STATISTICAL ANALYSIS PLAN

REDUCING ASSESSMENT BARRIERS FOR PATIENTS WITH LOW LITERACY

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Introduction

Study Background

The concept of *health literacy* is the degree to which an individual can obtain, process, and understand basic health information,[1-6] which includes the ability to understand and respond to questionnaires. Questionnaires are susceptible to being inappropriate for the respondent (e.g., containing overly complex or confusing questions), so it is important to examine questionnaire properties so that participants can express their symptoms in clinical care and research.

In recent years, the NIH has invested heavily in the creation of the Patient-Reported Outcomes Measurement Information System (PROMIS),[7-13] which is a large collection of state-of-the-art questionnaires designed to measure symptoms and other health-related concepts. PROMIS has advanced health measurement and the ability to incorporate patients' perspectives into clinical decision-making. But PROMIS, like almost all other questionnaires, has not been validated for use by people with low health literacy, and may work poorly for individuals with low levels of health literacy. Thus, people with low literacy may be inaccurately assessed in all research and clinical settings due to unrecognized measurement bias of commonly used tools. The goal of this study is to determine how health literacy affects selected PROMIS and other questionnaires, so that these tools can be improved, resulting in precise health assessment for all participants.

At the time of this version of the analysis plan (Version 5.0), several modifications have been made to the protocol and analysis plan in response to the COVID-19 pandemic. Interim analyses of the data, before data was complete included 1) a trial run of downloading the data from REDCap and merging constituent files, 2) exploratory analyses of cognition (measured by the MOCA-B) and PROMIS Depression, and 3) exploratory analyses of screening data (Single Item Literacy Screener, demographics) as a predictor of health literacy; these latter analyses were done to help better stratify our participants. All analyses that were not pre-registered will be labelled as post-hoc, exploratory analyses. This document is meant to supplement the detailed study protocol and focuses on the analytic methodology. The goal of this document is to describe *a priori* statistical and methodological decisions, which will be adhered to rigorously through the analysis process.

Study Objectives

Using a large sample, we will determine the psychometric properties of health questionnaires across levels of health literacy. Testing will be conducted in English and Spanish, across two modes of administration (paper-and-pencil vs. talking touchscreen). Each participant will have three visits (baseline, 3-month, and 6-month follow-up), described below, in order to determine changes in psychometric properties over time. We will use *differential item functioning* (DIF) and other psychometric analyses to identify questionnaire items with varying characteristics across levels of health literacy. Before the COVID-19 pandemic, our original plan was to recruit $N = 1216$ participants for in-person assessments. At the time of this plan our final N is 728 participants who have completed a health literacy assessment; some of these participants are missing data on subsequent questionnaires. In-person recruitment was put on hold during the COVID-19 pandemic; in order to adapt the the pandemic, a subset of participants ($N = 304$) was invited to a phone-based protocol that included data collection about the impact of COVID-19, vaccine confidence, and health-related quality-of-life (PROMIS-29 profile).

- **Develop alternative modes of test administration that can better accommodate people with low health literacy.** National statistics indicate that 14% of adults in the United States have below basic reading skills, and over 75 million Americans have below basic or basic health literacy skills.[14] These data strongly indicate that many individuals seeking health care will have difficulties with written questionnaires, even those that have been carefully developed such as those in the NIH PROMIS. We will test an alternative mode of administration that has been specifically designed to aid people of low health literacy – a computer-assisted "talking" version that uses speech technology that participants can privately access as needed to have items and response options

read to them. We will analyze the talking touchscreen groups and paper-based groups separately. We hypothesize that the talking touchscreen will reduce health literacy-related test bias by level of health literacy, relative to traditional paper-based methods. This will be evidenced by fewer items being flagged for DIF in the group that receives the talking touchscreen. In terms of descriptive statistics to display the magnitude of effects, we will report effect sizes (McFadden's R^2) of DIF items, as well as test characteristic curves (TCCs) for DIF items. Among participants with low health literacy, we expect that the discrimination parameters of the touchscreen condition will be higher than the paper-based condition.

- **Determine the degree of differential item functioning (DIF) of tools relevant to clinical research across different levels of health literacy.** DIF analyses will be conducted to identify test bias by level of health literacy. This will ensure that individuals with low literacy are assessed fairly in clinical and research settings. We will assess a broadly applicable instrument to primary care – the PROMIS Profile, which measures anxiety, depression, fatigue, pain interference, pain intensity, physical function, sleep disturbance, and social roles and activities. Other questionnaires included in the study are shown in Table 1. We will stratify participants into low versus adequate literacy levels using Health LiTT (groups will be formed according to T scores of < 55 vs. 55+).[15] A secondary analysis will use the NUMi, a measure of health numeracy, in three groups (low = 0-3, medium = 4-6, high = 7 or greater). Then, we will determine whether psychometric properties of each questionnaire scale are consistent for individuals with low health literacy versus adequate health literacy. We hypothesize that DIF will be observed for multiple scales using paper-and-pencil administration, suggesting a need to improve test items, and a need to examine alternative ways to administer these tools.

Statistical methodology

Overview

Data will be summarized by group and in total. In summary tables of continuous variables, the minimum, maximum, mean, and standard deviation will be presented with one decimal place. We will use counts and percentages in table for categorical variables. The denominator for each percentage will be the number of participants within the group unless otherwise specified. All hypothesis testing will be carried out at a two-tailed Type I error rate of α = .05 unless otherwise specified. P-values will be rounded to three decimal places with a minimum of < 0.001 in tables. If statistical methods change during analysis, this will be documented in all reports with justification. Additional analyses of these data will inevitable, but any exploratory analyses will be clearly marked as such.

Statistical power analysis and sample size selection

IRT and DIF analyses require large samples due to the large number of free parameters to be estimated. The proposed sample size herein is larger than other successful implementations of these same analyses carried out in other IRT studies.[16-19] Moreover, we have carried out computer simulations to determine if our proposed sample sizes will be sufficient to create IRT models. We assumed a one-factor model across two groups at n = 100 each with standardized factor loadings set to .7 for eight questionnaire items. We used two groups for this simulation to ensure that any pairwise model comparisons would have adequate power. For this simulation, we specified that a non-significant model χ^2 was a good fit, which is a conservative decision because this particular test is highly sensitive relative to other measures of model fit, especially with large samples. Across 500 iterations, a good-fitting model was identified across groups 90% of the time. Even dropping the sample size to as low as n = 50 would identify a good-fitting model 84% of the time.

