regimen of long- or short-acting beta agonists (LABA, SABA) for chronic obstructive pulmonary disease (COPD) or asthma for a minimum of 3 months prior to the Screening visit should remain on the same dosage throughout the study.
- Perennial allergic rhinitis (PAR) participants who have been on a stable regimen of a non-sedating oral or intranasal antihistamine including second (eg, loratadine, cetirizine, azelastine), third (eg, fexofenadine), and fourth generation or equivalent for a minimum of 3 months prior to the Screening visit should remain on the same dosage throughout the study.

3.4.2 Prohibited medications

Use of the following medications is prohibited for the duration of the study:

- Oral/ocular/intramuscular/intravenous/intranasal corticosteroids (except for oral corticosteroids as permitted for rescue medication only and stable low or medium dose of inhaled corticosteroids for underlying respiratory diseases, eg, COPD, asthma).
- Certain anti-allergy medications, including: first generation antihistamines (eg, diphenhydramine, dimenhydrinate, chlorpheniramine); leukotriene receptor antagonists (except for a stable regimen for asthma or another non-CRS indication), nasal cromolyn sodium or sodium cromoglycate, nedocromil sodium, atropine, ipratropium bromide, or guaifenesin.
- Oral or intranasal decongestants (except for short course of intranasal decongestants permitted for severe acute nasal blockage or one-time administration during endoscopic procedures).
- Inhaled anticholinergic medications (except for a stable regimen defined as no changes in the 3 months prior to screening and throughout study participation).
- Any potent cytochrome P-450 3A4 (CYP3A4) inhibitors (eg, ketoconazole and ritonavir).
- Any monoclonal antibody.
- Any allergen immunotherapy (except for a stable dose and regimen defined as no changes in the 3 months prior to screening and throughout study participation).
- Oral antifungal medication.

Participants who received prohibited medications will be considered to have a protocol deviation and may require withdrawal from the study.

3.4.3 Rescue medications and treatment

Rescue treatment is defined as, after randomization, participant receives SCS for any reason, and/or are recommended for sinonasal surgery to relieve CRS symptoms.

The following rescue medication is recommended for worsening or uncontrolled severe CRS symptoms that results in the participant contacting the investigator who determines an initiation of rescue treatment is necessary any time during the study:

- A course of oral corticosteroids. Typical indications include persistent sinus infection after an initial course of antibiotics while starting another course of antibiotics, uncontrolled sinus inflammation, or a flare-up of asthma. The dose, duration of use, and rationale for the use of oral corticosteroid must be recorded.
- Sinonasal surgery as rescue treatment according to the investigator's recommendation. The type and rationale for sinonasal surgery must be recorded.

3.5 Methods and procedures

The study-required activities and assessments are detailed below and are presented in tabular form in **Appendix 14.1**.

3.5.1 Eligibility assessment

Participants are considered eligible for this study if they meet **all** the inclusion criteria and **none** of the exclusion criteria as defined in **Section 3.3**. The principal investigator or subinvestigator at each investigational site will determine participant eligibility based on the inclusion/exclusion criteria.

3.5.2 Screening visit and run-in stage

After providing written informed consent, participants who are qualified to participate in this study, have failed previous medical management and are no longer on oral or intranasal corticosteroids will have an initial Screening visit. Assessments at Screening will include demographics and medical history, a limited physical examination (head, neck, ear, nose, and throat) with vital signs, hematology and chemistry tests, SNOT-22 questionnaire, serum pregnancy test for women of childbearing potential, COVID-19 test, sinus CT (or within 1 month of Screening), nasal endoscopy, ocular examination (visual acuity [VA], IOP, and slit lamp), eligibility assessment, and concomitant medications/procedures.

Immediately following the initial Screening visit, participants will undergo a run-in period of 2-4 weeks. During this run-in period, if a patient requires rescue medication, he/she will not be enrolled into the study. In the event of difficulties in scheduling Day 1 visit (randomization), the run-in period can be extended up to day 35 with sponsor/medical monitor approval. If a participant fails the initial screening, they are permitted to be rescreened one time under a new participant ID.

Participants who fail screening due to administrative reasons (eg, visit window) or abnormal lab value(s) may be allowed to repeat certain screening assessment(s) and re-establish eligibility. To

minimize patient burden, the sinus CT, ocular exam and/or endoscopy do not need to be repeated for rescreened participants if done within the preceding month. Medical Monitor approval is required in such cases. Documentation of the decision and Medical Monitor approval will be filed in the participant's file.

Participants will be provided with saline and instructions for daily intranasal saline irrigation starting from the Screening visit throughout the study. Participants will be instructed to stop use of saline rinse approximately 24 hours prior to the scheduled sinus CT. Daily saline irrigation should then continue following the sinus CT. Daily saline irrigation procedures should adhere to the Investigator's prescribing information and local standards, as applicable. Beginning at least 14 days prior to LYR-210 administration/sham procedure on Treatment Day (Day 1), participants will record daily scores for each of the 4 cardinal symptoms (4CS) of CRS (nasal blockage/obstruction/ congestion, nasal discharge, facial pain/pressure, reduction/loss of sense of smell) on the electronic patient-reported outcomes (ePRO) questionnaire.

3.5.3 Day 1 visit, pretreatment

On Day 1, before randomization, participants will undergo the following assessments: urine pregnancy test for women of childbearing potential, COVID-19 test, eligibility assessment, nasal endoscopy, baseline ePRO questionnaires (4CS, SNOT-22, PGIS, WPAI-SHP [REDACTED] SF-36v2, EQ-5D-5L, MOS Sleep R), current medication listing, and AE reporting. Additionally, the first 30 randomized participants will be selected to have blood drawn for baseline plasma PK testing.

3.5.4 Randomization and blinding

On Day 1, after confirmation of eligibility and baseline assessments, and before any treatment, participants will be randomized in a 2:1 ratio to receive LYR-210 treatment or control (sham procedure) with stratification based on region (North America vs Europe) and nasal polyp status (yes vs no). Randomization will be conducted using Interactive Response Technology (IRT) and treatment will be assigned according to a randomization scheme generated by an unblinded biostatistician. The person generating the randomization scheme will not be involved in the collection, review, and/or analysis of study data before database lock and unblinding of the database.

To maintain the participant blind to treatment assignment, each participant will wear an eye mask (ie, blindfold) and headphones at the time of the LYR-210 administration/sham procedure. Except for the cases of spontaneous matrix dislodgement, participants should remain blinded to their treatment assignment (LYR-210 or control) until the study is completed. [REDACTED]

[REDACTED]

After Week 24, using IRT, control participants will be assigned to active treatment and treatment participants will be re-randomized 1:1 to either sham procedure or a second active treatment

procedure stratified by geographic region and nasal polyp status at baseline. Follow-up visits will continue through Week 52.

The sponsor will be blinded only to participants' initial study treatment assignments until database lock for the Week 24 primary analysis (initial randomized phase).

Due to the nature of the procedure, the treating investigator/surgeon will not be blinded to the treatment assignment. Efforts will be made to keep the study coordinator and other study staff blinded.

Breaking the blind to the participant is expressly forbidden except in the event of spontaneous dislodgment of LYR-210, or a medical emergency where the identity of the treatment assignment must be known to properly treat the participant. If breaking the blind is required because of a medical emergency, decision to unblind lies solely with the investigator. In all cases where the blind is broken to the participant, the investigator must record the date and reason for breaking the blind. The unblinding should be noted in the participant's eCRF.

3.5.5 Treatment procedure (active and sham)

On Day 1, after completing all required pretreatment assessments and randomization, participants will receive local anesthetic in the middle meatus and undergo a baseline nasal endoscopic assessment in preparation for the insertion procedure. Before the administration of the LYR-210 or sham procedure, participants will have nasal swabs collected for protein and cytology.

On Day 1, participants who are assigned to the active treatment arm will have the LYR-210 administered bilaterally into the middle meatus according to the sponsor's instructions for use. Participants who are assigned to the control arm, will undergo mock administration (ie, sham procedure)

After the procedure, all participants will be instructed to continue daily saline irrigation and completion of daily 4CS ePRO.

If the investigator is unable to administer LYR-210 bilaterally or perform sham procedure successfully into both middle meatuses of a participant, the investigator will remove any LYR-210 already administered and treat the participant with any appropriate therapy, if necessary. The participant will be considered a **treatment administration failure**. Treatment administration failure participants will be followed within 7 (\pm 2) days by telephone for AE and concomitant medication assessments. Treatment administration failure participants may be replaced to ensure adequate study enrollment.

3.5.6 Telephone/virtual visits

Participants will receive telephone/virtual follow-ups at Weeks 4, 12, 28, and 36 to record AEs and concomitant medications/procedures that they have had since their last follow-up assessment. Participants will also complete 4CS, SNOT-22, [REDACTED] and WPAI-SHP at these visits and women of childbearing potential will undergo a urine home pregnancy test.

The Week 52/EOS visit can be conducted either over the phone/virtual or as an office visit.

3.5.7 Clinic follow-up visits

All participants will return to clinic for the scheduled follow up assessments at Weeks 8, 16, 20, 32, 40, 44, and 48. The end of study visit at Week 52 can be conducted as an in-office visit or over the phone. Assessments at these visits include a urine pregnancy test for women of childbearing potential, COVID-19 test, nasal endoscopy, 4CS, SNOT-22, [REDACTED] concomitant medications/procedures, and AEs. PGIS will also be assessed at Week 8 and 16 visits. Plasma for PK testing will be collected for the previously selected 30 participants. Ocular examinations are required at Weeks 8, 16, 32, 40, and 48.

At the Week 20 and Week 44 visits, after the visit assessments, all participants will undergo a LYR-210 removal or sham removal procedure. Participants who receive LYR-210 will have bilateral matrix removal using standard surgical tools. Control participants will undergo a sham removal procedure to remain blinded.

If **spontaneous dislodgement of LYR-210** occurs before the scheduled Week 20 or Week 44 visit in participants who receive LYR-210, participants are required to call the study clinic immediately to report the event. If a participant experiences dislodgement of 1 LYR-210 matrix, the participant will continue in the treatment phase. Any participant who undergoes a dual dislodgement is required to complete the assessments scheduled for the Week 20/ET visit, and subsequently the Week 24/End of Treatment Phase (EOTP) visit within 25-31 days of the ET visit. Participants with dual dislodgement can be re-randomized in the extension phase.

