

CLINICAL STUDY PROTOCOL

A Double-Blind, Randomised, Placebo-Controlled, Parallel-Group Study of AP30663 Given Intravenously for Cardioversion in Patients with Atrial Fibrillation

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1 PROTOCOL APPROVAL SIGNATURES

Protocol Title: A Double-Blind, Randomised, Placebo-Controlled, Parallel-Group Study of AP30663 Given Intravenously for Cardioversion in Patients with Atrial Fibrillation

Protocol Number: AP30663 – 2001

This study will be conducted in compliance with the clinical study protocol (and amendments), International Council for Harmonisation (ICH) guidelines for current Good Clinical Practice and applicable regulatory requirements.

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3 SYNOPSIS

Protocol Number:

AP30663 - 2001

Title:

A Double-Blind, Randomised, Placebo-Controlled, Parallel-Group Study of AP30663 Given Intravenously for Cardioversion in Patients with Atrial Fibrillation.

Investigational Product:

AP30663

Study Centres:

Approximately 15 centres in EU.

Phase:

2a

Objectives:

Primary objective:

- To demonstrate the efficacy of 1 or more dose levels of AP30663 on the basis of the ability to convert atrial fibrillation (AF) after intravenous administration.

Secondary objectives:

- To study the stability of rhythm control (immediate relapse of AF [IRAF], i.e. within 5 min after conversion from AF).
- To study the importance of AF duration with respect to the efficacy and safety of 1 or more dose levels of AP30663.
- To evaluate the safety and tolerability of 1 or more dose levels of AP30663.
- To study the relationship between systemic exposure and response, with special regard to the conversion from AF and the effect on QRS and QTcF.

Exploratory objectives:

- To study demographic and echocardiographic variables, concomitant diseases, and concurrent medication with respect to the efficacy and safety of 1 or more dose levels of AP30663.
- To evaluate the pharmacokinetics (PK) of AP30663, including influence of dose, concomitant medication, concurrent diseases, and demographic variables.
- To explore the proportions of patients on AP30663 converting from AF and of patients randomised to placebo and converting at direct-current (DC) cardioversion.

Study Design:

This is a double-blind, randomised, placebo-controlled, parallel-group Phase 2a study of 1 or more doses of AP30663 for cardioversion in adult patients with AF. The study will be conducted in 2 parts (Part 1 and 2): Part 1 of the study is a fixed randomisation, placebo-controlled, parallel design, and Part 2 will be an adaptive design conducted with 1 or more further doses of AP30663 versus placebo. The study is designed to identify the dose of AP30663 to be evaluated further in later-phase research. Though the study investigates multiple doses of AP30663 versus placebo, the participating patients will be randomised to receive one dose of AP30663 (i.e. patients will not receive multiple dose levels).

In Part 1 of the study, up to 36 patients will be randomised on Day 1 in a fixed 1:1 ratio to receive AP30663 at 3 mg/kg or matching placebo as a single 30-minute intravenous infusion. Their participation will last until the

completion of their follow-up visit at Day 30. An interim analysis including efficacy, PK/PD, safety and tolerability data analyses will be conducted once the randomised patients have completed Day 2 assessments or terminated the study, to determine the starting dose(s) for Part 2 of the study. An independent data monitoring committee (DMC) will be convened to review the accumulating unblinded efficacy (including ECG and Holter ECG), PK/pharmacodynamics (PD), safety and tolerability data for the study collected up to the time of the interim analysis (IA).

Part 2 of the study is an adaptive design, conducted in a minimum of 18 and a maximum of 72 patients with 1 or more doses of AP30663 (Dose [d] where $d = 2, 4, 5$, or 6 mg/kg) or placebo ($d = 0$). The maximum dose of 6 mg/kg AP30663 should not be exceeded under any circumstances, and the doses assessed during Part 2 will depend upon the cumulative results achieved across both Part 1 and Part 2. Based on the results seen at the end of Part 1 IAs and the decision made by the DMC regarding efficacy, safety and tolerability, the 3 mg/kg dose arm of AP30663 will be stopped, a 5 mg/kg dose arm of AP30663 will be opened and if high efficacy is seen (AF conversion rate > 0.65) then a 2 mg/kg AP30663 arm will also be opened. During Part 2, patients will be randomised in a ratio of 2:1 cumulatively in cohorts of 18 patients to a number of pre-defined doses of AP30663 versus placebo. After each cohort of 18 randomised patients has completed Day 2 assessments or terminated the study, an IA will be conducted to assess the AF conversion rate and subsequent dose arms to be assessed, with the following rules applied:

- If an open Dose d has at least 10 randomised patients who have completed Day 2 assessments, and satisfies: $(P_d > 0.65) \geq 0.90$ then Dose d will be closed for sufficient efficacy response.
 - If the dose one level below this dose has never been opened, then dose d-1 is opened.
- If an open Dose d has at least 10 randomised patients who have completed Day 2 assessments, and satisfies $(P_d > 0.65) < 0.10$ then Dose d will be closed.
 - If Dose d was the largest dose thus far open, and Dose d is $d < 6$, then dose d+1 will be opened.

During Part 2, IAs will occur when 18, 36, and 54 patients have been randomised to open-doses including placebo, and have completed Day 2 assessments or terminated the study.

For Part 2, the DMC will give their recommendations regarding continuation or early termination of the study. Decision rules will be based on the AF conversion rate; however the DMC will also have the option to stop a dose arm (or the study) due to unacceptable safety and tolerability (including but not limited to increase in QTcF interval and number and severity of local injection site reactions), to be reviewed at fixed time points (see DMC Charter). Provided the study is not stopped at the end of Part 1 IA, Part 2 will include a minimum of 18 and a maximum of 72 additional patients.

Data monitoring committee

An independent DMC will be convened to review the unblinded data from the IA and to provide recommendations for Part 2 (see above). The DMC will also review accumulating efficacy, PK/PD, safety and tolerability data obtained at a subsequent IA in Part 2 of the study at defined time points. The safety and tolerability signal includes but not limited to increase in QTcF interval and number and severity of local injection site reactions.

Number of Patients:

Approximately 41, 62, 82, 103, or 123 patients will need to be screened to achieve up to 36, 54, 72, 90, or 108 randomised patients, respectively, who have completed Day 2 assessments or terminated the study, depending on the study design and recommendations of the DMC at each of the IAs. The number of patients screened is based on a screen failure rate of 12%.

Treatment:

In Part 1, study treatment will either be AP30663 3 mg/kg or matching placebo, randomised in a 1:1 ratio; both will be administered as an intravenous infusion for 30 min on Day 1. The DMC may either recommend to stop the study at the end of Part 1 IA, or may recommend to increase the dose of AP30663 to 4, 5, or 6 mg/kg , or

decrease to 2 mg/kg, alongside placebo during Part 2 of the study, dependent on the pre-defined decision criteria for efficacy and/or safety and tolerability review.

Study Duration:

Study drug infusion is planned for 30 min for each patient.

The overall study duration for each patient is up to a maximum of 42 days. The screening and randomisation will occur within 7 days (inclusive) from onset of AF. The duration of treatment and post-treatment follow-up will be 30 days \pm 5 days from start of infusion to the follow-up visit.

Study Population:

Inclusion criteria:

1. Provision of written informed consent.
2. Clinical indication for cardioversion of atrial fibrillation.
3. Current episode of symptomatic atrial fibrillation lasting between 3 h and 7 days inclusive at randomisation.
4. Adequate anticoagulation according to international and/or national guidelines.
5. Body weight 50 to 110 kg inclusive (with clothes, without shoes).
6. Male patients and postmenopausal women aged 18 to 80 years inclusive.
 - Male patients who are sexually active must agree to abstain from sexual activity or be willing to use a double-barrier method of birth control (i.e. any double combination of male or female condom with spermicidal gel, diaphragm, sponge or cervical cap with spermicidal gel) if they become sexually active from the time of consent and for 90 days after the infusion day.
 - Post-menopausal women are defined as being >12 months after last menstrual period.
 - Women can also be included if permanently sterilised since ≥ 6 weeks (i.e. documented hysterectomy, bilateral salpingectomy, bilateral oophorectomy). Breastfeeding women are excluded.

Exclusion criteria:

1. Significant clinical illness or surgical procedure within 4 weeks preceding the screening visit.
2. Present renal dysfunction (estimated glomerular filtration rate [eGFR] <30 mL/min), hepatic dysfunction (alanine aminotransferase [ALT] or aspartate aminotransferase [AST] >3 \times upper limit of normal), or uncontrolled hyperthyroidism or hypothyroidism.
3. History of significant mental, renal or hepatic disorder, chronic obstructive pulmonary disease or other significant disease, as judged by the investigator.
4. Any cardioversion attempt of AF or atrial flutter within 1 week preceding randomisation.
5. Prior failed attempt (no conversion) of pharmacological or DC cardioversion of previous or current AF episode.
6. Failure to find a large antecubital (or equivalent) vein for the infusion.
7. Any of the following events, or any other significant cardiovascular event as judged by the investigator, during the last 6 weeks before randomisation: myocardial infarction, unstable angina pectoris or other signs of myocardial ischaemia, stroke or transient ischaemic attack, myocardial revascularisation (percutaneous coronary intervention [PCI], coronary artery bypass graft [CABG]), or other revascularisation procedure.
8. Haemodynamically unstable condition as judged by the investigator; systolic blood pressure (BP) <90 mm Hg or >180 mm Hg, or diastolic BP >105 mm Hg at randomisation.
9. Blood haemoglobin <100 g/L at screening.
10. Congestive heart failure New York Heart Association class III or IV. Left ventricular ejection fraction <40% on echocardiography, or other clinically significant abnormality on the echocardiogram (not older than 6 months) as judged by the investigator.
11. Known hypertrophic cardiomyopathy or significant left ventricular hypertrophy (free wall or septal thickness >13 mm).

12. Any clinically significant valvular heart disease.
13. History or previous signs of sinus nodal disease.
14. Pacemaker or implantable cardioverter defibrillator therapy.
15. Personal or family history of Torsades de Pointes, any other polymorphic ventricular tachycardia, sustained ventricular tachycardia, long QT syndrome, and/or Brugada syndrome.
16. QTc (Fridericia, QTcF) interval >450 ms at randomisation. (When measured during AF, the mean heart rate should be 50 to 100 bpm. The QTcF should be calculated at AF as the mean of at least 5 consecutive RR intervals with consecutive QT intervals).
17. QRS duration >120 ms at randomisation.
18. Known atrioventricular (AV)-block I (prolonged PQ [PR] interval >220 ms), AV-block II, AV-block III, or complete bundle branch block (BBB).
19. Potassium in serum below 3.5 or above 5.3 mmol/L at randomisation. Patients with low potassium levels at screening may be appropriately supplemented with potassium before baseline, according to the local standards. A re-test of the potassium level is required, and the patient can be randomised after the potassium has returned to reference range.
20. Anticipated change in dose or initiation of loop diuretic from screening to the end of infusion.
21. Use of any antiarrhythmic drug class I and/or III within 7 days or, for amiodarone specifically, 12 weeks before randomisation.
22. Use of QT-prolonging drug, and/or drug that inhibits cytochrome P450 (CYP)3A4, as well as St John's Wort within 10 days before randomisation.
23. Administration of an investigational drug within the preceding 3 months before randomisation.
24. Administration of AP30663 at any time before randomisation.
25. History of drug addiction and/or alcohol abuse within the last 12 months at the discretion of the investigator.
26. Blood or plasma donation within the preceding 4 weeks before randomisation.
27. Any suspected or manifested clinically significant infection as judged by the investigator.
28. Involvement in the planning and conduct of the study (applies to Acesion Pharma staff, Syneos Health staff, and staff at the investigational site).
29. Clinical judgement by the investigator that the patient should not participate in the study.
30. Any malignant cancer within 3 years (except for successfully treated in-situ non-melanoma skin cancer and in-situ cervical cancer) of signing the informed consent form (ICF).

Primary Endpoint:

- The proportion of patients that have converted from AF within 90 min from the start of infusion and subsequently have no AF recurrence within 1 min of conversion from AF.

Secondary Endpoints:

Efficacy

- The time to conversion from AF from start of infusion.
- The proportion of patients with relapse of AF within 5 min (IRAF) after pharmacological or DC cardioversion.
- The proportion of patients in SR at 3 h \pm 1 h after start of infusion.
- The proportion of patients in SR at 24 h \pm 2 h after start of infusion.
- The proportion of patients in SR at 30 days \pm 5 days after start of infusion.

Safety

- Adverse events (AEs), electrocardiogram (ECG) variables including significant arrhythmia, physical examination, vital signs, and laboratory evaluations.

- Changes in QTcF interval data over time.

Pharmacokinetics

- Systemic exposure derived from the population PK model.
- Population PK model parameter estimates derived from plasma concentrations of AP30663.

Efficacy:

Efficacy assessments include conversion from AF, which will be determined by the investigator. Holter will be used to record ECG continuously, to detect conversion from AF as well as to monitor sustainability of the rhythm after conversion.

Pharmacokinetics:

Venous blood sampling will be performed to determine plasma concentrations of AP30663 pre- and post-infusion at each time point.

Safety:

Safety assessments include review of AEs, clinical laboratory evaluations, vital signs (BP, heart rate and heart rhythm from ECG), physical examination, ECG and concomitant medications.

Laboratory assessments include haematology (haemoglobin, haematocrit, red blood cells [erythrocytes], white blood cells [leukocytes, total and differential], basophils, eosinophils, lymphocytes, monocytes, neutrophils, and platelets); clinical chemistry (alanine aminotransferase [ALT], aspartate aminotransferase [AST], bilirubin [direct, indirect, total], creatinine, gamma-glutamyltransferase, glucose [random], estimated glomerular filtration rate [eGFR], urea [blood urea nitrogen, BUN], magnesium, sodium, calcium, and potassium). Other laboratory variables determined are international normalised ratio (INR)/activated partial thromboplastin time (APTT) and thyroid stimulating hormone (TSH).

ECG used for safety assessments comprises 12-lead digital ECG, telemetry, and Holter.

Statistical Analysis:

Continuous data will be presented using descriptive summaries (e.g. mean, standard deviation, minimum, maximum, median, lower quartile, and upper quartile). Categorical data will be presented using the number of observations and relative (%) frequency.

Unless otherwise stated, the baseline value for any parameter will be the latest non-missing value taken prior to the infusion of study treatment on Day 1. Pre-infusion Day 1 assessments will be included in this derivation of baseline.

For the analysis of the primary endpoint (proportion of patients who have cardioversion within 90 min from the start of infusion) a Bayesian model will be utilised. The prior probability of success at a dose, d , is modelled with a Beta prior (uniform) for each dose. The posterior distribution is calculated for each dose independently using a Beta posterior distribution, $P_d \sim \text{Beta}(1 + X_d, 1 + N_d - X_d)$.

This modelling will occur for each interim analysis, and at the final analysis as primary method. At the conclusion of the study, each AP30663 dose will be considered to be superior to placebo, if the posterior probability of AP30663 dose has a higher success rate than placebo is greater than 0.95.

Further to this, for the final analysis, the primary endpoint will also be analysed by means of a logistic regression model. Relevant covariates at baseline may be added into the model after reviewing baseline imbalances.

