UNIVERSITY of ROCHESTER

CONSENT FORM

Feasibility Trial of the FMF Connect Mobile Health Intervention

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This consent form describes a research study, what you may expect if you decide to take part, and important information to help you make your decision. Please read this form carefully.

Please ask questions about anything that is not clear before you agree to participate or at any time. You may take this consent form home to think about and discuss with family or friends.

Key Information

- Being in this research study is voluntary – it is your choice.
- You are being asked to take part in this study because you are a parent/caregiver of a child with a fetal alcohol spectrum disorder (FASD) or prenatal alcohol exposure (PAE).
- The purpose of this study is to test a new smartphone app called FMF Connect. The app was developed specifically for parents/caregivers of children with FASD or PAE.
- Your participation in this study will last for about three months.
- Procedures will include trying out the FMF Connect app and completing online surveys at the beginning and end of the study.
- There are risks from participating.
    - The most common risk is discomfort or boredom from completing surveys or communicating with other parents/caregivers in the app.
    - One of the most serious risks is a loss of confidentiality. See the "Risks of Participation" section in this consent form for more information. You should discuss these risks with the study team if you have questions.
- You might not benefit from being in this research study. The potential benefit to you might be learning new information and strategies in the app that could help you care for your child.

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RSRB Approval Date: 5/7/2020
Expiration Date:

Purpose of Study

The purpose of this study is to test a new smartphone "app" for parents/caregivers of children with FASD. The app is called Families Moving Forward (FMF) Connect. The goal of the app is to provide parents/caregivers with useful information to help manage their children's condition and obtain peer support.

Description of Study Procedures

If you decide to take part in this study, the following will happen:

Screening for Eligibility – All Families

- Answer questions to see if you meet eligibility for the study.
- Complete a brief demographic survey.
- Provide information and/or records about your child's history of prenatal alcohol exposure or FASD diagnosis.

Selection for Feasibility Testing – 120 Parents/Caregivers

- Up to 120 slots are available for parents/caregivers to test the app.
- If interest exceeds this amount, parents/caregivers will be randomly selected to participate. Other characteristics, such as region of the country, gender, and family demographics may be used to include a diverse range of families.
- We are planning to do another larger study in the future. If you are not selected, we will keep you informed when we are ready to start the new study so you can participate if you are interested.

Feasibility Trial – 120 Parents/Caregivers

- Complete surveys online at the start of the study.
    - Surveys ask about your child's behavior, your thoughts about parenting, family needs, knowledge about FASD and advocacy, self-care, and current services.
    - Surveys may take 35-45 minutes to complete
- Try out the app on your phone over a 3-month period.
- Complete the surveys online again after the 3 months end.
    - The surveys from the start of the study will be repeated.
    - There will also be a survey about your thoughts on app quality and how COVID-19 impacted your family and use of the app.

Follow-up Interview – 30 Parents/Caregivers

- A subgroup of parents/caregivers will be interviewed by a member of the research team to learn more about their experiences using the app.
- Interviews will be completed through a web-based video application called Zoom.

- Interviews average about 45-60 minutes. Interviews will be recorded. We will later transcribe these recordings, but we will leave out any identifying information you provide.

We may communicate with you by email if you provide your email address. If you are trying out the app, we will send you weekly email communications as part of the app. The app includes a group chat component, called the Family Forum, which will allow you to post messages over the Internet to other parents/caregivers and trained peer moderators. Since a data connection is required to use some of the app components, possible charges from your mobile service provider can incur. By default, in order to avoid unexpected charges, the app will only access the Internet over WiFi. You will be able to enable/disable cellular data connection at any time through the app settings (wireless carrier fees may apply).

Number of Subjects

Up to 300 parents/caregivers can enroll in the study. A total of 120 parents/caregivers will be selected to participate in the Feasibility Trial to test the app.

Duration of the Study

The duration of the study will last about 3 months.

