

**Janssen Research & Development \*****Clinical Protocol****Protocol Title**

**A Phase 3b, Multicenter, Randomized, Double-blind, Placebo-controlled Study Evaluating the Efficacy and Safety of Subcutaneously Administered Guselkumab in Improving the Signs and Symptoms and Inhibiting Radiographic Progression in Participants with Active Psoriatic Arthritis.**

**APEX**

**Short Title**

**A Study of the Efficacy and Safety of Guselkumab in Participants with Active Psoriatic Arthritis**

**Protocol CNT01959PSA3004; Phase 3b  
AMENDMENT 2**

**CNT0 1959 (guselkumab)**

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United States (US) sites of this study will be conducted under US Food & Drug Administration Investigational New Drug (IND) regulations (21 CFR Part 312).

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**GCP Compliance:** This study will be conducted in compliance with Good Clinical Practice, and applicable regulatory requirements.

**Confidentiality Statement**

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## PROTOCOL AMENDMENT SUMMARY OF CHANGES TABLE

DOCUMENT HISTORY	
Document	Date
Amendment 2	04 May 2022
Amendment 1	07 April 2021
Original Protocol	6 January 2021

### Amendment 2 (04 May 2022)

**Overall Rationale for the Amendment:** To clarify timing of radiographs for participants who discontinue study intervention prior to Week 24, add high radiographic variability (HRV) stratification information, update exclusion criteria for participants who are seropositive for hepatitis C virus (HCV) antibodies, clarify start time for recording of concomitant therapies, and update COVID-19 related information.

Section Number and Name	Description of Change	Brief Rationale
1.3. Schedule of Activities; 7.2. Participant Discontinuation/ Withdrawal from the Study; 8.1.1.6. Imaging Evaluation	Table1 (footnote v) and text updated to specify that participants who discontinue study intervention prior to Week 24 should have radiographs of hands and feet performed at Week 24. Table 1 (footnote v) also updated to specify that participants who discontinue study intervention prior to Week 24 should return for all visits through Week 24.	To align with a health authority recommendation: discontinuation of study intervention should not change the timing of x-ray, and participants who discontinue study intervention should remain in the study for regularly scheduled visits (including Week 24 visit).
4.1. Overall Design	Added detailed stratification criteria for participants with and without HRV.	To provide detailed stratification criteria per health authority request.
5.2. Exclusion Criteria (#38)	Updated exclusion criteria for participants who are seropositive for HCV antibodies.	To clarify exclusion criteria regarding hepatitis C virus screening.
6.8. Concomitant Therapy	The timing of start of recording of concomitant therapies was updated to “after the time of informed consent”.	To provide clarification of the recording of concomitant therapies.
6.8.4. Biologic Agents, Cytotoxic Drugs, JAK Inhibitors, or Investigational Agents	Added text to explain that limited use of a biologic/cytotoxic/JAKi/Investigational agent for COVID treatment may be allowed on a case-by-case basis and should be discussed with the medical monitor. This use does not necessarily require permanent study agent discontinuation. Such cases should be discussed with Sponsor.	To provide clarification on the limited concomitant use of agents for treatment of COVID-19 infection.
1.1. Synopsis; 3. Objectives and Endpoints	Removed “infusion reactions” from Other Secondary Endpoint of evaluation of safety.	Typographic update.
1.1. Synopsis; 6.1. Study Intervention(s) Administered	The dosage level for Arm B in the Description of Interventions table was updated to remove an extra ‘8’: 100 mg at Weeks 0, 4, & then q8w.	Typographic correction.
1.3. Schedule of Activities	Footnote g updated to specify options for study intervention administration available are per local regulations.	To accommodate different regulations in different countries/regions.
4.1. Overall Design; 9.2. Sample Size Determination	Added text to allow replacement of participants discontinued due to Major Disruption (eg, the disruption involving Ukraine and neighboring countries/territories beginning 24 February 2022).	To allow replacement of participants impacted by Major Disruption.

Section Number and Name	Description of Change	Brief Rationale
5.1. Inclusion Criteria (#15)	The text description of the spermicidal foam/gel/cream/suppository to be used with barrier methods of birth control was updated to remove “if available in their locale”.	To provide clarification on contraception guidance.
5.1. Inclusion Criteria (#16)	Removed text referring to description of handling of indeterminate TB test results in the full protocol.	Not applicable; this is the full protocol.
6.8 Concomitant Therapy	Updated text referring to protocol: “full protocol”.	Not applicable; this is the full protocol.
6.4. Study Intervention Compliance	Text added to specify that study intervention administrations must be at least 14 days apart.	To provide clarification on the time required between study intervention administrations.
8.2.6 Electronic Columbia-Suicide Severity Rating Scale	Text describing timing of eC-SSRS assessment at screening was updated to “after the joint assessment and physician’s global assessment of disease activity (after signing informed consent)” and for subsequent SC-SSRS the timing was updated to prior to all PROs.	To be consistent with the Schedule of Activities.
9.3. Population for Analysis Sets	Updated definition of the Full Analysis Set: “All participants who were randomized in the study <del>and received at least one (complete or partial) administration of study intervention</del> . This analysis set will be used for the efficacy analyses.”	To align with health authority recommendations on the analysis of study data.
9.3. Population for Analysis Sets	Added Modified Full Analysis Set to population sets for efficacy analysis.	To provide efficacy analysis excluding participants replaced due to Major Disruption or Natural Disaster.
1.1. Synopsis; 9.4.2. Primary Endpoint	Incorporated Major Disruption into the ICEs and missing data handling rules.	To account for the disruption involving Ukraine and neighboring countries/territories beginning February 24, 2022.
1.1. Synopsis; 9.4.3. Secondary Endpoints	Removed detailed descriptions of secondary endpoint analyses.	Detailed analysis description relocated to SAP.
10.5. Appendix 5: Contraceptive and Barrier Guidance	Table title updated to clarify that the examples of contraceptives are for female participants.	To provide clarification on contraception guidance.
10.9. Appendix 9: Study Conduct During a Natural Disaster	Updated guidance on study conduct during the COVID-19 pandemic.	To clarify the guidance regarding study conduct during the COVID-19 pandemic.
1.1 Synopsis; 6.1 Study Intervention(s) Administered	In the Description of Interventions table, Group/Arm Names were updated to align with treatment group labels in text.	Typographic correction.
8.2.4. Electrocardiograms	Updated text describing the timing of ECG: “A 12-lead electrocardiogram (ECG) will be performed <del>at screening as specified in the SoA (Section 1.3)</del> ”.	Typographic changes.
1.1 Synopsis	Text regarding Study Population was relocated under Overall Design.	To align with the template.
Throughout the protocol	Minor grammatical, formatting, or spelling changes were made.	Minor errors were noted.