Secondary endpoints relating to proportions will be analysed using a logistic regression model in a similar manner to that of the primary endpoint. Time to conversion will be analysed using Kaplan-Meier method and, if met, the median time to conversion will be presented, along with the corresponding Kaplan-Meier plot stratified by treatment group.

Interim analysis

The study is conducted in 2 parts (Part 1 and 2). For all interim analyses (IAs) during the study, a separate unblinded biostatistical team will prepare and present the unblinded analysis, and the DMC will be convened to review the data and will give their recommendations pertaining to continuation or early termination of the study, and as well as whether or not to increase/decrease the dose per the algorithm below and/or any other available data.

The first IA will be conducted once all randomised patients have completed Day 2 assessments or terminated the study. The DMC will be convened to review unblinded data on the primary efficacy endpoint, PK/PD, safety and tolerability data during this IA.

If the DMC decides that the study should continue, then Part 2 of the study will be enacted. During Part 2, IAs will be conducted when 18, 36, and 54 randomised have completed Day 2 assessments or terminated the study.

For each IA, the success rate for any single dose level d , P_d , will be analysed using a Bayesian model, and the decision criteria as stated above will be implemented. The study will continue until all doses of AP30663 have been closed or the maximum of 72 patients during Part 2 (up to 108 patients total across Parts 1 and 2) have been enrolled.

Interim Analysis at End of Part 1:

During the first IA the following will occur, regardless of the response seen for the AP30663 3 mg/kg dose:

- Dose 3 (3 mg/kg AP30663) will be stopped.
- Dose 5 (5 mg/kg AP30663 versus placebo) will be opened.

Additionally, the following decision will be made dependent on the response rate seen for 3 mg/kg AP30663:

- If the proportion of patients achieving a response under AP30663 3 mg/kg is > 0.65 then Dose 2 (2 mg/kg AP30663) will additionally be opened for Part 2 (in parallel to Dose 5).

Interim Analyses during Part 2:

Interim analyses will occur when 18, 36, and 54 randomised patients have completed Day 2 assessments or terminated the study in Part 2. At these IAs the following rules will be utilised:

- If an open Dose d has at least 10 randomised patients having completed Day 2 assessments and satisfies: $(P_d > 0.65) \geq 0.90$ then Dose d will be closed for sufficient efficacy response.
 - If the dose one level below this dose has never been opened, then dose $d-1$ is opened.
- If an open Dose d has at least 10 randomised patients having completed Day 2 assessments and satisfies $(P_d > 0.65) < 0.10$ then Dose d will be closed.
 - If Dose d was the largest dose thus far open, and Dose d is $d < 6$, then dose $d+1$ will be opened.

The study will continue until all doses of AP30663 have been closed or the maximum of 72 patients during Part 2 (up to 108 patients total across Part 1 and Part 2) have been enrolled.

While the algorithm, and the DMC's decision for dose increase/decrease, is based on AF conversion rate only, the DMC will also convene to review safety and tolerability data at defined time points; review of this data may also lead to further decisions being made by the DMC.

Further details of the IAs and the decision rules to be implemented will be detailed in the statistical analysis plan (SAP) and the DMC Charter.

Sample size

The study is proof of concept, designed to allow for a considered testing of a range of doses of AP30663, compared with placebo. Based on the study design, the sample size for randomisation will range from up to 36 patients (for Part 1) to up to 108 patients (for Parts 1 and 2 cumulatively). The total sample size is dependent on the AP30663 dose arms opened on the decision of the DMC. A total of 4 IAs and a final analysis are

included in the design, and so it is foreseen that the total number of randomised patients will be up to 36, 54, 72, 90, or 108 to have sufficient numbers of patients evaluable for AF conversion at each IA.

The adaptive design utilises the probability that the response rate at a dose d is greater than a success rate of 65% ($P_d > 0.65$, where d is the dose arm of AP30663), based on a Bayesian modelling procedure.

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5 LIST OF ABBREVIATIONS

AE	Adverse event
AERP	Atrial effective refractory period
AF	Atrial fibrillation
ALT	Alanine aminotransferase
APTT	Activated partial thromboplastin time
AST	Aspartate aminotransferase
AUC	Area under the concentration time curve
AV	Atrioventricular
BBB	Bundle branch block
BP	Blood pressure
bpm	Beats per minute
BUN	Blood urea nitrogen
Ca	Calcium
CABG	Coronary artery bypass graft
C _{max}	Observed peak plasma concentration
CYP	Cytochrome P450
DC	Direct-current
DMC	Data monitoring committee
ECG	Electrocardiogram
eCRF	Electronic case report form
EDC	Electronic data capture
eGFR	Estimated glomerular filtration rate
EMA	European Medicines Agency
EU	European Union
FDA	Food and Drug Administration
GCP	Good Clinical Practice
GGT	Gamma-glutamyltransferase
GLP	Good Laboratory Practice
IC ₅₀	Half maximal inhibitory concentration
ICF	Informed consent form
ICH	International Council for Harmonisation
IEC	Independent ethics committee
INR	International normalised ratio
IRAF	Immediate relapse of atrial fibrillation

IWRS	Interactive web randomisation system
Kel	Elimination rate constant
LS	Least squares
LV	Left ventricle
LVEF	Left ventricular ejection fraction
MedDRA	Medical Dictionary for Regulatory Activities
MTD	Maximum tolerated dose
NOAEL	No observed adverse effect level
PCI	Percutaneous coronary intervention
PD	Pharmacodynamics
PK	Pharmacokinetic
QTc	Corrected QT interval
SAE	Serious adverse reaction
SAP	Statistical analysis plan
SD	Standard deviation
SOC	System organ class
SOP	Standard operating procedure
SR	Sinus rhythm
SUSAR	Suspected unexpected serious adverse reaction
$t_{1/2}$	Terminal half-life
TEAE	Treatment-emergent adverse event
T_{max}	Time at which C_{max} occurs
TSH	Thyroid stimulating hormone
TTE	Transthoracic echo
WHO	World Health Organisation

6 INTRODUCTION

Atrial fibrillation (AF) is the most common cardiac arrhythmia (irregular heart beat) and is characterised by chaotic electrical signals in the upper chambers of the heart. This is seen as a very rapid frequency and malfunctioning contraction in the atria that may lead to impaired quality of life, reduced work productivity, and increased rate of hospitalisation. A severe consequence of AF is a 5-fold increased risk of stroke and 1.9-fold increased mortality. AF-related strokes account for up to 20% of all strokes and are therefore a major cause of this debilitating and often fatal disease. AF has reached epidemic proportions and affects up to 5 million people in the European Union (EU). Prevalence of AF has grown to 2% of the population, double that reported in the last decade, and the estimated number of patients with AF in 2030 in the EU will have grown to 14 to 17 million (Zoni-Berisso et al. 2014). Risk of AF increases with age, and approximately 70% of patients suffering from AF are between 65 and 85 years of age (Feinberg et al. 1995). The latest European AF treatment guidelines from the European Society of Cardiology (Kirchhof et al. 2016) favour the principle “safety first”, implying that the recommended first-line agents are only moderately effective. There is currently no gold standard treatment for AF in the EU and a large unmet medical need exists for developing and introducing novel compounds for treating the increasing number of AF patients.

AP30663 is being developed by Acesion Pharma for the conversion of AF by a 30-minute intravenous infusion in patients with episodes of AF. AP30663 is a small molecule drug product candidate able to inhibit the small-conductance Ca^{2+} -activated potassium channels (SK channels), a novel and functionally atrial-selective ion channel target for the treatment of AF.

Mechanistically, AP30663 inhibits human SK channels at sub-micromolar concentrations with an in vitro half maximal inhibitory concentration (IC_{50}) (SK3) of 0.77 μM . In pigs, AP30663 has demonstrated significant and pronounced prolongation of the atrial effective refractory period and ability to convert AF to normal sinus rhythm (SR) while at the same time being well tolerated and not prolonging the QT interval significantly.

Pharmacokinetic (PK) studies in landrace pigs, as well as the Good Laboratory Practice (GLP) safety studies conducted in rat and minipig, demonstrate that AP30663 is rapidly distributed in plasma after start of infusion and that an apparent steady-state plasma level is reached well before the end of the 30- to 60-minutes continuous infusion. Immediately after the end of the infusion, the plasma levels drop rapidly due to the kinetics of the distribution phase. From a safety-risk assessment viewpoint, this fast and significant drop of plasma concentration after termination of the infusion is considered a means to help effectively minimise transient C_{max} -related adverse effects, should they occur.

Binding of AP30663 to plasma proteins has been determined in vitro for a number of species and plasma protein binding of 93.2% was determined in human plasma. In comparison, plasma protein binding in the 2 GLP safety-toxicology species rat and

minipig were 92.6% and 79.9%, respectively. For comparison, the plasma protein binding in landrace pigs was 86.4%.

The AP30663 drug product is a concentrate for solution for infusion containing 200 mg/mL AP30663. The drug concentrate should be stored in the original container at -15°C to -25°C until 30 to 60 min before start of preparation. The drug concentrate is diluted with sterile 5% glucose solution for infusion to a concentration of 2 mg/mL prior to administration. The final solution for infusion must be stored at room temperature and must be used within 24 h after start of preparation.

In the conducted GLP toxicology programme, AP30663 was not associated with any adverse toxicity in the doses and exposures tested, except for infusion site reactions in the rat. The single dose maximum tolerated dose (MTD) in minipigs was defined as 40 mg/kg, at which dose one episode of convulsion appeared in one minipig 50 min into an intended 60-minute infusion period. In rats, the single dose MTD was defined as 90 mg/kg based on a combination of signs and symptoms, i.e. decreased activity, piloerection, semi-closed eyes, and dyspnoea.

The no observed adverse effect level (NOAEL) in minipig after 2 weeks of daily single dose intravenous treatment with AP30663 was 25 mg/kg/day, which was the highest dose tested.

In rats, daily 1-hour infusions for 2 weeks caused a reversible dose-related local infusion site inflammation at all dose levels, with associated lung inflammation and thromboembolism. No other toxicity of human relevance was observed up to the highest dose tested, 90 mg/kg/day, which was considered the NOAEL for toxicity not related to the local infusion site reactions.

The maximally tolerated exposure in minipigs and rats appeared to be comparable, meaning that the 2 species may be regarded as similarly sensitive. A total (free and bound) exposure level (C_{max}) of approximately 11,000 ng/mL was seen at the highest dose in both minipigs (25 mg/kg) and rats (90 mg/kg), and this dose level should not be exceeded in humans unless carefully evaluated.

Two phase 1 Single Ascending Dose trials investigating the safety and tolerability of AP30663 in healthy male subjects at doses up to 8 mg/kg have been completed. The trials showed that the infusion with AP30663 caused mild and transient infusion site reactions as well as prolongation of the QTcF-interval but was otherwise well tolerated at all administered dose levels. The effect on QTcF was dose and plasma concentration related with a peak estimated mean QTcF prolongation of 45.2 ms for the dose of 6 mg/kg. The QTcF effect was transient and a return to baseline level was observed 8 hours after the start of administration for the 6 mg/kg dose, consistent with low plasma concentrations at this timepoint.

No effects were found on heart rate, blood pressure or other ECG markers. No CNS effects were found, including based on a sensitive assessment of tremors (tremorography).

The aim of this study is to show proof of concept, (i.e. the ability of AP30663 to terminate ongoing AF episodes) and 1 or more dose levels will be compared with placebo.

7 STUDY OBJECTIVES AND ENDPOINTS

7.1 Study Objectives

7.1.1 Primary Objective

The primary objective of the study is to demonstrate the efficacy of 1 or more dose levels of AP30663 on the basis of the ability to convert AF after intravenous administration.

7.1.2 Secondary Objectives

The secondary objectives of the study are as follows:

- To study the stability of rhythm control (immediate relapse of AF [IRAF], i.e. within 5 min after conversion from AF).
- To study the importance of AF duration with respect to the efficacy and safety of 1 or more dose levels of AP30663.
- To evaluate the safety and tolerability of 1 or more dose levels of AP30663.
- To study the relationship between systemic exposure and response, with special regard to the conversion from AF and the effect on QRS and QTcF.

7.1.3 Exploratory Objectives

The exploratory objectives of the study are as follows:

- To study demographic and echocardiographic variables, concomitant diseases, and concurrent medication with respect to the efficacy and safety of 1 or more dose levels of AP30663.
- To evaluate the PK of AP30663, including influence of dose, concomitant medication, concurrent diseases, and demographic variables.
- To explore the proportions of patients on AP30663 converting from AF and of patients randomised to placebo and converting at direct-current (DC) cardioversion.

7.2 Study Endpoints

7.2.1 Primary Endpoint

The proportion of patients that have converted from AF within 90 min from the start of infusion and subsequently have no AF recurrence within 1 min of conversion from AF.

7.2.2 Secondary Endpoints

7.2.2.1 Efficacy Endpoints

- The time to conversion from AF from start of infusion.
- The proportion of patients with relapse of AF within 5 min (IRAF) after pharmacological or DC cardioversion.
- The proportion of patients in SR at 3 h \pm 1 h after start of infusion.
- The proportion of patients in SR at 24 h \pm 2 h after start of infusion.
- The proportion of patients in SR at 30 days \pm 5 days after start of infusion.

7.2.2.2 Safety Endpoints

- Adverse events (AEs) and electrocardiogram (ECG) variables, including significant arrhythmia, physical examination, vital signs, and laboratory evaluations.
- Changes in QTcF interval data over time.

7.2.2.3 PK Endpoints

- Systemic exposure derived from the population PK model.
- Population PK model parameter estimates derived from plasma concentrations of AP30663.

7.2.3 Exploratory Endpoints

- Correlation of drug exposure (plasma C_{max} and area under the concentration time curve [AUC]) and the time of conversion.
- Correlation of atrial size and conversion rate.
- Correlation of atrial size to relapse or failure.
- Correlation of gender and age and conversion rate.
- Correlation of duration of current AF, conversion rate and duration of SR after conversion.

8 INVESTIGATIONAL PLAN

8.1 Overall Study Design and Plan: Description

This is a double-blind, randomised, placebo-controlled, parallel-group Phase 2a study of 1 or more doses of AP30663 for cardioversion in adult patients with AF. The study will be conducted in 2 parts (Part 1 and 2): Part 1 of the study is a fixed randomisation placebo-controlled parallel design, and Part 2 will be an adaptive design conducted in 1 or more further doses of AP30663 versus placebo. The study is designed to identify the dose of AP30663 to be evaluated further in later-phase research.

For both parts of the study, qualified patients will be randomised (Day 1) as soon as it is practically possible after providing their written informed consent and completion of screening procedures, but no later than 7 days after onset of the AF episode. The study drug (AP30663 or placebo) will be administered as a single intravenous infusion for 30 min on Day 1. Administration should take place as fast as possible after randomisation, and preferably no later than 12 h after randomisation. Patients may be discharged from the study site after all Day 1 procedures are completed. They will return to the study site the following day (Day 2) and for a follow-up visit on Day 30.

