

# **Clinical Study Protocol**

# A Phase III, Randomised, Double-blind, Multicentre Study to Compare the Efficacy, Safety, Pharmacokinetics and Immunogenicity between SB8 (proposed bevacizumab biosimilar) and Avastin® in Subjects with Metastatic or Recurrent Non-squamous Non-small Cell Lung Cancer

**Product** SB8 (proposed bevacizumab biosimilar)

**EudraCT Number** 2015-004026-34

US IND Number (if applicable) NA

Protocol Number SB8-G31-NSCLC

Study Phase III Phase III

Version and Effective Date Amendment 2.0 Aug 18, 2016

Amendment 1.0 Dec 17, 2015

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# **SYNOPSIS**

Name of Sponsor/Company:	Samsung Bioepis Co., Ltd.
Name of Finished Product:	SB8 (proposed bevacizumab biosimilar)
Name of Active Ingredient:	Bevacizumab

# Title of Study:

A Phase III, Randomised, Double-blind, Multicentre Study to Compare the Efficacy, Safety, Pharmacokinetics and Immunogenicity between SB8 (proposed bevacizumab biosimilar) and Avastin<sup>®</sup> in Subjects with Metastatic or Recurrent Non-squamous Non-small Cell Lung Cancer

Protocol No: SB8-G31-NSCLC PI	Phase: III
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#### **Indication:**

Metastatic or recurrent non-squamous non-small cell lung cancer (NSCLC)

## **Objectives:**

# Primary Objective:

The primary objective is to demonstrate the equivalence of SB8 to Avastin<sup>®</sup>, in terms of the best overall response rate (ORR) by 24 weeks of chemotherapy in subjects with metastatic or recurrent non-squamous NSCLC.

# Secondary Objectives:

The secondary objectives are:

- To evaluate the efficacy of SB8 compared to Avastin<sup>®</sup> by
  - Progression free survival (PFS)
  - Overall survival (OS)
  - Duration of response (DOR)
- To evaluate the safety and tolerability of SB8 compared to Avastin<sup>®</sup>
- To evaluate the pharmacokinetics of SB8 compared to Avastin®
- To evaluate the immunogenicity of SB8 compared to Avastin<sup>®</sup>

## **Exploratory Objective:**

The exploratory objective is:

• To evaluate the best ORR by 11 and 17 weeks

# **Study Design:**

This is a Phase III, randomised, double-blind, multicentre study to evaluate the efficacy and safety of SB8 compared to Avastin<sup>®</sup>. Subjects with metastatic or recurrent non-squamous NSCLC without known activating epidermal growth factor receptor (EGFR) gene mutations or anaplastic lymphoma

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kinase (ALK) gene translocations will be randomised in a 1:1 ratio, stratified by age (< 70 and ≥ 70) and gender to receive either SB8 or Avastin<sup>®</sup> (administered intravenously 15 mg/kg every 3 weeks) concurrently with chemotherapy (for at least 4 cycles and up to 6 cycles of paclitaxel 200 mg/m² plus carboplatin AUC 6 every 3 weeks). Subjects will undergo radiographic assessment of disease status (computed tomography [CT] or magnetic resonance imaging [MRI]) according to the Response Evaluation Criteria in Solid Tumours, Version 1.1 (RECIST v1.1) after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every four cycles until there is radiographic documentation of progressive disease (PD), unacceptable toxicity, death, or end of study, whichever occurs first. If subjects show response to treatment, defined as complete response (CR)/partial response (PR)/stable disease (SD) after completion of the induction treatment period of combination chemotherapy with SB8 or Avastin<sup>®</sup>, they will receive SB8 or Avastin<sup>®</sup> maintenance therapy as per randomisation until disease progression, unacceptable toxicity, death, or end of study (EOS). Adverse Event (AE) information will be collected until end of treatment (EOT) visit (at least 21 days after last IP administration and prior to subsequent therapy).

Approximately 50% of the enrolled subjects will have blood samples collected for PK analysis of SB8 or Avastin®, at pre-dose and post-dose of Cycle 1, 3, 5, and 7.

All randomised subjects will be evaluated for ADA against SB8 or Avastin® at Baseline (pre-dose of Cycle 1) and during treatment (pre-dose of Cycle 3, 5, and 7) and EOT visit.

# **Number of Subjects:**

A total of approximately 678 subjects (339 per treatment group) will be enrolled into this study.

#### **Target Population:**

Subjects with metastatic or recurrent non-squamous NSCLC without known activating EGFR gene mutations or ALK gene translocations

# **Eligibility Criteria:**

### Inclusion criteria

Subjects must meet all of the following criteria to be eligible for the study:

- 1. Aged  $\geq$  18 years (if local regulations are different in this regard, follow the local regulations).
- 2. ECOG performance status of 0-1 at Screening.
- 3. Histologically and/or cytologically confirmed metastatic (AJCC 7<sup>th</sup> edition TNM stage IV) or recurrent non-squamous NSCLC or NSCLC-not otherwise specified (NOS).
- 4. At least one measurable lesion according to RECIST v1.1.
- 5. Adequate haematological function at Screening defined as the following:

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- a. Absolute neutrophil count (ANC)  $\geq 1,500/\text{mm}^3$  ( $\geq 1.5 \times 10^9/\text{L}$ ).
- b. Platelet count  $\geq 100,000/\text{mm}^3 (\geq 100 \times 10^9/\text{L})$ .
- c. Haemoglobin  $\geq 9$  g/dL (without transfusion within 14 days prior to Randomisation).
- 6. Adequate hepatic function at Screening defined as the following:
  - a. Total bilirubin  $\leq$  1.5 × upper limit of normal (ULN) (in cases of known Gilbert's syndrome  $\leq$  3 × ULN).
  - b. Aspartate aminotransferase (AST) and alanine aminotransferase (ALT)  $< 3 \times ULN$  (in case of liver metastases  $< 5 \times ULN$ ).
  - c. Alkaline phosphatase (ALP)  $< 3 \times ULN$  (in case of liver metastases  $< 5 \times ULN$ ).
- 7. Adequate renal function at Screening defined as the following:
  - a. Serum creatinine ≤ 1.5 × ULN or creatinine clearance (CCr) measured or calculated according to Cockcroft-Gault formula ≥ 50 mL/min.
  - b. Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is ≥ 2+, 24 hours urine protein excretion should be < 1 g or protein/creatinine ratio in spot urine should be < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).</p>
- 8. Subjects and their partners of childbearing potential (female or male) including those with history of elective sterilisation (e.g. fallopian tube ligation) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of investigational product (IP). A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be considered sufficient for subjects who do not have a partner.
- Subjects must be able to provide informed consent, which must be obtained prior to any study related procedures.

# Exclusion criteria

Subjects meeting any of the following criteria are not eligible for the study:

- Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung. For
  mixed tumour with the component of squamous cell carcinoma, it should be categorised
  according to predominant histology. Any component of small cell carcinoma of the lung is to
  be excluded.
- 2. Known activating mutations in EGFR gene or transforming re-arrangements of ALK gene.

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- 3. Radiological or clinical evidence of tumour invasion into blood vessels or close to large vessels that may have risk of bleeding at the discretion of Investigator.
- 4. History of systemic anti-cancer therapy administered in the first-line setting for metastatic or recurrent disease of NSCLC.
- 5. Any systemic anti-cancer therapy including neoadjuvant or adjuvant chemotherapy administered for NSCLC and completed less than 12 months prior to Randomisation.
- 6. Previously treated with a monoclonal antibody and/or molecule targeting VEGFR-related and/or EGFR-related signalling pathways.
- 7. Radiotherapy within 14 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy are not considered as measurable lesion unless there has been demonstrated progression in the lesion.).
- 8. Major surgical procedure within 28 days prior to Randomisation (e.g., requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy) or expected major surgical procedure during the study.
- 9. Minor surgical procedure within 7 days prior to Randomisation (e.g., requiring local anaesthesia or following procedures: mediastinoscopy, percutaneous needle aspiration, core biopsy, placement of vascular access device, endobronchoscopy ultra sono & transbronchial needle biopsy [EBUS & TBNA], pleural biopsy, thoracentesis, pleurodesis, catheter insertions/removal, tooth extraction, superficial incision.
- 10. Subject with non-healing wound.
- 11. Symptomatic brain metastasis and/or leptomeningeal disease. Baseline brain imaging is strongly recommended to evaluate for presence of brain metastases. If brain metastases are found, they can be treated according to local practice at the discretion of investigator. Treatment options for brain metastases may include whole brain radiation, radiosurgery, craniotomy, etc. as deemed medically appropriate by the investigator. Subjects should have no neurologic symptoms off corticosteroids for at least 1 day to ensure that subjects do not have symptomatic brain metastasis. If subjects initially developed symptomatic brain metastases that resolved after treatment, they could be considered 'asymptomatic' and eligible for the study if they have no residual neurological dysfunction off corticosteroids for at least 1 day.
- 12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been in complete remission and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma in situ of the cervix or breast, or superficial bladder cancer.
- 13. Life expectancy is less than 3 months.

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- 14. Evidence of clinically significant haemorrhagic diathesis or underlying coagulopathy.
- 15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation (e.g., clopidogrel [≥ 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase, streptokinase, urokinase).
- 16. History of active gastroduodenal ulcer within 3 months prior to Randomisation.
- 17. Uncontrolled hypertension (blood pressure: systolic > 150 mmHg and/or diastolic > 100 mmHg) despite antihypertensive therapy or hypertensive crisis or hypertensive encephalopathy.
- 18. Any of the following events within 6 months prior to Screening:
  - a. Myocardial infarction or unstable angina.
  - b. Pulmonary embolism.
  - c. History of congestive heart failure (CHF) (New York Heart Association, NYHA, Class ≥ II).
  - d. Coronary/peripheral artery bypass graft surgery.
  - e. Stroke or transient ischemic attack.
  - f. Deep vein thrombosis.
  - g. Abdominal fistulae as well as non-GI fistulae, GI perforation and/or fistulae, GI-vaginal fistulae, or intra-abdominal abscess.
  - h. Gastrointestinal bleeding, haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood) or any other major bleeding events.
- 19. Symptomatic peripheral sensory, motor, autonomic neuropathy NCI-CTCAE v4.03 grade ≥ 2 and/or ototoxicity grade ≥ 2, except if due to trauma or mechanical impairment.
- 20. Serologically confirmed active or chronic hepatitis B or hepatitis C (asymptomatic inactive carriers are allowed at investigator's discretion per local standards).
- 21. Acquired immunodeficiency syndrome or known seropositivity for human immunodeficiency virus (HIV).
- 22. Live/attenuated vaccine within 12 weeks prior to Randomisation (killed/inactivated or recombinant vaccine is allowed.).
- 23. Known allergy or hypersensitivity to any of the treatment components.

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- 24. Risk of osteonecrosis of jaw (ONJ) (e.g., treated with intravenous bisphosphonates and/or invasive dental procedures within 28 days prior to Randomisation).
- 25. Uncontrolled malignant pleural effusion (e.g., recurrent despite drainage or sclerosing agents).
- 26. Pregnancy or lactation period.
- 27. Subjects unwilling to follow the study requirements.
- 28. Inappropriate other medical conditions for the study at the discretion of Investigator.
- 29. Currently enrolled in another interventional clinical study.
- Previous administration of other investigational product(s) within 28 days prior to Randomisation.

# **Planned Study Period:**

Recruitment is expected to last approximately 2 years. Treatment will be given to randomised subjects until disease progression, unacceptable toxicity, death, or 12 months from Randomisation of the last subject, whichever occurs first. Subjects will be followed for survival status and whether subsequent systemic anti-cancer therapy is received or not by clinic visit or telephone contact every 3 months until withdrawal of consent or death or 12 months from Randomisation of the last subject.

# **Investigational Products:**

- Name: SB8 (proposed bevacizumab biosimilar) or EU sourced Avastin®
- Route of administration: intravenous (IV) infusion
- Dose: 15 mg/kg every 3 weeks, the initial dose should be delivered over 90 minutes. If the
  first infusion is well tolerated, the second infusion may be administered over 60 minutes. If
  the 60-minute infusion is well tolerated, all subsequent infusions may be administered over 30
  minutes.

## **Non-investigational Products:**

- Paclitaxel 200 mg/m<sup>2</sup> IV infusion over 3 hours every 3 weeks on Day 1 for at least 4 cycles and up to 6 cycles in the absence of disease progression or unacceptable toxicity
- Carboplatin AUC 6 IV infusion over 30 minutes every 3 weeks on Day 1 for at least 4 cycles and up to 6 cycles in the absence of disease progression or unacceptable toxicity

# Main Criteria for Evaluation

# Primary endpoint

 The best ORR by 24 weeks of chemotherapy (best ORR is defined as the proportion of subjects whose best overall response is either complete response [CR] or partial response

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[PR] according to RECIST v1.1)

Tumour assessment will be performed after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles according to RECIST v1.1 and tumour size will be assessed by both Investigators and independent central reviewer. The primary efficacy analysis will be based on the data from the independent central review.

# Secondary endpoints

The secondary efficacy endpoints are:

- Progression free survival (PFS), defined as the time from the date of Randomisation to the
  date of disease progression or death regardless of the cause of death. Subjects who are not
  progressed at the time of analysis will be censored at the date of EOT visit or the last tumour
  assessment date if the date of EOT is not available.
- Overall survival (OS), defined as the time from the date of Randomisation to the date of death regardless of the cause of death. Subjects who are alive at the time of analysis will be censored at the date of last known alive.
- Duration of response (DOR), defined as the time from documented tumour response (complete or partial) until documented disease progression. Only the subjects who achieve an initial tumour response will be evaluated for DOR.

# Safety endpoint is:

• Incidence of adverse events (AEs) and serious adverse events (SAEs)

Safety of subjects will be monitored by physical examination, ECOG performance status and vital sign assessment. Biochemical and haematological laboratory parameters will also be measured.

AEs will be collected and classified according to NCI-CTCAE v4.03.

The pharmacokinetic endpoints are:

- C<sub>trough</sub> at pre-dose of Cycle 1, 3, 5, and 7
- C<sub>max</sub> at post-dose of Cycle 1, 3, 5, and 7

Blood sampling for PK will be collected in approximately 50% of the enrolled subjects.

The immunogenicity endpoint is:

• Incidence of anti-drug antibodies (ADAs) at pre-dose of Cycle 1, 3, 5, 7, and at the EOT visit (at least 21 days after last IP administration and prior to subsequent therapy)

Exploratory endpoint is:

• Best ORR by 11 and 17 weeks

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#### **Statistical Methods**

#### Analysis set

Randomised set (RAN) will consist of all subjects who receive a randomisation number at the Randomisation.

Full analysis set (FAS) will consist of all randomised subjects. The subjects will be analysed based on the treatment they were randomised to by intention-to-treat principle. However, subjects who do not qualify for randomisation and are inadvertently randomised into the study will be excluded from FAS, provided these subjects do not receive any IP during the study.

Per-protocol set (PPS) will consists of all FAS subjects who complete at least two cycles of combination chemotherapy with a tumour assessment and do not have any major protocol deviations that impact the primary efficacy assessment. Major protocol deviations that will lead to exclusion from the PPS will be pre-specified, and PPS will be determined prior to unblinding the treatment codes.

Safety set (SAF) will consist of all subjects who received the study drug at least once. This analysis set will be used for the safety analyses. The subjects will be analysed based on the actual treatment they received.

PK population will consist of subjects allocated to PK sub-study who have at least one measurable serum concentration of bevacizumab.

# Efficacy analysis

For US FDA or other regulatory agency submissions for those who are in favour of risk ratio, the primary efficacy analysis for demonstrating the equivalence of SB8 to Avastin® will be done for the ratio of the best ORR (best ORR of SB8/best ORR of Avastin®) by 24 weeks in the FAS. The equivalence will be declared if the two-sided 90% confidence interval (CI) of the best ORR ratio is contained within the pre-defined equivalence margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary efficacy result.

For EMA, MFDS or other regulatory agency submissions for those who are in favour of risk difference, the primary efficacy analysis will be performed for the difference of the best ORR (best ORR of SB8 – best ORR of Avastin®) by 24 weeks between SB8 and Avastin® in the PPS, and the equivalence will be declared if the two-sided 95% CI of the best ORR difference is contained within the pre-defined equivalence margin of [-12.5%, 12.5%]. The similar analysis will be performed for the FAS to support the primary efficacy result.

# Safety analyses

All reported terms for AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA) and the grade of severity will be reported by NCI-CTCAE v4.03. AEs will be summarised descriptively by treatment group. Changes in vital signs and clinical laboratory measurements will be summarised descriptively by treatment group. All other safety variables will be summarised

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descriptively by treatment group.

## Pharmacokinetic analyses

The PK blood samples will be collected in approximately 50% of the enrolled subjects. The PK parameters will be summarised descriptively by treatment group at selected cycles.

#### Immunogenicity analyses

Incidence of ADAs will be summarised by treatment group and cycle and listed by treatment group.

# Sample size calculation

Regarding the calculation of the equivalence margin for the ratio of the best ORR by 24 weeks, a metaanalysis published by Botrel et al. using all of the four published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered.

The overall ratio of the best ORR and its 70% CI from above four studies are calculated to be using the fixed effect method from meta-analysis. Retaining the % of the effect of Avastin® over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.

For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%, 12.5%] will be used due to the similar derivation.

With 305 completers in each treatment group, the two-sided 90% CI of the best ORR ratio is expected to lie within [0.737, 1.357] with approximately 80% power, and the two-sided 95% CI of the best ORR difference between Avastin<sup>®</sup> and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected best ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.

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# **FLOWCHARTS**

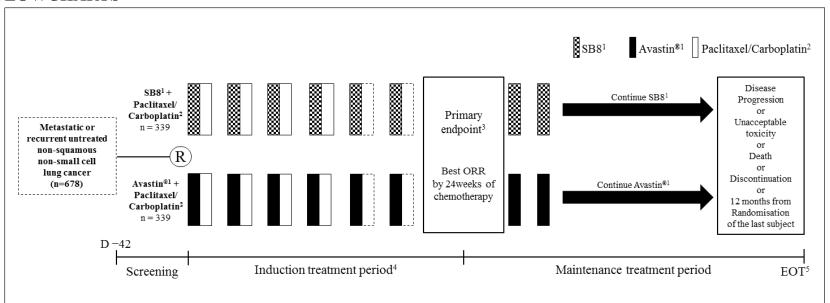


Figure 1. Graphical Study Design

® = Randomisation; ORR = overall response rate; EOT = end of treatment

Carboplatin AUC 6 IV infusion over 30 minutes every 3-week on Day 1 for at least 4 cycles and up to 6 cycles.

<sup>5</sup>EOT is defined as discontinuation of treatment due to disease progression, unacceptable toxicity, death, or last administration of IP before end of study. EOT visit will be performed at least 21 days after last IP administration and prior to subsequent therapy. Subjects will be followed for survival status and whether subsequent systemic anti-cancer therapy is received or not by clinic visit or telephone contact every 3 months from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up or initiation of subsequent therapy for NSCLC) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from Randomisation of the last subject, whichever occurs first.

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<sup>&</sup>lt;sup>1</sup>SB8 or Avastin<sup>®</sup> 15 mg/kg IV infusion every 3 weeks on Day 1.

<sup>&</sup>lt;sup>2</sup>Paclitaxel 200 mg/m<sup>2</sup> IV infusion over 3 hours every 3-week on Day 1 for at least 4 cycles and up to 6 cycles.

<sup>&</sup>lt;sup>3</sup>Primary endpoint is the best ORR by 24 weeks of chemotherapy with SB8 or Avastin<sup>®</sup>.

<sup>&</sup>lt;sup>4</sup>Physical examination, vital sign, ECOG performance status, reviewing the laboratory values including haematology, biochemistry, urinalysis, and reviewing IP compliance will be performed at each cycle. Tumour assessment will be performed after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles since cycle 6 until disease progression or death or end of study. Tumour response will be assessed using CT or MRI following Image Acquisition Guideline that will be provided by Sponsor.

**Table 1. Schedule of Activities** 

Assessments	Screening	Induction Treatment Period <sup>22</sup>							Mainten Treatment l	EOT <sup>25</sup>	F/U <sup>26</sup>	
Cycle		1	2	3	4	5 <sup>23</sup>	6 <sup>23</sup>	7	Every	Every		Every
Day of Cycle	Within 42 days prior to	1	1	1	1	1	1	1	cycle since Cycle 6	4 cycles since Cycle 6		3 months
Visit window (days)	Randomisation	±3	± 3	± 3	± 3	± 3	± 3	± 3	± 3	± 3		± 7
Informed consent <sup>1</sup>	✓											
Demographic information <sup>2</sup>	✓											
Medical history <sup>3</sup>	✓											
Physical examination including height (Screening visit only) and weight <sup>4</sup>	✓	<b>✓</b>	✓	✓	✓	✓	✓	✓	✓		<b>√</b>	
Vital signs <sup>5</sup>	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	
ECOG status	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	
Haematology <sup>6</sup>	✓	<b>√</b> 10	✓	✓	✓	✓	✓	<b>√</b>	✓		✓	
Coagulation test <sup>7</sup>	✓	(✔)	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√</b> )	<b>(√)</b>	<b>(√)</b>	(✓)		(✓)	
Biochemistry <sup>8</sup>	✓	<b>✓</b> <sup>10</sup>	✓	✓	✓	✓	✓	✓	✓		✓	
Urinalysis <sup>9</sup>	✓	<b>✓</b> <sup>10</sup>	✓	✓	✓	✓	✓	✓	✓		✓	
Serology (HBV/HCV infection test) <sup>11</sup>	✓	(✓)	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	(✓)		(✓)	
Pregnancy test (serum or urine) <sup>12</sup>	✓	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	<b>(√)</b>	(✓)		✓	
12-lead ECG	✓										✓	
Tumour assessment <sup>13</sup>	✓		✓		✓		✓			✓		
Randomisation		<b>√</b> 14										
SB8 or Avastin <sup>®16</sup>		<b>√</b> 15	✓	✓	✓	✓	✓	<b>✓</b>	✓			
Paclitaxel/Carboplatin <sup>17</sup>		<b>√</b> 15	✓	✓	✓	✓	✓					
Blood sample for immunogenicity <sup>18</sup>		✓		✓		✓		<b>√</b>			✓	
Blood sample for PK <sup>19</sup>		✓		✓		✓		<b>√</b>				
Concomitant and previous medication <sup>20</sup>	<b>√</b>		Continuously									(✓)

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Assessments	Screening	Induction Treatment Period <sup>22</sup>						Maintenance Treatment Period <sup>24</sup>			EOT <sup>25</sup>	F/U <sup>26</sup>
Cycle		1	2	3	4	5 <sup>23</sup>	6 <sup>23</sup>	7	Every	Every		Every
Day of Cycle	Within 42 days prior to	1	1	1	1	1	1	1	cycle since Cycle 6	4 cycles since Cycle 6		3 months
Visit window (days)	Randomisation	± 3	± 3	± 3	± 3	± 3	± 3	± 3	± 3	± 3		± 7
AEs and SAEs <sup>21</sup>	✓		Continuously								(✓)	
Survival status			Continuously								✓	

AEs = adverse events; ECG = electrocardiogram; EOT = end of treatment; ECOG = eastern cooperative oncology group; F/U = follow-up; PK = pharmacokinetics; HBV = hepatitis B virus; HCV = hepatitis C virus; HIV = human immunodeficiency virus; SAEs = serious adverse events

- 1. Written informed consent must be obtained before any study related assessments.
- 2. Demographic data includes the date of birth, gender, race and ethnicity.
- 3. Medical history and major surgical procedures including malignancy other than NSCLC and those medical conditions described in the exclusion criteria, in the last 5 years.
- 4. Complete physical examination for Screening visit, including height and weight; abbreviated physical examination including weight at subsequent visit. Physical examination should be performed pre-dose on dosing days.
- 5. Vital signs include blood pressure, pulse rate, and body temperature. If a vital sign result is outside the expected range for the subject's age, gender and race, then it should be repeated after 5 minutes' rest.
- 6. Blood sampling for haematology and biochemistry should be collected at pre-dose within 3 days prior to the administration of IPs of each cycle. Haematology tests include haemoglobin, platelet count, WBC including differential (neutrophils, lymphocytes, monocytes, eosinophils, and basophils).
- 7. Blood coagulation test, international normalised ratio (INR) will be performed at Screening. Additional blood coagulation test will be performed at the discretion of Investigator if there are any suspicious cases.
- 8. Biochemistry tests include creatinine, urea (blood urea nitrogen [BUN]), serum aspartate aminotransferase (AST), serum alanine aminotransferase (ALT), total bilirubin, alkaline phosphatase (ALP), albumin, and electrolytes (sodium, potassium, chloride).
- 9. If urine dipstick is ≥ 2+ (other ways of urinalysis are also acceptable), 24 hours urine protein excretion is < 1 g or protein/creatinine ratio in spot urine is < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).
- 10. Haematology, biochemistry and urinalysis need to be completed within 28 days prior to randomisation. They may not need to be repeated on Day 1 of Cycle 1 if the tests have been performed within 14 days prior to administration of IPs.
- 11. Hepatitis B and hepatitis C tests should be performed during Screening period according to local practice. Known history of HIV infection will be confirmed separately at the discretion of Investigator. Additional HBV/HCV tests will be performed at the discretion of Investigator if there are any suspicious cases.
- 12. For all women with reproductive potential including women who had menopause onset within 2 years prior to Randomisation, serum or urine pregnancy test must be performed within 2 weeks prior to Randomisation. A serum or urine pregnancy test should be performed at EOT visit. Additional pregnancy test will be performed at the discretion of Investigator if there are any suspicious cases.
- 13. Tumour assessments should be performed at Screening (within a maximum of 21 days prior to Randomisation) and after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles until disease progression, unacceptable toxicity, death, or end of study. If tumour assessment was already performed according to the schedule but next IP administration needs to be delayed due to any reasons, tumour assessment does not need to be repeated. At least one measurable lesion should be confirmed prior to Randomisation. If the baseline tumour assessment was not performed within 21 days prior to Randomisation, it should be repeated. Tumour response will be assessed using CT or MRI following Image Acquisition Guideline that will be provided by Sponsor. The same modality used at Screening will be used throughout the study.

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- 14. All screening procedures must be completed and reviewed within 42 days prior to randomisation. All eligibility criteria must be reviewed and confirmed prior to Randomisation.
- 15. The first dose of IP and non-IPs should be administered within 7 days after Randomisation.
- 16. SB8 or Avastin® will be administered via IV infusion before paclitaxel and carboplatin at a dose of 15 mg/kg every 3 weeks for at least 4 cycles and up to 6 cycles, and then SB8 or Avastin® maintenance monotherapy will be administered at a dose of 15 mg/kg every 3 weeks until disease progression, unacceptable toxicity, death, or end of study.
- 17. Paclitaxel 200 mg/m<sup>2</sup> and carboplatin AUC 6 will be administered as an IV infusion on Day 1 of each cycle every 3 weeks for at least 4 cycles and up to 6 cycles. Subjects will also receive the pre-medications (e.g., steroids and anti-emetics) and adequate hydration on dosing days.
- 18. Blood sampling for immunogenicity will be performed in all randomised subjects. Blood sampling for immunogenicity will be taken at pre-dose on Day 1 of Cycle 1, 3, 5, and 7, and at the EOT visit (at least 21 days after last IP administration and prior to subsequent therapy). If the administration of IPs is delayed for any reasons after pre-dose blood sampling for immunogenicity, blood sampling for immunogenicity does not need to be repeated in delayed visit for the treatment.
- 19. Blood sampling for PK analysis will be performed at pre-dose and post-dose of IP (within 15 minutes after the end of infusion) of Cycle 1, 3, 5, and 7 in approximately 50% of the enrolled subjects. If the administration of IPs is delayed for any reasons after pre-dose blood sampling for PK is collected, pre-dose blood sampling for PK does not need to be repeated in delayed visit for the treatment, however, post-dose blood sampling for PK analysis should be performed within 15 minutes after the end of infusion. In all cases, the exact date and time of PK sampling and administration of IP must be carefully recorded in the source documentation to ensure the data are usable.
- 20. Concomitant and previous (within 28 days prior to Screening) medications will be recorded at Screening and concomitant medications are to be monitored continuously during the study treatment and after EOT visit, if such information is related to SAEs.
- 21. All AEs will be reported in the eCRF from the time when the informed consent form is signed until the EOT visit (progression of NSCLC and death due to progression of NSCLC are not to be reported as an AE or SAE). After the EOT visit, only SAEs will be reported using the paper SAE report form.
- 22. Subject will receive either SB8 or Avastin<sup>®</sup> concurrently with chemotherapy for at least 4 cycles and up to 6 cycles. After completion of the induction treatment period, maintenance treatment with IPs monotherapy will be a start.
- 23. Induction chemotherapy at Cycle 5 and 6 may be replaced with the maintenance therapy at the discretion of Investigator. In this case, all other activities except for non-IPs infusion must follow originally planned activities at each cycle.
- 24. SB8 or Avastin® maintenance monotherapy will be continued every 3 weeks until disease progression, unacceptable toxicity, death, withdrawal of consent, or end of study.
- 25. EOT is defined as discontinuation of treatment due to disease progression, unacceptable toxicity, death, consent withdrawal, or last administration of IP before end of study. EOT visit will be performed at least 21 days after last IP administration and prior to subsequent therapy.
- 26. Subjects will be followed for survival status and whether subsequent systemic anti-cancer therapy is received or not by clinic visit or telephone contact every 3 months from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up, or initiation of subsequent therapy for NSCLC) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from randomisation of the last subject, whichever occurs first.

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# LIST OF ABBREVIATIONS

ADA Anti-drug Antibody

AE Adverse Event

AJCC American Joint Committee on Cancer

ALK Anaplastic Lymphoma Kinase

ALP Alkaline Phosphatase

ALT Alanine Transaminase

ANC Absolute Neutrophil Count

AST Aspartate Transaminase

AUC Area Under the Curve

BSA Body Surface Area

CCr Creatinine Clearance

CHF Congestive Heart Failure

CI Confidence Interval

CL Clearance

C<sub>max</sub> Maximum Concentration

CNS Central Nervous System

CRO Contract Research Organisation

CT Computed Tomography

C<sub>trough</sub> Trough Concentration

CR Complete Response

DOR Duration of Response

DSMB Data and Safety Monitoring Board

Samsung Bioepis – Confidential Page 15 of 165 EC Ethics Committee

ECOG Eastern Cooperative Oncology Group

eCRF Electronic Case Report Form

EGFR Epidermal Growth Factor Receptor

EMA European Medicines Agency

EOS End of Study

EOT End of Treatment

EPAR European Public Assessment Reports

EU European Union

FAS Full Analysis Set

FDA Food and Drug Administration

GCP Good Clinical Practice

G-CSF Granulocyte Colony-stimulating Factor

GFR Glomerular Filtration Rate

HBV Hepatitis B Virus

HCV Hepatitis C Virus

HIV Human Immunodeficiency Virus

HR Hazard Ratio

IB Investigator's Brochure

ICF Informed Consent Form

INR International Normalised Ratio

IP Investigational Product

IRB Institutional Review Board

Samsung Bioepis – Confidential Page 16 of 165 IV Intravenous

IWRS Interactive Web Recognition System

MFDS Ministry of Food and Drug Safety

MRI Magnetic Resonance Imaging

NAb Neutralising Antibody

NCCN National Comprehensive Cancer Network

NCI National Cancer Institute

NCI-CTCAE National Cancer Institute- Common Terminology Criteria for Adverse Events

NSCLC Non-small Cell Lung Cancer

NSAID Non-steroid Anti Inflammatory Drug

NYHA New York Heart Association

ORR Overall Response Rate

OS Overall Survival

PD Progressive Disease

PFS Progression-free Survival

PK Pharmacokinetics

PPS Per-protocol Set

PC Paclitaxel/carboplatin

PR Partial Response

PS Performance Status

RECIST Response Evaluation Criteria in Solid Tumours

SAE Serious Adverse Event

SAF Safety Set

Samsung Bioepis – Confidential Page 17 of 165 SAP Statistical Analysis Plan

SD Stable Disease

SLD Sum of Longest Diameters of Target Lesions

SmPC Summary of Product Characteristics

TEAE Treatment-emergent Adverse Event

ULN Upper Limit of Normal

US United States of America

Vc Volume Distribution of the Central

VEGF Vascular Endothelial Growth Factor

WBC White Blood Cell Count

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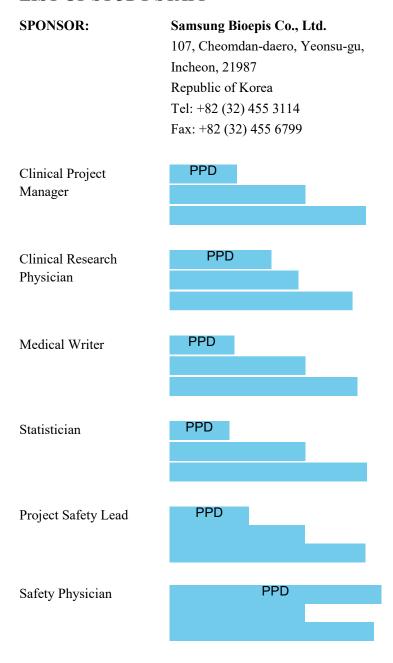
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# 1. INTRODUCTION

# 1.1. Background

Lung cancer is the second most common cancer in men and the third most common in women, accounting for about 13% of cancer diagnoses, but it is the leading cause of cancer-related deaths in industrialised countries. In Europe 2012, estimated new cases of lung cancer are 410,000 and deaths from lung cancer are 353,000 [Ferlay, 2013]. The 5-year relative survival rate varies depending on the stage at diagnosis, from 22.9% to 2.8% for patients with local and distant stage disease, respectively [SEER Cancer Statistics, 1975-2009].

Approximately half of non-squamous non-small cell lung cancer (NSCLC) patients have advanced stage at diagnosis beyond curative resection [Jemal, 2009]. The median overall survival with metastatic NSCLC is only 4-5 months and survival rate at one year 10% with best supportive care [Rapp, 1988]. A meta-analysis has represented that combination chemotherapy leads to improvements of overall survival compared to best supportive care. Current combination chemotherapy showed a response rate of 19-32% and a median overall survival of 7.9 to 11.3 months [NSCLC Collaborative Group, 1995; Schiller, 2002].

The efficacy and safety of Avastin®, in combination to platinum-based chemotherapy, in the first-line treatment of patients with NSCLC, were investigated in studies E4599 [Sandler, 2006] and AVAiL (BO17704) [Reck, 2009]. E4599 study reported significantly improved overall survival (OS) and progression-free survival (PFS) with bevacizumab plus platinum-based chemotherapy versus chemotherapy alone (median OS 12.3 vs. 10.3 months [p = 0.003], median PFS 6.2 vs. 4.5months [p < 0.001]). AVAiL study has demonstrated significant PFS prolongation with bevacizumab compared with placebo. Adding bevacizumab for chemotherapy showed better treatment effect in non-squamous NSCLC.

Recently, a few tyrosine kinase inhibitors (TKI) demonstrated overwhelming benefit for subjects with epidermal growth factor receptor (EGFR) gene mutations and anaplastic lymphoma kinase (ALK) gene re-arrangements. In IPASS study, EGFR TKI has shown significantly prolonged PFS compared with standard chemotherapy (24.9% with gefitinib vs. 6.7% with paclitaxel-carboplatin in 12 months PFS rates) [Mok, 2009]. Another study of crizotinib versus chemotherapy in advanced ALK-positive lung cancer, the median PFS was 7.7 months in the crizotinib group and 3.0 months in the chemotherapy group [Shaw, 2013]. However, approximately 15% of patients with NSCLC in the United States and European Union have EGFR gene mutations and about 5% of patient with NSCLC have ALK gene rearrangements. Also patients with unknown genetic alterations or with genetic alterations but who cannot receive TKI treatment for various reasons are generally treated with platinum-based chemotherapy as first-line chemotherapy.

Bevacizumab, a monoclonal antibody against vascular endothelial growth factor (VEGF), has

Samsung Bioepis – Confidential Page 25 of 165 been shown to benefit patients with a variety of cancers. There are studies that the addition of bevacizumab to platinum-based chemotherapy of patients with non-squamous NSCLC has a significant survival benefit. Considering the proper number of cycles of chemotherapy, no survival benefit was shown with administration of more than 4 cycles. Lately, in another study, all patients were given 4 cycles of chemotherapy followed by maintenance therapy with bevacizumab alone, OS was approximately 13.6 months. This result of OS is not inferior to that from another study with 6 cycles of chemotherapy [Patel, 2013].

Therefore, paclitaxel and carboplatin with bevacizumab for at least 4 and up to 6 cycles and then maintenance therapy with bevacizumab is one of rational options for non-squamous NSCLC.

# 1.2. Investigational Product: SB8

## 1.2.1. Overview of SB8

SB8 is under development as a similar biological medicinal product to Avastin® (bevacizumab, Roche Registration Ltd.). Avastin® is currently indicated for the treatment of patients with metastatic carcinoma of the colon or rectum, metastatic breast cancer, non-small cell lung cancer, advanced and/or metastatic renal cell cancer, epithelial ovarian, fallopian tube or primary peritoneal cancer, and carcinoma of the uterine cervix [Avastin® SmPC, 2015]. SB8 and Avastin® have identical primary structure and the active substance for both products is bevacizumab produced in a Chinese Hamster Ovary (CHO) mammalian cell line transformed by recombinant deoxyribonucleic acid (DNA) technology. Bevacizumab is a recombinant humanised monoclonal IgG1 antibody that selectively binds to and inhibits the interaction of VEGF to its receptors (Flt-1 and KDR) on the surface of endothelial cells. The interaction of VEGF with its receptors leads to endothelial cell proliferation and new blood vessel formation in *in vitro* models of angiogenesis.

SB8 was extensively characterised and compared to Avastin<sup>®</sup> using "state-of-the-art" methods. These studies were in accordance with the principles laid out in the comparability guidelines including the 'Guideline on similar biological medicinal products containing biotechnology-derived proteins as active substance: Quality issues (revision 1)'

[EMEA/CHMP/BWP/49348/2005]. The biosimilarity set of analytical methods is appropriately designed and covers the analysis of the primary structure, higher order structure, post-translational modifications, and bioassays. It was demonstrated that SB8 has similar structural and physicochemical characteristics with Avastin<sup>®</sup>.

SB8 is presented as a concentrate for infusion in a single-use vial containing either 100 mg of bevacizumab in 4 mL or 400 mg of bevacizumab in 16 mL. Both presentations of SB8 contain 25 mg/mL of bevacizumab and the concentration of the excipients is also identical between the 100 mg and 400 mg presentations. A 25 mg/mL solution of SB8 is a sterile, clear to slightly

Samsung Bioepis – Confidential Page 26 of 165 opalescent, colourless to pale brown solution for intravenous (IV) infusion and preservative-free (pH 5.0).