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## 1. PROTOCOL SUMMARY

### 1.1. Synopsis

A Phase 3b, Multicenter, Randomized, Double-blind, Placebo-controlled Study Evaluating the Efficacy and Safety of Subcutaneously Administered Guselkumab in Improving the Signs and Symptoms and Inhibiting Radiographic Progression in Participants with Active Psoriatic Arthritis.

Guselkumab (TREMFYA®, CNTO 1959) is a fully human immunoglobulin G1 lambda (IgG1λ) monoclonal antibody (mAb) that binds to the p19 protein subunit of interleukin (IL)-23 with high affinity. By binding to the p19 protein subunit of IL-23, guselkumab blocks the binding of extracellular IL-23 to the cell surface IL-23 receptor, inhibiting IL-23-mediated intracellular signaling, activation, and cytokine production.

## OBJECTIVES AND ENDPOINTS

Objectives	Endpoints
<b>Primary</b>	
<ul style="list-style-type: none"> <li>To evaluate the efficacy of guselkumab treatment in participants with active psoriatic arthritis (PsA) by assessing the reduction in signs and symptoms of PsA.</li> </ul>	<ul style="list-style-type: none"> <li>Proportion of participants with American College of Rheumatology (ACR) 20 response at Week 24</li> </ul>
<b>Major Secondary</b>	
<ul style="list-style-type: none"> <li>To evaluate the inhibition of progression of structural damage in participants with active PsA.</li> </ul>	<ul style="list-style-type: none"> <li>Change from baseline in PsA modified van der Heijde-Sharp (vdH-S) score at Week 24.</li> </ul>
<b>Other Secondary</b>	
<ul style="list-style-type: none"> <li>To evaluate the safety in participants with active PsA.</li> </ul>	<ul style="list-style-type: none"> <li>Frequency and type of adverse events (AEs), serious adverse events (SAEs), reasonably related AEs, AEs leading to discontinuation of study intervention, infections, and injection-site reactions.</li> <li>Laboratory abnormalities (chemistry, hematology), maximum toxicity (Common Terminology Criteria for Adverse Events [CTCAE 5.0]) grades.</li> </ul>
<ul style="list-style-type: none"> <li>To evaluate the pharmacokinetics (PK) and immunogenicity in participants with active PsA.</li> </ul>	<ul style="list-style-type: none"> <li>Serum guselkumab concentration.</li> <li>Incidence of antibodies to guselkumab.</li> </ul>
<b>Other</b>	
<b>Endpoints Related to Reduction of Signs and Symptoms and Physical Function</b> <ul style="list-style-type: none"> <li>Proportions of participants who achieve ACR 20, ACR 50, and ACR 70 responses by visit over time through Week 156.</li> <li>Proportion of participants who maintain an ACR 20 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 20 response at Week 24.</li> <li>Proportion of participants who maintain an ACR 50 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 50 response at Week 24.</li> </ul>	

- Proportion of participants who maintain an ACR 70 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 70 response at Week 24.
- Change, and percent change from baseline in ACR components by visit over time through Week 156.
- Change from baseline in Disability Index of the Health Assessment Questionnaire (HAQ-DI) score by visit over time through Week 156.
- Proportion of participants who achieve a clinically meaningful improvement (a  $\geq 0.35$  improvement from baseline) in HAQ-DI score by visit over time through Week 156 among those participants with HAQ-DI score  $\geq 0.35$  at baseline.
- Proportion of participants who maintain a HAQ-DI response (ie,  $\geq 0.35$  improvement from baseline in HAQ-DI score) at Week 48, Week 96, and Week 156 among the participants who achieved a HAQ-DI response at Week 24.
- Proportion of participants who achieve a Disease Activity Score 28 (DAS28) C-reactive protein (CRP) response by visit over time through Week 156.
- Proportion of participants who achieve a DAS28 (CRP) remission by visit over time through Week 156.
- Change from baseline in DAS28 (CRP) by visit over time through Week 156.
- Proportion of participants who achieve a response based on modified Psoriatic Arthritis Response Criteria (PsARC) by visit over time through Week 156.
- Proportion of participants with resolution of enthesitis (based on Leeds Enthesitis Index [LEI]) by visit over time through Week 156 among the participants with enthesitis at baseline.
- Change from baseline in enthesitis score (based on LEI) by visit over time through Week 156 among the participants with enthesitis at baseline.
- Proportion of participants with resolution of dactylitis by visit over time through Week 156 among the participants with dactylitis at baseline.
- Change from baseline in dactylitis score by visit over time through Week 156 among the participants with dactylitis at baseline.
- Change from baseline in Work Productivity and Activity Impairment Questionnaire (WPAI) scores by visit over time through Week 156.
- Change from baseline in modified Composite Psoriatic Disease Activity Index (mCPDAI) score by visit over time through Week 156.
- Change from baseline in Disease Activity Index for Psoriatic Arthritis (DAPSA) score by visit over time through Week 156.
- Proportion of participants who achieve DAPSA low disease activity by visit over time through Week 156.
- Proportion of participants who achieve DAPSA remission by visit over time through Week 156.
- Proportion of participants who achieve minimal disease activity (MDA) by visit over time through Week 156.
- Proportion of participants who achieve very low disease activity (VLDA) by visit over time through Week 156.

**Endpoints Related to Skin Disease**

- Proportions of participants who achieve  $\geq 75\%$ ,  $\geq 90\%$ , and 100% improvement in Psoriatic Area and Severity Index (PASI) score from baseline by visit over time through Week 156 among the participants with  $\geq 3\%$  body surface area (BSA) psoriatic involvement and an Investigator's Global Assessment (IGA) score of  $\geq 2$  (mild) at baseline.
- Proportion of participants with a psoriasis response of an IGA (ie, an IGA-psoriasis score of 0 [cleared] or 1 [minimal] AND  $\geq 2$ -grade reduction from baseline) by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants with an IGA score of 0 (cleared) by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Change and percent change from baseline in PASI score by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve both PASI 75 and ACR 20 responses by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve both PASI 75 and modified PsARC response by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve a Dermatology Life Quality Index (DLQI) score of 0 or 1 by visit through Week 156 among the participants with baseline DLQI score  $>1$  and with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve  $\geq 5$ -point improvement from baseline in DLQI score by visit through Week 156 among the participants with baseline DLQI score  $\geq 5$  and with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Change from baseline in DLQI score by visit through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.