Missing data

We will describe the rate of missing data on an item-by-item basis using frequency tables in R. We do not anticipate high rates of missing data, but we will conduct subgroup analyses by health literacy, site, and administration mode as need to help diagnose any problems with missing data. For DIF analyses, IRT models

Table 1	
Visit 1 (Baseline)	**Description of instrument**
Language Assessment	To determine testing in English or Spanish
Single Item Health Literacy Screener	To screen for possible literacy status
Short Portable Mental Status Questionnaire (SPMSQ)	To determine cognitive status to ensure the possible participant has sufficient mental status to participate
Demographics	To assess participant characteristics
Health Literacy Assessment (Health LiTT)	Assessment of health literacy.
NUMI	Assessment of numeracy. That is, how well the person can process numerical information
PROMIS Profile	To assess health-related quality of life.
PHQ-9	Self-report measure of depression.
Berlin Questionnaire (for sleep)	Self-report measure of sleep quality
Purpose and Meaning (NIH Toolbox)	Self-report measure of the degree of meaning and purpose a person feels in their life.
Ruminative Responses Scale (RRS)	Self-report measure of negative, repetitive thoughts
PTSD Checklist	Self-report measure of posttraumatic stress disorder symptoms
* C-SSRS Lifetime	Self-report measure of recent history of suicidal ideation and behavior. (*This is not a variable of interest that will be used only to ensure patients' safety*).
*C-SSRS Last Contact	Self-report measure of suicidal ideation and behavior since the individual's most recent assessment. (*Used only as necessary to ensure patients' safety*).
Visit 1, but if missing at Visit 1, then given at Visit 2 or 3	
Screening tools for Alzheimer's disease and related dementias (ADRD)	This brief battery contains the Montreal Cognitive Assessment-Basic (MOCA-B), and selected items from the RUDAS. * Note: These items were added to the study after recruitment began, so we give them at Visit 1, but if they were not given at Visit 1, they are then administered at Visit 2 or 3
Visit 2 (3-months)	
PROMIS Profile	To assess health-related quality of life
PHQ-9	Self-report measure of depression
Berlin Questionnaire (for sleep)	Self-report measure of sleep quality
Purpose and Meaning (NIH Toolbox)	Self-report measure of the degree of meaning and purpose a person feels in their life.
RRS	Self-report measure of negative, repetitive thoughts.
PTSD Checklist	Self-report measure of posttraumatic stress disorder symptoms.
*C-SSRS Lifetime	Self-report measure of recent history of suicidal ideation and behavior. (*This is not a variable of interest that will be used only to ensure patients' safety*).
*C-SSRS Last Contact	Self-report measure of suicidal ideation and behavior since the individual's most recent assessment. (*Used only as necessary to ensure patients' safety*).
Visit 3 (6-months)	
PROMIS Profile	To assess health-related quality of life.
PHQ-9	Self-report measure of depression.
Berlin Questionnaire (for sleep)	Self-report measure of sleep quality.
Purpose and Meaning (NIH Toolbox)	Self-report measure of the degree of meaning and purpose a person feels in their life.
RRS	Self-report measure of negative, repetitive thoughts.
PTSD Checklist	Self-report measure of posttraumatic stress disorder symptoms.
*C-SSRS Lifetime	Self-report measure of recent history of suicidal ideation and behavior. (*This is not a variable of interest that will be used only to ensure patients' safety*).
*C-SSRS Last Contact	Self-report measure of suicidal ideation and behavior since the individual's most recent assessment. (*Used only as necessary to ensure patients' safety*).
Exit Interview	

are estimated using full-information approaches that use all available data and can accommodate missing responses. We do not foresee a need for an imputation strategy, but if that were to become necessary, we will update this plan accordingly before running any analyses.

Study Design

This is a randomized experiment aimed at determining whether talking touchscreen technology can reduce DIF and provide a better testing experience, relative to paper-based testing. The original study design is shown below in Table 2, although as a result of the COVID-19 pandemic, we were unable to recruit all 1216 participants, but instead collected additional longitudinal data on a subset of participants ($n = 304$)

Table 2: Study Design			
Northwestern		**BUMC**	

304 English speaking
  152 with low health literacy
    76 women   g1
      38 randomized to paper-based
        19 Order 1
        19 Order 2
      38 randomized to talking touchscreen
        19 Order 1
        19 Order 2
    76 men   g2
      38 randomized to paper-based
        19 Order 1
        19 Order 2
      38 randomized to talking touchscreen
        19 Order 1
        19 Order 2
  152 with adequate health literacy
    76 women   g3
      38 randomized to paper-based
        19 Order 1
        19 Order 2
      38 randomized talking touchscreen
        19 Order 1
        19 Order 2
    76 men   g4
      38 randomized to paper-based
        19 Order 1
        19 Order 2
      38 randomized talking touchscreen
        19 Order 1
        19 Order 2
	304 Spanish speaking
	  152 with low health literacy
	    76 women g5
	      38 randomized to paper-based
	        19 Order 1
	        19 Order 2
	      38 randomized to talking touchscreen
	        19 Order 1
	        19 Order 2
	    76 men g6
	      38 randomized to paper-based
	        19 Order 1
	        19 Order 2
	      38 randomized to talking touchscreen
	        19 Order 1
	        19 Order 2
	  152 with adequate health literacy
	    76 women g7
	      38 randomized to paper-based
	        19 Order 1
	        19 Order 2
	      38 randomized talking touchscreen
	        19 Order 1
	        19 Order 2
	    76 men g8
	      38 randomized to paper-based
	        19 Order 1
	        19 Order 2
	      38 randomized talking touchscreen
	        19 Order 1
	        19 Order 2
		304 English speaking
		  152 with low health literacy
		    76 women g9
		      38 randomized to paper-based
		        19 Order 1
		        19 Order 2
		      38 randomized to talking touchscreen
		        19 Order 1
		        19 Order 2
		    76 men g10
		      38 randomized to paper-based
		        19 Order 1
		        19 Order 2
		      38 randomized to talking touchscreen
		        19 Order 1
		        19 Order 2
		  152 with adequate health literacy
		    76 women g11
		      38 randomized to paper-based
		        19 Order 1
		        19 Order 2
		      38 randomized to talking touchscreen
		        19 Order 1
		        19 Order 2
		    76 men g12
		      38 randomized to paper-based
		        19 Order 1
		        19 Order 2
		      38 randomized to talking touchscreen
		        19 Order 1
		        19 Order 2
			304 Spanish speaking
			  152 with low health literacy
			    76 women g13
			      38 randomized to paper-based
			        19 Order 1
			        19 Order 2
			      38 randomized to talking touchscreen
			        19 Order 1
			        19 Order 2
			    76 men g14
			      38 randomized to paper-based
			        19 Order 1
			        19 Order 2
			      38 randomized to talking touchscreen
			        19 Order 1
			        19 Order 2
			  152 with adequate health literacy
			    76 women g15
			      38 randomized to paper-based
			        19 Order 1
			        19 Order 2
			      38 randomized to talking touchscreen
			        19 Order 1
			        19 Order 2
			    76 men g16
			      38 randomized to paper-based
			        19 Order 1
			        19 Order 2
			      38 randomized to talking touchscreen
			        19 Order 1
			        19 Order 2

Study Timepoints

Each participant will be asked to complete a baseline assessment (Visit 1), three-month follow-up (Visit 2), and a six-month follow-up (Visit 3). Longitudinal data will be analyzed, within language and within mode of administration (paper-based vs. talking touchscreen), on a scale-by-scale basis using multiple-group factor analysis to compare in Mplus software (see Table 3 schematic below). All items will be treated as categorical indicators with response categories collapsed if there are fewer than five responses for a given response option.

Table 3: Schematic of multiple-group confirmatory factor analysis to be used on a scale-by-scale basis			
	Visit 1	Visit 2	Visit 3
Adequate health literacy	*Factor loadings/ IRT parameters*	*Factor loadings/ IRT parameters*	*Factor loadings/ IRT parameters*
Low health literacy	*Factor loadings/ IRT parameters*	*Factor loadings/ IRT parameters*	*Factor loadings/ IRT parameters*

We hypothesize that a metric invariable model will fit well for the talking touchscreen method. Acceptable fit will be defined as CFI >= .90, TLI >= .90, and RMSEA <= .10. We hypothesize that unacceptable fit indices will be obtained for the paper-based testing. For descriptive statistics, we will report all fit indices produced by Mplus as well as factor loadings for each item. Longitudinal data will also be used to develop new longitudinal DIF tools in R, but these results will be considered exploratory until they can be tried and tested in other data sets.

Study Populations

The study population includes medical patients and community member, all might which complete questionnaires in other clinical and research contexts, ages 18 and older.

Study Variables

Demographics

All demographic variables are described in the protocol. We will report descriptive statistics of all demographics within language group as well as in total. We do not have specific hypotheses about demographics, but may conduct and report analyses of them, as well as presented additional analyses of demographics based on requests from manuscript reviewers.

Health literacy and numeracy

HealthLiTT and the NUMI will be used to determine health literacy groups using the above-described cutoffs. HealthLiTT is our main health literacy variable. All analyses of the NUMI are secondary.

Health questionnaires

The following questionnaires will be subjected to DIF analyses: the PROMIS Profile (physical function, anxiety, depression, fatigue, sleep disturbance, ability to participate in social roles and activities, and pain interference), the Berlin Questionnaire (for sleep), the NIH Toolbox scale for purpose and meaning, the PTSD checklist (20 symptom items assessing overall PTSD symptoms), the Ruminative Responses Scale (total score and brooding and reflection subscales), and the PHQ-9.

Screening tools for Alzheimer's disease and related dementias (ADRD)

Based on supplemental funding, a brief battery of screening tools for ADRD was added to the project. These screening tools include the Montreal Cognitive Assessment Test–Basic (MOCA-B),[20] the Visuospatial/Executive, Attention, and Language subtests of the MOCA 8.1,[21] and the Body Orientation, Fist/Palm, Judgement, and Language Generativity – Animal Naming subtests of the Rowland universal dementia assessment scale.[22] The first participant completed the ADRD screening tools on 10 July 2019. These tools are given at the baseline visit, but if the baseline visit has already occurred, the tools are given at one of the follow-up visits (Visit 2 or Visit 3).

Interim analyses to ensure data quality

In order to ensure data integrity and quality, we will conduct limited interim data analyses after 150 patients have enrolled. The goal of this analysis will be to conduct a "dry run" of data management procedures. We will import, merge, clean, and examine the data quality. Merging is necessary because data will be collected from multiple sites using different data collection platforms. Data merging will require uniformity of file format, data variable names, and the value coding within each variable. Once merged, we will examine the data for any out-of-range, non-numerical, unexpected or missing values that may represent technological or experimental errors. We will also compose statistical software syntax based on existing scoring rules; these rules will name and define composite variables at the questionnaire (scale) score level. Health variables will be correlated and examined to confirm the correct coding of the variables (e.g., re-coding of responses or reversing). We will document these data management steps and produce software syntax necessary for successful merger, any challenges encountered, and remedies we put in place. The overarching goal of these interim analyses are to prepare for the analyses of the final data set.

Primary analyses

DIF by health literacy

We hypothesize that DIF will be observed across adequate versus low levels of health literacy as measured by HealthLiTT.[15] This hypothesis was formulated before any data were examined. In terms of direction, we predict that low-health-literacy groups will show lower item slopes. All questionnaires and subscales mentioned in the "Core battery for DIF Testing" section of protocol will undergo DIF testing. To examine DIF across health literacy status, we will conduct separate analyses within language and within testing format (paper-based vs. talking touchscreen). The overall N for these analyses, within strata, will be 304. We will use the *lordif* package in R for these analyses. This involves several steps. Four models are fit to the data (see Box 1). The models are regressions that link the probability of response type (e.g., "never", "rarely", "sometimes", "often", "always") to a latent trait. If an item is free of DIF, then group (e.g., adequate vs. low health literacy) should have no effect. Thus, Model 2 and Model 3 should not fit significantly better than Model 1. It is well known that statistical significance is influenced, in part, by sample size. Thus, lordif uses effect-size measures to detect DIF, and to flag individual items where the effect of group exceeds a particular threshold. Effect sizes indicative of DIF will be chosen for each scale based on a Monte Carlo simulation carried out in lordif, which returns an effect size that would be unlikely given the null hypothesis of no DIF.

The following *a priori* decisions are important. We will use a Type I error rate of $\alpha = .01$ to generate the McFadden ΔR^2 effect size for each item that would be considered unusual if the null hypothesis of no DIF were true. Within each scale, we will select the smallest McFadden R^2 across all of the items. The minimum effect size we will consider

> **Box 1: Models fit by lordif**
>
> Model 0: $\text{logit}[P(Y \geq k)] = \alpha_k$
> Model 1: $\text{logit}[P(Y \geq k)] = \alpha_k + \beta_1 \text{(ability)}$
> Model 2: $\text{logit}[P(Y \geq k)] = \alpha_k + \beta_1 \text{(ability)} + \beta_2 \text{(group)}$
> Model 3: $\text{logit}[P(Y \geq k)] = \alpha_k + \beta_1 \text{(ability)} + \beta_2 \text{(group)} + \beta_3 \text{(ability × group)}$
>
> Note: α and β are logistic regression parameters. k refers to the number of thresholds needed for each item.

is .001. This effect size will then be used in lordif to detect items that show DIF. It should be noted that the simulation results from lordif would be a minimum, in which case we would need to choose larger (i.e., more conservative) effect sizes if lordif identifies a large number of items. In the event that more than 50% of items are flagged for DIF, we will re-run lordif with successive increases in .005 increments in the DIF detection criterion. This will allow us to identify which items produce the highest R^2 values consistently, regardless of the *a priori* R^2 DIF detection criterion

We will compile a list of items flagged for uniform (Model 1 vs. 2 from Box 1), non-uniform (Model 2 vs. 3), and total DIF (Model 1 vs. 3). Our a priori hypothesis is that DIF will be observed across levels of health literacy for paper-based testing. We further hypothesize that DIF will also be found for the talking touchscreen, but for fewer items. In addition, we hypothesize that the magnitude of each DIF item's ΔR^2 value will be larger in the paper-and-pencil group relative to the touch-screen group.

In addition to testing these specific hypotheses, we will create reports of descriptive statistics. For each item flagged for DIF, we will, 1) report the ΔR^2 values associated with uniform and non-uniform DIF, 2) summarize all IRT parameters across groups, 3) inspect the magnitude of θ versus a DIF-purified θ (see reference[23] for computational details) on a participant-by-participant basis to determine the practical effects of DIF, and 4) evaluate test characteristics curves (TCCs) to determine the effect of DIF on the overall test score.

In addition to scale-by-scale analyses, we will conduct exploratory analyses of questionnaires together (e.g., PROMIS depression together with PHQ-9, PROMIS sleep and Berlin), to explore the power of DIF analyses using multiple scales of the same construct.

Prediction of depression: Health literacy as a moderator of rumination, pain, fatigue, and sleep disturbance

We will determine the degree to which health literacy moderates the relationship between depression and associated constructs of rumination, pain intensity, pain interference, sleep disturbance, and fatigue. Depression will be measured using both the Patient Health Questionnaire (PHQ-9), a nine-item scale with each item scored between 0 and 3 providing a 0-27 severity score with higher scores representing greater depression severity. The NIH Patient-Reported Outcomes Measurement Information System (PROMIS Profile 57 v 2.0) will also be used to measure depression, as well as pain intensity, pain interference, sleep disturbance, and fatigue. PROMIS is a self-report measure with subscales producing a T-Score; higher T-scores representing a higher level of the construct. Rumination will be measured using the Ruminative Responses Scale (RRS) short form, a 10-item form that measures two factors, brooding and reflection. The RRS uses a four-point scale for each item with a total score derived from the sum of the individual items. Higher scores indicate higher levels of rumination. All variables will be examined in a continuous manner, rather than using cut scores.

Multiple regression analyses will be conducted using the *lm()* function in base R to test a moderation model for the PHQ-9 and PROMIS depression scale separately with health literacy (a continuous score from Health LiTT) acting as the proposed moderator between depression symptoms and pain intensity and interference, sleep disturbance, fatigue, and rumination. PROMIS profile scale scores will be entered into the regression model together. Health literacy will then be added as a moderator. In accord with our hypotheses, the addition of health literacy into the model should explain a significantly greater portion of the variance than symptom scores alone. PROMIS profile scale scores will then be removed from the model in a step-down procedure to determine the contribution of each scale to the model. We hypothesize that there will be a significant interaction between health literacy and symptoms scores. Specifically, we hypothesize that having higher health literacy will result in a stronger association between rumination and depression, whereas individuals with lower health literacy will evidence a stronger association between somatic symptoms (pain, fatigue, and sleep disturbance) and depression. Additionally, we predict that there will be a greater effect of health literacy for analyses involving the PHQ-9 than the PROMIS. We will also compare standardized beta coefficients to determine the strength of the effect for each variable. As we anticipate few instances of missing data due to our computerized administration, listwise deletion will be used in the presence of missing data.

Meaning and Purpose Analyses

DIF Analysis. In addition to the lordif approach described above, we will conduct DIF analysis using the moderated nonlinear factor analysis (MNLFA) approach, which allows health literacy to be continuous, rather than categorical (high versus low). Our analyses will examine the impact of health literacy on DIF as well as possible moderation by administration type (pencil-and-paper versus talking touchsceen). The MNLFA enables the examination of both variables simultaneously. Our a priori hypothesis is that DIF will be observed across levels of health literacy for paper-and-pencil based testing. We further hypothesize that DIF will also be found for the talking touchscreen, but for fewer items. Mplus permits IRT analyses with constraints imposed such that each item slope is linearly related to external variables – in our case, this would be:

item slope$_j$ = a$_j$ + b1$_j$ * Health LiTT + b2$_j$ * Administration Type + b3$_j$ * Interaction

where j is an index for the k items (8 items in this case).

We will use Mplus in conjunction with the aMNLFA[24] package of R. Following the MNLFA procedure outlined by Curran et al.,[25] we will test models allowing item parameters (intercept and slope) to vary as a function of hypothesized DIF variable, one item at a time, in order to arrive at a final model. We will use the final model to generate item characteristics curves (ICCs, which will show the estimated probabilities of endorsement for each item across different levels of meaning and purpose. Among non-invariant items, we will consider differences (due to DIF variables) in probability endorsement of 10% to be potentially meaningful scientifically. Using the final model, we will also plot meaning and purpose factor scores by healthy literacy and administration type to understand the relationship among these variables. For these analyses, we will use all available baseline data collected through March of 2020 (N = 566 English speakers).

Longitudinal analyses of Meaning and Purpose Predicting Quality of Life. In addition to examining DIF by health literacy in the NIH Toolbox Meaning and Purpose measure (as described above), cross-lagged panel analyses will be used to examine whether meaning and purpose predicts health-related quality of life (i.e., anxiety, depression, fatigue, pain intensity and interference, physical function, sleep disturbance, and disruption of social roles and activities) over time across the three study assessments. We will also identify covariates including sociodemographics associated with of meaning and purpose (i.e., age, gender, financial strain, education, race/ethnicity, language). We hypothesize that greater meaning and purpose will prospectively predict greater quality of life at subsequent study assessments. We will only include participants who could have provided complete data before we ceased recruitment due to COVID-19. Thus, we will exclude participants who are 1) were enrolled on or after 16 September 2020, and 3) missing their 6-month assessment. In this way, data will not be systemically missing due to censoring from the COVID-19 pandemic (i.e., to be eligible, the person would have had sufficient time to complete all three assessments before the COVID-19 pandemic).

Exit Interview

Our exit interview serves multiple purposes. One purpose is to assess the testing experience for the participant. We hypothesize differences between the talking touchscreen and paper-based testing in terms of the testing experience. We will calculate Spearman correlations with 99% confidence intervals (CIs) for the three 5-point ordinal questions (see Exit Interview) and whether testing was talking touchscreen or not (coded 0/1). This nonparametric approach will determine which method of testing is more appropriate for people of low health literacy. CIs that do not contain zero will be regarded as statistically significant.

A second purpose of the exit interview is to gather data on how health literacy influences other areas of health. Specifically, we have included four questions about colorectal cancer screening to determine a person's level of adherence to published guidelines.

Meaning and purpose in life, defined as the pursuit of worthwhile goals and an accompanying sense of fulfilment and coherence in one's life,[26-30] is recognized as a fundamental facet of psychological wellbeing and important determinant of both physical and mental health. Individuals who report greater meaning and purpose are more motivated to engage in their healthcare and improve their overall health and wellbeing. Research demonstrates that individuals who report greater meaning and purpose also endorse greater self-efficacy, or perceived confidence in the ability to manage one's health, and are more likely to participate in preventive health services, including cholesterol screenings, colonoscopies, mammograms, Pap smears, and prostate exams.[31-33] In the current study, we will examine the impact of meaning and purpose in life and health-related quality of life (i.e., anxiety, depression, fatigue, pain intensity and interference, physical function, sleep disturbance, and disruption of social roles and activities) on adherence to national guidelines for colorectal cancer screening. Colorectal cancer screening was assessed using the National Cancer Institute Health Interview National Trends Survey (HINTS).[34] Adherence will be defined as receipt of screening within recommended timeframes according

to guidelines from the United States Preventive Services Task Force (USPSTF) in effect during the study years. For colorectal cancer, men and women ages 50 to 75 years old will be classified as adherent if they report receipt of either a fecal occult blood test (FOBT) within 1 year, colonoscopy within 10 years, sigmoidoscopy within 5 years, or both a colonoscopy and sigmoidoscopy within 10 years. Correlations will be conducted in order to examine bivariate associations among study variables, including meaning and purpose, health-related quality of life, and other relevant factors (i.e., age, gender, financial strain, education, race/ethnicity, language, and medical comorbidities). Primary analyses will be conducted using logistic regression to examine the relationship between meaning and purpose and health-related quality of life with adherence to national colorectal cancer screening guidelines (0 = non-adherence, 1 = adherence) when adjusting for relevant factors.

Exploratory analyses will include the calculation of a non-adherence risk score. That is, based on meaning and purpose and other covariates, we will determine a cutoff from (receiver operating characteristic) ROC curves derived from the logistic regression. Then, we will cross validate the cutoff using a subset of the data set aside and not used in the modelling. In both the training and testing data, we will calculate sensitivity and specificity and other prediction indices as a means to better identify individuals who may be at risk for not adhering to screening guidelines.

Interim and Final Analyses: Sleep as a mediator between depression and chronic pain

The follow analyses are an interim analysis to be conducted for the 2020 meeting of the International Society for Quality of Life Research (ISOQOL). These analyses will be conducted twice, once using all available data before the ISOQOL 2020 conference (21-24 October 2020, if the presentation is accepted and if the conference takes place), and again when data collection for the parent study has been completed. Thus, there is a planned interim analysis and a planned final analysis. The following analyses will be conducted within participants completing measures in English.

Hypothesis 1: Depression predicts pain at 6-month follow-up, mediated by sleep disturbance at 3-month follow-up: We hypothesize depression will be related to *more* sleep problems at 3-month follow up, which in turn will lead to *more* pain at 6-months. Depression at baseline will be operationalized by the PROMIS depression scale within the 57-item Profile. Note that we made an *a priori decision* to use PROMIS rather than the PHQ-9 for depression because the PHQ-9 contains questions about sleep whereas the PROMIS depression scale does not. We will assess sleep at 3-month follow-up using the PROMIS sleep disturbance subscale of the 57-item Profile. We will use two different PROMIS Profile scales to assess pain at 6-month follow-up: pain intensity and pain interference. These hypotheses were formulated before any data were examined.

To carry out these analyses, we will use the *mediate* package in R for these analyses. The form of the mediation is depression at baseline ➔ worse sleep at 3mo ➔ more pain at 6mo. We will first create the regression models for depression predicting sleep, and sleep predicting pain. Sleep as a mediator will be tested in the *mediate* package based on the 95% bca confidence intervals.

Hypothesis 2: Pain predicts depression at 6-month follow-up, mediated by sleep disturbance at 3-month follow-up: To examine bidirectional causality, we will analyze the mediation from Hypothesis 1 in the opposite direction, specifically Pain at baseline ➔ worse sleep at 3mo ➔ more depression at 6mo. The same mediation procedures will be used, as in Hypothesis 1, with two different pain measures as the initial covariate: pain intensity and pain interference.

Hypothesis 3: Sex will moderate mediation relationships found in Hypotheses 1 & 2. Given that depression disproportionately affects females when compared to males, we plan to conduct moderated

mediation for sex. We hypothesize sex will moderate the mediation, with female sex being association with stronger associations among depression and sleep, and sleep and pain.

Exploratory analyses. We anticipate that age influences depression, sleep, pain, but have no directional hypothesis for age. Thus, age will be included as an exploratory variable in the above-mentioned mediations.

Statistical Analysis Plan Addendum: Vaccine Confidence Analysis from phone-based substudy (section added 10 March 2021)

Objective and Background: To determine if health literacy mediates the relationship between race/ethnicity and vaccine confidence.

Reducing Assessment Barriers for patients with low literacy has been conducted during the COVID-19 global pandemic, necessitating changes in the study protocols with transition to phone-based recruitment and administration of tests. To understand the needs of people with low health literacy during the COVID-19 global pandemic, we added several additional survey tools, administered remotely by phone to participant; questions were read by a coordinator and participants made verbal responses. Participants completed the Vaccine Confidence Index (VCI)[35], in order to explore sentiments relating to vaccination confidence among a diverse sample population. Although not used in this analysis, participants also completed the PROMIS Profile-29,[36, 37] the 8-item Meaning and Purpose Scale,[38, 39] and a shortened version of the Epidemic-Pandemic Impacts Inventory,[40] a survey tool aimed at measuring social, economic, and housing impacts of the pandemic.

The COVID-19 global pandemic is the worst pandemic in over 100 years. Currently there are three highly efficacious vaccines with impressive safety data, but also initial observational data suggesting a high proportion of the eligible population reluctant to get the vaccine. There have been a few studies published exploring vaccine hesitancy in the setting of the COVID-19 pandemic. A French study published in Lancet Infectious Disease found individuals with lower education levels were less likely want COVID-19 vaccination.[41] In contrast, a study from an Italian sample of students identified many university students with COVID-19 vaccine hesitancy.[42] In Israel, those who perceived themselves to be at higher risk of severe infection had the greatest likelihood of vaccination, but healthcare workers with high educational attainment were no more likely than general public to accept vaccination.[43]

The literature exploring the relationship between overall vaccine hesitancy (not specific to COVID-19 vaccine) has also found conflicting directional relationships between vaccine hesitancy and educational status. Although some studies have found greater vaccine refusal or hesitancy in lower education groups,[44, 45] others have not.[46] The relationship between health literacy and vaccine views is also not clear, with studies finding conflicting results.[47] Health literacy is a potential modifiable factor for vulnerable populations with uncertain vaccination views. We seek to explore the relationship between COVID-19 vaccine hesitancy and health literacy in a diverse participant sample of $N = 300$, who are a subset of the parent study.

Hypothesis: We hypothesize that health literacy is a mediator of the relationship between race/ethnicity and vaccine confidence, such that race predicts health literacy, which is in turn inversely associated with vaccine confidence. Using mediation analysis, we will determine how much of the effect of race/ethnicity on vaccine confidence is mediated by health literacy (i.e., the indirect effect) while also estimating the direct and total effect. A sample of 300 participants will be included in this baseline analysis exploring the relationship between race/ethnicity, health literacy (measured by Health LiTT[48] obtained at the baseline of the parent study), and the VCI which was administered at follow-up during a period of COVID-19 vaccine approval and early administration. This hypothesis was formulated before looking at any of the data from the phone-based protocol.

Measures:
Demographic Covariates

Demographic information was collected at the baseline interview. We collected data on age, sex/gender, race and ethnicity, primary language, and education level. The covariates/confounders assessed in our model include age, sex/gender, and education, chosen based on the conceptual model exploring the relationship between health literacy and various health outcomes.[49] We will report descriptive statistics of demographic variables. To ease interpretation, **Education** will be treated as a dichotomous variable with two categories: high school/GED level education or less versus more than high school education. **Gender** will be treated as dichotomous variable (male/female), because no participants identified as transgender or non-binary in this sample (although our assessment procedures were designed to accommodate non-binary participants). **Age** (in years) will be treated as continuous variable.

Primary Covariate
We will focus on race and ethnicity as primary covariate in our mediation analysis exploring the relationship between race/ethnic status and vaccine confidence. **Race/ethnicity status** will be dummy coded in the following way using white (non-Hispanic) as the reference category:

- X1 =1 if Black/African American (Non-Hispanic); X1=0 otherwise
- X2 = 1 if Latin X/Hispanic Ethnicity; X2=0 otherwise
- X3 = 1 if Other Race/Ethnicity (Non-white); X3 =0 otherwise

The other race category will include participants of mixed race and individuals who identified as Asian, Pacific Islander, or American Indian, categories with fewer participants.

Health literacy: Hypothesized Mediator
Health literacy was measured at baseline using Health Literacy Assessment Using Talking Touchscreen Technology (Health LiTT),[50] a computerized assessment that does not require an interviewer. This measure has been validated in English and Spanish. We will define **Health Literacy** as a continuous T score obtained from Health LiTT where a higher score is associated with greater degree of health literacy.

Vaccine Confidence Index: Outcome
The **Vaccine Confidence Index (VCI)** is a tool developed in 2015 that has been used in global samples to measure sentiments correlated with individual confidence around vaccination.[35,51] The VCI is measured as a ratio of scores on questions that suggest confidence in vaccines divided by scores that indicate suspicion and hesitancy toward vaccination. A larger numerical total score and ratio greater than 1.0 on VCI reflects a greater confidence in pursuing vaccination **Vaccine Confidence Index** (VCI) will be treated as a continuous variable in the model, with higher numerical values being associated with greater degrees of vaccine confidence.

Primary analysis: Exploring the relationship between vaccine confidence and race/ethnicity status during the COVID-19 pandemic
The analysis plan was formulated and written before any data were analyzed from the phone-based assessment of VCI. We may conduct additional analyses beyond what is described in this plan, but these additional analyses be considered exploratory. We hypothesize that racial or ethnic minority status will be associated with lower levels of vaccine confidence as measured with VCI, controlling for gender, age, and education level. In a theoretical causal pathway for the relationship between race/ethnic demographic status and vaccine confidence we consider race/ethnic minority status as the covariate of interest, and health literacy as a partial mediator of the relationship between race/ethnicity and vaccine confidence. We will use the *lavaan* package in R using regression analyses to compare the estimates of individual, total, direct, and indirect effect estimates for the variables in the model and calculated estimates based on 95% bootstrap confidence intervals for the indirect effect (i.e., X1(race) ➔ lower Health LiTT ➔ lower VCI.

Limitations and Potential sources of Bias

This analysis will have several limitations. 1. The sample may not be generalizable as it was restricted to urban and English- or Spanish-speaking participants who consented to participation in a research study. 2. This is a baseline analysis that does not take into the account the potential for changing views on vaccination in the setting of an ongoing pandemic and public health education campaigns. We plan longitudinal analyses in the future to address this limitation. 3. Although we have hypothesized a causal pathway that includes race/ethnicity and health literacy as a partial mediator, we are unable to evaluate alternative pathways for factors that were not measured as part of this study. 4. Mediator-outcome confounding or collider bias is a possibility in this analysis in reference to the variable, educational level, which is related to health literacy and potentially related to the outcome of vaccine confidence. 5. In addition, the possibility of measurement error in the assessment of health literacy not only affects the estimate of health literacy's relationship with vaccine confidence, but also the estimate of the relationship of race or ethnicity with VCI.

Despite these limitations, we feel this analysis adds to the available knowledge on vaccine confidence during the COVID-19 pandemic and opportunities to improve public uptake of vaccination through targeted campaigns and interventions.

Cognitive Correlates of Rumination

<u>Version notes.</u> This plan was added in Version 4.2, 02 June 2021. At the time of the plan, adjust MOCA-B scores had been examined for distributional characteristics and differential item functioning for depression items (DIF; i.e., different measurement properties for PHQ-9 and PROMIS Depression by high-low MOCA-B). Some other analyses of rumination and depression had also been carried out as described in the above section *Prediction of depression: Health literacy as a moderator of rumination, pain, fatigue, and sleep disturbance*.

<u>Sample.</u> The sample will contain all participants (N = 478) who completed cognitive measures (see below) recruited into the parent health literacy study before recruitment was stopped due to the COVID-19 pandemic (March 2021). The cognitive measures were administered at one of three time points in the study; corresponding rumination and PROMIS depression measures will be used from the same time point.

Overarching goal

We will determine the relationship between multiple facets of cognition (represented as latent factors) and rumination (divided into brooding and reflection). We hypothesize that higher levels of rumination will be associated with lower levels of cognition.

Descriptive statistics

Our brief battery contains the Montreal Cognitive Assessment-Basic (MOCA-B),[21] the SPMSQ,[52] selected items from the MOCA 8.1, and selected items from the RUDAS.[22] Rumination will be assessed using the 10 items from the RRS. Descriptive analyses of the cognitive measures will include frequency tables for each item (e.g., number correct/incorrect on a per variable basis). Items that are sparse (i.e., with fewer than 5 responses) will be collapsed, or if this is not possible the item will be dropped because of insufficient variability (note: for the dichotomous cognitive items, they are mostly binary, which will necessitate dropping sparse items). We will also inspect percentages of missing data; we do not anticipate the need to drop any variables with too much missingness, but a post-hoc decision may be needed if there are unanticipated levels of missingness. We plan on using the tableone package of R for descriptive statistics.[53, 54]

Determining the number of factors

We will first conduct analyses to determine the number of exploratory cognitive factors to extract, which later will be used in *exploratory structural equation modelling (ESEM)[55]* to link the cognitive factors to rumination (brooding and reflection). Because all of the items in the cognitive measures are categorical, we will use the method of Lubbe (2019)[56] to determine the number of factors, which compares observed eigenvalues to simulated eigenvalues with a correction for item distributions (i.e., based on the proportions of response type within each category). Within the approach of Lubbe, we will use 1000 replications, seeking eigenvalues that exceed the 99th percentile of the simulated distribution. If needed, we will supplement this approach with the nFactors and psych packages of R. Factors with fewer than three items with loadings ≥ .4 will not be retained. We are using an exploratory approach to forming the factors, but we will be guided by research on the known structure of cognition in extracting and naming the factors (e.g., "memory" vs. ""executive functioning").

<u>Mean centering</u>. Because we plan to test interaction effects (i.e., moderation), we will mean-center brooding, reflection, PROMIS depression, age, and Health LiTT. If any additional continuous covariates are used in exploratory analyses, these will also be centered around the mean.

Exploratory Structural Equation Modelling

All items in the cognition measures (as latent variables) and brooding and reflection (as scores) will be included (see above). Based on the parallel analysis to determine the number of factors, multiple exploratory factors from

the cognitive measures will be regressed on our two covariates of interest – brooding and reflection. We anticipate that brooding and reflection will be inversely related with cognition, in particular items related to memory and executive function. In other words, higher levels of rumination will be associated with lower levels of cognition.

We will conduct these analyses using Mplus. We will report all fit indices, unstandardized associations among variables, corresponding SEs, and significance tests at a Type I error rate of .05.

Scoring rumination
Rumination will be measured using the Ruminative Responses Scale (RRS) short form, a 10-item form that includes two subscales, brooding and reflection. The RRS uses a four-point response scale for each item with a total score derived from the sum of the individual items. Higher scores indicate higher levels of rumination. All variables will be examined in a continuous manner, rather than using cut scores. If 80% or more of the items are present, we will score the subscale using prorating.

Hypotheses and testable predictions
We hypothesize that rumination will have a significant association with cognition. Specifically, higher scores in both domains of rumination (brooding and reflection) will be related to lower scores on cognitive factors. Overall, we expect cognition to be more strongly related to brooding than reflection. In past work (Griffith & Raes, 2014), we found that the brooding factor was more robust than the reflection factor. Thus, it is possible that the more reliable brooding scale will have larger associations with cognition. However, the literature contains mixed findings with regard to the relative contributions of brooding versus reflection (e.g.,[57, 58].

In our model, our cognition factors will be the dependent variables and our rumination factors will be the independent variables. These analyses will be associative; we do not seek to make inferences about causality.

Missing data. Participants who did not complete the cognition measures will not be included in these analyses. For individual missing items and/or tests, the default options of Mplus (i.e., WLSMV estimator) will be used to carrying out the analyses, which will include all cases.

Supplementary analyses
        Alternative approaches to deal with model identification. If we have difficultly identifying the ESEM model (see above), we will create factor scores for the cognitive variables using EFA and explore them with multiple regression analyses with the *lm()* function in base R to test the association between cognition and rumination. For each of the anticipated cognitive factors we will test associations between cognitive factors and rumination. We will create separate regression models for each of our three anticipated cognition factors X each of the two subscales of rumination (brooding and reflection), thus, we will have a total of 3 (α = .05) models (depending on EFA), starting with two subscales.

        Additional covariates. Given that cognition is known to be related to other variables, such as age, we will include covariates to see if rumination is significant above and beyond these covariates. These additional variables will include age, gender, Health LiTT (continuous), language (English vs. Spanish), depressive symptoms, and survey delivery method ("talking touchscreen" vs. paper-and-pencil). If Health LiTT and survey delivery method are not significant, they will be deleted from the model and not considered further.

        Moderation analysis. We will test depression as a moderator of the associations between cognition and brooding and reflection. A product term will be created depression, reflection, and brooding. Before creating the product term, each variable will be mean-centered (see above). We will then regress the cognitive factors

(dependent variables) on brooding, reflection, depression, and the two product terms (depression × brooding, depression × reflection); depression will be the moderator. The statistical significance of these two product terms will be evaluated at .05 and effect sizes will be reported. We will then conduct addition moderation analyses with age, gender, and language in the same fashion as described above. Depending on the results, moderation will be summarized using simple-slope analyses and visualization.

<u>Other Approaches (Exploratory)</u>
We will explore these associations in other software (e.g., lavaan, mirt)[59, 60] for consistency across software. Supplementary results will be made available in an open-science repository.

 <u>Backup plan for factor analyses.</u> If we have difficulty identifying latent variable models, we will reframe the analyses of cognition around general levels of cognition using the MOCA-B total score.

Factor analysis of the Ruminative Responses Scale (RRS); Added 26 January 2023

Although the RRS is commonly organized into two factors – brooding and reflection – some literature has failed to support this two-factor structure.[61] To clarify the structure of the RRS, we will randomly divide our baseline sample into an exploratory and confirmatory half, and then conduct exploratory and confirmatory factor analyses. To determine the number of factors, we will use primarily parallel analysis as implemented in the *psych* package, specifying that the items are ordered categories. Secondarily, we will also examine parallel analysis as implemented in the nFactors package and the method of Lubbe (2019).[56]

Based on previous literature, we anticipate that a one- or two-factor model will be a good fit to the RRS, but we will be guided by parallel analysis. Although factor loadings are continuous, we will flag any factor loading greater than or equal to 0.3 as an indicator of the factor. For the factor analyses, we will use the *mirt* package using a graded response model for ordered categories. We will explore different factor rotations, although we anticipate that an oblique solution will be most appropriate, such as the *geominQ* rotation that allows for factors to be correlated.

For validity of any factor solutions, we will correlate the RRS factors with depression (i.e., PROMIS Depression and PHQ-9) and expect statistically significant associations.

Other Interim and Exploratory Analyses

Differential Item Functioning of Depression by Cognitive Ability (MoCA-B)

 With funding from a National Institute on Aging (NIA) Supplement, we have collected data on the Montreal Cognitive Assessment Test – Basic (MoCA-B). Data from the current project provide an opportunity for preliminary data for an upcoming grant proposal on the relationship between cognitive function and health-related quality of life. Thus, we will conduct DIF analyses of the depression scale of the PROMIS Profile and the PHQ-9 using high vs. low scorers on the MOCA-B as determined by a median split. The procedures of the DIF analyses will be the same as described above. We will also conduct visual analysis of the relationship between the MoCA-B and depression using the *ggplot2* package of R, as well as quantify the associations among these scales using Pearson correlations along with 95% CIs.

 These analyses will be interim, before all data have been collected, as they will be needed for a grant submission in June of 2020. The results of this interim analysis will not affect any planned hypotheses presented elsewhere in this plan. The data analyzed for this interim analysis will be all data collected before 01 March 2020.

Health Literacy as a Possible Mediator Between Demographics and Symptoms Related to Stress and Trauma: An Application Of The Paasche-Orlow & Wolf Model
Added 02 October 2024 for Version 5.1

Health literacy has been highlighted as a barrier to service utilization and thus significantly impacts disease outcomes [62]. Outcomes may be impacted by an individual's difficulty with proper medication dosage or lack of trust in the healthcare system, as examples [63, 64]. Both physical and mental health outcomes are important, including mental health symptoms related to stress and trauma, which people with low health literacy may experience at a high frequency. Although health literacy can be linked to mental health conditions such as depression, less is known about its connection to trauma-related symptoms. To address this gap, this study will identify the impact of health literacy on trauma-related symptoms as measured by the PCL-5. We will also explore the association between the PCL-5 and health-related quality of life measured by the PROMIS Profile.

Aims:
1. Determine the association between health literacy and symptoms related to stress and trauma measured by the PCL-5 scale, while controlling for demographic variables. Language can be explored as a moderator.
2. Determine whether health literacy mediates the relationship between baseline demographics (e.g., education, age, gender) and future stress-related symptoms using the PCL-5. Will run separate models for English and Spanish.
3. Determine which aspects of health-related quality of life (measured by PROMIS-57 Profile v2.1, which includes Physical Function, Anxiety, Depression, Fatigue, Sleep Disturbance, Ability to Participate in Social Roles and Activities, Pain interference, and Pain Intensity) are associated with trauma symptoms measured by the PCL-5, after statistically controlling for demographics and health literacy.

Hypotheses:
1. There will be a significant association between health literacy (measured by Health LiTT) and the PCL-5 scale, such that lower health literacy will be associated with higher trauma-related symptoms.
2. Health literacy serves as a mediator between baseline demographics (education, age, gender, ethnicity) and future stress related symptoms as per the PCL-5.
3. Higher levels of self-reported anxiety, depression, and ability to participate in social roles and activities from the PROMIS-57 will be associated with higher PCL scores.

Data Description
Datasets used
NIH-funded Reducing Assessment Barriers for Patients with Low Health Literacy dataset, also known as the DIF study (PI: Griffith). All timepoints will be analyzed for this project (baseline, 3-month, and 6-month time points). The dataset is not publicly available. The data are not public, but will be made available to us from the DIF team at the time of publication.

Data Collection Procedures (from parent study)
Participants included those who were aged 18 or older, could provide informed consent, were open to randomization for mode of administration, were willing to complete questionnaires, fluent in English and/or Spanish, willing to attend three face-to-face sessions, and did not have plans to move outside of the study area for six months. Study participants were recruited from two sites: Northwestern University (Chicago, IL) and Boston Medical Center (Boston, MA). Participants were ineligible for the study if they had significant cognitive

or neurologic impairment, were imprisoned or detained, could not consent, had inadequate vision (to see study materials), and had inadequate hearing or manual dexterity to use electronic tablets. All participants provided demographic data and completed three measures of health literacy including the Health LiTT test, Single Item Literacy Screener (SILS), and the Numeracy Understanding in Medicine Instrument (NUMi). They also completed the PCL-5 and PROMIS-57 profile. Participants completed interviews three months apart (baseline, 3-month, and 6-month visits).

Codebook
Available from REDCap study profile.

Analysis Plan

Aim 1 example code:
# The model will have a form similar to the R code below:
# Note: race and ethnicity will be analyzed in English-speaking participants
 model <- lm(posttraumatic_stress ~ Health_LiTT + education + age + gender + race, data = data)
summary(model)

The results will be evaluated by examining the magnitude and 95% confidence intervals for health literacy, as measured by Health LiTT. We will use "non-Hispanic white" as the reference category, and all other categories will be binary coded (0/1).

For Aim 1, the statistical analysis will involve a multiple linear regression model to explore how various factors such as health literacy (as measured by the Health LiTT test) and demographics such as education, age, gender, race, and ethnicity predict levels of posttraumatic stress (as per the PCL-5). The linear regression model will estimate the relationships between these variables. This will also include the calculation of coefficient estimates, confidence intervals, and significance levels. We will also explore assumptions of linear regression such as linearity, homoscedasticity, normality of residuals, and multicollinearity. We will assess all these aspects to ensure that there is no individual data point that disproportionately impacts results. For ethnicity, "non-Hispanic white" will serve as the reference group, and other ethnic categories will be binary coded (0/1; 0 for not belonging to this category and 1 for belonging to it). The results will be evaluated by examining the magnitude and 95% confidence intervals for health literacy, as measured by Health LiTT. We will also evaluate model goodness-of-fit (via R-squared statistic) to understand more about how well the model explains any variability in posttraumatic stress. We may explore interaction terms and model comparison as needed.

Aim 2 example code:

# Example mediation analyses will be conducted using lavaan
library(lavaan)

Define the model with "c" pathways being direct effects:
model <- '
    PCL5 ~ c1 * education + c2 * age + c3 * gender + c4 * race
  Health_LiTT ~ a1 * education + a2 * age + a3* gender + a4* race
  PCL5 ~ b * Health_LiTT

Indirect effects (mediation):

indirect := a * b'

We will evaluate the statistical significance of indirect effects using 95% bootstrapped confidence intervals.

For Aim 2, we will conduct mediation analysis to explore whether health literacy mediates the relationship between baseline demographic factors (education, age, language, gender, race and ethnicity) and future stress-related symptoms as measured by the PCL-5. We will run separate models for English-speaking and Spanish-speaking participants to assess language-based differences in mediation. We will carry out mediation analysis using the lavaan package in R. This model will be defined with direct effects, in this case, the "c" pathways to represent the relationships between demographic variables and posttraumatic stress-related symptoms. Health literacy will be displayed as an intermediate variable between demographic factors and posttraumatic stress-related symptoms. The "a" pathways demonstrate the effect of demographics on Health Literacy, and the "b" pathways demonstrate the impact of health literacy on posttraumatic stress-related symptoms. Their indirect effects (mediation) will be estimated by the product of the "a" and "b" pathways (a*b). To evaluate the statistical significance of these indirect effects, we will use bootstrapped confidence intervals. Specifically, we will focus on the 95% confidence intervals to determine whether health literacy indeed acts as a significant mediator. If the confidence interval does not include zero, then this will suggest a statistically significant mediation effect.

Aim 3 description:

Aim 3 will rely on the lm() function or R for linear models as well as correlational analyses.

For Aim 3, we will conduct analysis that aims to identify which aspects of health-related quality of life (as measured by the PROMIS-57 Profile v2.1) are associated with posttraumatic stress-related symptoms (as measured by the PCL-5). The PROMIS-57 includes domains such as Physical Function, Anxiety, Depression, Fatigue, Sleep Disturbance, Ability to Participate in Social Roles and Activities, Pain interference, and Pain Intensity. We will examine the relationship between these quality-of-life domains and trauma symptoms while statistically controlling for demographic variables and health literacy. For this analysis, we will begin with assessing the correlations (using the psych package in R) between the PROMIS-57 domains and PCL-5, in the overall sample and also stratified by language. This may identify any significant relationships between quality of life domains and posttraumatic stress symptoms. Domains that suggest association with posttraumatic stress symptoms will be entered into a regression model with demographics included as covariates (to control for potential influence) and further evaluate the distinctive influence of quality if life domains predicting trauma symptoms. Lastly, language will be used as a moderator in the regression model to assess whether these relationships differ by language.

Effect size
I am focusing on unstandardized regression coefficients. In some cases, I may inspect standardized regression coefficients.

Inference criteria
Any regression coefficients with a 95% CI not containing zero will be regarded as significant.

Assumption Violation/ Model Non-Convergence
We will inspect the distribution of residuals for each model. If residuals suggest a departure from normality, robust regression and/or bootstrapping will be considered. If a model does not converge, we will explore other estimators in lavaan or modifications to the model, such as dropping covariates that are not needed.

Exploratory analysis

For research questions 1 and 3, language will be explored as a moderator. For research question 2, we will explore separate models for English and Spanish.

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