Opacification of ethmoid sinuses will be assessed by CT scans obtained during screening (or using a historical CT scan taken within 1 month of screening) and within 7-14 days after Week 20/ET visit, unless medically contraindicated. Participants will be instructed to stop use of saline rinse approximately 24 hours prior to the scheduled sinus CT. Daily saline irrigation should then continue following the sinus CT. If a participant is experiencing or recovering from a cold, acute exacerbation of nasal allergy, or URTI at the timing of follow up CT, the CT should be performed 4 weeks after resolution of the AE. If a participant requires SCS or sinonasal surgery as rescue treatment, the follow-up CT should be performed before receiving the rescue treatment.

3.5.8 End of treatment phase (Week 24)

All participants will undergo a Week 24 visit. If medically warranted per the treating physician's discretion (for example, needing sinonasal surgery as rescue treatment), early matrix removal

may be performed at an unscheduled **early treatment termination (ET)** visit. Any participant who undergoes an ET visit is required to complete the assessments scheduled for the Week 20/ET visit, and subsequently the Week 24/End of Treatment Phase (EOTP) visit within 25-31 days of the ET visit. ET participants are encouraged to continue completing ePRO assessments through Week 24.

Week 24/EOTP assessments include a urine pregnancy test for women of childbearing potential, COVID-19 test, hematology and chemistry tests, nasal endoscopy, ocular examination (IOP and slit lamp), all ePRO (4CS, SNOT-22, WPAI-SHP, SF-36v2, EQ-5D-5L, PGIS, PGIC, MOS Sleep R), concomitant medications/procedures, nasal swab collection, and AEs. Participants will also complete the End of Treatment (EOT) at this visit.

3.5.9 Safety extension treatment

After completing the Week 24 visit, participants who completed the 24-week treatment phase (including participants with dual dislodgement) will continue into the safety extension phase. In this phase, all sham participants will undergo treatment with LYR-210. Treatment participants will be re-randomized 1:1 to undergo either a sham procedure or a second LYR-210 treatment procedure. Extension participants will not be required to repeat the Screening/run-in phase or CT scans but will otherwise repeat all the study visits and assessments for the 24-week treatment phase (see safety extension schedule of assessments in **Appendix 14.1**). Participants in the safety extension phase will undergo a second removal procedure at Week 44 and complete follow-up visits at Weeks 48 and 52. If medically warranted per the treating physician's discretion, early matrix removal may be performed at an unscheduled ET visit. Any participant who undergoes an ET visit is required to complete the assessments scheduled for the Week 44/ET visit, and subsequently the Week 48/End of Safety Extension Phase (EOSEP) visit within 25-31 days of the ET visit. ET participants are encouraged to continue completing ePRO assessments through Week 52.

Week 52 is the EOS visit for all participants who did not have early termination. The Week 52/EOS visit can be conducted either over the phone/virtual or as a clinic visit. Assessments include final ePROs (4CS and SNOT-22), concomitant medications/procedures, and AEs.

3.5.10 Discontinuation of Study Participants

Each subject is free to discontinue from the study at any time, for any reason, and without penalty or loss of benefit. Participation in the study treatment may be discontinued for any of the following reasons:

- Occurrence of any medical condition or circumstance that exposes the subject to substantial risk and/or does not allow the subject to adhere to the requirements of the protocol, including early removal of LYR-210

- Any serious AE (SAE, Section 7.2), clinically significant AE, severe laboratory abnormality, intercurrent illness, pregnancy, or other medical condition that indicates to the Investigator that continued participation is not in the best interest of the subject.
- Subject's decision to withdraw
- If deviations to the use of prohibited medications occur after randomization and study treatment, the Investigator in consultation with the Sponsor and Sponsor's medical monitor will decide on a case-by-case basis whether the subject may continue in the study based on the time the prohibited medication was administered and its pharmacology.
- Subject's failure to comply with protocol requirements or study related procedures.
- Termination of the study by the Sponsor or a regulatory authority that has provided approval to proceed.

Subjects who withdraw or are withdrawn from the study treatment will be requested to return to the clinic for the assessments and procedures scheduled for the end of treatment visit and the safety follow up visits (Section 3.5.9). The Investigator or study staff will document the reason(s) for subject discontinuation on the Case Report form (CRF) and notify the IRB/EC as required by their Institution's procedures.

3.6 Data collection

Study data will be collected according to good clinical practices (GCP). Data will be entered into the study database by investigational site staff using the [REDACTED] EDC system. The data will be reviewed by Lyra Therapeutics staff, or designee, and any queries will be submitted to the investigational site for clarification. Patient-reported outcome questionnaires will be entered into an ePRO device (eg, electronic tablet), smartphone application, or computer URL directly by study participants.

3.7 Study assessments, endpoints, and activities

The study assessments are described below. Frequency of each assessment is found in the Schedule of Assessments (**Appendix 14.1**).

3.7.1 CRS Cardinal Symptoms ePRO

Enrolled participants will be asked to complete a daily ePRO questionnaire to assess the severity of the 4 individual cardinal symptoms of CRS (nasal blockage/obstruction/congestion, facial pain/pressure, anterior/posterior nasal discharge, and reduction/loss of smell).^{7,8} Each symptom is scored on a 0-3 scale as follows: 0 = none, 1 = mild, 2 = moderate, and 3 = severe.

In addition, the 4CS ePRO will capture use of daily saline irrigation by the participants.

3.7.2 SNOT-22 questionnaire

The SNOT-22 questionnaire is a 22-item disease-specific quality of life instrument validated for use in CRS.¹¹ Each symptom is scored on a 6-point scale as follows: 0 = no problem, 1 = very mild problem, 2 = mild or slight problem, 3 = moderate problem, 4 = severe problem, 5 =

problem as bad as it can be. The total SNOT-22 score is the sum of the 22 items and can range from 0 to 110 with higher scores indicating worse symptoms. The MCID for the total SNOT-22 score has been determined to be 8.9 units. Additionally, the SNOT-22 scores can be divided into subcategories of rhinologic symptoms, extra-nasal rhinologic symptoms, ear/facial symptoms, psychological dysfunction, and sleep dysfunction¹².

3.7.3 Patient Global Impression of Severity (PGIS) questionnaire

Participants will be asked a single question to rate their severity of CRS-related symptoms over the past 7 days [REDACTED]

3.7.4 Patient Global Impression of Change (PGIC) questionnaire

The PGIC is a self-assessment of the participant's overall change in CRS-related symptom severity compared to pretreatment. [REDACTED]

3.7.5 Work Productivity and Activity Impairment (WPAI-SHP) questionnaire

The WPAI-SHP is a questionnaire to measure the effect of a specific health problem on the participant's work productivity and normal daily activities.¹³ Employed participants report absenteeism, (time absent from work) and presenteeism (time at work but not fully productive), which are calculated to a work productivity index. All participants report time away from normal activities.

3.7.6 General quality of life questionnaires (SF-36v2, EQ-5D-5L)

Participants will complete 2 general quality of life questionnaires, the SF-36v2 and EQ-5D-5L.

The SF-36v2 health survey captures participants' perceptions of their health and well-being in 8 dimensions: physical functioning, physical limitations, emotional limitations, social functioning, bodily pain, general health, and mental health.¹⁴ The items of the SF-36v2 are transformed and summed to a norm-based scale (mean=50, SD=10) for each physical and mental component domain in which higher scores indicate a better health-related quality of life status.

The EQ-5D-5L descriptive system comprises the following 5 dimensions, each describing a different aspect of health: mobility, self-care, usual activities, pain/discomfort, and anxiety/depression.¹⁵ Each dimension has 5 response levels of severity: no problems, slight problems, moderate problems, severe problems, 'unable to'/extreme problems. In addition, the EQ-VAS records the overall current health on a vertical visual analogue scale, where the anchors are labelled 'the best health you can imagine' and 'the worst health you can imagine'.

3.7.7 Sleep questionnaire (MOS Sleep-R)

MOS Sleep-R is a 12-item instrument with a 4-week recall designed to measure key aspects of sleep, such as disturbance, adequacy, somnolence, and quantity.¹⁶ The score ranges from 0 to 100, lower scores indicating better sleep and higher scores indicating worse sleep. The scale yields a sleep problem index and scores on the following 6 subscales: sleep disturbance, snoring, shortness of breath or headache, sleep adequacy, sleep somnolence, and sleep quantity.

3.7.11 Nasal endoscopy [REDACTED]

Nasal endoscopies will be performed to evaluate presence/absence of and grade of nasal polyps and to document presence of epistaxis, mucosal erosion or ulceration, perforation, and any other local adverse effects. The endoscopic assessment of nasal polyps for eligibility will be conducted at Screening. A central reviewer will evaluate the screening endoscopy to determine presence/absence of polyps and polyp grading (see **Appendix 14.2** for the nasal polyp grading scale), if applicable. The central assessment will be used for randomization stratification. Participants are required to wear a blindfold and headphones during any endoscopy examination to maintain their blind to treatment assignment. All nasal endoscopies will be recorded and uploaded to the Central Imaging Core Lab repository. Nasal endoscopies will also be reviewed at the Central Imaging Core Lab for evaluation of presence of epistaxis, mucosal erosion or ulceration, perforation, and any other local adverse effects.

[REDACTED]

3.7.12 SCS rescue

Systemic corticosteroids (SCS) for rescue treatment of CRS or for another reason that are prescribed to the participant are to be recorded in the eCRF. If possible, an endoscopy should be performed before starting treatment with SCS. The participant should continue with the study treatment and follow-up visits per protocol. The investigator (or designee) will record the dates and dosing information (dosage form, daily dose, duration, name of drug) on the appropriate page(s) of the eCRF. Indication for SCS use will also be captured by selecting 1 or more of the following categories:

1. CRS
2. Asthma
3. Other respiratory or ENT disease (specify)
4. Other reason (specify).

A course of SCS is considered continuous if treatment is separated by less than 7 days.