**Endpoints Related to Psoriasis of the Nails**

- Percent change from baseline in total fingernail Modified Nail Psoriasis Severity Index (mNAPSI) score by visit over time through Week 156 among the participants with total fingernail mNAPSI score  $>0$  at baseline.
- Proportions of participants who achieve total fingernail mNAPSI 50/75/100 response by visit over time through Week 156 among the participants with total fingernail mNAPSI score  $>0$  at baseline.
- Proportion of participants who achieve Physician's Global Assessment of Fingernails Psoriasis (PGA-F) score of 0 [cleared] or 1 [minimal] AND  $\geq 2$ -grade reduction from baseline by visit over time through Week 156 among the participants with PGA-F score  $\geq 2$  at baseline.

**Endpoints Related to Joint Structural Damage**

- Change from baseline in modified vdH-S score by visit over time through Week 156.
- Change in modified vdH-S score from Week 24 to Weeks 48, 96, 156; from Week 48 to Weeks 96 and 156; and from Week 96 to Week 156.

- Change from baseline in modified vdH-S erosion score and joint space narrowing (JSN) score by visit over time through Week 156.
- Change in modified vdH-S erosion score and JSN score from Week 24 to Weeks 48, 96, 156; from Week 48 to Weeks 96 and 156; and from Week 96 to Week 156.
- Change from baseline in modified vdH-S score by region and type of damage (ie, hand erosion, hand JSN, foot erosion, foot JSN subscores) by visit over time through Week 156.
- Proportion of participants with a change of  $\leq 0$  from baseline, and proportion of participants with a change of  $\leq 0.5$  from baseline in modified vdH-S score by visit over time through Week 156.
- Proportion of participants with a change of  $\leq 0$  from baseline, and proportion of participants with a change of  $\leq 0.5$  from baseline in modified vdH-S erosion score and JSN score by visit over time through Week 156.
- Proportion of participants with radiographic progression (based on the smallest detectable change [SDC]) from baseline by visit over time through Week 156.
- Proportion of participants with radiographic joint erosion progression and radiographic JSN progression (based on SDC) from baseline by visit over time through Week 156.
- Proportion of participants with pencil in cup or gross osteolysis deformities by visit over time through Week 156.

#### **Endpoints Related to Health-Related Quality of Life**

- Change from baseline in Functional Assessment of Chronic Illness Therapy - Fatigue (FACIT-F) by visit over time through Week 156.
- Proportion of participants who achieve  $\geq 4$ -point improvement from baseline in FACIT-F score improvement by visit over time through Week 156.
- Change from baseline in PsA Impact of Disease (PsAID)-12 by visit over time through Week 156.

#### **Endpoint Related to Pharmacodynamic effect**

- Change from baseline in serum interleukin (IL-17) cytokines by visit over time through Week 48.

#### **Hypothesis**

The primary hypothesis is that the guselkumab 100 mg every 4 weeks (q4w) group is superior to placebo as assessed by the proportion of participants achieving an American College of Rheumatology (ACR) 20 response at Week 24.

#### **OVERALL DESIGN**

This is a Phase 3b, multicenter, randomized, double-blind, placebo-controlled, 3-arm study in participants with active psoriatic arthritis (PsA) who are biologic naïve and have had inadequate response to current standard therapies (eg, disease-modifying antirheumatic drugs [DMARDs]/apremilast, corticosteroids, nonsteroidal anti-inflammatory drugs [NSAIDs]).

Stable doses (as defined below) of concomitant NSAIDs, oral corticosteroids ( $\leq 10$  mg/day prednisone equivalent, selected non-biologic DMARDs (methotrexate [MTX], sulfasalazine [SSZ], hydroxychloroquine [HCQ], leflunomide [LEF]) will be allowed but are not required.

- NSAIDs and other analgesics: Maximum marketed dose approved in the country where the study is being conducted

- Oral Corticosteroids: Equivalent to 10 mg/day of prednisone
- Selected non-biologic DMARDs:
  - MTX: 25 mg/week
  - SSZ: 3 g/day
  - HCQ: 400 mg/day
  - LEF: 20 mg/day

## STUDY POPULATION

The target study population is participants with active PsA who are biologic naïve and have had an inadequate response to standard therapies (eg, non-biologic DMARDs, apremilast, and/or NSAIDs). This population is appropriate to provide relevant efficacy and safety information for the intended use of guselkumab in PsA. Additionally, a biologic naïve population with  $\geq 2$  joints with erosions at baseline is planned to enrich the population for those more likely to experience radiographic progression and therefore increase the power for detection of a treatment effect on radiographic endpoints.

## NUMBER OF PARTICIPANTS

A target of 950 participants will be randomly assigned in this study with 350 participants planned in each of the placebo and guselkumab 100 mg every 8 weeks (q8w) groups, and 250 participants in the guselkumab 100 mg q4w group.

## INTERVENTION GROUPS AND DURATION

Participants who satisfy all inclusion and exclusion criteria will be randomly assigned to one of the following 3 treatment groups in a 7:5:7 ratio using permuted block randomization with stratification defined by a combined factor of baseline radiographic variability, corticosteroid use, number of joints with erosion, and the most recent available C-reactive protein (CRP) value prior to randomization into 4 strata levels (high radiographic variability [HRV], no progression [NP], low to moderate progression [LMP], and rapid progression [RP]). All participants with HRV will be assigned to the HRV stratum, and the rest of participants will be assigned to the other strata based on probability of NP, LMP, and RP.

- **Group I (n=350):** Participants will receive subcutaneous (SC) guselkumab 100 mg at Weeks 0, 4, 12, 20, 28, 36 and 44 and placebo at Weeks 8, 16, 24, 32, 40 and 48 to maintain the blind.
- **Group II (n=250):** Participants will receive SC guselkumab 100 mg at Weeks 0, 4, 8, 12, 16, 20, 24, 28, 32, 36, 40, 44 and 48.
- **Group III (n=350):** Participants will receive SC placebo at Weeks 0, 4, 8, 12, 16 and 20, and will cross over at Week 24 to receive SC guselkumab 100 mg at Weeks 24, 28, 32, 36, 40, 44 and 48.

At Week 16, all participants in Groups I, II, and III with  $<20\%$  improvement from baseline in both tender and swollen joint counts will qualify for early escape (EE) and will be allowed to initiate or increase the dose of one of the permitted concomitant medications up to the maximum allowed dose, as selected by the investigator.

At Week 48, participants who have not discontinued will be eligible to enter a long-term extension (LTE) for a period of up to approximately two years (ie, Week 48 through Week 156).

Database locks (DBLs) are scheduled at Weeks 24, 48, 96 and end of study (Week 168).

The end of study is considered as the last visit for the last participant in the study.

