

# **Bevacizumab Treatment For Posterior Zone I ROP**

**NCT04634578**

**Statistical Analysis Plan  
October 5, 2021**

# **RETINOPATHY OF PREMATURITY 4 (ROP4)**

## A RANDOMIZED TRIAL OF BEVACIZUMAB TREATMENT FOR POSTERIOR ZONE I RETINOPATHY OF PREMATURITY

## Statistical Analysis Plan (version 1.0)

Based on protocol version 1.1

## **Revision History**

VERSION NUMBER	AUTHOR	APPROVER	EFFECTIVE DATE	REVISION DESCRIPTION (INCLUDING SECTIONS REVISED)	
SAP	Protocol				
1.0	1.1	R. Henderson	Z. Li	05OCT21	Initial version

Digitally signed by  
Robert Henderson  
DN: cn=Robert  
Henderson  
ou=South Wing  
Location:  
Date: 2021-10-05  
17:56:04-04:00

Zhuokai Li  
I am digitally signing this document  
2021-10-05 17:56:04-04:00

15 **1.0 Study Overview**

16 Premature infants (birth weight < 1251 grams) will be randomized 1:1 into the multi-center protocol to  
 17 evaluate whether Bevacizumab (subsequently referred to as BV) doses of 0.063 mg and 0.25mg are an  
 18 effective treatment for type 1 ROP, with ROP and retinal vessels all in zone I. Treatment success will be  
 19 determined at the 4-week visit. One or two eyes per participant will be eligible. Randomization will be  
 20 stratified by gestational age ( $\leq$  24 weeks vs  $>$  24 weeks).

21 Schedule of Study Visits and Procedures

22

	Enroll	1d	4d	1w*	2w*	3w*	4w*	2m*	4m*	6m†	12m†
Informed Consent	X										
Medical/Ocular History	X <sup>a</sup>	X	X	X	X	X	X	X	X	X	X
Classify ROP	X	X	X	X	X	X	X	X	X		
Ocular Exam										X	X
Retinal Imaging	X <sup>b</sup>								X <sup>b</sup>		
Extent of Retinal Vascularization								X	X		
Cycloplegic Refraction											
Plasma VEGF	X <sup>c</sup>				X <sup>c</sup>		X <sup>c</sup>		X <sup>c</sup>		
Ocular Alignment										X	X
Visual Fix & Follow										X	X
Data on Additional Treatments				X	X	X	X	X	X	X	X
Adverse Events		X <sup>d</sup>	X <sup>e</sup>	X <sup>e</sup>	X <sup>e</sup>	X <sup>e</sup>					
Systemic Outcomes										X	X

23

24 \*Exams through 4 months are timed based on initial treatment (and re-treatment when indicated).

25 †Exams 6 months and beyond are based on adjusted age.

26

27 <sup>a</sup> Medical and ocular history to include concomitant medications and pre-existing medical conditions at  
 28 time of enrollment

29 <sup>b</sup> Retinal imaging done at enrollment and prior to any additional treatment. Imaging is also done at 4-  
 30 months if no additional treatment has been received. OCT and FA are optional.

31 <sup>c</sup> Plasma VEGF testing is optional.

32 <sup>d</sup> All adverse events recorded at each visit until 4 weeks post-treatment

33 <sup>e</sup> Only serious adverse events and ocular adverse events recorded beyond 4 weeks post-treatment

34

35 **1.1 Primary Outcome Definition – Treatment Success At 6 Months Adjusted Age**

36 The primary outcome is ***Treatment success***, determined at 4 weeks, post injection, and meeting the  
 37 following three criteria:

38 

- 39     • Improvement by the 4-day exam (3 to 5 days)
- 40     • No recurrence of type 1 ROP within 4 weeks of injection
- 41     • No severe neovascularization requiring additional treatment within 4 weeks of injection.

42 With a short time to primary outcome, it is expected that lost to follow-up will be minimal and non-  
 43 informative. However, there may be a few participants who die or are lost to follow up before the 4-week  
 44 visit. To use the information of these participants as much as possible, a time to event analysis will be  
 45 performed. Censoring will occur at the time of death or lost to follow up before the 4-week outcome. If an  
 46 eye fails to meet any of the above criteria at one of the visits before the censoring event, that eye will be  
 47 counted as a failure at that time. The protocol visit date that reports the failure or censoring event will be

48 used as the timepoint for the analysis. Direct adjustment methods will be used to estimate the success  
49 probability, to be consistent with the hypothesis below.