3.7.13 Sinonasal surgery (actual or planned) for CRS

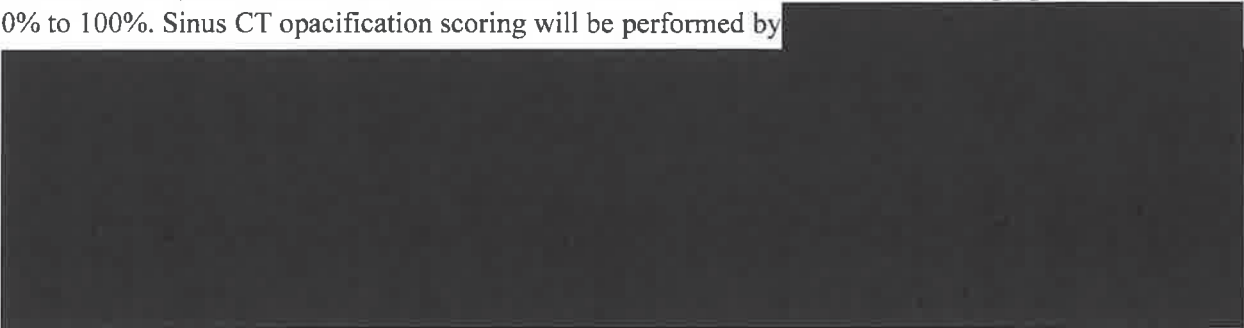
For participants who have a surgery or have a scheduled date for sinonasal surgery as rescue for CRS, the reason (worsening signs and/or symptoms during the study), the date the surgery was indicated, expected or actual surgery date, and the type and outcome of surgery will be recorded in the eCRF. Types of sinonasal surgery include, but are not limited to, functional endoscopic sinus surgery (FESS), balloon sinuplasty, turbinate reduction, septoplasty or polypectomy, or any endoscopic procedure that results in sinonasal tissue removal.

If the surgery is to be performed during the study treatment period, the study treatment will be discontinued and all procedures/assessments for the ET and EOTP visits will be completed prior to the surgery. The participant will be discontinued from the study.

If the surgery is to be performed during the safety extension phase, the study treatment will be discontinued and all procedures/assessments for the ET and EOSEP visits will be completed prior to the surgery. The participant will be discontinued from the study.

3.7.14 Sinus CT scoring

Opacification of the ethmoid sinuses will be assessed using CT images. Two scoring methodologies will be employed to assess sinus opacification – Zinreich modified Lund-Mackay and 3-D volumetric analysis. The Zinreich modified Lund-Mackay scoring system uses a 0-5 scale for scoring each sinus.¹⁸ The scoring is based on the percentage of sinus opacification as follows: 0 = 0%, 1 = 1% to 25%, 2 = 26% to 50%, 3 = 51% to 75%, 4 = 76% to 99%, and 5 = 100% or completely occluded. The left and right sides are graded, and the sum is the total score out of maximum of 20. 3-D volumetric scoring will involve evaluations of each slice of 3-D coronal CT sequences and summing of all the slices to create a volumetric score ranging from 0% to 100%. Sinus CT opacification scoring will be performed by



3.7.16 Physical examination

A limited physical examination including a careful assessment of the head, eyes, ears, nose and throat will be performed during Screening (**Appendix 14.1**). Height (cm), weight (kg) and body mass index (BMI) will be measured at Screening.

3.7.17 Vital signs

Vital sign measurements will include seated blood pressure (systolic and diastolic, mm Hg), and pulse rate (beats per minute), body temperature (°C) tested per local practice, and respiration rate (breaths per minute). All measurements will be obtained after the participant has been resting for at least 5 minutes.

3.7.18 Ocular examination

Ophthalmologic assessments will include measurement of corrected VA, IOP, and a slit-lamp examination of the anterior segment of the eyes to identify new or worsening cataract development. The cataract assessment will be conducted according to the Simplified Cataract Grading System authored by the World Health Organization Cataract Grading Group.¹⁹ Participants will be dilated with mydriatics following the WHO guidance for cataract assessment. IOP may be measured using Goldmann applanation tonometer, non-contact tonometer, or tonopen; however, it is recommended that the same method be used for consistency across serial assessments on a given participant. A clinically significant increase of IOP is defined as IOP in 1 or both eyes >23 mm Hg or an increase of IOP from baseline in 1 or both eyes ≥ 10 mm Hg. Assessments will be conducted by an ophthalmologist/optometrist who is blinded to the participant's treatment assignment.

3.7.19 Laboratory Evaluations

Hematology assessments will include a leukocyte count with differential (neutrophils, eosinophils, lymphocytes, monocytes, and basophils), erythrocytes, hematocrit, hemoglobin, and platelet count. Clinical blood chemistry laboratory analytes will include electrolytes (sodium, potassium, chloride, and bicarbonate), blood urea nitrogen, serum creatinine, glucose, total bilirubin, aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase, lactate dehydrogenase, uric acid, total protein, albumin, calcium, magnesium, and phosphate. Testing will be performed at the central lab, |

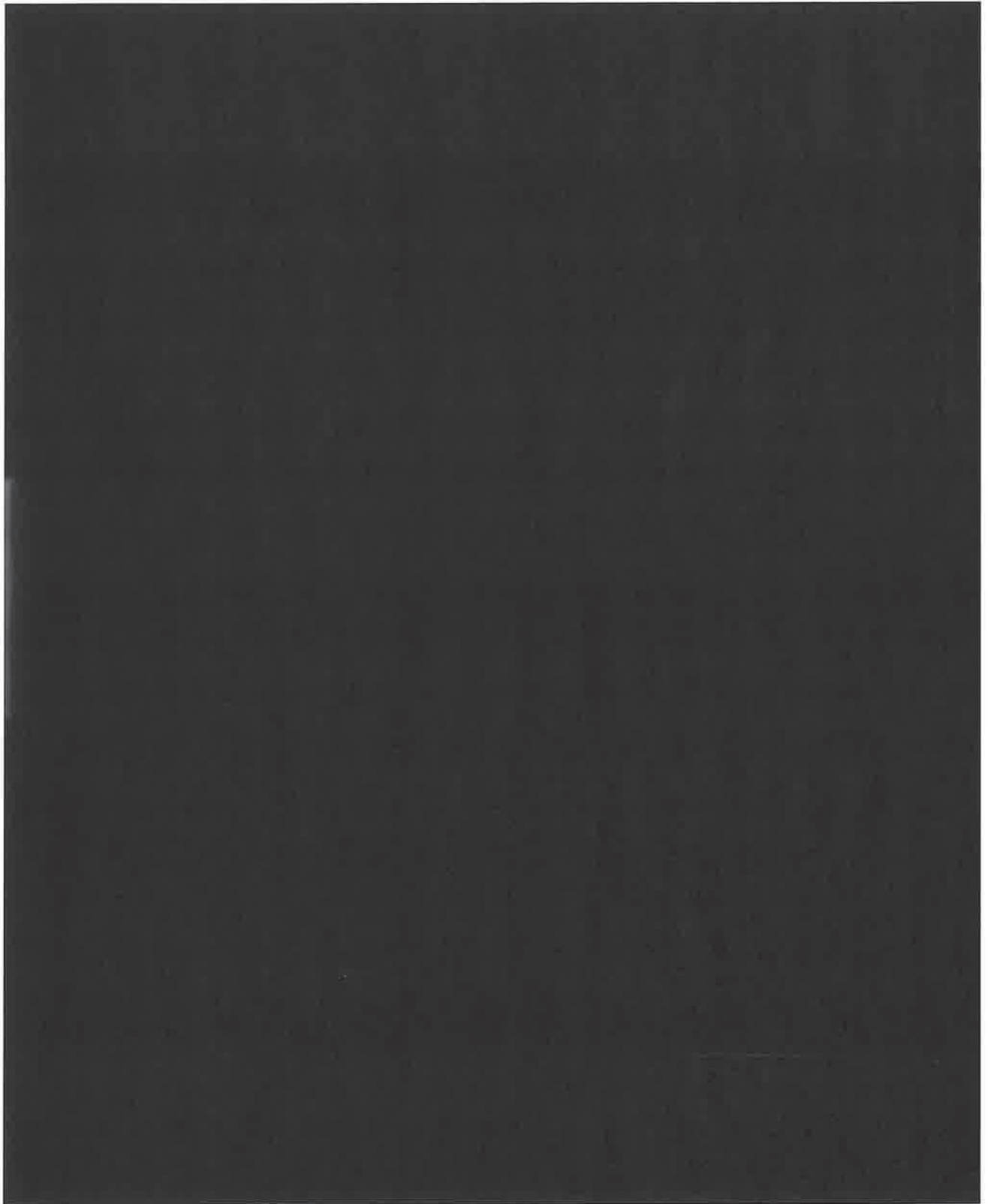
Pregnancy testing is required for all female participants of childbearing potential. It will include a serum pregnancy test at Screening and a urine pregnancy test at all subsequent visits during the Treatment and Safety Extension Phases.

3.7.20 Pharmacokinetic testing

Blood samples for assessment of plasma PK will be collected from approximately 30 randomized and successfully enrolled participants at participating US sites. At the Day 1 visit, the blood sample for plasma PK analysis will be collected before the LYR-210 administration/sham procedure. Subsequent blood samples (at Weeks 8, 16, 20, and 24) may be collected at any time of the day during the scheduled study visits. The concentration of MF in plasma will be

measured at a central core lab using a validated liquid chromatography-mass spectrometry (LC-MS) method.









5. Investigational Product Information

5.1 Investigational product description

The LYR-210 System is a combination product comprised of a single-use applicator, preloaded with an anti-inflammatory drug matrix. LYR-210 contains mometasone furoate (MF), an active ingredient in multiple FDA-approved products indicated for therapeutic and prophylactic management of seasonal and perennial allergic rhinitis (AR), nasal polyps, a phenotype of CRS disease, and asthma. LYR-210 is designed to gradually soften over time and is made of bioabsorbable polymers that have been used as components of approved pharmaceutical drugs and/or medical devices.

Each LYR-210 contains a total MF dose of 7500 µg. LYR-210 is intended to be administered bilaterally into the middle meatus by an otolaryngologist under endoscopic visualization using

the provided single-use applicator. Once administered, each LYR-210 is designed to gradually deliver sustained doses of MF to the inflamed mucosal tissue over a 24-week resident time. Bilateral placement of LYR-210 is designed to deliver a total dose of 15,000 µg MF over the 24 weeks, or an average daily dose of 89 µg MF per human participant (or approximately 45 µg MF per nostril).