## Description of Interventions

Group/Arm Name	Group II	Group I	Group III
<b>Intervention Name</b>	Guselkumab	Guselkumab	Placebo
<b>Dose Formulation</b>	Guselkumab 100 mg and matching liquid placebo for guselkumab will be provided in a single-use prefilled syringe (PFS) assembled with the UltraSafe PLUSTM Passive Needle Guard (PFS-U).	Guselkumab 100 mg and matching liquid placebo for guselkumab will be provided in a single-use prefilled syringe (PFS) assembled with the UltraSafe PLUSTM Passive Needle Guard (PFS-U).	
<b>Unit Dose Strength(s)</b>	100 mg	100 mg	
<b>Dosage Level(s)</b>	100 mg q4w	100 mg at Weeks 0 and 4, then q8w	
<b>Route of Administration</b>	subcutaneous	subcutaneous	subcutaneous

## EFFICACY EVALUATIONS

Efficacy assessments (joint count [tender and swollen], nonevaluable joints, ACR Response, dactylitis score, enthesitis assessments, imaging evaluation, Disability Index of the Health Assessment Questionnaire [HAQ-DI], Minimal Disease Activity [MDA], Very Low Disease Activity [VLDA], Disease Activity Score [DAS] 28, modified Composite Psoriatic Disease Activity Index [mCPDAI], Disease Activity Index for Psoriatic Arthritis [DAPSA], modified Psoriatic Arthritis Responder Criteria [PsARC], modified Nail Psoriasis Severity Index [mNAPSI], Physician's Global Assessment of Fingernail Psoriasis [PGA-F]) will be performed at visits according to the Schedule of Activities.

Psoriasis response evaluations (Psoriasis Area and Severity Index [PASI], Investigator's Global Assessment [IGA] of Psoriasis and Dermatology Life Quality Index [DLQI]) will be performed at visits according to the Schedule of Activities.

Patient-reported outcomes (PsA Impact of Disease [PsAID] and Functional Assessment of Chronic Illness Therapy - Fatigue [FACIT-F]) will be performed at visits according to the Schedule of Activities.

## PHARMACOKINETIC AND IMMUNOGENICITY EVALUATIONS

Venous blood samples will be collected for measurement of serum concentrations of guselkumab and antibodies to guselkumab at the time points shown in the Schedule of Activities. Serum samples will also be collected at the final visit from participants who discontinue study intervention or were withdrawn from the study.

## PHARMACOGENOMIC (DNA) EVALUATIONS

Participation in pharmacogenomic research is optional. A pharmacogenomic blood sample will be collected from participants who consent separately to this component of the study to allow for pharmacogenomic research, where local regulations permit.

## BIOMARKER EVALUATIONS

Biomarker assessments will be made to examine the biologic response to treatment and to identify biomarkers that are relevant to guselkumab treatment and/or PsA, where local regulations permit.

Assessments may include the evaluation of relevant biomarkers in serum, plasma, and whole blood collected as specified in the Schedule of Activities, where local regulations permit.

## HEALTH ECONOMICS EVALUATIONS

The Work Productivity and Activity Impairment Questionnaire - Specific Health Problem (WPAI-SHP): PsA will be used to study the impact of PsA on work and other daily activities during the past 7 days.

## SAFETY EVALUATIONS

Safety assessments (physical examinations, vital sign, height and weight, electrocardiograms, clinical safety laboratory assessments, suicidal ideation or behavior using the electronic Columbia-Suicide Severity Rating Scale [eC-SSRS], concomitant medication review, injection-site reactions, hypersensitivity reactions, infections, tuberculosis evaluations and pregnancy testing) will be performed by the investigator or designated physician as specified in the Schedule of Activities.

## STATISTICAL METHODS

### *Sample Size Determination*

The sample size selection was determined based on the primary endpoint of proportion of participants who achieve an ACR 20 response at Week 24 and the major secondary endpoint of change from baseline in modified vdH-S score at Week 24. The assumptions are based on the PSA3002 study.

### ***Primary Endpoint – ACR 20 Response at Week 24***

In the PSA3002 study, the ACR 20 response rates at Week 24 were 33.1%, 64.6%, and 63.7%, respectively, for the placebo, guselkumab 100 mg SC at Weeks 0, 4, then q8w, and guselkumab 100 mg SC q4w treatment groups.

For this study, assuming a 60% ACR 20 response rate in the guselkumab group and a 35% ACR 20 response rate in the placebo group, a sample size of 250 or 350 participants in the guselkumab group and 350 participants in the placebo group will provide a power of approximately >99% to detect a significant treatment difference at a 2-sided significance level of  $\alpha=0.05$  using a 2-sided Chi-square test.

### ***Key Major Secondary Endpoint – Change from Baseline in Modified vdH-S Score at Week 24***

For change from baseline in modified vdH-S score, participants in each treatment group can be considered a mixture of two subpopulations: one subpopulation (Spop 1) with a change score of 0 regardless of treatment and another subpopulation (Spop2) with a change score sampled from a normal distribution. Therefore, the distribution of the vdH-S change scores is determined by 3 parameters: the probability that a participant has a change score of 0, the mean of the normal distribution, and the standard deviation (SD) of the normal distribution. The overall mean (ie, crude mean) of the change scores for a treatment group is the overall average of the change scores among all participants (including both Spops 1 and 2) in that treatment group.

In the PSA3002 study, the following statistics were observed for change from baseline in modified vdH-S score at Week 24 for each treatment group:

The overall mean (SD) of change from baseline in modified vdH-S score at Week 24 was 0.90 (3.14), 0.25 (2.52), and 0.45 (2.38) respectively, for the placebo, guselkumab 100 mg q4w, and guselkumab 100 mg q8w treatment groups. The assumptions for power calculations in this study are based in part on these data, adjusted for the difference in enrichment criteria between studies.

For this study, assuming an overall mean (SD) of change from baseline in modified vdH-S score as 1.13 (3.2), 0.25 (3.1), and 0.45 (3.1) respectively in the placebo, guselkumab 100 mg q4w, and guselkumab

100 mg q8w groups, a sample size of 350/250/350 participants (ie, 7:5:7 ratio, 950 in total) will provide a power of at least 90% and 80% to detect a significant treatment difference at a 2-sided significance level of  $\alpha=0.05$  for guselkumab q4w vs placebo and guselkumab q8w vs placebo comparisons respectively.

The statistical power for each comparison was estimated based on 10000 simulations with treatment comparison performed at each simulation using an analysis of variance (ANOVA) test on the van der Waerden normal score. Under these assumptions, the power ranges approximately from 83% to 96% for the guselkumab q4w vs placebo comparison, and from 69% to 89% for the guselkumab q8w vs placebo comparison.

### **Statistical Analyses**

In general, descriptive statistics, such as mean, SD, median, inter quartile range, minimum, and maximum for continuous variables, and counts and percentages for discrete variables will be used to summarize most data.