## 1.2.2. Non-clinical Data of SB8

The summary of the data from non-clinical studies including repeated dose toxicity study in non-human primates is described in Investigator's brochure (IB).

As outlined in the 'Guideline on similar biological medicinal products containing monoclonal antibodies' [EMEA/CHMP/BMWP/42832/2005], a risk-based approach was taken to the non-clinical evaluation of SB8. A series of *in vitro* pharmacodynamics studies was performed in order to demonstrate comparability between SB8 and the reference product (Avastin<sup>®</sup>). In addition, *in vivo* non-clinical toxicology has been performed in cynomolgus monkeys with SB8 and Avastin<sup>®</sup>. Toxicokinetic and *in vivo* pharmacodynamics evaluation were also performed as a part of *in vivo* toxicity study.

## 1.2.3. Clinical Data of SB8

Based on the biosimilarity between SB8 and Avastin<sup>®</sup> demonstrated through extensive quality and non-clinical similarity exercises, a Phase I study was conducted in healthy male subjects to compare the PK, safety, tolerability and immunogenicity of SB8 to Avastin<sup>®</sup> and a Phase III study will be conducted in subjects with metastatic or recurrent non-squamous NSCLC to compare the efficacy, safety, PK, and immunogenicity of SB8 to Avastin<sup>®</sup>. Information on the safety of SB8 based on the product information of Avastin<sup>®</sup> is presented in the Investigator's Brochure (IB).

# 1.3. Comparator Drug: Avastin®

# 1.3.1. Clinical Pharmacokinetics of Avastin®

The PK of Avastin<sup>®</sup> has been studied in patients with solid tumours. Formal drug-drug interaction studies have not been performed with Avastin<sup>®</sup>.

Distribution: the typical value for central volume (Vc) was 2.73 L and 3.28 L for female and male patients respectively, which is in the range that has been described for IgGs and other monoclonal antibodies. The typical value for peripheral volume (Vp) was 1.69 L and 2.35 L for female and male patients respectively, when bevacizumab is co-administered with antineoplastic agents. After correcting for body weight, male patients had a larger Vc (+ 20%) than female patients.

Metabolism: assessment of bevacizumab metabolism in rabbits following a single IV dose of 125I-bevacizumab indicated that its metabolic profile was similar to that expected for a native IgG molecule which does not bind VEGF. The metabolism and elimination of bevacizumab is

Samsung Bioepis – Confidential Page 27 of 165 similar to endogenous IgG (i.e., primarily via proteolytic catabolism throughout the body, including endothelial cells, and does not rely primarily on elimination through the kidneys and liver). Binding of the IgG to the FcRn receptor results in protection from cellular metabolism and the long terminal half-life.

Elimination: the value for clearance is, on average, equal to 0.188 and 0.220 L/day for female and male patients, respectively. After correcting for body weight, male patients had a higher bevacizumab clearance (+ 17%) than females. According to the two-compartmental model, the elimination half-life is 18 days for a typical female patient and 20 days for a typical male patient.

Low albumin and high tumour burden are generally indicative of disease severity. Bevacizumab clearance was approximately 30% faster in patients with low levels of serum albumin and 7% faster in subjects with higher tumour burden when compared with a typical patient with median values of albumin and tumour burden.

## 1.3.2. Clinical Data of Avastin®

# 1.3.2.1. Avastin® in Non-small Cell Lung Cancer

Avastin® has clinical activity in patients with NSCLC in combination with chemotherapy. Two pivotal Phase III studies demonstrated that the combination of bevacizumab and chemotherapy significantly prolonged OS or PFS compared with chemotherapy alone in patients with locally advanced metastatic or recurrent NSCLC [Sandler, 2006; Reck, 2009]. Therefore, Avastin® is currently approved for use as combination in patients with NSCLC, with platinum-based chemotherapy for first-line treatment of unresectable, locally advanced, recurrent or metastatic disease.

Avastin® was investigated in 2 large multicentre, randomised studies in patients with locally advanced, metastatic or recurrent NSCLC.

# **E4599 Study [Sandler, 2006]**

The E4599 study enrolled 878 patients with locally advanced (stage IIIb with malignant pleural effusion), metastatic or recurrent NSCLC other than predominantly squamous cell histology.

Patients were randomised to platinum-based chemotherapy (paclitaxel 200 mg/m² and carboplatin AUC = 6.0), both by IV infusion (PC) on Day 1 of every 3-week cycle for up to 6 cycles or PC in combination with Avastin® at a dose of 15 mg/kg IV infusion Day 1 of every 3-week cycle. After completion of six cycles of PC chemotherapy or upon premature discontinuation of chemotherapy, patients on the Avastin® + carboplatin + paclitaxel arm continued to receive Avastin® as a single agent every 3 weeks until disease progression.

The overall response rate was achieved 59 of 392 patients (15%) in the PC group and 133 of

Samsung Bioepis – Confidential Page 28 of 165 381 patients (35%) in the Avastin® + PC group.

# AVAiL study [Reck, 2009]

In this randomised, Phase III study, patients with locally advanced (stage IIIb with supraclavicular lymph node metastases or with malignant pleural or pericardial effusion), metastatic or recurrent non-squamous NSCLC, who had not received prior chemotherapy were randomly assigned to gemcitabine + cisplatin (GC) or Avastin® 7.5 mg/kg + GC, or Avastin® 15 mg/kg + GC. Patients were randomised to platinum-based chemotherapy, cisplatin 80 mg/m² IV infusion on Day 1 and gemcitabine 1250 mg/m² IV infusion on Days 1 and 8 of every 3-week cycle for up to 6 cycles (GC) with placebo or GC with Avastin® at a dose of 7.5 or 15 mg/kg IV infusion day 1 of every 3-week cycle. In the Avastin® containing arms, patients could receive Avastin® as a single-agent every 3 weeks until disease progression or unacceptable toxicity.

The ORR was significantly higher in Avastin<sup>®</sup> plus GC (34.1% in the Avastin<sup>®</sup> 7.5 mg/kg + GC, 30.4% in the Avastin<sup>®</sup> 15 mg/kg + GC) than GC (20.1%).

# 1.4. Rationale for the Study

Bevacizumab is a vascular endothelial growth factor (VEGF)-specific angiogenesis inhibitor indicated for the treatment of non-squamous NSCLC. In 2006 in the US, it was approved for the first-line treatment of unresectable, locally advanced, recurrent or metastatic NSCLC in combination with paclitaxel and carboplatin [Avastin® Prescribing Information, 2015]. In 2007 in the EU, it was approved for first-line treatment of patients with metastatic, unresectable advanced or recurrent NSCLC other than predominantly squamous cell histology [Avastin® SmPC, 2015]. Two drug chemotherapy regimens which combine a platinum agent with paclitaxel, docetaxel, vinorelbine, irinotecan, and gemcitabine are usually accepted as standard of care for the treatment of advanced NSCLC. Up to date, there is no evidence that one platinum-based regimen was superior to another in term of efficacy [Schiller, 2002], indicating that determining the platinum compound is mainly based on health care professional's preference. In the community of thoracic oncology there is the perception that the best efficacy of anti-angiogenic agents may be achieved in combination with taxanes. In E4599 study, median OS and PFS was increased by 2 months and 1.7 months respectively when bevacizumab was added to first-line PC therapy compared with PC alone [Sandler, 2006]. In AVAiL study, median PFS was significantly increased (6.7 months vs. 6.1 months) in high dose bevacizumab group compared with placebo [Reck, 2009]. The purpose of this study is to evaluate the equivalence of clinical efficacy of Avastin® combined PC and SB8 combined PC in first-line treatment of nonsquamous NSCLC.

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# 2. STUDY OBJECTIVES

# 2.1. Primary Objective

The primary objective of this study is to demonstrate the equivalence of SB8 to Avastin<sup>®</sup>, in terms of the best overall response rate (ORR) by 24 weeks of chemotherapy in subjects with metastatic or recurrent non-squamous NSCLC.

Best ORR is defined as the proportion of subjects whose best overall response is either complete response [CR] or partial response [PR] according to RECIST v1.1; tumour assessment will be performed after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles according to RECIST v1.1 and assessed by both Investigators and independent central reviewer. The primary efficacy analysis will be based on the data from the independent central review.

# 2.2. Secondary Objectives

The secondary objectives are:

- To evaluate the efficacy of SB8 compared to Avastin® by
  - Progression free survival (PFS)
  - Overall survival (OS)
  - Duration of response (DOR)
- To evaluate the safety and tolerability of SB8 compared to Avastin®
- To evaluate the pharmacokinetics of SB8 compared to Avastin®
- To evaluate the immunogenicity of SB8 compared to Avastin®

## 2.3. Exploratory Objective

The exploratory objective is:

• To evaluate the best ORR by 11 and 17 weeks

## 3. STUDY DESIGN

# 3.1. Overview of Study Design

This is a Phase III, randomised, double-blind, multicentre study to evaluate the efficacy and safety of SB8 compared to Avastin<sup>®</sup>. Subjects with metastatic or recurrent non-squamous

Samsung Bioepis – Confidential Page 30 of 165 NSCLC without known activating epidermal growth factor receptor (EGFR) gene mutations or anaplastic lymphoma kinase (ALK) gene translocations will be randomised in a 1:1 ratio, stratified by age (< 70 and > 70) and gender to receive either SB8 or Avastin<sup>®</sup> (administered intravenously 15 mg/kg every 3 weeks) concurrently with chemotherapy (for at least 4 cycles and up to 6 cycles of paclitaxel 200 mg/m<sup>2</sup> plus carboplatin AUC 6 every 3 weeks). Subjects will undergo radiographic assessment of disease status (computed tomography [CT] or magnetic resonance imaging [MRI]) according to the Response Evaluation Criteria in Solid Tumours, Version 1.1 (RECIST v1.1) after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles until there is radiographic documentation of progressive disease (PD), unacceptable toxicity, death, or end of study, whichever occurs first. If subjects show response to treatment, defined as complete response (CR)/partial response (PR)/stable disease (SD) after completion of the induction treatment period of combination chemotherapy with SB8 or Avastin®, they will receive SB8 or Avastin® maintenance therapy as per randomisation until disease progression or unacceptable toxicity or death, or end of study. Adverse Event (AE) information will be collected until end of treatment (EOT) visit (at least 21 days after last IP administration and prior to subsequent therapy).

Approximately 50% of the enrolled subjects will have blood samples collected for PK analysis at pre-dose and post-dose of Cycle 1, 3, 5, and 7.

All randomised subjects will be evaluated for ADA against SB8 or Avastin<sup>®</sup> at Baseline (predose of Cycle 1) and during treatment (pre-dose of Cycle 3, 5, and 7) and EOT visit.

## 3.2. Rationale for Study Design

# 3.2.1. Rationale for Dose Selection of SB8 or Avastin®

The safety and efficacy of Avastin®, in addition to platinum-based chemotherapy, in the first-line treatment of patients with non-squamous NSCLC, was investigated in studies E4599 and AVAiL. In E4599 study, patients were randomised to platinum-based chemotherapy (paclitaxel 200 mg/m² and carboplatin AUC 6), both by IV infusion (PC) on Day 1 of every 3-week cycle for up to 6 cycles or PC in combination with Avastin® at a dose of 15 mg/kg IV infusion Day 1 of every 3-week cycle. After six cycles of paclitaxel-carboplatin chemotherapy or upon premature discontinuation of chemotherapy, patients on the Avastin® + PC treatment group continued to receive Avastin® as a single agent every 3 weeks until disease progression. Median OS and PFS are 12.3 months and 6.4 months in the bevacizumab combined PC group, as compared with 10.3 months and 4.8 months in the PC group [Sandler, 2006]. In AVAiL study, patients were randomised to platinum-based chemotherapy, cisplatin 80 mg/m² IV infusion on Day 1 and gemcitabine 1250 mg/m² IV infusion on Days 1 and 8 of every 3-week cycle for up to 6 cycles gemcitabine and cisplatin (GC) with placebo or GC with Avastin® at a dose of 7.5 or 15 mg/kg IV infusion Day 1 of every 3-week cycle. In the Avastin® containing treatment group, patients could receive Avastin® as a single-agent every 3 weeks until disease progression or

Samsung Bioepis – Confidential Page 31 of 165 unacceptable toxicity. There are significant PFS prolongation, when bevacizumab group was compared with placebo group (placebo 6.1 months, Avastin<sup>®</sup> 7.5 mg/kg 6.7 months, Avastin<sup>®</sup> 15 mg/kg 6.5 months). However, OS was not significantly increased in bevacizumab for the 7.5 and 15 mg/kg group, respectively, versus placebo, possibly due to high use of efficacious second-line therapies [Reck, 2009].

## 3.2.2. Rationale for Selection of Chemotherapy Regimen

Over the past decade, a number of new agents have become available for the treatment of metastatic NSCLC, including the taxanes, gemcitabine, and vinorelbine. The combination of one or more of these agents with a platinum compound has resulted in high response rates and prolonged survival at one year in Phase II studies [Sandler, 1995; Abratt, 1995; Crino, 1995; Langer, 1995; Le Chevalier, 1995]. The improvements offered by cisplatin-based regimens, though significant in terms of survival and quality of life, were modest at best. Carboplatin, which possesses a toxicity profile favourable to that of its parent analogue cisplatin, yielded survival rates superior to that of the cisplatin-combination chemotherapy arms in a large randomised study of patients with metastatic NSCLC. With the introduction of taxanes in the early 1990s, paclitaxel demonstrated single-agent activity of 21% to 24%, with a 40% 1-year survival rate in metastatic disease. The next generation of Phase I/II studies evaluated the efficacy of paclitaxel in combination with carboplatin. Results with this regimen have shown substantial promise, and 1-year survival rates as high as 54% have been reported [Chandra, 1998]. Also, taxanes appears to be potent at inhibiting angiogenesis. Sweeney et al., demonstrated that docetaxel inhibited endothelial cell proliferation and tubule formation in vitro in a dose-dependent fashion [Sweeney, 2001].

#### 3.2.3. Rationale for Pharmacokinetic Assessment

A randomised, three arm, parallel, single-dose comparative PK study was conducted in healthy male volunteers to demonstrate similarity in PK profiles of SB8 and EU sourced Avastin<sup>®</sup> and US sourced Avastin<sup>®</sup>. However, since target-mediated clearance of bevacizumab can be more accurately investigated in patients, additional PK assessments will be performed in this comparative efficacy study to provide supportive evidence to PK similarity.

# 3.2.4. Rationale for Immunogenicity Assessments

Immune responses may affect both safety and effectiveness such as altering PK, inducing anaphylaxis, or promoting development of neutralising antibodies (NAbs) that neutralise the product as well as its endogenous protein counterpart. For subject safety and for demonstrating biosimilarity, immunogenicity will be assessed in this study according to the recommended guideline.

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## 3.3. Number of Subjects

Approximately 678 subjects (339 per treatment group) will be randomised in this study over a planned recruitment period of approximately 2 years.

# 4. STUDY POPULATION

#### 4.1. Overview

The study population for this study is subjects with metastatic or recurrent non-squamous NSCLC.

# 4.2. Inclusion Criteria

Subjects must meet all of the following criteria to be eligible for the study:

- 1. Aged ≥ 18 years (if local regulations are different in this regard, follow the local regulations).
- 2. ECOG performance status of 0-1 at Screening.
- 3. Histologically and/or cytologically confirmed metastatic (AJCC 7<sup>th</sup> edition TNM stage IV) or recurrent non-squamous NSCLC or NSCLC-not otherwise specified (NOS).
- 4. At least one measurable lesion according to RECIST v1.1.
- 5. Adequate haematological function at Screening defined as the following:
  - a. Absolute neutrophil count (ANC)  $\geq 1,500/\text{mm}^3$  ( $\geq 1.5 \times 10^9/\text{L}$ ).
  - b. Platelet count  $\geq 100,000/\text{mm}^3 (\geq 100 \times 10^9/\text{L})$ .
  - c. Haemoglobin  $\geq 9$  g/dL (without transfusion within 14 days prior to Randomisation).
- 6. Adequate hepatic function at Screening defined as the following:
  - a. Total bilirubin  $\leq 1.5 \times$  upper limit of normal (ULN) (in cases of known Gilbert's syndrome  $\leq 3 \times$  ULN).
  - b. Aspartate aminotransferase (AST) and alanine aminotransferase (ALT)  $< 3 \times ULN$  (in case of liver metastases  $< 5 \times ULN$ ).
  - c. Alkaline phosphatase (ALP)  $< 3 \times ULN$  (in case of liver metastases  $< 5 \times ULN$ ).
- 7. Adequate renal function at Screening defined as the following:

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- a. Serum creatinine ≤ 1.5 × ULN or creatinine clearance (CCr) measured or calculated according to Cockcroft-Gault formula ≥ 50 mL/min.
- b. Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is ≥ 2+, 24 hours urine protein excretion should be < 1 g or protein/creatinine ratio in spot urine should be < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).</p>
- 8. Subjects and their partners of childbearing potential (female or male) including those with history of elective sterilisation (e.g., fallopian tube ligation) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of IP. A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be considered sufficient for subjects who do not have a partner.
- 9. Subjects must be able to provide informed consent, which must be obtained prior to any study related procedures.

#### 4.3. Exclusion Criteria

Subjects meeting any of the following criteria are not eligible for the study:

- Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung. For mixed tumour with the component of squamous cell carcinoma, it should be categorised according to predominant histology. Any component of small cell carcinoma of the lung is to be excluded.
- 2. Known activating mutations in EGFR gene or transforming re-arrangements of ALK gene.
- 3. Radiological or clinical evidence of tumour invasion into blood vessels or close to large vessels that may have risk of bleeding at the discretion of Investigator.
- 4. History of systemic anti-cancer therapy administered in the first-line setting for metastatic or recurrent disease of NSCLC.
- 5. Any systemic anti-cancer therapy including neoadjuvant or adjuvant chemotherapy administered for NSCLC and completed less than 12 months prior to Randomisation.
- 6. Previously treated with a monoclonal antibody and/or molecule targeting VEGFR-related and/or EGFR-related signalling pathways.

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- 7. Radiotherapy within 14 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy are not considered as measurable lesion unless there has been demonstrated progression in the lesion.).
- 8. Major surgical procedure within 28 days prior to Randomisation (e.g., requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy) or expected major surgical procedure during the study
- 9. Minor surgical procedure within 7 days prior to Randomisation (e.g., requiring local anaesthesia or following procedures; mediastinoscopy, percutaneous needle aspiration, core biopsy, placement of vascular access device, endobronchoscopy ultra sono & transbronchial needle biopsy [EBUS & TBNA], pleural biopsy, thoracentesis, pleurodesis, catheter insertions/removal, tooth extraction, superficial incision.
- 10. Subject with non-healing wound.
- 11. Symptomatic brain metastasis and/or leptomeningeal disease. Baseline brain imaging is strongly recommended to evaluate for presence of brain metastases. If brain metastases are found, they can be treated according to local practice at the discretion of investigator. Treatment options for brain metastases may include whole brain radiation, radiosurgery, craniotomy, etc. as deemed medically appropriate by the investigator. Subjects should have no neurologic symptoms off corticosteroids for at least 1 day to ensure that subjects do not have symptomatic brain metastasis. If subjects initially developed symptomatic brain metastases that resolved after treatment, they could be considered 'asymptomatic' and eligible for the study if they have no residual neurological dysfunction off corticosteroids for at least 1 day.
- 12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been in complete remission and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma *in situ* of the cervix or breast, or superficial bladder cancer.
- 13. Life expectancy is less than 3 months.
- 14. Evidence of clinically significant haemorrhagic diathesis or underlying coagulopathy.
- 15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation (e.g., clopidogrel [≥ 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase,

Samsung Bioepis – Confidential Page 35 of 165 streptokinase, urokinase).

- 16. History of active gastroduodenal ulcer within 3 months prior to Randomisation.
- 17. Uncontrolled hypertension (blood pressure: systolic > 150 mmHg and/or diastolic > 100 mmHg) with antihypertensive therapy, or hypertensive crisis or hypertensive encephalopathy.
- 18. Any of the following events within 6 months prior to Screening:
  - a. Myocardial infarction or unstable angina.
  - b. Pulmonary embolism.
  - c. History of congestive heart failure (CHF) (New York Heart Association, NYHA, Class ≥ II).
  - d. Coronary/peripheral artery bypass graft surgery.
  - e. Stroke or transient ischemic attack.
  - f. Deep vein thrombosis.
  - g. Abdominal fistulae as well as non GI fistulae, GI perforation and/or fistulae, GI-vaginal fistulae, or intra-abdominal abscess.
  - h. Gastrointestinal bleeding and/or haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood) or any other major bleeding events.
- 19. Symptomatic peripheral sensory, motor, autonomic neuropathy NCI-CTCAE v4.03 grade ≥ 2 and/or ototoxicity grade ≥ 2, except if due to trauma or mechanical impairment.
- 20. Serologically confirmed active or chronic Hepatitis B or Hepatitis C (asymptomatic inactive carriers are allowed at investigator's discretion per local standards)
- 21. Acquired immunodeficiency syndrome or known seropositivity for HIV.
- 22. Live/attenuated vaccine within 12 weeks prior to Randomisation (killed/inactivated or recombinant vaccine is allowed.).
- 23. Known allergy or hypersensitivity to any of the treatment components.
- 24. Risk of occurrence of osteonecrosis of jaw (ONJ) (e.g., treated with intravenous bisphosphonates and/or invasive dental procedures within 28 days prior to Randomisation).
- 25. Uncontrolled malignant pleural effusion (e.g., recurrent despite drainage or sclerosing

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- 26. Pregnancy or lactation period.
- 27. Subjects unwilling to follow the study requirements.
- 28. Inappropriate other medical conditions for the study at the discretion of Investigator.
- 29. Currently enrolled in another interventional clinical study.
- 30. Previous administration of other investigational product(s) within 28 days prior to Randomisation.

## 4.4. Subject Withdrawal

Once randomised to study treatment, subjects may withdraw from the study or study specific procedures at any time. The subject must be discontinued from all study treatment (IPs and/or non-IPs) in the event of any of the following, but follow-up for safety information should be continued until death or EOT visit (see Sections 5.1.5. and 5.1.6.):

- Radiographic progression of disease at the discretion of Investigator
- Unequivocal clinical progression of disease at the discretion of Investigator (e.g., the occurrence of malignancy-associated disseminated intravascular coagulation, respiratory failure requiring ventilator care.)
- Death of any cause
- Unacceptable toxicity including AEs not manageable by symptomatic therapy or schedule modification or dose modification (see Section 6.4)
- AEs requiring permanent discontinuation of IP (see Section 6.4.2)
- Protocol deviations that may affect the subject's safety seriously if continued on treatment, agreed by the Investigator and the Sponsor
- Pregnancy
- Treatment delay of more than 3 weeks from the schedule, which is counted from the planned starting date of each cycle (i.e., 6 weeks from the last administration of IP)
- Lack of subject's compliance
- Consent withdrawal by subject

Samsung Bioepis – Confidential Page 37 of 165 Unblinding (except unblinding for the purpose of regulatory reporting)

If subjects withdraw consent, the Investigator must inquire the reason to determine if it is related to the study (e.g., documented lack of efficacy, AE or pregnancy). In such cases the reason for withdrawal must be recorded clearly and should not be classified as consent withdrawal. If the subject does not return for a scheduled visit, every effort should be made to contact the individual. Subject's decision to withdraw consent and discontinue the study procedure will not prejudice the future medical treatment in any way.

All the subjects who withdraw from the study will be asked to return to the Investigational site for the EOT visit procedures to be performed (see Section 5.1.5.) and to have a follow-up telephone contact or clinic visit. The subject must be reminded that clinical data which have been acquired so far will be kept in the study database for the sake of integrity of data, unless the subject expresses his/her willingness to remove all of his/her data from the study database.

# 5. STUDY PROCEDURES AND ASSESSMENT

### 5.1. Procedures by Study Period

## 5.1.1. Screening Period

Screening should be performed within 42 days before Randomisation. All subjects must provide written informed consent prior to any study related procedures being performed.

The following procedures and assessments should be performed within 42 days before Randomisation. Retesting or re-evaluation is allowed within the screening period, but the latest assessment will be used to determine the eligibility. Re-screening or re-consenting after 42 day screening period has elapsed is not allowed.

- Informed consent
- Demographic data
- Medical history and major surgical procedures (see Section 6.2.5.) including
  malignancy other than NSCLC and those medical conditions described in the exclusion
  criteria, in the last 5 years (see Section 4.3.).
- ECOG performance status (see APPENDIX 1)
- Physical examination including vital signs (blood pressure, pulse rate, and body temperature), height and weight
- Ongoing AEs from the acquisition of the informed consent

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- Review of previous or concomitant medication (within 28 days prior to informed consent; within 12 weeks prior to informed consent in case of vaccines)
- Haematology, biochemistry, urinalysis, and serology (retesting is allowed during the Screening period). Haematology, biochemistry and urinalysis need to be completed within 28 days before randomisation.
  - Haematology: haemoglobin, WBC including differential (neutrophils, lymphocytes, monocytes, eosinophils, and basophils), platelet count.
  - Blood coagulation test: international normalised ratio (INR). If there is significant deviation in the value (i.e., INR > 1.5), it is highly recommended that the investigator determine the cause (i.e., warfarin which would be excluded) and reversibility. If the Investigator determines that the subject is at a high risk of bleeding as a result of abnormal INR, subject should be excluded according to section 4.3 (exclusion criterion 14).
  - Biochemistry: serum creatinine, urea (BUN), alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphatase (ALP), total bilirubin, albumin, sodium, potassium, chloride
  - Urinalysis (dipstick): leukocytes, nitrite, urobilinogen, protein, pH, Hb, specific gravity, ketone, bilirubin, glucose (other ways of urinalysis are also allowed), if urine dipstick is ≥ 2+, 24 hours urine protein excretion should be < 1 g or protein/creatinine ratio in spot urine should be < 1 g/g creatinine (or < 226.0 mg/mmol creatinine)</li>
  - Serology: test for Hepatitis B and hepatitis C should be performed during Screening period according to local practice. Known history of HIV infection will be confirmed separately at the discretion of Investigator.
  - If other laboratory tests not listed above are checked by local practice and found to be abnormal, it is recommended that Investigator use the best clinical judgement to determine if the abnormal values would affect patient safety while participating in the study.
- Serum (or urine) pregnancy test for all women of childbearing potential including
  women who had menopause onset within 2 years prior to Randomisation should be
  performed within 2 weeks prior to Randomisation. For all other women,
  documentation of the medical history confirming that the subject is not of childbearing
  potential must be required.
- 12-lead ECG

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- Baseline tumour assessment (within a maximum 21 days prior to Randomisation) of lung and locoregional lymph nodes by CT scan or MRI. Upper abdominal cavity including the adrenal glands must be included in imaging study. If the baseline tumour assessment is not performed within 21 days prior to Randomisation, it should be repeated. Baseline tumour assessment should be done after allowed surgical procedure to suspected target or non-target lesions (see Sections 4.3. and 5.2.1.). Tumour assessment before subject signed informed consent will be acceptable to use as baselines tumour assessment and may not be repeated if it is done within 21 days prior to Randomisation and performed according to Section 5.2.1.
- Additional CT scan, MRI, PET-scan, or bone scan will be performed at the discretion
  of Investigator (optional), if there are symptoms or clinical suspicion of distant
  metastasis.

### 5.1.2. Randomisation

If the subject signs the ICF, the Investigator should check the whole eligibility criteria of the subjects prior to Randomisation.

### 5.1.2.1. Randomisation Method

The randomisation numbers will be generated using the following procedure to ensure that treatment assignment is unbiased and concealed from subjects and site personnel. A subject randomisation list will be produced by the interactive web response system (IWRS) provider using a validated system that automates the random assignment of subject numbers to randomisation numbers. These randomisation numbers are linked to the different treatment arms, which in turn are linked to medication numbers. A separate medication randomisation list will be produced by or under the responsibility of the Sponsor using a validated system that automates the random assignment of medication numbers to medication packs containing each of the IPs.

### 5.1.2.2. Stratification Factors

Randomisation will be stratified by

• Age at randomisation:  $< 70 \text{ vs.} \ge 70$ 

• Gender: male vs. female

# **5.1.3.** Induction Treatment Period (Cycle 1 to Cycle 6)

The first dose of IPs should be administered within 7 days after Randomisation.

Induction treatment period consists of 4 to 6 cycles of a 3-week cycle. The Investigator may

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All procedures and assessments must be performed within 3 days before the administration of IPs of each cycle, unless otherwise specified.

The following procedures and assessments will be performed at each subsequent scheduled visit.

- Physical examination including vital signs (blood pressure, pulse rate, and body temperature) and weight
- ECOG performance status
- Haematology, biochemistry, and urinalysis (if urine dipstick is ≥ 2+, see Section 7.3.2.)
   Repeated laboratory tests may not be needed if tests have been performed within 14 days prior to Day 1 of Cycle 1.
- Blood coagulation test if clinically suspected
- Pregnancy test (serum or urine) if clinically suspected
- Serology: tests for HBV or HCV will be repeated during the course of the study only when clinically suspected.
- AEs assessment from previous cycles
- Review of concomitant medication
- Premedication of IP and/or Non-IPs (if necessary, see Section 6.6.1.)
- Administration of IP and non-IPs on Day 1 of each cycle for at least 4 cycles and up to 6 cycles

If a treatment is delayed, concerned laboratory tests will be repeated within 3 days before the administration of IPs of each cycle.

The other assessments will be performed at the following schedule:

• Imaging tumour assessment of target and non-target lesion by CT scan or MRI will be performed after IP administration of Cycle 2, 4, and 6 and before planned Day 1 of Cycle 3, 5, and 7 (upper abdominal cavity including the adrenal glands must be included in imaging study.). If tumour assessment was already performed according to the schedule but next IP administration needs to be delayed due to any reasons, tumour assessment does not need to be repeated.

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- If progressive disease is suspected clinically, the Investigator may additionally perform tumour assessment during the induction treatment period.
- Blood sampling for PK (approximately 50% of enrolled subjects) at pre-dose and post-dose of IP (within 15 minutes after the end of infusion) of Cycle 1, 3, and 5
- Blood sampling for immunogenicity in all randomised subjects at pre-dose of Cycle 1, 3, and 5.

In case of early switch to maintenance treatment period, subject blood sampling will be collected as originally planned at Cycle 3 and/or 5, if applicable.

# 5.1.4. Maintenance Treatment Period

If subjects show response to treatment, defined as complete response (CR) or partial response (PR), or stable disease (SD) after completion of the induction treatment period of combination chemotherapy with SB8 or Avastin® (at least 4 cycles and up to 6 cycles), they will receive SB8 or Avastin® maintenance therapy as per randomisation until disease progression, unacceptable toxicity, death, or end of study. The visit window allowed for each visit is  $\pm$  3 days.

The following procedure and assessments will be performed within 3 days prior to Day 1 of each scheduled cycle, unless otherwise specified:

- Physical examination including vital signs (blood pressure, pulse rate, and body temperature) and weight
- ECOG performance status
- Haematology, biochemistry, and urinalysis (if urine dipstick is  $\geq 2+$ , see Section 7.3.2.)
- Blood coagulation test if clinically suspected
- Pregnancy test (serum or urine) if clinically suspected
- Serology: tests for HBV or HCV will be repeated during the course of the study only when clinically suspected.
- AEs assessments
- Review of concomitant medication
- Administration of IP every 3 weeks on Day 1 of each cycle

If a treatment is delayed, concerned laboratory tests will be repeated within 3 days before the administration of IP of each cycle.

Samsung Bioepis – Confidential Page 42 of 165 The other assessments will be performed at the following schedule:

- Tumour assessment will be performed every 4 cycles (prior to IP administration).
   Upper abdominal cavity including the adrenal glands must be included in imaging study.
- If progressive disease is suspected clinically, the Investigator may additionally perform tumour assessment during the maintenance treatment period.
- Blood sampling for PK (approximately 50% of enrolled subjects) at pre-dose and post-dose of IP (within 15 minutes after the end of infusion) of Cycle 3, 5, and 7.
- Blood sampling for immunogenicity at pre-dose of Cycle 3, 5, and 7.

# 5.1.5. End of Treatment (EOT)

EOT is defined as discontinuation of treatment due to disease progression, unacceptable toxicity, death, consent withdrawal or last administration of IP before end of study. Other conditions that also meet definition of EOT are described in Section 4.4. The EOT visit will be performed at least 21 days after last IP administration and prior to subsequent therapy. In case of study withdrawal for any reasons, every effort should be made to follow the subject for EOT visit. The following procedures will be performed at EOT visit:

- 12-lead ECG
- Physical examination including vital sign (including blood pressure, pulse rate, and body temperature) and weight
- ECOG performance status
- Haematology, biochemistry, and urinalysis (if urine dipstick is  $\geq 2+$ , see Section 7.3.2.)
- Blood coagulation test if clinically suspected
- AEs assessment
- Pregnancy (serum or urine) test (only for female subjects with childbearing potential)
- Review of concomitant medication
- Blood sampling for immunogenicity

# 5.1.6. Follow-up Period

Subjects will be followed for survival status and whether subsequent therapy is received or not

Samsung Bioepis – Confidential Page 43 of 165 by clinic visit or telephone contact every 3 months ( $\pm$  7 days) from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up or initiation of subsequent therapy for NSCLC) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from Randomisation of the last subject, whichever occurs first.

SAEs will continue to be reported to Sponsor during the follow-up period. (See Section 7.2.2)

# 5.2. Efficacy Assessment

Tumour response will be assessed classified according to RECIST v1.1 criteria. Subject must have at least one radiographically measurable lesion in a previously non-irradiated area according to RECIST v1.1 to be eligible for randomisation in this study. All tumour measurements should be performed with the same method of assessment and made by the same Investigator/radiologist for each subject during the study to the extent that this is feasible. Based on the independent central review for tumour assessment, the imaging independent central reviewer will record their own assessments of each subject's imaging eligibility at baseline, best response, disease progression, and related variables. The primary efficacy analysis will be based on the data from the independent central review.

The primary endpoint is:

• The best ORR by 24 weeks of chemotherapy (best ORR is defined as the proportion of subjects whose best overall response is either complete response [CR] or partial response [PR] according to RECIST v1.1)

The secondary endpoints are:

- PFS, defined as the time from the date of Randomisation to the date of disease
  progression or death regardless of the cause of death. Subjects who are not progressed
  at the time of analysis will be censored at the date of the EOT visit or the date of last
  tumour assessment if the EOT visit is not available.
- OS, defined as the time from the date of Randomisation to the date of death regardless
  of the cause of death. Subjects who are alive at the time of analysis will be censored at
  the date of last known alive.
- DOR, defined as the time from documented tumour response (complete or partial) until documented disease progression. Only the subjects who achieve an initial tumour response will be evaluated for DOR.

The exploratory endpoint is:

Best ORR by 11 and 17 weeks
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### 5.2.1. Measurability of Tumour

At baseline, tumour lesion/lymph nodes will be categorised measurable or non-measurable. At least one measurable lesion should be confirmed for this study. Tumour lesions must be accurately measured in at least one dimension (longest diameter in the plane of measurement is to be recorded) with a minimum size of:

 Measurable lesion 10 mm by CT/MRI scan (CT scan slice thickness no greater than 5 mm)

Malignant lymph nodes: to be considered pathologically enlarged and measurable, a lymph node must be 15 mm in short axis when assessed by CT/MRI scan (CT scan slice thickness recommended to be no greater than 5 mm). At baseline and in follow-up, only the short axis will be measured and followed.

All other lesions, including small lesions (longest diameter < 10 mm or pathological lymph nodes with  $\ge 10$  to < 15 mm short axis) as well as truly non-measurable lesions are non-measurable. Lesions considered truly non-measurable include: leptomeningeal disease, ascites, pleural or pericardial effusion, inflammatory breast disease, lymphangitic involvement of skin or lung, abdominal masses/abdominal organomegaly identified by physical exam that is not measurable by reproducible imaging techniques.

# **5.2.1.1. Definition of Target and Non-target Lesions**

During the baseline assessment before IP administrations, all lesions detected are classified as either target lesions or non-target lesions on CT/MRI scan.

- Target lesions: All measurable lesions up to a maximum of 2 lesions per organ and 5 lesions total, representative of all involved organs should be identified as target lesions and recorded at baseline. Target lesions should be selected based on their size and their suitability for accurate repeated measurements by imagaing.
- Non-target lesions: All other lesions including small lesions and other non-measurable
  lesions should be identified as non-target lesions and should be recorded at baseline.
  Measurements of these lesions are not required, but the presence or absence of each
  should be noted throughout follow up.

For detailed instructions, please refer to Completion Guide for Tumour Assessment Worksheet.

### 5.2.1.2. Criteria for Tumour Response Evaluation

### **Evaluation of Measurable Lesions**

Tumour response will be evaluated according to the RECIST v1.1 criteria. Subjects should

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continue to undergo tumour response assessment until PD, unacceptable toxicity, death or end of study.

In this study, tumour response will be measured using CT scan or MRI (other methods such as X-ray or ultrasound are not permitted for monitoring target lesions) following Image Acquisition Guideline that will be provided by Sponsor. The same method of assessment and the same technique should be used to characterise each identified and reported lesion at baseline and during the study.

The use of oral and IV contrast, etc., is highly recommended and should be used consistently unless contraindication exists. Tumour evaluations should be made by the same Investigator or radiologist for each subject during the study, if possible.

Baseline total tumour burden must be assessed no more than 21 days prior to Randomisation. If the baseline tumour assessment is not performed within 21 days prior to Randomisation, it should be repeated. Baseline tumour assessment will include the adrenal glands and the entire liver. In case of contrast contraindication, MRI or non-contrast CT scans can be performed. Additional PET-scan, or bone scan will be performed at the discretion of the Investigator (optional), if there are symptoms or clinical suspicion of distant metastasis.

Tumour lesions situated in a previously irradiated area, or in an area subjected to other locoregional therapy, are not considered measurable unless there has been demonstrated progression in the lesion.

For baseline brain imaging, MRI with contrast is preferred but CT scan with IV contrast is acceptable if MRI cannot be obtained (Ex. pacemaker, unavailable MRI facility, etc.). If brain metastases are found but not treated (asymptomatic), they could be potentially listed as target and/or non-target lesions at discretion of investigators. However, all target and/or non-target lesions should be followed using the same imaging modality throughout the study.

If brain metastases are found and treated, those should be listed as "non-target" lesions for tumour response evaluation according to RECIST 1.1. If brain metastases are treated and patients are asymptomatic, follow-up brain imaging is not required unless clinically suspected.

### **Complete Response (CR)**

Disappearance of all target lesions. Any pathological lymph nodes (whether target or non-target) must have reduction in short axis to  $\leq 10$  mm.

# Partial Response (PR)

At least a 30% decrease in the sum of diameters of target lesions, taking as reference the baseline sum diameters.

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### Stable Disease (SD)

Neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD, taking as reference the smallest sum diameters while on study.

