

**NOVUM PHARMACEUTICAL RESEARCH SERVICES  
STATISTICAL ANALYSIS PLAN**

**Novexatin 10% topical solution**

**Protocol / Study No. NVXT 1404/71442603**

**STATISTICAL ANALYSIS PLAN**

A Randomized, Placebo-Controlled, Double-Blind, Parallel-Group, Multi-Site Phase 2b Clinical Study to Assess the Efficacy, Safety and Tolerability of 8-Week Regimens of Novexatin<sup>®</sup>, 10% Topical Solution (Taro Pharmaceuticals, USA, Inc.) in Patients with Mild to Moderate Onychomycosis

Protocol Number: NVXT 1404  
Novum Study Number: 71442603

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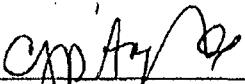
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**SAP FINAL VERSION APPROVALS**

A Randomized, Placebo-Controlled, Double-Blind, Parallel-Group, Multi-Site Phase 2b Clinical Study to Assess the Efficacy, Safety and Tolerability of 8-Week Regimens of Novexatin<sup>®</sup>, 10% Topical Solution (Taro Pharmaceuticals, USA, Inc.) in Patients with Mild to Moderate Onychomycosis

|  |                            |
|--|----------------------------|
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**Revision History**

| VERSION   | DATE              | DESCRIPTION OF REVISIONS  | REVISED BY  |
|-----------|-------------------|---|-------------|
| Draft 1.0 | March 20, 2017    | New Document  | Jianhua Liu |
| Draft 2.0 | December 12, 2017 | Incorporate Novum's comments  | Jianhua Liu |
| Final 1.0 | February 26, 2018 | Add additional analyses, incorporate client comments and finalize SAP                         | Jianhua Liu |
| Final 2.0 | March 1, 2018     | Add summary table T14.3.10 Summary of Frequency of Evaluation of Toenail Condition/Appearance | Jianhua Liu |
| Final 3.0 | March 21, 2018    | Incorporate client's comments   | Jianhua Liu |

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**List of Abbreviations and Definition of Terms**

|        |  |
|--------|--|
| ADaM   | Analysis Data Model                            |
| AE     | Adverse Event                                  |
| ANCOVA | Analysis of Covariance                         |
| BP     | Blood Pressure                                 |
| C      | Celsius  |
| CRF    | Case Report Form                               |
| CDISC  | Clinical Data Interchange Standards Consortium |
| CRO    | Contract Research Organization                 |
| F      | Fahrenheit                                     |
| FDA    | Food and Drug Administration                   |
| HR     | Heart Rate                                     |
| Hg     | Mercury  |
| ICF    | Informed Consent Form                          |
| ICH    | International Conference on Harmonization      |
| IND    | Investigational New Drug                       |
| ITT    | Intent-to-Treat                                |
| LOCF   | Last Observation Carried Forward               |
| MedDRA | Medical Dictionary for Regulatory Activities   |
| OGD    | The Office of Generic Drugs                    |
| PP     | Per-Protocol                                   |
| SAE    | Serious Adverse Event                          |
| SAP    | Statistical Analysis Plan                      |
| SAS    | Statistical Analysis System                    |
| SDTM   | Study Data Tabulation Model                    |
| USA    | United States of America                       |

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**1. INTRODUCTION**

This Statistical Analysis Plan (SAP) is based on the final Clinical Study Protocol NVXT 1404 (Novum Study No. 71442603) Rev. 2 dated July 8, 2016. The SAP provides details on the planned statistical methodology for the analysis of the study data. The SAP also outlines the statistical programming specifications for the tables, listings and figures.

This SAP describes the study endpoints, derived variables, anticipated data transformations and manipulations, and other details of the analyses not provided in the study protocol. This SAP therefore outlines in detail all other aspects pertaining to the planned analyses and presentations for this study.

The following documents were reviewed in preparation of this SAP:

- Final Clinical Study Protocol NVXT 1404 (Novum Study No. 71442603) Rev. 2 dated July 8, 2016
- Casebook for Novum Study No. 71442603 dated 11/30/2017

The reader of this SAP is encouraged to also read the clinical protocol for details on the conduct of this study, and the operational aspects of clinical assessments and timing for completing a patient in this study.

**2. OBJECTIVES**

1. Determine the rates of complete therapeutic cure of Novexatin® 10% topical solution (Taro Pharmaceuticals, USA) after daily dosing for one 8-week treatment period (Treatment Group A) and two 8-week treatment periods separated by a 32-week rest period (Treatment Group B), and Placebo (vehicle) topical solution (Taro Pharmaceuticals, USA) after two 8-week treatment periods separated by a 32-week rest period (Treatment Group C), at three test-of-cure visits (Day 141, Day 281 and Day 365) in patients with mild to moderate distal subungual onychomycosis of the target toenail.
2. Compare rates of complete therapeutic cure between Treatment Groups according to a hierarchical evaluation scheme detailed in the statistical sections.
3. Evaluate safety and tolerability of the two regimens of Novexatin® 10% topical solution (Treatment Groups A and B) in patients with mild to moderate distal subungual onychomycosis of the target toenail.

**3. OVERALL STUDY DESIGN**

This randomized, placebo-controlled, double-blind, parallel-group, multi-site study is designed to evaluate and compare the efficacy and safety of two dosing regimens of Novexatin® 10%

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topical solution (Taro Pharmaceuticals USA) for the treatment of mild to moderate distal subungual onychomycosis of the target toenail. Additionally the active formulation in these regimens will be assessed for superiority to a placebo topical solution.

Up to 180 eligible patients with distal subungual onychomycosis who meet all the inclusion criteria and none of the exclusion criteria will be randomized into the study at Visit 2. Patients must be at least 18 years of age, in overall good health. They should have a current diagnosis of distal subungual onychomycosis of mild-moderate severity. Before any study-specific procedures are performed all patients will read and sign the IRB-approved informed consent form.

At least 60 qualified patients in each treatment group will receive randomized and blinded study product. The randomization scheme will be 1:1:1 (Active Treatment A: Active Treatment B: Placebo C). The study products are:

**Test:** Novexatin® 10% Topical Solution (Taro Pharmaceuticals, USA)

**Placebo:** Placebo (Vehicle) Topical Solution (Taro Pharmaceuticals, USA)

Patients will be assigned to one of the three treatment groups:

**Treatment Group A:** Test treatment for Days 1 – 56 and Placebo treatment for Days 281 – 336

**Treatment Group B:** Test treatment for Days 1 – 56 and Days 281 – 336

**Treatment Group C:** Placebo treatment for Days 1 – 56 and Days 281 – 336

Treatment and study durations will be as follows:

**Study Duration:** 365 days (52 weeks)

Patients will undergo evaluation for clinical and mycological cure of onychomycosis at the 7 clinic visits as per study schematic. Randomized patients who withdraw from the study will not be replaced. The study will be conducted according to the following schedule:

**Visit Schedule:**

- **Visit 1:** Days -35 to -1 (Screening)
- **Visit 2:** Day 1 (Baseline and Randomization / 1<sup>st</sup> Treatment start)
- **Visit 3:** Day 57 ± 4 (1<sup>st</sup> Treatment completion)
- **Visit 4:** Day 141 ± 7 (Interim)
- **Visit 5:** Day 281 ± 14 (2<sup>nd</sup> Treatment start)
- **Visit 6:** Day 337 ± 14 (2<sup>nd</sup> Treatment completion)
- **Visit 7:** Day 365 ± 14 (4 weeks post – treatment)

Unscheduled visits will be allowed as deemed necessary by Investigator.

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The primary efficacy endpoint is the proportion of patients in each treatment group with a complete therapeutic cure of onychomycosis of the target toenail assessed at each of the two test-of-cure visits (Day 141 and Day 365). The safety profile of each treatment group will be evaluated by comparing adverse events, application site reactions, monitoring vital signs and changes in clinical laboratory results obtained throughout the study.