For binary response efficacy endpoints, treatment comparisons will generally be performed using a Chi-square test or a Cochran-Mantel-Haenszel (CMH) test. For continuous endpoint of efficacy data, treatment comparisons will be performed using an analysis of covariance (ANCOVA), a mixed model for repeated measures (MMRM) model or a constrained longitudinal data analysis (cLDA) Model.

In general, statistical testing will be performed using 2-sided tests. The overall type I error will be controlled among the primary and major secondary endpoints at 5%.

### **Primary Endpoint**

The primary endpoint is the proportion of participants who achieved an ACR 20 response at Week 24. The primary analysis of this endpoint will be based on the **adjusted composite estimand** defined by the 5 components:

- Population: Participants with active PsA who are biologic naïve, and were randomized and treated with study intervention
- Treatment:
  - Placebo
  - Guselkumab
- Variable: ACR20 composite binary response variable at Week 24, where a responder is defined as a participant who achieves ACR20 response at Week 24 and does not experience ICE categories 1 to 3.
- Intercurrent Events (ICEs): ICE categories 1 to 3 will follow the composite strategy, where participants who meet them prior to Week 24 will be considered as treatment failures and will be treated as non-responders regardless of the observed ACR20 response status. The ICE categories 4 and 5 will follow the hypothetical strategy postulating a scenario where a Natural Disaster (eg, the Coronavirus Disease 2019 [COVID-19] pandemic), or Major Disruption (eg, the disruption involving Ukraine and neighboring countries/territories beginning 24 February 2022; specific details to be included in the statistical analysis plan [SAP]), and ICEs directly resulting from them, did not occur, and observed data through Week 24 after meeting these ICEs will not be used and will be assumed to be Missing at Random (MAR). For participants experiencing multiple ICEs, an ICE in categories 1 to 3 will supersede an ICE in categories 4 and 5.
  1. Discontinued study intervention injections due to any reason **except** due to a Natural Disaster or Major Disruption.
  2. Initiated or increased the dose of non-biologic DMARDs (MTX, SSZ, HCQ, LEF) or oral corticosteroids over baseline for PsA.

3. Initiated protocol prohibited medications/therapies for PsA.
4. Discontinued study intervention injections due to a Natural Disaster or Major Disruption.
5. Severe treatment non-compliance (to be defined in the SAP) due to a Natural Disaster or Major Disruption.

- Population level summary: difference in proportion of responders between guselkumab group and placebo group.

Data from all participants in the modified full analysis set (mFAS) will be analyzed according to randomized treatment group regardless of the treatment actually received. Missing data for any reason other than a Natural Disaster or Major Disruption will be considered non-responders, while missing data due to a Natural Disaster or Major Disruption or data not used due to ICE categories 4 or 5 will be assumed to be MAR and imputed using multiple imputation (MI). For each guselkumab dose versus placebo comparison, a CMH test stratified by the randomization strata levels will be conducted for each imputation set, and the Wilson-Hilferty transformation will be applied to the CMH statistics across the imputation sets before combining them for the final p-values. The magnitude of the effect will be estimated by the difference in ACR 20 response rates between the guselkumab and placebo groups with the 95% confidence interval calculated based on Wald statistics.

To evaluate the robustness of the primary endpoint analysis results, the exhaustive scenario tipping point sensitivity analyses will be performed by varying the amount of non-responder imputation for missing data.

Additional sensitivity/supplemental analyses which vary how intercurrent events (eg, alternative estimand) are handled, how observed data are used, and how missing data are treated will be specified in the SAP to further address the robustness of treatment effect of ACR 20 at Week 24.

### ***Subgroup Analyses***

Subgroup analysis will be performed to evaluate consistency in the primary efficacy endpoint by demographic characteristics, baseline disease characteristics, and baseline medications. Interaction test between the subgroups and treatment group will also be provided if appropriate.

### ***Secondary Endpoints***

The estimand, analysis method, and data handling rules for major secondary endpoints, as well as the approach to control the type I error for multiplicity will be specified in SAP.

### ***Safety Analyses***

All safety analyses will be made on the Safety Population. Safety data, including but not limited to, adverse events (AEs), serious adverse events (SAEs), infections, injection site reactions, hypersensitivity reactions, tuberculosis evaluations, pregnancy testing, changes in laboratory assessments and vital signs will be summarized.

### ***Other Analyses***

#### ***Pharmacokinetic Analyses***

Serum guselkumab concentrations over time will be summarized for each treatment group using descriptive statistics. All concentrations below the lowest quantifiable sample concentration of the assay (BQL) or missing data will be labeled as such in the concentration data listing or statistical analysis system dataset. The BQL concentrations will be treated as zero in the summary statistics.

Population pharmacokinetics (PK) modeling will be conducted when appropriate.

***Immunogenicity Analyses***

The incidence and titers of antibodies to guselkumab will be summarized for all participants who receive at least 1 dose of guselkumab and have appropriate samples for detection of antibodies to guselkumab (ie, participants with at least 1 sample obtained after their first dose of guselkumab).

The incidence of neutralizing antibodies (NAbs) to guselkumab will be summarized for participants who are positive for antibodies to guselkumab and have samples evaluable for NAbs to guselkumab.

***Biomarker/Pharmacodynamic Analyses***

The biomarker analyses will be used to understand PsA, characterize the effects of guselkumab to identify pharmacodynamics (PD) markers and biomarkers relevant to treatment, and to determine if these markers can predict response to guselkumab. The biomarker analyses may include but are not limited to serum T helper (Th17) cytokines, inflammatory markers, whole blood RNA profile, and other categories of biomarkers potentially involved in the development and the progression of PsA.

***Pharmacokinetic/Pharmacodynamic Analyses***

If data permit, the relationships between serum concentrations of guselkumab and the efficacy measures and/or relevant PD endpoints may be explored when appropriate. If any visual trend is observed, additional analyses may be conducted. Analyses results may be summarized in a separate technical report.