Risks of Participation

You may feel disappointed or upset if you are not selected to test the app. When testing a new app it is helpful to increase the number of users gradually. This keeps things manageable at first as the developers learn how people use the app in everyday life. The researchers will notify you when the next larger trial is going to be started so you can participate if still interested.

If selected, you may feel uncomfortable or bored when completing surveys or participating in interviews. You can always choose not to answer any questions that make you uncomfortable, take a break, or decide to stop participating.

There are additional risks you should be aware of when trying out the app. For example, you may feel uncomfortable by something another parent/caregiver posts in the app. To reduce the risk of discomfort, we will have a trained moderator who is also a parent/caregiver of a child with FASD. This moderator will create a welcoming environment for everyone and will monitor for inappropriate posts. Clear rules and expectations for posting will be provided to all parents/caregivers using the app. You can also send an email to study staff if you feel uncomfortable or have concerns. Your safety and well-being is important to us. The moderator will let us know if s/he has

concerns about your or anyone's safety based on posts in the app. You can also choose not to enter or post anything in the group chat component of the app.

There are also additional risks to privacy and confidentiality through the app. We will protect against these risks by using state-of-the art authentication and encryption algorithms between the app and the secure Cloud data storage. We will also have you set a passcode to access the app on the phone. Your personal data will be encrypted automatically whenever your phone is locked. You will select a unique username in the app that will not include any personally identifying information. In the Family Forum group chat feature, you will have the ability to choose how much information to share about yourself and your family. We will instruct all parents/caregivers not to share anyone else's information posted in the group chat component within or outside the app, but we can not control that. The moderator will monitor for inappropriate sharing.

A description of this clinical trial will be available on http://www.ClinicalTrials.gov, as required by U.S. Law. This Web site will not include information that can identify you. At most, the Web site will include a summary of the results. You can search this website at any time.

Benefits of Participation

You might not benefit from being in this research study. It is possible, although not guaranteed, that you might find some of the information included in the app to help you understand your child better. You might also experience a sense of support or encouragement from other parents/caregivers.

Costs

There will be no cost to you to participate in this study.

Payments

You will be paid $40 each time you complete study surveys ($80 total). You will receive $20 if you are selected to complete an interview. Payment will be an electronic gift card.

Confidentiality of Records and Authorization to Use and Disclose Information for Research Purposes

The University of Rochester makes every effort to keep the information collected from you private. In order to do so, we will treat your information in strict confidence to the extent provided by law. Your identity will be coded and will not be associated with published results. Your code numbers and any identifying information will be kept in locked files or secure encrypted servers that only the Principal Investigators or study staff can access. Study staff respect your family's desire for privacy. If, however, concerns arise about your welfare or that of someone else in your family, a study staff member will talk with you

about these concerns to make sure that any support you need is made available. Study staff may need to share information with outside authorities in the event that a study subject reports a danger to themselves or others. As professionals, study staff are required to report suspected child or elder abuse. If this occurs, they will make every effort to talk with you prior to filing a report.

Sometimes, however, researchers also need to share information that may identify you with people that work for the University, regulators, or the study sponsor. If you have never received a copy of the University of Rochester Medical Center (URMC) and Affiliates Notice of Privacy Practices, please ask the investigator for one.

What information may be used and given to others?
The study staff will collect your personal information. For example:

- Research records
- Records about emails and phone calls made as part of this research
- Medical records from FASD evaluations you provide
- Records about your surveys
- Audio recordings of interviews
- Data collected within the app

Who may use and give out information about you?

- Study staff

Your information may be given to:

- The Department of Health and Human Services
- The University of Rochester
- Seattle Children's Research Institute
- National Institute of Alcoholism and Alcohol Abuse

Why will this information be used and/or given to others?

- To do the research
- To study the results
- To see if the research was done correctly

If the results of this study are made public, information that identifies you will not be used.

What if I decide not to give permission to use and give out my health information?
Then you will not be able to be in this research study.