5.2 Investigational product management

The sponsor will supply quantities of LYR-210 and the sham procedure articles sufficient to allow completion of the study. The investigator or designee must maintain accurate records to document the disposition of all products received by the clinical site. Required information includes the kit numbers received, date received, date used, product description, and a participant identifier for product that has been administered. Investigational sites will use a form to document product disposition, which will be reviewed by the study monitor during routine monitoring visits. When all study procedures are complete, any unused investigational product will be returned to sponsor or discarded per sponsor authorization along with a final accountability log. The accountability log must document the disposition of all investigational product, including those that have been returned to the sponsor.

5.3 Product return

All drug matrices administered to a participant are to be removed, as indicated in the Schedule of Assessments (**Appendix 14.1**). All study product not administered to a participant must be returned to the sponsor or designee or discarded per sponsor authorization. Applicators successfully used for administration may be disposed on site after the study procedure is complete.

The investigator will inform the sponsor of any complaints or malfunctions during the study. The sponsor will investigate all product complaints and malfunctions.

Instructions for returning product will be provided to the sites by the sponsor.

5.4 Packaging, labeling, and storage

The investigational products (LYR-210 and Sham) will be packaged and labeled, as required by regional legislation and industry guidelines. The LYR-210 System and the Sham product are individually packaged in a foil pouch which is sterilized and placed within a shelf carton.

The investigational products should be kept in a locked storage and stored in the original packaging to protect from moisture and light and at controlled room temperature (15 °C to 25 °C [59 °F to 77 °F]), with excursions permitted up to 30 °C (86 °F, inclusive).

The sponsor or designee will ship study products to the sites as needed. Specific instructions for ordering product will be provided to the sites. Traceability of LYR-210 or the sham procedure articles will be achieved by assigning each matrix and applicator a unique kit number.

6. Monitoring Procedures

Sponsor personnel or qualified designees will monitor the clinical study in a manner consistent with 21 CFR 312, Subpart D, Responsibilities of Sponsors and Investigators, and other applicable local or national regulations. Specific monitor contact information will be provided separately from the protocol.

6.1 Investigational site monitoring

An appropriate representative of the sponsor or designee (study monitor) will verify participant data and ensure compliance with GCP, clinical protocol, and other study requirements, according to the guidelines set forth in the monitoring standard operating procedures (SOPs) and applicable regulatory requirements. The study monitor will ensure the investigator continues to have appropriate staff and facilities to conduct the clinical study safely and effectively. Monitoring will be performed in accordance with a prespecified monitoring plan that is in compliance with applicable SOPs. Upon reasonable notice, the investigator and institution agree to provide the sponsor representatives or designees and applicable regulatory authorities with direct access to source documents relevant to the study for sponsor quality assurance audits or inspections by the regulatory authorities.

Completed CRFs will be verified by the study monitor at the investigational sites at regular intervals throughout the study. The investigator will allow the monitor and/or representative of the sponsor, and any regulatory body to review and inspect the study files, participant CRFs, participant medical records and other study-related documents, as required.

All CRFs will be reviewed for completeness and clarity. Missing or unclear data will be investigated by the study monitor and will be retrieved, clarified, and completed by study personnel as necessary throughout the study. The sponsor or their authorized representative may request additional documentation from the investigator such as physician procedure notes or physician written summaries when AEs are observed and reported.

6.2 Investigators

The sponsor will select investigators with appropriate training and experience to participate in this clinical study. Sites will be selected based upon the qualifications of the principal investigator at the site as well as other parameters, including proven ability and infrastructure to

perform clinical studies. The complete list of all site investigators and the relevant clinical sites will be maintained within the sponsor's trial master file (TMF).

In addition to specific training relating to use of the investigational product, the training of the investigator and appropriate clinical site personnel will be the responsibility of the sponsor (or designee) and may be conducted during an investigator meeting, a site initiation visit, or other appropriate venue. Training will include, but not be limited to, the clinical protocol, IB, CRF completion, GCP, and clinical study personnel responsibilities. All training will be documented prior to engaging in study-related activities.



7. Adverse Event Definitions and Reporting

7.1 Adverse events and treatment-emergent adverse events

An AE is any untoward medical occurrence (signs, symptoms, abnormal laboratory findings) in a participant regardless of relationship to the investigational product or procedure. Each AE is either expected or unexpected as described below. The site is required to report AEs that occur during the study. These events shall also be classified according to the suspected causality by the study investigator.

Throughout the course of the study, all efforts will be made to remain alert to possible AEs or untoward findings. If AEs occur, the first concern will be the safety and welfare of the participant. Appropriate medical intervention will be undertaken. Any AE observed by the investigator or reported by the participants, whether or not ascribed to the investigational procedure or product, will be recorded on the participant's AE case report form (CRF). A new AE CRF will be used for each AE. All on-going AEs that result in early termination (ET) from the study or are deemed to be study product- or procedure-related by the site investigator will be followed until resolution or stabilization of the AE.

Documentation regarding the AE should be made as to the nature, date of onset, end date, severity, and relationship to study product, action(s) taken, seriousness, and outcome of any sign or symptom observed by the physician or reported by the participant upon indirect questioning.

A **treatment emergent AE (TEAE)** is an AE that occurs or worsens on or after initiation of the LYR-210 administration/sham procedure.

7.2 Serious adverse events

An AE or adverse reaction is considered a serious adverse event (SAE) if, in the view of either the investigator or sponsor, it results in any of the following outcomes:

- Death
- A life-threatening AE (Note: an AE or adverse reaction is considered “life-threatening” if, in view of either the investigator or sponsor, its occurrence places the participant at immediate risk of death. It does not include an event that, had it occurred in a more severe form, might have caused death.)
- Requires hospitalization or prolongation of existing hospitalizations (Note: any hospital admission with at least 1 overnight stay will be considered an inpatient hospitalization. An emergency room or urgent care visit without hospital admission will not be recorded as an SAE under this criterion, nor will hospitalization for a procedure scheduled or planned before signing of informed consent, or elective treatment of a pre-existing condition that did not worsen from baseline. However, unexpected complications and/or prolongation of hospitalization that occur during elective surgery should be recorded as AEs and assessed for seriousness. Admission to the hospital for social or situational reasons, eg, no place to stay, live too far away to come for hospital visits, respite care, will not be considered inpatient hospitalization.)
- A persistent or significant disability/incapacity or substantial disruption of the ability to conduct normal life functions
- A congenital anomaly/birth defect
- An important medical event (Note: important medical events that do not meet any of the above criteria may be considered an SAE when, based upon appropriate medical judgment, they may jeopardize the participant and may require medical or surgical intervention to prevent one of the outcomes listed above [eg, anaphylaxis]).

The investigator will assess each AE for its seriousness. Please note the term “serious” AE is not synonymous with a “severe” AE, which may be used to describe the intensity of an event experienced by the participant (**Section 7.4**). Any treatment-related SAE (**Section 7.3**) will be followed until resolution of the event, or until the participant withdraws from the study.

7.3 Relationship to investigational product or study procedure

The relationship between an AE and the LYR-210 study drug product or LYR-210 administration/sham procedure (within 24 hours) will be determined by the investigator based on his or her clinical judgment and the following definitions:

- **Definitely related:** The AE follows a reasonable temporal sequence from administration of the investigational product or the study procedure and the AE follows a known or expected response pattern to the investigational product or study procedure.

- **Probably related:** The AE follows a reasonable temporal sequence from administration of the investigational product or the study procedure and is unlikely to have been produced by other factors (eg, disease, concomitant medications).
- **Possibly related:** The AE follows a reasonable temporal sequence from administration of the investigational product or the study procedure and the AE follows a known or expected response pattern to the investigational product or study procedure but could readily have been produced by several other factors.
- **Unlikely related:** The AE is temporally distant from administration of the investigational product or the study procedure that makes a relationship improbable and the AE could readily have been produced by several other factors.
- **Not related:** An AE for which sufficient information exists to indicate that the etiology is unrelated to the investigational product or study procedure. One or more of the following variables apply:
 - The AE does not follow a reasonable temporal sequence following administration of the investigational product or study procedure
 - The AE is readily explained by the participant's clinical state or other therapies

The following factors should be considered when evaluating relatedness:

- The temporal sequence from study drug administration – the event should occur after the study drug is given. The length of time from study drug exposure to event should be evaluated in the clinical context of the event.
- Underlying, concomitant, intercurrent diseases – each report should be evaluated in the context of the natural history and course of the disease being treated and any other disease the participant may have.
- Concomitant drug – the other drugs the participant is taking or the treatment the participant receives should be examined to determine whether any of them might be recognized to cause the event in question.
- Known response pattern for this class of study drug – clinical and/or preclinical data may indicate whether a particular response is likely to be a class effect.
- Exposure to physical and/or mental stresses – the exposure to stress might induce adverse changes in the participant and provide a logical and better explanation for the event.
- The pharmacology and pharmacokinetics of the study drug – the known pharmacologic properties (absorption, distribution, metabolism, and excretion) of the study drug should be considered.

7.4 Severity of adverse events

Severity of an AE is defined as a qualitative assessment of the degree of intensity of an AE as determined by the investigator or reported to him/her by the participant. The assessment of severity is made irrespective of relationship to study procedure or product or seriousness of the event and should be evaluated according to the following scale:

- **Mild:** Event is noticeable to the participant but is easily tolerated and does not interfere with the participant's daily activities.
- **Moderate:** Event is bothersome, possibly requiring additional therapy, and may interfere with the participant's daily activities.
- **Severe:** Event is intolerable, necessitates additional therapy or alteration of therapy, and interferes with the participant's daily activities.

7.5 Expectedness of serious adverse events

The expectedness of a product or procedure-related SAE should be determined by sponsor based upon existing safety information about the investigational product or study procedure using these explanations:

- **Unexpected:** An SAE that is not listed in the study protocol, investigator's brochure (IB), or prescribing information for the registered formulation of MF or is not listed at the specificity or severity that has been observed.
- **Expected:** An SAE that is listed in the IB or prescribing information for the registered formulation of MF or is listed at the specificity and severity that has been observed.