***Pharmacogenomic Analyses***

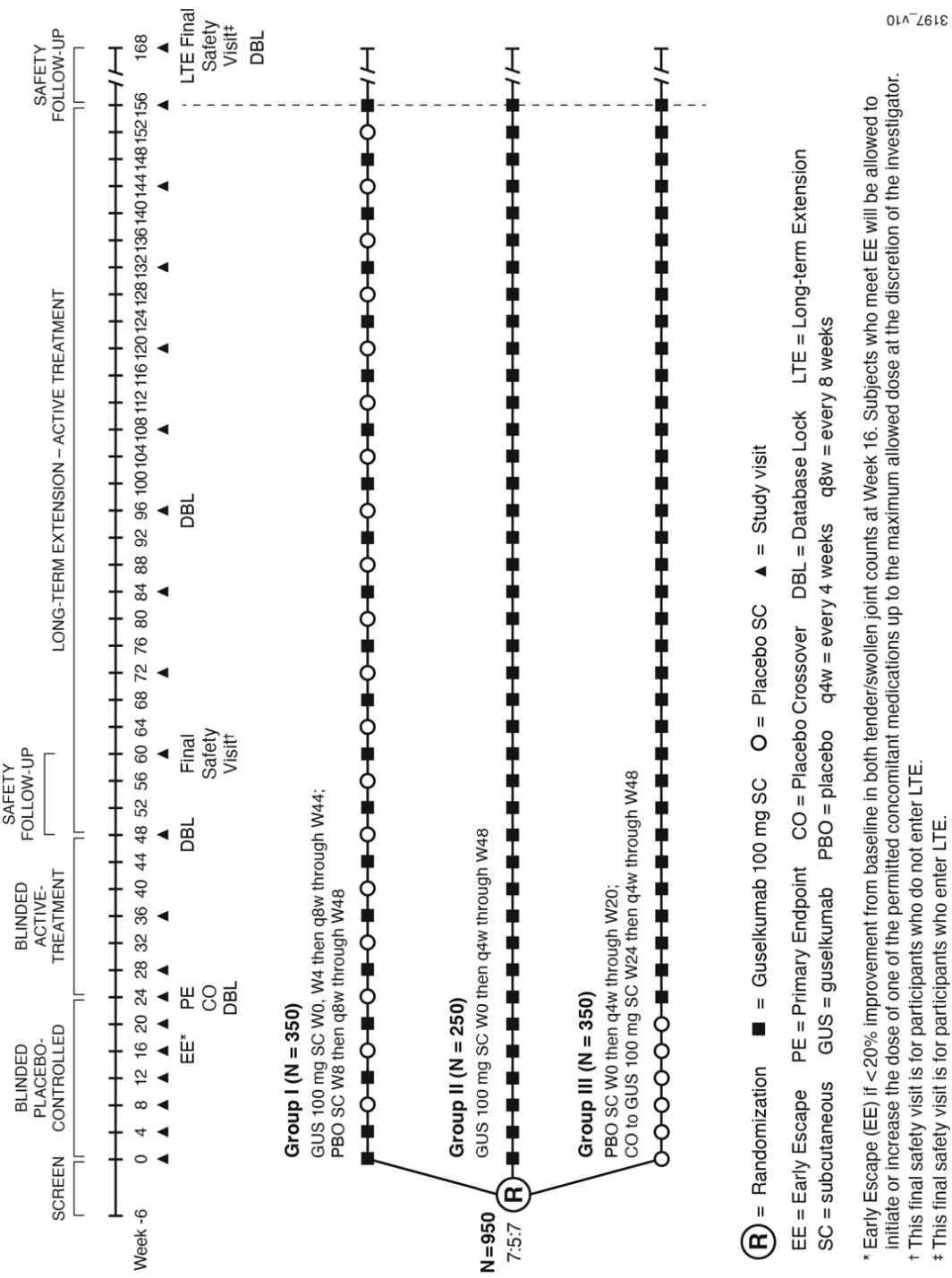
Genetic (DNA) analyses may be conducted only in participants who sign the consent form to participate in the pharmacogenomic sampling. These analyses are considered exploratory.

***Health Economics Analysis***

The change from baseline in impact of disease on work productivity and daily activity via WPAI Questionnaire: PsA will be descriptively summarized by treatment group over time and compared between treatment groups.

## 1.2. Schema

### Schematic Overview of the Study



### 1.3. Schedule of Activities (SoA)

Table 1: Core study (from Screening through Week 48)

Period	Screening <sup>a</sup>	Blinded placebo-controlled <sup>b</sup>						Blinded active-controlled <sup>b</sup>			Safety follow up (12 weeks after last dose) <sup>w</sup>	
Timeframe (Weeks)	-6 to -1	0 <sup>c</sup>	4	8	12	16	20	24	28	36	Final (core study) efficacy visit/48 <sup>y</sup>	Final (core study) safety visit/60 <sup>y</sup>
<b>Study Procedure<sup>d</sup></b>												
<b>Screening/Administrative</b>												
Informed consent (ICF) <sup>e</sup>	x											
Pharmacogenomics (DNA) (optional) <sup>f</sup>	x											
ICF <sup>e</sup>												
Demographics/Medical history	x											
Inclusion/exclusion criteria	x	x										
Pre-study therapy review for eligibility	x	x										
Preplanned surgery/procedure(s)	x											
<b>Study Intervention Administration<sup>g</sup></b>												
Randomization	x											
Dispense/administer study intervention	x	x	x	x	x	x	x	x	x	x	x	x
<b>Early Escape</b>												
Early Escape								x <sup>ac</sup>				
<b>Efficacy Assessments</b>												
PsA evaluations for arthritis <sup>h</sup>	x	x	x	x	x	x	x	x	x	x	x	x
HAQ-DI <sup>i</sup>	x	x	x	x	x	x	x	x	x	x	x	x
Patient's Global Assessment of Disease Activity (Arthritis and Psoriasis) <sup>i</sup>	x	x	x	x	x	x	x	x	x	x	x	x
Enthesitis assessments (LEI)	x	x	x	x	x	x	x	x	x	x	x	x
Dactylitis assessments	x	x	x	x	x	x	x	x	x	x	x	x
Radiographs of Hands and Feet <sup>u,v</sup>	x							x			x	x
PsAID-12 <sup>i</sup>	x	x	x	x	x	x	x	x	x	x	x	x
FACIT-fatigue <sup>i</sup>	x	x	x	x	x	x	x	x	x	x	x	x
DLQI <sup>i</sup>	x	x	x	x	x	x	x	x	x	x	x	x
WPAL:PsA <sup>i</sup>	x											
BSA % Involvement of Psoriasis	x											
PASI	x	x	x	x	x	x	x	x	x	x	x	x
mNAPSI	x	x	x	x	x	x	x	x	x	x	x	x
PGA-F	x	x	x	x	x	x	x	x	x	x	x	x
IGA-Psoriasis	x	x	x	x	x	x	x	x	x	x	x	x

