Investigation of the Effectiveness of Shockwave Therapy, Photobiomodulation, and Physical Therapy in the Management of Non-insertional Achilles Tendinopathy

Madigan Army Medical Center

November 18, 2022

#### MADIGAN ARMY MEDICAL CENTER CONSENT TO PARTICIPATE IN RESEARCH & AUTHORIZATION TO USE AND DISCLOSE PROTECTED HEALTH INFORMATION FOR RESEARCH

2 PRINCIPAL INVESTIGATOR: Dr. Jeremy Schroeder, DO, 3 jeremy.d.schroeder.mil@health.mil, 253-968-2077 4 5 6 **KEY INFORMATION FOR PROTOCOL: Investigation of the Effectiveness of** Shockwave Therapy, Photobiomodulation, and Physical Therapy in the 7 Management of Non-insertional Achilles Tendinopathy: A Randomized Control 8 Trial with Elective Cross-Over Design in Active Duty Service Members 9 10 You are invited to take part in a research study. Your participation is voluntary. This page 11 12 gives you key information about the study to help you decide whether to participate. Detailed information follows this page. Ask the researchers questions you have. If you 13 have questions later, the contact information for the research investigator is below. 14 15 WHAT ARE THE PURPOSE, PROCEDURES, AND DURATION OF THE STUDY? 16 By doing this study we hope to learn more about and compare the effectiveness of 17 standard of care (SOC) physical therapy (PT), PT with photobiomodulation therapy 18 (PBMT; low-level laser therapy), PT with shockwave therapy (SWT; high-energy 19 acoustic wave therapy), and PT with PBMT and SWT, to improve function, decrease 20 pain, and resolve symptoms in individuals with non-insertional Achilles tendinopathy. 21 PBMT and SWT have been used for treatment of a variety of conditions in many 22 different settings, however, it is not known how well these treatments work in 23 combination or in comparison to each other for treating the pain resulting from Achilles 24 tendinopathy. Study participation will include completing guestionnaires evaluating your 25 lower limb function, and overall health completion of pain, activity and medication diary, 26 tests including calf raises and single leg hops and an ultrasound on your Achilles tendon 27 to evaluate your healing progress. You will be randomly assigned to one of four 28 treatment groups: standard of care SOC PT, SOC PT with PBMT, SOC PT with SWT, 29 or SOC PT with PBMT and SWT. After 3 months of treatment, you will have the option 30 31 to select and participate in one of the three other treatment groups. Your participation in this study will last about 6 months. 32 33 WHAT ARE THE KEY REASONS YOU MIGHT CHOOSE TO PARTICIPATE IN THIS 34 STUDY (BENEFITS)? 35 You may benefit from this research by completing the SOC PT, and PBMT and/or SWT 36 treatments which might help your Achilles pain and improve function; these 37 improvements may happen faster if you are in the PBMT, SWT, or PBMT and SWT 38 treatment groups. However, there is no guarantee that you will benefit from your 39 participation in this research study. 40 41 WHAT ARE THE KEY REASONS YOU MIGHT CHOOSE NOT TO PARTICPATE IN 42 THIS STUDY (RISKS AND ALTERNATIVES)? 43

If you choose to take part in this study, there are minor risks and discomforts associated with the treatments involved in this study:

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PBMT: possible risks include discomfort from skin/tissue heating, and a rare risk of
 damage to your eyes if you look directly into the light without appropriate eye protection.
 SWT: risks include discomfort, bruising, swelling and, rarely, tissue damage. Mild pain is
 expected when the device treats the injury site. A study team member will monitor you
 and adjust treatment settings to ensure your pain is tolerable.

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53 PT: The PT treatment you will receive in the study procedures is standard of care.

54 However, the at-home exercises and return to running protocol are both standardized

- study procedures. Possible risks associated with the study-specific physical therapy
- includes: worsening of pre-existing conditions, continued and/or increased pain that
   may limit activities, no improvement in mobility or strength, soreness, or failing during
- and/or injury from physical therapy exercises and/or performance-based tests.
- 59
- Safety of PBMT and SWT treatments in pregnant women has not been established, so,
   the risks to pregnant women are unknown. It is not known whether PBMT or SWT
   treatments can cause birth defects or other problems in an unborn child. If you become

63 pregnant or feel you might be pregnant, contact your personal doctor and the principal

64 investigator of this study listed in the Contact Information section at the end of this

- 65 consent form.
- 66

<sup>67</sup> If you have a nerve problem or difficulty feeling changes in your skin temperature, or a