## **Progressive Disease (PD)**

At least a 20% increase in the sum of diameters of target lesions, taking as reference the smallest sum on study (this includes the baseline sum if that is the smallest on study). In addition to the relative increase of 20%, the sum must also demonstrate an absolute increase of at least 5 mm. (Note: the appearance of one or more new lesions is also considered progression).

**Table 2. Criteria for Response Evaluation** 

Target lesions	Non-target lesions	New Lesions	Overall response
CR	CR	No	CR
CR	Non-CR/Non-PD <sup>a</sup>	No	PR
CR	Not evaluated	No	PR
PR	Non-PD <sup>a</sup> or not all evaluated	No	PR
SD	Non-PD <sup>a</sup> or not all evaluated	No	SD
Not all evaluated	Non-PD <sup>a</sup>	No	inevaluable
PD	Any category	Yes or No	PD
Any category	$PD^{a}$	Yes or No	PD
Any category	Any category	Yes	PD

<sup>&</sup>lt;sup>a</sup> For non-target lesions, PD is defined as the unequivocal progression, as determined by the Investigator

## **Evaluation of Non-measurable Lesions**

While some non-target lesions may actually be measurable, they need not be measured and instead should be assessed only qualitatively.

### **Complete Response (CR)**

Disappearance of all non-target lesions and normalisation of tumour marker level. All lymph nodes must be non-pathological in size (< 10 mm short axis).

#### Non-CR/Non-PD

Persistence of one or more non-target lesion(s) and/or maintenance of tumour marker level above the normal limits.

### **Progressive Disease (PD)**

Unequivocal progression of existing non-target lesions. (Note: the appearance of one or more

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new lesions is also considered progression).

## 5.2.2. Timing of Overall Response Rate Evaluation: All Time Points

The overall response is determined once all the data for the subject is known. For best response determination in the study, confirmation of complete or partial response is NOT required. Best response in this study is defined as the best response across all time points (e.g., a patient who has SD at first assessment, PR at second assessment, and PD on last assessment has a best overall response of PR).

## 5.3. Safety Assessment

The safety endpoint is:

• Incidence of AEs and SAEs.

# 5.3.1. Clinical Safety Assessment

Safety of subjects will be monitored by physical examination, performance status and vital sign assessment. Subjects will be assessed for AEs at each clinical visit and as necessary throughout the study.

## 5.3.2. Laboratory Assessment

Haematology and biochemistry laboratory tests will be done as part of regular safety assessments.

- Haematology: haemoglobin, WBC including differential (neutrophils, lymphocytes, monocytes, eosinophils, and basophils), platelet count.
- A coagulation test (INR) will be performed at Screening and during the study if clinically suspected.
- Biochemistry: creatinine, urea (BUN), ALT, AST, ALP, total bilirubin, albumin, sodium, potassium, chloride
- Urinalysis (dipstick): leukocytes, nitrite, urobilinogen, protein, pH, Hb, specific gravity, ketone, bilirubin, glucose (other ways of urinalysis are also allowed.)
- Pregnancy test in women of childbearing potential (serum or urine)
- Tests for HBV or HCV will be repeated during the course of the study only when clinically suspected.

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#### **5.4. Other Assessments**

#### 5.4.1. Pharmacokinetic Assessments

Approximately 50% of the enrolled subjects will be participating by default in the PK sub-study for PK assessment and those subjects will be defined at the time of randomisation in the IWRS. Once the number of subjects is reached to the planned number, all further subjects enrolled will not participate in the PK sub-study.

Blood sampling for PK analysis will be performed at pre-dose and post-dose of IP (within 15 minutes after the end of infusion) of Cycle 1, 3, 5 and 7.

If the IP administration is delayed for any reasons after pre-dose blood samples for PK is collected, pre-dose blood sampling for PK analysis does not need to be repeated in delayed visit for the treatment, however, post-dose blood sampling for PK analysis should be performed within 15 minutes after the end of infusion.

In all cases, the exact date and time of the PK sampling and IP administration must be carefully recorded in the source documentation to ensure the data are usable.

Details of the sampling, handling, storage and shipping for PK samples are described in the Study Sample Handling and Logistics Manual.

## 5.4.2. Immunogenicity Assessments

The purpose of immunogenicity testing is to determine whether ADAs and NAbs against SB8 or Avastin<sup>®</sup> occur in similar rate and influence the safety or efficacy. Blood sampling for immunogenicity testing will be done as per visiting schedule in all subjects (see Table 1).

All randomised subjects will be evaluated for ADA against SB8 or Avastin<sup>®</sup> at Baseline (predose of Cycle 1) and during treatment (pre-dose of Cycle 3, 5, and 7) and EOT visit. Details of sampling, handling, storage and shipping for immunogenicity samples are described in the Study Sample Handling and Logistics Manual.

# 6. TREATMENT AND INVESTIGATIONAL PRODUCT

### 6.1. Definition of Investigational Products and Non-investigational Products

Throughout the study, the IPs mean SB8 and Avastin<sup>®</sup>. Combination chemotherapy regimens in the induction treatment period are not regarded as IPs since they are considered as standard of care. In this study, carboplatin, and paclitaxel are named as non-IPs.

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# 6.2. Administration of SB8 or Avastin®

# 6.2.1. Dose and Schedule of SB8 or Avastin®

SB8 or Avastin® will be administered every 3 weeks until disease progression, unacceptable toxicity occurs, death, or end of study. SB8 or Avastin® will be administered intravenously at a dose of 15 mg/kg. Body weight should be recorded at Screening and at every scheduled visit for all subjects.

### 6.2.2. Formulation, Packaging and Labelling

Bevacizumab is supplied for use as a concentrate for solution (100 mg or 400 mg per vial for SB8, EU sourced Avastin<sup>®</sup>).

Sponsor or the designated representative team will ensure that the test IP is characterised as appropriate and are manufactured in accordance with any Good Manufacturing Practice (GMP). All IPs will be labelled in accordance with EU, and local regulations and GMP.

# 6.2.3. Handling and Storage of SB8 or Avastin®

SB8 and Avastin® should be stored at 2-8°C. The temperature should be monitored. If continuous monitoring is not available then manual temperature logs should be generated and recorded to ensure proper storage conditions. If a temperature deviation occurred, responsible person should contact the Sponsor to determine if the drug is still appropriate for use. The IPs should be stored in a secure area and clearly labelled and stored away from other non-IPs or medication to prevent confusion (for example in a clearly marked box on a separate shelf of the refrigerator).

Do not freeze or do not shake SB8 or Avastin<sup>®</sup> vials. Store the vials within the outer carton to protect them from light. The IPs must not be used beyond the expiration date.

# 6.2.4. Preparation and Administration of SB8 or Avastin®

## 6.2.4.1. Preparation of SB8 or Avastin®

IPs should be prepared by a pharmacist or properly trained pharmacy delegate using aseptic technique to ensure the sterility of the prepared solution.

Prior to IP preparation, the dose amount will be calculated by the Investigator according to subject's body weight measured and documented at Baseline and every cycle. The dose calculation is as follows:

• Dose amount (mg) = actual body weight (kg)  $\times$  15 mg/kg

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• Volume (mL) = 
$$\frac{\text{dose amount (mg)}}{\text{concentration of bevacizumab (mg/mL)}}$$

Necessary amount of IP will be withdrawn and diluted to a total volume of 100 mL of 0.9% sodium chloride injection. Detailed process will be described in the Pharmacy Manual.

If any quality issue is encountered, the IPs must not be prepared until the discrepancy has been completely resolved by checking the documentation with the Investigator.

After IP preparation is completed, the label with preparation time, subject identifier, dose amount, and total infusion volume to be given to a subject will be attached on the infusion bag.

# 6.2.4.2. Administration of SB8 or Avastin®

In the induction treatment period, SB8 or Avastin® will be administered intravenously before starting chemotherapy (paclitaxel and carboplatin) at a dose of 15 mg/kg every 3 weeks. In the maintenance treatment period, SB8 or Avastin® will be administered intravenously at a dose of 15 mg/kg every 3 weeks. The first infusion is given over 90 minutes. If the first dose is well tolerated, second infusions may be given over 60 minutes and subsequently administered over 30 minutes. Do not administer SB8 or Avastin® as an IV push or bolus. Investigators or designee should observe subjects during administration of IPs and for at least 6 hours after the start of the first infusion and for 2 hours after the start of the subsequent infusions for symptoms like fever and chills or other infusion-related symptoms. Interruption or slowing of the infusion may help to control infusion-related symptoms and may be resumed when symptoms abate. Subjects who experience infusion-related reactions may be premedicated with paracetamol and antihistamine for subsequent infusions. Subjects should be warned of the possibility of late onset reactions and should be instructed to contact their Investigator if these reactions occur. Dose reductions of SB8 or Avastin® for toxicity are not permitted. Schedule modifications are permitted as described in Section 6.4.2. A detailed guideline for IPs preparation, administration, storage and destruction will be provided in the Pharmacy Manual.

# 6.2.5. Prohibited Concomitant Medications or Therapies

All concomitant medication use should be recorded. Details to be recorded include: name (generic name preferred), dose number and unit, frequency of administration, route of administration, start and stop dates and the AE it relates to (if applicable).

Medication and therapies that are prohibited prior to Randomisation and/or throughout the study are presented in Table 3.

Samsung Bioepis – Confidential Page 51 of 165 Table 3. Prohibited Medications and Therapies of NSCLC

Medication or therapies	Time to be prohibited
Anticoagulants or thrombolytic agents:	
Regular use of aspirin	Prior to Randomisation
Aspirin (≥325 mg daily) <sup>a</sup>	From Randomisation to EOT
*After randomisation, low dose aspirin (< 325mg daily) is allowed	
if medically indicated at the discretion of Investigator.	
Antiplatelet agents such as Clopidogrel (≥ 75 mg/day),	Within 10 days
dipyridamole, ticlopidine and/or cilostazol <sup>b</sup>	prior to Randomisation to EOT
Warfarin, intravenous heparin, low molecular weight	Within 28 days
heparin, factor Xa inhibitors, thrombin inhibitors,	prior to Randomisation to EOT
thrombolytic agents including tissue plasminogen activator,	
anistreplase, streptokinase, urokinase <sup>c</sup>	
*After randomisation, anticoagulation is allowed if medically	
indicated	
Any drugs (include herbal medications) that has not received	From Randomisation to EOT
regulatory approval for any indications	
Anticancer systemic therapy other than	From Randomisation to EOT
paclitaxel/carboplatin/Avastin®/SB8d	
Major surgical procedure (include open lung biopsy) <sup>e</sup>	Within 28 days
*If a major surgical procedure is indicated after randomisation,	prior to Randomisation
treatment needs to be held for at least 28 days after surgery and	1
subject needs to completely recover from surgery. The maximum	
allowed delay is 6 weeks from the last IP infusion.	
Minor surgical procedure <sup>f</sup>	Within 7 days
*If a minor surgical procedure is indicated after randomisation,	prior to Randomisation
treatment needs to be held for at least 7 days after surgery and subject	
needs to completely recover from surgery. The maximum allowed	
delay is 6 weeks from the last IP infusion.	
Live/attenuated vaccine	Within 12 weeks
	prior to Randomisation to Cycle 7 Day 1
Intravenous bisphosphonates and/or invasive dental procedure	Within 28 days
*Allowed after randomisation if determined by investigator as	prior to Randomisation
clinically necessary (ex. Bone metastases related to NSCLC or tooth	
abscess requiring extraction, etc.)	
Radiotherapy <sup>g</sup>	Within 14 days
	prior to Randomisation to EOT

EOT = end of treatment; NSAIDs = non-steroidal anti-inflammatory drugs

Local anaesthesia is defined as anaesthesia of a small part of the body such as a tooth or an area of skin.

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<sup>&</sup>lt;sup>a</sup> After randomisation, low dose aspirin < 325mg daily is allowed if medically indicated (cardiac prophylaxis, etc.) and there is no bleeding diathesis that would increase the risk of therapy at the discretion of Investigator.

<sup>&</sup>lt;sup>b</sup>Non-chronic use of NSAIDS (not including aspirin) for symptom management is permitted if there is no bleeding diathesis that would increase the risk of therapy at the discretion of Investigator.

<sup>&</sup>lt;sup>c</sup>After randomisation, therapeutic anticoagulation (heparin, warfarin, etc.) is allowed if medically indicated in case of new thromboembolic events (i.e., deep venous thrombosis) at the discretion of Investigator. Refer to section 6.4.2 Table 4 for specific guidelines. Non-systemic use of anticoagulants (ex. heparin flush) to maintain patency of intravenous injection devices is allowed.

## 6.2.6. Investigational Product Accountability

Information on drug disposition required by applicable regulation may consist of the date received, date administered, quantity administered, and the subject to whom the drug was administered. The Investigator is responsible for accounting all used/unused IP. The used IP vial should be disposed and/or destructed after use according to the local regulation. In case that the IP vial is disposed after use, the Investigator is responsible for accounting other materials of used IP such as IP container. The Investigator uses this information to maintain an accurate and complete dispensing and inventory record provided by the Sponsor.

IP supplies are shipped to the Investigator site as needed. The monitor will review drug accounting during routine monitoring visits with the documents containing relevant information provided by the Sponsor. At the completion or termination of the study, a final drug accountability review and reconciliation must be completed; any discrepancies must be investigated and their resolution documented.

All full containers of IP must be returned to the Sponsor/contract distribution centre with the appropriate form. The site and the site monitor are to contact the Sponsor for detailed information before return to the Sponsor is to take place.

Furthermore, due to the fact that the IP is a hazardous substance, and/or its shipment may cause harm to humans, available IP, in an opened vial, may be destroyed at the site with the Sponsor's permission. The site may destroy unused (e.g., left after the vial was opened and/or reconstituted) once accountability of the IP is completed. The IP destruction must be documented on the relevant records. The site may destroy the IP before the site monitoring visits with the Sponsor's agreement and the site monitors will perform the accountability and reconciliation from the records maintained at the site. The accountability, reconciliation, and return procedures also apply to all IPs that are required by the protocol and supplied by the Sponsor.

#### 6.3. Administration of Induction Treatment Period Chemotherapy Regimens

Doses of chemotherapy will be calculated according to the subject's body surface area (BSA) or body weight. Weight and height should be recorded at Baseline and the BSA calculated,

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<sup>&</sup>lt;sup>d</sup> Nab-paclitaxel or other formulation of paclitaxel is not allowed in this study.

<sup>&</sup>lt;sup>e</sup> Requiring more extensive procedure than local anaesthesia (involving general anaesthesia or respiratory assistance or regional anaesthesia) or open lung biopsy.

f Requiring local anaesthesia or following procedures; mediastinoscopy, percutaneous needle aspiration, core biopsy, placement of vascular access device, endobronchoscopy ultra sono & transbronchial needle aspiration (EBUS & TBNA), pleural biopsy, thoracentesis, pleurodesis, catheter insertion/removal, tooth extraction, superficial incision.

g Radiotherapy of palliative purpose to non-progressive non-target lesions is allowed during the treatment period. If target lesions are included in irradiated field, then those lesions should not be evaluated as measurable thereafter. It is strongly recommended that the Investigator consult to the Sponsor at the timing of planning radiotherapy. IP and non-IPs should be suspended during radiotherapy and may be resumed at the discretion of the Investigator.

thereafter all subjects should be re-weighted at every cycle. Actual body weights should be used in calculating dose at each cycle. For more information of chemotherapy drugs including contraindications, special warnings and precautions for use, fertility, pregnancy, and lactation, please refer to SmPC of individual drugs.

### 6.3.1. Paclitaxel

### 6.3.1.1. Preparation and Storage of Paclitaxel

Refer to the prescribing information in paclitaxel for the formulation, preparation, and storage of paclitaxel. Nab-paclitaxel or other formulation of paclitaxel is not allowed in this study.

### 6.3.1.2. Dose and Schedule of Paclitaxel

Paclitaxel will be administered by 200 mg/m<sup>2</sup> every 3 weeks for at least 4 and up to 6 cycles during the induction treatment period. Actual body weights should be used in calculating BSA at each cycle. Paclitaxel will be administered as IV infusion over approximately 3 hours after the completion of SB8 or Avastin<sup>®</sup> administration. Dose and schedule modification for toxicity are permitted (see Section 6.4.).

### 6.3.2. Carboplatin

## 6.3.2.1. Preparation and Storage of Carboplatin

Refer to the prescribing information in carboplatin for the formulation, preparation, and storage of carboplatin.

### 6.3.2.2. Dose and Schedule of Carboplatin

Carboplatin will be administered by Calvert formula (AUC 6) every 3 weeks for at least 4 and up to 6 cycles during the induction treatment period. Carboplatin will be administered as IV infusion over approximately 30 minutes after the completion of paclitaxel. Dose modification and delays for toxicity are permitted (see Section 6.4.).

The Calvert formula incorporates creatinine clearance (CCr) to calculate the subject's carboplatin dose as following:

• Carboplatin dose (mg) = target AUC  $\times$  (CCr + 25)

CCr must be calculated prior to every dosage of carboplatin using below formula (Cockcroft-Gault equation) and it should NOT exceed 125 ml/min:

Male:

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$$CCr = \frac{(140 - Age[y]) \times body \ weight \ [kg]}{72 \times serum \ creatinine[mg/dL]}$$

Female:

$$CCr = \frac{(140 - Age[y]) \times body \ weight \ [kg]}{72 \times serum \ creatinine[mg/dL]} \times 0.85$$

### 6.4. Dose and Schedule Modification

#### 6.4.1. General Considerations

Dose and schedule modifications of IPs or non-IPs should follow the guidelines laid out in the following sections. In the induction treatment period, the non-IPs (paclitaxel and carboplatin) and IPs (SB8 or Avastin®) should be administered at same day. Therefore, if a schedule modification for one drug (non-IPs or IPs) is needed, the other drug should be delayed in the same time frame. The allowed maximum delay of IPs or non-IPs administration is 3 weeks from the schedule, which is counted from the planned Day 1 of each cycle (i.e., 6 weeks from the last administration).

If non-IPs (paclitaxel and carboplatin) should be discontinued due to toxicity, the IPs (SB8 or Avastin®) alone can be administered at the discretion of Investigator.

Dose modification of the IPs is not permitted. Administration of the IPs should follow the guidelines as shown in Table 4.

The guidelines of dose and schedule modifications for common toxicities of non-IPs are described in Table 5 and Table 6. If toxicities which are not included in Table 6 occur, dose and schedule modifications should be determined at the discretion of Investigator based on the following general criteria (see also Section 6.4.2.):

- 1. Dose modifications must be based on the dose level changes in Table 5 and Table 6.
- 2. Dose modifications must be based on the AE requiring the greatest modification.
- 3. Once a dose reduction has occurred, the subject should not be re-escalated to higher doses.
- 4. For grade 3 or 4 toxicities in general, chemotherapy should be held for a maximum of 6 weeks from the last IP administration until resolution to baseline or ≤ grade 1.
- 5. Subjects may only be re-treated if all related toxicities have resolved to baseline or at the discretion of Investigator.
- 6. In the induction period, if a dose delay for one drug (IPs or non-IPs) is needed, the other drugs should be delayed in the same time frame.

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# 6.4.2. Schedule Modification of SB8 or Avastin®

Administration of SB8 or Avastin<sup>®</sup> should follow the guideline as shown in Table 4.

In the induction period, administration of the IPs and non-IPs should be coupled and schedule modification of IPs and non-IPs should follow the same time frame. The allowed maximum delay of IP in this period is 3 weeks from the schedule, which is counted from the planned Day 1 of each cycle (i.e., 6 weeks from the last administration). For haematologic and non-haematologic AEs, the schedule modification of non-IP administration should follow the rules of dose modification (Table 5 and Table 6).

In the maintenance period, SB8 or Avastin<sup>®</sup> is allowed to be delayed within a maximum of 3 weeks from the schedule, which is counted from the planned Day 1 of each cycle (i.e., 6 weeks from the last administration). When grade 3 or 4 (excluding cardiac or AEs listed in Table 4) AEs are observed within a cycle, hold SB8 or Avastin<sup>®</sup> until AEs are resolved to  $\leq$  grade 2. If toxicity (except haemoptysis) is not resolved to  $\leq$  grade 2 within 6 weeks from the last IP administration, discontinuation of SB8 or Avastin<sup>®</sup> and withdrawal of subject from the study may be considered. Continuation of treatment can be decided at the discretion of Investigator if the benefits of continuation overweigh the risk of AE.

Table 4. Schedule modification of SB8 or Avastin®

Adverse Event	CTCAE Grade <sup>a</sup>	Action to be taken
H	1	If no source was found, and the bleeding resolved within 1 week, reinitiate with the same dose.
Haemoptysis		If a source of bleeding was discovered, it will be treated according to current medical practice.
	≥2	Discontinue
Hypertension	≥2	Hold SB8 or Avastin® until recovery to resting BP of < 150/100 mmHg and then reinitiate with the same dose. Anti-hypertensive medications are allowed and recommended for blood pressure control at the discretion of Investigator.
	4	Discontinue
Congestive heart failure (left	3	• Hold until resolution to Grade ≤ 1
ventricular systolic dysfunction)	4	Discontinue
Proteinuria	≥2	• Hold SB8 or Avastin <sup>®</sup> until recovery to grade ≤ 1, and then reinitiate with the same dose.
Arterial thromboembolism (New onset or worsening CVAs, TIAs, MIs, etc.)	Any	Discontinue

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Adverse Event	CTCAE Grade <sup>a</sup>	Action to be taken	
Venous thromboembolism	≤3	• Closely monitor. Anticoagulation (heparin, warfarin, etc.) is recommended and allowed per local practice at the discretion of Investigator and patients should not have any grade of pulmonary/CNS haemorrhage or grade ≥ 2 haemorrhagic event while on anticoagulation.	
	4	Discontinue	
Increased AST or ALT ≥ 3		• Hold SB8 or Avastin <sup>®</sup> until recovery to grade ≤ 2, and then continue SB8 or Avastin <sup>®</sup> .	
Other clinically significant AEs <sup>b</sup> ≥ 3		• Hold SB8 or Avastin <sup>®</sup> until recovery to grade ≤ 2, and then continue SB8 or Avastin <sup>®</sup> .	

CVA=cerebrovascular accident; TIA=transient ischemic attack; MI=myocardial infarction; CNS=central nervous system; ALT= alanine aminotransferase; AST = aspartate aminotransferase; AE = adverse event

The investigator should discontinue administration of IP permanently and remove subjects from the study if experiencing one of the events specified below:

- Gastrointestinal perforations (gastrointestinal perforation, fistulae formation in the gastrointestinal tract, intra-abdominal abscess), fistulae formation involving an internal organ
- Wound dehiscence and wound healing complications requiring medical intervention
- Serious haemorrhage requiring medical intervention (grade  $\geq 2$ )
- Arterial thromboembolic events (any grade)
- Life-threatening (grade 4) venous thromboembolic events, including pulmonary embolism
- Grade 4 hypertension (hypertensive crisis or hypertensive encephalopathy)
- Grade 4 congestive heart failure (left ventricular systolic dysfunction)
- Nephrotic syndrome (grade  $\geq$  3 proteinuria or  $\geq$  3.5 g/24 h)
- Posterior Reversible Encephalopathy Syndrome (PRES)

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<sup>&</sup>lt;sup>a</sup> NCI-CTCAE v4.03 will be used (see APPENDIX 3)

<sup>&</sup>lt;sup>b</sup> Other clinically significant AEs will be determined at the discretion of Investigator

• If the investigator determines that situations not listed above but could jeopardise safety of subjects with continuation of IP, IP should be permanently discontinued.

# 6.4.3. Dose and Schedule Modification of Non-IPs Chemotherapy Agents

# 6.4.3.1. Paclitaxel and Carboplatin

All dose modifications for paclitaxel and carboplatin are based on the dose level changes in Table 5. A stepwise dose reduction is permitted. If a reduction to dose level -3 is required then subjects must be discontinued from paclitaxel and/or carboplatin treatment. If more than one AE requiring dose-reduction is found (e.g. Grade 4 neutrophil count decreased and Grade 3 platelet count decreased) during the same evaluation period, one dose level reduction is indicated according to Table 6. If both paclitaxel and carboplatin are discontinued due to toxicity, continuation of SB8 or Avastin<sup>®</sup> as monotherapy is allowed at the discretion of Investigator. Once a dose reduction has occurred, the subject should not be re-escalated to higher doses. Dose modifications must be based on the AE requiring the greatest modification.

Table 5. Dose Levels for Paclitaxel and Carboplatin

	Dose level 0	Dose level -1	Dose level -2	Dose level -3
Paclitaxel	200 mg/m <sup>2</sup>	150 mg/m <sup>2</sup>	100 mg/m <sup>2</sup>	Discontinue
Carboplatin	AUC 6	AUC 4.5	AUC 3	Discontinue

Table 6. Dose Modifications and Delays of Paclitaxel and Carbonlatin

NCI-CTCAE <sup>a</sup> Category	AE grade	Modifications for AEs	
Haematological AE			
Neutrophil count decreased	Grade 3 of any duration or Grade 4 < 7days	1 <sup>st</sup> event  2 <sup>nd</sup> event	<ul> <li>Hold until ≥ 1.5 × 10<sup>9</sup>/L</li> <li>Once recovers, maintain the same dose, but the Investigator may determine to reduce dose by one level based on the general status of the subject.</li> <li>Hold until ≥ 1.5 × 10<sup>9</sup>/L.</li> <li>Once recovers, reduce dose by one level.</li> </ul>
		3 <sup>rd</sup> event despite dose reduction	<ul> <li>Hold until ≥ 1.5 × 10<sup>9</sup>/L.</li> <li>Once recovers, reduce dose by one level.</li> </ul>
		4 <sup>th</sup> event despite dose reduction	Discontinue

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Neutrophil count decreased	Grade 4 ≥ 7 days  or  Febrile neutropenia  with ANC < 1.0 × 10 <sup>9</sup> /L  *G-CSF is allowed at the discretion of Investigator	1 <sup>st</sup> event  2 <sup>nd</sup> event despite	<ul> <li>Hold until ≥ 1.5 × 10<sup>9</sup>/L and body temperature &lt; 38°C</li> <li>Once recovers, reduce dose by one level.</li> <li>Hold until ≥ 1.5 × 10<sup>9</sup>/L and body temperature &lt; 38°C</li> </ul>
		dose reduction  3 <sup>rd</sup> event	Once recovers, reduce dose by one level.      Discontinue
	Grade 1 or 2	$\geq 50 \times 10^{9}/L \text{ to}$ $< 100 \times 10^{9}/L$	<ul> <li>Hold until ≥ 100 × 10<sup>9</sup>/L.</li> <li>Once recovers, maintain the same dose.</li> </ul>
	Grade 3 without bleeding	$1^{\text{st}}$ event: $\geq 25 \times 10^{9}/\text{L}$ to $< 50 \times 10^{9}/\text{L}$	<ul> <li>Hold until ≥ 100 × 10<sup>9</sup>/L.</li> <li>Once recovers, reduce dose by one level.</li> </ul>
Platelets count		$2^{\text{nd}}$ event: $\geq 25 \times 10^{9}/\text{L}$ to $< 50 \times 10^{9}/\text{L}$	<ul> <li>Hold until ≥ 100 × 10<sup>9</sup>/L.</li> <li>Once recovers, reduce dose by one level.</li> </ul>
decreased		Despite two dose reductions: < 50 × 10 <sup>9</sup> /L	Discontinue.
	Grade 4 or Grade 3 with bleeding	$1^{\text{st}}$ event $< 25 \times 10^{9}/\text{L}$ or $< 50 \times 10^{9}/\text{L}$ with bleeding	<ul> <li>Hold until &gt; 100 × 10<sup>9</sup>/L.</li> <li>Once recovers, reduce dose by one level.</li> </ul>
		Despite previous dose reduction for platelet count decrease: < 25 × 10 <sup>9</sup> /L	Discontinue.
Non-hemolytic anemia	Any grade	Any events time	<ul><li> Manage by transfusions</li><li> Maintain the same dose</li></ul>
Non-Haematologica	l AE		- Wantam the same dose
		1 <sup>st</sup> event	Maintain the same dose.
Nausea/vomiting	Grade ≥ 3	2 <sup>nd</sup> event	Reduce dose by one level.
		3 <sup>rd</sup> event or later	Maintain the reduced dose.
Diarrhea lasting > 24 hours despite maximum anti-diarrheal	Grade ≥ 3	1 <sup>st</sup> event	<ul> <li>Hold until recovery to ≤ grade 1 or baseline.</li> <li>Once recovers, maintain the same dose.</li> </ul>

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management			Hold until recovery to <	
<b>0</b>	2 <sup>nd</sup> event		• Hold until recovery to ≤ grade 1 or baseline.	
		2 event	Once recovers, reduce dose by one level.	
		3 <sup>rd</sup> event or later	• Hold until recovery to ≤ grade 1 or baseline.	
		3 Event of fater	Once recovers, maintain the reduced dose.	
		1 <sup>st</sup> event	Hold until recovery to ≤ grade 1 or baseline.	
		1 event	Once recovers, maintain the same dose.	
Mucositis	Grade ≥ 3	2 <sup>nd</sup> event	Hold until recovery to ≤ grade 1 or baseline.	
NI COSTUS	Grade ≥ 3	2 event	Once recovers, reduce dose by one level.	
		3 <sup>rd</sup> event or later	Hold until recovery to ≤ grade 1 or baseline.	
			Once recovers, maintain the reduced dose.	
		Hold until recovery to ≤ grade 1 or baseline		
Neurosensory	Grade 2	<ul> <li>Once recovers,</li> <li>Paclitaxel: reduce dose by one level.</li> <li>Carboplatin: maintain the same dose.</li> </ul>		
toxicity	Grade 3 or 4	<ul> <li>Hold until recovery to ≤ grade 1 or baseline.</li> </ul>		
		Once recovers,     Paclitaxel: discontinue     Carboplatin: reduce dose by one level.		
	Increased AST or ALT Grade ≥ 2 and Increased total bilirubin Grade 1		/ALT recovery to ≤ grade 1 or	
		Once AST/ALT recovers,		
AST or ALT or blood bilirubin increased		Maintain the same dose if bilirubin within normal limit.		
		<ul> <li>If bilirubin is still increased to grade 1,</li> <li>Paclitaxel: reduce dose by one level.</li> <li>Carboplatin: maintain the same dose.</li> </ul>		
	Grade $\geq 3$ AST or ALT or Grade $\geq 2$ total bilirubin		/ALT and bilirubin recovery to ≤	
		- Paclitaxel: red	and bilirubin recover, duce dose by one level. maintain the same dose.	
Other alinically	Grade 2	•	very to $\leq$ grade 1 or baseline.	
Other clinically significant AEs <sup>b</sup>			maintain the same dose.	
	Grade 3 or 4	Hold until recovery	very to ≤ grade 1 or baseline.	

Samsung Bioepis – Confidential Page 60 of 165 Once recovers, reduce dose by one level.

AE = adverse event; ANC = absolute neutrophil count; AST = aspartate aminotransferase; ALT = alanine transaminase; G-CSF= Granulocyte Colony-stimulating Factor; NCI-CTCAE = national cancer institute common terminology criteria for adverse events

# 6.5. Assessment of Compliance

Compliance will be assessed by the subject's medical records because both IPs and non-IPs are IV infusional drugs. All dosing information including any dose reductions should be recorded in the subject's medical records.

Also any reason for non-compliance should be documented. For example, insufficient compliance is defined when a subject missing more than one IP and non-IPs for reasons other than toxicity, missed scheduled study activities or overdose.

IP accountability and dispensing records must be kept current and contain the following information:

- The identification of the subjects to whom the drug was dispensed.
- The date(s) and quantity of the drug dispensed and exact package to the subject.
- The dispensing and inventory logs must be available for inspection by the medical monitor.

# 6.6. General Concomitant Medication and Supportive Care Guidelines

All concomitant medication(s) (including premedication and antiemetic therapy) must be reported. In addition, any diagnostic, therapeutic or surgical procedure performed during the treatment period (from Screening to end of treatment), must be recorded. Any medication which is necessary for the management of AEs from chemotherapy may be used at the discretion of the Investigator. For more information of used drugs including contraindications, special warnings and precautions for use, dose modification in case of toxicity, fertility, pregnancy, and lactation, please refer to SmPC of individual drugs.

## 6.6.1. Premedication for Study Drugs

# 6.6.1.1. SB8 or Avastin®

Routine premedication for SB8 or Avastin<sup>®</sup> is not permitted. However, subjects who experience infusion-related reactions after infusion of SB8 or Avastin<sup>®</sup> may be premedicated with paracetamol and antihistamine for subsequent infusions. Management of infusion-related

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<sup>&</sup>lt;sup>a</sup> NCI-CTCAE v4.03 will be used (see APPENDIX 3)

<sup>&</sup>lt;sup>b</sup>Other clinically significant AEs will be determined at the discretion of Investigator

reactions is described in Section 6.6.2.

### 6.6.1.2. Paclitaxel and Carboplatin

Premedication consisting of ranitidine (or other H2-blocker) 50 mg, pheniramine (or other H1-blocker) 4 mg IV slowly, dexamethasone 10 mg IV slowly 30 minutes prior to paclitaxel administration, unless contra-indicated, may be used. Modifications of premedication regimen are permitted according to local practice.

## 6.6.2. Management for Infusion-related Reactions

SB8 or Avastin® may cause infusion-related reactions such as fever, chills, hypotension, shortness of breath, skin rash, headache, nausea, and/or vomiting. Such reactions typically occur during or very shortly after an infusion. Administration of SB8 or Avastin® should be performed in a setting with emergency equipment and staff who are trained to medical emergencies. If an infusion reaction occurs, the SB8 or Avastin® infusion should be discontinued and the subject will be monitored until resolution of any observed symptoms. The majority of subjects will be resolved for symptoms and subsequently will receive further infusions. Subjects who experience infusion-related symptoms may be premedicated with paracetamol and antihistamines for subsequent infusions. Subjects should be warned of the possibility of late onset reactions and should be instructed to contact their Investigator if these reactions occur. When a severe hypersensitivity reaction such as anaphylaxis occurs, the infusion should be interrupted immediately and supportive care including oxygen, epinephrine, beta-agonists, and corticosteroids should be administered with continuous vital sign monitoring by trained staff until the symptoms are resolved.

### 6.6.3. Granulocyte Colony-stimulating Factor (G-CSF) Use

G-CSF may be given at the discretion of Investigator and recommend following NCCN Guidelines of Myeloid Growth Factors. In subjects with risk factors for developing febrile neutropenia (sepsis syndrome, aged  $\geq$  65, severe neutropenia [ANC < 0.1  $\times$  10 $^9$ /L], neutropenia expected to be more than 10 days in duration, pneumonia, invasive fungal infection, other clinically documented infections, hospitalisation at the time of fever, prior episode of febrile neutropenia) prophylaxis with G-CSF will be permitted.

### 6.6.4. Antibiotics Use

At the discretion of Investigator, antibiotics may be administered according to NCCN Guidelines of Cancer-related Infections or ASCO Guidelines in febrile neutropenia cases.

# 6.6.5. Other Supportive Care

All supportive therapies (e.g., physical therapy, blood transfusions) are permitted as appropriate

Samsung Bioepis – Confidential Page 62 of 165 and according to the local practice.

Subjects with anemia can be treated according to the local practice. Intravenous bisphosphonate therapy is permitted during the study if clinically indicated (e.g., bone metastases for NSCLC) (see Section 6.2.5.).

# 7. SAFETY MONITORING AND REPORTING

#### 7.1. Adverse Events

#### 7.1.1. Adverse Event Definition

An AE is any untoward medical occurrence in a subject or clinical investigation subject administered a medicinal (investigational) product or other protocol-imposed intervention and which does not necessarily have to have a causal relationship with this treatment or intervention. An AE can therefore be an unfavourable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with the use of any dose of a medicinal (investigational) product or other protocol-imposed intervention, regardless of attribution.

All AEs during the period of observation (as specified in Section 7.1.2.) including the event that occurred prior to administration of an IP should be collected as an AE.

Pre-existing conditions and any abnormal findings from assessments at the time of screening which are not related to protocol-imposed intervention should not be reported as AEs, however pre-existing conditions which worsen (i.e., change in severity) that meets the definition of an AE during the study are to be reported as AEs.

Diagnostic and therapeutic non-invasive and invasive procedures, such as surgery, should not be reported as AEs. However, the medical condition for which the procedure was performed should be reported if it meets the definition of an AE. For example, an acute appendicitis that begins during the AE reporting period should be reported as an AE and the resulting appendectomy should be recorded as treatment of the AE.

The AE that emerge during the treatment with an IP (i.e., treatment-emergent adverse event) will be analysed for the purposes of safety analyses.

### 7.1.1.1. Progression of Underlying Disease

Progression of NSCLC is not to be reported as an AE if it is clearly consistent with the suspected progression of the NSCLC as defined by the criteria as specified in Section 5.2.1.2. Such an AE should not be reported as a SAE even if it meets the seriousness criteria.

However, if there is any uncertainty about an AE being due only to the NSCLC, it should be reported as an AE or SAE.

Samsung Bioepis – Confidential Page 63 of 165 In case of local regulations demanding the treating Investigator to report progression of underlying disease as AE, then the Investigator must fulfil due diligence to the regulatory authorities. However, such cases will not be counted as AE in the Clinical Study Report of this study.

## 7.1.1.2. Clinically Significant Abnormalities

If there are any abnormalities discovered during the laboratory test, physical examination, vital signs and/or other safety assessments and the abnormality is assessed clinically significant by the Investigator, it should be reported as an AE. This does not apply to pre-existing conditions which have been documented at Screening or if the abnormality is consistent with a current diagnosis. If it is not specified or defined elsewhere in the protocol, clinically significant abnormality may include the events that led to an intervention, including withdrawal of the IP, dose reduction, significant additional concomitant medication, and others evaluated as clinically significant by the Investigator.

All laboratory abnormalities that require intervention (e.g., transfusion, IV infusion) should be reported as clinically significant AEs according to NCI-CTCAE v4.03. If the clinically significant laboratory or other abnormality from safety assessment is not a sign of a disease or syndrome, the abnormality itself should be collected as an AE. If the abnormality can be characterised by a precise clinical term, the clinical term should be recorded as the AE. For example, an elevated serum potassium level of 7.0 mEq/L should be recorded as "hyperkalemia". Observations of the same clinically significant abnormality from visit to visit should not be repeatedly collected as AEs, unless their severity, seriousness, or etiology changes.

# 7.1.2. Period of Observation for Adverse Events

AEs will be reported from the time the informed consent form (ICF) is signed until the EOT visit. After the EOT visit, only SAEs will be reported.

The Investigator does not need to actively monitor subjects for AEs once the clinical study has ended. However, SAEs that occurred after the EOS should be reported to the Sponsor if the Investigator becomes aware of the SAEs.

Unresolved AEs until the EOT should be followed up until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up, or initiation of subsequent therapy for NSCLC), or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from Randomisation of the last subject, whichever occurs first. The Investigator should observe the AEs for appropriate medical care of the patient until AE resolution or stabilisation.

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### 7.1.3. Reporting Adverse Events

AEs are to be reported and reviewed by the Investigator. When reporting an AE, a diagnosis (when possible and appropriate) rather than each individual sign and symptom should be reported.

Each AE is to be assessed to determine if it meets the criteria of an SAE (see Section 7.2.1. for SAE definition). If an AE is classed as an SAE, it must be reported to Sponsor, or its designated representative, promptly according to the timeline specified in Section 7.2.2.

For a SAE, a diagnosis with a description of signs and symptoms as well as other supporting information that led to the diagnosis should be described in the SAE report form and reported to the Sponsor, or its designated representative, according to the procedures described in Section 7.2.2.

## 7.1.4. Severity Assessment

The Investigator is responsible for assessing and reporting the severity of AEs in accordance with NCI-CTCAE v4.03.

The following general guideline can be used to describe the severity of the AE. A grading (severity) scale for each AE is provided in NCI-CTCAE v4.03 (see APPENDIX 3).

Table 7. Severity Grade of NCI-CTCAE v4.03

14010 11 20	eventy Grade of Net Clerk vino
GRADE	Clinical Description of Severity
C 1. 1	Mild; asymptomatic or mild symptoms; clinical or diagnostic observations only; intervention
Grade 1	not indicated.
Grade 2	Moderate; minimal, local or noninvasive intervention indicated; limiting age-appropriate
Grade 2	instrumental ADL <sup>a</sup> .
	Severe or medically significant but not immediately life-threatening;
Grade 3	hospitalisation or prolongation of hospitalisation indicated; disabling;
	limiting self-care ADL <sup>b</sup> .
Grade 4	Life-threatening consequences; urgent intervention indicated.
Grade 5	Death related to AE.

ADL = activities of daily living

# 7.1.5. Causality Assessment

The Investigator is responsible for assigning a causal relationship to each AE. The causal relationship between the IP and the AE should be defined as not related (no) or related (yes).

Events should be classified as "related" if there is a reasonable possibility that the IP caused the Samsung Bioepis – Confidential

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<sup>&</sup>lt;sup>a</sup> Instrumental ADL refers to preparing meals, shopping for groceries or clothes, using the telephone, managing money, etc.

<sup>&</sup>lt;sup>b</sup> Self-care ADL refer to bathing, dressing and undressing, feeding self, using the toilet, taking medications, and not bedridden.

AE. This means that there are facts (evidence) or arguments to suggest a causal relationship.

Events should be classified as "not related" if there is no reasonable possibility that the IP caused the AE.

### 7.1.6. Emergency Unblinding for Safety Reasons

Unblinding should be considered only when knowledge of the treatment assignment is deemed essential for the subject's safety by their Investigator or a regulatory body. In general, unblinding of subjects during the conduct of the clinical study is not allowed unless there are compelling medical or safety reasons to do so. The IWRS will be used to break the blind and details on how to do this are provided in the IWRS manual. If the blind is broken, it may be broken only for the subject in question. The Sponsor must be notified before or immediately after a subject and/or the Investigator is unblinded during the course of the study along with the reason for breaking the blind. Pertinent information regarding the circumstances of unblinding of a subject's treatment code must be documented in the subject's source documents. This includes who performed the unblinding, the subject(s) affected, the reason for the unblinding, the date of the unblinding and the relevant IP information. After unblinding (except unblinding for the purpose of regulatory reporting), subjects will be discontinued from the study.

### 7.1.7. Expectedness Assessment

Expectedness of AEs will be assessed by referring to the safety information in IB of the relevant safety section. More detailed information on expectedness assessment will be explained in IB. The latest SmPC of Avastin<sup>®</sup>, Annex I of EPAR Product Information posted on EMA website, will be used to assess the expectedness for the comparators.

## 7.1.8. Withdrawal Due to Adverse Events

Subject withdrawal from the study due to an AE should be distinguished from withdrawal due to personal reasons. Subjects withdrawn due to an AE should be followed up until the time point specified in the protocol. When a subject withdraws from the study due to an SAE, the SAE must be reported and followed in accordance with the requirements outlined in Section 7.2.2.

Subjects who discontinue the administration of IPs because of serious or significant safety issues should be followed closely until the events are fully and permanently resolved or stabilised.

#### 7.2. Serious Adverse Events

### 7.2.1. Serious Adverse Event Definition

An SAE is any untoward medical occurrence at any dose that:

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- Results in death
- Is life-threatening
- Requires inpatient hospitalisation or prolongation of existing hospitalisation
- Results in persistent or significant disability/incapacity
- Results in congenital anomaly/birth defects
- Is medically important

If the SAE is considered progression of NSCLC, it should not be reported as a SAE in accordance with Section 7.1.1.1.

Medical and scientific judgement should be exercised in deciding whether expedited reporting is appropriate in other situations, such as important medical events that may not be immediately life-threatening or result in death or hospitalisation. However, if it is determined that the event may jeopardise the subject and may require intervention to prevent one of the other outcomes listed in the definition above, the important medical event should be reported as serious. Examples of such events are intensive treatment in an emergency room or at home for allergic bronchospasm, blood dyscrasias or convulsions that do not result in hospitalisation or development of drug dependency or drug abuse.

The term "severe" is often used to describe the intensity (severity) of a specific event (as in mild, moderate or severe myocardial infarction); the event itself, however, may be of relatively minor medical significance (such as severe headache). This is not the same as "serious," which is based on subject/event outcome or action criteria usually associated with events that pose a threat to a subject's life or functioning.

# 7.2.1.1. Life-threatening

The term "life-threatening" in the definition of "serious" refers to an event in which the subject was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe.

### 7.2.1.2. Hospitalisation

AEs reported from clinical studies associated with hospitalisation or prolongation of hospitalisation are considered serious. Staying at the observation unit in the emergency room for more than 24 h qualifies for hospitalisation. Any events leading to a subsequent emergency room visit for less than 24 h should be in the discretion of Investigator to assess seriousness as medically important.

Samsung Bioepis – Confidential Page 67 of 165 Hospitalisation or prolongation of hospitalisation in the absence of a precipitating clinical AE is not in itself an SAE. Examples include:

- Admission for treatment of a pre-existing condition not associated with the
  development of a new AE or with a worsening of the pre-existing condition (e.g., for
  work-up of persistent pre-treatment laboratory abnormality)
- Social admission for convenience (e.g., admission of a subject who does not have a carer)
- Administrative admission (e.g., for a yearly physical exam)
- Protocol-specified admission during a clinical study (e.g., for a procedure required by the study protocol)
- Optional admission not associated with a precipitating clinical AE (e.g., for elective cosmetic surgery)

Pre-planned treatments or surgical procedures should be noted in the Screening documentation for the individual subject

## 7.2.2. Reporting Serious Adverse Event

SAEs before EOT visit must be immediately reported at least within 24 h of the Investigator becoming aware of the event to Sponsor or its designated representative using the SAE report form in the eCRF. SAEs that occurred after the EOT visit must be reported at least within 24 h of the Investigator becoming aware of the event to Sponsor or its designated representative using the paper SAE report form. Contact information for SAE reporting will be provided in SAE Report Completion Instruction.

In particular, if the SAE is fatal or life-threatening, Sponsor must be notified immediately, irrespective of the extent of available AE information. This timeframe also applies to additional (follow-up) information that becomes available on previously forwarded SAE reports. Sponsor will then follow expedited reporting procedures according to local and international regulations as appropriate.

The Investigator is obligated to pursue and provide information to Sponsor on all SAEs in accordance with the timeframes for reporting specified above. In addition, an Investigator may be requested by Sponsor to obtain specific additional follow-up information in an expedited fashion. This information may be more detailed than that captured in the SAE report form. In general, this will include a description of the SAE, which should be provided in sufficient detail so as to allow for a complete medical assessment of the case and independent determination of possible causality. Information on other possible causes of the event, such as concomitant

Samsung Bioepis – Confidential Page 68 of 165 medications and illnesses must be provided. In the case of a subject death, a summary of available autopsy findings must be submitted as soon as possible to Sponsor or its designated representative.

All SAEs will be followed until event resolution or stabilisation (for chronic events), if possible, even when a subject is withdrawn from treatment. For chronic events that does not fully resolve until years later, the outcome should be reported as "resolved with sequelae" as soon as the event has stabilised or returned to baseline. Follow-up information for the SAE should be actively sought and submitted as the information becomes available.

# 7.3. Adverse Events of Special Interest (AESI)

# 7.3.1. Hypertension

Hypertension NCI-CTCAE v4.03 grade  $\geq$  3 should be classified as AESI.

#### 7.3.2. Proteinuria

If subject is discovered to have  $\geq 2+$  proteinuria on urine dipstick (or other ways of urinalysis) and demonstrate 24 hours urine protein excretion  $\geq 1$  g or protein/creatinine ratio in spot urine  $\geq 1$  g/g creatinine (or  $\geq 226.0$  mg/mmol creatinine), should be classified as AESI.

# 7.4. Pregnancy

Any pregnancy, including those of female partners of male subjects treated with the IP, should be reported to the Sponsor. If the female partner of a male subject becomes pregnant, a written consent must be obtained from the female partner before collecting any pregnancy-related data. All pregnancies associated with the subject, from the time the subject receives the first dose of IP until 6 months after the last dose of IP should be reported to Sponsor. Pregnancy reports should be made within 24 h of the Investigator becoming aware of the pregnancy using the Pregnancy report form.

Although pregnancy is not an AE, all pregnancies must be followed up until 6-8 weeks after the outcome of the pregnancy becomes available, unless the subject is lost to follow-up. The pregnancy outcome should be notified to Sponsor by submitting a follow-up Pregnancy report form. If the outcome of the pregnancy meets SAE criteria then the Investigator should report this case according to the SAE reporting procedure (see Section 7.2.2.).

#### 7.5. Independent Data and Safety Monitoring Board

An independent data and safety monitoring board (DSMB) will be assigned for this study. The DSMB will consist of external experts (e.g., medical oncologists, clinical pharmacologists or biostatisticians) and will review the safety and tolerability data from the study at pre-specified intervals. The details of the safety data and time points for review will be described in the

Samsung Bioepis – Confidential Page 69 of 165 DSMB Charter and in the DSMB Statistical Analysis Plan (SAP).

In addition, an ongoing blinded review of AEs, including clinical laboratory data will be continuously undertaken by the Sponsor medical monitor and pharmacovigilance team.

# 8. STATISTICAL CONSIDERATION AND ANALYTICAL PLAN

# 8.1. Analysis Sets

The following sets will be used for the analyses performed in the study:

- Randomised set (RAN): RAN will consist of all subjects who receive a randomisation number at the randomisation.
- Full analysis set (FAS): FAS will consist of all randomised subjects. The subjects will
  be analysed based on the treatment they were randomised to by intention-to-treat
  principle. However, subjects who do not qualify for randomisation and are
  inadvertently randomised into the study will be excluded from FAS, provided these
  subjects do not receive any IP during the study.
- Per-protocol set (PPS): PPS will consist of all FAS subjects who complete at least two
  cycles of combination chemotherapy with a tumour assessment and do not have any
  major protocol deviations that impact the primary efficacy assessment. Major protocol
  deviations that will lead to the exclusion from the PPS will be pre-specified, and the
  PPS will be determined prior to unblinding treatment codes.
- Safety set (SAF): SAF will consist of all subjects who received the study drug at least
  once. This analysis set will be used for safety analyses. The subjects will be analysed
  based on the treatment they received.
- Pharmacokinetic population: this set will consist of subjects allocated to PK sub-study who have at least one measured serum concentration of bevacizumab.

### 8.2. Statistical Methods and Analytical Plan

## 8.2.1. Demographics and Baseline Characteristics

The Baseline value will be defined as the last available measurement value prior to the time of first IP administration.

Subject demographics and baseline characteristics will be summarised by treatment group for the RAN. Continuous variables (e.g., age, weight, height, disease duration) will be summarised with descriptive statistics (n, mean, standard deviation, median, minimum, maximum). Categorical variables (e.g., gender, race, ethnicity, ECOG status) will be summarised with

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Comparison of treatment groups will be performed using the chi-square test or F-test as appropriate. The results of these tests will be provided including the *p*-value for descriptive purposes and will not be used as a formal basis to determine the factors to be included in statistical models. If baseline imbalances are detected for any of the factors, additional analyses may be performed to adjust for these baseline differences.

Relevant medical history and continuing medical conditions will be summarised by treatment group for the RAN.

Duration of exposure to study drug and number of IV infusions will be summarised by treatment group with descriptive statistics using the SAF. Prior and concomitant medications and significant non-drug therapies will be summarised by treatment group with frequency and percentage.

## 8.2.2. Efficacy

## 8.2.2.1. Primary Efficacy Analysis

The primary efficacy endpoint is the best ORR by 24 weeks of chemotherapy. The best ORR is defined as the proportion of subjects whose best overall response is either CR or PR according to RECIST v1.1 during the induction treatment period. If a subject has either CR or PR at least once during the induction treatment period, the subject will be considered as the responder. Tumour assessment will be performed after IP administration of Cycle 2, 4, and 6 before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles according to RECIST v1.1. Tumour size will be assessed by both investigators and independent central reviewer. The primary efficacy analysis will be based on the data from the independent central review.

The primary efficacy analysis will aim to demonstrate equivalence in the best ORR between SB8 and Avastin<sup>®</sup>. The null hypothesis tested for the primary efficacy analysis will be either (1) SB8 is inferior to Avastin<sup>®</sup> or (2) SB8 is superior to Avastin<sup>®</sup> based on a pre-defined equivalence margin.

For US Food and Drug Administration or other regulatory agency submissions for those who are in favour of risk ratio, the primary efficacy analysis will be performed in the FAS for the ratio of best ORR (best ORR of SB8/ best ORR of Avastin®) by 24 weeks, and the equivalence will be declared if the 90% confidence interval (CI) of the best ORR ratio is contained within the pre-defined equivalence margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary analysis.

For EMA, MFDS or other regulatory agency submissions for those who are in favour of risk

Samsung Bioepis – Confidential Page 71 of 165 difference, the primary efficacy analysis will be performed in the PPS for the difference of the best ORR (best ORR of SB8 – best ORR of Avastin®) by 24 weeks, and the equivalence between the two treatment groups will be declared if the 95% CI of the difference is entirely contained within the pre-defined equivalence margin of [-12.5%, 12.5%]. The similar analysis will be performed for the FAS to support the primary analysis.

The primary efficacy analysis will be performed using the log binomial model with treatment. The sensitivity analysis will be performed using the log binomial model with the covariates of age ( $< 70, \ge 70$  years), sex (female, male), region (country or pooled centres) and treatment to explore the robustness of the primary efficacy results.

In the primary efficacy analysis for FAS, the response of the patients without any post-baseline tumour assessment will be imputed as following:

- Missing data from patients who withdrew the study due to progression disease (PD), lack of efficacy and AEs without any tumour assessment will be considered as nonresponder.
- Missing data from patients who withdrew the study with reasons other than PD, lack of
  efficacy and AEs without any tumour assessment will be imputed using multiple
  imputation method.
- Missing data from patients who remained in the study but do not have any valid tumour assessment will be imputed using multiple imputation method.

In the primary efficacy analysis for the PPS, missing data will not be imputed.

### 8.2.2.2. Secondary Efficacy Analyses

The secondary efficacy endpoints of PFS, OS and DOR will be analysed for PPS and FAS. PFS and OS will be analysed using the Kaplan-Meier method with median survival time and its 95% CI by treatment. The analysis using the stratified Cox proportional hazard model will be additionally performed to adjust the covariates used in the sensitivity analysis. DOR will be summarised using descriptive statistics by treatment.

# 8.2.2.3. Exploratory Efficacy Analysis

The exploratory efficacy endpoint is the best ORR by 11 and 17 weeks and will be analysed with the similar manner of the primary endpoint analysis.

# 8.2.3. Safety

All reported terms for AEs will be coded using MedDRA. No statistical testing will be performed for AEs. For all AE and SAE tables, subjects will be counted once for each preferred

Samsung Bioepis – Confidential Page 72 of 165 term and each system organ class.

A treatment-emergent AE (TEAE) will be defined as any AE with an onset date on or after the date of the first administration of IP. AEs which are already present before the first IP and increase in severity after the first IP will be considered as TEAEs. Pre-existing AEs before the first IP with no increase in severity after the first IP will not be considered as TEAEs.

All TEAEs and SAEs will be summarised by the frequency and percentage of subjects experiencing events by system organ class, preferred term and treatment group. SAEs leading to IP discontinuation and TEAEs by causality and severity will be summarised similarly. All AEs including those pre-existing during the pre-treatment period will be listed by subject.

Changes in vital signs and clinical laboratory measurements will be summarised descriptively by treatment group. Other safety variables (e.g., infusion reaction) will be summarised and listed.

All safety analyses will be performed using the SAF.

#### 8.2.4. Pharmacokinetics

The PK blood samples will be collected in approximately 50% of the enrolled subjects. The PK parameters ( $C_{trough}$  and  $C_{max}$ ) will be summarised descriptively by treatment group at each cycle.

## 8.2.5. Immunogenicity

The incidence of anti-drug antibodies and neutralising antibodies will be summarised by treatment group and cycle for SAF.

## 8.3. Determination of Sample Size

Regarding the calculation of the equivalence margin for the ratio of the best ORR by 24 weeks, a meta-analysis published by Botrel et al. using all of the four published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered. The overall response rate for Avastin® was reported as 34.9% (133 of 381 patients), 34.7% (114 of 329 patients), 32.4% (11 of 34 patients) and 56.2% (68 of 121 patients) compared to the overall response rate of 15.1% (59 of 392 patients), 21.7% (71 of 327 patients), 18.8% (6 of 32 patients) and 33.9% (20 of 59 patients) for chemotherapy, in E4599, AVAiL, AVF0757 and JO19907 respectively.

The overall ratio of the best ORR and the 70% CI from above four studies are calculated to be using the fixed effect method from meta-analysis. Retaining the % of the effect of Avastin® over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.

Samsung Bioepis – Confidential Page 73 of 165 For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%, 12.5%] will be used due to the similar derivation.

For the calculation of the equivalence margin for the difference of the best ORR by 24 weeks, E4599 [Sandler, 2006] and AVAiL [Reck, 2010] studies were considered. The overall response rate for Avastin® was reported as 34.9% (133 of 381 patients) and 37.8% (130 of 344 patients), compared to the overall response rate of 15.1% (59 of 392 patients) and 21.6% (75 of 347 patients) for chemotherapy, in E4599 and AVAiL, respectively.

The overall difference in the best ORR and its 95% CI from these two studies are calculated to be CI % [CCI %, CCI %] using the fixed-effect method from meta-analysis, or for 80% CI to be [CCI %, CCI %]. The equivalence margin of [-12.5%, 12.5%] will ensure the superiority of SB8 over placebo with a small safety margin retaining around 60% for 95% CI and 60% CI of the effect over the placebo in the difference of best ORR.

With 305 completers in each treatment group, the two-sided 90% CI of the best ORR ratio is expected to lie within [0.737, 1.357] with approximately 80% power, and the two-sided 95% CI of the best ORR difference between Avastin® and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected best ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.

## 8.4. Statistical Analysis Timepoints

Safety endpoint will be assessed for DSMB review during the course of the study. Interim safety results will be evaluated by the DSMB, which will be independent of the study conduct. Details will be described in the DSMB charter.

The primary endpoint will be assessed when at least 24 weeks has elapsed since the last subject is randomised. Available efficacy and safety data (a full set of the Induction treatment period data including available data in the maintenance treatment period from a subset of subjects, i.e., those subjects enrolled early) will also be analysed and reported.

A final CSR will be reported once the full set of the maintenance treatment period is obtained, e.g. after EOS.

After at least 24 weeks from the last subject randomised, or its corresponding date, a limited number of individuals of the Sponsor will be unblinded. A formal analysis of the primary efficacy data will then be undertaken. Subjects, Investigators, independent central reviewers and other study personnel will remain blinded throughout the entire treatment period

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## 9. DATA COLLECTION AND MANAGEMENT

## 9.1. Data Confidentiality

Information about study subjects will be kept confidential. Subject identification information will be labelled with a code number, and will not include the subject's name or other information that could identify them. A list linking the code and the subject's name will be kept in the site files as required by Good Clinical Practice (GCP) to protect the subject's confidentiality.

The coded information will be sent to the Sponsor (or designee) who will analyse it and report the study results both to regulatory and ethical authorities. The Sponsor may also place data on public websites or publish journal articles based upon these results. Subject names or other directly identifiable information will not appear on any reports, publications, or other disclosures of clinical study outcomes. Care will be taken to prevent subjects being identified through these publications. In addition, data may be shared with other companies or researchers to aid further research into lung cancer. Such data sharing practices will be covered by confidentiality agreements. No-one outside the Investigator site will have access to subject-identifiable information.

## 9.2. Monitoring

The Sponsor has engaged the services of a contract research organisation (CRO) to perform all monitoring functions within this clinical study. The monitors will work in accordance with the CRO SOPs and have the same rights and responsibilities as monitors from the Sponsor organisation. Monitors will establish and maintain regular contact between the Investigator and the Sponsor.

Monitors will evaluate the competence of each Investigator site and inform the Sponsor about any problems relating to facilities, technical equipment or medical staff. During the study, monitors will check that written informed consent has been obtained correctly from all subjects and that data are recorded correctly and completely. Monitors will also perform source data verification by comparing entries in the eCRF with corresponding source data and informing the Investigator of any errors or omissions. Monitors will verify adherence to the protocol at the Investigator site. All protocol deviations will be reported to the Sponsor via the Monitoring Visit Reports. Monitors will arrange for the supply of IP and ensure appropriate storage conditions are maintained.

Monitoring visits will be conducted at regular intervals according to GCP. The monitor will provide written reports to the Sponsor on each occasion they make contact with the Investigator regardless of whether it is by phone or in person.

Further details on the monitoring processes and the level of source data verification to be Samsung Bioepis – Confidential

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performed will be outlined in the monitoring plan.

## 9.3. Data Handling and Record Keeping

The Investigator must maintain essential study documents (protocol and protocol amendments, completed eCRFs, signed informed consent forms, relevant correspondence, and all other supporting documentation) until at least 2 years after the last approval of a marketing application in an International Conference on Harmonisation (ICH) region and until there are no pending or contemplated marketing applications in an ICH region or at least 2 years after the formal discontinuation of clinical development of the IP or 15 years from completion of the study. These documents should be retained for a longer period if required by the applicable regulatory requirements or the Investigator site, institution or private practice in which the study is being conducted. Subject identification codes (subject names and corresponding study numbers) will be retained for the same period of time. These documents may be transferred to another responsible party, deemed acceptable by the Sponsor, and who agrees to abide by the retention policies. Written notification of transfer must be submitted to the Sponsor. The Investigator must contact the Sponsor prior to disposing of any study records and obtain written permission to do so.

## 9.4. Database Management and Coding

Data generated within this clinical study will be handled according to the relevant SOPs of the data management and biostatistics departments of the Sponsor (or an appropriate company designated by the Sponsor to perform these activities). The study eCRF is the primary data collection instrument for the study. Subject data will be captured in an eCRF and reviewed by the monitor in order to check adherence to the protocol and to detect any data inconsistency or discrepancy.

The Investigator must ensure that the clinical data required by the study protocol are carefully reported in the eCRF. He/she must also check that the data reported in the eCRF correspond to those in the medical records.

Forms should be available during periodic visits by study monitors to enable review for completeness and acceptability. Any correction to the data entered into the eCRF must be carried out by the Investigator or a designated member of staff. These changes may be made either on the initiative of the site staff or in response to monitoring or data queries. Any changes to written data must be made using GCP corrections and any change to electronic data should be made in a system which can provide an audit trail. Monitors and clinical data managers will review the eCRF for accuracy and can generate queries to the investigational staff for resolution. Corrections will be recorded in an audit trail that records the old information, the new information, and identification of the person making the changes, date of correction made and reason for change. The Investigator must sign and date the eCRF pages as indicated.

Samsung Bioepis – Confidential Page 76 of 165 Medical/surgical history and underlying diseases and AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). Concomitant medications will be coded using the World Health Organization-Drug Dictionary Enhanced (WHO-DDE). The versions of coding dictionaries used will be stated in the clinical study report.

## 9.5. Quality Control and Quality Assurance

During the conduct of the study, Sponsor or its agent will conduct periodic monitoring visits to ensure that the protocol and GCP are being followed. The monitors may review source documents to confirm that the data recorded on the eCRFs are accurate. The Investigator and institution will allow the domestic and foreign regulatory authorities, sponsor's monitors and auditors direct access to source documents to perform this verification. The study site may be subject to review by the Independent ethics committee (IEC), and/or to quality assurance audits performed by Sponsor, and/or to inspection by appropriate regulatory authorities. It is important that the Investigator and their relevant personnel are available during the monitoring visits and possible audits or inspections and that sufficient time is devoted to the process.

# 10. ETHICAL CONSIDERATIONS AND ADMINISTRATIVE PROCEDURES

#### 10.1. Institutional Review Board or Independent Ethics Committee

The Investigator and the Sponsor will follow all local laws and regulations relating to contact with and approvals from the institutional review board (IRB)/IEC.

The Investigator must provide the Sponsor with documentation of IRB/IEC approval of the protocol and informed consent before the study may begin at the Investigator site. The Investigator will supply documentation to the Sponsor relating to the annual renewal of the protocol from the IRB/IEC and any approvals of revisions to the ICF or amendments to the protocol.

The Investigator will report promptly to the IRB/IEC any new information that may adversely affect the safety of subjects or the conduct of the study. Similarly, the Investigator will submit written summaries of the study status to the IRB/IEC on a regular basis and in accordance with the timelines required locally. Upon completion of the study, the Investigator will provide the ethics committee with a report on the outcome of the study if required by local regulations.

## 10.2. Ethical Conduct of the Study

This study will be conducted in compliance with the protocol, the ICH guidelines, GCP, the Declaration of Helsinki (2013) and all applicable and current regulatory requirements.

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#### 10.3. Informed Consent

The ICF will be used to explain the risks and benefits of study participation to the subject in simple terms before the subject enters into the study. The ICF contains a statement that the consent is freely given, that the subject is aware of the risks and benefits of entering the study, and that the subject is free to withdraw from the study at any time. Written consent must be given by the subject and/or legal representative, after the receipt of detailed information on the study.

The Investigator is responsible for ensuring that informed consent is obtained from each subject or legal representative and for obtaining the appropriate signatures and dates on the ICF prior to the performance of any protocol procedures and prior to the administration of IP. The Investigator will provide each subject with a copy of the signed and dated ICF and this will be documented in the subject's source notes.

### 10.4. Investigator Information

## 10.4.1. Investigator Obligations

This study will be conducted in accordance with the ICH Harmonised Tripartite Guideline for GCP (1997), the ethical principles that have their origin in the Declaration of Helsinki (2013) and local laws and regulations.

The Investigator is responsible for ensuring that the study is conducted according to the signed Investigator statement, the study protocol and applicable regulations; for protecting the rights, safety and welfare of subjects under the Investigator's care; and for the control of drugs under investigation. The Investigator must obtain the informed consent of each subject to whom IP is administered.

## 10.4.2. Coordinating Investigator

Sponsor will designate a Coordinating Investigator among the Investigators who participate in the study. The roles of the Coordinating Investigator are defined as following:

- Provide scientific and medical advice and/or inputs on current medical practice, protocol development and site selection
- Review ongoing study activities with Interpretation and presentation of final analyses
- Review clinical study reports
- Involved in development of publication strategy
- The designated Coordinating Investigator will sign the signature page of CSR as a

Samsung Bioepis – Confidential Page 78 of 165 representative of other Investigators.

## 10.4.3. Training of Investigator Site Personnel

Before the first subject is enrolled into the study, a Sponsor representative will review and discuss the requirements of the Clinical Study Protocol and related documents with the investigational staff and will also train them in any study-specific procedures.

The Investigator will ensure that appropriate training relevant to the study is given to all site staff and that any new information relevant to the performance of this study is forwarded to the staff involved.

The Investigator will maintain a record of all individuals involved in the study (medical, nursing and other staff).

## 10.4.4. Protocol Signatures

The Investigator must sign the Investigator Signature Page of this protocol prior to starting recruitment for the study. By signing the protocol, the Investigator confirms in writing that he/she has read, understands and will strictly adhere to the study protocol and will conduct the study in accordance with GCP and applicable regulatory requirements. The study will not be able to start at any Investigator site where the Investigator has not signed the protocol.

## 10.4.5. Financing and Insurance

Samsung is the Sponsor of this study and will be providing the finances to cover the operation of the study. Details of financial agreements are provided in the Clinical Study Agreements with the Investigator sites and in contracts with other companies involved in the running of the study.

The Sponsor has obtained suitable insurance for this study. The insurance details will be provided to each Investigator who will be responsible for providing the IRB/IEC with these details according to local requirements.

## 11. STUDY DISCONTINUATION

The end of study will occur, when one of the following conditions is met, whichever occurs first;

- Deaths of all the randomised subjects have been reported.
- 12 months after Randomisation of the last subject.
- Discontinuation of IP development.
- Occurrence of previously unknown adverse events that could significantly affect continuation of the study (e.g., at the discretion of DSMB).

Samsung Bioepis – Confidential Page 79 of 165 Medical or ethical reasons affecting the continued performance of the study.

After end of study is announced, Sponsor may discontinue supply of IPs and safety follow-up will not be performed.

## 12. PUBLICATION POLICY

The Sponsor supports the efforts of health authorities to increase the transparency of medical research conducted in human subjects. The Sponsor will register and maintain the information of clinical studies on a public registry program such as www.ClinicalTrials.gov. The Sponsor will comply with the guidelines of regulatory authorities with regards to public registration and disclosure of clinical study data.

The clinical study data collected during the study are confidential and proprietary to the Sponsor. Sponsor shall have the right to delete any confidential or proprietary information contained in any proposed abstract or presentation.

Any publications from this study should be approved by the Sponsor prior to publication or presentation. The rights of the Investigator with regard to publication of this study are described in the Clinical Study Agreement.

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## 13. REFERENCES

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## **APPENDIX 1: ECOG PERFORMANCE STATUS**

Grade	ECOG
0	Fully active, able to carry on all pre-disease performance without restriction
1	Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, e.g., light house work, office work
2	Ambulatory and capable of all self-care but unable to carry out any work activities. Up and about more than 50% of waking hours
3	Capable of only limited self-care, confined to bed or chair more than 50% of waking hours
4	Completely disabled. Cannot carry on any self-care. Totally confined to bed or chair
5	Dead

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## APPENDIX 2: LUNG CANCER STAGING (AJCC 7<sup>TH</sup> EDITION)

## **Definitions for T, N, M**

T	Primary tumour
Tx	Primary tumour cannot be assessed, or tumour proven by the presence of malignant cells in sputum or bronchial washings but not visualized by imaging or bronchoscopy
T0	No evidence of primary tumour
Tis	Carcinoma in situ
T1	Tumour 3 cm or less in greatest dimension, surrounded by lung or visceral pleura, without bronchoscopic evidence of invasion more proximal than the lobar bronchus (for example, not in the main bronchus) <sup>a</sup>
Tla	Tumour 2 cm or less in greatest dimension
T1b	Tumour more than 2 cm but 3 cm or less in greatest dimension
T2	Tumour more than 3 cm but 7 cm or less or tumour with any of the following features (T2 tumours with these features are classified T2a if 5 cm or less): involves main bronchus, 2 cm or more distal to the carina; invades visceral pleura (PL1 or PL2); associated with atelectasis or obstructive pneumonitis that extends to the hilar region but does not involve the entire lung
T2a	Tumour more than 3 cm but 5 cm or less in greatest dimension
T2b	Tumour more than 5 cm but 7 cm or less in greatest dimension
Т3	Tumour more than 7 cm or one that directly invades any of the following: parietal pleural (PL3), chest wall (including superior sulcus tumours), diaphragm, phrenic nerve, mediastinal pleura, parietal pericardium; or tumour in the main bronchus less than 2 cm distal to the carina1 but without involvement of the carina; or associated atelectasis or obstructive pneumonitis of the entire lung or separate tumour nodule(s) in the same lobe
Т4	Tumour of any size that invades any of the following: mediastinum, heart, great vessels, trachea, recurrent laryngeal nerve, esophagus, vertebral body, carina, separate tumour nodule(s) in a different ipsilateral lobe
M	Distant Metastasis
M0	No distant metastasis
M1	Distant metastasis
Mla	Separate tumour nodule(s) in a contralateral lobe, tumour with pleural nodules or malignant pleural (or pericardial) effusion <sup>b</sup>
M1b	Distant metastasis (in extrathoracic organs)

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N	Regional lymph nodes
Nx	Regional lymph nodes cannot be assessed
N0	No regional lymph node metastases
N1	Metastasis in ipsilateral peribronchial and/or ipsilateral hilar lymph nodes and intrapulmonary nodes, including involvement by direct extension
N2	Metastasis in ipsilateral mediastinal and/or subcarinal lymph node(s)
N3	Metastasis in contralateral mediastinal, contralateral hilar, ipsilateral or contralateral scalene, or supraclavicular lymph node(s)

<sup>&</sup>lt;sup>a</sup> The uncommon superficial spreading tumour of any size with its invasive component limited to the bronchial wall, which may extend proximally to the main bronchus, is also classified as T1a.

## Anatomic stage/ Prognostic groups

Occult carcinoma	Tx	N0	M0
Stage 0	Tis	N0	M0
Stage IA	Tla	N0	M0
	T1b	N0	M0
Stage IB	T2a	N0	M0
Stage IIA	Т2ь	N0	M0
	Tla	N1	M0
	T1b	N1	M0
	T2a	N1	M0
Stage IIB	T2b	N1	M0
	Т3	N0	M0
Stage IIIA	Tla	N2	M0
	T1b	N2	M0
	T2a	N2	M0
	T2b	N2	M0
	Т3	N1	M0
	Т3	N2	M0

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<sup>&</sup>lt;sup>b</sup> Most pleural (and pericardial) effusions with lung cancer are due to tumour. In a few patients, however, multiple cytopathologic examinations of pleural (pericardial) fluid are negative for tumour, and the fluid is nonbloody and is not an exudate. Where these elements and clinical judgment dictate that the effusion is not related to the tumour, the effusion should be excluded as a staging element and the patient should be classified as M0.

	T4	N0	M0
	T4	N1	M0
Stage IIIB	Tla	N3	M0
	T1b	N3	M0
	T2a	N3	M0
	T2b	N3	M0
	Т3	N3	M0
	Т3	N3	M0
	T4	N2	M0
	T4	N3	M0
Stage IV	Any T	Any N	Mla
	Any T	Any N	Mlb

## Reference:

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## APPENDIX 3: NATIONAL CANCER INSTITUTE-COMMON TERMINOLOGY CRITERIA FOR ADVERSE EVENTS VERSION 4.03 (NCI-CTCAE v4.03) (IN PART OF)

Grade 1	Mild; asymptomatic or mild symptoms; clinical or diagnostic observations only; intervention not indicated.
Consider 2	Moderate; minimal, local or noninvasive intervention indicated; limiting age-
Grade 2	appropriate instrumental ADL <sup>a</sup> .
	Severe or medically significant but not immediately life-threatening;
Grade 3	hospitalisation or prolongation of hospitalisation indicated; disabling;
	limiting self-care ADL <sup>b</sup> .
Grade 4 Life-threatening consequences; urgent intervention indicated.	
Grade 5	Death related to AE.

ADL = Activities of Daily Living

## **Specific CTCAE Grades for Selected Adverse Events**

AE	Grade					
AL	1	2	3	4	5	
Neutrophil count	< LLN-	< 1,500-	$< 1,000-500/\text{mm}^3$	$< 500/\text{mm}^3$		
decreased	$1,500/\text{mm}^3$	$1000/\text{mm}^3$			-	
Platelet count	< LLN-	< 75,000-	< 50,000-	$< 25,000/\text{mm}^3$		
decreased	$75,000/\text{mm}^3$	$50,000/\text{mm}^3$	$25,000/\text{mm}^3$		-	
Febrile neutropenia	ebrile eutropenia		ANC <1,000/mm³ with a single temperature of > 38.3 degrees C (101 degrees F) or a sustained temperature of ≥ 38 degrees C (100.4 degrees F) for more than one hour.	Life- threatening consequences; urgent intervention indicated	Death	
AST/ALT	> ULN- 3.0 × ULN	> 3.0-5.0 × ULN	> 5.0-20.0 × ULN	> 20.0 × ULN	-	
Blood bilirubin	> ULN-	> 1.5-3.0 ×	> 3.0-10.0 × ULN	> 10.0 × ULN		
increased	$1.5 \times ULN$	ULN			-	
ALP	> ULN-2.5 × ULN	> 2.5-5.0 × ULN	> 5.0-20.0 × ULN	> 20.0 × ULN	-	
Peripheral motor neuropathy	Asymptomatic; clinical or diagnostic observations only;	Moderate symptoms; limiting instrumental ADL	Sever symptoms; limiting self-care ADL; assistive device indicated	Life- threatening consequences; urgent intervention	Death	

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<sup>&</sup>lt;sup>a</sup> Instrumental ADL refer to preparing meals, shopping for groceries or clothes, using the telephone, managing

money, etc.

b Self-care ADL refer to bathing, dressing and undressing, feeding self, using the toilet, taking medications, and not bedridden.

AE	Grade					
AL	1	2	3	4	5	
	intervention not indicated			indicated		
Peripheral loss of deep loss of deep learn tendon reflexes or paresthesia loss of deep loss of d			Death			
Mucositis oral	Asymptomatic or mild symptoms; intervention not indicated	Moderated pain; not interfering with oral intake; modified diet indicated	Severe pain; interfering with oral intake	Life- threatening consequences; urgent intervention indicated	Death	
Vomiting	1-2 episodes (separated by 5 minutes) in 24 hrs	3-5 episodes (separated by 5 minutes) in 24 hrs	≥ 6 episodes (separated by 5 minutes) in 24hrs; tube feeding, TPN or hospitalisation indicated	Life- threatening consequences; urgent intervention indicated	Death	

## Reference:

Common Terminology Criteria for Adverse Events (CTCAE) Version 4.03. *NCI*. from <a href="http://evs.nci.nih.gov/ftp1/CTCAE/CTCAE\_4.03\_2010-06-14">http://evs.nci.nih.gov/ftp1/CTCAE/CTCAE\_4.03\_2010-06-14</a> QuickReference 8.5x11.pdf.

# APPENDIX 4: WORLD HEALTH ORGANIZATION HISTOLOGICAL CLASSIFICAION OF TUMOURS OF THE LUNG

Epithelial tumours			
Adenocarcinoma	Lepidic adenocarcinoma		
	Acinar adenocarcinoma		
	Papillary adenocarcinoma		
	Micropapillary adenocarcinoma		
	Solid adenocarcinoma		
	Invasive mucinous adenocarcinoma – mixed invasive mucinous and nonmucinous adenocarcinoma		
	Colloid adenocarcinoma		
	Fetal adenocarcinoma		
	Enteric adenocarcinoma		
	Minimally invasive adenocarcinoma – nonmucinous, mucinous		
	Preinvasive lesions – atypical adenomatous hyperplasia, adenocarcinoma in situ (nonmucinous, mucinous)		
Squamous cell carcinoma	Keratinizing squamous cell carcinoma		
	Nonkeratinizing squamous cell carcinoma		
	Basaloid squamous cell carcinoma		
	• Preinvasive lesion – squamous cell carcinoma in situ		
Neuroendocrine tumours	Small cell carcinoma – combined small cell carcinoma		
	Large cell neuroendocrine carcinoma – combined large cell neuroendocrine carcinoma		
	Carcinoid tumours – typical carcinoid tumour, atypical carcinoid tumour		
	• Preinvasive lesion – diffuse idiopathic pulmonary neuroendocrine, cell hyperplasia		
Large cell carcinoma			
Adenosquamous carcinoma			
Pleomorphic carcinoma			
Spindle cell carcinoma			

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Giant cell carcinoma				
Carcinosarcoma				
Pulmonary blastoma				
Other and unclassified	Lymphoepithelioma-like carcinoma			
carcinomas	NUT carcinoma			
Salivary gland-type tumours	Mucoepidermoid carcinoma			
	Adenoid cystic carcinoma			
	Epithelial-myoepithelial carcinoma			
	Pleomorphic adenoma			
Papillomas	Squamous cell papilloma – exophytic, inverted			
	Glandular papilloma			
	Mixed squamous and glandular papilloma			
Adenomas	Sclerosing pneumocytoma			
	Alveolar adenoma			
	Papillary adenoma			
	Mucinous cystadenoma			
	Mucous gland adenoma			
Mesenchymal tumours				
Pulmonary hamartoma				
Chondroma				
PEComatous tumours	Lymphangioleiomyomatosis			
	• PEComa, benign – clear cell tumour			
	PEComa, malignant			
Congenital peribronchial myo	fibroblastic tumour			
Inflammatory myofibroblastic	tumour			
Epithelioid haemangioendothe	lioma			
Pleuropulmonary blastoma				
Synovial sarcoma				
Pulmonary artery intimal sarco	oma			
Pulmonary myxoid sarcoma w	rith EWSR1-CREB1 translocation			

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Myoepithelial tumours	Myoepithelioma
	Myoepithelial carcinoma
Lymphohistiocytic tumours	
Extranodal marginal zone lymphomas of mucosa-associated	Lymphoid tissue (MALT lymphoma)
Diffuse large cell lymphoma	
Lymphomatoid granulomatos	sis
Intravascular large B cell lym	nphoma
Pulmonary langerhans cell hi	stiocytosis
Erdheim- chester disease	
Tumours of ectopic origin	
Germ cell tumours	Teratoma, mature
	Teratoma, immature
Intrapulmonary thymoma	
Melanoma	
Meningioma, NOS	
Metastatic tumours	

## **Reference:**

WHO Classification of Tumours of the Lung, Pleura, Thymus and Heart; Volume 7 in  $4^{\rm th}$  Edition.

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## PROTOCOL SIGNATURE PAGES

## SIGNATURE PAGE

## Declaration of Sponsor or Responsible Medical Expert

Protocol Title: A Phase III, Randomised, Double-blind, Multicentre Study to Compare the Efficacy, Safety, Pharmacokinetics and Immunogenicity between SB8 (proposed bevacizumab biosimilar) and Avastin<sup>®</sup> in Subjects with Metastatic or Recurrent Non-squamous Non-small Cell Lung Cancer

This clinical study protocol was subjected to critical review. The information it contains is consistent with current knowledge of the risks and benefits of the investigational medicinal product, as well as with the moral, ethical and scientific principles governing clinical research as set out in the Declaration of Helsinki, 2013 and the guidelines on Good Clinical Practice applicable to this clinical study.

Sponsor Signatory/Responsible Medical Expert

Name:	PPD	SB8 Clinical	SB8 Clinical Research Physician			
Institution:	Samsung Bioep	ois Co., Ltd.				
		PPD		PPD		
Signature:			Date:			
				(Month, Day, rear)		

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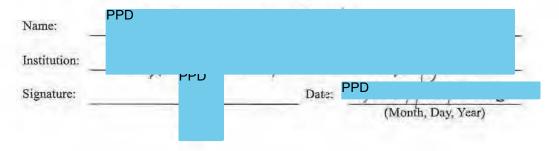
## SIGNATURE PAGE

## Declaration of the Global Principal/Coordinating Investigator

Protocol Title: A Phase III, Randomised, Double-blind, Multicentre Study to Compare the Efficacy, Safety, Pharmacokinetics and Immunogenicity between SB8 (proposed bevacizumab biosimilar) and Avastin<sup>®</sup> in Subjects with Metastatic or Recurrent Non-squamous Non-small Cell Lung Cancer

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Principal/Coordinating Investigator



Samsung Bioepis - Confidential Page 94 of 165 Principal/Coordinating Investigator

## **SIGNATURE PAGE**

## Declaration of the Principal/Coordinating Investigator

Protocol Title: A Phase III, Randomised, Double-blind, Multicentre Study to Compare the Efficacy, Safety, Pharmacokinetics and Immunogenicity between SB8 (proposed bevacizumab biosimilar) and Avastin<sup>®</sup> in Subjects with Metastatic or Recurrent Non-squamous Non-small Cell Lung Cancer

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Name:		
Institution:		
Signature:	Date:	
		(Month, Day, Year)

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## **AMENDMENT 1: Dec 17, 2015**

<b>Section Affected</b>	Original Content	Amended/New Content	Rationale
	Version and Effective Date: Version 1.0 Oct 05, 2015	Version and Effective Date: Amendment 1.0 Dec 17,	Version control
		2015	
	Samsung Bioepis Co., Ltd.	Samsung Bioepis Co., Ltd.	New postal
	107, Cheomdan-daero, Yeonsu-gu,	107, Cheomdan-daero, Yeonsu-gu,	code
	Incheon, 406-840	Incheon, 21987	
	Republic of Korea	Republic of Korea	
Change through text	ORR	Best ORR	According to
and figure except for			US FDA
AVAiL, E4599			feedback
studies' ORR			
through text	AvaiL	AVAiL	To correct typo
			error
Synopsis-Study	ORR	best ORR	According to
Design			US FDA
			feedback
	Sbjects with metastatic or recurrent non-squamous	Subjects with metastatic or recurrent non-squamous	According to
	NSCLC with unknown or without activating EGFR gene	NSCLC with unknown or without activating without	US FDA
	mutations or ALK gene translocations will be	known activating EGFR gene mutations or ALK gene	feedback
	randomised.	translocations, will be <b>randomised</b> .	
	Approximately 50% of the enrolled subjects will have	Approximately 50% of the enrolled subjects will have	clarification
	blood samples collected for PK analysis at pre-dose and	blood samples collected for PK analysis of SB8 or	
	post-dose of Cycle 1, 3, 5, and 7.	Avastin <sup>®</sup> , at pre-dose and post-dose of Cycle 1, 3, 5,	
		and 7.	
Synopsis-Target	Subjects with metastatic or recurrent non-squamous	Subjects with metastatic or recurrent non-squamous	According to
Population	NSCLC with unknown or without activating EGFR gene	NSCLC with unknown or without activating without	US FDA

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	mutations or ALK gene translocations	known activating EGFR gene mutations or ALK gene	feedback
		translocations	
Synopsis-Eligibility	1. Aged ≥ 18 years	1. Aged $\geq$ 18 years (if local regulations are different in	Different
Synopsis-Criteria		this regard, follow the local regulations).	country
Inclusion criteria			regulations,
			e.g., Taiwan
	7.b. Urine dipstick for proteinuria of less than 2+ (other	7.b. Urine dipstick for proteinuria of less than 2+ (other	To correct typo
	ways of urinalysis are also acceptable); if urine dipstick	ways of urinalysis are also acceptable); if urine dipstick	error
	is $\geq 2+$ , 24 hours urine protein excretion $< 1$ g or	is $\geq 2+$ , 24 hours urine protein excretion is $< 1$ g or	
	protein/creatinine ratio in spot urine < 1 g/g creatinine	protein/creatinine ratio in spot urine $is < 1$ g/g creatinine	
	(or < 226.0 mg/mmol creatinine).	(or < 226.0 mg/mmol creatinine).	
Synopsis-Criteria_	7. Radiotherapy within 28 days prior to Randomisation	7. Radiotherapy within 28 days prior to Randomisation	According to
Exclusion criteria	(tumour lesions situated in a previously irradiated area,	(tumour lesions situated in a previously irradiated area,	RECIST v1.1
	or in an area subjected to other loco-regional therapy	or in an area subjected to other loco-regional	
	except for pain relief, are not considered as measurable	therapy except for pain relief, are not considered as	
	lesion.).	measurable lesion unless there has been demonstrated	
		progression in the lesion.).	
	9. Minor surgical procedure within 7 days prior to	9. Minor surgical procedure within 7 days prior to	To correct typo
	Randomisation (requiring local anaesthesia or following	Randomisation (requiring local anaesthesia or following	error
	procedures: medianoscopy, percutaneous needle	procedures: <b>mediastinoscopy</b> , percutaneous needle	
	aspiration, core biopsy, placement of vascular access	aspiration, core biopsy, placement of vascular access	
	device, endobronchoscopy ultra sono & transbronchial	device, endobronchoscopy ultra sono & transbronchial	
	needle biopsy [EBUS & TBNA], pleural biopsy,	needle biopsy [EBUS & TBNA], pleural biopsy,	
	thoracenthesis, pleurodesis, catheter insertions/removal,	thoracentesis, pleurodesis, catheter insertions/removal,	
	tooth extraction, superficial incision	tooth extraction, superficial incision	
	11. Known or clinically suspected brain metastasis	11. Known or clinically suspected Symptomatic brain	Investigator's
	and/or leptomeningeal disease.	metastasis and/or leptomeningeal disease.	feedback to
			allow

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			incidentaly asymptomatic
			findings
	15. Subjects treated with anticoagulant therapy within 10	15. Subjects treated with anticoagulant therapy within	No lower limit
	days prior to Randomisation	10 days prior to Randomisation	of Aspirin dose
	(e.g., clopidogrel [> 75 mg/day], regular use of aspirin [>	(e.g., clopidogrel [> 75 mg/day], regular use of	
	325 mg/day)], dipyridamole, ticlopidine and/or	aspirin [> 325 mg/day)], dipyridamole, ticlopidine	
	cilostazol);	and/or cilostazol);	
	18.h. Gastrointestinal bleeding, <b>heamatemesis</b> or	18.h. Gastrointestinal bleeding, haematemesis or	To correct typo
	haemoptysis ( $\geq 1/2$ teaspoon of red blood).	haemoptysis ( $\geq 1/2$ teaspoon of red blood).	error
Synopsis-Main	• The <b>ORR</b> by 24 weeks of chemotherapy ( <b>ORR</b> is	• The <b>best ORR</b> by 24 weeks of chemotherapy ( <b>best</b>	To correct typo
Criteria for	defined as the proportion of subjects whose best overall	<b>ORR</b> is defined as the proportion of subjects whose best	error
Evaluation	response is either complete response [CR] or partial	overall response is either complete response [CR] or	
Primary endpoint	response [PR] according to RECIST v1.1)	partial response [PR] according to RECIST v1.1)	
	Tumour assessment will be performed after IP	Tumour assessment will be performed after IP	
	administration of Cycle 2, 4, and 6, and before planned	administration of Cycle 2, 4, and 6, and before planned	
	Day 1 of Cycle 3, 5, and 7 and then will be performed	Day 1 of Cycle 3, 5, and 7 and then will be performed	
	every 4 cycles according to RECIST v1.1 and assessed	every 4 cycles according to RECIST v1.1 and tumour	
	by both Investigators and independent central reviewer.	size will be assessed by both Investigators and	
	The primary efficacy analysis will be based on the data	independent central reviewer. The primary efficacy	
	from the independent central review.	analysis will be based on the data from the independent	
		central review.	
	For US Food and Drug Administration submission, the	For US Food and Drug Administration submission,	According to
	primary analysis will be performed for the ratio of the	the primary analysis will be performed for the ratio	US FDA
	ORR by 24 weeks. For EMA submission, the primary	of the ORR by 24 weeks. For EMA submission, the	feedback
	analysis will be performed for the difference of the ORR	primary analysis will be performed for the difference	
	by 24 weeks.	of the ORR by 24 weeks.	
Synopsis-Main	• Progression free survival (PFS), defined as the time	• Progression free survival (PFS), defined as the time	More

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Criteria for	from the date of Randomisation to the date of disease	from the date of Randomisation to the date of disease	sophisticated
Evaluation	progression or death regardless of the cause of death.	progression or death regardless of the cause of death.	definition of
Secondary endpoints	Subjects who are not progressed at the time of analysis	Subjects who are not progressed at the time of analysis	PFS
	will be censored at the date of EOT visit.	will be censored at the date of EOT visit or the last	
		tumour assessment date if the date of EOT is not	
		available.	
Synopsis-Statistical	Full analysis set (FAS) will consist of all randomised	Full analysis set (FAS) will consist of all randomised	According to
Methods	subjects. The subjects will be analysed based on the	subjects. The subjects will be analysed based on the	US FDA
Analysis set	treatment they were randomised to.	treatment they were randomised to by intention-to-	feedback
		treat principle. However, subjects who do not qualify	
		for randomisation and are inadvertently randomised	
		into the study will be excluded from FAS, provided	
		these subjects do not receive any IP during the study.	
	Per-protocol set (PPS) will consists of all FAS subjects	Per-protocol set (PPS) will consists of all FAS subjects	According to
	who complete at least two cycles of combination	who complete at least two cycles of combination	US FDA
	chemotherapy with a tumour assessment and do not have	chemotherapy with a tumour assessment and do not	feedback
	any major protocol deviations that impact the primary	have any major protocol deviations that impact the	
	efficacy assessment. The PPS will be the primary	primary efficacy assessment. The PPS will be the	
	analysis set. Major protocol deviations that will lead to	primary analysis set. Major protocol deviations that	
	exclusion from the PPS will be pre-specified, and PPS	will lead to exclusion from the PPS will be pre-	
	will be determined prior to unblinding the treatment	specified, and PPS will be determined prior to	
	codes.	unblinding the treatment codes.	
Synopsis-Statistical	For US FDA submission, the primary efficacy	For US FDA submission, the primary efficacy	According to
Methods	analysis for demonstrating the equivalence of SB8 to	analysis for demonstrating the equivalence of SB8 to	US FDA
Efficacy analysis	Avastin® will be done for the ratio of the ORR (SB8	Avastin® will be done for the ratio of best ORR (best	feedback,
	response rate/Avastin® response rate) by 24 weeks.	ORR of SB8/ best ORR of Avastin®) by 24 weeks in	recalculate the
	The equivalence will be declared if the two sided 90%	the FAS. The equivalence will be declared if the two	equivalence
	confidence interval (CI) of the ORR ratio lies within	sided 90% confidence interval (CI) of the best ORR	margin
	the pre-defined equivalence margin of [0.742, 1.450].	ratio is contained within the pre-defined equivalence	

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	For EMA submission, the primary efficacy analysis will be performed for the difference in ORR between SB8 and Avastin®, and the equivalence will be declared if the two-sided 95% CI lies within the predefined equivalence margin of [-12.5%, 12.5%]. The secondary efficacy endpoints (PFS, OS, and DOR) will be analysed using Cox proportional hazard	margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary efficacy result.  For EMA submission, the primary efficacy analysis will be performed for the difference in best ORR by 24 weeks between SB8 and Avastin® in the PPS, and the equivalence will be declared if the two-sided 95%	
	models stratified by age ( $< 70$ and $\ge 70$ ), gender	CI of the best ORR difference in contained within	
	(pooled centre).	the pre-defined equivalence margin of [-12.5%,	
		12.5%]. The Similar analysis will be performed for the FAS to support the primary efficacy.	
Synopsis-Statistical	All reported terms for AEs will be coded using the	All reported terms for AEs will be coded using the	Better term
Methods	Medical Dictionary for Regulatory Activities (MedDRA)	Medical Dictionary for Regulatory Activities	
Safety analyses	and the grade of severity will be reported by NCI-	(MedDRA) and the grade of severity will be reported by	
	CTCAE v4.03. AEs will be summarised descriptively by	NCI-CTCAE v4.03. AEs will be summarised	
	treatment group. Changes in vital signs and clinical	descriptively by treatment group. Changes in vital signs	
	laboratory measurements will be summarised	and clinical laboratory measurements will be	
	descriptively by treatment group and visit. All other	summarised descriptively by treatment group. and visit.	
	safety variables will be summarised descriptively by	All other safety variables will be summarised	
	treatment group.	descriptively by treatment group.	
Synopsis-Statistical	Incidence of ADAs will be summarised by treatment	Incidence of ADAs will be summarised by treatment	Better term
Methods	group and visit and listed by treatment group	group and cycle and listed by treatment group	
<u>Immunogenicity</u>			
<u>analyses</u>			
Synopsis-Statistical	For the calculation of the equivalence margin, AVAiL	For the calculation of the equivalence margin, a	According to
Methods	[Reck, 2010] and E4599 [Sandler, 2006] studies of	meta-analysis published by Botrel et al. using all of	US FDA
Sample size	Avastin®+ cisplatin/gemcitabine (CG) vs. CG alone	the four published comparative trials that evaluated	feedback, more
<u>calculation</u>	and of Avastin® + paclitaxel/carboplatin vs.	bevacizumab in combination with chemotherapy (i.e.	references are
	paclitaxel/carboplatin alone, respectively, were	E4599 [Sandler, 2006], AVAiL (BO17704) [Reck,	cited

Samsung Bioepis – Confidential Page 100 of 165 considered. In AVAiL study, ORR rates were 21.6% and 37.8% for placebo and Avastin® + CG groups, respectively. In E4599 study, ORR rates were 15.1% (out of 392) and 34.9% (out of 381) for placebo and Avastin® + paclitaxel/carboplatin groups, respectively.

The overall ratio of ORR and its 95% CI from these two studies are calculated to be 2.0217 [1.6943, 2.4124] using the fixed effect method from meta-analysis. The equivalence margin of [0.742 1.450] will preserve 50% of the effect of Avastin® over the placebo in the lower margin.

The overall difference in ORR and its 80% CI from these two studies are calculated to be 60 % % 60 % will be given by sing the fixed-effect method from meta-analysis. The equivalence margin of [-12.5%, 12.5%] will preserve at least 20% of the effect of Avastin® over the placebo in the difference of ORR.

With 305 completers in each treatment group, the two-sided 90% CI of the ORR ratio is expected to lie within [0.742, 1.450] with 90% power, and the two-sided 95% CI of the ORR difference between Avastin® and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.

2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered.

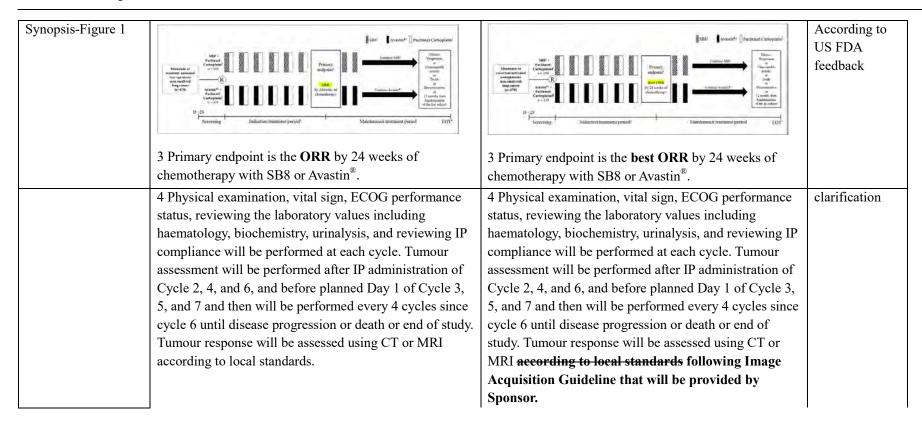
The overall ratio of best ORR and its 70% CI from above four studies are calculated to be CCI

using the fixed effect method from meta-analysis. Retaining the % of the effect of Avastin® over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.

For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%, 12.5%] will be used due to the similar derivation.

With 305 completers in each treatment group, the two-sided 90% CI of the best ORR ratio is expected to lie within [0.737, 1.357] with approximately 80% power, and the two-sided 95% CI of the best ORR difference between Avastin® and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected best ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.

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Table 1	Table 1. Schedule of Activities Assessments Screenin	Induction	Maintenance	EOT	F/U39	Table 1, Schedule of Activities Assessments	Sercening	Induction	Maintenance	EOT14	F/U29	
·	Cycle	Treatment Period*1  1 2 3 4 50 50		7	Every	Cycle	7 (2.2)	1 2 3 4 5 <sup>22</sup> 6 <sup>23</sup> 7	Free Every		Every	
•	Day of Cycle -28 to -3	1 1 1 1 1 1	1 Cycle 6 Cycle	6	3 months	Day of Cycle	.28 to .1	1 1 1 1 1 1 1	Cycle 6 Cycle 6		3 months	
	Visit windon (days)	+3 +3 +3 +3 +3 +3	43 43 43		6.7	Visit window (days) Informed consens	1	23 23 23 23 23 23 2	3 43 43		+.7	
	Demographic tuformation:   ✓					Demographic information						
	Medical history  Physical examination including height			-		Medical history  Physical examination including beight		2 2 2 2 2 2 2				
	(Screening visit only) and weight	1 1 1 1 1 1	7 7	*		(Screening visit only) and weight		* * * * * * *	Y	4		
	Vital signs*	V C V V V V	V V	4		Vital signs! ECOG status	1	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	· ·	100	-	
	ECOG status Haematelogy	10 C 1 T C T		V		Hacmatology*	1	20 2 2 2 2 2 2 2	7	4		
	Coagulation test	(4) (4) (5) (5) (6) (7)	(r) (r) (r)	(*)		Congulation test <sup>7</sup>	1	0000000000	) (4)	(r)		
	Biochemistry!	An A A A A A	1 1	4		Biochemistry <sup>6</sup> Urinalysis <sup>1</sup>	1	150 5 5 5 5 5 5	- 5	1		
	Setology (HBV/HCV infection test)()	(M) (M) (M) (M) (M)		- 190	-	Serology (HBV/HCV infection test) <sup>(1)</sup>	1	00000000	) (v)	(Y)		
	Pregnancy test (semmor unné)!	(v) (v) (v) (v) (v) (v)		- ×.		Programcy test (arrumorumne)#* 12-lead ECG		8 8 8 8 8 8 8 8	) (6)	4		
	12-lead ECG	1 2 2		+	-	Tumour assessment	1	2 2 2				
	Randomisation .	V0				Randomisation SBS or Avastings:	_	4 - 2 - 2 - 2				
	NB8 or Avastini    Paclitaxel/Carlondatin	2 7 7 7 7 7	4 -4			Paclitaxel Carboplatin <sup>14</sup>		10 8 8 8 8 8 8				
	Blood sample for immunogenicity <sup>1</sup>	V V V	V	14	1	Blood sample for immunogenieity?		Y 7 7 7		4		
	Blood sample for PK <sup>-1</sup>	4 4 4	4			Blood sample for PK <sup>18</sup> Concomitant and previous medication?	7	Contin	property		(4)	
	Concentrant and previous medication <sup>(3)</sup> AEs and SAlle <sup>(3)</sup>	e	ontinuously ontinuously			AEs and SAEs 6	-	Conti	anously		(e)	
	7. Blood coagulati	on test includ	ing prothro	mbir	1	7. Blood coagu	ılation	test including	rothrombi	n-		Correct term
	time/international		- 1			_		ormalised ratio (				
	performed at Scre	ening. Additio	nal blood o	coagu	ılation	performed at S	creeni	ing. Additional b	olood coagu	latio	n	
	test will be perform	_		_		test will be per	forme	ed at the discretion	on of Invest	igato	r if	
	there are any susp	icious cases				there are any s	uspici	ous cases				
	8. Biochemistry te	sts include cre	eatinine, ur	ea (b	lood	8. Biochemistr	y tests	s include creating	ine, urea			To correct typo
	urine nitrogen [BU	JN]), serum as	spartate am	inotr	ansfera	e (blood <del>urine </del> u	rea ni	itrogen [BUN]),	serum aspa	rtate		error
	(AST), serum alar	ine aminotran	sferase (A	LT), 1	total	aminotransfera	ise (A	ST), serum alani	ne			
	bilirubin, alkaline	phosphatase (	ALP), albu	ımin,	and	aminotransfera	se (Al	LT), total bilirub	in, alkaline			
	electrolytes (sodiu	m, potassium	, chloride).			phosphatase (A potassium, chlo		albumin, and ele	ectrolytes (s	odiuı	m,	
	9. If urine dipstick	$is \ge 2 + (other)$	r ways of u	rinal	ysis are	9. If urine dips	tick is	$s \ge 2 + \text{(other way)}$	ys of urinal	ysis a	re	To correct typo
	also acceptable), 2	4 hours urine	protein exc	cretic	on < 1 g	also acceptable	e), 24 l	hours urine prote	ein excretio	n is <	< 1	error
	or protein/creatini	ne ratio in spo	t urine < 1	g/g c	reatinir	e g or protein/cre	eatinin	ne ratio in spot u	rine <b>is</b> < 1 §	g/g		
	(or < 226.0 mg/mi	nol creatinine	).			creatinine (or <	< 226.0	0 mg/mmol crea	tinine).			
	11. Hepatitis B and	d hepatitis C t	ests should	be p	erforme	d 11. Hepatitis B	and h	nepatitis C tests s	should be			clarification
	during Screening	period. Knowi	n history of	HIV	7	performed duri	ing Sc	reening period a	eccording t	o loca	al	
	infection will be c	onfirmed sepa	rately at th	e dis	crietion	practice. Know	wn his	story of HIV infe	ection will b	e		

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of Inves	stigator. Additional HBV/HCV tests will be	confirmed separately at the discriction of Investigator.	
perform	ned at the discretion of Investigator if there are	Additional HBV/HCV tests will be performed at the	
any sus	picious cases.	discretion of Investigator if there are any suspicious	
		cases.	
13. Tun	nour response will be assessed using CT or MRI	13. Tumour response will be assessed using CT or	clarification
accordin	ng to local standards. The same modality used at	MRI according to local standards following Image	
Screeni	ng will be used throughout the study.	Acquisition Guideline that will be provided by	
		<b>Sponsor.</b> The same modality used at Screening will be	
		used throughout the study.	
14. The	first dose of <b>IPs</b> and non IPs should be	14. The first dose of <b>IP and non-IPs</b> should be	plurality
adminis	stered within 7 days after Randomisation.	administered within 7 days after Randomisation.	
18. Blo	od sampling for PK analysis will be performed at	18. Blood sampling for PK analysis will be performed at	clarification
pre-dos	e and post-dose (within 15 minutes after the end	pre-dose and post-dose of IP (within 15 minutes after	
of infus	sion) of Cycle 1, 3, 5, and 7 in approximately 50%	the end of infusion) of Cycle 1, 3, 5, and 7 in	
of the e	nrolled subjects.	approximately 50% of the enrolled subjects.	
19. Con	ncomitant and previous (within 28 days prior to	19. Concomitant and previous (within 28 days prior to	PV rules
	ng) medications will be recorded at Screening	Screening) medications will be recorded at Screening	
and con	ncomitant medications are to be monitored	and concomitant medications are to be monitored	
continu	ously during the study treatment.	continuously during the study treatment and after EOT	
		visit, if such information is related to SAEs.	
	AEs will be recorded from the time when the	20. All AEs will be <b>recorded</b> -reported in the eCRF	aligned to
	ed consent form is signed until the EOT visit	from the time when the informed consent form is signed	7.1.2.
(progres	ssion of NSCLC and death due to progression of	until the EOT visit (progression of NSCLC and death	
NSCLC	C are not to be reported as an AE or SAE).	due to progression of NSCLC are not to be reported as	
		an AE or SAE). After the EOT visit, only SAEs will	
		be reported using the paper SAE report form.	
22. Ind	uction chemotherapy at Cycle 5 and 6 may be	22. Induction chemotherapy at Cycle 5 and 6 may be	Easier
replace	ed with the maintenance therapy at the	replaced with the maintenance therapy at the discretion	language

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	discretion of Investigator. In this case, the schedule of	of Investigator. In this case, all other activities except	
	activities must follow those of the maintenance	for non-IPs infusion must follow originally planned	
	treatment period.	activities at each cycle.	
	25. After completion of study treatment, subjects will be	25. After completion of study treatment, subjects will be	Clarification
	followed for survival status and whether subsequent	followed for survival status and whether subsequent	
	therapy is received or not by clinic visit or telephone	therapy is received or not by clinic visit or telephone	
	contact every 3 months until discontinuation of the	contact every 3 months until discontinuation of the	
	subject from the study (e.g., death, withdrawal of	subject from the study (e.g., death, withdrawal of	
	consent, lost to follow-up), EOS date defined as when	consent, lost to follow-up, or initiation of subsequent	
	deaths of all the randomised subjects have been	therapy for NSCLC), EOS date defined as when deaths	
	observed, or 12 months from randomisation of the last	of all the randomised subjects have been observed, or 12	
	subject, whichever occurs first.	months from randomisation of the last subject,	
		whichever occurs first.	
List of Abbreviations	ALP Alkaline Phosphate	ALP Alkaline Phosphatase	To correct typo
	ANOVA Anlaysis of Variance	ANOVA Anlaysis of Variance	error : removed
	Cmax Maximum Serum Concentration	Cmax Maximum Serum Concentration	un-used terms
	CP Carboplatin/paclitaxel	CP Carboplatin/paclitaxel	
	CRF Case Report Form	eCRF Electronic Case Report Form	
	Ctrough Trough Serum Concentration	Ctrough Trough <del>Serum</del> Concentration	
	CV Coefficient of Variation	CV Coefficient of Variation	
	EMA European Medicinal Agency	EMA European Medicines Agency	
		EOT End of Treatment	
		EPAR European Public Assessment Reports	
	HBsAg Hepatitis B surface antigen	HBsAg Hepatitis B surface antigen	
	HCV-Ab Hepatitis C virus antibody	HCV-Ab Hepatitis C virus antibody	
	IRF Independent Review Facility	IRF Independent Review Facility	
	Nab Neutralising Antibody	NAb Neutralising Antibody	
		PC Paclitaxel/carboplatin	
	PTT Partial Thromboplastin Time	PTT Partial Thromboplastin Time	

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	RAN Randomised Set	RAN Randomised Set	
Table of Contents	6.2.6. Unblinding	6.2.6. Unblinding	Editorial
	6.2.7. Investigational Product Accountability	6.2.6. Investigational Product Accountability	change:
			Migrate
			"Unblinding"
			to Section
			7.1.6.
		7.1.6. Emergency Unblinding for Safety Reasons	Editorial
	7.1.6. Expectedness Assessment	7.1.6 7.1.7. Expectedness Assessment	change:
	7.1.7. Withdrawal Due to Adverse Events	7.1.7 7.1.8. Withdrawal Due to Adverse Events	Migrate
			"Unblinding"
			to Section
			7.1.6.
	8.4. Interim Analysis	8.4. Interim Analysis Statistical Analysis Timepoints	Better term
List of Tables	Table 3. Medications and Therapies of NSCLC	Table 3. Prohibited Medications and Therapies of	Simplification
	Prohibited prior to Randomisation and throughout	NSCLC	
	the Study		
List of Study Staff	SPONSOR	SPONSOR	New postal
	Samsung Bioepis Co., Ltd.	Samsung Bioepis Co., Ltd.	code
	107, Cheomdan-daero, Yeonsu-gu,	107, Cheomdan-daero, Yeonsu-gu,	
	Incheon, 406-840	Incheon, 21987	
1. INTRODUCTION	AvaiL	AVAiL	To correct typo
1.1. Background			error
	This result of OS is not inferior than that from another	This result of OS is not inferior than to that from	To correct typo
	study with 6 cycles of chemotherapy [Patel, 2013].	another study with 6 cycles of chemotherapy [Patel,	error
		2013].	
1.2.2. Non-clinical	In addition, in vivo non-clinical toxicology has been	In addition, in vivo non-clinical toxicology has been	To correct typo
Data of SB8	performed in cynomoulgus monkeys with SB8 and	performed in eynomoulgus cynomolgus monkeys with	error

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	Avastin <sup>®</sup> .	SB8 and Avastin <sup>®</sup> .	
1.2.3. Clinical Data	Information on the safety of SB8 based on the reference	Information on the safety of SB8 based on the product	Better term
of SB8	product information and non-clinical and work is	information of Avastin® is presented in the	
	presented in the Investigator's Brochure (IB).	Investigator's Brochure (IB).	
1.3.2.1. Avastin® in	AvaiL study [Reck, 2009]	AVAiL study [Reck, 2009]	Unify to GC
Non-small Cell Lung	Patients were randomised to platinum-based	Patients were randomised to platinum-based	than mixed use
Cancer	chemotherapy, cisplatin 80 mg/m <sup>2</sup> IV infusion on Day 1	chemotherapy, cisplatin 80 mg/m <sup>2</sup> IV infusion on Day 1	of GC and CG
	and gemcitabine 1250 mg/m2 IV infusion on Days 1 and	and gemcitabine 1250 mg/m2 IV infusion on Days 1	
	8 of every 3 week cycle for up to 6 cycles (GC) with	and 8 of every 3 week cycle for up to 6 cycles (GC)	
	placebo or <b>CG</b> with Avastin <sup>®</sup> at a dose of 7.5 or 15	with placebo or <b>GC</b> with Avastin <sup>®</sup> at a dose of 7.5 or 15	
	mg/kg IV infusion day 1 of every 3-week cycle.	mg/kg IV infusion day 1 of every 3-week cycle.	
1.4. Rationale for the	Two drug chemotherapy <b>regimen</b> which combine a	Two drug chemotherapy <b>regimens</b> which combine a	Plurality
Study	platinum agent with paclitaxel, docetaxel, vinorelbine,	platinum agent with paclitaxel, docetaxel, vinorelbine,	
	irinotecan, and gemcitabine are usually accepted as	irinotecan, and gemcitabine are usually accepted as	
	standard of care for the treatment of advanced NSCLC.	standard of care for the treatment of advanced NSCLC.	
	In AvaiL study, median PFS was significantly increased	In AVAiL study, median PFS was significantly	
	(6.7 months vs. 6.1 months) in high dose bevacizumab	increased (6.7 months vs. 6.1 months) in high dose	
	group compared with placebo [Reck, 2009].	bevacizumab group compared with placebo [Reck,	
		2009].	
2. STUDY	Overall response rate	Best overall response rate	According to
OBJECTIVES	ORR	best ORR	US FDA
2.1. Primary			feedback
Objective			
2.3. Exploratory	ORR	best ORR	According to
Objective			US FDA
			feedback
3. STUDY DESIGN	Subjects with metastatic or recurrent non-squamous	Subjects with metastatic or recurrent non-squamous	According to
3.1. Overview of	NSCLC with unknown or without activating epidermal	NSCLC with unknown or without without known	US FDA

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Study Design	growth factor receptor (EGFR) gene mutations or	activating epidermal growth factor receptor (EGFR)	feedback
	anaplastic lymphoma kinase (ALK) gene translocations	gene mutations or anaplastic lymphoma kinase (ALK)	
	will be randomised	gene translocations will be randomised	
3.2. Rationale for	3.2.1. Rationale for Dose Selection of SB8 or Avastin®	3.2.1. Rationale for Dose Selection of SB8 or Avastin®	To correct typo
Study Design	AvaiL	AVAiL	error
4. STUDY	1. Aged ≥ 18 years	1. Aged ≥ 18 years (if local regulations are different in	Different
POPULATION		this regard, follow the local regulations).	country
4.2. Inclusion			regulations,
Criteria			e.g. Taiwan
	7.b. Urine dipstick for proteinuria of less than 2+ (other	7.b. Urine dipstick for proteinuria of less than 2+ (other	To correct typo
	ways of urinalysis are also acceptable); if urine dipstick	ways of urinalysis are also acceptable); if urine dipstick	error
	is $\geq 2+$ , 24 hours urine protein excretion $< 1$ g or	is $\geq 2+$ , 24 hours urine protein excretion is $< 1$ g or	
	protein/creatinine ratio in spot urine < 1 g/g creatinine	protein/creatinine ratio in spot urine <b>is</b> < 1 g/g creatinine	
	(or < 226.0 mg/mmol creatinine).	(or < 226.0 mg/mmol creatinine).	
4.3. Exclusion	7. Radiotherapy within 28 days prior to Randomisation	7. Radiotherapy within 28 days prior to Randomisation	According to
Criteria	(tumour lesions situated in a previously irradiated area,	(tumour lesions situated in a previously irradiated area,	RECIST v.1.1
	or in an area subjected to other loco-regional therapy	or in an area subjected to other loco-regional	
	except for pain relief, are not considered as measurable	therapy except for pain relief, are not considered as	
	lesion.).	measurable lesion unless there has been demonstrated	
		progression in the lesion.).	
	9. Minor surgical procedure within 7 days prior to	9. Minor surgical procedure within 7 days prior to	To correct typo
	Randomisation (requiring local anaesthesia or following	Randomisation (requiring local anaesthesia or following	error
	procedures: medianoscopy, percutaneous needle	procedures: mediastinoscopy, percutaneous needle	
	aspiration, core biopsy, placement of vascular access	aspiration, core biopsy, placement of vascular access	
	device, endobronchoscopy ultra sono & transbronchial	device, endobronchoscopy ultra sono & transbronchial	
	needle biopsy [EBUS & TBNA], pleural biopsy,	needle biopsy [EBUS & TBNA], pleural biopsy,	
	thoracenthesis, pleurodesis, catheter insertions/removal,	thoracentesis, pleurodesis, catheter insertions/removal,	
	tooth extraction, superficial incision	tooth extraction, superficial incision	