How long will this permission be valid?
This permission will last indefinitely.

May I cancel my permission to use and disclose information?
Yes. You may cancel your permission to use and disclose your health information at any time. You do this by sending written notice to the study doctor. Upon receiving the written notice, the study team will no longer use or disclose your health information and you will not be able to stay in this study. Information that has already been gathered may need to be used and given to others for the validity of the study.

May I withdraw from the study?
Yes. If you withdraw your permission to be in the study, no new health information identifying you will be gathered after that date. Information that has already been gathered may still be used and given to others.

Is my health information protected after it has been given to others?
No. There is a risk that your information will be given to others without your permission.

Future Use of Information
Because this project is being done with other researchers around the world as part of the Collaborative Initiative on Fetal Alcohol Spectrum Disorders (CIFASD), data collected in this study will be sent electronically to a central location and could be used by other researchers in CIFASD. Names will not be included in these shared data, but it will be possible to link information collected about you in this study to information collected in other studies.

Other researchers who are not part of CIFASD may request access to these data, but again, no names will be released. These other researchers will not ask for your consent to use your data in the future. Researchers may be from the University of Rochester, other universities, government agencies (like the National Institutes of Health), or private companies. Any published results from researchers on your data will not identify you. Researchers may also create new products (like new interventions) as part of their research. If that happens, you will not share in the profits or losses in the sale of these products.

Sponsor Support
The University of Rochester is receiving payment from the National Institute of Alcohol Abuse and Alcoholism for conducting this research study.

Certificate of Confidentiality
To help us further protect your privacy, the investigators have a Certificate of

Confidentiality from the Department of Health and Human Services (DHHS).

With this Certificate, the investigators cannot be forced (for example, by court subpoena) to disclose or use research information, documents, or samples that may identify you in any Federal, State, or local civil, criminal, administrative, legislative, other proceedings, or be used as evidence. Disclosure will be necessary, however, upon request of DHHS for audit or program evaluation purposes, or to other government agencies related to communicable diseases.

You should understand that a Certificate of Confidentiality does not prevent you or a member of your family from voluntarily releasing information about yourself or your involvement in this research. If an insurer, employer, or other person obtains your consent to receive research information, then the investigator may not use the Certificate to withhold that information. This means that you and your family must also actively protect your own privacy.

Finally, you should understand that the investigator is not prevented from taking steps, including reporting to authorities, to prevent serious harm to yourself or others. The Certificate of Confidentiality will not be used to prevent disclosure to state or local authorities of child abuse and neglect, or serious harm to the subject or others.

Contact Persons

For more information concerning this research or if you feel that your participation has resulted in any emotional or physical discomfort please contact: Christie Petrenko, Ph.D. at 585-275-2991 x 241.

Please contact the University of Rochester Research Subjects Review Board at 265 Crittenden Blvd., CU 420628, Rochester, NY 14642, Telephone (585) 276-0005 or (877) 449-4441 for the following reasons:

- You wish to talk to someone other than the research staff about your rights as a research subject;
- To voice concerns about the research;
- To provide input concerning the research process;
- In the event the study staff could not be reached.

Voluntary Participation

Taking part in this study is voluntary. You are free not to take part or to withdraw at any time, for whatever reason. No matter what decision you make, there will be no penalty or loss of benefit to which you are entitled. In the event that you do withdraw from this study, the information you have already provided will be kept in a confidential manner.

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Use of E-mail for Communication in Research

When using e-mail to communicate with you in this study, the researcher cannot guarantee, but will use reasonable means to maintain security and confidentiality of e-mail information sent and received. You and the researcher should understand the following conditions, instructions and risks of e-mail use:

Conditions for e-mail use:

a) E-mail is not appropriate for urgent or emergency situations. The researcher cannot guarantee that any particular e-mail will be read and responded to.
b) E-mail must be concise. You should schedule an appointment if the issue is too complex or sensitive to discuss via e-mail.
c) E-mail communications between you and the researcher will be filed in your research record.
d) Your messages may also be delegated to any member of the study team for response.
e) The researcher will not forward subject-identifiable e-mails outside of URMC and Affiliates without your prior written consent, except as authorized or required by law.
f) You should not use e-mail for communication regarding sensitive medical information.
g) It is your responsibility to follow up and/or schedule an appointment if warranted.