- tattoo in the treatment area, you should not participate in this study as you may be at
- 69 higher risk for burns. You should also not participate in this study if you have a
- 70 pacemaker, as SW therapy may interfere with its function.
- 71
- Although efforts are made to protect your research study records, there is always a risk

that someone could get access to the personal information in your medical records or

other information researchers have stored about you. There may also be other risks of

- taking part in this study that we do not yet know about.
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#### 77 **DO YOU HAVE TO TAKE PART IN THIS STUDY?**

- <sup>78</sup> If you decide to take part in the study, it should be because you really want to volunteer.
- 79 You will not lose any services, benefits or rights you would normally have at Madigan
- 80 Army Medical Center if you choose not to volunteer.
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#### 82 WHAT IF YOU HAVE QUESTIONS, SUGGESTIONS, OR CONCERNS?

83 The person in charge of this study is Dr. Jeremy Schroeder, DO. If you have questions,

suggestions or concerns about the study, their contact information is: 253-968-2077,

- and mailing address: Madigan Army Medical Center, 9040 Jackson Avenue, Tacoma,
- 86 WA 98431.

- 88 If you have any questions about your rights as a research subject or if you have
- 89 concerns or complaints about the research, please contact the Madigan IRB Office at:
- 253-968-0149, Department of Clinical Investigation, 9040 Jackson Avenue, Tacoma,
- 91 WA 98431-1100.

- 92 Please tell the researchers if you are taking part in another research study.
- 93

94 If you decide to take part in this research study, you will be asked to sign this document.

95 Before you sign this document, be sure you understand what the research study is

about in all sections of the consent form, including the risks and possible benefits to

97 you.

99 100		DETAILED CONSENT:
100 101	1.	WHAT IS THE PURPOSE AND DURATION OF THIS RESEARCH AND WHO
102		WILL TAKE PART?
103 104		You are being asked to take part in this research study because you are seeking care for the treatment of your Achilles pain caused by Achilles tendinopathy. The
104		purpose of this research study is to learn about photobiomodulation therapy (PBMT)
105		and shockwave therapy (SWT) to improve function, decrease pain, and resolve
107		Achilles tendinopathy. PBMT for Achilles tendinopathy has not been well-studied;
108		this means that PBMT is considered experimental for the treatment of Achilles
109		tendinopathy.
110		There will be up to 160 people teking part in the study everall carees two study sites
111 112		There will be up to 160 people taking part in the study overall across two study sites, Madigan Army Medical Center and Fort Belvoir Community Hospital, over a period
112		of 2 years.
114		
115		During the study, you will have an initial study visit (today), 3-week follow-up, 6-week
116		follow-up, 3-month follow-up, and 6-month follow-up. During the first 3 weeks of this
117		study, you will be asked to return for your assigned treatment visits. These visits
118		may take place at Physical Therapy, Sports and Exercise Medicine, Orthopaedics,
119 120		and/or Podiatry clinics; a study team member will let you know where to return for your next scheduled follow-up visit. The schedule of these treatments will vary
120		between groups. You will also complete check-ins with a study team member (in
122		person or virtually) 2 times a week for the first 3 weeks. After the final follow-up at 6
123		months, your involvement in the study will be complete.
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125		At the end of this research study the clinical results, including research results, about
126 127		you will be shared with you, at your request.
128	2.	SCREENING PROCESS TO QUALIFY FOR PARTICIPATION IN THIS STUDY
129		Before you can take part in this study, you will need to have some tests and provide
130		some information so that the Investigator can confirm that you qualify for the study.
131 132		This is called the "Screening Process". These tests may have been done or this information collected as a part of your regular medical care. Biological females of
132		child-bearing age and capacity will be required to take a urine pregnancy test.
134		
135	3.	WHAT WILL HAPPEN IF YOU DECIDE TO BE IN THIS RESEARCH?
136		If you agree to participate in this research, you will be asked to complete the
137		following study procedures after you sign this consent and Health Insurance
138		Portability and Accountability (HIPAA) form:
139 140		Day 1, Today:
140		You will be asked to complete a total of 3 questionnaires to collect your contact
142		information, demographic information, and baseline self-report measures of your
143		lower limb(s) that are affected by Achilles tendinopathy, including relevant medical
144		history, current work status, and your goals for treatment.

If you are a biological female of child-bearing age, you will be required to go to the
 lab to complete a hCG urine pregnancy test to determine your final eligibility status
 to participate in this study.