50  
51 It is possible for fellow eyes to be enrolled with type 1 ROP in zone 2. It is estimated that ~10% of  
52 participants will have or develop zone II ROP in the fellow eye. These eyes will be analyzed separately to  
53 estimate success in each treatment group.

54

### 55 **1.1.1 Off-protocol treatments and success/Failure**

56 The ROP4 protocol is designed to release eyes to treatment at investigator discretion as quickly as  
57 possible if there are signs of non-improvement or worsening. However, if an investigator decides to  
58 provide a second treatment after 0.063 or 0.25 BV, the patient will be considered a failure at that date.  
59 The reason to consider this a non-response is that any eye treated would probably at least be approaching  
60 failure criteria.

61

62 **1.2 Primary Statistical Hypotheses**

63 The objectives are to estimate the treatment success probability in eyes treated with 0.063 mg and 0.25  
64 mg BV and to evaluate whether the success probability differs between the two dose groups. The null  
65 hypothesis is there is no difference in the success probability for BV doses of 0.063 mg and 0.25mg.

66

### 67 **1.3 Description/ Calculation of Secondary Outcomes**

68 Many of the secondary outcomes are safety outcomes.

69 Categorical secondary outcomes are described in two ways:

70

- 71     • Participant level:
  - 72         ○ The outcome is either naturally counted on a participant basis
  - 73         ○ Or, if an ocular outcome, if the event happens in either eye then that participant is  
74             counted
- 75     • Eye level

76 Analyses of the outcomes are described in Chapter 2.

77

#### 77 **1.3.1 Number of re-treatments**

78 The number of re-treatments will be collected throughout the study on a per participant and per eye basis.

79

#### 80 **1.3.2 Ocular outcomes from ocular exam**

81 At the ocular exams, the following data will be collected.

82

- 83     ○ The number and proportion of children and eyes with each of the following will be  
84         tabulated if known for study eyes:

85

- 86     ○     Most severe abnormality in the eye based upon clinical exam:
  - 87         • Essentially normal
  - 88         • Abnormal angle of temporal vessels (suggestive of traction)
  - 89         • Macular ectopia
  - 90         • Stage 4A retinal detachment (sparing fovea)
  - 91         • Stage 4B retinal detachment (involving fovea)
  - 92         • View of macula blocked

- 92                   • Total retinal detachment
- 93                   • All view of posterior pole and near periphery is blocked due to
- 94                    anterior segment opacity
- 95                   • Enucleation due to ROP
- 96                   • Enucleation due to other causes
- 97                   • Unable to determine (e.g. view impossible due to corneal opacity
- 98                    unrelated to ROP, or to miotic pupil)
- 99                   ○ Normal or abnormal cornea
- 100                  ○ Normal or abnormal anterior segment
- 101                  ○ Normal or abnormal lens
- 102                  ○ Optic nerve atrophy absent, questionable, present, or view obscured
  - 103                   • A binary indicator will be evaluated as present vs (absent or
  - 104                    questionable), not including view obscured

105                  These ocular outcomes will be tabulated at every visit. After the 2-month visit, a category for “ever”  
106                  having each outcome will be added.

107                  Additional ocular adverse events may be documented on the Adverse Events Form throughout the trial.  
108                  These will be tabulated separately. Generally, complications of treatment would be adverse events, and  
109                  outcomes are from the disease process (ROP).

### 112           **1.3.3 Extent of retinal vascularization**

113                  The extent of retinal vascularization will be classified as:

- 114                  • Posterior Zone I
- 115                  • Anterior Zone I
- 116                  • Posterior Zone II
- 117                  • Mid Zone II
- 118                  • Anterior Zone II
- 119                  • Zone III
- 120                  • Full Vascularization

121                  We will calculate a binary variable for eyes that achieve either Zone III or full vascularization (vs less).

### 123           **1.3.4 Time from enrollment to hospital discharge**

124                  Initial date of hospital discharge will be collected. Participants who are not discharged will be censored at  
125                  last available follow-up visit.

### 127           **1.3.5 Number of times re-hospitalized.**

128                  The number of re-hospitalizations will be collected. If hospitalizations are not collected for some  
129                  participants, they will have their own category for missing data. Missing data may not be the same as zero  
130                  reported hospitalizations.

### 132           **1.3.6 Physical Measurements of head circumference, body weight (grams)**

133                  Head circumference (cm) and body weight (grams) will be collected. The number of missing observations  
134                  will be displayed below tabulations.

### 136           **1.3.7 Supplemental oxygen requirement/ discontinuation rate**

137                  The status of current supplemental oxygen requirement will be collected. We will categorize:

- 138                  • The number of participants who are currently on oxygen
- 139                  • The number of participants who were on oxygen but stopped
- 140                  • The number of participants never on oxygen

141           • The number of participants lost to follow up

142

### 143   **1.3.8 Mortality rates and causes of death**

144 Date of death will be recorded and used in a time-to-event analysis to estimate mortality rate. Participants  
145 lost to follow-up will be censored at last available follow-up visit. Reasons for death will be recorded. If  
146 the reason for death is missing, a category for missing a reason will be tabulated with the other causes.

147

### 148   **1.3.9 Systemic morbidities**

149 Systemic adverse events are collected throughout the trial. These will be listed as they happen.

150

151 In addition, the events of interest are different on the 6- and 12-month forms . After the 6-month visit, a  
152 category for “ever” will be added for each outcome.

153

### 154   **1.3.10 Ocular Alignment, Visual Fix & Follow, & Diagnosis of Amblyopia**

155 Ocular alignment data will be collected. It will include the following (in both eyes where applicable).

156

- 157   • Ocular Alignment on a participant basis:
  - 158       ○ If able to test ocular alignment at distance, the following will be tabulated:
    - 159           ■ Number (%) with intermittent tropia or constant tropia
  - 160       ○ If able to test ocular alignment at near, the following will be tabulated:
    - 161           ■ Number (%) with intermittent tropia or constant tropia
  - 162       ○ Number (%) with tropia defined as intermittent or constant at distance OR near.
  - 163       ○ The number (%) of children with nystagmus absent or present.
- 164   • Assessment of Vision on a participant
  - 165       ○ The % of eyes able to fix and follow during fixation preference testing
- 166   • Assessment of Amblyopia at participant level:
  - 167       ○ This will be based on fixation preference testing results; the distribution of responses  
168       will be tabulated as:
    - 169           • Strongly prefers fixation with one eye (will not fix with the other eye for more  
170           than 1 sec) - Amblyopia strongly suspected
    - 171           • Mild or no fixation preference – Amblyopia not strongly suspected
    - 172           • Unable to cooperate for testing

173

### 174   **1.3.11 Refractive Error**

175 Refractive error is measured in diopters, to the nearest quarter diopter. The further from zero, the worse  
176 the refractive error. Myopia is negative diopters; hyperopia is positive diopters. Normally, babies are  
177 mildly hyperopic. Values may range from -30 to +30.

178

179 The continuous distribution will be an outcome, as will the categories of myopia and hyperopia.

180 Myopia values will be tabulated as High Hyperopia (>+5.00D) spherical equivalent. non-myopic (5 ->-  
181 0.5 D), some myopia (-0.5 D to =>-5.0D), and severe myopia (< -5.0D).

182

### 183   **1.5 Sample Size**

184 The sample size has not been statistically calculated. A sample size of up to 80 participants (40  
185 participants per dose group) is a convenience sample.

186

187

### **1.6 Classifying Visits**

Visit	Target Day	Target Window (Around Target Day)	Allowable Window (Around Target Day)
ROP diagnosis Enrollment / Randomization	N/A	N/A	N/A
Randomized Treatment	Day of Randomization	+ 1 day	+ 2 days
1-day post-injection	Treatment Date + 1 day	1 day	0 to 2 days
4-day post-injection if no improvement at 1-day	Treatment Date + 4 days	3 to 5 days	3 to 5 days
1 week post-injection	Treatment Date + 1 week	6 to 8 days	6 to 10 days
2 weeks post-injection	Treatment Date + 2 weeks	11 to 17 days	11 to 17 days
3 weeks post-injection	Treatment Date + 3 weeks	18 to 24 days	18 to 24 days
4 weeks post-injection (primary outcome)	Treatment Date + 4 weeks	25 to 31 days	25 to 53 days
2 months post-injection	Treatment Date + 2 months	54 to 68 days	54 to 83 days
4 months post-injection	Treatment Date + 4 months	115 to 129 days	84 to 168 days
6 months corrected age	EDC + 6 months	169 to 197 days	124 to 242 days
12 months corrected age	EDC + 12 months	335 to 395 days	243 to 547 days

188

189 6 and 12 months visits are calculated post corrected age (defined as number of days since the date of birth  
190 minus number of days the baby was preterm).

191

192 If any study-mandated examination is deferred because of an infant's unstable medical status, then that  
193 examination will be done as soon as possible.

194

195 The goal will be for all participants to complete all scheduled visits. However, participants who (because  
196 of unforeseen circumstances) are unable or unwilling to return for all follow-up visits will be permitted to  
197 return for key visits only as an alternative to withdrawal from the study. When a participant is placed into  
198 this status, missed visits will not be recorded as protocol deviations (since they would not be recorded as  
199 protocol deviations if the participant was dropped from the study).

200

201 The allowable window will be the same as the analysis window. Allowable windows were created so that  
202 all data would be included.

203

### 204 **1.7 Planned Interim Analyses**

205 There will be no interim analyses for futility, efficacy, or re-estimation of sample size.

206

### 207 **2.0 Description of Statistical Methods**

#### 208 **2.1 General Approach**

209 Analysis methods include survival analyses, generalized linear models, tabulations, and plots.

210 Assumptions will be checked.

211

212 The primary analysis will follow an intent-to-treat (ITT) principle.

213

214 There are sensitivity analyses for the primary analysis.

215

#### 216 **2.1.1 Multiplicity**

217 Type I error for the primary outcome analysis will be 5%, as only one analysis is pre-specified as primary.  
218 If the P-value for the primary analysis is <0.05, we will pass down the alpha for a treatment comparison  
219 of Extent of Retinal vascularization (Zone III or full vs less) at 4 months. The other secondary outcome  
220 treatment group comparisons are exploratory.

221

## 222 **2.2 Analysis datasets**

223 All datasets are complete case.

224

### 225 **2.2.1 Primary Analysis (Eye Level)**

226 The primary efficacy analysis will follow an ITT principle. For the primary analysis, there will be no  
227 imputation of data for participants who die or who are lost to follow-up prior to the 4-week outcome visit  
228 since they will be treated as censored in the time-to-event analysis. However, participants' eyes can reach  
229 failure before the 4-week visit, and they will be included as failures.

230 The following secondary outcomes on the eye level will also use the ITT eye level dataset:

231     • Extent of retinal vascularization  
232     • Refractive error  
233     • Visual Fix & Follow  
234     • Ocular exam findings/ complications

235 Only eyes with Type 1 ROP all in zone I will be included in the primary analysis dataset.

236

### 237 **2.2.2 Fellow Eye Primary Analysis**

238 If both eyes are eligible, then both are included as study eyes. If one eye is eligible and the fellow eye has  
239 type 1 ROP with ROP or vessels in zone 2, then both eyes will receive the randomly-assigned treatment.  
240 If one eye is eligible and the fellow eye later develops type 1 ROP within 4 weeks of injection in the first  
241 eye, then the fellow eye will also receive the treatment randomly assigned to the first eye. The fellow eye  
242 will follow the same 4-week post-injection study exam schedule, unless the first eye has already met  
243 failure criteria, in which case treatment and follow-up for the fellow eye is at investigator discretion.  
244 These fellow eyes will be in a separate dataset.

### 245 **2.2.3 Participant level**

246 Many secondary outcomes are on a participant level:

247     • Number of re-treatments  
248     • Time from enrollment to hospital discharge  
249     • Number of times hospitalized  
250     • Head circumference  
251     • Weight  
252     • Supplemental oxygen  
253     • Mortality  
254     • Systemic morbidities  
255     • Ocular Alignment/ Amblyopia

256 There is a contingency plan for the primary analysis if Cox model assumptions fail that would use a  
257 binary success/failure outcome for each participant. If a participant has one study eye, that participant is  
258 counted as a failure if the study eye fails. If a participant has two study eyes, a failure in either will count  
259 the participant as a failure.

260 **2.4 Primary Outcome**

261 **2.4.1 Primary analysis**

262 The proportion of study eyes that achieve success will be tabulated by treatment group. The number of  
263 events, censorings, and 4-week visits will also be tabulated by treatment group. The denominator will be  
264 the number of eyes that were randomized in the study. A tabulation of events with the denominator as the  
265 number of eyes that were randomized in the study without deaths and lost to follow-up will also be  
266 displayed.

267  
268 The hazard ratio of failure for dose (0.063 mg:0.25 mg) and a 95% confidence interval (CI) will be  
269 calculated with a Cox model with robust variance estimation to control for correlation arising from  
270 participants contributing two study eyes to the analysis. The model will include treatment group and  
271 adjust for continuous gestational age at randomization. An estimate of the success probability at 4-weeks  
272 with 95% CI for each dose group will be calculated with direct adjustment methods. The difference in the  
273 success probability between the dose groups (0.063 mg – 0.25 mg) and the 95% CI will be calculated  
274 with the normal approximation.

275  
276 Assumptions

277 Functional form of continuous gestational age at randomization will be assessed by plotting the observed  
278 distribution of cumulative sums of martingale residuals (Y axis) vs the values of gestational age (X axis).  
279 Twenty simulated sets of residuals with correctly-specified models will be plotted over our residuals; a  
280 seed will be used for reproducibility. If our residuals are very different from the simulated model, this  
281 suggests misspecification of the functional form. A Kolmogorov-Type supremum test will be conducted  
282 comparing our residuals to 1000 random simulations. A significant result on this test suggests  
283 misspecification. Methods that may be used for solving misspecification include transforming or  
284 categorizing gestational age at randomization.

285  
286 Proportional hazards will be checked separately for continuous gestational age at randomization and  
287 treatment group. Plots will be created with the observed distribution of cumulative sums of martingale  
288 residuals (Y axis) vs the length of follow-up (X axis). Twenty simulated sets of residuals with truly  
289 proportional hazards will be plotted over our residuals; a seed will be used for reproducibility. If our  
290 residuals are very different from the simulated model, this suggests non-proportional hazards. A  
291 Kolmogorov-Type supremum test will be conducted comparing our residuals to 1000 random  
292 simulations. A significant result on this test suggests non-proportional hazards. A Kaplan-Meier plot will  
293 be generated and examined for proportional hazards violations. If the proportional hazards assumption  
294 fails, a model with an interaction between treatment group and a function of time may be an option.

295  
296 Intermittent missingness is expected to be rare for the primary outcome. These participants are followed  
297 very closely because there is risk for blindness.

298  
299 **2.4.2 Tipping Point Analysis for Imaging**

300 To mitigate unmasked assessment bias, wide-angle retinal images will be collected when treatment failure  
301 is declared. Images will later be reviewed by masked expert readers to define success or failure. If the site  
302 does not have the hardware for wide-angle retinal imaging, a second ocular exam (by a different  
303 examiner) will be used in place of the masked image analysis. The number of discrepancies between the

304 site diagnosis and the masked reviewer diagnosis will be calculated. If there are cases where the  
305 investigator declared failure and masked review of the images does not confirm failure, then a sensitivity  
306 tipping point analysis will be done to evaluate the number of discrepancies required to tip results from  
307 significant to not or vice versa.

308 Depending on the numbers of disagreements, we will tabulate either all tipping points or summarize by  
309 every few cases.

310

311 For each treatment group we will create a table like the one below:

312

Failures tipped to successes	New success probability difference	New 95% CI for success probability difference

313

#### 314 **2.4.3 Deaths as failures**

315 To check for informative censoring in the primary analysis, a sensitivity analysis will be performed where  
316 deaths before failure are counted as failures instead of censoring. If deaths are believed to be independent  
317 of treatment, this will overestimate the failure rate in each group.

318

319 However, if one group's failure rate meaningfully increases compared to the other, this may indicate  
320 informative censoring, or an imbalance in mortality risk despite randomization (we have a small sample  
321 size). In this case, the primary analysis will have to be interpreted with caution.

322

#### 323 **2.4.3 Primary outcome contingency plan**

324 If Cox model assumptions fail and cannot be remedied, Barnard's exact test will be performed on the  
325 complete case participant level success/failure outcome described in section 2.2.3. Exact 95% CIs for  
326 success rates and the difference in success rates will be obtained.

### 327 **2.5. Secondary Outcomes**

328 We will tabulate or provide summary statistics on all the secondary outcomes overall and by treatment  
329 group at each timepoint they are analyzed. N (%) of missingness will be displayed for all outcomes. Most  
330 of the secondary outcomes will just be these tabulations or summary statistics at each timepoint below for  
331 the participant or eye level from the description of each outcome.

#### 332 Regression Methods

333 Binary outcomes will be analyzed using Poisson regression (log link) with generalized estimation  
334 equations (exchangeable structure) to control for correlation arising from participants contributing two  
335 study eyes to the analysis (if applicable to the outcome). The coefficients, when exponentiated, will  
336 estimate relative risk. If the deviance/DF is <0.8, the model is underdispersed and logistic regression will  
337 be used to estimate odds ratios.

338 Continuous/count outcomes will be analyzed using linear regression with generalized estimating  
339 equations (exchangeable structure) to control for correlation arising from participants contributing two  
340 study eyes to the analysis (if applicable to the outcome). Depending on the empirical distribution, a  
341 normal, Poisson, or negative binomial distribution for the model may be used.

342 Independent covariate adjustments are treatment group and continuous gestational age.  
343 For analyses on an eye level, an exchangeable correlation matrix will be used to account for correlation  
344 between eyes for participants who contribute two eyes to the study.

345 Checking Assumptions

346 Standard residual diagnostics will be performed for all analyses. The linearity (or log linearity)  
347 assumption of covariates will be evaluated using descriptive scatterplots and by categorizing each of the  
348 baseline factors in the model to check for approximate linearity (or log linearity) of the coefficients across  
349 ordered categories.

350 For continuous outcomes, potential outliers will be identified, and a sensitivity analysis will be performed  
351 to evaluate the effect of these outliers on the primary outcome results. If values are highly skewed, then  
352 we will consider categorization, transformations, worst-rank regression, MM estimation methods or non-  
353 parametric randomization-based method of Koch et al.

354 For binary outcomes, if the Poisson regression model fails to converge, we reserve the option to use exact  
355 logistic regression or Barnard's test to compare treatment groups. We may also choose to use Barnard's  
356 test if there are less than 10 outcome events per covariate, which is considered too small for reliable  
357 estimation. Barnard's test does not allow for covariate adjustment.

358 If results differ between models with violated assumptions and models with the changes for robustness,  
359 the robust method will be used for trial conclusions and formulation of resulting recommendations.

360 Patterns of missingness will be analyzed. Suspected informative missingness will be noted and results  
361 will be interpreted cautiously.

362

363 Time to event

364 For time to event outcomes, we will attempt to use a time to event model to generate survival time  
365 summary statistics accounting for censoring.

366 The proportion of study eyes that have events will be tabulated by treatment group. The number of events,  
367 censorings, and 4-week visits will also be tabulated by treatment group. The denominator will be the  
368 number of eyes that randomize in the study. A tabulation of events with the denominator as the number of  
369 eyes that randomize in the study without lost to follow-up will also be displayed.

370 The hazard ratio for BV 0.063 : 0.25 mg and a 95% CI will be calculated with a Cox model with robust  
371 variance estimation to control for correlation arising from participants contributing two study eyes to the  
372 analysis. Independent covariate adjustments are treatment group and continuous gestational age.

373 Functional form of continuous gestational age at randomization will be assessed by plotting the observed  
374 distribution of cumulative sums of martingale residuals (Y axis) vs the values of gestational age (X axis).  
375 Twenty simulated sets of residuals with correctly specified models will be plotted over our residuals; a  
376 seed will be used for reproducibility. If our residuals are very different from the simulated model, this  
377 suggests misspecification of the functional form. A Kolmogorov-Type supremum test will be conducted  
378 comparing our residuals to 1000 random simulations. A significant result on this test suggests  
379 misspecification. Methods that may be used for solving misspecification include transforming or  
380 categorizing gestational age at randomization.

381 Proportional hazards will be checked separately for continuous gestational age at randomization and  
382 treatment group. Plots will be created with the observed distribution of cumulative sums of martingale  
383 residuals (Y axis) vs the length of follow-up (X axis). Twenty simulated sets of residuals with truly  
384 proportional hazards will be plotted over our residuals; a seed will be used for reproducibility. If our  
385 residuals are very different from the simulated model, this suggests non-proportional hazards. A  
386 Kolmogorov-Type supremum test will be conducted comparing our residuals to 1000 random  
387 simulations. A significant result on this test suggests non-proportional hazards. A Kaplan-Meier plot will  
388 be generated and examined for proportional hazards violations. If the proportional hazards assumption  
389 fails, a model with an interaction between treatment group and a function of time may be an option.

390 As a contingency plan, we will calculate summary statistics after dropping censored values.

391

Outcome	2-Month	4-Month	6-Month	12-month	Type of outcome	Statistics
Number of retreatments	X	X	X	X	Count	Tabulations
Ocular Outcomes from ocular exam	X	X	X	X	Categorical	Tabulations
Extent of retinal vascularization	X	X			Categorical And Binary	Tabulations of each category  At the 4 month outcome only:  Estimate and 95% CI for each treatment group and treatment group difference in Zone III /Full Vascularization proportion
Time from enrollment to hospital discharge			X	X	Continuous	Summary Statistics
Number of times re-hospitalized.			X	X	Count	Tabulations
Physical Measurements of head circumference			X	X	Continuous	Summary Statistics

(cm), body weight (grams)						
Supplemental oxygen requirement			X	X	Categorical	Tabulations
Mortality rates and causes of death	X	X	X	X	Time-to-event and descriptive	Exploratory hazard ratio for treatment group comparison testing if the higher dose is associated with higher rates of mortality  Tabulations/ Proportion  Causes listed
Ocular alignment			X	X	Categorical	Tabulations
Amblyopia			X	X	Categorical	Tabulations  Estimate and 95% CI for each treatment group
Refractive Error			X	X	Continuous	Summary statistics  Estimate and 95% CI for each treatment group
Proportion with High Hyperopia, High Myopia			X	X	Categorical	Tabulations  Estimate and 95% CI for each treatment group
Visual Fix and Follow			X	X	Categorical	Tabulation of each category

392

393 **2.6 Baseline Characteristics**

394 Subject level and eye level characteristics will be tabulated including gender, race, gestational age, birth  
395 weight, examination findings in each eye at time of injection, and age at diagnosis of type 1 ROP.

396

397

398 **2.7 Subgroup Analyses**

399 The following subgroup analyses will be considered:

400 - Plus disease vs not plus

401

402 The approach for these analyses will be to estimate the treatment effect and 95% CI within each subgroup  
403 using the same analytic methods used for the primary analysis. That is, the subgroup analysis will be  
404 performed by including the main effect of the subgroup factor and its interaction effect with the treatment  
405 group in the model for the primary analysis. If a potential treatment interaction is indicated, we will also  
406 display models for each treatment group separately.

407

408 Interpretation of the results will depend on whether the overall analysis demonstrates a significant  
409 treatment group difference; in the absence of an overall difference, subgroup analysis results will be  
410 interpreted with caution. We will consider the clinical significance of this interaction as well as the effect  
411 on the treatment coefficient and AIC. For example, if the interaction effect size is very small (clinically  
412 insignificant), but precise (statistically significant), it may be an artifact of modeling/overfitting and  
413 clinically misleading to describe. Sometimes this happens when there is some sort of relationship between  
414 an unspecified effect and predictors.

415

## 416 **2.8 Safety Analyses**

417 Adverse events reported at any time during the study will be tabulated for all enrolled infants and coded  
418 using the MedRA system. An estimate and 95% CI of the following proportions will be obtained using  
419 the exact binomial method:

- 420 • Proportion of infants for whom at least one event was reported
- 421 • Proportion of infants with an adverse event thought by investigator to be related to study drug
- 422 • Proportion of infants for whom at least one serious adverse event was reported
- 423 • Proportion of infant deaths
- 424 • Complications after the 4-week exam will be listed.
  - 425 ○ Systemic morbidities

426

427 The difference in the above proportions between the dose groups and the exact 95% CI will be calculated.

428

## 429 **2.9 Protocol Adherence and Retention**

430 Protocol deviations will be tabulated by randomized treatment group. Visit and call completion will be  
431 summarized by randomized treatment group. A flow chart will account for all participant visits and phone  
432 calls.

433

## 434 **3.0 Plasma Levels of VEGF Optional Study**

435 The parents of each infant enrolled in the study will be given the option to participate in a study to  
436 measure levels of VEGF in the plasma. Participants in this optional study will have blood collected for  
437 analysis. For each dose group, the distribution of VEGF levels (median, range, and quartiles) will be  
438 described before injection, and at 2 weeks, 4 weeks post-injection, and 4 months post-injection. The  
439 change from pre-injection will be calculated, and a 95% CI calculated for the change. To fit distributional  
440 assumptions, a log transformation or percent change may be used.