In Part 1 of the study, up to 36 patients will be randomised in a 1:1 ratio to receive AP30663 at 3 mg/kg or matching placebo. Their participation will last until the completion of their follow-up visit at Day 30. An interim analysis (IA) will be conducted once the randomised patients in Part 1 have completed Day 2 assessments or terminated the study. An independent data monitoring committee (DMC) will be convened to review the unblinded efficacy (including ECG and Holter ECG), PK/PD, safety and tolerability data during this IA. The recommendations of the DMC at the IA will determine the design and starting dose(s) for assessment during Part 2 of the study; the DMC may also recommend that the study to be stopped after Part 1.

Part 2 of the study is an adaptive design, conducted in a minimum of 18 and a maximum of 72 patients, in 1 or more doses of AP30663 (Dose [d] where $d = 2, 4, 5$, or 6 mg/kg) or placebo ($d = 0$). The maximum dose of 6 mg/kg AP30663 should not be exceeded under any circumstances, and the doses assessed during Part 2 will depend upon the cumulative results achieved across both Part 1 and Part 2. The IAs during Part 2 will occur after cohorts of 18 randomised patients have completed Day 2 assessments or terminated the study; thus, IAs will occur when 18, 36, and 54 randomised patients have completed Day 2 assessments or terminated the study (i.e. up to 54, 72, 90, and 108 patients cumulatively).

During the enrolment period, an IA may be planned on a reduced cohort if preliminary blinded safety and efficacy data indicate that current enrolment is sufficient for the DMC to provide a recommendation for Part 2.

During Part 2, dose decisions made by the DMC at each IA is based primarily on the AF conversion response. Any efficacy criteria assessed at each IA will also be

supplemented with safety review by the DMC, at aligned timelines with the IAs described. Further details of DMC review will be provided in the DMC Charter.

Randomisation during Part 2 of the study will be in 2:1, where all active arms will be randomised equally and cumulatively. The potential doses of AP30663 that could be assessed (randomised to) during Part 2 are 2, 4, 5, or 6 mg/kg (in addition to placebo). For example, if Doses 2 and 5 are open then randomisation will be 1:1:1 for Dose 2: Dose 5: Placebo for the cohort of 18 patients to be enrolled (i.e. 2:1 in total for AP30663 versus Placebo). If 1 dose of AP30663 is open versus placebo, then the randomisation will be 2:1.

Algorithm for Dose Assessment During Part 2

The following algorithm will be used for selection of dose levels of AP30663 during Part 2 of the study. For each analysis, the success rate for any single dose level d , P_d , will be analysed using a Bayesian model, whereby the prior probability of success at a dose d is modelled with a uniform Beta prior, and the posterior distribution is modelled for each dose independently using a Beta posterior distribution. The design utilises the probability that the response rate at a dose is greater than a 65% success rate ($P_d > 0.65$). Further details are provided in [Section 17.3](#).

Interim Analysis at End of Part 1:

During the first IA, conducted once up to 36 patients randomised in Part 1 have completed Day 2 assessments or terminated the study, the following will occur, regardless of the response seen for the AP30663 3 mg/kg dose:

- Further randomisation to Dose 3 (3 mg/kg AP30663) will be stopped.
- Dose 5 (5 mg/kg AP30663 versus placebo) will be opened.

Additionally, the following decision will be made dependent on the response rate seen for 3 mg/kg AP30663:

- If the proportion of patients achieving a response with AP30663 3 mg/kg is > 0.65 then Dose 2 (2 mg/kg AP30663) will additionally be opened for Part 2 (in parallel to Dose 5).

Interim Analyses During Part 2:

Interim analyses will occur when 18, 36, and 54 randomised patients have completed Day 2 assessments or terminated the study in Part 2. At these IAs the following rules will be utilised:

- a) If an open Dose d has at least 10 randomised patients who have completed Day 2 assessments and satisfies: $(P_d > 0.65) \geq 0.90$ then Dose d will be closed for sufficient efficacy response.
 - If the dose one level below this dose has never been opened, then dose $d-1$ is opened.

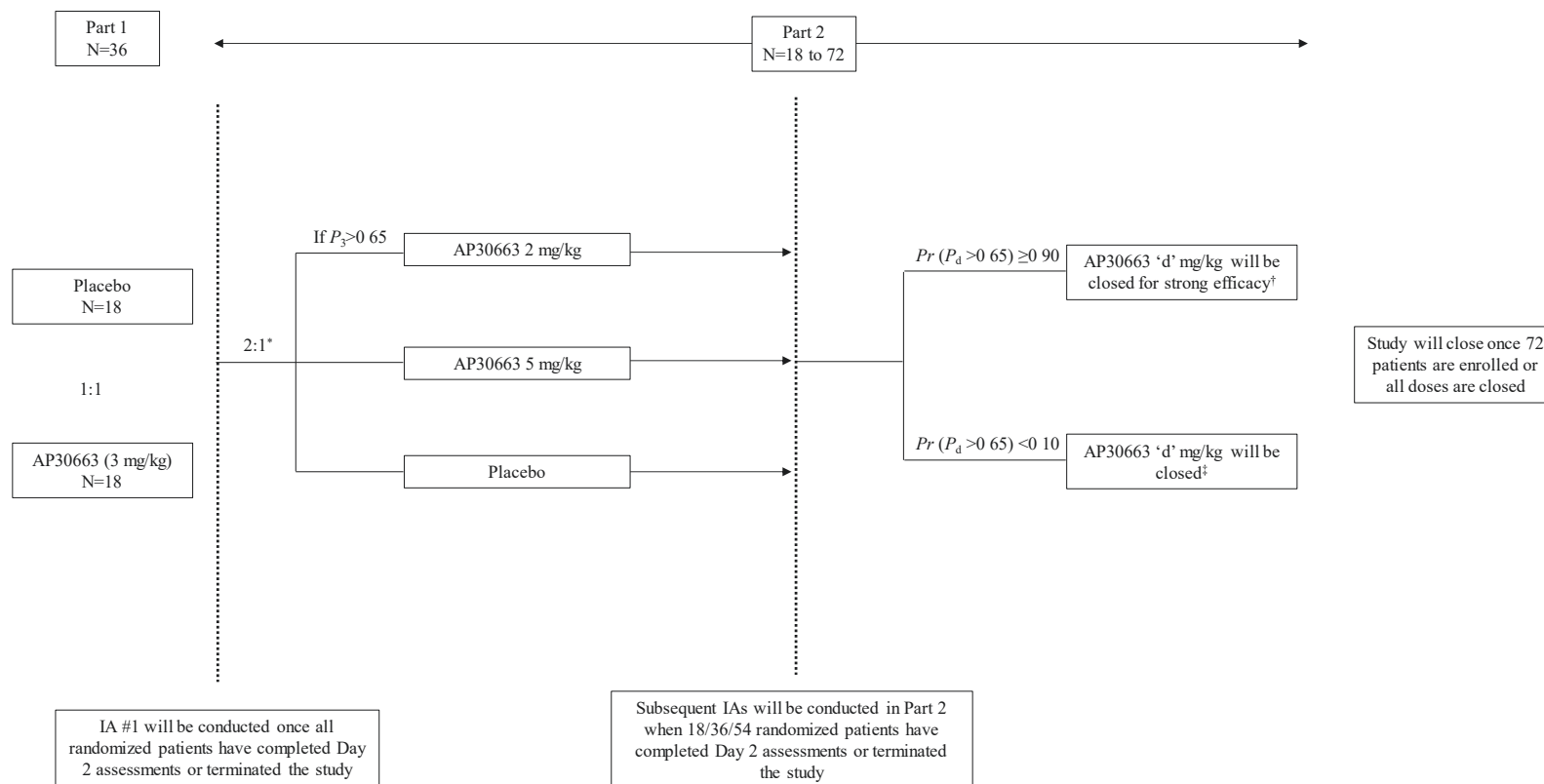
- b) If an open Dose d has at least 10 randomised patients who have completed Day 2 assessments and satisfies $(P_d > 0.65) < 0.10$ then Dose d will be closed.
- If Dose d was the largest dose thus far open, and Dose d is < 6 mg/kg, then dose d+1 will be opened.

During Part 2, all active doses will be equally randomised; for each of these IAs, randomisation will occur 2:1 across all open AP30663 doses combined versus placebo. For further details/examples of decision scenarios during Part 2, please see [Section 17.3](#).

The study will continue until all doses of AP30663 have been closed or the maximum of 72 patients during Part 2 (up to 108 patients total across Parts 1 and 2) have been enrolled. Further details of the IAs and the decision rules to be implemented will be detailed in the statistical analysis plan (SAP).

8.1.1 Study Design

Figure 1 Study Schema



Abbreviations: N=number of patients enrolled, P_3 =conversion ratio at 3 mg/kg, P_d =conversion ratio at d mg/kg, Pr =probability.

*All active AP30663 arms will be randomised equally for each interim analysis; randomisation will occur cumulatively in 2:1 ratio to receive either AP30663 or placebo across all open AP30663 arms.

†If one level below this dose (d-1) has never been opened, then dose d-1 will be opened

‡If dose 'd' is the highest dose opened so far, and dose 'd' is <6 mg/kg, then dose 'd+1' will be opened.

8.1.2 Schedule of Assessments

The schedule of the planned study assessments during Part 1 is shown in the following table. The planned study assessments during Part 2 are same to those that are planned for Part 1.

	Screening (within 7 days of onset of AF)	Day 1	Day 1	Day 1 ^a	Day 2	Day 30 (± 5 days) Follow-up/ Discontinuation Visit
		Baseline (pre-infusion)	During infusion	Post-infusion		
Informed consent	X					
Inclusion/exclusion criteria	X	X				
Demographics	X					
Medical/surgical history	X					
Concomitant medications	X	X	X	X	X	X
Physical examination ^b	X					
Brief physical examination ^c		X				X
Vital signs (BP, heart rate, heart rhythm from ECG)	X	X	X	X	X	X
Weight & height	X					
Haematology ^d	X	X ^e				X
Clinical chemistry ^f	X	X ^e			X	X
Serum magnesium (Mg ⁺⁺), sodium (Na ⁺), calcium (Ca ⁺⁺)	X					
Serum potassium (K ⁺) ^h	X					
Urea (BUN)	X					X
INR/APTT ^g	X	X ⁱ				X
TSH	X ^j					
Randomisation ^k		X				
Study drug administration ^l			X			

	Screening (within 7 days of onset of AF)	Day 1	Day 1	Day 1 ^a	Day 2	Day 30 (± 5 days) Follow-up/ Discontinuation Visit
		Baseline (pre-infusion)	During infusion	Post-infusion		
PK sampling ^m		X	X	X	X	
12-lead ECG (digital)	X	X ⁿ	X ⁿ		X ⁿ	X
Holter ^o		◀-----▶				
Telemetry ^o		◀-----▶				
Transthoracic echo (TTE) ^{p,q}	X					
Electrical cardioversion				X ^r		
AE/SAE ^s	X	X	X	X	X	X

Abbreviations: AE = adverse event, AF = atrial fibrillation, ALT = alanine aminotransferase, APTT = activated partial thromboplastin time, AST = aspartate aminotransferase, BP = blood pressure, BUN = blood urea nitrogen, ECG = electrocardiogram, eGFR = estimated glomerular filtration rate, GGT = gamma-glutamyltransferase, ICF = informed consent form, INR = international normalised ratio, LV = left ventricle, LVEF = left ventricular ejection fraction, PK = pharmacokinetic, SAE = serious adverse event, TSH = thyroid stimulating hormone

- a Patients may be discharged after all Day 1 procedures are completed and will return to the study site for a visit the following day (Day 2)
- b Physical examination includes general appearance, head, ears, eyes, nose, throat, cardiovascular, respiratory, gastrointestinal, dermatological, neurological, musculoskeletal, lymphatic, and other at the discretion of the investigator.
- c Brief physical examination includes general appearance, cardiovascular, respiratory, gastrointestinal, and other at the discretion of the investigator.
- d Haematology parameters include haemoglobin, haematocrit, red blood cells (erythrocytes), white blood cells (leukocytes, total and differential), basophils, eosinophils, lymphocytes, monocytes, neutrophils, and platelets.
- e Additional haematology and clinical chemistry parameters will be evaluated if not already assessed <72 h before start of infusion.
- f Clinical chemistry parameters include ALT, AST, bilirubin creatinine, GGT, glucose (random), and eGFR.
- g Only one coagulation parameter (either INR or APTT) is required for an individual patient. The same coagulation parameter should be consistently analysed throughout the study in an individual patient.
- h Patients with low potassium levels at screening may be supplemented with potassium. A retest is required before randomisation.
- i To be repeated for all patients on heparin or vitamin K antagonists when screening >24 h before start of infusion.
- j If TSH levels were tested within 1 week before randomisation at a local laboratory certified for the study, those results will be acceptable and should not be re-tested at screening.
- k Randomisation must occur as soon as possible between 3 h and 7 days (inclusive) after start of the current AF episode. Each randomised patient will be assigned a patient number. Note, if the patient is found eligible, the randomisation should be planned in such a way that all logistics will occur in the protocol-specified timeframes including timely reconstitution of study drug, infusion start and, if applicable, direct-current cardioversion in non-responders.

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- l Study drugs must be administered as soon as possible after randomisation and preferably not later than 12 h after randomisation.
 - m Plasma samples will be taken at baseline (pre-infusion) and at the following time points after start of infusion: 5 min \pm 1 min, 15 min \pm 1 min, 25 min \pm 1 min, 30 min - 1 min (the infusion will not be stopped before the 30-min PK sample has been collected), 45 min \pm 5 min, 1 h \pm 5 min, 1 h 30 min \pm 5 min, 4 h \pm 5 min, 8 h \pm 5 min, and 24 h \pm 2h. In the case of conversion from atrial fibrillation within 90 min from the start of infusion, an additional sample will be taken immediately after conversion.
 - n ECG print-outs: within 15 min before infusion start, at 10 min after infusion start, and, in case of conversion from AF, within 1 min after conversion BUT before infusion stop. Diagnosis of AF (defined as absence of discrete P waves and an irregular ventricular rate) to be confirmed based on a 12-lead ECG. On Day 2 the ECG should be completed 24 h \pm 2 hours after infusion start.
 - o Continued assessment to start 30 min before infusion. Holter to stop after the PK sampling at 8 h. Telemetry to continue for minimum 8 h after infusion start.
 - p Should be done in all patients; mandatory if not done within 6 months (inclusive) before randomisation.
 - q Heart rhythm, LV size, LV area, LVEF, atrial area and size as well as other clinically significant finding results to be provided or requested if available.
 - r In case of AF persistence at 90 min after start of infusion, a direct-current electrical cardioversion is to be done within 180 min post-infusion start (200 J biphasic synchronised).
 - s Recording of any AEs/SAEs will start after the ICF has been signed. From infusion start, special attention should be paid to AEs related to the central nervous system including tremors.
-

8.2 Discussion of Study Design

8.2.1 Study Rationale

This is a proof-of-concept study aiming to show the ability of AP30663 to terminate ongoing AF episodes.