- If you have bilateral Achilles tendinopathy (affecting both legs), a research study
  team member will review your baseline scores to determine which of your legs will
  be the primary leg for which data will be collected in this study. You will be able to
  receive the study treatment for both legs, but we will only collect data on the primary
  study leg, determined by the leg with the most severe symptoms.
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A member of the study team will measure your foot and lower leg area to determine 155 the appropriate PBMT and/or SWT treatment dosage. You will be asked to complete 156 tests to assess the function of your Achilles tendons on both legs, which will include 157 calf raises and single-leg hops. Your range of motion and strength will be assessed, 158 and your Achilles tendon will be measured with an ultrasound. Ultrasound is a safe 159 and non-invasive procedure that uses low-power sound waves to create an image 160 and take measurements of structure in your body. This portion of the visit will take 161 up to one (1) hour. 162

Before you leave the clinic, you will be given instructions to complete daily exercises for your Achilles tendon and a walking/return to running protocol. The exercises will take approximately 15-20 minutes to complete, and the return to running protocol will take approximately 35 minutes to complete. We will also provide you with a log to track your daily activity/pain/medication intake.

Randomization: You will be randomized to one of four study groups. This means
 you will be assigned to a group by chance; you will have a 25% chance of being in
 any of the four groups.

#### 1. Standard of Care (SOC) Physical Therapy (PT):

If you are randomized to the SOC PT group you will complete check-ins with a study team member (in person or virtually) two times each week, for the first three weeks.

#### 2. SOC PT + Photobiomodulation Therapy (PBMT):

If you are randomized to SOC PT with PBMT group you will receive active treatment with PBMT 2 times each week (in person) and complete check-ins with a study team member 2 times each week (in person or virtually), for the first 3 weeks. The check-ins and PBMT treatment may occur on the same day, but do not have to.

#### 3. SOC PT + Shockwave Therapy (SWT):

- If you are randomized to SOC PT with SWT group you will receive active
  treatment with SWT one time each week (in person) and complete check-ins
  with a study team member 2 times each week (in person or virtually), for the
  first 3 weeks. The check-ins and SWT treatment may occur on the same day,
  but do not have to.

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#### 4. SOC PT + PBMT + SWT:

194If you are randomized to SOC PT with PBMT and SWT group you will receive195active treatment with PBMT 2 times each week (in person), active treatment196with SWT one time each week (in person), and complete check-ins with a197study team member 2 times each week (in person or virtually), for the first 3198weeks. The PT check-ins, PBMT, and SWT may occur on the same day, but199do not have to.

#### Weeks 1-3 - All Groups:

You will be asked to complete your daily exercises, return to running and activity/
 pain/medication log at home. You will complete a check-in with a study team
 member either virtually or in-person twice each week, to discuss your exercises,
 address any questions or concerns, assess for adverse events, and collect your
 activity/pain/and medication log. You will be asked to complete a pain questionnaire
 once a week during one of your check-ins.

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#### PBMT Groups (Groups 2 & 4):

If you are assigned to a group that will receive PBMT treatment, a trained 210 211 member of the study team will apply the PBMT treatment once each week during an in-person treatment visit. The PBMT device is a plastic handle with a glass 212 massage ball at the end where light comes out. The trained study team member 213 will roll the massage ball on the area affected by your Achilles tendinopathy. If 214 you feel uncomfortable at any time, the treatment can be stopped. Both you and 215 the study team member will wear special eye protection (goggles) during the 216 217 entire treatment. Each treatment session will last no more than (20) minutes.

#### 219 SWT Groups (Groups 3 &4):

If you are assigned to a group that will receive SWT treatment, a trained member of the study team will apply the SWT treatment twice each week during an inperson treatment visit. The study team member will apply a gel to your skin and use a handheld device that will be placed in contact with your skin. The device will generate a pressure wave that results in a strike to the injured area from the device. Each treatment session will last no more than (20) minutes.

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#### <u> 3-Week Follow-Up - All Groups:</u>

You will be asked to return to the clinic for your 3-week follow-up visit. This visit may be conducted at the same time as your final check-in but does not have to. At this visit you will turn in your activity/pain/medication log and complete a follow-up questionnaire to assess your lower limb that is affected by Achilles tendinopathy. This visit may take up to one (1) hour.

#### 234 Weeks 3-6 - All Groups:

- You will continue with your care as directed by your provider. This care will not
   include PBMT or SWT treatments. You will be asked to keep tracking your daily
   activity/pain/medication intake in your log.
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#### 241 <u>6-Week Follow-Up - All Groups</u>:

- You will with be asked to return to the clinic or you may be contacted virtually to complete your 6-week follow-up visit. You will complete a follow-up questionnaire to assess your lower limb that is affected by Achilles tendinopathy. If you return to the clinic, you will be asked to turn in your activity/pain/medication log. If this visit is completed virtually, you will hold on to these logs to turn in at your next in-person visit. This visit may take up to thirty (30) minutes.
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#### Weeks 6-12 - All Groups:

You will continue with your care as directed by your provider. This care will not
 include PBMT or SWT treatment. You will be asked to keep tracking your daily
 activity/pain/medication intake in your log.