7.6 Reporting adverse events

AEs are to be collected from the time a participant signs the informed consent form (ICF) until the completion of all follow-up visits. At each office or telephone/virtual visit during the study, AEs that have occurred since the previous office visit must be recorded. All participants will be evaluated for AEs or complications associated with the study procedure or the investigational product. The investigator will determine the severity and relationship of each event, as defined above. AEs observed during this study, regardless of severity or relationship to the study procedure or investigational product, will be recorded on the appropriate CRF.

Documentation of all new AEs or changes in previously reported AEs will be recorded. Pre-existing diseases or conditions will not be reported as AEs unless there has been a substantial increase in severity or frequency of the problem, which cannot be attributed to the expected progression of the disease or condition.

The investigator will review the results of all clinical and laboratory tests as they become available. For each laboratory test result, the investigator will ascertain whether the result represents an abnormal (ie, clinically significant) CFBL for that individual participant. If this laboratory test result is determined to be a clinically significant abnormal CFBL for that participant, the value will be considered to constitute an AE.

All AEs will be coded using Medical Dictionary for Regulatory Activities (MedDRA) throughout the study.

7.7 Reporting serious adverse events

7.7.1 Initial reports

All SAEs occurring from the time of informed consent until the completion of all follow-up visits must be reported to the sponsor or designee within 24 hours of the knowledge of the occurrence. After the completion of each follow-up visit, any SAE that the investigator considers related to study drug must be reported to the sponsor or designee.

To report the SAE, the investigator or designee must complete the AE form with the SAE information electronically in the electronic data capture (EDC) system for the study. When the form is completed, safety personnel will be notified electronically by the EDC system and will retrieve the form. If the event meets seriousness criteria and it is not possible to access the EDC system, the investigator or designee should send an email to the safety contact (as indicated by the sponsor) and fax/email the completed paper SAE form to the safety contact within 24 hours of awareness. When the EDC system becomes available, the SAE information must be entered as soon as possible.

7.7.2 Follow-up reports

For participants with an SAE, the investigator must continue to follow the participant until the SAE has subsided or until the condition becomes chronic in nature, stabilizes (in the case of persistent impairment), or the participant dies.

Within 24 hours of receipt of follow-up information, the investigator or designee must update the SAE form electronically in the EDC system for the study and submit any supporting documentation (eg, patient discharge summary or autopsy reports) to the safety contact by fax or email. If it is not possible to access the EDC system, refer to the procedures outlined above for initial reporting of SAEs.

7.8 Exposure in utero

If a participant becomes pregnant during the treatment phase, the matrix will be removed (or sham removal) and the participant will continue study follow-up through Week 24. The participant will not continue to the safety extension phase. However, the investigator must continue to follow the participant until the completion of the pregnancy, including the outcome and the condition of the newborn at 8 weeks postpartum (if applicable).

If a participant becomes pregnant during the safety extension phase, the matrix will be removed (or sham removal) and the participant will continue study follow-up through Week 52. Additionally, the investigator must follow the participant for safety until the completion of the pregnancy, including the outcome and the condition of the newborn at 8 weeks postpartum (if applicable).

A pregnancy is not considered to be an AE or SAE; however, it must be reported within 24 hours of knowledge of the event. Sponsor or designee will then provide the Exposure In Utero (EIU) form to the investigator/site for completion and return.

The pregnancy should be followed until the outcome of the pregnancy, whenever possible. Once the outcome of the pregnancy is known, the EIU form should be completed and faxed/mailed to sponsor or designee. If the outcome of the pregnancy meets the criteria for immediate classification as an SAE (ie, postpartum complication, spontaneous abortion, stillbirth, neonatal death, or congenital anomaly), the investigator should follow the procedures for reporting an SAE.

7.9 Expedited reporting

Any serious and unexpected suspected adverse reactions (SUSARs) and unexpected adverse device effect (UADE) will be reported by the sponsor or designee to the appropriate regulatory authorities in accordance with applicable local guidelines.

The SUSARs meet all the following criteria:

- Suspected adverse reaction
- Serious
- Unexpected
- Assessed as related to study treatment

A UADE is any serious adverse effect on health or safety, any life-threatening problem or death caused by, or associated with a device, if that effect, problem, or death was not previously identified in nature, severity, or degree of incidence in the application; or any other unanticipated serious problem associated with a device that relates to the rights, safety, or welfare of participants.

7.10 Safety monitoring

Participants will be closely monitored throughout the trial with high priority for participant safety. The sponsor will establish a Safety/Medical Monitoring team early in the study. The team will be responsible for developing a Safety/Medical Monitoring Plan (MMP) which will define in detail the objectives, scope, and roles and responsibilities of each team member involved.

Participant safety monitoring encompasses, but is not limited to, providing advice to sites and the project team for protocol-related issues, providing input into decisions requiring medical advice and routine safety monitoring of the study, review of serious and nonserious AEs, various data listings, lab results, vital signs, and other clinical data. Reviews of blinded aggregate data as well as individual participant data may be undertaken to identify outliers and trends that may impact safety of the participant and consistency of data.

8. Protocol Deviations

The investigator agrees to conduct the study according to the clinical protocol, local regulatory authority regulations, GCP, and any conditions of approval imposed by their IRB/EC and agrees that all persons delegated to perform study procedures will do so as well.

A protocol deviation is a failure to comply with the requirements specified within this clinical study protocol. Examples of protocol deviations may include enrollment of a study participant who does not meet all the inclusion/exclusion criteria specified in the protocol, use of prohibited medications or therapies, visits performed outside of the protocol-specified visit window, and missed study assessments or visits. All protocol deviations should be documented and explained. Major/CSR reportable protocol violations are defined as those that could impact the performance evaluation such as enrollment of an ineligible participant, missing key data, administration of an unauthorized treatment. All participants with protocol deviations will continue to be followed for safety and performance assessments unless sponsor determines that the participant should be discontinued from the study.

An investigator is not permitted to deviate from the protocol unless there are concerns of participant's safety. Under emergency circumstances, deviations from the protocol to protect the rights, safety, and well-being of human participants may proceed, but such deviations must be documented and reported to the sponsor and the IRB/EC (as required) as soon as possible and **within 24 hours**.

If an investigator is found to be repeatedly noncompliant with the Clinical Trial Research Agreement (CTRA), study protocol, or any other conditions of the clinical study, the sponsor at its sole discretion, will either undertake remedial measures to secure compliance or terminate the investigator's participation in the study.

9. Statistical Considerations

9.1 Study hypothesis

For the primary efficacy endpoint, the null and alternative hypotheses to be tested are:

$$H_0: \mu_L = \mu_C$$

vs

$$H_1: \mu_L \neq \mu_C$$

where μ_L and μ_C are true mean CFBL in 3CS at Week 24 for participants receiving the LYR-210 (7500 μ g) treatment and the control (sham) treatment, respectively.

9.2 Sample size estimation

Per the null and alternative hypotheses described above, 2:1 randomization (LYR-210:control), in the participants without polyps,



0.95, we will be able to detect an effect size of 0.63, which represents a mean difference of 1.31.

This effect size is 18% smaller than the observed difference in the Phase 2 study (1.61 versus 1.31 3CS mean change scores). For the first key secondary endpoint (an analysis of the primary endpoint in all participants; n=60 control and n=120 LYR-210), the study will have 95% power to detect an effect size of 0.57, which represents a mean difference of 1.19.

9.3 Statistical analysis

This section provides a summary of the statistical methods. Detailed specifications of the statistical methods will be described in the SAP.

9.3.1 Analysis sets

- Safety analysis set: all randomized participants who successfully received the study treatment (LYR-210 or control) on Day 1. Participants will be analyzed according to the treatment received. This is the primary analysis set for assessment of safety.
- Intention-to-treat (ITT) analysis set: all randomized participants who successfully received the study treatment (LYR-210 or control) on Day 1. This is the primary analysis set for assessment of efficacy. Participants will be analyzed according to the treatment they were assigned to at randomization.
- Per-protocol (PP) analysis set: all randomized participants who successfully received the study treatment on Day 1, have post-Day 1 efficacy assessments, and are without any matrix dislodgement before Week 13 or important protocol deviations that might affect the accuracy and/or reliability of efficacy assessments.
- Pharmacokinetic (PK) analysis set: the approximately 30 randomized participants who successfully received the study treatment on Day 1 and have specific post-Day 1 PK assessments.

9.3.2 Analysis methods

Data collected in this study will be presented using listings, summary tables, and figures. Continuous data will be summarized by treatment group using the following descriptive statistics: the number of observations (n), mean, SD, median, minimum, and maximum. Categorical data will be summarized by treatment group as number and percentage of participants in each category. Individual participant's data will be presented in listings.

For cardinal symptoms (3CS and individual symptoms), the baseline score is the average of nonmissing daily scores over the 7-day period prior to and including Day 1. For other efficacy and safety assessments, the baseline value is the last nonmissing value prior to treatment administration.

Unless otherwise specified, all hypothesis tests will be conducted at a 2-sided significance level of 0.05 and all confidence intervals will be constructed 2-sided with a confidence level of 95%.

The primary analysis method for the primary endpoint will be a mixed models repeated measures analysis of covariance (MMRM) with treatment group, week and nasal polyp status (grade 1 polyp vs no polyp) as factors, with baseline measurement as covariate, an interaction of

treatment by week and an interaction of baseline measurement by week. The primary analysis will be conducted according to the primary estimand that is specified in Table 1. Sensitivity analysis will be conducted to assess the impact of the missing at random assumption in the MMRM. Additionally, supplemental analyses will be conducted to assess the robustness of the primary analysis according to the prespecified plan in the SAP. The LS mean change for each treatment group, the LS mean difference between treatment groups, as well as the corresponding 95% confidence intervals and p-values will be reported.

Changes from baseline to continuous endpoints will be analyzed using an MMRM or ANCOVA as appropriate which will be prespecified in the SAP.

Time-to-event endpoints will be analyzed using the Kaplan-Meier (K-M) method. The K-M curves will be compared between treatment groups using the log-rank test.

Responder endpoints (eg, improvement of ≥ 8.9 in SNOT-22 and improvement of ≥ 1 point, ≥ 2 points, ≥ 3 points in 3CS) will be analyzed using the CMH test controlling for geographic region and nasal polyp status. Participants who receive SCS for any reason and/or are indicated for sinonasal surgery will be considered nonresponders for time points after SCS use or surgery. Unless otherwise specified, participants with missing data will be included as nonresponders.

9.3.3 Methods for handling missing data

All efforts will be made to minimize missing data. Participants who discontinued treatment early are encouraged to continue completing ePRO assessments through Week 24. Data collected after treatment discontinuation will be included in the analysis. Details will be specified in the SAP.

9.3.4 Participant disposition and demographic characteristics

Participant disposition, including analysis set assignment and reason for discontinuation, will be summarized for all randomized participants. Demographics, other baseline characteristics, and study drug exposure will be summarized for the ITT set and safety analysis set if these two sets are different.

9.3.5 Safety analyses

Safety will be assessed through adverse events (AEs) and changes in laboratory tests, and nasal endoscopy assessment. AEs will be coded by using the Medical Dictionary for Regulatory Activities (MedDRA) dictionary.

The number and percentage of participants with incidence of the safety endpoints will be presented by treatment group, where participants will be classified according to the treatment received. There will be no formal statistical tests comparing treatments on safety endpoints and there will be no imputation of missing data.

9.3.6 Pharmacokinetic analyses

PK analyses will be performed based on data from approximately 30 participants who have available plasma MF concentration data post Day 1 procedure. Participants for PK analysis will be randomly assigned from a selected number of participating US sites. A summary table will be

used to present descriptive statistics (number, mean, SD, coefficient of variation, median, minimum, and maximum) of these PK parameters for the LYR-210 treatment group.

9.3.7 Efficacy analyses

Hypothesis testing for all efficacy endpoints will be conducted on the ITT population. The study level type-1 error for the family of primary and key secondary efficacy hypotheses will be controlled at alpha of 0.05. Unless otherwise noted, all analyses of efficacy outcome measures will be adjusted for baseline score, geographic region, and nasal polyp status. Adjustment by additional covariates, if any, will be described in the SAP.

All efficacy analyses will be carried out after the last participant completes the blinded Week 24 visit or withdraws prematurely before Week 24, and all data from the 24-week study period have been entered into the study database, cleaned, verified, and locked.

Efficacy data collected during the safety extension phase will be summarized descriptively.

9.3.8 Interim Analysis

There is no interim analysis planned for the study.

The treatment phase analysis results will be from the first phase of the 24-weeks treatment period. This analysis will be conducted once as a final analysis therefore adjustment of type 1 error will not be necessary. There will be no additional statistical comparisons between LYR-210 vs sham beyond week 24 timepoint. The efficacy data that are collected beyond week 24 will be descriptively summarized without a formal statistical comparison.

10. Administrative Information

10.1 Statements of compliance

This clinical investigation shall be conducted in accordance with the ethical principles that have their origin in the Declaration of Helsinki, the International Council for Harmonisation (ICH) GCP, United States Food and Drug Administration (US FDA) Guidelines, and all applicable regulatory requirements. The sponsor will not commence the clinical study until all required approval is obtained from the relevant IRB/ECs and, if applicable, regulatory authorities. All required study documentation will be archived as required by regulatory authorities.

Prior to initiating the trial, the investigator will provide the sponsor or designee all required documents according to ICH GCP guidelines.

10.2 Protocol amendments

The clinical protocol, eCRFs, ICF and other participant information, or other clinical investigation documents may be amended as needed throughout the clinical investigation, and a justification statement shall be included with each amended section of a document. The amendments to the protocol and the participants' ICF (if required) will be provided to and

approved by, the local regulatory authorities and IRB/ECs, as required. For nonsubstantial changes (eg, minor logistical or administrative changes, change of monitor[s], telephone numbers, renewal of insurance) not affecting the rights, safety, and well-being of human participants, or not related to the clinical investigation objectives or endpoints, a simple notification to the IRB/EC and, where appropriate, local regulatory authority can be sufficient. The version number and date of amendments will be documented.

10.3 Data quality assurance

The investigator/designee(s) will maintain accurate source documentation as part of participant case histories. Electronic data capture (EDC) will be utilized for collecting participant data in the clinical database. Each site is required to have a computer and internet connection available for entry of clinical data in to the eCRF. Only authorized users will get access to the eCRF as appropriate to their study responsibilities. Site users must have successfully undergone EDC training prior to entering data into the eCRF. The sponsor and delegated clinical research organization (CRO) personnel will ensure that an appropriate eCRF is developed to capture the data accurately. The database will allow users to enter data and manage modifications documented by an audit trail. Appropriate queries will be raised to resolve any missing or inconsistent data within the clinical database. Data management will ensure that all data has been entered, cleaned, closed, and signed by the investigator prior to database lock.

Data management procedures will be completed in accordance with the CRO's SOPs. The details will be provided in the Data Management Plan for the study.

The sponsor will also ensure that AE data collected in the eCRF are consistent with information provided to the service provider's pharmacovigilance department. The coding of an AE, medical history, and concomitant medication terms will be performed by the service provider.

Concomitant medications will be coded using the World Health Organization (WHO) Drug Dictionary and AEs/medical history terms will be coded using MedDRA.

10.4 Investigational site selection

The study will be conducted at up to 60 investigational sites worldwide. The primary regions of investigation are the United States and Europe. Sites will be evaluated to ensure they have the capacity and capability to obtain informed consent and comply with all protocol requirements. The investigators and investigational site personnel are required to comply with the principles of GCP and all local and national regulations.

10.5 Training

The sponsor will select investigators with appropriate training and experience to participate in this clinical study. Sites will be selected based upon the qualifications of the principal investigator at the site as well as other parameters including proven ability and infrastructure to perform clinical studies. The complete list of all site investigators and the relevant clinical sites will be maintained within the sponsor's TMF.

In addition to specific training relating to use of the investigational product, the training of the investigator and appropriate clinical site personnel will be the responsibility of the sponsor (or designee) and may be conducted during an investigator meeting, a site initiation visit, or other appropriate venue. Training will include, but not be limited to, the clinical protocol, IB, CRF completion, GCP, and clinical study personnel responsibilities. All training will be documented prior to engaging in study-related activities.

10.6 Informed consent process

The investigators have both an ethical and legal responsibility to ensure that each participant being considered for inclusion in this study is given a full explanation of the clinical protocol.

All foreseeable risks and potential benefits which might occur with the use of the investigational product will be discussed with the participant. The participant will be informed that, should an unexpected adverse product-related or study procedure-related AE occur, which presents an unreasonable risk to participating participants, he/she will be notified.

The participant will be informed that the information obtained during the study will be used to evaluate the safety and performance of the investigational product. The participant will be informed that his/her medical records are available for review by representatives of the sponsor or designee, the IRB/EC, and the appropriate regulatory authority, as necessary. However, his/her confidentiality will be maintained at all times and personal information will not publicly available. As part of the informed consent process, the investigator will obtain participants' permission for the sponsor personnel or designees, IRB/EC, and regulatory authority to review, in confidence, any pertinent records relating to the participants in this clinical investigation. The participant will be told that he/she is free to refuse study participation or to withdraw from the study at any time without compromising future medical care.

A sample participant ICF template with standard wording suggested for this study will be provided to each investigator. A copy of the ICF from each site must be forwarded to the sponsor for review and approval to assure compliance with the ICH requirements prior to submitting it to the IRB/EC.

The IRB/EC-approved written consent form is to be reviewed with the participant by the investigator or designee and will be signed by each participant prior to enrolling in the study. The investigator or designee is responsible for maintaining each participant's ICF in the study file and providing each participant with a copy of their signed ICF.

If new information becomes available that can significantly affect a participant's future health and medical care, that information shall be provided to the affected participant in written form. If relevant, all affected participants will be asked to confirm their continuing informed consent in writing.

10.7 Confidentiality

The investigator has a responsibility to ensure that participant anonymity is protected and maintained. He or she must also ensure that the participants' identities are protected from any

unauthorized parties. All study data will be stored and archived in line with national and local laws and regulations on the protection of personal data.

Participants will be informed that the sponsor or designee will have access to their medical records. Data relating to the study may be made available to third parties (eg, in the case of an audit performed by a regulatory authority) provided the data are treated confidentially and that the participant's privacy is guaranteed. Participation in the study will be treated as confidential and participants will not be referred to by name in any report of the study. Participant confidentiality will be maintained throughout the clinical study in a manner that ensures the information can always be tracked back to the source data. For this purpose, a unique participant identification code will be used that allows identification of all data reported for each participant. The identity of the participants will not be disclosed in any study records and participants' data will be described using the unique participant identifier. Participant data will be processed electronically to determine the outcome of this study and to provide to health authorities. Participants will be advised that all data may be transferred to other countries.

10.8 Institutional Review Board/Ethics Committee

The clinical protocol and ICF must have the approval of a properly constituted IRB/EC responsible for approving clinical studies prior to commencing the study at that site. Any additional approval requirement(s) of the IRB/EC will be followed. Any advertisements used to recruit participants or any participant-facing documents will also be reviewed and approved by the IRB/EC before use.

No investigative procedures other than those defined in this clinical protocol will be undertaken on the enrolled participants without the written agreement of the IEB/EC and sponsor. Each site principal investigator will advise their IRB/EC of the progress of this clinical investigation on a regular basis, according to IRB/EC reporting requirements. Approvals for the continuation of the study at each investigational site must be kept current and notifications forwarded to the sponsor.

The sponsor or its designee will submit reports as required by IRB/ECs and local and national regulations. These reports may include SAEs, withdrawal of IRB/EC or regulatory authority's approval, annual progress reports, recall information, and final reports.

10.9 Investigator responsibilities

The investigator for each investigational site is responsible for ensuring the study is conducted according to:

- All signed agreements
- The study protocol
- IRB/EC guidelines
- Applicable local and federal regulations

The investigator for each site may not begin enrollment until the sponsor has provided written approval to do so. The sponsor will not provide approval until it has received and approved

(when necessary) all required documents, including the IRB/EC approvals of the investigational plan and ICF.

It is acceptable for the investigator to delegate one or more of the above functions to a subinvestigator or trained study coordinator; however, the investigator remains responsible for the proper conduct of the clinical investigation, including obtaining informed consent, collecting all required data, and submitting accurate and complete eCRFs.

The study is not transferable to other sites/facilities attended by the investigator unless preapproval is obtained from the applicable IRB/EC and the sponsor.

10.10 Sponsor responsibilities

The sponsor's responsibilities for this study are to:

- Select all clinical investigators, investigational sites, and other consultants, including study monitors, who participate in the study
- Provide sufficient training to participating investigational sites to support study activities according to the agreements executed with the sites
- Provide financial support to each site according to the agreements executed with each site
- Follow/promote all regulatory standards according to local/federal regulations for the investigational sites, core laboratories, and other participants, and ensure regular investigational site monitoring to assure compliance with the regulations
- Retain ownership of all clinical data generated in this study and control the use of the data for appropriate purposes only
- Review and approve publication of study results in the literature
- Ensure timely and appropriate study registration and results posting in a public clinical trial database (eg, www.clinicaltrials.gov), if applicable

10.11 Early study termination

The sponsor reserves the right to discontinue the clinical study at any stage, with suitable written notice to the investigator. The investigator may also discontinue participation in the clinical study with suitable written notice to the sponsor.

Specific instances that may precipitate site termination include, but are not limited to:

- The discovery of an unexpected, serious, or unacceptable risk to the study participants
- A decision by the sponsor to suspend or discontinue testing, evaluation, or development of the investigational product
- Failure of the investigator to enroll participants into the study at an acceptable rate
- Failure of the investigator to comply with pertinent regulations of IRB/EC or appropriate regulatory authority
- Failure of the investigator to comply with the protocol
- Submission of knowingly false information from the research facility to the sponsor, the study monitor, IRB/EC, or regulatory authority

- Insufficient adherence to protocol requirements consistent with the US Code of Federal Regulations (CFR) 21 CFR 312, European Medical Device Regulation (EU MDR) 2017/745, European Directive 2001/83/EC, or other relevant national regulations, as appropriate.

Study termination and follow-up will be performed in compliance with the conditions set forth in ICH E6(R2) on GCP as well as 21 CFR 312.56b, the EU MDR 2017/745, 2001/83/EC, and other relevant national regulations, as appropriate, which require a sponsor to ensure an investigator's compliance with these requirements and to promptly secure a plan for compliance or discontinue shipments of the study drug to the investigator and end the investigator's participation in the study

If the study is discontinued for any reason, the sponsor will provide guidelines to the institutions on how to safely exit participants and appropriately close the study. Additionally, if the study is suspended, the sponsor will promptly work with the investigators/institutions to inform the local regulatory authorities of the termination or suspension and the reason(s) for the termination or suspension. The investigator should also notify the IRB/EC promptly and provide the reason(s) for the termination or suspension by the sponsor or by the investigator/institution. An appropriate schedule for termination will be instituted.

11. Reports and Records

11.1 Records

Each investigator will maintain all records pertaining to this clinical study as required by local regulations, the relevant IRB/EC, and the institution. The investigator will maintain all study-related documentation, including all correspondence, records of financial interest, individual participant records, ICFs, all investigational product accountability records, the protocol with any/all amendments, all correspondence with and approval from all regulatory agencies, the budget agreement, the investigator agreement, and copies of CRFs.

Essential documents should be retained until at least 2 years after the last approval of a marketing application in an ICH region and until there are no pending or contemplated marketing applications in an ICH region or at least 2 years have elapsed since the formal discontinuation of clinical development of the investigational product. These documents should be retained for a longer period if required by the applicable regulatory requirements or by an agreement with the sponsor. It is the responsibility of the sponsor to inform the investigator/institution as to when these documents no longer need to be retained. No study document will be destroyed without prior written agreement between the sponsor and the investigator. In addition, in accordance with the CTRA, the sponsor should be contacted if the investigator plans to leave the investigational site so that appropriate arrangements can be made for the transfer of the records to the appropriate designee at the study site.

11.2 Reporting requirements

Investigators are responsible for the following reporting requirements to the applicable IRB/EC and the sponsor:

- Reporting failure to obtain informed consent before study procedures
- Progress reports
- Protocol deviations due to emergency or participant safety

In addition to this list, each individual IRB/EC may add additional reporting requirements. The principal investigator at each investigational site is responsible for ensuring any additional local IRB/EC reporting requirements are met, if applicable.

Investigators are also responsible for the following reporting requirements to the sponsor:

- Withdrawal of IRB/EC approval
- Participant withdrawal

The sponsor will be responsible for reporting any investigational product recalls to the IRB/EC within 30 days of the request. Additionally, the sponsor will develop a final study report upon study completion or termination. The sponsor will also be responsible for registration and posting results on www.clinicaltrials.gov.

12. Publications

All unpublished documentation (such as the clinical protocol, CRFs, IFU, and IB) given to the investigator is strictly confidential. All recipients must agree not to disclose the information herein contained to any person without the prior written authorization of the sponsor. The submission of these documents to the IRB/EC is expressly permitted. The investigator agrees that the sponsor maintains the right to use the results of this study in their original form and/or in a global report for submission to governmental and regulatory authority of any country.

The data and results from the clinical study are the sole property of the sponsor. The sponsor shall have the right to access and use all data and results generated during the clinical study. An investigator may only publish data generated by this clinical study in accordance with the terms of the CTRA.

Results of the study will be posted by the sponsor on a publicly available clinical study registration website(s) (eg, www.clinicaltrials.gov) in accordance with the applicable regulations.

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14. Appendices

- 14.1 Schedule of Assessments**
- 14.2 Nasal Polyp Grading Scale**
- 14.3 Contraception and Pregnancy Information**

14.1 Schedule of Assessments

Table 4. Schedule of Assessments for Treatment Phase

Evaluation	Screening & Run-In	Procedure Day	Treatment Phase					
Visits #	1	2	T ^a	3	T ^a	4	5	6
Study Day/Week	DAY -28 (Day -28 to Day 0)	DAY 1	WK 4 (Day 29±3)	WK 8 (Day 57±3)	WK 12 (Day 85±3)	WK 16 (Day 113±3)	WK 20/ET ^b (Day 141±3)	WK 24/EOT ^b (25-31 days after Wk20/ET visit)
Informed consent	X ^c							
Demographics	X							
Medical history	X							
Vital signs	X							
Limited physical exam	X ^d							
Pregnancy test	X ^{e,f}	X ^{e,f,g}	X ^{e,f}	X ^{e,f}	X ^{e,f}	X ^{e,f}	X ^{e,f}	X ^{e,f}
COVID-19 test	X ^h	X ^{g,h}		X ^h		X ^h	X ^h	X ^h
Hematology/chemistry tests	X ^f							X ^f
Plasma PK ⁱ		X ^g		X		X	X	X
Sinus CT	X ^j						X ^k	
Nasal endoscopy	X	X ^l		X ^l		X ^l	X ^l	X ^l
Ocular exam (VA, IOP, and slit lamp)	X			X ^{m,s}		X ^{m,s}		X ^s
Eligibility assessment	X	X ^g						
Daily saline irrigation			X ⁿ					
Randomization		X ^k						
Baseline LYR-210/sham procedure		X						
LYR-210/sham removal procedure							X	
4 cardinal symptom ePRO			X ^o					
SNOT-22	X ^p	X ^{u,p}	X ^p	X ^p	X ^p	X ^p	X ^{u,p}	X ^p
WPAI-SHP v2.0		X ^u	X		X			X
SF-36v2		X ^u						X
EQ-5D-5L		X ^u						X
PGIS		X ^{u,q}		X ^q		X ^q		X ^q
PGIC								X
MOS Sleep-R		X ^u						X

Evaluation	Screening & Run-In	Procedure Day	Treatment Phase					
Visits #	1	2	T ^a	3	T ^a	4	5	6
Study Day/Week	DAY -28 (Day -28 to Day 0)	DAY 1	WK 4 (Day 29±3)	WK 8 (Day 57±3)	WK 12 (Day 85±3)	WK 16 (Day 113±3)	WK 20/ET ^b (Day 141±3)	WK 24/EOTP ^b (25-31 days after Wk20/ET visit)
Concomitant medications/procedures	X							
Adverse events	X ^c							

Abbreviations: CS = cardinal symptoms; ePRO = electronic patient-reported outcomes; EQ-5D-5L = EuroQoL 5-dimension, 5-level; ET = early treatment termination; EOS = end-of-study; EOTP = end of treatment phase; MM = middle meatus; MOS Sleep-R = Medical Outcomes Study Sleep Scale; PGIC = Patient Global Impression of Change; PGIS = Patient Global Impression of Severity; SF-36v2 = short form health survey version 2; SNOT-22 = 22-item Sino-Nasal Outcome Test; WK=week; VA, visual acuity; WPAI-SHP = Work Productivity and Activity Impairment (Specific Health Problem).

- a: Telephone follow-up to collect any potential AEs and use of concomitant medications/procedures
- b: All participants are required to complete assessments at Week 20/ET and Week 24 visits.
- c: Informed consent to be obtained before any study-related assessments occur.
- d: An exam of head, neck, and ear, nose, and throat (ENT) physical appearance.
- e: Complete a serum pregnancy test at initial Screening visit and a urine pregnancy test at all subsequent visits during the treatment stage for female participants of childbearing potential at treating clinic, a certified medical laboratory, or at home per local ethics/regulatory requirement.
- f: Investigators must document their review of each laboratory report.
- g: Occurs before the LYR-210 or sham administration and removal procedures.
- h: A Nucleic Acid Amplification Test, or NAAT, or a rapid antigen test can be performed, per local requirements within 24-48 hours of Screening and Day 1. Testing for COVID-19 must be completed and the result available prior to each visit and prior to the LYR-210 or sham administration. At other visits, a rapid antigen test may be performed at the site on the day of the visit prior to visit procedures.
- i: In 30 participants only.
- j: Screening CT can be performed up to 1 month prior to screening. Screening CT, endoscopy and ocular exam do not need to be repeated if a patient is rescreened.
- k: Follow-up CT to be performed within 7-14 days post matrix removal or bilateral dislodgement. If CT cannot be scheduled within this timeline it should be completed any time prior to week 24 re-randomization.
- l: Participants will wear a blindfold and headphone during any endoscopy procedure or endoscopy imaging. All nasal endoscopies must be recorded.
- m: IOP only.
- n: Participants will start daily saline irrigation during washout, but will stop approximately 24 hours prior to CT.
- o: Daily (between 06:00:00AM and 11:59:59AM) 4CS to be recorded by the participant on ePRO questionnaire beginning at least 14 days prior to Day 1 visit and continuing throughout the study.
- p: SNOT-22 to be recorded by the participant based on a recall period of 2 weeks (14 days) prior to visit.
- q: It is recommended that the PGIS be completed after the 4CS questionnaire. The PGIS should be completed before the PGIC.
- r: All AEs shall be reported after participant signs informed consent.
- s: If scheduling of ocular examination cannot be accommodated within the study visit window, the ocular examination should be performed within ±2 weeks of the study visit.

