

**Official Title: Prediction of Recipient Renal Function in Living Donor Kidney Transplantation  
Using Baseline Characteristics and Donor Renal Volume**

**ClinicalTrials.gov NCT Number:**

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## 1. Purpose and Background

Kidney transplantation is the definitive treatment for end-stage renal disease (ESRD). Accurately predicting early post-transplant kidney function is critical for patient management and improving long-term outcomes. This study aims to develop a machine learning-based predictive model using baseline characteristics of recipients and donors, with a focus on donor kidney volume, to predict the lowest serum creatinine level within one year post-transplant.

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## 2. Study Design

- **Study Type:** Retrospective Cohort Study
  - **Duration:** January 2006- March 2023
  - **Setting:** Multicenter study conducted at Seoul National University Hospital, Severance Hospital, and Bundang Seoul National University Hospital.
  - **Participants:** Living-donor kidney transplant recipients and their corresponding donors.
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## 3. Eligibility Criteria

### Inclusion Criteria:

- Living-donor kidney transplant recipients and donors from the above centers.

### Exclusion Criteria:

- Recipients or donors with follow-up duration less than 1 year.
  - Recipients younger than 18 years old.
  - Re-transplantation cases or recipients with simultaneous multi-organ transplantation.
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## 4. Data Collection and Management Plan

- Data was retrieved from hospital records and centralized in a unified dataset.
- Variables included demographic data, donor kidney volume (total and cortex), recipient-donor compatibility, and baseline laboratory data.

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## 5. Primary and Secondary Outcomes

- **Primary Outcome:** Lowest serum creatinine level within one year post-transplant.
  - **Secondary Outcomes:** Predictive performance metrics (MAE, RMSE,  $R^2$ ), donor kidney volume correlations with recipient eGFR, and subgroup analyses by donor type.
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## 6. Statistical Analysis

### 6.1 Statistical Software

All statistical analyses and data preprocessing will be conducted using the following software tools:

1. **R Software (Version 4.4.1):**
    - Primary software for statistical analyses, including descriptive statistics, hypothesis testing, and data visualization.
  2. **Python (Version 3.9):**
    - Used for developing and validating machine learning models.
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### 6.2 Predictive Model Development

#### Algorithms Used:

- Multiple Linear Regression (with and without variable selection)
- Elastic Net
- Generalized Additive Model (GAM)
- Random Forest
- Gradient Boosting (XGBoost)

#### Variable Selection Methods:

- Multiple Linear Regression: AIC-based stepwise selection.
- Elastic Net: LASSO regularization.
- GAM: Cross-validation for smoothing parameter selection.

- Tree-based models (Random Forest, Gradient Boosting, XGBoost): Feature importance evaluation based on cross-validation.

### Hyperparameter Tuning:

- Grid search with 5-fold cross-validation will be used to optimize hyperparameters for machine learning models.
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## 6.3 Model Evaluation

### Performance Metrics:

1. **Mean Absolute Error (MAE):**

$$MAE = \frac{1}{n} \sum_{i=1}^n |y_i - \hat{y}_i|$$

$y_i$ : Actual value

$\hat{y}_i$ : Predicted value

$n$ =Number of observations

2. **Root Mean Square Error (RMSE):**

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2}$$

$y_i$ : Actual value

$\hat{y}_i$ : Predicted value

$n$ =Number of observations

3. **R-squared ( $R^2$ ):**

$$R^2 = 1 - \frac{SS_{res}}{SS_{tot}}$$

$SS_{res} = \sum_{i=1}^n (y_i - \hat{y}_i)^2$ : Residual Sum of Square

$SS_{tot} = \sum_{i=1}^n |y_i - \bar{y}|$ : Total Sum of Squares

### Internal Validation:

- Models will be evaluated using the internal validation set for all performance metrics.

### External Validation:

- The final model will be tested on the external validation set to assess generalizability.
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#### **6.4 Subgroup Analysis**

- Subgroups based on recipient characteristics (e.g., sex, age, baseline BMI) and donor variables (e.g., kidney volume) will be analyzed to evaluate heterogeneity in model performance.
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#### **6.5 Feature Importance Analysis**

- Feature importance scores will be derived from tree-based models (Random Forest, XGBoost) to identify the most influential predictive variables.
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### **7. Ethical Considerations**

- Approved by the SNUH Institutional Review Board (IRB No. H-2205-051-1322).
- Study participants provided informed consent for data usage.