#### 254 12-Week (3-Month) Follow-Up - All Groups:

You will be asked to return to the clinic for an in-person visit to complete a follow-up
questionnaire to assess your lower limb that is affected by Achilles tendinopathy,
including current work status, treatment satisfaction, treatment goals. You will turn in
all of your activity/pain/medication logs at this visit. At this visit you may also choose
to participate in one of the other three treatment groups of your choice.

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You will be asked to complete tests to assess the function of your Achilles tendons on both legs, which will include calf raises and single-leg hops. Your range of motion and strength will be assessed, and your Achilles tendon will be measured with an ultrasound. This visit may take up to one (1) hour.

- In addition, a study team member will complete a medical record review to document
   relevant physical therapy treatments and medical resource utilization and assess for
   adverse events.
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#### 270 Weeks 12-24 - All Groups:

- If you choose to participate in one of the other three treatment groups, your
  treatment visits will occur according to the treatment schedule of the group you
  choose. No follow-up data will be collected from you during your participation in the
  additional treatment group.
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#### 276 **24-Week (6-Month) Follow-Up - All Groups**:

- You will be asked to return to the clinic for an in-person visit to complete a final
  questionnaire to assess your lower limb that is affected by Achilles tendinopathy,
  including current work status, and treatment satisfaction.
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- You will be asked to complete tests to assess the function of your Achilles tendons
   on both legs, which will include calf raises and single-leg hops. Your range of motion
   and strength will be assessed, and your Achilles tendon will be measured with an
   ultrasound.
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- After you complete the 6 month follow up, your study participation will end.
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289	4.	WHAT ARE THE ALTERNATIVES TO TAKING PART IN THIS RESEARCH?
290		There may be other options for treating your Achilles tendinopathy. Alternative
291		treatments and/or procedures that may be available to you include: continuing your
292		current course of treatment (prescribed or over-the-counter methods), standard pain
293		management therapies, using compression sleeves, resting/icing/elevating your leg,
294		strength training, surgery, physical therapy, or no medical treatment at all. You
295		should talk with your personal doctor (if applicable) about these options.
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297		There may be other research studies involving experimental treatments that could
298		be helpful to your condition.
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300		Choosing not to take part in this research study is also an option.
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302	5.	IS THERE COMPENSATION FOR YOUR PARTICIPATION IN THIS RESEARCH?
303		Yes, you may receive up to \$150 in gift cards or Visa-type card equivalent for your
304		participation in this research.
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306		If you are completing a research activity while on duty (i.e., you are not on leave and
307		you are participating during your regular duty hours), in accordance with the DoDI
308		3216.02 you will not be paid for your time spent completing the research activity as
309		described below.
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311		If you are completing a research activity while off duty (i.e., you are on leave or you
312		are participating outside of your duty hours), in accordance with the DoDI 3216.02,
313		you will be paid for your time spent completing the research activity as described
314		below.
315		
316		If you are eligible to receive research compensation, as defined in the statements
317		above, there are three opportunities for receiving compensation:
318		
319		<ol> <li>when you complete your 6-week follow-up visit - \$50 gift card,</li> </ol>
320		(2) when you complete the 3-month follow-up visit - \$50 gift card, and
321		(3) when you complete the 6-month follow-up visit - \$50 gift card.
322		
323		You will only receive compensation for research activities that you complete. Should
324		you decide to withdraw from the study, or you are withdrawn by the PI, you will only
325		receive compensation for the applicable activities you completed prior to being
326		withdrawn.
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328	6.	ARE THERE COSTS FOR PARTICIPATING IN THIS RESEARCH?
329		No, there are no costs to you for taking part in this research study.
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331	1.	PRINCIPAL INVESTIGATOR (the person(s) responsible for the scientific and
332		technical direction of the study):
333		Dringing Investigator at MANC: Dr. Jaramy Schragder, DO
334		Principal Investigator at MAMC: Dr. Jeremy Schroeder, DO
335		Madigan Army Medical Center Sports and Exercise Medicine Department
336		Sports and Exercise medicine Department

337 338		9040 Jackson Ave, Tacoma WA 98431 jeremy.d.schroeder.mil@health.mil
339		O: 253.968.2077
340 341	Q	STUDY SPONSOR (the organizations or persons who oversee the study and
341	0.	are responsible for analyzing the study data): Musculoskeletal Injury
343		Rehabilitation Research for Operational Readiness (MIRROR), which is based out of
344		the Department of Physical Medicine & Rehabilitation at the Uniformed Services
345		University (USU), is overseeing this research study. As such, authorized staff from
346		MIRROR and the USU will have access to your de-identified research data.
347		
348		As the sponsor of this research, the Department of Defense may have access to
349		your research data in accordance with DoDI 3216.02.
350	•	COURCE OF FUNDING, Dessered funding is provided from the Department of
351 352	9.	<b>SOURCE OF FUNDING:</b> Research funding is provided from the Department of Defense (DoD) Defense Health Agency (DHA) through the Uniformed Services
352 353		University (USU).
354		
355	10	.LOCATION OF THE RESEARCH: Madigan Army Medical Center
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357	11	. DISCLOSURE OF FINANCIAL INTERESTS AND OTHER PERSONAL
358		ARRANGEMENTS: None.
359		
360	12	. WHO WILL SEE MY INFORMATION (PRIVACY) AND HOW WILL IT BE
361		PROTECTED (CONFIDENTIALITY)?
362 363		Records of your participation in this research study may only be disclosed in accordance with state and federal law, including the Federal Privacy Act, 5
364		U.S.C.552a, and its implementing regulations. DD Form 2005, Privacy Act
365		Statement - Military Health Records, contains the Privacy Act Statement for the
366		records. A copy of DD Form 2005 can be given to you upon request, or you can read
367		on-line at: http://www.dtic.mil/whs/directives/infomgt/forms/eforms/dd2005.pdf
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369		The research team will keep your research records. These records may be looked at
370		by authorized research staff, staff from the Madigan Army Medical Center Human
371		Research Protections Office (HRPO), the Madigan Army Medical Center Institutional
372		Review Board (IRB), and the DoD Higher Level Review, and the Food and Drug
373 374		Administration (FDA) as part of their duties. These duties include making sure that the research participants are protected.
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376		Authorized research team members and those listed above will have access to your
377		records and agree to safeguard your protected health information by using and
378		disclosing it only as permitted by you in this consent or as directed by state and
379		federal law. Confidentiality of your records will be protected to the extent possible
380		under existing regulations and laws but cannot be guaranteed.
381		<b></b>
382		Every effort will be taken to protect your identity as a participant in this study.
383		Procedures to protect the confidentiality of the data in this study include but are not
384		limited to:

- Your research data will be identified only by a unique coded study number and not
   by your name, social security number, DoD ID, or other protected identifier. The
   unique coded study number cannot be linked to your name except at the clinic
   where you complete visits.
- All paper research records will be stored in a locked cabinet inside of a locked room 390 accessible only by authorized staff. Your coded study data will be entered into 391 Research Electronic Data Capture (REDCap), a secure, access controlled, and 392 password protected electronic data capture and management system housed on a 393 DoD server and maintained by the Uniformed Services University (USU) in 394 Bethesda, MD. Your coded ultrasound images will be stored in TeleRay, a secure, 395 access controlled, and encrypted data platform. No identifiable information will be 396 entered into REDCap or TeleRay. 397
- 398 Once your coded data is entered in REDCap and TeleRay, it will only be accessible 399 by authorized study team members and oversight officials, the local Madigan 400 research office, the IRB, authorized staff from USU, and authorized staff from 401 402 Musculoskeletal Injury Rehabilitation Research for Operational Readiness (MIRROR), which is based out of the Department of Physical Medicine & 403 Rehabilitation at USU and is serving as the data coordinating center for this study. 404 Representatives of MIRROR/USU will not have access to your identifiable 405 information. 406
  - The Madigan research team will:
- maintain a separate confidential electronic enrollment log which matches the unique coded study numbers with participants' names, date of consent, date of birth, and DoD ID number. This enrollment log will be stored separately from all other electronic research data in a secure, password-protected database on a DoD computer and network that requires CAC access.
  - maintain an intake form that collects your preferred contact information. This
    paper intake form will be kept in a locked cabinet inside of a locked room and
    stored separately from your coded research records.
- keep this consent form and your signed HIPAA authorization for six (6) years following study closure. They will keep your coded paper research forms for five (5) years following study closure. The master code list which connects your identity with your unique study code will be destroyed upon study closure.
   closure.
- By signing this document, you give your permission for information gained from your participation in this research study to be published in literature, discussed for educational purposes, and used generally to further science. You will not be personally identified; all information will be presented as anonymous data. So, your name will not appear in any published paper or presentation related to this study.
- Complete confidentiality cannot be promised for military personnel, because information regarding your health may be required to be reported to appropriate medical or command authorities to ensure the proper execution of the military mission, including evaluation of fitness for duty.

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- 433 A description of this clinical trial will be available on <u>http://www.ClinicalTrials.gov</u> as
- required by U.S. Law. This web site will not include information that can identify you.
- At most, the Web site will include a summary of results. You can search this Web
- 436 site at any time.437

#### 438 13. AUTHORIZATION TO USE AND DISCLOSE PROTECTED HEALTH

- INFORMATION FOR THIS RESEARCH: You are being asked for permission to use
   and disclose your protected health information (PHI) for this research study.
   Protected health information is defined as individually identifiable health information.
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The Health Insurance Portability & Accountability Act of 1996, Public Law 104-191
(also known as HIPAA), establishes privacy standards to protect your health
information. This law requires the researchers to obtain your authorization (by
signing this document) before they use or disclose your protected health information
for research purposes in the study listed above.

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- 449 WHAT PERSONAL IDENTIFIERS AND/OR PROTECTED HEALTH 450 INFORMATION (PHI) MAY BE USED AND DISCLOSED IN THIS RESEARCH?
- 451 The identifiers and/or PHI collected, used, or disclosed are below:
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•	Names		Any other unique identifying
•	Address (all geographic subdivisions		number, characteristic, or code
	smaller than a state)	•	Medical history
•	Dates (except year) directly related to	•	Surgical history
	an individual such as birth date	•	Laboratory results
•	Phone numbers	•	Imaging results
•	E-mail addresses		

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## HOW WILL YOUR PROTECTED HEALTH INFORMATION BE USED OR DISCLOSED IN THIS RESEARCH?

The research team will review your Military Health System (MHS) electronic medical 456 record to collect and document details about your Achilles tendinopathy. This health 457 information includes demographic data (age, rank, race), lab results for the 458 pregnancy test, medical conditions (lower limb function, pain, and treatment). 459 The following protected health information (PHI) will be collected: name, postal 460 address, dates (date of birth, dates of clinic visits, etc.), telephone number, email, 461 and other unique identify numbers or characteristics (diagnosis, DoD ID number, 462 rank, etc.). 463 464

- The use and disclosure of your protected health information is necessary in order to be able to conduct the research described. Records of your participation in this research may only be disclosed in accordance with state and federal law, including the Privacy Act (5 U.S.C. 552a) and the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and its implementing regulations (45 CFR 160 & 164).
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472 Note: Protected health information of military service members may be used or
 473 disclosed without your authorization to military command authorities to ensure the
 474 proper execution of the military mission, including evaluation of fitness for duty.

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By signing this document, you give your permission for information gained from your participation in this research to be published in medical literature, discussed for educational purposes, and used generally to further medical science. You will not be personally identified; all information will be presented as anonymous data.

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### WITH WHOM MAY YOUR PROTECTED HEALTH INFORMATION BE SHARED THROUGH THIS RESEARCH?

- The Madigan Army Medical Center Institutional Review Board
- Madigan Army Medical Center or Department of Defense representatives
- State and Federal Government representatives, when required by law (such as the Food and Drug Administration (FDA))

## Those listed above who are covered entities under HIPAA agree to safeguard your protected health information by using and disclosing it only as permitted by you in this Authorization or as directed by state and federal law.

You need to be aware that some parties receiving your protected health information
may not have the same obligations to safeguard your protected health information
and may re-disclose your protected health information to parties not named above.
If your protected health information is re-disclosed, it may no longer be protected by
state or federal privacy laws.

## You do not have to sign this document. If you decide not to sign this document:

- It will not affect your current treatment, payment or enrollment in any health plans or affect your eligibility for benefits.
- You will not be allowed to participate in the research.

#### After signing this document, you can change your mind and:

- Notify the principal investigator in writing that you have withdrawn your
   permission to disclose or use your protected health information (revoke the
   Authorization).
- Send your written letter to the Principal Investigator, Dr. Jeremy Schroeder, DO,
   Department of Sports and Exercise Medicine, Madigan Army Medical Center,
   9040 Jackson Ave, Tacoma, WA 98431, to inform them of your decision. Your
   revocation is not effective until your letter is received.
- Researchers may continue to use and disclose your PHI that was obtained
   before your revocation became effective to the extent that the researchers have
   taken action in reliance on your earlier authorization. Researchers may also
   continue to use or disclose your PHI as necessary to maintain the integrity or
   reliability of the current research, as, for example, to account for your withdrawal
   from the study, to conduct misconduct investigations, or to report adverse events.