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**Figure 1 Study Schematic**

| PROCEDURE   | Visit 1   | Visit 2  | Visit 3                              | Visit 4              | Visit 5                                      | Visit 6                              | Visit 7                                   |
|---|-----------|--|--------------------------------------|----------------------|--|--------------------------------------|---|
|   | Screening | Randomization / Baseline / 1 <sup>st</sup> treatment start | 1 <sup>st</sup> treatment completion | Interim Test of cure | 2 <sup>nd</sup> treatment start Test of cure | 2 <sup>nd</sup> treatment completion | 4 weeks post-treatment Test of cure or ET |
| Day   | -35 to -1 | 1  | 57 ± 4 (Week 8)                      | 141 ± 7 (Week 20)    | 281 ± 14 (Week 40)                           | 337 ± 14 (Week 48)                   | 365 ± 14 (Week 52)                        |
| Informed Consent  | X         |  |                                      |                      |  |                                      |   |
| Inclusion/ Exclusion Criteria Review  | X         | X  |                                      |                      |  |                                      |   |
| Demographics  | X         |  |                                      |                      |  |                                      |   |
| Medical History   | X         |  |                                      |                      |  |                                      |   |
| Physical Examination  | X         |  |                                      |                      |  |                                      | X   |
| Vital Signs   | X         |  | X                                    |                      | X  | X                                    | X   |
| KOH test  | X         |  |                                      | X                    |  |                                      | X   |
| Fungal Culture collection   | X         |  |                                      | X                    |  |                                      | X   |
| Clinical Lab Tests  | X         |  | X                                    |                      |  |                                      | X   |
| Target Nail trimming (if needed) before nail involvement/appearance assessments |           | X  | X                                    | X                    | X  | X                                    | X   |
| Target toenail involvement (assessed by visual assessment)*                     | X         | X  | X                                    | X                    | X  | X                                    | X   |
| Planimetry Assessment   |           | X  | X                                    | X                    | X  | X                                    | X   |
| Evaluation of toenail condition/appearance (by patient and investigator)        |           | X  | X                                    | X                    | X  | X                                    | X   |
| Investigator Clinical Assessment  | X         | X  | X                                    | X                    | X  | X                                    | X   |
| Urine Pregnancy Test**  | X         | X  | X                                    | X                    | X  | X                                    | X   |
| Dispense study product, supplies  |           | X  |                                      |                      | X  |                                      |   |
| Dispense Diary/Instructions   |           | X  | X                                    | X                    | X  | X                                    |   |
| Application site reactions  |           |  | X                                    | X                    | X  | X                                    | X   |
| Collect unused/empty bottle   |           |  | X                                    |                      |  |                                      | X   |
| Collect and Review Patient Diary  |           |  | X                                    | X                    | X  | X                                    | X   |
| Adverse Event Assessments   |           | X  | X                                    | X                    | X  | X                                    | X   |
| Concomitant Medication Review   | X         | X  | X                                    | X                    | X  | X                                    | X   |
| Schedule next visit   | X         | X  | X                                    | X                    | X  | X                                    |   |

\* At Visit 1, both toenails will be assessed if a bilateral infection of the big toenails are present.

\*\* All women of childbearing potential

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**4. RANDOMIZATION AND BLINDING**

The study product will be packaged and blinded by an independent clinical packaging company. The randomization will be generated in blocks of 3, containing the study products in three configurations:

- A. bottles #1 & 2 – Test and bottles #3 & 4 – Placebo
- B. bottles #1 & 2 – Test and bottles #3 & 4 – Test
- C. bottles #1 & 2 – Placebo and bottles #3 & 4 – Placebo.

Three patients worth of study product bottles 1 & 2 will be packed into a larger box. This larger box will be designated “one block” of study product. The study product bottles 1 & 2 will be blinded, packaged and delivered to the study site in blocks at the beginning of the enrollment. Bottles 3 & 4 will be delivered to the study site before Visit 5 (2<sup>nd</sup> treatment start).

At each Visit 2 and Visit 5 each patient will receive two 5 ml bottles of study product according to the randomization code. Patients will be randomized to a treatment regimen in a blinded fashion by assigning randomization numbers in ascending sequential order starting with the lowest available randomization number at each site. All patients randomized will be identified by initials, date of birth, and a unique six-digit patient number. The first two-digits will identify the Investigator site where the patient was enrolled and the last four will correspond with the randomization number of study product bottle assigned to the patient. A perforated or two-part label will be attached to each of the small sized boxes of study product supplies. Both pieces of the label will include the following information: Protocol number, randomization number, space for patient’s initials, statement that the study product is for investigational use only, space for dispensing date and the Sponsor’s name. In addition all patients will be provided with written instructions on how to use the study product. One part of the label shall remain attached to the box. The other part will be removed prior to dispensing and attached to the study product log.

The Investigator, staff at the study site, study monitors, and data analysis/management personnel will be blinded to the patient assignment.

At the end of the study, after all the clinical data has been entered and the study database has been locked, a copy of the randomization will be sent to the statistician.

**5. SAMPLE SIZE**

The primary statistical analysis of interest is a comparison of the rates of therapeutic cure (as defined by "clinical cure" AND "mycological cure") of Novexatin<sup>®</sup> 10% topical solution to the rate of therapeutic cure of placebo in the Intent-to-Treat Population (ITT). The rate of therapeutic cure for the Novexatin<sup>®</sup> 10% topical solution 8-week regimens is expected to be approximately

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49%. The rate of therapeutic cure for placebo is expected to be approximately 21%. Approximately 46 patients in each treatment group in the ITT population will provide over 80% power to show a difference at  $p < 0.05$  (two-sided Z test and a pooled response rate for the standard error of the difference in proportions) between each of the active treatment groups and the placebo group. To allow for a 23% drop-out rate, a total of 180 patients will be enrolled in the study, with up to 60 patients in each of the treatment groups.

## **6. STUDY ENDPOINTS**

### **Primary Endpoint:**

The proportion of patients in each treatment group with a complete therapeutic cure of onychomycosis of the target toenail assessed at each of the two test-of-cure visits (Day 141 and Day 365).

### **Secondary Endpoints:**

1. The proportion of patients in each treatment group with a complete or almost complete therapeutic cure of onychomycosis of the target toenail assessed at each of the two test-of-cure visits (Day 141 and Day 365).
2. The proportion of patients in each treatment group with a mycological cure of the target toenail assessed at each of the two test-of-cure visits (Day 141 and Day 365).
3. The proportion of patients in each treatment group with a complete clinical cure of the target toenail assessed at each of three test-of-cure visits (Day 141, Day 281 and Day 365).
4. The proportion of patients in each treatment group with a satisfactory clinical cure of the target toenail assessed at each of three test-of-cure visits (Day 141, Day 281 and Day 365).

**Complete therapeutic cure** is defined as both complete clinical and mycological cure of the target toenail

**Almost complete therapeutic cure** is defined as both mycological and satisfactory clinical cure of the target toenail

**Mycological cure** is defined as a negative KOH test **and** a negative fungal culture.

**Complete clinical cure** is defined as 0% nail involvement.

**Satisfactory clinical cure** is defined as  $\leq 5\%$  of the target toenail involvement.

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**7. STUDY POPULATIONS**

**Intent-to-Treat (ITT) Population**

- All randomized patients who applied at least one dose of assigned study product
- Had a positive mycological culture screening (Visit 1)

The primary analyses will be conducted on the ITT population.

Patients who return randomized product bottles with evidence of intentional tampering or unblinding will be excluded from the ITT population and included in the Safety population.

**Safety Population**

All patients who were randomized and received study product will be included in the Safety population.

**8. STATISTICAL ANALYSIS METHODS**

If not otherwise specified, statistical significance is defined as  $p < 0.05$  and is two-tailed. Data will be summarized with respect to demographic and baseline characteristics and safety variables.

For categorical variables, the number and percent of each category within a parameter will be calculated for non-missing data. For continuous variables, statistics will include n, mean, standard deviation, median, minimum and maximum values.

All statistical analyses will be conducted using SAS<sup>®</sup>, Version 9.4 or higher. Datasets will be prepared using headings from Clinical Data Interchange Consortium (CDISC) Study Data Tabulation Model (SDTM) implementation for human clinical trials and ADaM (Analysis Dataset Model).

**8.1 Baseline Characteristics**

**8.1.1 Patient Disposition**

The patient disposition information will be summarized by treatment group. The number of patients randomized, treated with study medication will be tabulated by treatment group. In addition, completion status and primary reason for withdrawal will be summarized by treatment group.

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**8.1.2 Demographic and Other Baseline Characteristics**

Baseline comparability of all treatment groups will be evaluated separately in the ITT and Safety populations.