Table 5. Schedule of Assessments for Safety Extension Phase

Evaluation	Procedure Day	Safety Extension Phase						
Visits #	6 ^a	T ^b	7	T ^b	8	9 ^c	10 ^c	11
Overall Study Week / Safety Extension Day	DAY 1	WK 28 (Day 29±3)	WK 32 (Day 57±3)	WK 36 (Day 85±3)	WK 40 (Day 113±3)	WK 44/ET (Day 141±3)	WK 48 (25-31 days after Wk44/EOSEP visit)	Week 52/EOS (25-31 days after WK48 visit)
Treatment assignment (re-randomization for treatment group only; control group assigned to crossover LYR-210 treatment)	X ^d							
Pregnancy test		X ^e	X ^e	X ^e	X ^e	X ^e	X ^e	
COVID-19 test			X ^f		X ^f	X ^f	X ^f	X ^g
Hematology/chemistry tests							X ^h	
Nasal endoscopy			X ⁱ		X ⁱ	X ⁱ	X ⁱ	
Ocular exam: IOP and slit lamp			X ^{j,n}		X ^{j,n}		X ⁿ	
LYR-210/sham procedure	X							
LYR-210/sham removal procedure						X		
4 cardinal symptom (CS) ePRO					X ^k			
SNOT-22		X ^l	X ^l	X ^l	X ^l	X ^l	X ^l	X ^l
WPAI-SHP v2.0		X		X			X	
SF-36v2							X	
EQ-5D-5L							X	
MOS Sleep-R							X	
Concomitant medications/procedures					X			
Adverse events					X ^m			

Abbreviations: CS = cardinal symptoms; ePRO = electronic patient-reported outcomes; EQ-5D-5L = EuroQoL 5-dimension, 5-level; ET = early treatment termination; EOS = end-of-study; EOSEP = end of safety extension phase; MM = middle meatus; MOS Sleep-R = Medical Outcomes Study Sleep Scale;; SF-36v2 = short form health survey version 2; SNOT-22 = 22-item Sino-Nasal Outcome Test; WK=week; WPAI-SHP = Work Productivity and Activity Impairment (Specific Health Problem).

- a: This visit is the same as the Week 24 (end of treatment phase visit). Assessments and PROs completed at Week 24 in treatment phase will be used as baseline assessments for safety extension phase.
- b: Phone/virtual follow-up to collect any potential AEs and use of concomitant medications/procedures.
- c: All participants are required to complete assessments at Week 44/ET, Week 48, and Week 52/EOS visits.
- d: Treatment assignment and LYR-210/sham procedures for the safety extension will occur after all assessments required for the Week 24/ET visit have been completed.
- e: Complete a urine pregnancy test at all visits for female participants of childbearing potential at treating clinic, a certified medical laboratory, or at home per local ethics/regulatory requirement.
- f: A rapid antigen test may be performed at the site on the day of the visit before visit procedures.
- g: Only needed if the Week 52/EOS visit is conducted as an office visit.
- h: Investigators must document their review of each laboratory report.
- i: All nasal endoscopies must be recorded.
- j: IOP only.
- k: Daily (between 06:00:00AM and 11:59:59AM) 4CS to be recorded by the participant on ePRO questionnaire.
- l: SNOT-22 to be recorded by the participant based on the recall period of 2 weeks (14 days) prior to visit.
- m: All AEs occurring after the safety extension administration procedure will be assigned to the safety extension arm
- n: If scheduling of ocular examination cannot be accommodated within the study visit window, the ocular examination should be performed within ± 2 weeks of the study visit.

14.2 Nasal Polyp Grading Scale

Grade 0	No visible polyps
Grade 1	Polyps in the middle meatus, not reaching below the inferior border of the middle turbinate
Grade 2	Polyps in the middle meatus, reaching below the inferior border of the middle turbinate but not the inferior border of the inferior turbinate
Grade 3	Polyps in the middle meatus, reaching to or below the border of the inferior turbinate
Grade 4	Polyps in the middle meatus, completely obstructing the nasal cavity

14.3 Contraception and Pregnancy Information

14.3.1 USA Guidelines

Females of childbearing potential must test negative for pregnancy at the time of Screening visit based on a serum pregnancy test, which is reverified prior to randomization at Day 1 visit based on a urine pregnancy test. Females who can confirm that they are surgically sterile or have been postmenopausal for at least 1 year prior to signing the ICF do not need to undergo a pregnancy test. Successfully enrolled female participants of childbearing potential will undergo urine pregnancy tests every 4 weeks during the treatment and safety extension phase.

Female participants who are of childbearing potential are required to practice a highly effective form of birth control throughout the treatment and safety extension phases (including the 4 weeks after the removal procedure). Male participants of reproductive potential who are having intercourse with female partners of childbearing potential must agree to use 2 forms of contraception, 1 of which must be a barrier method, throughout the treatment and safety extension phases (including the 4 weeks after the removal procedure). Acceptable barrier methods include a condom and diaphragm.

A woman is considered of childbearing potential (ie, fertile) following menarche and until becoming postmenopausal, unless permanently sterile. Permanent sterilization methods include hysterectomy, bilateral salpingectomy, and bilateral oophorectomy.

A postmenopausal state is defined as no menses for 12 months without an alternative medical cause. A high follicle stimulating hormone (FSH) level in the postmenopausal range may be used to confirm a postmenopausal state in women not using hormonal contraception or hormonal replacement therapy. However, in the absence of 12 months of amenorrhea, a single FSH measurement is insufficient.

For women of childbearing potential, the following methods of contraception, when used consistently and correctly, are considered reliable for participation in the study:

- Combined (estrogen and progestogen containing) hormonal contraception associated with inhibition of ovulation: oral, intravaginal, or transdermal
- Progestogen-only hormonal contraception associated with inhibition of ovulation: oral, injectable or implantable
- Intrauterine device (IUD)
- Intrauterine hormone-releasing system (IUS)
- Bilateral tubal occlusion
- Vasectomized partner (provided that partner is the sole sexual partner, and that vasectomized partner has received medical assessment of the surgical success)
- Sexual abstinence (refraining from heterosexual intercourse during the entire study period; the reliability of sexual abstinence needs to be evaluated in relation to the duration of the clinical study and the preferred and usual lifestyle of the participant).

If a female participant who has successfully received bilateral placement of the LYR-210 becomes pregnant during the treatment stage, the investigator must notify the sponsor (using the Exposure in Utero [EIU] form) within 24 hours of becoming aware of the event. The investigator must remove the matrix and continue to follow the participant until the completion of the pregnancy, including the outcome and the condition of the newborn at 8 weeks postpartum (if applicable). If information on the EIU form is not available at the time of the initial report, follow-up reports should be provided to the sponsor in a timely manner. Additional subsequent follow-up (after 8 weeks) is not needed when a newborn baby is healthy.

14.3.2 European Union Guidelines

Females of childbearing potential must test negative for pregnancy at the time of Screening visit based on a serum pregnancy test, which is reverified prior to randomization at Day 1 visit based on a urine pregnancy test. Females who can confirm that they are surgically sterile or have been postmenopausal for at least 1 year prior to signing the ICF do not need to undergo a pregnancy test. Successfully enrolled female participants of childbearing potential will undergo urine pregnancy tests every 4 weeks during the treatment phase and safety extension phase.

Female participants who are of childbearing potential are required to practice a highly effective form of birth control throughout the treatment phase and safety extension phase (including the 4 weeks after the removal procedure). According to recommendations of the clinical trials facilitation and coordination group (CTFG), male participants with reproductive potential are required to use condom during treatment and until the end of relevant systemic exposure.

A woman is considered of childbearing potential (ie, fertile) following menarche and until becoming postmenopausal, unless permanently sterile. Permanent sterilization methods include hysterectomy, bilateral salpingectomy, and bilateral oophorectomy.

A postmenopausal state is defined as no menses for 12 months without an alternative medical cause. A high follicle stimulating hormone (FSH) level in the postmenopausal range may be used to confirm a postmenopausal state in women not using hormonal contraception or hormonal replacement therapy. However, in the absence of 12 months of amenorrhea, a single FSH measurement is insufficient.

For women of childbearing potential, the following methods of contraception, when used consistently and correctly, are considered reliable for participation in the study:

- Combined (estrogen and progestogen containing) hormonal contraception associated with inhibition of ovulation: oral, intravaginal, or transdermal
- Progestogen-only hormonal contraception associated with inhibition of ovulation: oral, injectable or implantable
- Intrauterine device (IUD)
- Intrauterine hormone-releasing system (IUS)
- Bilateral tubal occlusion

- Vasectomized partner (provided that partner is the sole sexual partner, and that vasectomized partner has received medical assessment of the surgical success)
- Sexual abstinence (refraining from heterosexual intercourse during the entire study period; the reliability of sexual abstinence needs to be evaluated in relation to the duration of the clinical study and the preferred and usual lifestyle of the participant).

If a female participant who has successfully received bilateral placement of the LYR-210 becomes pregnant during the treatment stage, the investigator must notify the sponsor (using the Exposure in Utero [EIU] form) within 24 hours of becoming aware of the event. The investigator must remove the matrix and continue to follow the participant until the completion of the pregnancy, including the outcome and the condition of the newborn at 8 weeks postpartum (if applicable). If information on the EIU form is not available at the time of the initial report, follow-up reports should be provided to the sponsor in a timely manner. Additional subsequent follow-up (after 8 weeks) is not needed when a newborn baby is healthy.

Appendices 14.4 - 14.14 have been redacted.