- If you withdraw the Authorization, you will not be allowed to continue to participate in the research.
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521 During your participation in this research, you will not be able to access your 522 research records. This is done to ensure the research results are reliable. After the 523 completion of the research, you have the right to see or copy your research records 524 related to the research listed above. A Request for Access must be made in writing 525 to the Principal Investigator, Dr. Jeremy Schroeder, DO, at Madigan Army Medical 526 Center, Department of Sports and Exercise Medicine, 9040 Jackson Ave, Tacoma, 527 WA 98431.

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If you have not already received a copy of the brochure entitled "Military Health
 System Notice of Privacy Practices," you may request one, or it is available on-line
 at: <u>https://www.health.mil/Military-Health-Topics/Privacy-and-Civil-Liberties/HIPAA-</u>
 Compliance-within-the-MHS/Notice-of-Privacy-Practices

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If you have any questions or concerns about your privacy rights, you should contact
 the Madigan HIPAA Privacy Officer, 9040 Jackson Ave, Tacoma, WA, 98431.
 Telephone: 253-968-1642.

- This Authorization does not have an expiration date.
- 538 539

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Your signature at the end of this document acknowledges that you authorize
 Madigan Army Medical Center and the overall Principal Investigator and other
 members of the research staff to use and disclose your Protected Health Information
 (PHI) collected about you for research purposes as described above.

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#### 16. USE OF INFORMATION?

The information and that we obtain from you for this study might be used for future studies. We may remove anything that might identify you from the information. If we do so, that information may then be used for future research studies or given to another investigator without getting additional permission from you. It is also possible that in the future we may want to use or share study information that might identify you. If we do, a review board will decide whether or not we need to get additional permission from you.

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The investigator has requested to save selected data collected from your participation in this research study for possible use in future research. This future research may be in the same area as the original study or it may be for a different kind of study or distributed to another investigator for future research studies. The specifics of these future research studies are unknown at this time, but these studies will likely be in the area of photobiomodulation (PBM) therapy, shockwave (SW) therapy, and/or Achilles tendinopathy.

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If you consent to participate in this research study, your de-identified data, meaning
 that all of your personal identifiers have been removed, collected as part of this
 research may be kept for future research studies or given to others for future
 approved research studies.

#### \*\*If you would NOT like your de-identified data collected as part of this 566 research to be kept for possible future research, you should not consent to 567 participate in this research study.\*\* 568

569

570 Your de-identified research data will be securely sent to Musculoskeletal Injury Rehabilitation Research for Operational Readiness (MIRROR) and stored at the 571 Uniformed Services University (USU) alongside other de-identified research data. 572 This de-identified research data will be kept indefinitely, or as long as it is practical to 573 maintain, and may be used in future research studies. 574

575

Your de-identified ultrasound images will be maintained within TeleRay by the local 576 Madigan research team indefinitely, or as long as it is practical to maintain, and 577 while funding can be allotted for this service. These images may also be used in 578 future research. 579

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Any future research using your retained data will require a research protocol for the 581 proposed study reviewed and approved by an Institutional Review Board (IRB) (a 582 583 committee responsible for protecting research participants), an Exempt Determination Official (EDO), or other authorized official responsible for protecting 584 human subjects of research. The data protections for privacy and confidentiality 585 described in this consent form will apply to any future use of your stored data. 586

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#### **17. INCIDENTAL FINDINGS**

588 589 There is a possibility that while reviewing your test results we may see an abnormality that we did not expect to see in this study. This is what is called an 590 "incidental finding." 591

We will let you know if we see such an incidental finding. Depending on the type of 593 incidental finding, we may contact you by phone. In the case of a potential serious 594 emergency, the researcher will inform you right away. 595

We will also give information about this incidental finding to your primary doctor or 597 we will refer you to an appropriate doctor for further evaluation. 598

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- An incidental finding may cause you to feel anxious
- Since an incidental finding will be part of your medical record, you could face greater difficulty in getting health or life insurance
- The costs for any care that will be needed to diagnose or treat an incidental finding 604 would not be paid for by this research study. These costs would be your 605 606 responsibility. If you are a DoD beneficiary, you will have access to care through standard Military Health System and TRICARE procedures. 607
- 608 You will have the option to choose to opt out of receiving results of incidental 609
- 610 findings in this consent form.
- 611

#### 612 **18. VOLUNTARY PARTICIPATION**

- The decision to take part in this research study is completely voluntary on your part which means you do not have to take part if you do not want to. You may also leave the research study at any time. If you choose not to take part in this research study or if you leave the study before it is finished, there will be no penalty or loss of benefits to which you are otherwise entitled.
- 618 619

#### 19. WHAT HAPPENS IF I WITHDRAW FROM THIS RESEARCH?

- You may withdraw your consent at any time and stop participating in this research
   study without affecting your eligibility for care or any other benefits to which you are
   entitled.
- 623

Should you choose to withdraw, you must contact the Principal Investigator in writing
via mail or email using the contact information provided in this document. If you
decide to no longer participate in this research study, the researcher may keep and
analyze all data that was collected during your participation in this study. However,
no additional data will be collected after the time of your withdrawal.

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If you are receiving treatment as part of this research study, you will no longer be
 eligible for such research-related treatment. Contact your personal physician to
 discuss medical treatment for your condition.

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- Please note that withdrawing your consent to participate in this research does not
   fully revoke your HIPAA Authorization Form to use/disclose your protected health
   information. To make that revocation, please send a letter to the principal
   investigator as discussed in the HIPAA Authorization section of this form.
- The principal investigator of this research study may terminate your participation in
  this research study at any time if they determine this to be in your best interest, if
  you are unable to comply with the procedures required, if you no longer meet
  eligibility criteria, if you are no longer eligible to receive medical care at a military
  hospital, if the military mission requires it, or if the study is cancelled.
- 644 645

20. WHAT HAPPENS IF YOU ARE INJURED AS A RESULT OF THIS RESEARCH?

If you think that you have a research-related injury, notify your Principal Investigator
 immediately using the contact information in the section below.

If you are injured because of your participation in this research and you are a DoD
healthcare beneficiary (e.g., active-duty military, dependent of active-duty military,
retiree), you are authorized space-available medical care for your injury within the
DoD healthcare system, as long as you remain a DoD healthcare beneficiary. This
care includes, but is not limited to, free medical care at DoD hospitals or DoD clinics.

If you are injured because of your participation in this research and you are not a
DoD healthcare beneficiary, you are authorized space-available medical care for
your injury at a DoD hospital or an DoD clinic; medical care charges for care at a
DoD hospital or a DoD clinic will be waived for your research-related injury. If you
obtain care for research-related injuries outside of a DoD or DoD hospital or clinic,
you will not be reimbursed for those medical expenses.

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- Transportation to and from hospitals or clinics will not be provided or paid for by
   DoD. Unless you are covered by TRICARE, no DoD reimbursement is available if
   you incur medical expenses to treat research-related injuries. No compensation is
   available for research-related injuries. You are not waiving any legal rights.
- 666
   667 21. WHAT IF NEW INFORMATION IS LEARNED DURING THE STUDY THAT MIGHT
   668 AFFECT YOUR DECISION TO PARTICIPATE?
- We will tell you if we learn new information that could change your mind about staying in the study. We may ask you to sign a new consent form if the information is provided to you after you have joined the study.

#### 673 22. <u>CONTACT INFORMATION:</u>

- 674
   675 **Principal Investigator (PI):** The Principal Investigator or a member of the research
   676 staff will be available to answer any questions throughout this study.
- 677 678 Principal Investigator: Dr. Jeremy Schroeder, DO
- 679 Phone: 253-968-2077
- 680 Mailing Address: Madigan Army Medical Center
- 681Department of Sports and Exercise Medicine6829040 Jackson Ave683Tacoma, WA 98431
- 684

#### 685 Madigan Human Research Protection Program (HRPP) Office:

- The Human Research Protection Program Office staff and/or Human Protections
   Director (HPD) will be available to answer questions or discuss concerns you may
   have about this research study. Madigan HRPP Office: 253-968-0149, Department of
   Clinical Investigation, 9040 Jackson Ave, Tacoma, WA 98431-1100.
- 690

# IF THERE IS ANY PORTION OF THIS DOCUMENT THAT YOU DO NOT UNDERSTAND, ASK THE INVESTIGATOR BEFORE SIGNING. YOU MAY CONSULT WITH YOUR PERSONAL PHYSICIAN OR LEGAL ADVISOR, IF YOU WISH.

- A signed and dated copy of this document will be given to you.
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- 699

#### SIGNATURE OF PARTICIPANT

- By signing below, I agree that I have been provided time to read the information describing the research study in the consent form. The content and meaning of this information has been explained to me. I have been provided with the opportunity to ask questions. I voluntarily consent to participate in this study.
- 705
- <sup>706</sup> By signing this form, I have not given up any of my legal rights as a research participant.

Printed Name of Participant	-
Signature of Participant	Date
<b>SIGNATURE OF INDIVIDUAL ADM</b> (Can only be signed by an investigator or stat	
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