The following baseline demographics (determined from their initial study visit) will be evaluated:

- Age (years)
- Gender (male/female)
- Ethnicity (Hispanic/non-Hispanic)
- Race (White, Black/African American, Native Hawaiian or Other Pacific Islander, Asian, American Indian or Alaska Native, Other)

Summary tables by treatment group will be presented. Continuous variables will be summarized using descriptive statistics (n, mean, standard deviation, median, minimum, maximum).

Categorical variables will be summarized using frequencies and percentage.

Baseline comparability of the treatments will be presented using Chi-square test for the categorical variables, and Analysis of Variance for the continuous variables.

All data will be listed by treatment group and patient.

**8.1.3 Medical History**

At Visit 1, patients will be questioned about medical history, including acute and chronic medical history and medical history relevant to their onychomycosis.

Medical history data will be listed by treatment group and patient.

**8.1.4 Concomitant Medications**

At Visit 1, patients will be questioned about current and concomitant medication use over the previous 6 months. At all other clinic visits, patients will be questioned about ongoing or new concomitant medication use.

All prior and concomitant medications taken since screening until the end of the study will be listed by treatment group and patient.

**8.2 Efficacy Analyses**

**8.2.1 Efficacy Analyses on Primary and Secondary Endpoints**

The ITT population will be used to evaluate the efficacy of Novexatin<sup>®</sup> 10% topical solution for

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the 8-week treatment regimens in Groups A, B and C.

Descriptive statistics will be summarized for the primary and secondary endpoints (including planimetry data) by study group. As this is a multi-centered study, results may also be presented by study site.

In order to preserve an overall type-I error (alpha) of 5% for evaluation of complete and almost complete therapeutic cure, complete and satisfactory clinical cure and mycologic cure, a hierarchical evaluation scheme will be employed for the primary and secondary endpoints. The four comparisons of interest for the primary endpoint and the secondary endpoints -1 and -2 are:

1. Group B versus Group C – at the test-of-cure visit at Day 365
2. Group A versus Group C – at the test-of-cure visit at Day 365
3. Group B versus Group A – at the test-of-cure visit at Day 365
4. (Group A + Group B) versus Group C – at the test-of-cure visit at day 141

The five comparisons of interest for the secondary endpoints -3 and -4 are:

1. Group B versus Group C – at the test-of-cure visit at Day 365
2. Group A versus Group C – at the test-of-cure visit at Day 365
3. Group B versus Group A – at the test-of-cure visit at Day 365
4. (Group A + Group B) versus Group C – at the test-of-cure visit at Day 281
5. (Group A + Group B) versus Group C – at the test-of-cure visit at Day 141

Statistical testing will begin with comparison 1. If statistical significance is attained with comparison 1 ( $p < 0.05$ ), then a claim of superiority for comparison 1 can be made and the next comparison in the hierarchical evaluation scheme can be tested for statistical significance. If statistical significance is not attained for comparison 1 ( $p \geq 0.05$ ), then testing of all subsequent comparisons is stopped. The hierarchical, conditional-stepwise evaluation scheme allows for each comparison to be evaluated at the 5% level, while preserving an overall type I error rate of no more than 5%. For the proportion of patients in each treatment group with a complete and almost complete therapeutic cure, complete and satisfactory clinical cure and mycological cure of onychomycosis of the target toenail, the statistical analysis for superiority will be conducted using a two-sided Cochran-Mantel-Haenszel (CMH) exact test, stratified by clinical site, at the 5% significance level. The primary and secondary analyses will be performed using an observed case (OC) analysis in the ITT population. Patients discontinued because of lack of treatment effect will be included in the primary analysis as treatment failures.

The following two sensitivity analyses will also be performed on the primary efficacy endpoint in the hierarchical, conditional-stepwise evaluation scheme:

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1. Analysis will be performed also including patients without an assessment at Day  $365 \pm 14$ . Patients with missing data at Day  $365 \pm 14$  will be considered therapeutic failures.
2. Analysis will be performed also including patients without an assessment at Day  $365 \pm 14$ . Patients in Group C with missing data at Day  $365 \pm 14$  will be treated as therapeutic successes and patients from the Groups A and B with missing data at Day  $365 \pm 14$  will be treated as therapeutic failures.

Similar sensitivity analyses will be conducted including patients without an assessment at Day  $141 \pm 7$  for the 4<sup>th</sup> comparison in the hierarchical, conditional-stepwise evaluation scheme.

#### **8.2.2 Treatment-by-Site Interaction and Pooling of Clinical Sites**

As this is a multiple-site study, the interaction of treatment-by-site may be evaluated for superiority testing by the Cochran-Mantel-Haenszel test (stratified by site) using Breslow-Day test for the primary efficacy endpoint at the 5% significance level ( $p < 0.05$ , 2-sided) in the ITT population. A site(s) with a low enrollment rate(s) may be pooled with its geographically closest site, so as to avoid bias in the stratification of the sites in the CMH test and in the estimation of a treatment-by-site interaction effect. The pooling will be done for low enrolling sites that account for less than 4-7% of the total number of patients in the ITT population at the site with the highest enrolling rate. If no treatment-by-site interaction is identified with the primary endpoints then no adjustment will be made to any efficacy analysis.

#### **8.2.3 Additional Analyses**

In addition to the efficacy statistical analyses specified in the protocol, the analyses described in Section 8.2.1 above, including sensitivity analyses, will also be performed using the following endpoints:

1. Primary endpoint (complete therapeutic cure) with Canfield's evaluation for % toenail involvement.
2. Secondary endpoint #1 (complete or almost complete therapeutic cure) with Canfield's evaluation for % toenail involvement for complete cure in addition to the current evaluation for almost complete cure by Canfield's evaluation.
3. Secondary endpoint #3 (complete clinical cure) with Canfield's evaluation for % toenail involvement for complete cure.

Patients will only be included in analyses 1, 2 and 3 above if they meet inclusion criterion #6 (Mild to moderate severity at Visit 1 as defined by Investigator's estimation of approximately

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10% to 35% toenail involvement) on the basis of Canfield's evaluation to define mild to moderate severity instead of by Investigator's estimation.

### **8.3 Safety Analysis**

All study patients who are randomized to the active treatment period of the study, and received study product will be included in the comparative safety analysis. The safety profile of each treatment group will be evaluated by comparing adverse events, application site reactions, vital signs and changes in clinical laboratory results obtained throughout the study. Data will also be collected during the study to compare the results of the KOH stains to the mycological culture results observed during the study.

#### **8.3.1 Adverse Events**

All the adverse events (AEs) reported throughout the study will be coded and classified according to the MedDRA (Medical Dictionary for Regulatory Activities) coding dictionary (Version 19.0 or higher). Each adverse event is to be evaluated for date of start and end, seriousness, severity, causal relationship with the study drugs, action taken and outcome.

All AEs will be listed by treatment group and patient.

A summary table of the number and percent of patients with AEs by system organ class, preferred term, and treatment will be presented. Each patient will be counted only once within each preferred term. Other summaries may be added based on the obtained data.

A frequency summary table of the number of AEs by system organ class, preferred term, severity, and treatment will be presented. Severity will be classified as "Mild", "Moderate", or "Severe".

Similarly, a frequency summary table of the number of AEs by system organ class, preferred term, and relationship to a study drug, and treatment will be presented. Relationship to a study drug will be classified as "Not Related" or "Related" where "Related includes "Possible", "Probable", or "Definite".

Should sufficient data exist, adverse event frequencies will be compared between treatments using Fisher's exact test. If the global Fisher's exact test is statistically significant among the three treatment groups at the 5% alpha level (i.e.,  $p < 0.05$ ), then Fisher's exact test using only the Group A and Group B may be performed to identify any potential statistically significant differences that are clinically relevant between the two active treatment groups over the period from Day 1 to Day  $141 \pm 7$  days (i.e., following completion of the first 8 weeks of treatment when both groups have the same test treatment regimen) and over the study duration from Day 1 to Day  $365 \pm 14$  days.

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**8.3.2 Vital Signs**

The patient's vital signs will be recorded (heart rate, blood pressure, temperature and respiration rate) at Visits 1, 3, 5, 6 and 7.

Descriptive summaries (number of observations, mean, standard deviation, minimum, median and maximum) will be provided by treatment group and visit.

**8.3.3 Clinical Laboratory Results**

Clinical laboratory results (hematology, chemistry and urinalysis) will be collected at Visits 1, 3 and 7.

Descriptive summaries (number of observations, mean, standard deviation, minimum, median and maximum) will be provided by treatment group and visit.

All data will be listed by treatment group and visit.

**8.3.4 Application Site Reactions**

At Visits 3, 4, 5, 6 and 7, the Investigator will examine the treatment area and complete the signs and symptoms of irritation assessment.

A frequency summary table comparing the application site reactions for each treatment group will be presented by visit.

All data will be listed by treatment group and patient.

**8.3.5 Evaluation of Toenail Condition/Appearance:**

The toenail, one of the two great toenails, that the investigator considers to be the most appropriate as the target toenail will be identified and designated as the "target toenail" at Visit 2 and used for evaluations.

The overall appearance of the target toenail over time will be monitored during the study:

1. Self-assessed by patients. The results of the self-assessment will be recorded by the patient before beginning therapy at Visit 2 and at all subsequent visits. Patients will use a 5-point scale (see Appendix A) for target toenail appearance.
2. Assessed by Investigator: Investigators will rate the status of the target toenail before beginning therapy at Visit 2 and at all subsequent visits. Nail condition/appearance by investigator will be evaluated after nail trimming to the distal groove and before further trimming, if required, for the mycological evaluation. Investigators will use a 5-point scale (see Appendix A) target toenail appearance.

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A frequency summary table for each treatment group will be presented by visit.

All data will be listed by treatment group, patient, and evaluation day.

**8.4 Multiple Comparisons**

No multiple comparison adjustment will be made in this study other than the pre-defined hierarchical testing for the primary and secondary endpoints.

**8.5 Methods for Handling Missing Data**

For demographic and baseline characteristics, each variable will be analyzed using all available data. Patients with missing data will be excluded only from analyses for which data are not available.

The following rules and conventions will be used for patients who terminate from the study early or are dropped from the study because of protocol deviations.

Any patient who either requests to be dropped from the study because of lack of therapeutic efficacy or is dropped by the Investigator for lack of therapeutic efficacy will automatically be considered a "clinical failure" regardless of their target toenail assessment score at the termination visit.

Any patient who uses any protocol restricted medication specifically for the treatment of their infection will be discontinued from further participation in the study and automatically be considered a "clinical failure" regardless of their target toenail assessment score at the last visit.

Any patient who uses any protocol restricted medication NOT specifically for the treatment of their onychomycosis will be discontinued from further participation in the study. The patient will be considered a "clinical cure" or "clinical failure" based on the target toenail assessments at the termination visit.

Any patient who is dropped for any reason other than those covered above will be included in the ITT, provided they had a positive baseline culture and applied at least one dose of the study product. Examples would include: failure to use the study products as required by the protocol, patient withdraws consent for continued participation, patient fails to make study visits on a timely basis.

**8.6 Interim Analyses**

There is no interim analysis planned in this study.

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**8.7 Changes to the Protocol Defined Statistical Analysis Plan**

The protocol states that the statistical analysis for superiority will be conducted using two-sided Z-Tests and a pooled response rate for the standard error of the difference in proportions for each comparison of interest. The CMH exact test stratified by clinical site is preferred for a multi-site study for which the randomization is intended to be balanced among treatments within each site (i.e., stratified by site), because it accounts for the variability in clinical site whereas the Z-test does not account for site variability in the model. During the analysis, the statistical analysis for superiority will be conducted using a two-sided Cochran-Mantel-Haenszel (CMH) exact test, stratified by clinical site, at the 5% significance level.

The wording in section 8.5 “Methods for Handling Missing Data” was updated to coincide with the ITT definition. The ITT states that patients will be included in the ITT as long as they have received one dose of assigned study product and had a positive mycological culture at Visit 1.

Additional analyses that were not delineated in the protocol were included in section 8.2.3 of the SAP.

**9. TABLE, LISTING AND FIGURE SHELLS**

The following shells provide a framework for the display of data from this study. These shells may not be reflective of every aspect of this study but are intended to show the general layout of the Tables, Listings and Figures that will be included in the final clinical study report. Tables, Listings and Figures are numbered following the ICH structure. Table headers, variables names and footnotes will be modified as needed following data analyses. All descriptive and inferential statistical analyses will be performed using SAS® statistical software Version 9.4 or higher, unless otherwise noted.

**TABLE, LISTING AND FIGURE SHELLS**

Tables for Report Body

**Table I      Number of Patients**

| Population | Total | Treatment Group A | Treatment Group B | Treatment Group C |
|------------|-------|-------------------|-------------------|-------------------|
| Safety     | xxx   | xxx               | xxx               | xxx               |
| ITT        | xxx   | xxx               | xxx               | xxx               |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo treatment for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Appendix 16.2.3

Table II      Summary of Patient Disposition

| Patients          | Treatment Group A | Treatment Group B | Treatment Group C | Total |
|-------------------|-------------------|-------------------|-------------------|-------|
| Screened          |                   |                   |                   | xxx   |
| Randomized        | xxx               | xxx               | xxx               | xxx   |
| Completed Study   | xxx               | xxx               | xxx               | xxx   |
| Terminated Early  | xxx               | xxx               | xxx               | xxx   |
| Adverse event     | xxx               | xxx               | xxx               | xxx   |
| Lack of efficacy  | xxx               | xxx               | xxx               | xxx   |
| Lost to follow-up | xxx               | xxx               | xxx               | xxx   |
| etc.              |                   |                   |                   |       |
| Other             | xxx               | xxx               | xxx               | xxx   |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo treatment for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Appendix 16.2.1

Table III      Summary of Protocol Deviations

|   | Treatment<br>Group A<br>N=xxx | Treatment<br>Group B<br>N=xxx | Treatment<br>Group C<br>N=xxx | Total<br>N=xxx |
|---|-------------------------------|-------------------------------|-------------------------------|----------------|
| Total Patients with Protocol Deviations | xxx                           | xxx                           | xxx                           | xxx            |
| Total Deviations                        | xxx                           | xxx                           | xxx                           | xxx            |
| Lost to Follow-up                       | xxx                           | xxx                           | xxx                           | xxx            |
| Missed Visit                            | xxx                           | xxx                           | xxx                           | xxx            |
| Non-compliance with study drug          | xxx                           | xxx                           | xxx                           | xxx            |
| etc                                     | xxx                           | xxx                           | xxx                           | xxx            |
| Other                                   | xxx                           | xxx                           | xxx                           | xxx            |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo treatment for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Appendix 16.2.2

Table IV      Summary of Patients Excluded from Efficacy Analysis

|                                      | Treatment<br>Group A<br>N=xxx | Treatment<br>Group B<br>N=xxx | Treatment<br>Group C<br>N=xxx | Total<br>N=xxx |
|--------------------------------------|-------------------------------|-------------------------------|-------------------------------|----------------|
| Safety Population                    | xxx                           | xxx                           | xxx                           | xxx            |
| Excluded from Safety population      | xxx                           | xxx                           | xxx                           | xxx            |
| ITT Population                       | xxx                           | xxx                           | xxx                           | xxx            |
| Excluded from ITT population         | xxx                           | xxx                           | xxx                           | xxx            |
| Inclusion/Exclusion criteria not met | xxx                           | xxx                           | xxx                           | xxx            |
| etc.                                 | xxx                           | xxx                           | xxx                           | xxx            |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo treatment for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Appendix 16.2.3

**Table V Summary of Superiority Analysis Results of Primary Efficacy Endpoint**  
**(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)**  
**(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference<br>(%) | P-value <sup>#</sup> |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|-------------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 |                   |                      |
| Day 365                  | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | 0.0056               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | 0.0842               |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | NA                   |
| Day 141                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.  
<sup>#</sup> P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.1, adeff

**Table VI      Sensitivity Analysis #1: Superiority Analysis Results of Primary Efficacy Endpoint  
(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference |                      |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 | (%)        | P-value <sup>#</sup> |
|                          |  | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0056               |
| Day 365*                 | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0842               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |
| Day 141**                | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

\*Patients with missing data at Day  $365 \pm 14$  are considered therapeutic failures.

\*\*Patients with missing data at Day  $141 \pm 7$  are considered therapeutic failures.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.3, adeff

**Table VII      Sensitivity Analysis #2: Superiority Analysis Results of Primary Efficacy Endpoint  
(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference |           |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|------------|-----------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 | (%)        | P-value # |
|                          |  | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0056    |
| Day 365*                 | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0842    |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA        |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA        |
| Day 141**                | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA        |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

\* Patients in Group C with missing data at Day  $365 \pm 14$  are considered therapeutic successes and patients in Groups A and B with missing data at Day  $365 \pm 14$  are considered therapeutic failures.

\*\* Patients in Group C with missing data at Day  $141 \pm 7$  are considered therapeutic successes and patients in Groups A and B with missing data at Day  $141 \pm 7$  are considered therapeutic failures.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.3, adeff

Table VIII

### Summary of Adverse Events (Safety Population)

| Description                                | Treatment<br>Group A<br>N=xxx | Treatment<br>Group B<br>N=xxx | Treatment<br>Group C<br>N=xxx | Total<br>N=xxx |
|--|-------------------------------|-------------------------------|-------------------------------|----------------|
| <b>Patients with AEs</b>                   |                               |                               |                               |                |
| Patients with at least one AE              | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Discontinued study drug due to<br>above AE | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| <b>AEs reported</b>                        |                               |                               |                               |                |
| Mild                                       | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Moderate                                   | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Severe                                     | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Not Related                                | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Related                                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Death                                      | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |
| Serious AE                                 | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |

Related includes “Possible”, “Probable”, or “Definite” related.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

**Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336**

Source: Listing 16.2.7.1

Tables for section 14

**T14.1.1 Summary of Patients Included in Analysis Population by Study Center**

| Site No. | PI Name | Total Randomized | Safety  |         |         | ITT   |         |         |         | Total |
|----------|---------|------------------|---------|---------|---------|-------|---------|---------|---------|-------|
|          |         |                  | Group A | Group B | Group C | Total | Group A | Group B | Group C |       |
| xx       | xxxx    | xxx              | xxx     | xxx     | xxx     | xxx   | xxx     | xxx     | xxx     | xxx   |
| xx       | xxxx    | xxx              | xxx     | xxx     | xxx     | xxx   | xxx     | xxx     | xxx     | xxx   |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.4.1, ads1  
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**T14.1.2.1 Summary of Demographic Data  
(Safety Population)**

|             |  | Treatment<br>Group A<br>N=xxx | Treatment<br>Group B<br>N=xxx | Treatment<br>Group C<br>N=xxx | Total<br>N=xxx | P-value |
|-------------|--|-------------------------------|-------------------------------|-------------------------------|----------------|---------|
| Age (years) | n  | xx                            | xx                            | xx                            | xx             | x.xxxx  |
|             | Mean ± SD                                    | xx.x ± x.x                    | xx.x ± x.x                    | xx.x ± x.x                    | xx.x ± x.x     |         |
|             | Median                                       | xx.x                          | xx.x                          | xx.x                          | xx.x           |         |
|             | Range  | xx-xx                         | xx-xx                         | xx-xx                         | xx-xx          |         |
| Race        | American Indian or Alaska Native             | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     | x.xxxx  |
|             | Asian  | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
|             | Black/African American                       | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
|             | Native Hawaiian or other Pacific<br>Islander | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
|             | White  | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
|             | Other  | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
| Ethnicity   | Hispanic or Latino                           | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     | x.xxxx  |
|             | Not Hispanic or Latino                       | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |
| Gender      | Female                                       | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     | x.xxxx  |
|             | Male   | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)                    | xx (xx.x%)     |         |

N= number of patients in the treatment; n= number of patients with data available; % is based on N

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.4.1

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Similar tables will be created for T14.1.2.2

**T14.1.2.2 Summary of Demographic Data (Intent-to-Treat Population)**

**T14.2.1 Summary of Superiority Analysis Results of Primary Efficacy Endpoint Using Canfield Evaluation  
(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference<br>(%) | P-value <sup>#</sup> |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|-------------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 |                   |                      |
| Day 365                  | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | 0.0056               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | 0.0842               |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | NA                   |
| Day 141                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x              | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.3, adeff

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**T14.2.2 Sensitivity Analysis #1: Superiority Analysis Results of Primary Efficacy Endpoint Using Canfield Evaluation  
(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |                          | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference |           |      |        |
|--------------------------|--|---------------------------|--------------------------|---|---------|---|---------|------------|-----------|------|--------|
|                          |  | Group 1                   | Group 2                  | Group 1   | Group 2 | Group 1   | Group 2 | (%)        | P-value # |      |        |
|                          |  | Day 365*                  | Group B versus Group C   | xxx   | xxx     | xxx   | xxx     | xx.x       | xx.x      | xx.x | 0.0056 |
|                          |  |                           | Group A versus Group C   | xxx   | xxx     | xxx   | xxx     | xx.x       | xx.x      | xx.x | 0.0842 |
|                          |  |                           | Group B versus Group A   | xxx   | xxx     | xxx   | xxx     | xx.x       | xx.x      | xx.x | NA     |
|                          |  | Day 141**                 | Group A+B versus Group C | xxx   | xxx     | xxx   | xxx     | xx.x       | xx.x      | xx.x | NA     |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

\*Patients with missing data at Day  $365 \pm 14$  are considered therapeutic failures.

\*\*Patients with missing data at Day  $141 \pm 7$  are considered therapeutic failures.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.3, adeff

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**T14.2.3 Sensitivity Analysis #2: Superiority Analysis Results of Primary Efficacy Endpoint Using Canfield Evaluation  
(Proportion of Patients with Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete<br>Therapeutic Cure (%) |         | Difference |                      |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 | (%)        | P-value <sup>#</sup> |
| Day 365*                 | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0056               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0842               |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |
| Day 141**                | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

\* Patients in Group C with missing data at Day  $365 \pm 14$  are considered therapeutic successes and patients in Groups A and B with missing data at Day  $365 \pm 14$  are considered therapeutic failures.

\*\* Patients in Group C with missing data at Day  $141 \pm 7$  are considered therapeutic successes and patients in Groups A and B with missing data at Day  $141 \pm 7$  are considered therapeutic failures.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.3, adeff  
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**T14.2.4.1 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-1**  
**(Proportion of Patients with Complete or Almost Complete Therapeutic Cure at the Test-of-Cure Visits)**  
**(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete or<br>Almost Complete<br>Therapeutic Cure (n) |         | Proportion of Patients<br>with Complete or<br>Almost Complete<br>Therapeutic Cure (%) |         | Difference |                      |
|--------------------------|--|---------------------------|---------|---|---------|---|---------|------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1   | Group 2 | Group 1   | Group 2 | (%)        | P-value <sup>#</sup> |
| Day 365                  | Group B versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0056               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | 0.0842               |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |
| Day 141                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx   | xxx     | xx.x  | xx.x    | xx.x       | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.1, adeff

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**T14.2.4.2 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-1 Using Canfield Evaluation  
(Proportion of Patients with Complete or Almost Complete Therapeutic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population – Observed Case Analysis)**

**T14.2.5 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-2  
(Proportion of Patients with Mycologic Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison     | Number of Patients<br>(N) |         | Number of<br>Patients with<br>Mycologic Cure (n) |         | Proportion of<br>Patients with<br>Mycologic Cure (%) |         | Difference<br>(%) | P-value <sup>#</sup> |
|--------------------------|--------------------------|---------------------------|---------|--|---------|--|---------|-------------------|----------------------|
|                          |                          | Group 1                   | Group 2 | Group 1  | Group 2 | Group 1  | Group 2 |                   |                      |
| Day 365                  | Group B versus Group C   | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x              | 0.0056               |
|                          | Group A versus Group C   | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x              | 0.0842               |
|                          | Group B versus Group A   | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x              | NA                   |
| Day 141                  | Group A+B versus Group C | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x              | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.1, adeff

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**T14.2.6.1 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-3**  
**(Proportion of Patients with Complete Clinical Cure at the Test-of-Cure Visits)**  
**(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Complete<br>Clinical Cure (n) |         | Proportion of Patients with<br>Complete<br>Clinical Cure (%) |         | Difference |                      |
|--------------------------|--|---------------------------|---------|--|---------|--|---------|------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1  | Group 2 | Group 1  | Group 2 | (%)        | P-value <sup>#</sup> |
|                          |  | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | 0.0056               |
| Day 365                  | Group B versus Group C                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | 0.0842               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |
| Day 281                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |
| Day 141                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.1, adeff

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**T14.2.6.2 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-3 Using Canfield Evaluation  
(Proportion of Patients with Complete Clinical Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population – Observed Case Analysis)**

**T14.2.7 Summary of Superiority Analysis Results of Secondary Efficacy Endpoint-4  
(Proportion of Patients with Satisfactory Clinical Cure at the Test-of-Cure Visits)  
(Intent-to-Treat Population – Observed Case Analysis)**

| Test of<br>Cure<br>Visit | Treatment Comparison<br>Group 1 versus Group 2 | Number of Patients<br>(N) |         | Number of Patients<br>with Satisfactory<br>Clinical Cure (n) |         | Proportion of Patients with<br>Satisfactory<br>Clinical Cure (%) |         | Difference |                      |
|--------------------------|--|---------------------------|---------|--|---------|--|---------|------------|----------------------|
|                          |  | Group 1                   | Group 2 | Group 1  | Group 2 | Group 1  | Group 2 | (%)        | P-value <sup>#</sup> |
| Day 365                  | Group B versus Group C                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | 0.0056               |
|                          | Group A versus Group C                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | 0.0842               |
|                          | Group B versus Group A                         | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |
| Day 281                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |
| Day 141                  | Group A+B versus Group C                       | xxx                       | xxx     | xxx  | xxx     | xx.x   | xx.x    | xx.x       | NA                   |

Superiority testing was conducted at the 5% significance level ( $p < 0.05$ ; using CMH exact test) in the ITT population using observed case.

# P-value will not be presented if the preceding one is  $\geq 0.05$ .

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.5.1, adeff  
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**T14.3.1.1 Summary of Frequency of All Adverse Events by Body System: Day 1 to Day 141 ± 7  
(Safety Population)**

| Body System                   | MedDRA Term | Treatment Group A<br>(N = xxx) |                  | Treatment Group B<br>(N = xxx) |                  | Treatment Group C<br>(N = xxx) |                  | Fisher's<br>p-value |
|-------------------------------|-------------|--------------------------------|------------------|--------------------------------|------------------|--------------------------------|------------------|---------------------|
|                               |             | Events                         | Patients<br>n(%) | Events                         | Patients<br>n(%) | Events                         | Patients<br>n(%) |                     |
| Patients with at least one AE | Total       | xx                             | xx (xx.x)        | xx                             | xx (xx.x)        | xx                             | xx (xx.x)        | x.xxxx              |
| Ear and labyrinth disorders   | Ear pain    | xx                             | xx (xx.x)        | xx                             | xx (xx.x)        | xx                             | xx (xx.x)        | x.xxxx              |
|                               | etc.        |                                |                  |                                |                  |                                |                  |                     |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.7.1

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**T14.3.1.2 Summary of Frequency of All Adverse Events by Body System: Day 1 to Day 365 ± 14  
(Safety Population)**

**T14.3.2 Summary of Frequency of All Adverse Events by Relationship  
(Safety Population)**

| Body System                   | MedDRA Term      | Treatment Group A        |                 | Treatment Group B      |                          | Treatment Group C |                     |                          |                 |
|-------------------------------|------------------|--------------------------|-----------------|------------------------|--------------------------|-------------------|---------------------|--------------------------|-----------------|
|                               |                  | # of Events<br>(N = xxx) | Related<br>n(%) | Not<br>Related<br>n(%) | # of Events<br>(N = xxx) | Related<br>n(%)   | Not Related<br>n(%) | # of Events<br>(N = xxx) | Related<br>n(%) |
| Patients with at least one AE | Total            | xx (xx.x)                | xx (xx.x)       | xx (xx.x)              | xx (xx.x)                | xx (xx.x)         | xx (xx.x)           | xx (xx.x)                | xx (xx.x)       |
| Ear and labyrinth disorders   | Ear pain<br>etc. | xx (xx.x)                | xx (xx.x)       | xx (xx.x)              | xx (xx.x)                | xx (xx.x)         | xx (xx.x)           | xx (xx.x)                | xx (xx.x)       |
|                               | etc.             |                          |                 |                        |                          |                   |                     |                          |                 |

N = Total number of events in each treatment group; Percentage is based on total number of events.

Related includes "Possible", "Probable", or "Definite" related.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.7.1

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**T14.3.3 Summary of Frequency of All Adverse Events by Severity  
(Safety Population)**

| Body System                   | MedDRA Term | Treatment Group A<br># of Events<br>(N = xxx) |                  |                | Treatment Group B<br># of Events<br>(N = xxx) |                  |                | Treatment Group C<br># of Events<br>(N = xxx) |                  |                |
|-------------------------------|-------------|---|------------------|----------------|---|------------------|----------------|---|------------------|----------------|
|                               |             | Mild<br>n(%)                                  | Moderate<br>n(%) | Severe<br>n(%) | Mild<br>n(%)                                  | Moderate<br>n(%) | Severe<br>n(%) | Mild<br>n(%)                                  | Moderate<br>n(%) | Severe<br>n(%) |
| Patients with at least one AE | Total       | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      |
| Ear and labyrinth disorders   | Ear pain    | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      | xx (xx.x)                                     | xx (xx.x)        | xx (xx.x)      |
|                               | etc.        |   |                  |                |   |                  |                |   |                  |                |

etc.

N = Total number of events in each treatment group; Percentage is based on total number of events.

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.7.1

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**T14.3.4 Summary of Frequency of Serious Adverse Events  
(Safety Population)**

| Body System                                       | MedDRA Term       | Treatment<br>Group A<br>N=xx<br># of Events | Treatment<br>Group B<br>N=xx<br># of Events | Treatment<br>Group C<br>N=xx<br># of Events |
|---|-------------------|---|---|---|
| Injury, poisoning and<br>procedural complications | Alcohol poisoning |   | xx  | xx  |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.7.1

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**T14.3.5 Summary of Vital Signs  
(Safety Population)**

| Vital Sign (unit)              | Visit                 | Statistic     | Treatment Group A<br>N=xx | Treatment Group B<br>N=xx | Treatment Group C<br>N=xx |
|--------------------------------|-----------------------|---------------|---------------------------|---------------------------|---------------------------|
| Systolic Blood Pressure (mmHg) | 1<br>3<br>5<br>6<br>7 | n             | xx                        | xx                        | xx                        |
|                                |                       | Mean $\pm$ SD | xxx.x $\pm$ xx.x          | xxx.x $\pm$ xx.x          | xxx.x $\pm$ xx.x          |
|                                |                       | Median        | xxx.x                     | xxx.x                     | xxx.x                     |
|                                |                       | Range         | xxx - xxx                 | xxx - xxx                 | xxx - xxx                 |

Diastolic Blood Pressure (mmHg)

Heart Rate (beats/min)

Respiration Rate (breaths/min)

Temperature (F)

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.8.4

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**T14.3.6 Summary of Clinical Laboratory Testing (Hematology)  
(Safety Population)**

| Lab Test (unit)  | Visit | Statistic     | Treatment Group A<br>N=xx | Treatment Group B<br>N=xx | Treatment Group C<br>N=xx |
|------------------|-------|---------------|---------------------------|---------------------------|---------------------------|
| Hemoglobin (g/L) | 1     | n             | xx                        | xx                        | xx                        |
|                  |       | Mean $\pm$ SD | xxx.x $\pm$ xx.x          | xxx.x $\pm$ xx.x          | xxx.x $\pm$ xx.x          |
|                  |       | Median        | xxx.x                     | xxx.x                     | xxx.x                     |
|                  |       | Range         | xxx - xxx                 | xxx - xxx                 | xxx - xxx                 |
|                  | 3     |               |                           |                           |                           |
|                  | 7     |               |                           |                           |                           |

Hematocrit (L/L)  
etc

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.8.1  
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**T14.3.7 Summary of Clinical Laboratory Testing (Chemistry)  
(Safety Population)**

**T14.3.8 Summary of Clinical Laboratory Testing (Urinalysis)  
(Safety Population)**

**T14.3.9 Summary of Frequency of Application Site Reactions  
(Safety Population)**

| Signs and Symptoms | Visit | Scale    | Treatment Group A<br>N=xx | Treatment Group B<br>N=xx | Treatment Group C<br>N=xx |
|--------------------|-------|----------|---------------------------|---------------------------|---------------------------|
| Erythema (redness) | 3     | Absent   | xxx (xx.x%)               | xxx (xx.x%)               | xxx (xx.x%)               |
|                    |       | Mild     | xxx (xx.x%)               | xxx (xx.x%)               | xxx (xx.x%)               |
|                    |       | Moderate | xxx (xx.x%)               | xxx (xx.x%)               | xxx (xx.x%)               |
|                    |       | Severe   | xxx (xx.x%)               | xxx (xx.x%)               | xxx (xx.x%)               |
|                    | 4     |          |                           |                           |                           |
|                    | 5     |          |                           |                           |                           |
|                    | 6     |          |                           |                           |                           |
|                    | 7     |          |                           |                           |                           |
| Scaling/Dryness    |       |          |                           |                           |                           |
| Stinging/Burning   |       |          |                           |                           |                           |
| Erosion            |       |          |                           |                           |                           |
| Edema (swelling)   |       |          |                           |                           |                           |
| Pain               |       |          |                           |                           |                           |
| Itching            |       |          |                           |                           |                           |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.7.2

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**T14.3.10 Summary of Frequency of Evaluation of Toenail Condition/Appearance  
(Safety Population)**

| Visit   | Scale                     | Treatment Group A<br>N=xx                        | Treatment Group B<br>N=xx   | Treatment Group C<br>N=xx   |
|---------|---------------------------|--|---|---|
| Visit 2 | Self-assessed by Patients | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |
|         | Assessed by Investigator  | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |
|         |                           | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |
|         |                           | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |
|         |                           | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |
|         |                           | Very Poor<br>Poor<br>Normal<br>Good<br>Very Good | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) | xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%)<br>xxx (xx.x%) |

Visit 3

Visit 4

Visit 5

Visit 6

Visit 7

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

Source: Listing L16.2.6.4

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#### L16.2.1 Listing of Discontinued Patients

| Treatment         | Patient<br>Randomization<br>Number | Discontinuation<br>Reason                  | Population             |
|-------------------|------------------------------------|--|------------------------|
| Treatment Group A | xx-xxxx<br>xx-xxxx                 | Withdrawal by Patient<br>Lost to Follow-up | Per-Protocol<br>Safety |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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#### L16.2.2 Listing of Protocol Deviations

| Treatment Group   | Patient Randomization Number | Event Description              | Population |
|-------------------|------------------------------|--------------------------------|------------|
| Treatment Group A | xx-xxxx                      | Outside Visit Window (Visit 3) | Safety     |
| Treatment Group B |                              |                                |            |
| Treatment Group C |                              |                                |            |

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.3 Listing of Patients Excluded from the Analysis Population**

| Treatment Group   | Patient Randomization Number | Included in Safety Population? | Reason. If Not Included in Safety Population | Included in ITT Population? | Reason. If Not Included in ITT Population |
|-------------------|------------------------------|--------------------------------|--|-----------------------------|---|
| Treatment Group A | xx-xxxx                      | Yes                            |  | No                          | xxxxxxxxxx                                |

Treatment Group B  
Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.4.1 Listing of Demographic Data**

| Treatment Group   | Patient Randomization Number | Age | Gender | Ethnicity              | Race                      |
|-------------------|------------------------------|-----|--------|------------------------|---------------------------|
| Treatment Group A | xx-xxxx                      | 30  | Female | Not Hispanic or Latino | Black or African American |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.4.2 Listing of Medical History**

| Treatment Group   | Patient Randomization Number | System      | Diagnosis or Surgical Procedure | Start Date | End Date   | Ongoing |
|-------------------|------------------------------|-------------|---------------------------------|------------|------------|---------|
| Treatment Group A | xx-xxxx                      | Gynecologic | Menopause                       | --/--/2012 | yyyy-mm-dd |         |

Treatment Group B  
Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.4.3 Listing of Concomitant Medication**

| Treatment Group   | Patient Randomization Number | Treatment Area | Medication | Dosage | Frequency* | Route | Start/End Date           | Indication |
|-------------------|------------------------------|----------------|------------|--------|------------|-------|--------------------------|------------|
| Treatment Group A | xx-xxxx                      | No             | Advil      | 2 TAB  | QD         | Oral  | --/-/2017/<br>08/12/2017 | Cold       |

Treatment Group B

Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

\*PRN - As needed; QD – Daily (once per day); Q4H - Every 4 hours; Q8H - Every 8 hours; Q12H - Every 12 hours; BID - Twice per day; TID - 3 times per day; QID - 4 times per day; QOD - Every other day; QS - Every week; QM - Every month; Q3M - Every 3 months

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**L16.2.5 Listing of Diary Entries and Study Compliance**

| Treatment Group   | Patient Randomization Number | Period               | Date of First Dose       | Date of Last Dose        | Total Doses Applied | Did the patient have between 75% - 125% dosing compliance? | Did the patient miss the scheduled applications for 4 or more consecutive days? |
|-------------------|------------------------------|----------------------|--------------------------|--------------------------|---------------------|--|---|
| Treatment Group A | xxxx                         | Period 1<br>Period 2 | yyyy-mm-dd<br>yyyy-mm-dd | yyyy-mm-dd<br>yyyy-mm-dd | xx<br>xx            | No<br>No   | No<br>No  |

Treatment Group B  
Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.1 Listing of Investigator Clinical Assessment**

| Treatment Group   | Patient Randomization Number | Visit | Exam Area    | Result   | Number of Toenails Infected | Nail Appearance | Target Toenail |
|-------------------|------------------------------|-------|--------------|----------|-----------------------------|-----------------|----------------|
| Treatment Group A | xx-xxxx                      | 1     | Foot (Left)  | Abnormal | 2                           | xxxxxxxx        | Left big toe   |
|                   |                              | 1     | Foot (Right) | Normal   |                             |                 |                |
|                   |                              | 3     |              |          |                             |                 |                |
|                   |                              | 4     |              |          |                             |                 |                |
|                   |                              | 5     |              |          |                             |                 |                |
|                   |                              | 6     |              |          |                             |                 |                |
|                   |                              | 7     |              |          |                             |                 |                |

Treatment Group B

Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.2.1 Listing of Investigator Visual Assessment (Percent Nail Involvement of the Target Toenail)**

| Treatment Group   | Patient Randomization Number | Visit1 | Visit2 | Visit3 | Visit4 | Visit5 | Visit6 | Visit7 |
|-------------------|------------------------------|--------|--------|--------|--------|--------|--------|--------|
| Treatment Group A | xx-xxxx                      | xxx    |

Treatment Group B  
Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.2.2 Listing of Canfield Assessment (Percent Nail Involvement of the Target Toenail)**

**L16.2.6.3 Listing of KOH Wet Mount & Mycological Culture**

| Treatment Group   | Patient Randomization Number | Visit | Was the KOH Wet Mount sample taken from the designated Target Toenail? | KOH Wet Mount Result | Was the fungal culture sample taken from the designated Target Toenail? | Fungal Culture Result | Reason Not Done |
|-------------------|------------------------------|-------|--|----------------------|---|-----------------------|-----------------|
| Treatment Group A | xx-xxxx                      | 1     | Yes  | Positive             | Yes   | Positive              |                 |
|                   |                              | 4     |  |                      |   |                       |                 |
|                   |                              | 5     |  |                      |   |                       |                 |
|                   |                              | 7     |  |                      |   |                       |                 |

Treatment Group B

Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.4 Listing of Investigator & Patient Evaluation Of Toenail Appearance & Condition**

| Treatment Group   | Patient Randomization Number | Visit | Investigator Evaluation | Patient Evaluation |
|-------------------|------------------------------|-------|-------------------------|--------------------|
| Treatment Group A | xxxx                         | 2     | 1 Very Poor             | 2 Poor             |
|                   |                              | 3     | 2 Poor                  | 2 poor             |
|                   |                              | 4     |                         |                    |
|                   |                              | 5     |                         |                    |
|                   |                              | 6     |                         |                    |
|                   |                              | 7     |                         |                    |
|                   |                              |       |                         |                    |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.5.1 Listing of Efficacy Endpoints (Observed Case)**

| Treatment Group   | Patient Randomization Number | Test of Cure Visit | Mycological Cure | Secondary Endpoints    |                            |                                  |  | Primary Endpoint |
|-------------------|------------------------------|--------------------|------------------|------------------------|----------------------------|----------------------------------|--|------------------|
|                   |                              |                    |                  | Complete Clinical Cure | Satisfactory Clinical Cure | Almost Complete Therapeutic Cure | Complete or Almost Complete Therapeutic Cure |                  |
| Treatment Group A | xx-xxxx                      | Day 141            | No               | No                     | Yes                        | No                               | No   | No               |
|                   |                              | Day 281            | N/A              | No                     | Yes                        | N/A                              | N/A  | N/A              |
|                   |                              | Day 365            | Yes              | Yes                    | Yes                        | Yes                              | Yes  | Yes              |

Treatment Group B  
Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.6.5.2 Listing of Efficacy Endpoints Using Canfield Evaluation**

**L16.2.6.5.4 Listing of Primary Efficacy Endpoints (Sensitivity Analysis)**

| Treatment Group   | Patient Randomization Number | Test of Cure Visit | Sensitivity Analysis 1  |                     | Sensitivity Analysis 2  |                     |
|-------------------|------------------------------|--------------------|-------------------------|---------------------|-------------------------|---------------------|
|                   |                              |                    | Per-Protocol Evaluation | Canfield Evaluation | Per-Protocol Evaluation | Canfield Evaluation |
| Treatment Group A | xx-xxxx                      | Day 141            | No                      | No                  | No                      | No                  |
|                   |                              | Day 281            | Yes                     | Yes                 | Yes                     | Yes                 |
|                   |                              | Day 365            | Yes                     | Yes                 | Yes                     | Yes                 |

Treatment Group B  
Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.7.1 Listing of Adverse Events by treatment group**

| Treatment Group   | Patient Randomization Number | Body System / MedDRA Term / AE Term                     | Treatment Area | Start /End Date         | Severity | Relationship to Study Drug | Outcome   | Action Taken     | Other Action Taken | SAE? |
|-------------------|------------------------------|---|----------------|-------------------------|----------|----------------------------|-----------|------------------|--------------------|------|
| Treatment Group A | xx-xxxx                      | Nervous system disorders / No<br>Headache /<br>Headache |                | yyyy-mm-dd / yyyy-mm-dd | Mild     | Possible                   | Recovered | Dose Not Changed | None               | No   |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.7.2 Listing of Application Site Reactions**

| Treatment Group   | Patient Randomization Number | Visit | Signs and Symptoms* |                     |                      |         |                     |      |
|-------------------|------------------------------|-------|---------------------|---------------------|----------------------|---------|---------------------|------|
|                   |                              |       | Erythema            | Scaling/<br>Dryness | Stinging/<br>Burning | Erosion | Edema<br>(swelling) | Pain |
| Treatment Group A | xxxx                         | 3     | 0                   | 0                   | 0                    | 1       | 0                   | 0    |
|                   |                              | 4     | 0                   | 1                   | 0                    | 0       | 0                   | 0    |
|                   |                              | 5     | 0                   | 0                   | 0                    | 1       | 0                   | 0    |
|                   |                              | 6     | 0                   | 0                   | 0                    | 1       | 0                   | 2    |
|                   |                              | 7     | 0                   | 0                   | 0                    | 1       | 0                   | 2    |

Treatment Group B

Treatment Group C

\*Signs and symptoms: 0 = Absent; 1 = Mild; 2 = Moderate; 3 = Severe

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.7.3 Listing of Abnormal Physical Examination Results**

| Treatment Group   | Patient Randomization Number | Visit   | System         | Result         | If Abnormal, provide comment |
|-------------------|------------------------------|---------|----------------|----------------|------------------------------|
| Treatment Group A | xx-xxxx                      | Visit 4 | Cardiovascular | Abnormal (NCS) | xxxxxxxxxxxxxxxxxxxx         |

Treatment Group B

Treatment Group C

Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.7.4 Listing of Positive Pregnancy Test Results**

| Treatment Group   | Patient Randomization Number | Visit   | Results  |
|-------------------|------------------------------|---------|----------|
| Treatment Group A | xx-xxxx                      | Visit 4 | Positive |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.8.1 Listing of Clinical Laboratory Testing Results (Hematology)**

| Treatment Group   | Patient Randomization Number | Visit | Date       | Lab Test   | SI Results | SI Unit | Normal Range |
|-------------------|------------------------------|-------|------------|------------|------------|---------|--------------|
| Treatment Group A | xx-xxxx                      | 1     | yyyy-mm-dd | Hemoglobin | xxx        | g/L     | xxx, xxx     |
|                   |                              | 3     | yyyy-mm-dd | Hemoglobin | xxx        | g/L     | xxx, xxx     |
|                   |                              | 7     | yyyy-mm-dd | Hemoglobin | xxx        | g/L     | xxx, xxx     |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**L16.2.8.2 Listing of Clinical Laboratory Testing Results (Chemistry)**

**L16.2.8.3 Listing of Clinical Laboratory Testing Results (Urinalysis)**

**L16.2.8.4 Listing of Vital Signs**

| Treatment Group   | Patient Randomization Number | Visit | Systolic BP (mmHg) | Diastolic BP (mmHg) | Heart Rate (beats/min) | Respiration Rate (breath/min) | Temperature (F) |
|-------------------|------------------------------|-------|--------------------|---------------------|------------------------|-------------------------------|-----------------|
| Treatment Group A | xx-xxxx                      | 1     | 120                | 70                  | 84                     | 18                            | 98.6            |
|                   |                              | 3     | 140                | 80                  | 74                     | 18                            | 97.0            |
|                   |                              | 5     |                    |                     |                        |                               |                 |
|                   |                              | 6     |                    |                     |                        |                               |                 |
|                   |                              | 7     |                    |                     |                        |                               |                 |

Treatment Group B

Treatment Group C

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Treatment Group A: Novexatin® 10% Topical Solution for Days 1 – 56 and Placebo (Vehicle) Topical Solution for Days 281 – 336

Treatment Group B: Novexatin® 10% Topical Solution for Days 1 – 56 and Days 281 – 336

Treatment Group C: Placebo (Vehicle) Topical Solution for Days 1 – 56 and Days 281 – 336

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**NOVUM PHARMACEUTICAL RESEARCH SERVICES**  
**STATISTICAL ANALYSIS PLAN**

Novexatin 10% topical solution

Protocol / Study No. NVXT 1404/71442603

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## **10. APPENDICES**

### **Appendix A: Evaluation of Toenail Condition/appearance**

The number of toenails infected should be counted. The toenail, one of the two great toenails, that the investigator considers to be the most appropriate as the target toenail will be identified at Visit 2 and designated as the "target toenail" and used for all further evaluations.

#### **Evaluation of Toenail condition/appearance**

The overall appearance of the target toenail over time will be monitored during the study:

Self-assessed by patients. The results of the self-assessment will be recorded by the patient before beginning therapy at Visit 2 and at all subsequent visits.. Patients will use a 5-point scale for target toenail appearance:

1. Very Poor
2. Poor
3. Normal/acceptable
4. Good
5. Very good

Assessed by Investigator. Investigators will rate the status of the target toenail before patient begins therapy at Visit 2 and at all subsequent clinic visits. Nail condition/appearance by investigator will be evaluated after nail trimming to the distal groove and before further trimming, if required, for the mycological evaluation. Investigators will use a 5-point scale target toenail appearance:

1. Very Poor
2. Poor
3. Normal/acceptable
4. Good
5. Very good

**NOVUM PHARMACEUTICAL RESEARCH SERVICES  
STATISTICAL ANALYSIS PLAN**

**Novexatin 10% topical solution**

**Protocol / Study No. NVXT 1404/71442603**

**Appendix B: Application Site Reactions**

Monitoring of local tolerability (inflammation) will be based upon established methods including observation of the area of administration and response scoring by the study personnel. Upon the visits to the clinical study site, each patient will be examined for signs of local inflammation and irritation that may be associated with the study products. If any such signs are identified, the response will be graded as follows:

**Signs and Symptoms:**

Erythema (redness)

Scaling/Dryness

Stinging/Burning

Erosion

Edema (swelling)

Pain

Itching

**Grading Scale:**

Absent 0

Mild 1 (slight, barely perceptible)

Moderate 2 (distinct presence)

Severe 3 (marked, intense)

Application site reactions will not be separately recorded as AEs since they are being captured at each visit for erythema, burning/stinging, erosion, edema, pain, itching or dryness unless the Investigator feels it is appropriate to do so.