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	11. Known or clinically suspected brain metastasis	11. Known or clinically suspected Symptomatic brain	Investigator's
	and/or leptomeningeal disease.	metastasis and/or leptomeningeal disease.	feedback
	15. Subjects treated with anticoagulant therapy within 10	15. Subjects treated with anticoagulant therapy within	No lower limit
	days prior to Randomisation	10 days prior to Randomisation	of Aspirin dose
	(e.g., clopidogrel [> 75 mg/day], regular use of aspirin [>	(e.g., clopidogrel [> 75 mg/day], regular use of	
	325 mg/day)], dipyridamole, ticlopidine and/or	aspirin [> 325 mg/day)], dipyridamole, ticlopidine	
	cilostazol);	and/or cilostazol);	
	18.h. Gastrointestinal bleeding, heamatemesis or	18.h. Gastrointestinal bleeding, haematemesis or	To correct typo
	haemoptysis ( $\geq 1/2$ teaspoon of red blood).	haemoptysis ( $\geq 1/2$ teaspoon of red blood).	error
5. STUDY	- Blood coagulation test including prothrombin	- Blood coagulation test including prothrombin	Correct term
PROCEDURES	time/international normalised ratio (PT/INR)	time/international normalised ratio (PT/INR)	
AND	- Urinalysis (dipstick): leukocytes, nitrite, urobilinogen,	- Urinalysis (dipstick): leukocytes, nitrite, urobilinogen,	Correct term
ASSESSMENT	protein, pH, Hb, specific gravity, ketone, bilirubin,	protein, pH, Hb, specific gravity, ketone, bilirubin,	
5.1. Procedures by	glucose (other ways of urinalysis are also allowed), if	glucose (other ways of urinalysis are also allowed), if	
Study Period	urine dipstick is $\geq 2+$ , 24 hours urine protein excretion <	urine dipstick is $\geq 2+$ , 24 hours urine protein excretion	
5.1.1. Screening	1 g or protein/creatinine ratio in spot urine < 1 g/g	$\mathbf{is} < 1$ g or protein/creatinine ratio in spot urine $\mathbf{is} < 1$	
Period	creatinine (or < 226.0 mg/mmol creatitnine)	g/g creatinine (or < 226.0 mg/mmol <b>creatinine</b> )	
	- Serology: Hepatitis B surface antigen (HBsAg) and	- Serology: test for Hepatitis B and hepatitis C should	Remove
	Hepatitis C antibody (HCV-Ab) tests, known history	be performed during Screening period according to	specific tests
	of HIV infection will be confirmed separately at the	<b>local practice</b> . Known history of HIV infection will be	
	discretion of Investigator.	confirmed separately at the discretion of Investigator.	
	Baseline tumour assessment (within a maximum 21	Baseline tumour assessment (within a maximum 21	Clarification
	days prior to Randomisation) of lung and locoregional	days prior to Randomisation) of lung and locoregional	
	lymph nodes by CT scan or MRI. Upper abdominal	lymph nodes by CT scan or MRI. Upper abdominal	
	cavity including the adrenal glands must be included in	cavity including the adrenal glands must be included in	
	imaging study. If the case baseline tumour assessment is	imaging study. If the case baseline tumour assessment is	
	not performed within 21 days prior to Randomisation, it	not performed within 21 days prior to Randomisation, it	
	should be repeated. In case of negative confirmation of	should be repeated. In case of negative confirmation	

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	measurable lesion(s) by the independent central reviewer	of measurable lesion(s) by the independent central	
	before subject Randomisation, the concerned subject will	reviewer before subject Randomisation, the	
	be considered as screen failure.	concerned subject will be considered as screen	
		failure. Baseline tumour assessment should be done	
		after allowed surgical procedure to suspected target	
		or non-target lesions (see Sections 4.3. and 5.2.1.).	
5.1.3. Induction	Haematology, biochemistry, and urinalysis (if urine	Haematology, biochemistry, and urinalysis (if urine	To correct typo
Treatment Period	dipstick is $\geq 2+$ , see Section 7.3.2.) – <b>laboratory tests</b>	dipstick is $\geq 2+$ , see Section 7.3.2.) – <b>Repeated</b>	error
(Cycle 1 to Cycle 6)	may not need to be repeated if tests have been	laboratory tests may not be needed if tests have been	
	performed within 14 days prior to Day 1 of Cycle 1.	performed within 14 days prior to Day 1 of Cycle 1.	
	• Serology: <b>HBsAg and HCV-Ab tests</b> will be repeated	Serology: tests for HBV or HCV will be repeated	Remove
	during the course of the study only when clinically	during the course of the study only when clinically	specific tests
	suspected.	suspected.	
	• Premedication of IPs and/or Non-IPs (if necessary, see	• Premedication of IP and/or Non-IPs (if necessary, see	Plurality
	Section 6.6.1.)	Section 6.6.1.)	
		• Administration of IP and non-IPs on Day 1 of each	
		cycle for at least 4 cycles and up to 6 cycles	
	• Blood sampling for PK (approximately 50% of enrolled	Blood sampling for PK (approximately 50% of	Editorial
	subjects) at pre-dose and post-dose (within 15 minutes	enrolled subjects) at pre-dose and post-dose of IP	change
	after the end of infusion) of Cycle 1, 3, and 5	(within 15 minutes after the end of infusion) of Cycle 1,	
		3, and 5	
	In case of early switch to maintenance treatment period,	In case of early switch to maintenance treatment period,	Easier
	subject blood sampling will be collected at Cycle 3	subject blood sampling will be collected as originally	language
	and/or 5 in the maintenance period, if applicable.	planned at Cycle 3 and/or 5, if applicable.	
	IPs and non-IPs will be administered on Day 1 of each	IPs and non-IPs will be administered on Day 1 of each	Redundant
	cycle for at least 4 cycles and up to 6 cycles	eyele for at least 4 eyeles and up to 6 cycles	
5.1.4. Maintenance	• Serology: <b>HBsAg and HCV-Ab tests</b> will be repeated	Serology: tests for HBV or HCV will be repeated	Remove
Treatment Period	during the course of the study only when clinically	during the course of the study only when clinically	specific tests

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	suspected.	suspected.	
	Review of concomitant medication	Review of concomitant medication	Clarification
		• Administration of IP every 3 weeks on Day 1 of	
		each cycle	
	If a treatment is delayed, concerned laboratory tests will	If a treatment is delayed, concerned laboratory tests will	To correct typo
	be repeated within 3 days before the administration of	be repeated within 3 days before the administration of	error
	IPs of each cycle.	IPs of each cycle.	
	• Blood sampling for PK (approximately 50% of enrolled	Blood sampling for PK (approximately 50% of	To correct typo
	subjects) at pre-dose and post-dose (within 15 minutes	enrolled subjects) at pre-dose and post-dose of IP	error
	after the end of infusion) of Cycle 3, 5, and 7.	(within 15 minutes after the end of infusion) of Cycle 3,	
		5, and 7.	
	Administration of IPs every 3 weeks on Day 1 of each	*Administration of IPs every 3 weeks on Day 1 of	Editorial
	cycle	each cycle	change
5.2. Efficacy	ORR	best ORR	According to
Assessment			US FDA
			feedback
	• PFS, defined as the time from the date of	• PFS, defined as the time from the date of	Clarification of
	Randomisation to the date of disease progression or	Randomisation to the date of disease progression or	PFS
	death regardless of the cause of death. Subjects who are	death regardless of the cause of death. Subjects who are	
	not progressed at the time of analysis will be censored at	not progressed at the time of analysis will be censored at	
	the date of the EOT visit.	the date of the EOT visit or the date of last tumour	
		assessment if the EOT visit is not available.	
5.2.1. Measurability	All other lesions, including small lesions (longest	All other lesions, including small lesions (longest	To correct typo
of Tumour	diameter < 10 mm or pathological lymph nodes with ≥	diameter < 10 mm or pathological lymph nodes with ≥	error
	10 to < 15 mm short axis) as well as truly non-	10 to < 15 mm short axis) as well as truly non-	
	measurable lesions	measurable lesions are non-measurable.	
5.2.1.2. Criteria for	Evaluation of Measurable Lesions	Evaluation of Measurable Lesions	To correct typo
Tumour Response	Tumour response will be evaluated according to the	Tumour response will be evaluated according to the	error and

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Evaluation	RECIST v1	1 criteria. Sub	jects should con	tinue to	RECIST v	RECIST v1.1 criteria. Subjects should continue to				
	undergo tun	nour response	assessment until	PD,	undergo tu	undergo tumour response assessment until PD,				
						ole toxicity, dea	th or end of stud	ły.		
						y, tumour resp	onse will be mea	asured using		
	CT scan or	MRI (other me	thods such as X	-ray, ultra	CT scan or	MRI (other me	ethods such as X	K-ray, ultra		
	sound are no	ot permitted fo	r monitoring tar	get lesions).	sound are r	ot permitted fo	or monitoring tar	get lesions)		
		1	ξ .	,			tion Guideline	,		
					_	y Sponsor.				
Table 2	Target lesions	Non-target lesions	New Lesions	Overall response	Target lesions	Non-target lesions	New Lesions	Overall response	To correct typo error	
	CR	CR	No	CR	CR	CR	No	CR		
	CR	Non- CR/Non-PD <sup>a</sup>	No	PR	CR	Non- CR/Non-	No	PR		
	CR	Not evaluated	No	PR	CR	PD <sup>a</sup> Not	No	PR		
		Non-PD <sup>a</sup> or		PR		evaluated	110	TK		
	PR	not all evaluated	No		PR	Non-PD <sup>a</sup> or not all	No	PR		
		Non-PD <sup>a</sup> or			FK	evaluated	NO	r K		
	SD	not all evaluated	No	PR	SD	Non-PD <sup>a</sup> or not all	No	<del>PR</del> SD		
	Not all	Non-PD <sup>a</sup>	No	inevaluable		evaluated				
	evaluated PD	Any	Yes or No	PD	Not all evaluated	Non-PD <sup>a</sup>	No	inevaluable		
	Any	category			PD	Any category	Yes or No	PD		
	category	$PD^{a}$	Yes or No	cPD	Any	PD <sup>a</sup>	Yes or No	<del>cPD-</del> PD		
	Any	Any	Yes	сPD	category		1 05 01 110	<del>(i b</del> i b		
	category category category				Any category	Any category	Yes	<del>cPD-</del> PD		
5.2.2. Timing of	The overall	response is de	termined once al	l the data for		-	termined once a		To correct typo	
Overall Response	the subject i	s known. Best	response determ	nination in the	the subject	is known. For	best response de	etermination in	error	

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Rate Evaluation: All	study where confirmation of comp	olete or partial response	the study, confirmation of comp	lete or partial response	
Time Points	IS NOT required:		is NOT required.		
5.3.1. Clinical Safety	Safety of subjects will be monitored	ed by physical	Safety of subjects will be monit	ored by physical	Meaningless
Assessment	examination, performance status a	and vital sign	examination, performance status	s and vital sign	sentence
	assessment. Subjects will be asses	sed for AEs at each	assessment. Subjects will be ass	essed for AEs at each	
	clinical visit and as necessary thro	ughout the study. A	clinical visit and as necessary th	roughout the study. A	
	complete medical history will be p	performed at	complete medical history will	<del>be performed at</del>	
	Screening.		Screening.		
5.3.2. Laboratory	• A coagulation test (PT/INR) will	be performed at	• A coagulation test (PT/INR) w	vill be performed at	To correct typo
Assessment	Screening and during the study if	clinically suspected.	Screening and during the study	if clinically suspected.	error
	Serology tests (HBsAg and HCV	/-Ab) will be repeated	• Serology tests (HBsAg and H	<del>ICV-Ab)</del> Tests for HBV	Remove
	during the course of the study only	when clinically	or HCV will be repeated during	g the course of the study	specific tests
	suspected.				
5.4.1.	Approximately 50% of the enrolle	ed subjects will be	Approximately 50% of the enro	Unify to IWRS	
Pharmacokinetic	participating by default in the PK	sub-study for PK	participating by default in the Pl		
Assessments	assessment and those subjects will	be defined at the time	assessment and those subjects w		
	of randomisation in the IWR syste	em. Once the number	of randomisation in the <b>IWRS</b> .		
	of subjects is reached to planned n	number, all further	subjects is reached to the planne		
	subjects enrolled will not participa	ate the PK sub-study.	subjects enrolled will not partici	ipate in the PK sub-	
	Blood sampling for PK analysis w	rill be performed at	study.		
	pre-dose and post-dose (within 15	minutes after the end	Blood sampling for PK analysis	will be performed at	
	of infusion) of Cycle 1, 3, 5 and 7.		pre-dose and post-dose of IP (w	rithin 15 minutes after	
			the end of infusion) of Cycle 1,	3, 5 and 7.	
Table 3	Table 3. Medications and Thera	pies of NSCLC	Table 3. Prohibited Medication	ns and Therapies of	Simplification
	Prohibited prior to Randomisati	ion and throughout	NSCLC		
	the Study				
	Medication or therapies	Time to be prohibited	Medication or therapies	Time to be prohibited	Clarification of
	Aspirin or NSAIDs with-	From Randomisation to	Aspirin or NSAIDs with	From Randomisation	time to
	antiplatelet activity	EOT	antiplatelet activity	to EOT	prohibited

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Anticoagulants or thrombolytic		Anticoagulants or thrombolytic		
agent:		agent:		
Clopidogrel (> 75 mg/day),	Within 10 days	Clopidogrel (> 75 mg/day),	Within 10 days	
regular use of aspirin (> 325	prior to Randomisation	regular use of aspirin (> 325	prior to Randomisation	
mg/day), dipyridamole,		mg/day) or NSAID with	to EOT	
ticlopidine and/or cilostazol		antiplatelet activity,		
Warfarin, intravenous	Within 28 days	dipyridamole, ticlopidine		
heparin, low molecular	prior to Randomisation	and/or cilostazol		
weight heparin, factor Xa		Warfarin, intravenous	Within 28 days	
inhibitors, thrombin		heparin, low molecular	prior to Randomisation	
inhibitors, thrombolytic		weight heparin, factor Xa	to EOT	
agents including tissue		inhibitors, thrombin		
plasminogen activator,		inhibitors, thrombolytic		
anistreplase, streptokinase,		agents including tissue		
urokinase		plasminogen activator,		
Any drugs (include herbal	From Randomisation to	anistreplase, streptokinase,		
medications) that has not	EOT	urokinase		
received regulatory approval for		Any drugs (include herbal	From Randomisation to	
any indications		medications) that has not	EOT	
Anticancer chemotherapy	From Randomisation to	received regulatory approval for		
regimen other than	EOT	any indications		
paclitaxel/carboplatin <sup>a</sup>		Anticancer chemotherapy	From Randomisation to	
Major surgical procedure	Within 28 days	regimen other than	EOT	
(include open lung biopsy) <sup>b</sup>	prior to Randomisation	paclitaxel/carboplatin <sup>a</sup>		
Minor surgical procedure <sup>c</sup>	Within 7 days	Major surgical procedure	Within 28 days	
	prior to Randomisation	(include open lung biopsy) <sup>b</sup>	prior to Randomisation	
Live/attenuated vaccine	Within 12 weeks	Minor surgical procedure <sup>c</sup>	Within 7 days	
	prior to Randomisation		prior to Randomisation	
Intravenous bisphosphonates	Within 28 days	Live/attenuated vaccine	Within 12 weeks	
and/or invasive dental procedure	prior to Randomisation		prior to Randomisation	
			to Cycle 7 Day 1	

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	T		******	1
		Intravenous bisphosphonates	Within 28 days	
		and/or invasive dental procedure	prior to Randomisation	
			to EOT	
		Radiotherapy <sup>d</sup>	Within 28 days	
			prior to	
			Randomisation	
	a Requiring more extensive procedure than local	a Nab-paclitaxel or other form	ulation of paclitaxel is	Investigator's
	anaesthesia (involving general anaesthesia or respiratory	not allowed in this study.		feedback about
	assistance or regional anaesthesia) or open lung biopsy.	ab Requiring more extensive pro	ocedure than local	palliative RT
	b Requiring local anaesthesia or following procedures;	anaesthesia (involving general a	naesthesia or respiratory	during the
	medianoscopy, percutaneous needle aspiration, core	assistance or regional anaesthesi	a) or open lung biopsy.	study
	biopsy, placement of vascular access device,	<b>bc</b> Requiring local anaesthesia of	or following procedures;	
	endobronchoscopy ultra sono & transbronchial needle	mediastinoscopy, percutaneous i	needle aspiration, core	
	aspiration (EBUS & TBNA), pleural biopsy,	biopsy, placement of vascular ac	ccess device,	
	thoracentesis, pleurodesis, catheter insertion/removal,	endobronchoscopy ultra sono &	transbronchial needle	
	tooth extraction, superficial incision.	aspiration (EBUS & TBNA), ple	eural biopsy,	
		thoracentesis, pleurodesis, cathe	ter insertion/removal,	
		tooth extraction, superficial inci-		
		d Radiotherapy of palliative p	urpose to non-	
		progressive non-target lesions	is allowed during the	
		treatment period. If target lesi	ons are included in	
		irradiated field, then those lesi	ons should not be	
		evaluated as measurable there	after. It is strongly	
		recommended that the Investig	gator consult to the	
		Sponsor at the timing of plann	ing radiotherapy. IP	
		and non-IPs should be suspend	ded during	
		radiotherapy and may be resu	med at the discretion	
		of the Investigator.		
6. TREATMENT	6.2.6. Unblinding	7.1.6. Emergency Unblinding f	or Safety Reasons	The title of

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AND	Unblinding should be considered only when knowledge	Unblinding should be considered only when knowledge	section 6.2.6. is
INVESTIGATION-	of the treatment assignment is deemed essential for the	of the treatment assignment is deemed essential for the	changed to
AL PRODUCT	subject's <b>care</b> by their Investigator or a regulatory body.	subject's eare safety by their Investigator or a	"Emergency
	In general, unblinding of subjects during the conduct of	regulatory body. In general, unblinding of subjects	Unbliding for
	the clinical study is not allowed unless there are	during the conduct of the clinical study is not allowed	Safety
	compelling medical or safety reasons to do so. The	unless there are compelling medical or safety reasons to	Reasons" and
	IWRS will be used to break the blind and details on how	do so. The IWRS will be used to break the blind and	the section is
	to do this are provided in the IWRS manual. If the blind	details on how to do this are provided in the IWRS	inserted
	is broken, it may be broken only for the subject in	manual. If the blind is broken, it may be broken only for	between
	question. The Sponsor must be notified immediately if a	the subject in question. The Sponsor must be notified	Section 7.1.5.
	subject and/or Investigator is unblinded during the	before or immediately after a subject and/or Investigator	and 7.1.6.
	course of the study along with the reason for breaking	is unblinded during the course of the study along with	
	the blind. Pertinent information regarding the	the reason for breaking the blind. Pertinent information	
	circumstances of unblinding of a subject's treatment	regarding the circumstances of unblinding of a subject's	
	code must be documented in the subject's source	treatment code must be documented in the subject's	
	documents. This includes who performed the unblinding,	source documents. This includes who performed the	
	the subject(s) affected, the reason for the unblinding, the	unblinding, the subject(s) affected, the reason for the	
	date of the unblinding and the relevant IP information.	unblinding, the date of the unblinding and the relevant	
		IP information.	
	<b>6.2.7</b> . Investigational Product Accountability	<b>6.2.6.</b> Investigational Product Accountability	Editorial
			change
6.3.1.1. Preparation	Refer to the prescribing information in paclitaxel for the	Refer to the prescribing information in paclitaxel for the	
and Storage of	formulation, preparation, and storage of paclitaxel.	formulation, preparation, and storage of paclitaxel. Nab-	
Paclitaxel		paclitaxel or other formulation of paclitaxel is not	
		allowed in this study.	
6.4.2. Schedule	Gastointestinal perforations (gastrointestinal	Gastrointestinal perforations (gastrointestinal	To correct typo
Modification of SB8	perforation, fistulae formation in the gastrointestinal	perforation, fistulae formation in the gastrointestinal	error
or Avastin®	tract, intra-abdominal abscess), fistulae formation	tract, intra-abdominal abscess), fistulae formation	
	involving an internal organ	involving an internal organ	

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Table 6	Non-Haematolog	ical AE			Non-Haematolo	gical AI			More
	Nausea/vomiting	Grade	1 <sup>st</sup> event	Maintain the same dose.			1 <sup>st</sup> event	Maintain the same dose.	sophistication
	Transca vointenig	≥ 3	2 <sup>nd</sup> event	Reduce dose by one level.	Nausea/vomiting	Grade ≥ 3	2 <sup>nd</sup> event	Reduce dose by one level.	
				• Hold until recovery to ≤			3 <sup>rd</sup> event or later	Maintain the reduced dose	
	Diarrhea lasting > 24 hours despite maximum	Grade	1 <sup>st</sup> event	grade 1 or baseline.  Once recovers, maintain the same dose.			1 <sup>st</sup> event	<ul> <li>Hold until recovery to ≤ grade 1 or baseline.</li> <li>Once recovers.</li> </ul>	
	anti-diarrheal management	≥3	2 <sup>nd</sup>	• Hold until recovery to ≤ grade 1 or baseline.	Diarrhea lasting			maintain the same dose.  • Hold until	
			event	Once recovers, reduce dose by one level.	> 24 hours despite maximum anti-	despite $\underset{\text{maximum anti-}}{\text{despite}}$ Grade $\underset{\geq}{2^{\text{nd}}}$ event grade 1 or baseline.	Grade $\geq 3$		
			1 <sup>st</sup> event	Hold until     recovery to ≤     grade 1 or     baseline.	management			reduce dose by one level.  • Hold until	
	Mucositis	Grade	1 event	Once recovers, maintain the same dose.			3 <sup>rd</sup> event or later	recovery to ≤ grade 1 or baseline. Once recovers,	
	Wideositis	≥ 3		<ul> <li>Hold until recovery to ≤</li> </ul>				maintain the reduced dose.	
			2 <sup>nd</sup> event	grade 1 or baseline.  Once recovers, reduce dose by one level.	Mucositis	Grade ≥3	1 <sup>st</sup> event	<ul> <li>Hold until recovery to ≤ grade 1 or baseline.</li> <li>Once recovers,</li> </ul>	
							2 <sup>nd</sup> event	maintain the same dose.  • Hold until	

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					3 <sup>rd</sup> event or later	recovery to ≤ grade 1 or baseline.  Once recovers, reduce dose by one level.  Hold until recovery to ≤ grade 1 or baseline.  Once recovers, maintain the reduced dose.	
Hepatic dysfunction	Increased AST or ALT Grade ≥ 2 and Increased total bilirubin Grade 1	<ul> <li>Hold until AST/ALT have recovery to ≤ grade 1 or baseline.</li> <li>Once recovers,         <ul> <li>Maintain the same dose if bilirubin within normal limit.</li> <li>If bilirubin is still increased to grade 1, Paclitaxel: reduce dose by one level.</li></ul></li></ul>	Biochemistry	Increase AST o ALT Grade ≥ and Increase total bilirubi Grade	AS y to bas r One recorded we recorded we recorded we recorded we recorded to the recorded re	Id until  T/ALT have recover  D \le grade 1 or  welline.  Ce AST/ALT  overs,  Maintain the same  ose if bilirubin  within normal limit.  F bilirubin is still  nereased to grade 1,  aclitaxel: reduce  ose by one level.  Carboplatin: maintain	
	Grade ≥ 3 AST or ALT or Grade ≥ 2 total bilirubin	<ul> <li>Hold until AST/ALT and bilirubin have returned to ≤ grade 1 or baseline.</li> <li>Once recover,         <ul> <li>Paclitaxel: reduce dose by one level.</li> <li>Carboplatin: maintain the same dose.</li> </ul> </li> </ul>		Grade ≥ AST o ALT o Grade ≥ total bilirubi	the state of the s	ld until AST/ALT l bilirubin have urned recovery to ≤ de 1 or baseline. ce AST/ALT and irubin recover, aclitaxel: reduce	

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		dose by one level. Carboplatin: maintain	
6.6. General	In addition, any diagnostic, therapeutic or surgical	In addition, any diagnostic, therapeutic or surgical	Clarify time
Concomitant	procedure performed during the study period (from	procedure performed during the study treatment period	frame
Medication and	Screening to end of study), must be recorded.	(from Screening to end of study treatment), must be	ITAILIC
	Screening to end of study), must be recorded.	recorded.	
Supportive Care Guidelines		recorded.	
			T
6.6.5. Other	Subjects with anemia can be treated according to the	Subjects with anemia can be treated according to the	To correct typo
Supportive Care	local practice. Subjects using bisphosphonate therapy for	local practice. Subjects using Intravenous	error
	their approved labeled indication is not permitted during	bisphosphonate therapy for their approved labeled	
	the study (see Section 6.2.5.).	indication is not permitted during the study (see Section	
		6.2.5.).	
7. SAFETY	Any clinically significant abnormality discovered	If there are any abnormalities discovered during the	Broader
MONITORING	during the laboratory test, physical examination, vital	laboratory test, physical examination, vital signs and/or	definition of
AND REPORTING	signs and/or other safety assessments should be reported	other safety assessments should be reported as an AE.	AE
7.1.1.2. Clinically	as an AE.	and the abnormality is assessed clinically significant	
Significant		by the Investigator, it should be reported as an AE.	
Abnormalities	<u>Laboratory Test Abnormalities</u>	<u>Laboratory Test Abnormalities</u>	Simplification
	If the Investigator determines a laboratory abnormality	If the Investigator determines a laboratory	
	or out of range result to be clinically significant, it will	abnormality or out of range result to be clinically	
	be reported as an AE however, if the laboratory test	significant, it will be reported as an AE however, if	
	abnormality is consistent with a current diagnosis or pre-	the laboratory test abnormality is consistent with a	
	existing conditions, it should be documented accordingly	current diagnosis or pre-existing conditions, it should	
	and will not be recorded as an AE.	be documented accordingly and will not be recorded	
	Vital Signs, Physical Examinations and Other Safety	as an AE.	
	Assessments	Vital Signs, Physical Examinations and Other Safety	
	If a vital sign result is outside the expected range for the	Assessments	
	subject's age, gender and race then it should be repeated	If a vital sign result is outside the expected range for	

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expected range be reported as a Any clinically s the physical exa should be repor	rest. If the repeat result is outside the and clinically significant then it should in AE. significant abnormality discovered during amination or other safety assessment ted as an AE. This does not apply to presons which have been documented at	the subject's age, gender and race then it should be repeated after 5 minutes' rest. If the repeat result is outside the expected range and clinically significant then it should be reported as an AE.  Any clinically significant abnormality discovered during the physical examination or other safety assessment should be reported as an AE. This does not apply to pre-existing conditions which have been documented at Screening.	
	orded from the time the informed	AEs will be <b>reported</b> from the time the informed	Clarification
Observation for consent form (I	CF) is signed until the EOT visit. <b>During</b>	consent form (ICF) is signed until the EOT visit. After	about post-
1	period after the EOT visit, SAEs that	the EOT visit, only SAEs will be reported.	EOT PV rules
are considered	to be related to the IP will be		
recorded.		The Investigator does not need to actively monitor	
		subjects for AEs once the clinical study has ended.	
		However, SAEs that occurred after the EOS should	
		be reported to the Sponsor if the Investigator	
		becomes aware of the SAEs.	
SAEs that are c	onsidered to be related to the IP can be	SAEs that are considered to be related to the IP can	Clarification
collected regard	lless of planned clinical study period. If	be collected regardless of planned clinical study	about post-
the Investigator	detects an SAE in a subject after the	period. If the Investigator detects an SAE in a	EOT PV rules
EOS, and consi	ders the event to be related to the IP, the	subject after the EOS, and considers the event to be	
Investigator sho	ould contact Sponsor to determine how	related to the IP, the Investigator should contact	
the SAE should	be documented and reported.	Sponsor to determine how the SAE should be	
		documented and reported.	
Unresolved AE	s during the study period should be	Unresolved AEs until the Study EOT should be	Clarification
followed up unt	il discontinuation of the subject from the	followed up until discontinuation of the subject from the	about post-
study (e.g., deat	th, withdrawal of consent, lost to follow-	study (e.g., death, withdrawal of consent, lost to follow-	EOT PV rules
up), or EOS dat	e	up, or initiation of subsequent therapy for NSCLC),	

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	or EOS date,	
6.2.6. Unblinding	7.1.6. Emergency Unblinding for Safety Reasons	The title of
Unblinding should be considered only when knowledge	Unblinding should be considered only when knowledge	section 6.2.6. is
of the treatment assignment is deemed essential for the	of the treatment assignment is deemed essential for the	changed to
subject's care by their Investigator or a regulatory body.	subject's care safety by their Investigator or a	"Emergency
In general, unblinding of subjects during the conduct of	regulatory body. In general, unblinding of subjects	Unbliding for
the clinical study is not allowed unless there are	during the conduct of the clinical study is not allowed	Safety
compelling medical or safety reasons to do so. The	unless there are compelling medical or safety reasons to	Reasons" and
IWRS will be used to break the blind and details on how	do so. The IWRS will be used to break the blind and	the section is
to do this are provided in the IWRS manual. If the blind	details on how to do this are provided in the IWRS	migrated to
is broken, it may be broken only for the subject in	manual. If the blind is broken, it may be broken only for	between
question. The Sponsor must be notified immediately if a	the subject in question. The Sponsor must be notified	Section 7.1.5.
subject and/or Investigator is unblinded during the	before or immediately after a subject and/or the	and 7.1.6.
course of the study along with the reason for breaking	Investigator is unblinded during the course of the study	
the blind. Pertinent information regarding the	along with the reason for breaking the blind. Pertinent	
circumstances of unblinding of a subject's treatment	information regarding the circumstances of unblinding	
code must be documented in the subject's source	of a subject's treatment code must be documented in the	
documents. This includes who performed the unblinding,	subject's source documents. This includes who	
the subject(s) affected, the reason for the unblinding, the	performed the unblinding, the subject(s) affected, the	
date of the unblinding and the relevant IP information.	reason for the unblinding, the date of the unblinding and	
	the relevant IP information.	
7.1.6. Expectedness Assessment	7.1.7. Expectedness Assessment	Editorial
		change
 <b>7.1.7.</b> Withdrawal Due to Adverse Events	<b>7.1.8.</b> Withdrawal Due to Adverse Events	Editorial
Subject withdrawal from the study due to an AE should	Subject withdrawal from the study due to an AE should	change
be distinguished from withdrawal due to personal	be distinguished from withdrawal due to personal	
reasons. Subjects withdrawn due to an AE should be	reasons. Subjects withdrawn due to an AE should be	
followed up until the time point specified in the protocol.	followed up until the time point specified in the	
Subjects who discontinue the administration of IPs	protocol. When a subject withdraws from the study	

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	because of serious or significant safety issues should be followed closely until the AEs are resolved or stabilised.  When a subject withdraws from the study due to an SAE, the SAE must be reported in accordance with the requirements outlined in Section 7.2.2.	due to an SAE, the SAE must be reported and followed in accordance with the requirements outlined in Section 7.2.2.  Subjects who discontinue the administration of IPs because of serious or significant safety issues should be followed closely until the events are fully and permanently resolved or stabilised.	
7.2.2. Reporting Serious Adverse Event	SAEs must be immediately reported at least within 24 h of the Investigator becoming aware of the event to Sponsor or its designated representative using the SAE report form provided by the Sponsor.	SAEs before EOT visit must be immediately reported at least within 24 h of the Investigator becoming aware of the event to Sponsor or its designated representative using the SAE report form in the eCRF. provided by the Sponsor. SAEs that occurred after the EOT visit must be reported at least within 24 h of the Investigator becoming aware of the event to Sponsor or its designated representative using the paper SAE report form. Contact information for SAE reporting will be provided in SAE Report Completion Instruction.	Clarification to report SAE
	The Investigator is obligated to pursue and provide information to Sponsor on all SAEs in accordance with the timeframes for reporting specified above. In addition, an Investigator may be requested by Sponsor to obtain specific additional follow-up information in an expedited fashion. This information may be more detailed than that captured.	The Investigator is obligated to pursue and provide information to Sponsor on all SAEs in accordance with the timeframes for reporting specified above. In addition, an Investigator may be requested by Sponsor to obtain specific additional follow-up information in an expedited fashion. This information may be more detailed than that captured in the SAE report form.	Clarification to report SAE
7.3.2. Proteinuria	If subject is discovered to have ≥ 2+ proteinuria on urine dipstick (or other ways of urinalysis) and demonstrate 24 hours urine protein excretion < 1 g or protein/creatinine ratio in spot urine < 1 g/g creatinine (or < 226.0	If subject is discovered to have $\geq 2+$ proteinuria on urine dipstick (or other ways of urinalysis) and demonstrate 24 hours urine protein excretion $\geq 1$ g or protein/creatinine ratio in spot urine $\geq 1$ g/g creatinine	To correct typo error

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	mg/mmol creatinine), should be classified as AESI.	(or ≥ 226.0 mg/mmol creatinine), should be classified as AESI.	
7.4. Pregnancy	Although pregnancy is not an AE, all pregnancies must	Although pregnancy is not an AE, all pregnancies must	More feasible
<i>y y</i>	be followed up every 2 months until 6-8 weeks after the	be followed up every 2 months until 6-8 weeks after	to conduct
	outcome of the pregnancy becomes available, unless the	the outcome of the pregnancy becomes available, unless	
	subject is lost to follow-up.	the subject is lost to follow-up.	
8. STATISTICAL	• Full analysis set (FAS): FAS will consist of all	• Full analysis set (FAS): FAS will consist of all	According to
CONSIDERATION	randomised subjects. The subjects will be analysed based	randomised subjects. The subjects will be analysed	US FDA
AND	on the treatment they were randomised to.	based on the treatment they were randomised to <b>by</b>	feedback
ANALYTICAL	·	intention-to-treat principle. However, subjects who	
PLAN		do not qualify for randomisation and are	
8.1. Analysis Sets		inadvertently randomised into the study will be	
		excluded from FAS, provided these subjects do not	
		receive any IP during the study.	
	Per-protocol set (PPS): PPS will consists of all FAS	• Per-protocol set (PPS): PPS will eonsists consist of all	Editorial
	subjects who complete at least two cycles of	FAS subjects who complete at least two cycles of	change
	combination chemotherapy with a tumour assessment	combination chemotherapy with a tumour assessment	
	and do not have any major protocol deviations that	and do not have any major protocol deviations that	
	impact the primary efficacy assessment. The PPS will be	impact the primary efficacy assessment. The PPS will	
	the primary efficacy analysis set.	be the primary efficacy analysis set.	
8.2.2.1. Primary	The primary efficacy endpoint is the <b>ORR</b> by 24 weeks	The primary efficacy endpoint is the <b>best ORR</b> by 24	According to
Efficacy Analysis	of chemotherapy. The <b>ORR</b> is defined as the proportion	weeks of chemotherapy. The <b>best ORR</b> is defined as the	US FDA
	of subjects whose best overall response is either CR or	proportion of subjects whose best overall response is	feedback
	PR according to RECIST v1.1 during the induction	either CR or PR according to RECIST v1.1 during the	
	treatment period. If a subject has either CR or PR at least	induction treatment period. If a subject has either CR or	
	once during the induction treatment period, the subject	PR at least once during the induction treatment period,	
	will be considered as the responder. Tumour assessment	the subject will be considered as the responder. Tumour	
	will be performed after IP administration of Cycle 2, 4,	assessment will be performed after IP administration of	
	and 6, and before planned Day 1 of Cycle 3, 5, and 7 and	Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3,	

Samsung Bioepis – Confidential Page 123 of 165 will be performed every 4 cycles according to RECIST v1.1 and assessed by both investigators and independent central reviewer. The primary efficacy analysis will be based on the data from the independent central review. The primary efficacy analysis will aim to demonstrate equivalence in the **ORR** between SB8 and Avastin<sup>®</sup> in the PPS. The null hypothesis tested for the primary efficacy analysis will be either (1) SB8 is inferior to Avastin<sup>®</sup> or (2) SB8 is superior to Avastin<sup>®</sup> based on a pre-specified equivalence margin.

For US Food and Drug Administration submission, the primary efficacy analysis will be performed for the response ratio (SB8 response rate/ Avastin® response rate), and the equivalence will be declared if the 90% C.I. is contained within the proposed equivalence margin of [0.742, 1.450].

For EMA submission, the primary efficacy analysis will be performed for the difference in the ORR, and the equivalence between the two treatment groups will be declared if the 95% confidence interval (CI) is entirely contained within the pre-justified equivalence margin of [-12.5%, 12.5%].

The analysis method in detail will be described in the SAP, and the SAP will be finalised prior to the first database lock.

The same analysis planned for the primary efficacy analysis will be repeated for the FAS to explore the robustness of the results. In this analysis, subjects who withdraw early without any tumour assessment 5, and 7 and will be performed every 4 cycles according to RECIST v1.1. **Tumour size will be** assessed by both investigators and independent central reviewer. The primary efficacy analysis will be based on the data from the independent central review.

The primary efficacy analysis will aim to demonstrate equivalence in the **best ORR** between SB8 and Avastin<sup>®</sup> in the PPS. The null hypothesis tested for the primary efficacy analysis will be either (1) SB8 is inferior to Avastin<sup>®</sup> or (2) SB8 is superior to Avastin<sup>®</sup> based on a **pre-specified pre-defined** equivalence margin.

For US Food and Drug Administration submission, the primary efficacy analysis will be performed in the FAS for the ratio of best ORR by 24 weeks (best ORR of SB8/ best ORR of Avastin®), and the equivalence will be declared if the 90% confidence interval(CI) of the best ORR ratio is contained within the pre-defined equivalence margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary analysis.

For EMA submission, the primary efficacy analysis will be performed in the PPS for the difference in the best ORR by 24 weeks, and the equivalence between the two treatment groups will be declared if the 95% confidence interval (CI) CI of the difference is entirely contained within the pre-justified pre-defined equivalence margin of [-12.5%, 12.5%].

The similar analysis will be performed for the FAS to

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	will be considered as non-responders.	support the primary analysis. The statistical method to get the CI for primary analysis will be described in the Statistical Analysis Plan (SAP), and the SAP will be finalised prior to the first database lock.	
8.2.2.2. Secondary	The secondary efficacy endpoints are as following:	The secondary efficacy endpoints of PFS, OS and	According to
Efficacy Analyses	• Progression free survival (PFS): PFS is defined as the	DOR will be analysed for PPS and FAS and	US FDA
	time from the date of Randomisation to the date of	described in the SAP. are as following:	feedback
	disease progression or death regardless of the cause of	<ul> <li>Progression free survival (PFS): PFS is defined as</li> </ul>	
	death. Subjects who are not progressed at the time of	the time from the date of Randomisation to the date	
	analysis will be censored at the date of the EOT visit.	of disease progression or death regardless of the	
	• Overall Survival (OS): OS is defined as the time from	cause of death. Subjects who are not progressed at	
	the date of Randomisation to the date of death regardless	the time of analysis will be censored at the date of the	
	of the cause of death. Subjects who are alive at the time	EOT visit.	
	of analysis will be censored at the date of last known	Overall Survival (OS): OS is defined as the time	
	alive.	from the date of Randomisation to the date of death-	
	• Duration of response (DOR): DOR is defined as the	regardless of the cause of death. Subjects who are	
	time from documented tumour response (CR or PR) until	alive at the time of analysis will be censored at the	
	documented disease progression. Only the subjects who	date of last known alive.	
	have the tumour response will be included in the	• Duration of response (DOR): DOR is defined as the	
	analysis.	time from documented tumour response (CR or PR)	
	The secondary efficacy endpoints will be analyzed using	until documented disease progression. Only the	
	Cox proportional hazard model stratified by ECOG	subjects who have the tumour response will be	
	performance status, gender and region (pooled centres)	included in the analysis.	
	for PPS and FAS	The secondary efficacy endpoints will be analyzed	
		using Cox proportional hazard model stratified by	
		ECOG performance status, gender and region	
		(pooled centres) for PPS and FAS	
8.2.2.3. Exploratory	The exploratory efficacy endpoint is the tumour response	The exploratory efficacy endpoint is the best ORR by 11	According to

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Efficacy Analysis	rate by 11 and 17 weeks.	and 17 weeks and will be analysed with the similar	US FDA
	The tumour response rate will be computed by the	manner of the primary endpoint analysis.	feedback
	number of subjects with either CR or PR by each	The tumour response rate will be computed by the	
	timepoint divided by the total number of patients in the	number of subjects with either CR or PR by each	
	analysis population.	timepoint divided by the total number of patients in	
	The tumour response rate will be analyzed for PPS and	the analysis population.	
	FAS.	The tumour response rate will be analyzed for PPS	
		and FAS.	
8.2.3. Safety	All reported terms for AEs will be coded using the	All reported terms for AEs will be coded using-the-	Follow the
	Medical Dictionary for Regulatory Activities	Medical Dictionary for Regulatory Activities	official name
	(MEDDRA). No statistical testing will be performed for	(MEDDRA) MedDRA. No statistical testing will be	
	AEs.	performed for AEs.	
	Changes in vital signs and clinical laboratory	Changes in vital signs and clinical laboratory	Editorial
	measurements will be summarised descriptively by	measurements will be summarised descriptively by	change
	treatment group and visit. Other safety variables (e.g.,	treatment group and visit. Other safety variables (e.g.,	
	infusion reaction) will be summarised and listed.	infusion reaction) will be summarised and listed.	
8.2.5.	The incidence of anti-rug antibodies and neutralising	The incidence of anti-drug antibodies and neutralising	To correct typo
Immunogenicity	antibodies will be summarised by treatment group and	antibodies will be summarised by treatment group	error
	visit for SAF	and visit cycle for SAF	
8.3. Determination	For the calculation of the equivalence margin, AVAiL	For the calculation of the equivalence margin, a	According to
of Sample Size	[Reck, 2010] and E4599 [Sandler, 2006] studies of	meta-analysis published by Botrel et al. using all of	US FDA
	Avastin® + cisplatin/gemcitabine (CG) vs. CG alone	the four published comparative trials that evaluated	feedback, more
	and of Avastin® + paclitaxel/carboplatin vs.	bevacizumab in combination with chemotherapy (i.e.	references are
	paclitaxel/carboplatin alone, respectively, were	E4599 [Sandler, 2006], AVAiL (BO17704) [Reck,	cited
	considered. In AVAiL study, ORR rates were 21.6%	2009], AVF0757 [Johnson, 2004], JO19907 [Niho,	
	and 37.8% for placebo and Avastin® + CG groups,	2012]) was considered.	
	respectively. In E4599 study, ORR rates were 15.1%	The overall ratio of best ORR and the 70% CI from	
	(out of 392) and 34.9% (out of 381) for placebo and	above four studies are calculated to be CCI	
	Avastin® + paclitaxel/carboplatin groups,	using the fixed effect method from	

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	respectively.  The overall ratio of ORR and its 95% CI from these two studies are calculated to be 2.0217 [1.6943, 2.4124] using the fixed effect method from meta-analysis. The equivalence margin of [0.742 1.450] will preserve 50% of the effect of Avastin® over the placebo in the lower margin.  The overall difference in ORR and its 80% CI from these two studies are calculated to be complete to be complete. The equivalence margin of [-12.5%, 12.5%] will preserve at least 20% of the effect of Avastin® over the placebo in the difference of ORR.  With 305 completers in each treatment group, the two-sided 90% CI of the ORR ratio is expected to lie within [0.742, 1.450] with 90% power, and the two-sided 95% CI of the ORR difference between Avastin® and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.	meta-analysis. Retaining the Avastin® over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.  For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%, 12.5%] will be used due to the similar derivation.  With 305 completers in each treatment group, the two-sided 90% CI of the best ORR ratio is expected to lie within [0.737, 1.357] with approximately 80% power, and the two-sided 95% CI of the best ORR difference between Avastin® and SB8 is expected to lie within [-12.5%, 12.5%] with 80% power when the expected best ORR is assumed to be 35%. Assuming a 10% drop-out rate, a total of 678 subjects (339 subjects per treatment group) will be randomised.	
8.4. Statistical	8.4. Interim Analysis	8.4. Statistical Analysis Timepoints	The title of
Analysis Timepoints	The primary endpoint will be assessed after the last	Safety endpoint will be assessed for DSMB review	section 8.4. is
	subject completes the Induction treatment period.	during the course of the study. Interim safety results	changed and
	Available efficacy and safety data (a full set of the	will be evaluated by the DSMB, which will be	all the
	Induction treatment period data including available	independent of the study conduct. Details will be	statement is
	data in the maintenance treatment period from a	described in the DSMB charter.	changed

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	subset of subjects, i.e., those subjects enrolled early)	The primary endpoint will be assessed when at least	
	, , ,	1 1	
	will also be analysed and reported. A final CSR will	24 weeks has elapsed since the last subject is	
	be reported once the full set of the maintenance	randomised. Available efficacy and safety data (a full	
	treatment period is obtained.	set of the Induction treatment period data including	
	Interim analyses for DSMB review will be performed	available data in the maintenance treatment period	
	during the course of the study. Interim results will be	from a subset of subjects, i.e., those subjects enrolled	
	evaluated by the DSMB, which will be independent of	early) will also be analysed and reported.	
	the study conduct. Details will be described in the	A final CSR will be reported once the full set of the	
	DSMB charter.	maintenance treatment period is obtained, e.g. after	
	Subjects, Investigators, independent central	EOS.	
	reviewers and other study personnel will remain	After at least 24 weeks from the last subject	
	blinded throughout the entire treatment period. After	randomised, or its corresponding date, a limited	
	the last subject completes the induction treatment	number of individuals of the Sponsor will be	
	period, or its corresponding visit, a limited number of	unblinded. A formal analysis of the primary efficacy	
	prospectively identified individuals of the Sponsor	data will then be undertaken. Subjects, Investigators,	
	will be unblinded. A formal analysis of the primary	independent central reviewers and other study	
	efficacy data will then be undertaken.	personnel will remain blinded throughout the entire	
		treatment period.	
9. DATA	Care will be taken to prevent subjects being identified	Care will be taken to prevent subjects being identified	To correct typo
COLLECTION	through these publications. In addition, data may be	through these publications. In addition, data may be	error
AND	shared with other companies or researchers to aid further	shared with other companies or researchers to aid	
MANAGEMENT	research into breast cancer.	further research into breast cancer lung cancer.	
9.1. Data	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	The same and the s	
Confidentiality			
9.4. Database	Medical/surgical history and underlying diseases and	Medical/surgical history and underlying diseases and	To correct typo
Management and	AEs will be coded using the Medical Dictionary for	AEs will be coded using the Medical Dictionary for	error
_	Regulatory Activities (MEDDRA).	Regulatory Activities (MEDDRA) (MedDRA).	CITOI
Coding	, ,		C t. '
10. ETHICAL	10.4.5. Financing and Insurance	10.4.5. Financing and Insurance	Some countries
CONSIDERATIONS	A copy of the insurance details will be provided to each	A copy of The insurance details will be provided to each	do not mandate

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AND	Investigator who will be responsible for providing the	Investigator who will be responsible for providing the	submission of
ADMINISTRATIVE	IRB/IEC with these details according to local	IRB/IEC with these details according to local	Insurance
PROCEDURES	requirements.	requirements.	documents
10.4. Investigator			
Information			
13. REFERENCES	Avastin® Summary of Product Characteristics	Avastin® Summary of Product Characteristics	Updated
	(EMEA/H/C/000582 -II/0072). <i>EMEA</i> . (May 27,	(EMEA/H/C/000582 -II/0082). <i>EMEA</i> (Oct 29, 2015).	
	2015). Retrieved Aug 07, 2015	Retrieved on Nov 04, 2015	
	from http://www.ema.europa.eu/docs/en_GB/document_librar	from http://www.ema.europa.eu/docs/en_GB/document_library/	
	<u>y/EPAR</u> -	EPAR	
	Product_Information/human/000582/WC500029271.pdf.	Product_Information/human/000582/WC500029271.pdf	
		Botrel TEA, Clark O, Clark L, et al. Efficacy of	
		bevacizumab (Bev) plus chemotherapy (CT)	
		compared to CT alone in previously untreated locally	
		advanced or metastatic non-small cell lung cancer	
		(NSCLC): Systematic review and meta-analysis.	
		Lung Cancer. 2011; 74:89-97	
		Johnson DH, Fehrenbacher L, Novotny WF, et al.	
		Randomized Phase II Trial Comparing Bevacizumab	
		Plus Carboplatin and Paclitaxel With Carboplatin	
		and Paclitaxel Alone in Previously Untreated Locally	
		Advanced or Metastatic Non-Small-Cell Lung	
		Cancer. J Clin Oncol. 2004 Jun 1; 22: 2184-2191.	
		Niho S, Kunitoh H, Nokihara H, et al. Randomized	
		phase II study of first-line carboplatin-paclitaxel	
		with or without bevacizumab in Japanese patients	
		with advanced non-squamous non-small-cell lung	

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			cancer. I	Lung Cancer. 2012; 362-367	
APPENDIX 1: ECOG PERFORMANCE STATUS	Referece	e:	Reference	ce:	To correct typo error
APPENDIX 2:	Tla	Tumour 2 cm or less in greatest dimension	T1a	Tumour 2 cm or less in greatest dimension	To correct typo
LUNG CANCER STAGING	T1b	Tumour more than 2 cm but 3 cm or less in greatest dimension	T2b T1b	Tumour more than 2 cm but 3 cm or less in greatest dimension	error
APPENDIX 4:	Epitheli	oid hemangioendothelioma	Epithelio	oid haemangioendothelioma	To correct typo
WORLD HEALTH					error
ORGANIZATION					
HISTOLOGICAL					
CLASSIFICAION					
OF TUMOURS OF					
THE LUNG					

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## **AMENDMENT 2: Aug 18, 2016**

Original Content	Amended/New Content	Rationale
3. Histologically and/or cytologically confirmed metastatic (TNM stage IV) or recurrent adenocarcinoma of the lung or large cell carcinoma of the lung or NSCLC-not otherwise specified (NOS).	3. Histologically and/or cytologically confirmed metastatic (AJCC 7 <sup>th</sup> edition TNM stage IV) or recurrent adenocarcinoma of the lung or large cellearcinoma of the lung non-squamous NSCLC or NSCLC-not otherwise specified (NOS).	Clarification
7. b Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is ≥ 2+, 24 hours urine protein excretion is < 1 g or protein/creatinine ratio in spot urine is < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).	7. b Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is $\geq 2+$ , 24 hours urine protein excretion <b>is-should be</b> < 1 g or protein/creatinine ratio in spot urine <b>is-should be</b> < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).	Grammatical change
8. Subjects and their partners of childbearing potential (female or male) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of investigational product (IP). A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be considered sufficient for subjects who do not have a partner.	8. Subjects and their partners of childbearing potential (female or male) including those with history of elective sterilisation (e.g. fallopian tube ligation) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of investigational product (IP). A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be	German agency's comments. "some patients may decide to reverse elective sterilisation"
	<ul> <li>3. Histologically and/or cytologically confirmed metastatic (TNM stage IV) or recurrent adenocarcinoma of the lung or large cell carcinoma of the lung or NSCLC-not otherwise specified (NOS).</li> <li>7. b Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is ≥ 2+, 24 hours urine protein excretion is &lt; 1 g or protein/creatinine ratio in spot urine is &lt; 1 g/g creatinine (or &lt; 226.0 mg/mmol creatinine).</li> <li>8. Subjects and their partners of childbearing potential (female or male) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of investigational product (IP). A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be considered sufficient for subjects who do not have a</li> </ul>	3. Histologically and/or cytologically confirmed metastatic (TNM stage IV) or recurrent adenocarcinoma of the lung or large cell carcinoma of the lung or NSCLC-not otherwise specified (NOS).  7. b Urine dipstick for proteinuria of less than 2+ (other ways of urinalysis are also acceptable); if urine dipstick is ≥ 2+, 24 hours urine protein excretion is < 1 g or protein/creatinine ratio in spot urine is < 1 g/g creatinine (or < 226.0 mg/mmol creatinine).  8. Subjects and their partners of childbearing potential (female or male) who agree to use at least two forms of appropriate contraception (e.g., established use of oral, injected or implanted hormonal contraceptive, placement of an intrauterine device or intrauterine system, physical barrier, male sterilisation or true abstinence) from Screening until 6 months after the last administration of investigational product (IP). A pregnancy test result is required for all women of childbearing potential including women who had menopause onset within 2 years prior to Randomisation. True abstinence will be considered sufficient for subjects who do not have a  3. Histologically and/or cytologically confirmed metastatic (AJCC 7 <sup>th</sup> edition TNM stage IV) or recurrent adenocarcinoma of the lung or large cell carcinoma of the lung on scall carcinoma of the lung on scall carcinoma of the lung on scall carcinoma of the lung or scall carcin

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		partner.	
Synopsis-Eligibility Criteria Exclusion criteria	1. Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung (mixed tumour should be categorised according to predominant histology).	1. Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung. For mixed tumour with the component of squamous cell carcinoma, it should be categorised according to predominant histology. Any component of small cell carcinoma of the lung is to be excluded.	Clarification on mixed histology.
	4. History of systemic chemotherapy administered in the first-line setting for metastatic or recurrent disease of NSCLC.	4. History of systemic ehemotherapy anti-cancer therapy administered in the first-line setting for metastatic or recurrent disease of NSCLC.	Change to include chemotherapy, immunotherap y, targeted agents, etc.
	5. Neoadjuvant or adjuvant chemotherapy for administered for NSCLC and completed less than 12 months prior to Randomisation.	5. Any systemic anti-cancer therapy including neoadjuvant or adjuvant chemotherapy for administered for NSCLC and completed less than 12 months prior to Randomisation.	Clarification to exclude any history of anti- cancer therapy within 12 months from randomisation
	7. Radiotherapy within 28 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy are not considered as measurable lesion unless there has been demonstrated progression in the lesion.).	7. Radiotherapy within 28-14 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other locoregional therapy are not considered as measurable lesion unless there has been demonstrated progression in the	Change made to reduce delay in systemic therapy

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	8. Major surgical procedure within 28 days prior to Randomisation (requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy).	8. Major surgical procedure within 28 days prior to Randomisation (e.g., requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy) or expected major surgical procedure during the study.	Additional clarification
Synopsis-Eligibility Criteria Exclusion criteria	11. Symptomatic brain metastasis and/or leptomeningeal disease.	11. Symptomatic brain metastasis and/or leptomeningeal disease. Baseline brain imaging is strongly recommended to evaluate for presence of brain metastases. If brain metastases are found, they can be treated according to local practice at the discretion of investigator. Treatment options for brain metastases may include whole brain radiation, radiosurgery, craniotomy, etc. as deemed medically appropriate by the investigator. Subjects should have no neurologic symptoms off corticosteroids for at least 1 day to ensure that subjects do not have symptomatic brain metastasis. If subjects initially developed symptomatic brain metastases that resolved after treatment, they could be considered 'asymptomatic' and eligible for the study if they have no residual neurological dysfunction off corticosteroids for at least 1 day.	Additional clarification.  Off-steroid requirements to determine asymptomatic brain mets
	12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been	12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been	Better terminology

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	complete response and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma in situ of the cervix or breast, or superficial bladder cancer.  15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation  (e.g., clopidogrel [> 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase, streptokinase, urokinase).	in complete response remission and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma in situ of the cervix or breast, or superficial bladder cancer.  15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation (e.g., clopidogrel [≥ 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase, streptokinase, urokinase).	A typo error
Synopsis-Eligibility Criteria Exclusion criteria	18. h. Gastrointestinal bleeding, haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood).	18. h. Gastrointestinal bleeding, haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood) or any other major bleeding events.	Additional clarification
	20. Serologically confirmed active or chronic hepatitis B or hepatitis C	20. Serologically confirmed active or chronic hepatitis B or hepatitis C (asymptomatic inactive carriers are allowed at investigator's discretion per local standards)	Additional clarification to allow asymptomatic hepatitis carrier
	29. Currently enrolled in another clinical study.	29. Currently enrolled in another <b>interventional</b> clinical study.	Additional clarification
Synopsis-Planned	Subjects will be followed for survival status and whether	Subjects will be followed for survival status and	Additional

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Study Period	subsequent therapy is received or not by clinic visit or telephone contact every 3 months until withdrawal of consent or death or 12 months from Randomisation of the last subject.	whether subsequent <b>systemic anti-cancer</b> therapy is received or not by clinic visit or telephone contact every 3 months until withdrawal of consent or death or 12 months from Randomisation of the last subject.	Clarification
Synopsis-Statistical Methods <u>Analysis set</u>		Randomised set (RAN) will consist of all subjects who receive a randomisation number at the Randomisation.	Clarification
Synopsis-Statistical Methods <u>Efficacy analysis</u>	For US FDA submission, the primary efficacy analysis for demonstrating the equivalence of SB8 to Avastin <sup>®</sup> will be done for the ratio of the best ORR (best ORR of SB8/best ORR of Avastin <sup>®</sup> ) by 24 weeks in the FAS.	For US FDA submission or other regulatory agency submissions for those who are in favour of risk ratio, the primary efficacy analysis for demonstrating the equivalence of SB8 to Avastin® will be done for the ratio of the best ORR (best ORR of SB8/best ORR of Avastin®) by 24 weeks in the FAS.	Submission to different agencies
	For EMA submission, the primary efficacy analysis will be performed for the difference in best ORR by 24 weeks between SB8 and Avastin® in the PPS, and the equivalence will be declared if the two-sided 95% CI of the best ORR difference in contained within the predefined equivalence margin of [-12.5%, 12.5%]. The Similar analysis will be performed for the FAS to support the primary efficacy.	For EMA submission, MFDS or other regulatory agency submissions for those who are in favour of risk difference, the primary efficacy analysis will be performed for the difference in of the best ORR (best ORR of SB8 – best ORR of Avastin®) by 24 weeks between SB8 and Avastin® in the PPS, and the equivalence will be declared if the two-sided 95% CI of the best ORR difference in is contained within the predefined equivalence margin of [-12.5%, 12.5%]. The Similarsimilar analysis will be performed for the FAS to support the primary efficacy result.	Submission to different agencies Clarification
Synopsis-Statistical Methods	For the calculation of the equivalence margin, a meta- analysis published by Botrel et al. using all of the four	For Regarding the calculation of the equivalence margin for the ratio of the best ORR by 24 weeks, a	Editorial

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Sample size calculation	published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered.	meta-analysis published by Botrel et al. using all of the four published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered.	change
Synopsis-Figure 1	SSBS   Avastin <sup>81</sup>   Pacitizacel Carboplatin <sup>2</sup>	Melander Carbonidad Praison Marian Ma	Increase screening period to 42 days to allow some study- required procedures
	<sup>5</sup> EOT is defined as discontinuation of treatment due to disease progression, unacceptable toxicity, death, or last administration of IP before end of study. After completion of study treatment, subjects will be followed for survival status and whether subsequent therapy is received or not by clinic visit or telephone contact every 3 months until withdrawal of consent, death, or 12 months from Randomisation of the last subject. EOT visit will be performed at least 21 days after last IP administration and prior to subsequent therapy.	SEOT is defined as discontinuation of treatment due to disease progression, unacceptable toxicity, death, or last administration of IP before end of study. EOT visit will be performed at least 21 days after last IP administration and prior to subsequent therapy. After completion of study treatment, Subjects will be followed for survival status and whether subsequent systemic anti-cancer therapy is received or not by clinic visit or telephone contact every 3 months until-from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, death, lost to follow-up or initiation of subsequent therapy for NSCLC) or EOS date,	Additional clarification

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												subjects have Randomisation performed at	been n of the least n and	eaths of all the randomised observed. or 12 months from he last subject. EOT visit will be 21 days after last IP d prior to subsequent therapy.	
Table 1	Assessments  Cycle  Day of Cycle  Visit window (days) Informed concent:  Demographic information <sup>2</sup> Medical hintory,  Medical hintory,  Physical examination including height (Streening visit only) and weight'  Visit signol <sup>2</sup> ECOG strats  Haematology,  Cocquiation test <sup>2</sup> Sochematy <sup>2</sup> Utinalysis <sup>2</sup> Serology (HBV/HCV information test) <sup>2</sup> Pregnancy test (sortum or unnoch <sup>2</sup> 12-1ced ECG  Tumout assessment <sup>3</sup> Randomistrion  SIBS or Avastin <sup>812</sup> Paclinated Carboplatini <sup>8</sup> Blood sample for immunogenisity <sup>8</sup> Concomium and previous medication <sup>9</sup>	Cycle	Maintenance   Treatment   Perford	Every 3 months ±7	Assessments  Cycle  Day of Cycle  Day of Cycle  Day of Cycle  Visit window (days) Informed consent:  Demographic information?  Medical hiercy  Servering visit entry, and weight*  Servering visit entry) and weight*  Virta signal  ECOG axtext  Hematology  Congalities ten?  Biochemistry  Virtalying  Servology (HBV-HCV Infection reas) <sup>12</sup> 12-lead ECG  Tumous assessment <sup>13</sup> Randomination  SBI oct Avanta <sup>18</sup> Paclitased Carebaptina'  Blood sample for immunogenicity's  Blood sample for immunogenicity's  Blood sample for immunogenicity's  Blood sample for PRIS  Concenditated and previous unrelications  Als and SAEcial  Survival attrus	Serveting Within 42 day Posts to Randomarit	Treatment Period     Treatment Period	Increase screening period to 42 days to allow some study-required procedures							
	7. Blood coagul normalised ratio Additional blood the discretion of cases.  10. Haematolog to be repeated of performed within	d coa f Inve y, bien Da	R) vagulestigoch	will ation gate emi	be on to ristra	per est f the y, u	rfor wil ere rina	med at l be pare are are are the test the test to the	erform ny sus may r	ned picionot mot mot mot mot mot mot mot mot mot m	at ous	normalised rat Additional blo the discretion cases.  10. Haematolo be completed	od co of Inv	on test, including international NR) will be performed at Screening pagulation test will be performed at vestigator if there are any suspicious iochemistry and urinalysis need to be repeated on Day 1 of Cycle 1.	Additional

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	administration of IPs.	
13. Tumour assessments should be performed at Screening (within a maximum 21 days prior to Randomisation) and after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles until disease progression, unacceptable toxicity, death, or end of study. At least one measurable lesion should be confirmed. If the case baseline tumour assessment is not performed within 21 days prior to Randomisation, it should be repeated. Tumour response will be assessed using CT or MRI following Image Acquisition Guideline that will be provided by Sponsor. The same modality used at Screening will be used throughout the study.	13. Tumour assessments should be performed at Screening (within a maximum of 21 days prior to Randomisation) and after IP administration of Cycle 2, 4, and 6, and before planned Day 1 of Cycle 3, 5, and 7 and then will be performed every 4 cycles until disease progression, unacceptable toxicity, death, or end of study. If tumour assessment was already performed according to the schedule but next IP administration needs to be delayed due to any reasons, tumour assessment does not need to be repeated. At least one measurable lesion should be confirmed prior to Randomisation. If the ease baseline tumour assessment is was not performed within 21 days prior to Randomisation, it should be repeated. Tumour response	Additional clarification
	will be assessed using CT or MRI following Image Acquisition Guideline that will be provided by Sponsor. The same modality used at Screening will be used throughout the study.  14. All screening procedures must be completed and reviewed within 42 days prior to Randomisation. All eligibility criteria must be reviewed and confirmed	Additional clarification
25. After completion of study treatment, subjects will be followed for survival status and whether subsequent therapy is received or not by clinic visit or telephone	prior to Rrandomisation  25. 26. After completion of study treatment, Subjects will be followed for survival status and whether subsequent systemic anti-cancer therapy is received or	Additional clarification

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	contact every 3 months until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up, or initiation of subsequent therapy for NSCLC), EOS date defined as when deaths of all the randomised subjects have been observed, or 12 months from randomisation of the last subject, whichever occurs first	not by clinic visit or telephone contact every 3 months from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up, or initiation of subsequent therapy for NSCLC) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from randomisation of the last subject, whichever occurs first.	
List of Abbreviations		AJCC American Joint Committee on Cancer CCr Creatinine Clearance DOR Duration of Response MFDS Ministry of Food and Drug Safety	Addition of abbreviations
List of Study Staff	Clinical Research Physician PPD	Clinical Research Physician PPD	Change in personnel
	Medical Write PPD	Medical Writer PPD	
	Statistician PPD	Statistician PPD	
	Project Safety Lead PPD	Project Safety Lead PPD	

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	PPD	PPD	
4. STUDY	3.Histologically and/or cytologically confirmed	3.Histologically and/or cytologically confirmed	Additional
POPULATION	metastatic (TNM stage IV) or recurrent adenocarcinoma	metastatic (AJCC 7 <sup>th</sup> edition TNM stage IV) or	clarification
4.2. Inclusion	of the lung or large cell carcinoma of the lung or	recurrent adenocarcinoma of the lung or large cell-	Clarification
Criteria	NSCLC-not otherwise specified (NOS).	carcinoma of the lung non-squamous NSCLC or	
Cittoria	Trocke not otherwise specified (1705).	NSCLC-not otherwise specified (NOS).	
		1100E0 not otherwise specified (1105).	
	7.b. Urine dipstick for proteinuria of less than 2+ (other	7. b Urine dipstick for proteinuria of less than 2+ (other	Grammatical
	ways of urinalysis are also acceptable); if urine dipstick	ways of urinalysis are also acceptable); if urine dipstick	
	is $\geq 2+$ , 24 hours urine protein excretion is $< 1$ g or	is $\ge 2+$ , 24 hours urine protein excretion is should be <	
	protein/creatinine ratio in spot urine is < 1 g/g creatinine	1 g or protein/creatinine ratio in spot urine is should be	
	(or < 226.0 mg/mmol creatinine).	< 1 g/g creatinine (or < 226.0 mg/mmol creatinine).	
	8. Subjects and their partners of childbearing potential	8. Subjects and their partners of childbearing potential	German
	(female or male) who agree to use at least two forms of	(female or male) including those with history of	agency's
	appropriate contraception (e.g., established use of oral,	elective sterilisation (e.g. fallopian tube ligation) who	comments.
	injected or implanted hormonal contraceptive, placement	agree to use at least two forms of appropriate	"some patients
	of an intrauterine device or intrauterine system, physical	contraception (e.g., established use of oral, injected or	may decide to
	barrier, male sterilisation or true abstinence) from	implanted hormonal contraceptive, placement of an	reverse elective
	Screening until 6 months after the last administration of	intrauterine device or intrauterine system, physical	sterilisation"
	IP. A pregnancy test result is required for all women of	barrier, male sterilisation or true abstinence) from	
	childbearing potential including women who had	Screening until 6 months after the last administration of	
	menopause onset within 2 years prior to Randomisation.	investigational product (IP). A pregnancy test result is	
	True abstinence will be considered sufficient for subjects	required for all women of childbearing potential	
	who do not have a partner.	including women who had menopause onset within 2	
		years prior to Randomisation. True abstinence will be	
		considered sufficient for subjects who do not have a	

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		partner.	
4.3. Exclusion Criteria	1.Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung (mixed tumour should be categorised according to predominant histology).	1. Diagnosis of small cell carcinoma of the lung or squamous cell carcinoma of the lung. For mixed tumour with the component of squamous cell carcinoma, it should be categorised according to predominant histology. Any component of small cell carcinoma of the lung is to be excluded.	Clarification on mixed histology.
	4.History of systemic chemotherapy for metastatic administered in the first-line setting or recurrent disease of NSCLC.	4.History of systemic ehemotherapy for- metastatic anti-cancer therapy administered in the first-line setting for metastatic or recurrent disease of NSCLC.	Change to include chemotherapy, immunotherap y, targeted agents, etc.
	5.Neoadjuvant or adjuvant chemotherapy administered for NSCLC and completed less than 12 months prior to Randomisation.	5. Any systemic anti-cancer therapy including neoadjuvant or adjuvant chemotherapy for administered for NSCLC and completed less than 12 months prior to Randomisation.	Clarification to exclude any history of anticancer therapy within 12 months from randomisation
	7.Radiotherapy within 28 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy are not considered as measurable lesion unless there has been demonstrated progression in the lesion.).	7. Radiotherapy within 28-14 days prior to Randomisation (tumour lesions situated in a previously irradiated area, or in an area subjected to other locoregional therapy are not considered as measurable lesion unless there has been demonstrated progression in the	Change made to reduce delay in systemic therapy

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		lesion.).	
4.3. Exclusion Criteria	8. Major surgical procedure within 28 days prior to Randomisation (requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy)	8. Major surgical procedure within 28 days prior to Randomisation (e.g., requiring more extensive procedure than local anaesthesia [involving general anaesthesia or respiratory assistance or regional anaesthesia] or open lung biopsy) or expected major surgical procedure during the study.	Additional clarification
	11.Symptomatic brain metastasis and/or leptomeningeal disease.	11. Symptomatic brain metastasis and/or leptomeningeal disease. Baseline brain imaging is strongly recommended to evaluate for presence of brain metastases. If brain metastases are found, they can be treated according to local practice at the discretion of investigator. Treatment options for brain metastases may include whole brain radiation, radiosurgery, craniotomy, etc. as deemed medically appropriate by the investigator. Subjects should have no neurologic symptoms off corticosteroids for at least 1 day to ensure that subjects do not have symptomatic brain metastasis. If subjects initially developed symptomatic brain metastases that resolved after treatment, they could be considered 'asymptomatic' and eligible for the study if they have no residual neurological dysfunction off corticosteroids for at least 1 day.	Additional clarification.  Off-steroid requirements to determine asymptomatic brain mets
	12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been	12. Previous malignancy other than NSCLC in the last 5 years except for locally curable cancers that have been	Better terminology

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	complete response and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma in situ of the cervix or breast, or superficial bladder cancer.	in complete response remission and need no subsequent therapy, such as basal or squamous cell cancer of the skin, carcinoma in situ of the cervix or breast, or superficial bladder cancer.	
4.3. Exclusion Criteria	15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation  (e.g., clopidogrel [> 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase, streptokinase, urokinase).	15. Subjects treated with anticoagulant therapy within 10 days prior to Randomisation (e.g., clopidogrel [≥ 75 mg/day], regular use of aspirin, dipyridamole, ticlopidine and/or cilostazol); anticoagulant therapy within 28 days prior to Randomisation (e.g., with warfarin, intravenous heparin, low molecular weight heparin, factor Xa inhibitors, thrombin inhibitor, and thrombolytic agent including tissue plasminogen activator, anistreplase, streptokinase, urokinase).	A typo error
	18. h. Gastrointestinal bleeding, haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood).	18. h. Gastrointestinal bleeding, haematemesis or haemoptysis (≥ 1/2 teaspoon of red blood) or any other major bleeding events.	Additional clarification
	20. Serologically confirmed active or chronic hepatitis B or hepatitis C	20. Serologically confirmed active or chronic hepatitis B or hepatitis C (asymptomatic inactive carriers are allowed at investigator's discretion per local standards).	Additional clarification to allow asymptomatic hepatitis carrier
	29. Currently enrolled in another clinical study.	29. Currently enrolled in another <b>interventional</b> clinical study.	Additional clarification

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4.4 Subject Withdrawal		<ul> <li>AEs requiring permanent discontinuation of IP (see Section 6.4.2)</li> <li>Unblinding (except unblinding for the purpose of regulatory reporting)</li> </ul>	Additional guidelines
	All the subjects who withdraw alive from the study will be asked to return to the Investigational site for the EOT visit procedures to be performed (see Section 5.1.5.) and to have a follow-up telephone contact or clinic visit.	All the subjects who withdraw-alive from the study will be asked to return to the Investigational site for the EOT visit procedures to be performed (see Section 5.1.5.) and to have a follow-up telephone contact or clinic visit.	Grammatical
5. STUDY PROCEDURES AND ASSESSMENT 5.1. Procedures by Study Period 5.1.1. Screening Period	Screening should be performed within 28 days before Randomisation. All subjects must provide written informed consent prior to any study related procedures being performed.	Screening should be performed within <b>28 42</b> days before Randomisation. All subjects must provide written informed consent prior to any study related procedures being performed.	Increase screening period to 42 days to allow some study- required procedures
Terrou	The following procedures and assessments should be performed within 28 days before Randomisation.	The following procedures and assessments should be performed within 28 42 days before Randomisation.  Retesting or re-evaluation is allowed within the screening period, but the latest assessment will be used to determine the eligibility. Re-screening or reconsenting after 42 day screening period has elapsed is not allowed.	Increase screening period to 42 days to allow some study- required procedures
	Review of previous or concomitant medication (within 28 days prior to informed consent; within 12 weeks in case of vaccines)	Review of previous or concomitant medication (within 28 days prior to informed consent; within 12 weeks prior to informed consent in case of vaccines)	Grammatical/C larification

Samsung Bioepis – Confidential Page 144 of 165 Haematology, biochemistry, urinalysis, and serology (retesting is allowed within the Screening period within 28 days prior to Randomisation.)

 $(\ldots)$ 

Blood coagulation test including international normalised ratio (INR)

 $(\ldots)$ 

Urinalysis (dipstick): leukocytes, nitrite, urobilinogen, protein, pH, Hb, specific gravity, ketone, bilirubin, glucose (other ways of urinalysis are also allowed), if urine dipstick is ≥ 2+, 24 hours urine protein excretion is < 1 g or protein/creatinine ratio in spot urine is < 1 g/g creatinine (or < 226.0 mg/mmol creatinine)

Haematology, biochemistry, urinalysis, and serology (retesting is allowed during the Screening period). Haematology, biochemistry and urinalysis need to be completed within 28 days before Randomisation.

 $(\ldots)$ 

Blood coagulation test: including international normalised ratio (INR). If there is significant deviation in the value (i.e., INR > 1.5), it is highly recommended that the investigator determine the cause (i.e., warfarin - which would be excluded) and reversibility. If the Investigator determines that the subject is at a high risk of bleeding as a result of abnormal INR, subject should be excluded according to section 4.3 (exclusion criterion 14).

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Urinalysis (dipstick): leukocytes, nitrite, urobilinogen, protein, pH, Hb, specific gravity, ketone, bilirubin, glucose (other ways of urinalysis are also allowed), if urine dipstick is  $\geq$  2+, 24 hours urine protein excretion is should **be** < 1 g or protein/creatinine ratio in spot urine is should be < 1 g/g creatinine (or < 226.0 mg/mmol creatinine)

 $(\ldots)$ 

If other laboratory tests not listed above are checked by local practice and found to be abnormal, it is recommended that Investigator use the best clinical judgement to determine if the abnormal values would

Clarification on timing of laboratory tests

Additional guidelines on INR value and other laboratory tests

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		affect patient safety while participating in the study.	
5.1.2. Randomisation	If the subject meets all the criteria of eligibility and signs off the ICF, the Investigator should check the whole eligibility criteria of the subjects prior to Randomisation.	If the subject meets all the criteria of eligibility and signs off the ICF, the Investigator should check the whole eligibility criteria of the subjects prior to Randomisation.	Grammatical/ Simpler
5.1.2.2. Stratification Factors	• Age: < 70 vs. ≥ 70	• Age at randomisation: < 70 vs. ≥ 70	Clarification
5.1.3. Induction Treatment Period (Cycle 1 to Cycle 6)	• Imaging tumour assessment of target and non-target lesion by CT scan or MRI will be performed after IP administration of Cycle 2, 4, and 6 and before planned Day 1 of Cycle 3, 5, and 7 (upper abdominal cavity including the adrenal glands must be included in imaging study.).	• Imaging tumour assessment of target and non-target lesion by CT scan or MRI will be performed after IP administration of Cycle 2, 4, and 6 and before planned Day 1 of Cycle 3, 5, and 7 (upper abdominal cavity including the adrenal glands must be included in imaging study.). Tumour assessment does not need to be repeated if IP is delayed due to any reasons.	Additional clarification
5.1.6. Follow-up Period	After completion of study treatment, subjects will be followed for survival status and whether subsequent therapy is received or not by clinic visit or telephone contact every 3 months (± 7 days) until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from Randomisation of the last subject, whichever occurs first.  SAEs that are considered to be related to the IP should	After completion of study treatment, Subjects will be followed for survival status and whether subsequent therapy is received or not by clinic visit or telephone contact every 3 months (± 7 days) from EOT until discontinuation of the subject from the study (e.g., death, withdrawal of consent, lost to follow-up or initiation of subsequent therapy for NSCLC) or EOS date, defined as when deaths of all the randomised subjects have been observed, or 12 months from Randomisation of the last subject, whichever occurs	Additional clarification

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	continue to be reported to Sponsor during the follow-up period.	first.  SAEs that are considered to be related to the IP- should-will continue to be reported to Sponsor during the follow-up period. (see Section 7.2.2)	
5.2.1.1. Definition of Target and Non-target Lesions	During the baseline assessment before IP administrations, all lesions detected in the lung are classified as either target lesions or non-target lesions on CT/MRI scan	<ul> <li>During the baseline assessment before IP administrations, all lesions detected in the lung are classified as either target lesions or non-target lesions on CT/MRI scan</li> <li>Target lesions: All measurable lesions up to a maximum of 2 lesions per organ and 5 lesions total, representative of all involved organs should be identified as target lesions and recorded at baseline. Target lesions should be selected based on their size and their suitability for accurate repeated measurements by imagaing.</li> <li>Non-target lesions: All other lesions including small lesions and other non-measurable lesions should be identified as non-target lesions and should be recorded at baseline. Measurements of these lesions are not required, but the presence or absence of each should be noted throughout follow up.</li> <li>For detailed instructions, please refer to Completion Guide for Tumour Assessment Worksheet.</li> </ul>	Added more details
5.2.1.2. Criteria for	The use of oral and IV contrast, etc., should be consistent	The use of oral and IV contrast, etc., should be	Clarification

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Tumour Response
Evaluation

unless contraindication exists. Tumour evaluations should be made by the same Investigator or radiologist for each subject during the study, if possible.

Baseline total tumour burden must be assessed no more than 21 days prior to Randomisation. If the case baseline tumour assessment is not performed within 21 days prior to Randomisation, it should be repeated. Baseline tumour assessment will include the adrenal glands and the entire liver. If case of contrast contraindication, MRI will be performed. Additional PET-scan, or bone scan will be performed at the discretion of the Investigator (optional), if there are symptoms or clinical suspicion of distant metastasis.

Tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy, are not considered measurable unless there has been demonstrated progression in the lesion consistent is highly recommended and should be used consistently unless contraindication exists.

Tumour evaluations should be made by the same Investigator or radiologist for each subject during the study, if possible.

Baseline total tumour burden must be assessed no more than 21 days prior to Randomisation. If the ease baseline tumour assessment is not performed within 21 days prior to Randomisation, it should be repeated. Baseline tumour assessment will include the adrenal glands and the entire liver. If In case of contrast contraindication, MRI will or non-contrast CT scans can be performed. Additional PET-scan, or bone scan will be performed at the discretion of the Investigator (optional), if there are symptoms or clinical suspicion of distant metastasis.

Tumour lesions situated in a previously irradiated area, or in an area subjected to other loco-regional therapy, are not considered measurable unless there has been demonstrated progression in the lesion.

For baseline brain imaging, MRI with contrast is preferred but CT scan with IV contrast is acceptable if MRI cannot be obtained (Ex. pacemaker, unavailable MRI facility, etc). If brain metastases are found but not treated (asymptomatic), they could be potentially listed as target and/or non-target lesions at discretion of investigators. However, all target

on imaging modality

Clarification on imaging for brain metastases

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		and/or non-target lesions should be followed using the same imaging modality throughout the study. If brain metastases are found and treated, those should be listed as "non-target" lesions for tumour response evaluation according to RECIST 1.1. If brain mets are treated and patients are asymptomatic, follow -up brain imaging is not required unless clinically suspected.	
6.2.4.1. Preparation and Administration of SB8 or Avastin®	Volume (mL) = dose amount (mg) ÷ concentration of bevacizumab (mg/mL)	• Volume (mL) = $\frac{\text{dose amount (mg)}}{\text{concentration of bevacizumab (mg/mL)}}$	Graphical
6.2.5. Prohibited Concomitant Medications or Therapies	Medication and therapies are prohibited prior to Randomisation and throughout the study are presented in Table 3.	Medication and therapies <b>that</b> are prohibited prior to Randomisation and/ <b>or</b> throughout the study are presented in Table 3.	Grammar

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Table 3	Table 3. Prohibited Medications and Therapies of NSCLC		Table 3. Prohibited Medications and Therapies of NSCLC		Allowing		
Table 3	Medication or theraples	Time to be prohibited	Medication or therapies	Time to be prohibited	<sup>1</sup> Miowing		
	Anticoagulants or thrombolytic agent:	Within 16 June	Anticoagulants or thrombolytic agent:		certain		
	Clopidogrel (> 75 mg/day), regular use of aspirin or	Within 10 days	Regular use of aspirin	Prior to Randomisation			
İ	NSAIDs with antiplatelet activity, dipyridamole, ticlopidine and/or cilostazol	prior to Randomisation to EOT	Aspirin (≥325 mg daily) <sup>a</sup> *After randomisation, low dose aspirin (< 325mg daily) is	From Ranomisation to EOT	anticoagulants		
	Warfarin, intravenous heparin, low molecular weight	Within 28 days	allowed if medically indicated at the discretion of Investigator.				
	heparin, factor Xa inhibitors, thrombin inhibitors,	prior to Randomisation to EOT	Antiplatelet agents such as Clopidogrel (≥75 mg/day),	Within 10 days	at discretion of		
	thrombolytic agents including tissue plasminogen activator,	prior to Kandonnisadon to EO1	regular use of aspirin or NSAIDs with antiplatelet	prior to Randomisation to EOT	Investigator		
	anistreplase, streptokinase, urokinase		activity, dipyridamole, ticlopidine and/or cilostazolb	-	Investigator		
	Any drugs (include herbal medications) that has not received	From Randomisation to EOT	Warfarin, intravenous heparin, low molecular weight	Within 28 days			
	regulatory approval for any indications	***************************************	heparin, factor Xa inhibitors, thrombin inhibitors,	prior to Randomisation to EOT			
	Anticancer chemotherapy regimen other than	From Randomisation to EOT	thrombolytic agents including tissue plasminogen activator,				
	paclitaxel/carboplatin*		anistreplase, streptokinase, urokinase <sup>c</sup>				
	Major surgical procedure (include open lung biopsy) <sup>b</sup>	Within 28 days	*After randomisation, anticoagulation is allowed if medically indicated				
		prior to Randomisation	Any drugs (include herbal medications) that has not received	From Randomisation to EOT			
	Minor surgical procedure <sup>c</sup>	Within 7 days	regulatory approval for any indications	Trom randomisation to Do i			
		prior to Randomisation	Anticancer chemotherapy regimen systemic therapy other	From Randomisation to EOT			
	Live/attenuated vaccine	Within 12 weeks	than paclitaxel/carboplatin/Avastin®/SB8d				
		prior to Randomisation to Cycle 7 Day 1	Major surgical procedure (include open lung biopsy)e	Within 28 days			
	Intravenous bisphosphonates and/or invasive dental procedure	Within 28 days	*If a major surgical procedure is indicated after randomisation,	prior to Randomisation			
	* 6.4	prior to Randomisation to EOT	treatment needs to be held for at least 28 days after surgery and				
	Radiotherapy <sup>d</sup>	Within 28 days	subject needs to completely recover from surgery. The maximum				
		prior to Randomisation to EOT	allowed delay is 6 weeks from the last IP infusion.  Minor surgical procedure <sup>f</sup>	Within 7 days			
			*If a minor surgical procedure is indicated after randomisation,	prior to Randomisation			
			treatment needs to be held for at least 7 days after surgery and	F			
			subject needs to completely recover from surgery. The maximum				
			allowed delay is 6 weeks from the last IP infusion.				
			Live/attenuated vaccine	Within 12 weeks prior to Randomisation to Cycle 7 Day 1			
			Intravenous bisphosphonates and/or invasive dental procedure	Within 28 days			
			*Allowed after randomisation if determined by investigator as	prior to Randomisation to EOT			
			clinically necessary (ex. Bone metastases related to NSCLC or tooth	prior to randomisadon to 201			
			abscess requiring extraction, etc.)				
			Radiotherapys	Within 2814 days			
				prior to Randomisation to EOT			
	<sup>a</sup> Nab-paclitaxel or other formulati	on of paclitaxel is not	<sup>a</sup> After randomisation, low dose	aspirin < 325mg			
	allowed in this study.		daily is allowed if medically indicated (cardiac				
			prophylaxis, etc.) and there is no	bleeding diathesis			
	<sup>b</sup> Requiring more extensive proced	ure than local		_			
	1 0		that would increase the risk of t	nerapy at tne			
	anaesthesia (involving general ana	estnesia or respiratory	discretion of Investigator.				
	assistance or regional anaesthesia)	or open lung biopsy	and the state of the conference.				
	assistance of regional anaestnesia)	or open rung bropsy.	b				
			<sup>b</sup> Non-chronic use of NSAIDS (no	ot including aspirin)			
	<sup>c</sup> Requiring local anaesthesia or fol	lowing procedures;	for symptom management are p	ermitted if there is			
	mediastinoscopy, percutaneous nec	dle agniration core					
	10.1	-	no bleeding diathesis that would	l increase the risk of			
1	biopsy, placement of vascular acce	ss device.	<b>9</b>				
	PSJ, Plastillian SI . aseaidi dete	/****,					

Samsung Bioepis – Confidential Page 150 of 165 endobronchoscopy ultra sono & transbronchial needle aspiration (EBUS & TBNA), pleural biopsy, thoracentesis, pleurodesis, catheter insertion/removal, tooth extraction, superficial incision.

<sup>d</sup> Radiotherapy of palliative purpose to non-progressive non-target lesions is allowed during the treatment period. If target lesions are included in irradiated field, then those lesions should not be evaluated as measurable thereafter. It is strongly recommended that the Investigator consult to the Sponsor at the timing of planning radiotherapy. IP and non-IPs should be suspended during radiotherapy and may be resumed at the discretion of the Investigator.

therapy at the discretion of Investigator.

cAfter randomisation, therapeutic anticoagulation (heparin, warfarin, etc.) is allowed if medically indicated in case of new thromboembolic events (i.e., deep venous thrombosis) at the discretion of Investigator. Refer to section 6.4.2 Table 4 for specific guidelines. Non-systemic use of anticoagulants (ex. heparin flush) to maintain patency of intravenous injection devices is allowed

<sup>d</sup> Nab-paclitaxel or other formulation of paclitaxel is not allowed in this study.

<sup>e</sup> Requiring more extensive procedure than local anaesthesia (involving general anaesthesia or respiratory assistance or regional anaesthesia) or open lung biopsy.

f Requiring local anaesthesia or following procedures; mediastinoscopy, percutaneous needle aspiration, core biopsy, placement of vascular access device, endobronchoscopy ultra sono & transbronchial needle aspiration (EBUS & TBNA), pleural biopsy, thoracentesis, pleurodesis, catheter insertion/removal, tooth extraction, superficial incision.

g Radiotherapy of palliative purpose to non-progressive non-target lesions is allowed during the treatment period. If target lesions are included in irradiated field, then those lesions should not be evaluated as

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		measurable thereafter. It is strongly recommended that the Investigator consult to the Sponsor at the timing of planning radiotherapy. IP and non-IPs should be suspended during radiotherapy and may be resumed at the discretion of the Investigator.	
6.3.1.2. Dose and Schedule of Paclitaxel	Paclitaxel will be administered as IV infusion over 3 hours after the completion of SB8 or Avastin <sup>®</sup> administration. Dose and schedule modification for toxicity are permitted (see Section 6.4.).	Paclitaxel will be administered as IV infusion over approximately 3 hours after the completion of SB8 or Avastin® administration. Dose and schedule modification for toxicity are permitted (see Section 6.4.).	Clarification
6.3.2.2. Dose and Schedule of Carboplatin	Carboplatin will be administered as IV infusion over 30 minutes (± 10) after the completion of paclitaxel. Dose modification and delays for toxicity are permitted (see Section 6.4.).	Carboplatin will be administered as IV infusion over approximately 30 minutes (± 10) after the completion of paclitaxel. Dose modification and delays for toxicity are permitted (see Section 6.4.).	Clarification

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6.3.2.2. Dose and Schedule of Carboplatin	Calvert formula = target AUC × (CCr + 25) mg/day  CCr must be calculated prior to every dosage of carboplatin based on below the formula (Cockcroft-Gault equation):  CCr = ([140 – Age[y]] × body weight)/(72 × serum creatinine [mg/dL]) × 0.85 (if women)	Carboplatin dose (mg) = target AUC × (CCr + 25) mg/day  CCr must be calculated prior to every dosage of carboplatin based on using below the formula (Cockcroft-Gault equation) and it should NOT exceed 125 ml/min:	Typo CCr cap based on US FDA recommendation
		Male: $ CCr = \frac{(140 - Age[y]) \times body \ weight \ [kg]}{72 \times serum \ creatinine[mg/dL]}  $ Female: $ CCr = \frac{(140 - Age[y]) \times body \ weight \ [kg]}{72 \times serum \ creatinine[mg/dL]} \times 0.85  $	
6.4.1. General Consideration	<ol> <li>Dose modifications must be based on the dose level changes in Table 5 and Table 6 and not in other ways.</li> <li>()</li> <li>Subjects may only be re-treated if all related toxicities have resolved to baseline or ≤ grade 1.</li> </ol>	<ol> <li>Dose modifications must be based on the dose level changes in Table 5 and Table 6 and not in other ways</li> <li>()</li> <li>Subjects may only be re-treated if all related toxicities have resolved to baseline or ≤ grade 1 at the discretion of Investigator.</li> </ol>	Clarification

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6.4.2. Schedule	Table 4. Schedule modification	of SBS or A	vastin*	Table 4. Schedule modification	Table 4. Schedule modification of SB8 or Avastin®		
Modification of SB8	Adverse Event	CTCAE Grade <sup>a</sup>	Action to be taken	Adverse Event	CTCAE Grade <sup>a</sup>	Action to be taken	Additional details on IP
or Avastin® Table 4	Haemoptysis	1	If no source was found, and the bleeding resolved within 1 week, reinitiate with same dose.     If a source of bleeding was discovered, it will be	Haemoptysis		schedule modification	
		≥2	treated according to current medical practice.		≥2	treated according to current medical practice.  • Discontinue	
	Hypertension	≥2	<ul> <li>Discontinue</li> <li>Hold SB8 or Avastin* until recovery to grade ≤ 1, and then reinitiate with same dose.</li> </ul>			Hold SB8 or Avastin® until recovery to resting BP of < 150/100 mmHg and then reinitiate with the	Allowing
	Proteinuria	≥2	Hold SB8 or Avastin* until recovery to grade ≤ 1, and then reinitiate with same dose.	Hypertension	≥2	same dose. Anti-hypertensive medications are allowed and recommended for blood pressure control at the discretion of Investigator.	anticoagulatio for certain
	AST or ALT	≥ 3	<ul> <li>Hold SB8 or Avastin<sup>®</sup> until recovery to grade ≤ 2, and</li> </ul>		4	Discontinue	thrombo-
			then continue SB8 or Avastin®.	Congestive heart failure (left	3	<ul> <li>Hold until resolution to Grade≤1</li> </ul>	
	Other clinically significant AEs	≥ 3	<ul> <li>Hold SB8 or Avastin<sup>®</sup> until recovery to grade ≤ 2, and then continue SB8 or Avastin<sup>®</sup>.</li> </ul>	ventricular systolic dysfunction)	4	Discontinue	embolic event
				Proteinuria	≥2	Hold SB8 or Avastin <sup>®</sup> until recovery to grade ≤ 1, and then reinitiate with the same dose.	
				Arterial thromboembolism (New onset or worsening CVAs, TIAs, MIs, etc.)	Any	• Discontinue	
			Venous thromboembolism	≤3	Closely monitor. Anticoagulation (heparin, warfarin, etc.) is recommended and allowed per local practice at the discretion of Investigator and patients should not have any grade of pulmonary/CNS haemorrhage or grade ≥ 2 haemorrhagic event while on anticoagulation.		
					4	• Discontinue	
			Increased AST or ALT	≥ 3	<ul> <li>Hold SB8 or Avastin<sup>®</sup> until recovery to grade ≤ 2, and then continue SB8 or Avastin<sup>®</sup>.</li> </ul>		
				Other clinically significant AEsb	≥ 3	Hold SB8 or Avastin® until recovery to grade ≤2, and then continue SB8 or Avastin®.	
	AE = adverse ever AST = aspartate a		= alanine aminotransferase;			accident; TIA=transient yocardial infarction;	
	r			CNS=central nerv			
	a NCI-CTCAF v4	03 wil	l be used (see APPENDIX 3).			*	
	unci cicili vii	.05 WII	i de useu (see i ii i ENDEX 5).	aminotransferase;			
				= adverse event			
				<sup>a</sup> NCI-CTCAE v4			
				<sup>b</sup> Other clinically			

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		at the discretion of Investigator	
6.4.2. Schedule Modification of SB8 or Avastin®	In any subject who experienced one of the events listed below, the Investigator should consider to discontinue administration of IP permanently, according to the approved label of Avastin®:  ()  • Severe arterial thromboembolic events (grade ≥ 3)  • Life-threatening (grade 4) venous thromboembolic events, including pulmonary embolism  • Grade 4 hypertension (hypertensive crisis or hypertensive encephalopathy)  • Nephrotic syndrome (grade ≥ 3 proteinuria or ≥ 3.5 g/24 h)  • Posterior Reversible Encephalopathy Syndrome (PRES)	<ul> <li>In any subject who experienced one of the events listed below. The investigator should consider to-discontinue administration of IP permanently, according to the approved label of Avastin® and remove subjects from the study if experiencing one of the events specified below:         <ul> <li>()</li> </ul> </li> <li>Severe Arterial thromboembolic events (grade ≥ 3 any grade)</li> <li>Life-threatening (grade 4) venous thromboembolic events, including pulmonary embolism</li> <li>Grade 4 hypertension (hypertensive crisis or hypertensive encephalopathy)</li> <li>Grade 4 congestive heart failure (left ventricular systolic dysfunction)</li> <li>Nephrotic syndrome (grade ≥ 3 proteinuria or ≥ 3.5 g/24 h)</li> <li>Posterior Reversible Encephalopathy Syndrome (PRES)</li> <li>If the investigator determines that situations not listed above but could jeopardise safety of subjects with continuation of IP, IP should be permanently discontinued.</li> </ul>	Change to mandate discontinuation of IP in case of certain serious events.
6.4.3.1. Paclitaxel and Carboplatin	All dose modifications for paclitaxel and carboplatin are based on the dose level changes in Table 5. A stepwise	All dose modifications for paclitaxel and carboplatin are based on the dose level changes in Table 5. A stepwise	Additional clarification
1	dose reduction is permitted. If a reduction to dose level 3	dose reduction is permitted. If a reduction to dose level -	

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	carboplatin are discontinued due to toxicity, continuation of SB8 or Avastin <sup>®</sup> as monotherapy is allowed at the discretion of Investigator.					paclitaxel a one AE rec 4 neutroph count decr one dose le Table 6. If discontinue	quiring dos nil count de reased) duri evel reducti both paclita ed due to tox s monothera	ereduction creased and ing the sam on is indica axel and car kicity, contin	ment. If mo a is found (of d Grade 3 page evaluation ated accord boplatin are muation of S	re than e.g. Grade platelet on period, ling to e. EB8 or	
Table 5	Table 5. Dose Lev	els for Paclitaxel and				Table 5. Dose Lev	els for Paclitaxel and				Change to
1 doic 3		Dose level 0	Dose level 1	Dose level 2	Dose level 3		Dose level 0	Dose level -1	Dose level -2	Dose level -3	_
	Paclitaxel         200 mg/m²         150 mg/m²         100 mg/m²         Discontinue           Carboplatin         AUC 6         AUC 4.5         AUC 3         Discontinue				Paclitaxel Carboplatin	200 mg/m <sup>2</sup> AUC 6	150 mg/m <sup>2</sup> AUC 4.5	100 mg/m <sup>2</sup> AUC 3	Discontinue Discontinue	conventional	
							, 11300	1130 4.5	,	1	terms.

Table 6		Grade 4 ≥ 7 davs	1 <sup>st</sup> event	Hold until ≥ 1.5 × 10 <sup>9</sup> /L and body temperature < 38°C     Once recovers, reduce dose by one level.		Grade 4 ≥ 7 days or Febrile neutropenia	1st event	Hold until ≥ 1.5 × 10 <sup>9</sup> /L and body temperature < 38°C     Once recovers, reduce dose by one level.	Clarification to allow G-CSF
	Neutrophil count decreased	or Febrile neutropenia with ANC < 1.0 × 10 <sup>9</sup> /L	2 <sup>nd</sup> event despite dose reduction	Hold until ≥ 1.5 × 10 <sup>9</sup> /L and body temperature < 38 °C     Once recovers, reduce dose by one level.	Neutrophil count decreased	*G-CSF is allowed at the discretion of Investigator	2 <sup>nd</sup> event despite dose reduction	Hold until≥1.5×10 <sup>9</sup> /L and body temperature < 38°C     Once recovers, reduce dose by one level.     Discontinue	Clarification on Grade 3
			3 <sup>rd</sup> event	Discontinue			3rd event	Hold until ≥ 100 × 10 <sup>9</sup> /L.	platelets count
		Grade 1 or 2	$\geq 50 \times 10^{9}/L \text{ to}$ < $100 \times 10^{9}/L$	Hold until ≥ 100 × 10 <sup>9</sup> /L.     Once recovers,		Grade 1 or 2	≥ 50 × 10 <sup>9</sup> /L to < 100 × 10 <sup>9</sup> /L	Note recovers, maintain the same dose.	decreased
	Platelets count decreased	Grade ≥ 3	1st event: ≥ 25 × 109/L to	maintain the same dose.  • Hold until ≥ 100 × 10°/L.  • Once recovers.			$1^{st}$ event: $\geq 25 \times 10^{9}/L$ to $< 50 \times 10^{9}/L$	<10 <sup>9</sup> /L to • Once recovers	Change in dose
			< 50 × 10 <sup>9</sup> /L 2 <sup>nd</sup> event: > 25 × 10 <sup>9</sup> /L to	reduce dose by one level.  • Hold until ≥ 100 × 10 <sup>9</sup> /L.  • Once recovers,		Grade 3 without bleed	Grade 3	$2^{nd}$ event: $\geq 25 \times 10^{9}/L$ to $< 50 \times 10^{9}/L$	Hold until ≥ 100 × 10 <sup>9</sup> /L.     Once recovers,     reduce dose by one level.
			< 50 × 10 <sup>9</sup> /L  Despite previous dose reduction: < 50 × 10 <sup>9</sup> /L	Once recovers, reduce dose by one level.      Discontinue.	Platelets count decreased	creased	Despite previous- dose reduction two dose reductions: < 50 × 10 <sup>9</sup> /L	Discontinue.	
		Grade 4 or Grade 3 with bleeding	< 25 × 10 <sup>9</sup> /L or < 50 × 10 <sup>9</sup> /L with bleeding	Hold until > 100 × 10 <sup>9</sup> /L.     Once recovers, reduce dose by one level.	Color	1st event < 25 × 10°/L or < 50 × 10°/L with bleeding	Hold until > 100 × 10 <sup>9</sup> /L.     Once recovers,     reduce dose by one level.		
			Despite previous dose reduction for platelet count decrease: < 25 × 10 <sup>9</sup> /L	Discontinue.		or Grade 3 with bleeding	Despite previous dose reduction for platelet count decrease : < 25 × 10 <sup>9</sup> /L	Discontinue.	
	-	1		-		1			

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Table 6	N	Grade 2	Hold until recovery to ≤ grade 1 or baseline.     Once recovers,     Paclitaxel: reduce dose by one level.	Neurosensory	Grade 2	Hold until recovery to ≤ grade 1 or baseline.     Once recovers,     Paclitaxel: reduce dose by one level.     Carboplatin: maintain the same dose.			
	Neurosensory toxicity	Grade 3 or 4	Carboplatin: maintain the same dose.  Hold until recovery to ≤ grade 1 or baseline.  Once recovers, - Paclitaxel: reduce dose by two levels. Carboplatin: maintain the same dose.	toxicity	Grade 3 or 4	Hold until recovery to ≤ grade 1 or baseline.     Once recovers,     Paclitaxel: discontinue     Carboplatin: reduce dose by one level.			
	Biochemistry	Increased AST or ALT  Grade ≥ 2  and  Increased total bilirubin  Grade 1	Hold until AST/ALT recovery to ≤ grade 1 or baseline.     Once AST/ALT recovers,     Maintain the same dose if bilirubin within normal limit.     If bilirubin is still increased to grade 1, Paclitaxel: reduce dose by one level. Carboplatin: maintain the same dose.	Biochemistry AST or ALT or blood bilirubin increased	Increased AST or ALT Grade ≥ 2 and Increased total bilinubin Grade 1	Hold until AST/ALT recovery to ≤ grade 1 or baseline.     Once AST/ALT recovers,     Maintain the same dose if bilirubin within normal limit.     If bilirubin is still increased to grade 1, Paclitaxel: reduce dose by one level.     Carboplatin: maintain the same dose.			
		Grade≥3 AST or ALT or Grade≥2 total bilirubin	Hold until AST/ALT and bilirubin recovery to ≤ grade 1 or baseline.     Once AST/ALT and bilirubin recover,     Paclitaxel: reduce dose by one level.     Carboplatin: maintain the same dose.	mercasea	Grade ≥ 3 AST or ALT or Grade ≥ 2 total bilirubin	Hold until AST/ALT and bilirubin recovery to ≤ grade 1 or baseline.     Once AST/ALT and bilirubin recover,     Pacitiaxel: reduce dose by one level.     Carboplatin: maintain the same dose.			
	Other clinically significant AEs	Grade 2 Grade 3 or 4	Hold until recovery to ≤ grade 1 or baseline. Once recovers, maintain the same dose. Hold until recovery to ≤ grade 1 or baseline. Once recovers, reduce dose by one level.	Other clinically significant AEs <sup>b</sup>	Grade 2 Grade 3 or 4	Hold until recovery to ≤ grade 1 or baseline. Once recovers, maintain the same dose. Hold until recovery to ≤ grade 1 or baseline. Once recovers, reduce dose by one level.			
T 11 6	A.F. 1	ANG	, , ,	A.E. 1	ANG	1 1 1	Additional		
Table 6		*	= absolute neutrophil count;		AE = adverse event; ANC = absolute neutrophil count;				
	-		sferase; ALT = alanine	1	AST = aspartate aminotransferase; ALT = alanine transaminase; G-CSF= Granulocyte Colony-stimulating Factor; NCI-CTCAE = national cancer institute				
		,	E = national cancer institute						
	common ter	minology crite	ria for adverse events						
	a NCI-CTC	4E v4 03 will b	be used (see APPENDIX 3).	common te					
	TVCI CTCI	11L V4.03 WIII 0	to used (see III I III III I).	a NCI-CTC					
					nically significates	ant AEs will be determined igator			
6.6.3. Granulocyte	Therapeutic	or secondary r	prophylactic use of G-CSF	Therapeut	tic or secondar	<del>y prophylactic use of</del> G-CSF	Clarification to		
Colony-stimulating	may be given at the discretion of Investigator and should			_	may be given at the discretion of Investigator				
Factor (G-CSF) Use			of Myeloid Growth Factors	, ,		nend following NCCN	allow general use of G-CSF		
(1 321) 386			s with risk factor developing	Guidelines	NCCN is a				

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	febrile neutropenia (sepsis syndrome, aged $\geq$ 65, severe neutropenia [ANC < 0.1 × 109/L], neutropenia expected to be more than 10 days in duration, pneumonia, invasive fungal infection, other clinically documented infections, hospitalisation at the time of fever, prior episode of febrile neutropenia) prophylaxis with G-GSF will be permitted.	In subjects with risk factors for developing febrile neutropenia (sepsis syndrome, aged $\geq 65$ , severe neutropenia [ANC $< 0.1 \times 109$ /L], neutropenia expected to be more than 10 days in duration, pneumonia, invasive fungal infection, other clinically documented infections, hospitalisation at the time of fever, prior episode of febrile neutropenia) prophylaxis with G-GSF CSF-will be permitted.	guide that continuously gets updated.
6.6.4. Antibiotics Use	At the discretion of Investigator, antibiotics may be administered according to NCCN Guidelines of Cancerrelated Infections Version 2, 2015 or ASCO Guidelines [Flowers, 2013] in febrile neutropenia cases.	At the discretion of Investigator, antibiotics may be administered according to NCCN Guidelines of Cancerrelated Infections Version 2, 2015 or ASCO Guidelines [Flowers, 2013] in febrile neutropenia cases.	These guidelines continue to get updated.
6.6.5. Other Supportive Care	Subjects with anemia can be treated according to the local practice. Intravenous bisphosphonate therapy for their approved labeled indication is not permitted during the study (see Section 6.2.5.).	Subjects with anemia can be treated according to the local practice. Intravenous bisphosphonate therapy for their approved labeled indication is not permitted during the study if clinically indicated (e.g., bone metastases for NSCLC) (see Section 6.2.5.).	Allow IV bisphosphonate therapy if clinically indicated
7.1.1.2. Clinically Significant Abnormalities	If the clinically significant laboratory or other abnormality from safety assessment is not a sign of a disease or syndrome, the abnormality itself should be collected as an AE. If the abnormality can be characterised by a precise clinical term, the clinical term should be recorded as the AE. ()	All laboratory abnormalities that require intervention (e.g., transfusion, IV infusion) should be reported as clinically significant AEs according to NCI-CTCAE v4.03. If the clinically significant laboratory or other abnormality from safety assessment is not a sign of a disease or syndrome, the abnormality itself should be collected as an AE. ()	Additional clarification
7.1.6. Emergency Unblinding for	() This includes who performed the unblinding, the subject(s) affected, the reason for the unblinding, the	() This includes who performed the unblinding, the subject(s) affected, the reason for the unblinding, the	Clarification

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Safety Reasons	date of the unblinding and the relevant IP information.	date of the unblinding and the relevant IP information.  After unblinding (except unblinding for the purpose of regulatory reporting), subjects will be discontinued from the study.	on unblinding
8.1. Analysis Sets	The following sets will be used for the analyses performed in the study:	following sets will be used for the analyses performed in the study:  • Randomised set (RAN): RAN will consist of all subjects who receive a randomisation number at the randomisation.	Clarification
8.2.1. Demographics and Baseline Characteristics	The Baseline value is defined as that recorded at the Randomisation visit (Day 1) for all analyses. If the Baseline value is missing at the Randomisation visit, the last measurement prior to the time of first IP administration will be used.  Subject demographics and baseline characteristics will be summarised by treatment group for the FAS.  ()  Relevant medical history and continuing medical conditions will be summarised by treatment group for the FAS.	The Baseline value is will be defined as that recorded at the Randomisation visit (Day 1) for all analyses. If the Baseline value is missing at the Randomisation visit, the last available measurement value prior to the time of first IP administration will be used.  Subject demographics and baseline characteristics will be summarised by treatment group for the FAS RAN. ()  Relevant medical history and continuing medical conditions will be summarised by treatment group for the FAS RAN	Clarification
8.2.2.1. Primary Efficacy Analysis	For US Food and Drug Administration submission, the primary efficacy analysis will be performed in the FAS for the ratio of best ORR by 24 weeks (best ORR of SB8/ best ORR of Avastin®), and the equivalence will be declared if the 90% confidence interval (CI) of the best	For US Food and Drug Administration submission or other regulatory agency submissions for those who are in favour of risk ratio, the primary efficacy analysis will be performed in the FAS for the ratio of best ORR by 24 weeks (best ORR of SB8/ best ORR of	Submission to different agencies

Samsung Bioepis – Confidential Page 160 of 165 ORR ratio is contained within the pre-defined equivalence margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary analysis.

For EMA submission, the primary efficacy analysis will be performed in the PPS for the difference in the best ORR by 24 weeks, and the equivalence between the two treatment groups will be declared if the 95% CI of the difference is entirely contained within the pre-defined equivalence margin of [-12.5%, 12.5%]. The similar analysis will be performed for the FAS to support the primary analysis.

The statistical method to get the CI for primary analysis will be described in the Statistical Analysis Plan (SAP), and the SAP will be finalised prior to the first database lock.

Avastin®) by 24 weeks, and the equivalence will be declared if the 90% confidence interval (CI) of the best ORR ratio is contained within the pre-defined equivalence margin of [0.737, 1.357]. The similar analysis will be performed for the PPS to support the primary analysis.

For EMA submission, MFDS or other regulatory agency submissions for those who are in favour of risk difference, the primary efficacy analysis will be performed in the PPS for the difference in of the best ORR (best ORR of SB8 – best ORR of Avastin®) by 24 weeks, and the equivalence between the two treatment groups will be declared if the 95% CI of the difference is entirely contained within the pre-defined equivalence margin of [-12.5%, 12.5%]. The similar analysis will be performed for the FAS to support the primary analysis.

The statistical method to get the CI for primary analysis will be described in the Statistical Analysis Plan (SAP), and the SAP will be finalised prior to the first database lock.

The primary efficacy analysis will be performed using the log binomial model with treatment. The sensitivity analysis will be performed using the log binomial model with the covariates of age ( $< 70, \ge 70$  years), sex (female, male), region (country or pooled centres) and treatment to explore the robustness of

Clarification

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		<ul> <li>the primary efficacy results.</li> <li>In the primary efficacy analysis for FAS, the response of the patients without any post-baseline tumour assessment will be imputed as following:</li> <li>Missing data from patients who withdrew the study due to progression disease (PD), lack of efficacy and AEs without any tumour assessment will be considered as non-responder.</li> <li>Missing data from patients who withdrew the study with reasons other than PD, lack of efficacy and AEs without any tumour assessment will be imputed using multiple imputation method.</li> <li>Missing data from patients who remained in the study but do not have any valid tumour assessment will be imputed using multiple imputation method.</li> <li>In the primary efficacy analysis for the PPS, missing data will not be imputed.</li> </ul>	
8.2.2.2. Secondary Efficacy Analyses	The secondary efficacy endpoints of PFS, OS and DOR will be analysed for PPS and FAS and described in the SAP.	The secondary efficacy endpoints of PFS, OS and DOR will be analysed for PPS and FAS and described in the SAP. PFS and OS will be analysed using the Kaplan-Meier method with median survival time and its 95% CI by treatment. The analysis using the stratified Cox proportional hazard model will be additionally performed to adjust the covariates used in the sensitivity analysis. DOR will be summarised	Clarification

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		using descriptive statistics by treatment.	
8.3. Determination of Sample Size	For the calculation of the equivalence margin, a meta-analysis published by Botrel et al. using all of the four published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered.	For Regarding the calculation of the equivalence margin for the ratio of the best ORR by 24 weeks, a meta-analysis published by Botrel et al. using all of the four published comparative trials that evaluated bevacizumab in combination with chemotherapy (i.e. E4599 [Sandler, 2006], AVAiL (BO17704) [Reck, 2009], AVF0757 [Johnson, 2004], JO19907 [Niho, 2012]) was considered. The overall response rate for Avastin® was reported as 34.9% (133 of 381 patients), 34.7% (114 of 329 patients), 32.4% (11 of 34 patients) and 56.2% (68 of 121 patients) compared to the overall response rate of 15.1% (59 of 392 patients), 21.7% (71 of 327 patients), 18.8% (6 of 32 patients) and 33.9% (20 of 59 patients) for chemotherapy, in E4599, AVAiL, AVF0757 and JO19907 respectively.	Clarification
	The overall ratio of best ORR and the 70% CI from above four studies are calculated to be using the fixed effect method from meta-analysis. Retaining the over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.  For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%,	The overall ratio of the best ORR and the 70% CI from above four studies are calculated to be using the fixed effect method from metanalysis. Retaining the % of the effect of Avastin over the placebo in the lower margin, the equivalence margin of [0.737, 1.357] will be used for the primary analysis with the ratio of the best ORR by 24 weeks.  For the primary analysis with the difference of the best ORR by 24 weeks, the equivalence margin of [-12.5%, 12.5%] will be used due to the similar	Clarification

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	12.5%] will be used due to the similar derivation.	derivation.	
		For the calculation of the equivalence margin for the difference of the best ORR by 24 weeks, E4599 [Sandler, 2006] and AVAiL [Reck, 2010] studies were considered. The overall response rate for Avastin® was reported as 34.9% (133 of 381 patients) and 37.8% (130 of 344 patients), compared to the overall response rate of 15.1% (59 of 392 patients) and 21.6% (75 of 347 patients) for chemotherapy, in E4599 and AVAiL, respectively.  The overall difference in the best ORR and its 95% CI from these two studies are calculated to be [CCI] %, [CCI] %] using the fixed-effect method from meta-analysis, or for 80% CI to be [CCI] %, [CCI] %]. The equivalence margin of [-12.5%, 12.5%] will ensure the superiority of SB8 over placebo with a small safety margin retaining around [CCI] % for 95% CI and [CCI] % for 80% CI of the effect over the placebo in the difference of best ORR.	
13. REFERENCES  APPENDIX 1: ECOG PERFORMANCE STATUS	Avastin® Summary of Product Characteristics (EMEA/H/C/000582 -II/0082). EMEA (Oct 29, 2015). Retrieved on Nov 04, 2015 from <a href="http://www.ema.europa.eu/docs/en_GB/document_library/EPAR-Product_Information/human/000582/WC500029271.pdf">http://www.ema.europa.eu/docs/en_GB/document_library/EPAR-Product_Information/human/000582/WC500029271.pdf</a>	Avastin® Summary of Product Characteristics (EMEA/H/C/000582 -II/0082). EMEA (Oct 29, 2015). Retrieved on Nov 04 Dec 21, 2015 from http://www.ema.europa.eu/docs/en_GB/document_library/EPAR Product_Information/human/000582/WC500029271.pdf	Updated

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							survival wi bevacizums nonsquamo from a rand	Reck M, von Pwel J, Zatloukal P, et al. Overall survival with cisplatin—gemcitabine and bevacizumab or placebo as first-line therapy for nonsquamous non-small-cell lung cancer: results from a randomised phase III trial (AVAiL). Annals of Oncology. 2010; 21: 1804–1809					
APPENDIX 2:	APPENDIX	X 2: LUN	G CANCE	ER STAGIN	G		APPENDIX		G CANCE	ER STAGIN	G (AJCC	C	Updated
LUNG CANCER							7 <sup>TH</sup> EDITIO	ON)					
STAGING													
APPENDIX 3:							Specific CTCAE	Grades for Sel	ected Adverse E				Added a title
NATIONAL	AE	1	2	Grade 3	4	5	AE	1	2	Grade 3	4	5	for the table
CANCER	Neutrophil count decreased Platelet count	< LLN- 1,500/mm <sup>3</sup> < LLN-	< 1,500- 1000/mm <sup>3</sup> < 75.000-	< 1,000-500/mm <sup>3</sup>	< 500/mm <sup>3</sup> < 25.000/mm <sup>3</sup>	-	Neutrophil count decreased	< LLN- 1,500/mm <sup>3</sup> < LLN-	< 1,500- 1000/mm <sup>3</sup> < 75,000-	< 1,000-500/mm <sup>3</sup>	< 500/mm <sup>3</sup> < 25.000/mm <sup>3</sup>	-	Tor the table
INSTITUTE-	decreased	75,000/mm <sup>3</sup>	50,000/mm <sup>3</sup>	25,000/mm <sup>3</sup> ANC <1,000/mm <sup>3</sup>	Life-	- Death	Platelet count decreased	75,000/mm <sup>3</sup>	50,000/mm <sup>3</sup>	25,000/mm <sup>3</sup>	*	-	
COMMON TERMINOLOGY	Febrile			with a single temperature of > 38.3 degrees C (101 degrees F) or	threatening consequences; urgent intervention	Death	Febrile			ANC <1,000/mm <sup>3</sup> with a single temperature of > 38.3 degrees C (101 degrees F) or	Life- threatening consequences; urgent intervention	Death	
CRITERIA FOR ADVERSE	neutropenia	-	-	a sustained temperature of ≥ 38 degrees C (100.4 degrees F) for more than one hour.	indicated		neutropenia	-	-	a sustained temperature of ≥ 38 degrees C (100.4 degrees F) for more than one	indicated		
EVENTS VERSION	AST/ALT	> ULN- 3.0 × ULN	> 3.0-5.0 × ULN	> 5.0-20.0 × ULN	> 20.0 × ULN	-	AST/ALT	> ULN- 3.0 × ULN	> 3.0-5.0 × ULN	hour. > 5.0-20.0 × ULN	> 20.0 × ULN	_	
4.03 (NCI-CTCAE	Blood bilirubin increased	> ULN- 1.5 × ULN	> 1.5-3.0 × ULN	> 3.0-10.0 × ULN	> 10.0 × ULN	-	Blood bilirubin	> ULN- 1.5 × ULN	> 1.5-3.0 × ULN	> 3.0-10.0 × ULN	> 10.0 × ULN	-	
v4.03) (IN PART	ALP	> ULN-2.5 × ULN	> 2.5-5.0 × ULN	> 5.0-20.0 × ULN	> 20.0 × ULN	-	ALP	> ULN-2.5 × ULN	> 2.5-5.0 × ULN	> 5.0-20.0 × ULN	> 20.0 × ULN	-	
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