Instructions for e-mail use:

a) Avoid use of your employer's computer.
b) Put your name in the body of the e-mail.
c) Put the topic (e.g., study question) in the subject line.
d) Inform the researcher of changes in your e-mail address.
e) Take precautions to preserve the confidentiality of e-mail.
f) Contact the researcher's office via conventional communication methods (phone, fax, etc.) if you do not receive a reply within a reasonable period of time.

Risks of e-mail use:

Sending your information by e-mail has a number of risks that you should consider. These include, but are not limited to, the following:

a) E-mail can be circulated, forwarded, stored electronically and on paper, and broadcast to unintended recipients.
b) E-mail senders can easily misaddress an e-mail.
c) Backup copies of e-mail may exist even after the sender or the recipient has deleted his or her copy.
d) Employers and on-line services have a right to inspect e-mail transmitted through their systems.

e) E-mail can be intercepted, altered, forwarded, or used without authorization or detection.
f) E-mail can be used to introduce viruses into computer systems.

Use of Text Message Communication for Research

Text messages by mobile/cell phones are a common form of communication. The FMF Connect research study involves sending you text messages that are relevant to the research study and are limited to communications regarding scheduling and reminders for completing study surveys/interviews. Texting over mobile/cell phones carries security risks because text messages to mobile/cell phones are not encrypted. This means that information you send or receive by text message could be intercepted or viewed by an unintended recipient, or by your mobile/cell phone provider or carrier.

Below are some important points about texting in this research study.

- Text messages are not encrypted, and therefore carry security risks. This research study and the University of Rochester are not responsible for any interception of messages sent through unencrypted text message communications.

- You will be responsible for all fees charged by your carrier's service plan for text messaging. This research study and the University of Rochester are not responsible for any increased charges, data usage against plan limits or changes to data fees from the research texts.

- Text messages will only be read during regular business hours. Texts sent on nights, weekends, and holidays will not be read until the following business day.

- Text messaging should not be used for sensitive medical information, or in case of an emergency. If you experience a medical emergency, call 911 or go to the nearest hospital emergency department.

- You may decide to not send or receive text messages with staff associated with this research study at any time. You can do this in person or by sending the research number a text message that says "Stop Research Text."

- Your agreement, and any request to stop text messaging, applies to this research study only.

It is your responsibility to update your mobile/cell phone number with this research study in the event of a change.

SIGNATURE/DATES

After reading and discussing the information in this consent form you should understand:

- Why this study is being done;
- What will happen during the study;
- Any possible risks and benefits to you;
- Other options you may have instead of being in the study;
- How your personal information will be protected;
- What to do if you have problems or questions about this study.

Subject Consent

I have read (or have had read to me) the contents of this consent form and have been encouraged to ask questions. I have received answers to my questions. I agree to participate in this study. I will receive a signed copy of this form for my records and future reference.

_____
Subject Name (Printed by Subject)

_____ _____
Signature of Subject Date

Person Obtaining Consent

I have read this form to the subject and/or the subject has read this form. I will provide the subject with a signed copy of this consent form. An explanation of the research was given and questions from the subject were solicited and answered to the subject's satisfaction. In my judgment, the subject has demonstrated comprehension of the information. I have given the subject adequate opportunity to read the consent before signing.

_____
Name and Title (Print)

_____ _____
Signature of Person Obtaining Consent Date

CONSENT TO RE-CONTACT

May someone from the study team, contact you in the future to see if you would like to participate in other research?

Yes No

RSRB Approval Date: 5/7/2020
Expiration Date: