## **CLINICAL RESEARCH PROTOCOL**

| DRUG:                   | SPI-1005   |
|-------------------------|--|
| STUDY NUMBER(S):        | SPI-1005-251   |
| PROTOCOL(S) TITLE:      | A PHASE 2b, RANDOMIZED, DOUBLE-BLIND,<br>PLACEBO-CONTROLLED STUDY TO<br>EVALUATE THE SAFETY AND EFFICACY OF<br>SPI-1005 IN MENIERE'S DISEASE |
| IND NUMBER:             | 127540   |
| SPONSOR:                | Sound Pharmaceuticals, Inc.<br>4010 Stone Way N., Suite 220<br>Seattle WA, 98103<br>Phone: 206-634-2559<br>FAX: 206-691-8262                 |
| ORIGINAL PROTOCOL DATE: | 21 August 2017   |
| <b>VERSION NUMBER:</b>  | 1.00   |
| VERSION DATE:           | 21 August 2017   |

CHEMICAL NAME: 2-phenyl-1,2-benzisoselenazol-3 (2H) -one

## Lead Investigator:

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# **1 PROTOCOL SUMMARY**

# 1.1 Synopsis

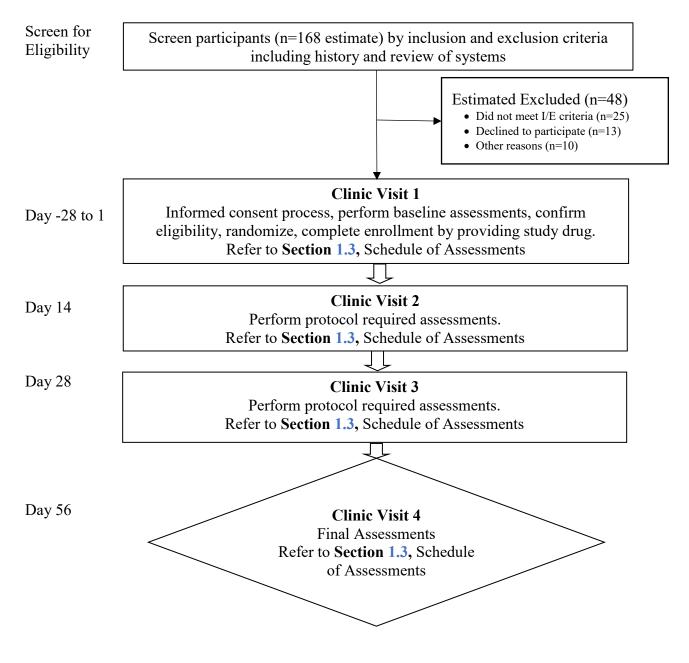
| SPI-1005-251: CLINICAL TRIAL SYNOPSIS |   |  |  |  |  |
|---------------------------------------|---|--|--|--|--|
| Title                                 | A PHASE 2b, RANDOMIZED, DOUBLE-BLIND, PLACEBO-<br>CONTROLLED STUDY TO EVALUATE THE SAFETY AND<br>EFFICACY OF SPI-1005 IN MENIERE'S DISEASE  |  |  |  |  |
| Study                                 | The primary objective of this Phase 2 study is to determine the:  |  |  |  |  |
| Objective(s)                          | • Safety of SPI-1005 treatment in adults with Meniere's disease.  |  |  |  |  |
|                                       | • Efficacy of SPI-1005 will be determined by the following clinically significant methods and measures (responder analysis):  |  |  |  |  |
|                                       | <ul> <li>&gt; Pure tone audiometry (≥30 dBHL at 250, 500 or 1000 Hz)</li> <li>≥10 dB improvement from baseline</li> <li>&gt; Words in Noise Test (0-35)</li> </ul>  |  |  |  |  |
|                                       | <ul> <li>≥10 % increase from baseline</li> <li>➤ Tinnitus Functional Index (TFI) (0-100)</li> </ul>   |  |  |  |  |
|                                       | $\geq$ 10 pt. reduction from baseline   |  |  |  |  |
|                                       | <ul> <li>Tinnitus Loudness: Q#2 of TFI (0-10)</li> <li>2 pt. reduction from baseline</li> </ul>   |  |  |  |  |
|                                       | <ul> <li>Vertigo Symptom Scale (0-60)</li> <li>26 pt. reduction from baseline</li> </ul>  |  |  |  |  |
|                                       | <ul> <li>The responder analysis from each method and measure will be compared between treatment groups for significance.</li> <li>Efficacy of SPI-1005 treatment in adults with Meniere's disease based on <ul> <li>Improvement in sensorineural hearing loss using pure tone audiometry at 250, 500, or 1000 Hz; or</li> <li>Word recognition score using WIN testing at 24, 20, 16, 12, 8, 4 and 0 SNR; or</li> </ul> </li> </ul> |  |  |  |  |
|                                       |   |  |  |  |  |
|                                       |   |  |  |  |  |
|                                       | • Improvement in the Tinnitus Functional Index (TFI); or  |  |  |  |  |
|                                       | • Improvement in Tinnitus Loudness (TL); or   |  |  |  |  |
|                                       | • Improvement in the Vertigo Symptoms Scale (VSS).  |  |  |  |  |
|                                       | The secondary objectives of this study are to determine the:  |  |  |  |  |
|                                       | • Pharmacokinetics of SPI-1005.   |  |  |  |  |
| Study Design                          | Randomized Double Blind Placebo Controlled Study of SPI-1005 in adult volunteers with Meniere's Disease (probable or definitive   |  |  |  |  |

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|---------------------------------------|---|--|--|--|
|                                       | diagnosis) with active symptoms in the months preceding study<br>enrollment. All subjects will undergo baseline audiometric testing and<br>have their severity of sensorineural hearing loss, tinnitus and vertigo<br>determined before initiating treatment. Subjects will be randomized to<br>treatment, either placebo or two different doses of SPI-1005 (1:1:1)<br>and treated for 28 days. Subjects will return to clinic 2 weeks after the<br>start of study drug to have safety assessments and to receive additional<br>study drug. Subjects will return to clinic at week 4 to have their<br>hearing loss, tinnitus and vertigo re-assessed, and again 4 weeks after<br>the end of study drug treatment. Therefore, 4 scheduled clinic visits<br>will be performed over an 8 week period. Audiometry will be<br>performed at baseline, at the end of the 4 week treatment period, and 4<br>weeks after treatment has stopped. The TFI and VSS will be<br>performed at all scheduled clinic visits. Subjects are allowed to<br>continue with their existing maintenance therapies (i.e. low salt diet,<br>thiazide diuretic, or other PRN medications) except for the use of oral<br>or locally injected steroids. New treatments should be avoided while<br>on study. |  |  |  |
| Study Centers                         | Approximately 12 U.S. sites will participate in this study  |  |  |  |
| Subjects                              | 120 male and female adults with probable or definitive Meniere's disease.   |  |  |  |
| Inclusion<br>Criteria                 | <ul> <li>Adult male and female patients, 18-75 years of age at the time of enrollment.</li> <li>Diagnosis of probable or definitive Meniere's disease by AAO-HNS 1995 criteria (Section 12.1, APPENDIX I).</li> <li>Two of three active symptoms including vertigo or disequilibrium, fluctuating hearing loss, or tinnitus within the 3 months prior to study enrollment.</li> <li>Hearing loss of ≥30 dBHL at either 250, 500 or 1000 Hz.</li> <li>Voluntary consent to participate in the study.</li> <li>Male subjects that are willing to use condoms throughout the study period and 90-days following study completion even if not fertile.</li> <li>Females of childbearing potential should either be sexually inactive (abstinent) for 14 days prior to screening and throughout the study or be using one of the following acceptable birth control methods: <ul> <li>IUD in place for at least 3 months prior to study; or</li> <li>Barrier method (condom or diaphragm) with spermicide for at least 14 days prior to screening through study completion; or</li> <li>Stable hormonal contraceptive for at least 3 months prior</li> </ul> </li> </ul>   |  |  |  |

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|---------------------------------------|--|--|--|--|
|                                       | <ul> <li>to study and through study completion; or</li> <li>Surgical sterilization (vasectomy) of partner at least 6 months prior to study enrollment.</li> <li>Females of non-childbearing potential should be surgically sterile (bilateral tubal ligation with surgery at least 6 months prior to study enrollment, hysterectomy, or bilateral oophorectomy at least 2 months prior to study) or be at least 1 year since last menses.</li> </ul> |  |  |  |
| Exclusion<br>Criteria                 |  |  |  |  |
| Study Duration                        | Estimated duration of 12 months  |  |  |  |
| Participant<br>Duration               | Participation for each study participants will be approximately 8 to 12 weeks across 4 clinic visits.  |  |  |  |
| Dose &<br>Regimen                     | Subjects will receive either SPI-1005 or matching placebo for 28 days.<br>Treatment will be taken orally BID. Doses include 200 mg or 400 mg<br>of ebselen or matching placebo in capsule form. Two week supplies<br>will be provided on Clinic Visit 1 and 2.   |  |  |  |

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|---------------------------------------|---|--|--|--|
| Safety &<br>Tolerability              | Physical examinations, vital signs, adverse events, hematology (CBC) and serum chemistries will encompass safety assessments.                       |  |  |  |
| Assessments                           | Adverse events will be coded using MedDRA (Version 18.1 or higher) and summarized by treatment group for the number of adverse events and compared. |  |  |  |
|                                       | Vital signs and clinical laboratory results will be summarized for each treatment group and compared.   |  |  |  |
| PK<br>Assessments                     | The trough plasma levels of ebselen and its major metabolite (2-glucuronyl selenobenzanilide) will be determined using LC-MS/MS.                    |  |  |  |
|                                       | The corresponding selenium plasma levels will be determined by ICP-MS.  |  |  |  |
|                                       | PK results will be summarized by treatment group.   |  |  |  |
| Lead<br>Investigator (s)              | Paul R. Lambert, MD   |  |  |  |

### 1.2 Schema



#### **Schedule of Assessments** 1.3

| Clinic Visit                         | CV1<br>(Screening/Baseline) | CV2        | CV3        | CV4       |
|--------------------------------------|-----------------------------|------------|------------|-----------|
| Study Day                            | -28 to 1                    | 14 (+/- 3) | 28 (+/- 3) | 56 (+/-3) |
| Informed<br>Consent                  | Х                           |            | . ,        |           |
| Demographics                         | Х                           |            |            |           |
| Medical<br>History                   | Х                           |            |            |           |
| Physical Exam                        | Х                           | Х          | Х          | Х         |
| Hight                                | Х                           |            |            |           |
| Weight                               | Х                           | Х          | Х          | Х         |
| BMI                                  | Х                           |            |            |           |
| Vital Signs                          | Х                           | Х          | Х          | Х         |
| CBC                                  | Х                           | Х          | Х          | Х         |
| Serum<br>Chemistry                   | Х                           | Х          | Х          | Х         |
| Urine<br>Pregnancy <sup>(1)</sup>    | Х                           |            |            |           |
| Otoscopy                             | Х                           |            | Х          | Х         |
| Tympanometry                         | Х                           |            |            |           |
| TFI                                  | Х                           | Х          | Х          | Х         |
| VSS                                  | Х                           | Х          | Х          | Х         |
| РТА                                  | Х                           |            | Х          | Х         |
| WINT                                 | Х                           |            | Х          | Х         |
| Concomitant<br>Medication<br>Review  | Х                           | Х          | Х          | Х         |
| Randomization                        | Х                           |            |            |           |
| SPI-1005<br>Treatment <sup>(2)</sup> | Х                           | Х          | Х          |           |
| Adverse Events                       | Х                           | Х          | Х          | Х         |
| PK                                   | Х                           | Х          | Х          | Х         |

 <sup>(1)</sup>Urine pregnancy test on CV1 screening visit only.
 <sup>(2)</sup> SPI-1005 treatment begins after safety labs (CBC, serum chemistry) are reviewed and all inclusion/exclusion criteria are met.