The study was designed to allow for modifications to the AP30663 dose without undermining the validity and integrity of this study. After all randomised patients have completed Day 2 assessments of the study (or terminated), an interim analysis will be conducted to determine whether or not the study can be continued to Part 2 and, if to be continued, to determine the starting dose(s) of AP30663 to be used in Part 2 of the study. Further IAs will be conducted during Part 2 to allow for additional doses to be tested, or poor-performing doses to be stopped. This is expected to determine a dose of AP30663 with adequate efficacy and minimal adverse effects. No Type II error correction will be taken into account due to this being a proof-of-concept study.

Choice of placebo comparator

Currently, there is no gold standard treatment for AF in the EU and the recommended first-line agents are only moderately effective. A placebo group was chosen as control group to prevent bias and as there is no standard treatment for AF in the EU. Treatment with placebo is short (30 min infusion) and patients will receive DC electrical cardioversion if AF is still ongoing 90 min after the infusion start.

Rationale for dose selection

In the completed phase 1 trials a dose and plasma concentration related effect on QTcF was found with a peak estimated mean QTcF prolongation of 45.2 ms for the dose of 6 mg/kg. The QTcF effect was transient and a return to baseline level was observed 8 hours after the start of administration for the 6 mg/kg dose consistent with low plasma concentrations at this timepoint. No other dose related effects were found. For the starting dose of 3 mg/kg preclinical data support the assumption that it will result in a sufficiently high free plasma concentration to be effective in conversion.

The increase of the QTcF would serve as a safety parameter, but might also be a marker of a higher increase of the atrial effective refractory period (AERP) and thereby be indicative of a higher chance of conversion. In this study, the continuous monitoring of the patients during and after the 30-minute infusion in combination with predefined stopping criteria (see [Section 8.3.4.2](#)) will guarantee the safety of the patients.

The low-dose alternative of 2 mg/kg is the lowest AP30663 dose that produced a free plasma concentration at which it is reasonable to expect an effect on conversion. The lower dose of 2 mg/kg AP30663 may be an option, if the starting dose shows excellent or good efficacy but insufficient safety or tolerability.

Details regarding reasonably anticipated adverse events (AEs) as well as known or anticipated benefits and risks for AP30663, may be found in the investigator's brochure.

8.2.2 Quality Management and Risk Evaluation

This protocol was evaluated to identify those processes and data that were critical to ensure human patient protection and reliability of study results.

Risk control measures will be periodically reviewed to ascertain whether the implemented quality management activities remain effective and relevant, taking into account emerging knowledge and experience.

8.3 Selection of Study Population

8.3.1 Number of Planned Patients

Approximately 41, 62, 82, 103, or 123 patients will need to be screened in approximately 15 centres in EU to achieve up to 36, 54, 72, 90, or 108 randomised patients, respectively, who have completed Day 2 assessments or terminated the study, depending on the study design and recommendation of the DMC at each of the IAs. The number of patients screened is based on a screen failure rate of 12%.

Examples of scenarios for the distribution of patients across dose arms during Part 1 and Part 2 are provided in [Section 17.3](#).

The statistical considerations on which the sample size is based are provided in [Section 11.2](#). Patient replacement is described in [Section 8.3.4.1](#).

8.3.2 Inclusion Criteria

To be eligible for study entry, patients must satisfy all of the following criteria:

1. Provision of written informed consent.
2. Clinical indication for cardioversion of atrial fibrillation.
3. Current episode of symptomatic atrial fibrillation lasting between 3 h and 7 days inclusive at randomisation.
4. Adequate anticoagulation according to international and/or national guidelines.
5. Body weight 50 to 110 kg, inclusive (with clothes, without shoes).
6. Male patients and postmenopausal women aged 18 to 80 years, inclusive.
 - Male patients who are sexually active must agree to abstain from sexual activity or be willing to use a double-barrier method of birth control (i.e. any double combination of male or female condom with spermicidal gel, diaphragm, sponge or cervical cap with spermicidal gel) if they become

sexually active from the time of consent and for 90 days after the infusion day.

- Post-menopausal women are defined as being >12 months after last menstrual period.
- Women can also be included if permanently sterilised since ≥ 6 weeks (i.e. documented hysterectomy, bilateral salpingectomy, bilateral oophorectomy). Breastfeeding women are excluded.

8.3.3 Exclusion Criteria

Patients will be excluded from the study if 1 or more of the following criteria are applicable:

1. Significant clinical illness or surgical procedure within 4 weeks preceding the screening visit.
2. Present renal dysfunction (estimated glomerular filtration rate [eGFR] < 30 mL/min), hepatic dysfunction (alanine aminotransferase [ALT] or aspartate aminotransferase [AST] > 3 \times upper limit of normal), or uncontrolled hyperthyroidism or hypothyroidism.
3. History of significant mental, renal or hepatic disorder, chronic obstructive pulmonary disease or other significant disease, as judged by the investigator.
4. Any cardioversion attempt of AF or atrial flutter within 1 week preceding randomisation.
5. Prior failed attempt (no conversion) of pharmacological or DC cardioversion of previous or current AF episode.
6. Failure to find a large antecubital (or equivalent) vein for the infusion.
7. Any of the following events, or any other significant cardiovascular event as judged by the investigator, during the last 6 weeks before randomisation: myocardial infarction, unstable angina pectoris or other signs of myocardial ischaemia, stroke or transient ischaemic attack, myocardial revascularisation (percutaneous coronary intervention [PCI], coronary artery bypass graft [CABG]), or other revascularisation procedure.
8. Haemodynamically unstable condition as judged by the investigator; systolic BP <90 mm Hg or >180 mm Hg, or diastolic BP >105 mm Hg at randomisation.
9. Blood haemoglobin <100 g/L at screening.
10. Congestive heart failure New York Heart Association class III or IV. Left ventricular ejection fraction <40% on echocardiography, or other clinically significant abnormality on the echocardiogram (not older than 6 months) as judged by the investigator.

11. Known hypertrophic cardiomyopathy or significant left ventricular hypertrophy (free wall or septal thickness >13 mm).
12. Any clinically significant valvular heart disease.
13. History or previous signs of sinus nodal disease
14. Pacemaker or implantable cardioverter defibrillator therapy.
15. Personal or family history of Torsades de Pointes, any other polymorphic ventricular tachycardia, sustained ventricular tachycardia, long QT syndrome, and/or Brugada syndrome.
16. QTc (Fridericia, QTcF) interval >450 ms at randomisation. (When measured during AF, the mean heart rate should be 50 to 100 bpm. The QTcF should be calculated at AF as the mean of at least 5 consecutive RR intervals with consecutive QT intervals).
17. QRS duration >120 ms at randomisation.
18. Known atrioventricular (AV)-block I (prolonged PQ [PR] interval >220 ms), AV-block II, AV-block III, or complete bundle branch block (BBB) .
19. Potassium in serum below 3.5 or above 5.3 mmol/L at randomisation. Patients with low potassium levels at screening may be appropriately supplemented with potassium before baseline, according to the local standards. A re-test of the potassium level is required, and the patient can be randomised after the potassium has returned to reference range.
20. Anticipated change in dose or initiation of loop diuretic from screening to the end of infusion.
21. Use of any antiarrhythmic drug class I and/or III within 7 days or, for amiodarone specifically, 12 weeks before randomisation.
22. Use of QT-prolonging drug, and/or drug that inhibits cytochrome P450 (CYP)3A4, as well as St John's Wort within 10 days before randomisation.
23. Administration of an investigational drug within the preceding 3 months before randomisation.
24. Administration of AP30663 at any time before randomisation.
25. History of drug addiction and/or alcohol abuse within the last 12 months at the discretion of the investigator.
26. Blood or plasma donation within the preceding 4 weeks before randomisation.
27. Any suspected or manifested clinically significant infection as judged by the investigator.
28. Involvement in the planning and conduct of the study (applies to Acesion Pharma staff, Syneos Health staff, and staff at the investigational site).

29. Clinical judgement by the investigator that the patient should not participate in the study.
30. Any malignant cancer within 3 years (except for successfully treated in-situ non-melanoma skin cancer and in-situ cervical cancer) of signing the informed consent form (ICF).

8.3.4 Removal of Patients From Therapy or Assessments

8.3.4.1 Patient Discontinuation/Withdrawal From the Study

Patients may be withdrawn from the study for any of the following reasons:

- Patient request.
- Patient non-compliance with the study protocol.
- Use of non-permitted concurrent therapy.
- Lost to follow-up.
- Occurrence of adverse events (AEs) not compatible with the continuation of patient participation in the study, in the investigator's opinion, or unacceptable to the patient to continue.
- If the pre-infusion ECG QTcF is above 450 ms the infusion with the IMP should not be initiated and the patient should be registered as an Early Termination.
- Investigator request.
- Request by Acesion Pharma.

Patient replacement

- Patients who spontaneously convert to SR before randomisation are screen failures. Re-screening of such patients is not allowed.
- Patients who spontaneously convert to SR after randomisation and before infusion start are considered dropouts and will be replaced.
- Patients who have a QTcF above 450 ms at pre-infusion.
- Patients who do not meet inclusion criterion #2 (clinical indication for cardioversion of AF) will be replaced. Patients who stop study drug for any other reason will not be replaced.

Patients are free to withdraw from the study at any time without providing reason(s) for withdrawal and without prejudice to further treatment. The reason(s) for withdrawal will be documented in the electronic case report form (eCRF).

Patients withdrawing from the study will be encouraged to complete the same final evaluations as patients completing the study according to this protocol, particularly safety evaluations. The aim is to record data in the same way as for patients who completed the study.

Reasonable efforts will be made to contact patients who are lost to follow-up. These efforts must be documented in the patient's file.

Acesion Pharma has the right to terminate the study at any time in case of serious AEs (SAEs) or if special circumstances concerning the investigational product or the company itself occur making further treatment of patients impossible. In this event, the investigator(s) will be informed of the reason for study termination.

8.3.4.2 Treatment Discontinuation (Stop of Infusion)

The planned duration of drug infusion is described in [Section 8.4.1](#).

Criteria for stop of drug infusion prior to cardioversion or prior to the infusion duration of 30 min are as follows:

- Developing hemodynamic instability (drop in BP, drop in heart rate, unless caused by conversion) including but not limited to
 - systolic BP decrease to <90 mm Hg or significant decrease from baseline (e.g. >40 mm Hg), or mean BP decrease <70 mm Hg (calculated as $[\text{systolic BP} + 2 \times \text{diastolic BP}] / 3$).
 - systolic BP increase to >190 mm Hg or
 - heart rate <40 bpm or
 - intolerable side effects.
- Developing changes in ECG variables (compared with pre-infusion ECG):
 - QRS +50% and/or development of BBB.
 - QTcF +60 ms.
 - QTcF >500 ms.
- Developing tremors (involuntary, rhythmic muscle contraction leading to shaking movements in one or more parts of the body)
- Per investigator decision, when it is not in the patient's best interest to continue infusion. Specific attention should be paid to any other AEs related to the central nervous system and/or any local infusion site reactions.

Pregnancy

Patients will be instructed that known or suspected pregnancy occurring during the study, in female patients or female partners of male patients, should be confirmed and reported to the investigator. The investigator should also be notified of any pregnancy occurring during the study but confirmed only after completion of the study. In the event that a patient is subsequently found to be pregnant after inclusion in the study, any pregnancy will be followed to term, and the status of mother and child will be reported to Acesion Pharma after delivery.

Full details will be recorded in the eCRF and a pregnancy reporting form will be completed (see [Section 10.1.3.1.1](#)).

8.4 Investigational Products

8.4.1 Investigational Products Administered

Study drugs will either be AP30663 or placebo; both will be administered as an intravenous infusion for 30 min.

Prior to the interim analysis (Part 1 of the study), patients randomised to the AP30663 arm will receive AP30663 at a dose of 3 mg/kg.

The starting AP30663 dose(s) for Part 2 of the study will be determined during DMC review of the interim analysis at the end of Part 1. In Part 2, patients randomised to AP30663 may receive one of the following AP30663 doses: 2, 4, 5, or 6 mg/kg dependent on the results seen for the decision criteria, and the subsequent dose recommended by the DMC.

Patients randomised to the placebo arm will receive matching placebo infusion.

The following instructions apply for intravenous infusion of study drugs:

- The patient should be in (semi-) supine position.
- A large peripheral vein (e.g. antecubital) should be chosen.
- For each patient, the largest clinically feasible cannula size should be used at the discretion of the investigator to avoid local injection site reactions.
- After end of infusion, the intravenous lines should be flushed with 5% glucose solution.

Study drugs must be administered as soon as possible after randomisation and preferably not later than 12 h after randomisation.

Specific detailed instructions on study drug administration and a standardised infusion site assessment are provided in the Study Drug Administration Manual.

8.4.2 Identity and Preparation of Investigational Products

AP30663 is a small molecule drug with a molecular weight of [REDACTED]. The drug substance appears as a white to off-white [REDACTED].

The AP30663 drug product is a concentrate for solution for infusion containing 200 mg/mL AP30663. The drug product is provided in a 10 mL glass vial containing 5 mL of AP30663 concentrate. The vial is closed with a coated bromobutyl rubber stopper. The AP30663 drug concentrate is stored in the original container at -15°C to -25°C. The AP30663 concentrate for solution for infusion has the following composition (Table 1):

Table 1 Composition of AP30663 Concentrate for Solution for Infusion

Component	Content	Action
AP30663	1000 mg	Active ingredient
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	Solvent

Preparation of the final solution for infusion will be done at the clinical site and is described below.

The AP30663 drug concentrate is diluted with sterile 5% glucose solution for infusion to a concentration of 2 mg/mL prior to administration according to the following procedure:

Vials with the AP30663 drug concentrate intended for preparation of the final solution for infusion will be removed from the freezer and placed at room temperature 30 to 60 min before the start of preparation.

The required amount of 5% glucose solution for injection is transferred to an infusion bag. The respective required amount of AP30663 concentrate is added to the infusion bag. The infusion bag is shaken gently during the addition of the concentrate to ensure that a clear solution is obtained. The final solution for infusion must be stored at room temperature and must be used within 24 h after start of preparation.

The required quantity of the final AP30663 solution for infusion is calculated based on the patient's body weight (determined with clothes but without shoes) rounded to the nearest integer. The following formula will be used for dose calculation:

Body weight [kg] rounded to nearest integer \times intended AP30663 dose [mg] \div 2 mg/mL

The following rule applies: Body weight decimals 0.1 kg to 0.4 kg will be rounded down and 0.5 to 0.9 kg will be rounded up.

Detailed instructions for the preparation and handling of the final AP30663 solution for infusion including a detailed and easily read checklist and a dose calculation nomogram will be provided in the pharmacy manual. Careful training of unblinded site staff with regards to the reconstitution will be conducted.

Placebo solution is not frozen and will be administered as a 5% glucose solution in the same way as AP30663. To ensure blinding, volume and/or weight of the placebo solution will be adjusted to match that of the AP30663 dose provided. Detailed instructions for the preparation and handling of the placebo solution including a detailed and easily read checklist and a dose calculation nomogram will be provided in the pharmacy manual as well.

Specific procedures to ensure blinding are described in [Section 8.4.7](#).

All investigational products will be manufactured and, if applicable, imported according to the relevant regulatory requirements.

8.4.3 Packaging and Labelling

AP30663 will be manufactured by Onyx/IPCA, Sunderland, United Kingdom. Manufacturing, packaging, and labelling of the AP30663 drug concentrate for infusion will be performed by Recipharm AB, Stockholm, Sweden. All packaging and labelling operations will be performed according to Good Manufacturing Practice for Medicinal Products and the relevant regulatory requirements.

Packaging and labelling of the AP30663 drug concentrate vials will be performed by the central drug depot Almac (location for packaging/labelling/shipping: Craigavon, UK; back-up location for shipping: Dundalk, Ireland). Glucose solution for infusion and empty infusion bags will be centrally purchased and supplied by Almac.

Labelling by Almac will include the following:

1. Labelling of the active vial and its respective carton with a booklet label which also includes kit numbering; frozen conditions are required.
2. Production of another carton (kit) which will contain a 500 mL bag of 5% glucose and an empty infusion bag. The label on the carton and the additional booklet label for the empty infusion bag will have additional text/numbering.

Almac Clinical Services will also provide labels for the infusion bags that will be shipped to the investigational site and labelled by unblinded site staff.

8.4.4 Method of Assigning Patients to Treatment Groups

For Part 1 of the study, eligible patients will be randomised 1:1 (approximately 36 patients randomised to AP30663 or placebo). An additional 18 to 72 patients will be randomised cumulatively in a 2:1 ratio to 1 or more doses of AP30663 (dependent on dose arms open at time of randomisation) or placebo in Part 2 using an interactive web randomisation system (IWRS).

Two separate lists will be utilised for the study, one for Part 1 and a separate one for Part 2. Both lists will be provided by a separate unblinded biostatistical team and provided to the IWRS.

8.4.5 Selection of Doses in the Study

The patients randomised to the active treatment arm in Part 1 of the study will be given an AP30663 dose of 3 mg/kg.

In Part 2, patients randomised to AP30663 may receive one of the following AP30663 doses: 2, 4, 5, or 6 mg/kg. The final decision on AP30663 doses for Part 2 of the study will be taken based on the DMC recommendation based on review of the IAs.

Further details on study design options and associated AP30663 dose levels in Part 2 of the study are described in [Section 8.1](#).

A justification for the selection of AP30663 doses is given in [Section 8.2.1](#).

8.4.6 Selection and Timing of Dose for Each Patient

Patients will be randomly allocated to receive either AP30663 or placebo. Each patient will receive a single intravenous infusion of either AP30663 or placebo on Day 1.

Details of duration of infusion, instructions for infusion, and dose levels before and after the IAs are included in [Section 8.4.1](#).

Calculation of individual doses based on the patient's body weight is described in [Section 8.4.2](#).

8.4.7 Blinding

This is a double-blind study. Acesion Pharma, investigators, and patients will remain blinded to the study treatment allocation until the end of the study. The randomisation list will be kept secure from the study team, investigators, and patients throughout the conduct of the study and until unblinding is authorised by Acesion Pharma and the blinded lead study statistician. The DMC will be unblinded according to the DMC Charter, and a separate unblinded statistical team will be assigned to provide the

analyses to the DMC. Further details of blinded and unblinded personnel will be included in the DMC Charter.

One or more unblinded site staff will be identified at each centre, whose role will be limited to handling the study treatment. Treatment allocation via the IWRS will be provided only to the unblinded site staff and will be sent to the pre-specified fax/email accessible only to unblinded team members.

The unblinded site staff will prepare infusion bags of AP30663 and placebo, label the final infusion bags, and provide them to the investigator in a blinded manner. This procedure is described in the pharmacy manual.

The assessors (e.g. the investigators and site personnel assessing safety and efficacy) as well as the study patients must remain blinded to the treatment assignments throughout the study.

The only planned unblinding other than at the IAs will be at the conclusion of the study, when the database has been cleaned, statistical populations have been defined, and approval for database lock has been given by Acesion Pharma.

The IWRS will be programmed with blind-breaking instructions. The study blind may be broken if, in the opinion of the investigator, it is in the participant's best interest to know the study treatment assignment. Acesion Pharma must be notified before the blind is broken unless identification of the study treatment is required for a medical emergency in which the knowledge of the specific blinded study treatment will affect the immediate management of the participant's condition (e.g. antidote is available). In this case, Acesion Pharma must be notified within 24 h after breaking the blind. The date and reason for breaking the blind must be recorded in the source documentation and eCRF, as applicable.

In case of an SAE that is unexpected and suspected to be causally related to the study drug and that potentially requires expedited reporting to regulatory authorities, the treatment code will be broken for the individual patient by the Safety and Pharmacovigilance Department.

8.4.8 Prior and Concomitant Therapy

Prior therapy with any prescribed/over-the-counter medications within 14 days prior to screening, as well as with all current medications and those initiated after signing of ICF should be recorded as concomitant therapy.

When the patient requires anticoagulation because of an AF episode, anticoagulation should be initiated and maintained according to the current international and national guidelines.

Patients with low potassium or magnesium levels at screening may be appropriately supplemented with potassium or magnesium, respectively, before baseline, according to the local standards. A re-test of the potassium level is required. The patient can be randomised after the potassium has returned to reference range (3.5 to 5.3 mmol/L).

The investigator should consult the medical monitor if there is any uncertainty regarding patient use of a particular drug or drug class.

8.4.8.1 Prohibited Medication and Procedures

Table 2 provides a listing of specific restrictions for concomitant therapy use, with all necessary washout periods described. The table provides examples of prohibited drug categories; however, it is not a comprehensive list of all restricted medications.

If, before the start of study drug infusion, there is a clinical indication in a randomised patient for any therapy that is specifically prohibited, the patient should be discontinued from the study.

Use of prohibited medications and procedures for treatment of AEs in randomised patients after the end of infusion is allowed at the investigator's discretion and is not regarded as a protocol deviation.

The investigator should actively discuss any questions regarding prohibited medications/procedures with the medical monitor before randomising the patient to study treatment or before study treatment initiation.

Table 2 Prohibited Medication

Drug class/ specific compound	Examples* (including but not limited to)	Washout period/comments
Antiarrhythmic class I	Examples: ajmaline, disopyramide, flecainide, lidocaine, mexiletine, quinidine, phenytoin, prajmaline, procainamide, propafenone, tocainide	7 days before randomisation and until the completion of the Day 2 visit.
Antiarrhythmic class III	Examples: dofetilide, dronedarone, ibutilide, sotalol,	7 days before randomisation and until the completion of the Day 2 visit.
Amiodarone	Amiodarone	12 weeks before randomisation and until the completion of the Day 2 visit.
CYP3A4 inhibitors	Examples: cannabinoids, clarithromycin, cimetidine, cyclosporine, dexamethasone, diltiazem, disulfiram, doxycycline, fluoxetine, itraconazole, ketoconazole, loperamide, metronidazole, naloxone, nefazodone, norfloxacin, sertraline, terfenadine, valproic acid, voriconazole, several antiretroviral drugs	10 days and until the completion of the Day 2 visit.
Loop diuretics	Examples: bumetanide, ethacrynic acid, furosemide, torsemide	Anticipated change in dose or initiation dose is prohibited from screening visit to the end of the infusion
QT-prolonging drugs	Examples: azithromycin, clarithromycin, erythromycin, roxithromycin, fluconazole, ketoconazole, chloroquine, amitriptyline, doxepin, imipramine, haloperidol, risperidone, clozapine, pimozide, droperidol, terfenadine, astemizole, probucol, cisapride	10 days and until the completion of the Day 2 visit.
St John's Wort	-	10 days and until the completion of the Day 2 visit.
Any other investigational drug		3 months before randomisation and during the study
AP30663		Any previous administration

*Please consult the prescription information of a specific drug for more details.

The following procedures are prohibited:

1. Any cardioversion attempt of AF or atrial flutter within 1 week preceding randomisation.
2. Prior failed attempt (no conversion) of pharmacological or DC cardioversion of previous or current AF episode.
3. Significant surgical procedure within 4 weeks preceding the screening visit.
4. Pacemaker or implantable cardioverter defibrillator therapy prior to randomisation and during the treatment phase of the study.
5. Myocardial revascularisation (PCI or CABG) or other revascularisation procedure during the last 6 weeks before randomisation.

8.4.8.2 Rescue Medication

All patients who will not convert from AF during infusion of the study drug or within 90 min after the infusion start should undergo DC electrical cardioversion within 180 min from the time the infusion started. DC electrical cardioversion should be performed using biphasic synchronised cardioverter with energy of 200 J.

All medications necessary for direct-current electrical cardioversion (e.g. sedatives) should be administered per local standards, observing limitations from [Section 8.4.8.1](#) (Prohibited Medication and Procedures), and should be recorded as concomitant medications.

8.4.9 Treatment Compliance

The study drug will only be applied by the investigator on Day 1. The timing and duration of the infusion as well as the dose calculation depending on the patient's weight will be noted in the patient's medical file and recorded in the eCRF (day of application). Early termination of infusion and reason for termination will also be recorded in the eCRF.

9 TIMING OF STUDY PROCEDURES

The planned study assessments are listed in [Section 8.1.2](#).

9.1 Screening Visit

Patients will need to provide written informed consent before any study-related procedures are performed.

- Record any AEs that have occurred and any changes in concomitant medication since signing of the informed consent.
- Assess for eligibility (against the inclusion and exclusion criteria).
- Collect full medical and surgical history, including concomitant illnesses/diseases and concomitant medications.
- Record demographic data, such as date of birth or age (according to applicable regulations), ethnic origin, and sex.
- Perform a full physical examination, including body weight and height.
- Record vital signs ([semi]supine BP, heart rate, heart rhythm from ECG).
- Collect blood samples for haematology and clinical chemistry (refer to the Schedule of Assessments in [Section 8.1.2](#) for parameters included), urea (blood urea nitrogen [BUN]), electrolytes (magnesium, sodium, calcium, potassium), International normalised ratio (INR)/activated partial thromboplastin time (APTT), and thyroid stimulating hormone (TSH).

Note:

If TSH levels were tested within 1 week before randomisation at a local laboratory certified for the study, those results will be acceptable and should not be re-tested at screening.

One coagulation parameter (either INR or APTT) is required for an individual patient. The investigator should decide which parameter is relevant for a given patient, taken into consideration clinical presentation and concomitant medications used by that patient. The same coagulation parameter should be consistently analysed throughout the study in an individual patient.

- Perform a 12-lead ECG.
- Perform a transthoracic echo (TTE) if TTE done within 6 months (inclusive) is not available.

9.2 Day 1 – Pre-infusion (Baseline)

The baseline visit on Day 1 with pre-infusion assessments will take place within 7 days of onset of AF. The following procedures will be performed at the baseline visit prior to study drug infusion:

- Reassess for eligibility against the inclusion and exclusion criteria.
- Randomisation¹ will take place for eligible patients, and each will be assigned a patient number.
- Record any AEs that have occurred and any changes in concomitant medication since the previous visit.
- Perform a brief physical examination (general appearance, cardiovascular, respiratory, gastrointestinal, other).
- Record vital signs ([semi]supine BP, heart rate, heart rhythm from ECG).
- Collect blood samples for haematology and clinical chemistry (refer to the Schedule of Assessments in [Section 8.1.2](#) for parameters included) if not already assessed <72 h before baseline.
- Collect blood sample for INR/APTT for all patients on heparin or vitamin K antagonists when screening >24 h before start of infusion.
- Collect baseline PK plasma sample.
- Perform a 12-lead ECG with ECG print-outs within 15 min before infusion start. Confirm diagnosis of AF (defined as absence of discrete P waves and an irregular ventricular rate) based on the 12-lead ECG. If QTcF is above 450 ms the infusion should not be initiated.
- Perform 12-lead Holter monitoring (start 30 min before infusion start).
- Perform telemetry (start 30 min before infusion start).

9.3 Day 1 – During Infusion

When all the baseline procedures have been performed and the investigator has confirmed the patient's eligibility for the study, study drug will be administered as a single intravenous infusion for 30 min on Day 1. The following procedures will be performed during infusion on Day 1:

- Administer study drug as intravenous infusion.

¹ Note, if the patient is found eligible, the randomisation should be planned in such a way that all logistics will occur in the protocol-specified timeframe, including timely reconstitution of study drug, infusion start and – if applicable - DC cardioversion in non-responders within 180 min from infusion start.

- Record any AEs that have occurred since the pre-infusion status and any changes in concomitant medication. Special attention should be paid to monitoring for AEs related to the central nervous system including tremors. Please also refer to section 8.2.4.2 for stop of infusion criteria.
- Record vital signs ([semi]supine BP, heart rate, heart rhythm from ECG).
- Collect PK plasma samples 5 min \pm 1 min, 15 min \pm 1 min, 25 min \pm 1 min, and 30 min - 1 min after start of infusion. The infusion should not be stopped before the 30 min - 1 min PK sample has been collected. In the case of conversion from atrial fibrillation during infusion, an additional PK sample will be taken immediately after conversion.
- Perform a 12-lead digital ECG (with ECG print-outs 10 min after infusion start and, in case of conversion from AF, within 1 min after conversion BUT before infusion stop).
- Continue 12-lead Holter monitoring.
- Continue telemetry.

9.4 Day 1 – Post-Infusion

The following procedures will be performed post-infusion on Day 1:

- Record any AEs that have occurred and any changes in concomitant medication since the infusion. Special attention should be paid to monitoring for AEs related to the central nervous system including tremors.
- Record vital signs ([semi]supine BP, heart rate, heart rhythm from ECG). Should be recorded before an electrical cardioversion, if applicable.
- Collect PK plasma samples at 45 min \pm 5 min, 1 h \pm 5 min, 1 h 30 min \pm 5 min, 4 h \pm 5 min, and 8 h \pm 5 min after start of infusion.
- Continue 12-lead Holter monitoring until after the 8 h PK sample is collected.
- Perform telemetry until at least 8h after infusion start.
- Do electrical cardioversion in case of AF persistence (refer to the Schedule of Assessments in [Section 8.1.2](#) for exact timing and parameters).

Prior to discharge of the patient, the Day 2 follow-up visit will be scheduled.

9.5 Day 2

The following procedures will be performed on Day 2:

- Record any AEs that have occurred since Day 1 and any changes in concomitant medication. Special attention should be paid to monitoring for AEs related to the central nervous system including tremors.
- Record vital signs (BP, heart rate, heart rhythm from ECG).
- Collect blood samples for clinical chemistry (refer to the Schedule of Assessments in [Section 8.1.2](#) for parameters included).
- Collect PK plasma samples 24 h \pm 2 h after start of infusion.
- Perform a 12-lead ECG 24h \pm 2 h after start of infusion.

The Day 30 follow-up visit will be scheduled.

9.6 Follow-up/Discontinuation Visit (Day 30)

The follow-up visit will take place 30 days \pm 5 days after the previous visit. The following procedures will be performed at the follow-up visit (Day 30):

- Record any AEs that have occurred and any changes in concomitant medication since the previous visit.
- Perform a brief physical examination (general appearance, cardiovascular, respiratory, gastrointestinal, other).
- Record vital signs ([semi]supine BP, heart rate, heart rhythm from ECG).
- Collect blood samples for haematology and clinical chemistry (refer to the Schedule of Assessments in [Section 8.1.2](#) for parameters included), urea (BUN), and INR/APTT.
- Perform a 12-lead ECG.

9.7 Duration of Treatment

Study drug infusion is planned for 30 min for each patient.

Regardless of which study part (Part 1 or 2) the patient participates in, the overall study duration for each patient is up to a maximum of 42 days. The screening and randomisation will occur within 7 days (inclusive) from onset of AF. The duration of treatment and post-treatment follow-up will be 30 days \pm 5 days from start of infusion to the follow-up visit.

10 EFFICACY, PHARMACOKINETICS, AND SAFETY VARIABLES

The planned schedule of assessments is shown in [Section 8.1.2](#). The schedule is same for both parts of the study (Parts 1 and 2).

10.1 Efficacy, Pharmacokinetics, and Safety Measurements

10.1.1 Efficacy Variables

10.1.1.1 Holter Recording

ECG assessments for primary efficacy endpoint will be based on Holter ECG. Conversion from AF will be determined by the investigator and documented with a rhythm strip confirming conversion; time of conversion will be recorded in minutes after start of infusion.

In addition, the Holter will record ECG continuously and detect conversion from AF as well as monitor sustainability of the rhythm after conversion. The 12-lead Holter monitoring equipment will be centrally provided by Clario. Analysis of the primary and secondary efficacy endpoints will be based on Holter ECG and ECGs collected by the site. The Holter ECG recording will be initiated 30 min before the planned start of study drug infusion and will be continued for at least 8 h until after the last PK sampling on Day 1. Records will be available for assessment by the DMC at the IAs, will be used for the analysis of the primary and secondary efficacy endpoints, and may be further used for retrospective safety analyses ([Section 10.1.3.5](#)).

The following measurements will be provided for all ECGs extracted from the Holter recording: RR, PR, QRS, QT, QTcF, QTcB (optional), heart rate, T- and U- wave morphology classifications.

Triplicate ECGs will be extracted at the same time points as PK sampling except the 24h PK sampling (see Schedule of Assessments in [Section 8.1.2](#)) and will be read in a semi-automated manner by a blinded cardiologist. The arithmetic mean of the replicate values was used as the value for that time point.

The ECG laboratory processes all ECGs to produce regulatory-ready research data with all ECG interval duration measurement quality control and quality assurance processes completed on a continuing basis according to ERT's quality assurance guidelines and standard operating procedures (SOPs).

Central reading in the ECG core laboratory adheres to the following principles:

- The actual times of dosing, extraction windows, and PK sampling are communicated to the central ECG laboratory by the site.

- The central ECG laboratory identifies periods of stable heart rate on the continuous 12-lead ECG tracing within the 3-minute extraction window.
- Review of ECGs from a particular patient is performed by a single reader.
- Baseline and on-treatment ECGs are based on the same lead ECGs from a single patient.
- The primary analysis lead is Lead II. If Lead II is not analysable, then primary lead of analysis is changed to another lead for the entire patient dataset.

Bedside safety ECGs will be collected by the site as described in [Section 10.1.3.5](#).

10.1.2 Pharmacokinetic Variables

10.1.2.1 Plasma Concentrations of AP30663

Venous blood samples for the determination of plasma concentrations of AP30663 will be collected at the times indicated in the Schedule of Assessments in [Section 8.1.2](#).

At each of the 11 (or 12 in case of conversion from AF) sampling time points for PK assessment, 4 mL of venous blood will be collected. The date and the time of each sample collection will be recorded in the eCRF, with the time of study drug injection (or missed injection, if applicable).

Concentration of AP30663 in the plasma will be determined using 2 analyses as outlined in [Section 11.1.5](#) where concentration-derived PK parameters are also described.

10.1.3 Safety Assessments

10.1.3.1 Adverse Events

Adverse Event Definition

An AE is defined as any untoward medical occurrence in a clinical study patient administered a medicinal product which does not necessarily have a causal relationship with this treatment. An AE can therefore be any unfavourable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with the use of a medicinal (investigational) product, whether or not it is related to the medicinal (investigational) product. This includes an exacerbation of pre-existing conditions or events, intercurrent illnesses, drug interaction or the significant worsening of the indication under investigation that is not recorded elsewhere in the eCRF under specific efficacy assessments. Anticipated fluctuations of pre-existing conditions, including the disease under study, that do not represent a clinically significant exacerbation or worsening need not be considered AEs.

It is the responsibility of the investigator to document all AEs that occur during the study. AEs will be collected from study assessments including laboratory parameters, physical examination and vital signs and also be elicited by asking the patient a non-leading question, for example, “Have you experienced any new or changed symptoms since we last asked/since your last visit?” AEs should be reported on the appropriate pages of the eCRF.

When collecting AEs, special attention should be paid to AEs related to the risks described in the investigator’s brochure section 7 “Summary of Data and Guidance for the Investigator”.

Assessment of Severity

Each AE will be assigned a category by the investigator as follows:

- | | |
|-----------|--|
| Mild: | An AE that is easily tolerated by the patient, causes minimal discomfort, and does not interfere with everyday activities. |
| Moderate: | An AE that is sufficiently discomforting to interfere with normal everyday activities; intervention may be needed. |
| Severe: | An AE that prevents normal everyday activities; treatment or other intervention usually needed. |

If there is a change in severity of an AE, it must be recorded as a separate event.

All local infusion site reactions will be regarded as AEs and reported accordingly. Specific detailed instruction on a standardised assessment of infusion site AEs is provided in the Study Drug Administration Manual.

Assessment of Causality

Every effort will be made by the investigator to assess the relationship of the AE, if any, to the study drug. Causality should be assessed using the categories presented in the following table:

- | | |
|------------|---|
| Unrelated: | Clinical event with an incompatible time relationship to study drug administration, and that could be explained by underlying disease or other drugs or chemicals or is incontrovertibly not related to the study drug. |
| Unlikely: | Clinical event whose time relationship to study drug administration makes a causal connection improbable, but that could plausibly be explained by underlying disease or other drugs or chemicals. |
| Possible: | Clinical event with a reasonable time relationship to study drug administration, but that could also be explained by concurrent disease or other drugs or chemicals. |

Probable:	Clinical event with a reasonable time relationship to study drug administration, and is unlikely to be attributed to concurrent disease or other drugs or chemicals.
Very Likely/Certain:	Clinical event with plausible time relationship to study drug administration, and that cannot be explained by concurrent disease or other drugs or chemicals.

Action Taken

The investigator will describe the action taken in the appropriate section of the eCRF, as follows:

- None
- Study drug stopped
- Study drug temporarily interrupted
- Concomitant medication
- Other, specify.

Follow-up of Adverse Events

All investigators should follow patients with AEs until the event is resolved or until, in the opinion of the investigator, the event is stabilised or determined to be chronic. Details of AE resolution must be documented in the eCRF.

Patients should be followed for 30 days after receiving the study drug, and any AEs that occur during this time should be reported according to the procedures outlined below.

Documentation and Reporting of Adverse Events

AEs should be reported and documented in accordance with the procedures outlined below. All AEs occurring during the study must be documented in the relevant eCRF pages. The following data should be documented for each AE:

- Description of the event
- Classification of “serious” or “not serious”
- Severity
- Date of first occurrence and date of resolution (if applicable)
- Action taken
- Causal relationship

- Outcome of event (unknown, recovered, not yet recovered, recovered with sequelae, death [with date and cause reported])

10.1.3.1.1 Serious Adverse Events

Serious Adverse Event Definition

An SAE is any untoward medical occurrence or effect that, at any dose,

- Results in death.
- Is life-threatening. (An AE is life-threatening if the patient was at immediate risk of death from the event as it occurred, i.e. it does not include a reaction that might have caused death if it had occurred in a more serious form.)
- Requires or prolongs inpatient hospitalisation. (Complications occurring during hospitalisation are AEs and are SAEs if they cause prolongation of the current hospitalisation. Hospitalisation for elective treatment of a pre-existing non-worsening condition is not, however, considered an AE. The details of such hospitalisations must be recorded on the medical history or physical examination page of the eCRF.)
- Results in persistent or significant disability/incapacity. (An AE is incapacitating or disabling if it results in a substantial and/or permanent disruption of the patient's ability to carry out normal life functions.)
- Results in a congenital anomaly/birth defect.

In addition, medical and scientific judgement is required to decide if prompt notification is required in situations other than those defined for SAEs above. This may include any event that the investigator regards as serious that did not strictly meet the criteria above but may have jeopardised the patient or required intervention to prevent one of the outcomes listed above, or that would suggest any significant hazard, contraindication, side effect, or precaution that may be associated with the use of the investigational product.

Note that if DC cardioversion is performed as indicated in the schedule of assessments ([Section 8.1.2](#)), it should not be reported as AE/SAE.

Reporting of Serious Adverse Events

Any SAE must be reported by the investigator if it occurs during the clinical study from signing of the ICF or within 30 days of receiving the study drug, whether or not the SAE is considered to be related to the investigational product. An SAE report consists of the SAE form. A copy of these forms must be faxed **within 24 h** for the attention of the product safety scientist at

Syneos Health Safety and Pharmacovigilance Department
Fax: +1-877-464-7787 or
Email: SafetyReporting@SyneosHealth.com

The investigator should not wait to receive additional information to document the event fully before notification of an SAE, though additional information may be requested. Where applicable, information from relevant laboratory results, hospital case records, and autopsy reports should be obtained.

Instances of death, congenital abnormality, or an event that is of such clinical concern as to influence the overall assessment of safety, if brought to the attention of the investigator at any time after cessation of study drug administration and linked by the investigator to this study, should be reported to the study monitor.

Acesion Pharma and/or Syneos Health will promptly notify all relevant investigators and the regulatory authorities of findings that could adversely affect the safety of patients, impact on the conduct of the study, or alter the independent ethics committee (IEC) approval/favourable opinion of the study. In addition, Syneos Health, on behalf of Acesion Pharma, will expedite the reporting to all concerned investigators, to the IECs, where required, and to the regulatory authorities of all adverse reactions that are both serious and unexpected.

Reporting of Pregnancies

All pregnancies that occur in a patient or patient's partner during the study will be reported using a separate pregnancy reporting form. Reporting follows the same process as described above for SAE reporting. If there is also an SAE reported or suspected, an SAE form should also be completed and sent to the Syneos Health Safety and Pharmacovigilance Department as described above.

Further details of the procedures to be followed if a pregnancy occurs are provided in [Section 8.3.4.2](#).

10.1.3.1.2 Unexpected Adverse Reactions

Unexpected Adverse Reaction Definition

An unexpected adverse reaction is any untoward and unintended response that is related to the administration of the study drug at any dose that is not consistent with the applicable product information (e.g. investigator's brochure for an unauthorised investigational medicinal product or summary of product characteristics for an authorised product).

All suspected unexpected serious adverse reactions (SUSARs) will be the subject of expedited reporting. Only SUSARs assessed to be possibly, probably, or very

likely/certainly related to study drug are considered to have a reasonable causal relationship to study drug and are subject to reporting to regulatory authorities.

Acesion Pharma and/or Syneos Health shall ensure that all relevant information about a SUSAR that is fatal or life-threatening is reported to the relevant competent authorities and IEC within 7 days after knowledge by Acesion Pharma of such a case and that relevant follow-up information is communicated within an additional 8 days. All other SUSARs will be reported to the relevant competent authorities and IEC within 15 days after knowledge by Acesion Pharma of such a case. All investigators should follow SUSARs until the event is resolved or until, in the opinion of the investigator, the event is stabilised or determined to be chronic. Post-study SUSARs that occur after the patient has completed the clinical study must be reported by the investigator to Acesion Pharma.

Warnings and Precautions

There are no specific warnings associated with AP30663 administration.

Precautions to avoid local infusion site reactions are described in [Section 8.4.1](#).

Please refer to the most current version of the investigator's brochure for warnings and precautions.

10.1.3.2 Clinical Laboratory Evaluation

The haematology and clinical chemistry laboratory analyses will be performed at local laboratories. Reference ranges will be supplied by local laboratories and used by the investigator to assess the laboratory data for clinical significance and pathological changes. The following laboratory safety tests will be performed at the times outlined in the Schedule of Assessments in [Section 8.1.2](#).

The total amount of blood to be taken during the study from screening until the end of the 30-day study period amounts to a maximum of 131 mL (including blood samples for PK analyses). See [Section 17.2](#) for an overview of blood samples and volumes collected.

Haematology

Haemoglobin, haematocrit, red blood cells (erythrocytes), white blood cells (leukocytes, total and differential), basophils, eosinophils, lymphocytes, monocytes, neutrophils, and platelets.

Clinical Chemistry

ALT, AST, bilirubin, creatinine, gamma-glutamyltransferase, glucose (random), eGFR, urea (BUN), sodium, potassium, calcium, and magnesium.

Other Laboratory Variables

INR/APTT and TSH

10.1.3.3 Vital Signs

Vital signs will be recorded as indicated in the Schedule of Assessments in [Section 8.1.2](#). They will include BP, heart rate, and heart rhythm (from ECG) and will be recorded in a standardised manner, i.e. after the patient has rested in the (semi)supine position for 5 min and before blood sampling or laboratory tests. Vital signs measurements will be repeated in case of clinically significant abnormalities.

10.1.3.4 Physical Examination and Brief Physical Examination

At screening, weight and height will be recorded and a physical examination will be performed, followed by a brief physical examination at baseline (pre-infusion on Day 1) and Day 30. Any changes from the screening and baseline visit to Day 30 will be recorded. Full and brief physical examination will include the following assessments:

Table 3 Physical Examination

Assessment	Full physical examination	Brief physical examination
General appearance	X	x
Head, ears, eyes, nose, throat	X	-
Cardiovascular	X	x
Respiratory	X	x
Gastrointestinal	X	x
Dermatological	X	-
Neurological	X	-
Musculoskeletal	X	-
Lymphatic	X	-
Other (at the investigator's discretion)	X	x

10.1.3.5 Electrocardiogram

10.1.3.5.1 12-lead Digital Electrocardiogram

A 12-lead digital ECG will be performed at the times outlined in the Schedule of Assessments in [Section 8.1.2](#) in a standardised manner (i.e. after the patient has rested in the [semi]supine position for at least 5 min).

The investigator will assess ECG abnormalities (e.g. ischaemia, conduction abnormalities, and rhythm abnormalities) and define the ECG intervals as part of the

screening of eligibility and according to the inclusion/exclusion criteria, and as part of an adverse event assessment.

The following measurements will be provided for all PK time points: RR, PR, QRS, QT, QTcF (Fridericia's correction of QT interval), QTcB (optional), heart rate, as well as T- and U-wave morphology classifications.

Bedside safety ECGs will be collected by the site, printed and reviewed by the investigator on site.

ECG intervals based on these safety ECGs will only be recorded if part of an adverse event.

10.1.3.5.2 Telemetry

Heart rhythm will be recorded by telemetric monitoring as outlined in the Schedule of Assessments in [Section 8.1.2](#). Lead positioning on the patient for telemetric monitoring will be performed per local standards and local requirements of the telemetry equipment. During the 8 h post-infusion of study drug, the patient will remain under continuous medical observation. Should any significant heart rhythm changes occur during this observation, the recording from telemetry should be printed out, assessed for clinical significance, and recorded in the eCRF.

10.1.3.5.3 Holter Recording

A Holter recording will be performed the first 8 hours after infusion start for efficacy assessments and evaluated centrally as outlined in [Section 10.1.1.1](#). Sites will be contacted by email or telephone if clinical alerts have been detected on the extracted ECG or Holter reports overread by the central ECG laboratory.

Holter data will be retained in case additional retrospective analysis should be necessary for safety.

10.2 Independent Data Monitoring Committee

Safety, tolerability, PK/PD and efficacy will be regularly reviewed by an unblinded independent DMC to advise on dose escalation, de-escalation, and study progression decisions at the interim analyses. The DMC will also periodically review accumulating safety, tolerability, efficacy and PK/PD data throughout the study.

At the end of Part 1, after up to 36 initial patients receiving AP30663 and placebo have completed Day 2 assessments of the study (or terminated the study), the DMC will be convened to review the accumulating unblinded efficacy (including ECG and Holter ECG), PK/PD, safety and tolerability data for the study in an interim analysis, and provide a recommendation on dose escalation, de-escalation, and study progression/early termination in case there is a concern regarding safety (see [Section 8.1](#)

for options regarding Part 2 study design). A pre-determined efficacy algorithm will be put in place to guide the independent DMC in its decision. Decision rules will be based on the AF conversion rate, safety and tolerability signals including but not limited to increase in QTcF interval and number and severity of local injection site reactions.

During the enrolment period, an IA may be planned on a reduced cohort if preliminary blinded safety and efficacy data indicate that current enrolment is sufficient for the DMC to provide a recommendation for Part 2.

Full details of composition, operational aspects, and data to be reviewed and recommendations to be made by the DMC will be described in the DMC Charter. Detailed decision rules will be outlined in the DMC Charter or the SAP.

10.3 Appropriateness of Measurements

The efficacy and safety assessments planned for this study are widely used and generally recognised as reliable, accurate, and relevant to the disease condition.

11 STATISTICAL METHODS

11.1 Statistical and Analytical Plans

The statistical analysis will be performed using SAS[®] version 9.4 or higher. The main population for efficacy analysis will be the Full Analysis Set; supportive analyses will also be performed using the Per-Protocol Set.

Continuous data will be presented using descriptive summaries (e.g. mean, standard deviation [SD], minimum, maximum, median, lower quartile, and upper quartile). Categorical variables will be presented by the number of observations and relative (%) frequency.

Unless otherwise stated, the baseline value for any variable will be the last non-missing value taken prior to the infusion of the double-blind study treatment on Day 1. Pre-infusion Day 1 assessments will be included in this derivation of baseline.

Unless otherwise stated, all statistical tests will be 2-sided and conducted at the 5% level. All presented confidence intervals will be 2-sided 95% confidence intervals.

The SAP will be developed and finalised before database lock and will describe the selection of participants to be included in the analysis and procedures for accounting for unused and spurious data.

11.1.1 Datasets or Populations Analysed

For the purposes of analysis, the following populations are defined for both Parts 1 and 2. Any decisions required for inclusion/exclusion of a population (for example, Per-Protocol Set) will be made for all patients across both Parts 1 and 2.

Population	Description
Enrolled Set	The Enrolled Set will include all participants who sign the ICF.
Randomised Set	The Randomised Set will include all participants who signed the ICF and were subsequently randomised into the study, regardless of study treatment administration.
Full Analysis Set	The Full Analysis Set will serve as the primary population for the analysis of efficacy and will consist of all randomised participants who were administered double-blind study treatment and have an evaluable AF conversion status within 90 min from the start of infusion. Participants will be analysed according to the randomised treatment.

Population	Description
Per-Protocol Set	<p>The Per-Protocol Set includes all participants from the Full Analysis Set who have been treated according to the protocol and fulfil the following criteria (to be further described in the SAP):</p> <ol style="list-style-type: none">1. All inclusion/exclusion criteria satisfied2. Absence of relevant protocol violations with respect to factors likely to affect the efficacy of treatment where the nature of protocol violation will be defined before breaking the blind3. Adequate study drug compliance, which will be determined before breaking the blind <p>Participants will be analysed according to the randomised treatment.</p>
Safety Set	<p>All randomised participants who were administered double-blind study treatment. Participants will be analysed according to the treatment received.</p>
PK Set	<p>The PK Set will include all participants in the Safety Set who have at least one evaluable post-baseline drug concentration value.</p>

Abbreviations: AF = atrial fibrillation, ICF = informed consent form, PK = pharmacokinetic, SAP = statistical analysis plan.

11.1.2 Demographic and Other Baseline Characteristics

Descriptive statistical methods will be used to tabulate and summarise demographics and baseline characteristics.

11.1.3 Efficacy Variables

Definition of Primary Efficacy Endpoint

The primary efficacy endpoint is defined as the proportion of patients who have converted from AF within 90 min from the start of infusion, and subsequently have no AF recurrence within 1 min of conversion from AF.

Definition of Secondary Efficacy Endpoints

- The time to conversion from AF from start of infusion.
- The proportion of patients with relapse of AF within 5 min (IRAF) after pharmacological or DC cardioversion.
- The proportion of patients in SR at 3 h \pm 1 h after start of infusion.
- The proportion of patients in SR at 24 h \pm 2 h after start of infusion.
- The proportion of patients in SR at 30 days \pm 5 days after start of infusion.

Methods of Analysis

For the analysis of the primary endpoint (proportion of patients who have cardioversion within 90 min from the start of infusion) a Bayesian model will be utilised. The prior probability of success at a dose, d , is modelled with a $P_d \sim \text{Beta}(1,1)$ prior (uniform) for each dose. Across both Parts 1 and 2 of the study, the potential doses (arms) in the trial are $d = 0$ (placebo), 2, 3, 4, 5, and 6 (all mg/kg dose units).

Let X_d be the number of successes and N_d be the number of observations at dose d . The posterior distribution is calculated for each dose independently using a Beta posterior distribution,

$$P_d \sim \text{Beta}(1 + X_d, 1 + N_d - X_d).$$

The adaptive design utilises the probability that the response rate at a dose is greater than a 65% success rate. This is $(P_d > 0.65)$, for each dose d .

This modelling will occur for each interim analysis, and at the final analysis as primary method. At the conclusion of the study, each AP30663 dose will be considered to be superior to placebo, if the posterior probability of AP30663 dose has a higher success rate than placebo is greater than 0.95.

Further to the above, for the final analysis the primary endpoint will also be analysed by means of a logistic regression model. Relevant covariates at baseline may be added into the model after reviewing baseline imbalances.

Secondary endpoints relating to proportions will be analysed using a logistic regression model in a similar manner to that of the primary endpoint. Time to conversion will be analysed using Kaplan-Meier methods and, if met, the median time to conversion will be presented, along with the corresponding Kaplan-Meier plot stratified by treatment group.

Full details of all statistical analyses will be provided in the SAP.

11.1.4 Safety Variables

The safety endpoints are as follows:

- AEs, ECG variables including significant arrhythmia, physical examination, vital signs, and laboratory variables.
- Changes in QTcF interval data over time.

Methods of Analysis

AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). Summaries will be presented by system organ class (SOC) and preferred term. Treatment-emergent AEs (TEAEs) are defined as any AE occurring or worsening on or

after the first dose of study medication. If a participant experiences the same preferred term multiple times, the event will be counted only once overall and by the greatest severity.

The frequency and incidence of TEAEs will be presented by SOC and preferred term for each treatment group (number and percentage of patients experiencing at least one TEAE per preferred term as well as the number of observed events per preferred term). Separate tables will be presented by severity and by relationship, and for SAEs, related TEAEs, and TEAEs leading to study discontinuation. All AEs will be presented in full in a comprehensive listing including participant number, treatment regimen, severity, seriousness, action taken, outcome, relationship to treatment, onset/stop, and duration. Details of SAEs and AEs leading to withdrawal will be listed separately.

For ECG values, a categorical analysis of outliers (e.g. number of ECGs where QTc >450 ms, and QTc >500 ms and change from baseline of >30 ms and >60 ms) will be computed and summarised.

Changes in QTcF intervals over time will be summarised by means of a shift table. Abnormal findings (“normal”, “abnormal, not clinically significant”, and “abnormal, clinically significant”) will be summarised by the number and percentage within each category, and change from baseline will be summarised within shift tables.

11.1.5 PK Variables

The PK endpoints are as follows:

- Systemic exposure derived from the population PK model.
- Population PK model parameter estimates derived from plasma concentrations of AP30663.

Methods of Analysis

The concentration at each time point will be summarised as arithmetic mean, SD, median, minimum, and maximum.

Descriptive statistics (n, arithmetic mean, SD, minimum, median, maximum) by treatment group will be calculated for all derived PK endpoints.

Concentration of AP30663 in the plasma will be determined using 2 analyses.

- A static analysis based on plasma concentration will be performed using a non-compartmental analysis. Data from patients with missing concentration values (missing samples) may be used if PK parameters can be estimated using the remaining data points.

- In addition, PK parameters will be derived using a non-linear mixed-effect modelling approach with NONMEM. A pre-specified population PK model based on existing information from previous Phase 1 study (structural model) will be updated with the AP30663 plasma concentrations obtained in this study.

For the non-compartmental analysis, the following PK parameters will be determined for each patient based on plasma concentrations:

- $AUC_{0-0.5}$: Area under the concentration time curve from pre-dose concentration up to 30 min. $AUC_{0-0.5}$ includes the plasma drug concentration up to the end of infusion
- AUC_{0-t} : Area under the concentration time curve up to the last measurable concentration
- AUC_{0-inf} : Area under the concentration time curve from pre-dose through concentration to infinity (extrapolated), calculated as $AUC_{0-t} + C_t/K_{el}$, where C_t is the last observed non-zero concentration
- C_{max} : The observed peak plasma drug concentration
- T_{max} : The time at which C_{max} occurs
- $t_{1/2}$: the terminal half-life value will be calculated using the equation $\ln 2 / K_{el}$, with K_{el} being the elimination rate constant
- K_{el} : Elimination rate constant. This parameter will be the negative of the estimated slope of the linear regression of the \ln -transformed concentration versus time profile in the terminal elimination phase. At least 3 concentration points will be used in estimating K_{el} . The time point where \ln -linear K_{el} calculation begins (K_{el} Lower), and the actual sampling time of the last quantifiable concentration used to estimate the K_{el} (K_{el} Upper) will be reported with the correlation coefficient from the linear regression to calculate K_{el}

From the developed population PK model, PK parameters like volume of distribution and clearance will be estimated. Additional PK parameters including but not limited to area under the curve at steady-state will be derived from the individual Bayes estimates from the population PK model.

Software

Population PK analyses will be performed using NONMEM® version 7.4. Analysis datasets and graphical analyses other than non-linear mixed-effect modelling will be performed using R v3.5.0.

Population PK Approach

The population PK model will be developed using the analysis dataset and guided by the results of exploratory analysis. During the model development process, the structural, statistical, and covariate model will be selected. The model developed will be internally qualified using several diagnostics and statistical techniques as non-parametric bootstrap. A complete description of the population PK approach will be presented in a separate PK modelling analysis plan.

11.1.6 Interim Analyses

The study is conducted in 2 parts (Part 1 and 2). For all IAs during the study, a separate unblinded biostatistical team will prepare and present the unblinded analysis, and the DMC will be convened to review the data and will give their recommendations pertaining to continuation or early termination of the study, and as well as whether or not to increase/decrease the dose per the algorithm below and/or any other available data.

The first IA will be conducted after all randomised patients have completed Day 2 assessments or terminated the study during Part 1. The DMC will be convened to review unblinded data on the primary efficacy endpoint, PK/PD, safety and tolerability data during this IA.

If the DMC decides that the study should continue, then Part 2 of the study will be enacted. During Part 2, IAs will be conducted when 18, 36, and 54 randomised patients have completed Day 2 assessments or terminated the study during Part 2.

For each IA, the success rate for any single dose level d , P_d , will be analysed using a Bayesian model as described in [Section 11.1.3](#), and the following decision criteria will be implemented, dependent on the IA to be reviewed:

Interim Analysis at End of Part 1:

During the first IA the following will occur, regardless of the response seen for the AP30663 3 mg/kg dose:

- Dose 3 (3 mg/kg AP30663) will be stopped.
- Dose 5 (5 mg/kg AP30663 versus placebo) will be opened.

Additionally, the following decision will be made dependent on the response rate seen for 3 mg/kg AP30663:

- If the proportion of patients achieving a response under AP30663 3 mg/kg is > 0.65 then Dose 2 (2 mg/kg AP30663) will additionally be opened for Part 2 (in parallel to Dose 5).

Interim Analyses During Part 2:

Interim analyses will occur when 18, 36, and 54 randomised patients have completed Day 2 assessments or terminated the study in Part 2. At these IAs the following rules will be utilised:

- a) If an open Dose d has at least 10 randomised patients having completed Day 2 assessments and satisfies: $(P_d > 0.65) \geq 0.90$ then Dose d will be closed for sufficient efficacy response.
 - If the dose 1 one level below this dose has never been opened, then dose $d-1$ is opened.
- b) If an open Dose d has at least 10 randomised patients having completed Day 2 assessments and satisfies $(P_d > 0.65) < 0.10$ then Dose d will be closed.
 - If Dose d was the largest dose thus far open, and Dose d is < 6 mg/kg, then dose $d + 1$ will be opened.

The study will continue until all doses of AP30663 have been closed or the maximum of 72 patients during Part 2 (up to 108 patients total across Part 1 and Part 2) have been enrolled.

While the algorithm, and the DMC's decision for dose increase/decrease, is based on AF conversion rate only, the DMC will also convene to review safety and tolerability data at defined time points; review of this data may also lead to further decisions being made by the DMC.

Further details of the IAs and the decision rules to be implemented will be detailed in the SAP and the DMC Charter.

11.1.7 Handling of Missing Data

No imputation of missing data will be performed.

11.2 Determination of Sample Size

The study is proof of concept, designed to allow for a considered testing of a range of doses of AP30663, compared with placebo. Based on the study design, the sample size for randomisation will range from up to 36 patients (for Part 1) to up to 108 patients (for Parts 1 and 2 cumulatively). The total sample size is dependent on the AP30663 dose arms opened on the decision of the DMC. A total of 4 IAs and a final analysis are included in the design, and so it is foreseen that the total number of randomised patients will be up to 36, 54, 72, 90, or 108 patients to have a sufficient number of patients evaluable for AF conversion at each IA.

The adaptive design utilises the probability that the response rate at a Dose d is greater than a success rate of 65% ($P_d > 0.65$, where d is the dose arm of AP30663), based on a Bayesian modelling procedure as stated in [Section 11.1.3](#).

11.3 Protocol Deviations

The investigator should not implement any deviation from the protocol without prior review and agreement by Acesion Pharma and in accordance with the IECs and local regulations, except when necessary to eliminate an immediate hazard to study patients. Such contact must be made as soon as possible to permit a review by the investigator/ Acesion Pharma to determine the impact of the deviation on the patient and/or the study. Any significant protocol deviations affecting patient eligibility and/or safety must be reviewed and/or approved by the IEC, as applicable, before implementation. Protocol deviations will be listed in the clinical study report.

12 QUALITY ASSURANCE AND QUALITY CONTROL

12.1 Audit and Inspection

Study centres and study documentation may be subject to quality assurance audit during the course of the study by Acesion Pharma or its nominated representative. In addition, inspections may be conducted by regulatory authorities at their discretion.

12.2 Monitoring

Data for each patient will be recorded in an eCRF. Data collection must be completed for each patient who signs an ICF and is administered study drug.

In accordance with current Good Clinical Practice and International Council for Harmonisation (ICH) guidelines, the study monitor will carry out source document verification at regular intervals to ensure that the data collected in the eCRF are accurate and reliable.

The investigator must permit the monitor, the IEC, Acesion Pharma's internal auditors, and representatives from regulatory authorities direct access to all study-related documents and pertinent hospital or medical records for confirmation of data contained within the eCRFs.

12.3 Data Management and Coding

Syneos Health will be responsible for activities associated with the data management of this study. This will include setting up a relevant database and data transfer mechanisms, along with appropriate validation of data and resolution of queries. Data generated within this clinical study will be handled according to the relevant SOPs of the data management and biostatistics departments of Syneos Health.

Study centres will enter data directly into an electronic data capture (EDC) system by completing the eCRF via a secure internet connection. Data entered in the eCRF must be verifiable against source documents at the study centre. Data to be recorded directly in the eCRF will be identified and the eCRF will be considered the source document. Any changes to the data entered into the EDC system will be recorded in the audit trail and will be FDA CFR 21 Part 11 compliant.

Medical coding will use MedDRA for concomitant diseases and AEs and WHODrug for medications.

Missing or inconsistent data will be queried in writing to the investigator for clarification. Subsequent modifications to the database will be documented.

12.4 Quality Management and Risk Evaluation

Details are provided in [Section 8.2.2](#).

13 RECORDS AND SUPPLIES

13.1 Drug Accountability

On receipt of the study drug (including rescue medication, if relevant), the delegated unblinded site staff will conduct an inventory of the supplies and verify that study drug supplies are received intact and in the correct amounts before completing a supplies receipt. The unblinded monitor may check the clinical study supplies at each study centre at any time during the study.

It is the responsibility of the unblinded study monitor to ensure that the delegated unblinded site staff have correctly documented the amount of the study drug received, dispensed, and returned on the dispensing log that will be provided. A full drug accountability log will be maintained at the study centre at all times. The unblinded study monitor will arrange collection of unused study drug. The unblinded study monitor will also perform an inventory of study drug before or during the close-out visit to the study centre. All discrepancies must be accounted for and documented.

Drug accountability will be performed by the unblinded site staff and verified by the unblinded study monitor. Responsibilities of the unblinded site staff and the unblinded study monitor will not overlap with responsibilities of the blinded site staff and the blinded study monitor.

13.2 Financing and Insurance

Financing and insurance of this study will be outlined in a separate agreement between Syneos Health and Acesion Pharma.

14 ETHICS

14.1 Independent Ethics Committee

Before initiation of the study at each study centre, the protocol, the ICF, other written material given to the patients, and any other relevant study documentation will be submitted to the appropriate IEC. Written approval of the study and all relevant study information must be obtained before the study centre can be initiated or the study drug is released to the investigator. Any necessary extensions or renewals of IEC approval must be obtained for changes to the study such as amendments to the protocol, the ICF or other study documentation. The written approval of the IEC with the approved ICF must be filed in the study files.

The investigator will report promptly to the IEC any new information that may adversely affect the safety of the patients or the conduct of the study. The investigator will submit written summaries of the study status to the IEC as required. On completion of the study, the IEC will be notified that the study has ended.

14.2 Regulatory Authorities

Relevant study documentation will be submitted to the regulatory authorities of the participating countries, according to local/national requirements, for review and approval before the beginning of the study. On completion of the study, the regulatory authorities will be notified that the study has ended.

14.3 Ethical Conduct of the Study

The investigator(s) and all parties involved in this study should conduct the study in adherence to the ethical principles based on the Declaration of Helsinki, GCP, ICH guidelines, and the applicable national and local laws and regulatory requirements.

14.4 Informed Consent

The process of obtaining informed consent must be in accordance with applicable regulatory requirement(s) and must adhere to GCP.

The investigator is responsible for ensuring that no patient undergoes any study-related examination or activity before that patient has given written informed consent to participate in the study.

The investigator or designated personnel will inform the patient of the objectives, methods, anticipated benefits, and potential risks and inconveniences of the study. The patient should be given every opportunity to ask for clarification of any points she/he does not understand and, if necessary, ask for more information. At the end of the interview, the patient will be given ample time to consider the study. Patients will be required to sign and date the ICF. After signatures are obtained, the ICF will be kept and

archived by the investigator in the investigator's study file. A signed and dated copy of the patient ICF will be provided to the patient or their authorised representative.

It should be emphasized that the patient may refuse to enter the study or to withdraw from the study at any time, without consequences for her/his further care or penalty or loss of benefits to which the patient is otherwise entitled. Patients who refuse to give or who withdraw written informed consent should not be included in or continue in the study.

If new information becomes available that may be relevant to the patient's willingness to continue participation in the study, a new ICF will be approved by the IEC(s) (and regulatory authorities, if required). The study patients will be informed about this new information and reconsent will be obtained.

14.5 Patient Confidentiality

Monitors, auditors, and other authorised agents of Acesion Pharma and/or its designee, the IEC(s) approving this research, as well as that of any other applicable agency(ies), such as the European Medicines Agency (EMA), will be granted direct access to the study patients' original medical records for verification of clinical study procedures and/or data, without violating the confidentiality of the patients to the extent permitted by the law and regulations. In any presentations of the results of this study or in publications, the patients' identity will remain confidential.

15 REPORTING AND PUBLICATION, INCLUDING ARCHIVING

Essential documents are those documents that individually and collectively permit evaluation of the study and quality of the data produced. After completion of the study (end of study defined as the date of the last visit of the last patient), all documents and data relating to the study will be kept in an orderly manner by the investigator in a secure study file. This file will be available for inspection by Acesion Pharma or its representatives. Essential documents should be retained for (whichever takes longer) 25 years after the completion of the study, for 2 years after the final marketing approval in an ICH region, or for at least 2 years since the discontinuation of clinical development of the investigational product. It is the responsibility of Acesion Pharma to inform the study centre when these documents no longer need to be retained. The investigator must contact Acesion Pharma before destroying any study-related documentation. In addition, all patient medical records and other source documentation will be kept for the maximum time permitted by the hospital, institution, or medical practice.

Acesion Pharma must review and approve any results of the study or abstracts for professional meetings prepared by the investigator(s). Published data must not compromise the objectives of the study. Data from individual study centres in multicentre studies must not be published separately.

16 REFERENCES

Feinberg WM, Blackshear JL, Laupacis A, Kronmal R, Hart RG. Prevalence, age distribution, and gender of patients with atrial fibrillation. Analysis and implications. *Arch Intern Med.* 1995;155(5):469-473.

Kirchhof P, Benussi S, Kotecha D, et al. 2016 ESC Guidelines for the management of atrial fibrillation developed in collaboration with EACTS. *Europace.* 2016;18(11):1609-1678.

Zoni-Berisso M, Lercari F, Carazza T, Domenicucci S. Epidemiology of atrial fibrillation: European perspective. *Clin Epidemiol.* 2014;6:213-220.

17 APPENDICES

17.1 Investigator Signature Page

Protocol Title: A Double-Blind, Randomised, Placebo-Controlled, Parallel-Group Study of AP30663 Given Intravenously for Cardioversion in Patients with Atrial Fibrillation

Protocol Number: AP30663 - 2001

Confidentiality and Current Good Clinical Practice Compliance Statement

I, the undersigned, have reviewed this protocol (and amendments), including appendices, and I will conduct the study as described in compliance with this protocol (and amendments), GCP, and relevant ICH guidelines.

Once the protocol has been approved by the IEC, I will not modify this protocol without obtaining prior approval of Acesion Pharma and of the IEC. I will submit the protocol amendments and/or any ICF modifications to Acesion Pharma and IEC, and approval will be obtained before any amendments are implemented.

I understand that all information obtained during the conduct of the study with regard to the patients' state of health will be regarded as confidential. No patients' names will be disclosed. All patients will be identified by assigned numbers on all eCRFs, laboratory samples, or source documents forwarded to Acesion Pharma. Clinical information may be reviewed by Acesion Pharma or its agents or regulatory agencies. Agreement must be obtained from the patient before disclosure of patient information to a third party.

Information developed in this clinical study may be disclosed by Acesion Pharma, to other clinical investigators, regulatory agencies, or other health authority or government agencies as required.

Investigator Signature

Date

Printed Name

Institution

17.2 Blood Sampling

Laboratory test	Maximum number of samples collected	Maximum volume per test	Total volume
Haematology	3	3 - 4 mL	9 - 12 mL
Clinical chemistry	3 - 4	8.5 - 10 or 14 mL ^a	25.5 - 56 mL
Blood ions	1 - 2	included in chemistry	included in chemistry
Coagulation (INR/APTT)	2 - 3	2.7 - 5 mL	5.4 - 15 mL
TSH	1	included in chemistry	included in chemistry
PK	11 - 12	2 - 4 mL	22 - 48 mL

Abbreviations: ALT = alanine aminotransferase, APTT = activated partial thromboplastin time, AST = aspartate aminotransferase, INR = international normalised ratio, PK = pharmacokinetic, TSH = thyroid stimulating hormone.

a Clinical chemistry: 8.5 - 10 mL (including TSH); if ALT/AST tested separately – additional 4 mL.

17.3 Distribution of Patients Scenarios

Example Trials

In this section, we present simulated example trial results. This section is intended to clarify the adaptive design rules of the trial. In example 1, we walk through the steps of the trial and explain each of the rules. In the remaining examples, we present a table of the data at each interim analysis with the posterior summaries and showing the sequences of the trial results.

Example 1:

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		10/18 (0.56)			

Abbreviations: PBO=placebo

Summary of Posterior Distribution

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	36	--		0.19			

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

At the conclusion of Part 1, the observed rate of success on Dose 3 is 0.56. As this value is <0.65 , Dose 2 is not opened. Dose 5 is opened at the start of Part 2. By rule, Dose 3 is closed at the start of Part 2. The one active dose and placebo will be randomised (2:1) for 18 more patients. The results after these 18 patients are:

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		10/18 (0.56)			
Interim 1; Part 2	54	3/24 (0.13)				8/12 (0.67)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	54	--		0.03		0.50	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

At the conclusion of the first IA in Part 2, Dose 5 has a 0.50 probability of being better than a 65% rate, which is between 0.10 and 0.90 and thus continues to be allocated. Dose 5 is the only active dose and thus randomisation is 2:1 for Dose 5 versus placebo for 18 new patients. The new results for these 18 new patients are:

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		10/18 (0.56)			
Interim 1; Part 2	54	3/24 (0.13)				8/12 (0.67)	
Interim 2; Part 2	72	3/30 (0.10)				17/24 (0.71)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	72	--		0.03		0.69	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

Dose 5 has a 0.69 posterior probability, which is >65% but is not greater than the threshold of 90% to stop for sufficient efficacy. The randomisation for the next 18 patients will continue to be 2:1 for Dose 5: placebo. The new results are:

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		10/18 (0.56)			
Interim 1; Part 2	54	3/24 (0.13)				8/12 (0.67)	
Interim 2; Part 2	72	3/30 (0.10)				17/24 (0.71)	
Interim 3; Part 2	90	5/36 (0.14)				28/36 (0.78)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	90	--				0.94	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

Dose 5 has 36 patients completing Day 2 assessments or terminated the study and the posterior probability that the rate is >65% is 0.94, which is larger than the cut-off of 0.90, so Dose 5 will be closed for sufficient success. Dose 4 will be opened for the last cohort of patients. The remaining enrolment period will be enrolled 2:1 for Dose 4 to placebo.

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		10/18 (0.56)			
Interim 1; Part 2	54	3/24 (0.13)				8/12 (0.67)	
Interim 2; Part 2	72	3/30 (0.10)				17/24 (0.71)	
Interim 3; Part 2	90	5/36 (0.14)				28/36 (0.78)	
Final Data	108	6/42 (0.14)		10/18 (0.56)	8/12 (0.67)	28/36 (0.78)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	108	--		>0.999	>0.999	>0.999	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

At the conclusion of the trial each of the Doses 3, 4, and 5, are deemed superior to placebo.

Example 2

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		4/18 (0.22)			
Interim 1; Part 2	54	4/24 (0.17)				5/12 (0.42)	
Interim 2; Part 2	72	6/30 (0.20)					7/12 (0.58)
Interim 3; Part 2	90	7/36 (0.19)					16/24 (0.67)
Final Data	108	8/42 (0.19)		4/18 (0.22)		5/12 (0.42)	24/36 (0.67)

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	36			0.00			
$Pr(P_d > 0.65)$	54					0.05	
$Pr(P_d > 0.65)$	72						0.28
$Pr(P_d > 0.65)$	90						0.53
$Pr(P_d > P_0)$	108			0.690		0.959	>0.999

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

Doses 5 and 6 are considered superior to placebo.

Example 3

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	2/18 (0.11)		9/18 (0.50)			
Interim 1; Part 2	54	4/24 (0.17)				9/12 (0.75)	
Interim 2; Part 2	72	6/30 (0.20)				19/24 (0.79)	
Interim 3; Part 2	90	7/36 (0.19)			9/12 (0.75)		
Final Data	108	8/42 (0.19)		9/18 (0.50)	16/24 (0.67)	19/24 (0.79)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution:

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	36			0.08			
$Pr(P_d > 0.65)$	54					0.72	
$Pr(P_d > 0.65)$	72					0.91	
$Pr(P_d > 0.65)$	90				0.72		
$Pr(P_d > P_0)$	108			0.994	>0.999	>0.999	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

Doses 3, 4, and 5 are considered superior to placebo.

Example 4

Interim	N	PBO	2	3	4	5	6
End of Part 1	36	3/18 (0.17)		13/18 (0.72)			
Interim 1; Part 2	54	4/24 (0.17)	3/6 (0.50)			4/6 (0.67)	
Interim 2; Part 2	72	5/30 (0.20)	4/12 (0.33)			8/12 (0.67)	
Interim 3; Part 2	90	6/36 (0.17)				16/24 (0.67)	
Final Data	108	6/42 (0.14)	4/12 (0.33)	13/18 (0.72)		24/36 (0.67)	

Abbreviations: PBO=placebo

Summary of Posterior Distribution

Interim	N	PBO	2	3	4	5	6
$Pr(P_d > 0.65)$	36			0.70			
$Pr(P_d > 0.65)$	54		0.19			0.47	
$Pr(P_d > 0.65)$	72		0.01			0.50	
$Pr(P_d > 0.65)$	90					0.53	
$Pr(P_d > P_0)$	108		0.947	>0.999		>0.999	

Abbreviations: PBO=placebo, P_d =conversion ratio at d mg/kg, Pr =probability

Doses 2, 3, and 5 are considered superior to placebo.