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Period	Screening <sup>a</sup>	Blinded placebo-controlled <sup>b</sup>						Blinded active-controlled <sup>b</sup>			Safety follow up (12 weeks after last dose) <sup>w</sup>	
Timeframe (Weeks)	-6 to -1	0 <sup>c</sup>	4	8	12	16	20	24	28	36	Final (core study) efficacy visit/48 <sup>y</sup>	Final (core study) safety visit/60
<b>Study Procedure<sup>d</sup></b>												
<b>Safety Assessments</b>												
Physical examination (including skin)	x							x				x
eC-SSRS <sup>aa</sup>	x	x	x	x	x	x	x	x	x	x		x
Vital signs <sup>j</sup>	x	x	x	x	x	x	x	x	x	x		x
Weight		x						x				x
Height	x											
Local 12-lead ECG <sup>k</sup>	x											
Chest X-ray <sup>l</sup>	x											
Tuberculosis evaluation <sup>m</sup>	x	x	x	x	x	x	x	x	x	x		x
Pregnancy test <sup>n</sup>	x	x	x	x	x	x	x	x	x	x		x
Injection Site Reaction Evaluation <sup>z</sup>	x	x	x	x	x	x	x	x	x	x		x
Concomitant therapy	x	x	x	x	x	x	x	x	x	x		x
AEs	x	x	x	x	x	x	x	x	x	x		x
<b>Clinical Laboratory Tests</b>												
Hematology, chemistry <sup>o</sup>	x	x	x	x	x	x	x	x	x	x		x
Quantiferon-TB test <sup>p</sup>	x											
Hepatitis B and C Serologies	x											
HIV antibody test <sup>ab</sup>	x											
Rheumatoid factor <sup>o, q</sup>	x											
CRP <sup>o</sup>	x	x	x	x	x	x	x	x	x	x		x
FSH <sup>o, r</sup>	x											
Lipid panel <sup>o</sup>		x										
<b>Clinical Pharmacology Assessments<sup>s</sup></b>												
Serum guselkumab concentration	x	x	x	x	x	x	x	x	x	x		x
Antibodies to guselkumab	x	x	x	x	x	x	x	x	x	x		x
<b>Biomarkers</b>												
DNA collection (whole blood in EDTA) <sup>f, t</sup>	x											
Serum biomarkers	x	x						x			x	
Plasma biomarkers	x	x						x			x	
Whole blood (RNA)	x	x						x			x	
PBMC <sup>x</sup>	x	x						x			x	

**Footnotes:**

- a: The screening visit is to occur within 6 weeks prior to administration of study intervention at Week 0. The screening visit may be completed in a single visit or may be divided into more than 1 visit. It is recommended that after obtaining informed consent, the investigator complete all laboratory tests at the first visit. The participant may then return for the remainder of the screening procedures only if the participant is eligible for the study, as determined by the central laboratory test results. Every effort to complete screening in 6 weeks should be made; If additional time (up to 2 weeks) is needed a discussion with Sponsor/designee is required.
- b: All post-baseline visits up to and including Week 24 will have a visit window of  $\pm 4$  days counting from Week 0 as Day 1. After Week 24, all visits will have a visit window of  $\pm 7$  days.
- c: Participants must fast (ie, no food or beverages [except water]) for at least 8 hours before blood is drawn for the lipid panel (Week 0). All other visits can be nonfasting.
- d: If a participant discontinues study drug intervention, they should be encouraged to return for all remaining core study visits; It is particularly important to return for all visits through Week 24. If a participant discontinues study intervention at or after Week 24 and is unable to return for all visits through Week 48, the final efficacy visit should occur at the time of discontinuation or as soon as possible and all assessments under the Week 48/final efficacy should be performed including radiographs of hands and feet (refer to footnote v below), with the exception of study intervention administration. The participant should also return for a final safety visit approximately 12 weeks after the last study intervention administration.
- e: Must be signed before first study-related activity.
- f: To participate in the optional DNA research component of this study, participants must sign the DNA research ICF indicating willingness to participate. Blood samples for pharmacogenomic and epigenetic research will be collected only from participants who give informed consent for DNA research.
- g: Study intervention will be administered q4w SC at the site by a health care professional during visits to the site until the participant (or caregiver) is trained for self-administration. Study intervention will be administered by site personnel at Weeks 0 and 4. Beginning at Week 8, at the discretion of the investigator and participant, and after appropriate and documented training, participants may self-administer study intervention at the investigative site under the supervision of a health care professional. A caregiver may also be trained to administer study intervention. Safety and efficacy assessments, including blood samples for clinical laboratory and pharmacokinetics/immunogenicity, should be performed prior to study intervention administration. At any time point, where there is no study visit, participants have the option to come to the site for study intervention administration or may self-administer or can have another health care provider or a caregiver administer at home per local regulations.
- h: PsA evaluations for arthritis include joint assessments (swollen and tender joint counts), patient's assessment of pain, patient's global assessment of disease activity (arthritis), and physician's global assessment of disease activity on VAS. These procedures should be performed prior to study intervention administration at each visit, as applicable.
- i: All participant questionnaires should be completed before any other tests, procedures, or evaluations on the day of the visit for baseline and post-baseline visits.
- j: Vital signs include blood pressure and heart rate.
- k: 12-lead ECG must be done prior to the first administration of study intervention.
- l: The chest radiograph may be taken within 3 months prior to the first administration of study intervention.
- m: Participants must undergo testing for TB and their medical history assessment must include specific questions about a history of TB or known occupational or other personal exposure to individuals with active TB.
- n: Females of childbearing potential must have a negative serum pregnancy test prior to randomization and a negative urine pregnancy test at all dosing visits prior to administration of study intervention.
- o: Laboratory tests are listed in Section 8.2.5.
- p: A tuberculin skin test is additionally required if the QuantiFERON<sup>®</sup>-TB test is not approved/registered in the country in which this study is being conducted, with the exception noted in Inclusion Criterion 16. All participants will undergo QuantiFERON<sup>®</sup>-TB testing. If the QuantiFERON<sup>®</sup>-TB test is not approved/registered in the country in which this protocol is being conducted or the tuberculin skin test is mandated by local health authorities, a negative tuberculin skin test result is also

required. The frequency of TB testing can be increased depending on local health authority requirements. In Ukraine, while the Quantiferon®-TB test is not approved/registered, it is accepted, and a tuberculin skin test is not required.

q: This test is optional. It can be done if needed to confirm whether CASPAR criteria are met.

r: Prior to randomization, FSH is only required for female participants with amenorrhea for less than 12 months. Two FSH measurements are needed to confirm amenorrhea in these participants. This test should NOT be done for any female participant of childbearing potential or female participants with amenorrhea for at least 12 months.

s: All blood samples must be collected before study intervention administration at visits when a study intervention administration is scheduled. Blood collected from one venipuncture will be divided into multiple aliquots of serum for the measurement of guselkumab concentration, antibodies to guselkumab, and a back-up sample.

t: The pharmacogenomic (DNA) sample should be collected at the specified time point; however, if necessary, it may be collected at a later time point without constituting a protocol deviation.

u: To minimize unnecessary x-rays, it is recommended that participants have the baseline radiographs of hands and feet taken after the inclusion and exclusion criteria have been checked and the participant appears eligible to enter the study. All eligible participants should have radiographs taken approximately 2 weeks but not greater than 4 weeks prior to randomization.

v: Week 24 radiographs should be taken within  $\pm 2$  weeks of the Week 24 visit. For participants who discontinue study intervention prior to Week 24, radiographs of the hands and feet should be performed at the Week 24 visit. The participants should make every effort to return to the study site for all visits through Week 24 despite the early discontinuation.

w: This final safety visit/Week 60 is for participants who do not enter long term extension. Visit window is +/- 14 days.

x: PBMCs will be collected at sites where logistically feasible.

y: Study intervention administration at Week 48 (final [core study] efficacy visit) will be considered part of the LTE and is captured in the LTE SoA (Table 2).

z: Only performed for participants who are dosed at the site.

aa: The eC-SSRS should be performed after the joint assessment and physician's global assessment of disease activity at the screening visit (after signing informed consent). At Week 0/baseline and at all post-baseline visits, the eC-SSRS should be the first assessment/questionnaire that the participant completes prior to study intervention administration.

ab: The frequency of HIV testing can be increased depending on local health authority requirements.

ac: At Week 16, all subjects who qualify for early escape will continue on the dosing regimen they were randomized to and will be allowed to initiate or increase the dose of one of the permitted concomitant medication interventions up to the maximum dose as specified in Table 3, at the discretion of the investigator. Titration to a stable dose of the medication should be completed for subjects qualifying for early escape by the Week 24 visit.

Abbreviations: AEs = adverse events; BSA = body surface area; CASPAR = CLAssification criteria for Psoriatic Arthritis; CRP = C-Reactive protein; DLQI = Dermatology Life Quality Index; DNA = deoxyribonucleic acid; ECG = electrocardiogram; eC-SSRS=electronic Columbia-Suicide Severity Rating Scale; EDTA = ethylenediaminetetraacetic acid; FACIT = Functional Assessment of Chronic Illness Therapy; FSH = follicle stimulating hormone; HAQ-DL = Disability Index of the Health Assessment Questionnaire; HIV = human immunodeficiency virus; ICF = informed consent form; IGA = Investigator's Global Assessment; LEI = Leeds Ethesitis Index; LTE = Long-term extension; mNAPSI = modified Nail Psoriasis Severity Index; PASI = Psoriatic Area and Severity Index; PGA-F = Physician's Global Assessment of Fingernails Psoriasis; PBMC = peripheral blood mononuclear cell; PsA = Psoriatic Arthritis; PsAID = Psoriatic Arthritis Impact of Disease; q4W =every 4 weeks; RNA = ribonucleic acid; SC=subcutaneous; TB = tuberculosis; VAS = visual analog scale; WPAI = Work Productivity and Activity Impairment.

**Table 2: Long term extension**

Period	Timeframe (weeks)	Active treatment <sup>a</sup>										Safety follow-up (12 weeks after last dose) <sup>b</sup>	
		48 (First LTE visit) <sup>k</sup>	60	72	84	96	108	120	132	144	Final efficacy visit/156	Final safety visit/168	
<b>Study Intervention Administration <sup>i</sup></b>													
Dispense study intervention		x	x	x	x	x	x	x	x	x	x	x	x
<b>Efficacy Assessments</b>													
PSA evaluations for arthritis <sup>c</sup>		x	x	x	x	x	x	x	x	x	x	x	x
HAQ-DI <sup>d</sup>		x	x	x	x	x	x	x	x	x	x	x	x
Patient's Global Assessment of Disease Activity (Arthritis and Psoriasis) <sup>d</sup>		x		x		x		x		x		x	x
Enthesitis assessments (LEI)		x	x	x	x	x	x	x	x	x	x	x	x
Dactylitis assessments		x	x	x	x	x	x	x	x	x	x	x	x
Radiographs of hands and feet <sup>e</sup>		x				x							x
PsAID-12 <sup>d</sup>		x		x		x		x		x		x	x
FACIT-fatigue <sup>d</sup>		x		x		x		x		x		x	x
DLQI <sup>d</sup>		x		x		x		x		x		x	x
WPAI:PsA <sup>d</sup>		x		x		x		x		x		x	x
PASI		x		x		x		x		x		x	x
mNAPSI		x		x		x		x		x		x	x
PGA-F		x		x		x		x		x		x	x
IGA-Psoriasis		x		x		x		x		x		x	x
<b>Safety Assessments</b>													
Physical examination (including skin)		x			x		x		x		x		x
eC-SSRS <sup>n</sup>		x	x	x	x	x	x	x	x	x	x	x	x
Vital signs <sup>f</sup>		x	x	x	x	x	x	x	x	x	x	x	x
Weight		x				x					x		x
Tuberculosis evaluation <sup>g</sup>		x	x	x	x	x	x	x	x	x	x	x	x
Pregnancy test		x	x	x	x	x	x	x	x	x	x	x	x
Injection Site Reaction Evaluation <sup>m</sup>		x	x	x	x	x	x	x	x	x	x	x	x
AEs		x	x	x	x	x	x	x	x	x	x	x	x
Concomitant therapy		x	x	x	x	x	x	x	x	x	x	x	x

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Period	Active treatment <sup>a</sup>										Safety follow-up (12 weeks after last dose) <sup>b</sup>	Safety follow-up (168 weeks after last dose) <sup>b</sup>
	48 (First LTE visit) <sup>k</sup>	60	72	84	96	108	120	132	144	Final efficacy visit/156		
<b>Clinical Laboratory Tests</b>												
Hematology, Chemistry <sup>h</sup>	x	x	x	x	x	x	x	x	x	x	x	x
CRP <sup>h</sup>	x	x	x	x	x	x	x	x	x	x	x	x
<b>Clinical Pharmacology Assessments<sup>j</sup></b>												
Serum guselkumab concentration	x		x		x		x		x		x	x
Antibodies to guselkumab	x		x		x		x		x		x	x
<b>Biomarkers</b>												
Serum biomarkers	x		x		x		x		x		x	x
Plasma biomarkers	x		x		x		x		x		x	x
Whole blood (RNA)	x		x		x		x		x		x	x
PBMC <sup>l</sup>	x		x		x		x		x		x	x

**Footnotes:**

- a: Through Week 156 study visits will have a visit window of  $\pm 7$  days; Week 168 visit or the final safety visit (12 weeks after the last dose in participants who discontinued study treatment) will have a visit window of  $\pm 14$  days.
- b: This final safety visit is for participants who have entered long term extension.
- c: PSA evaluations for arthritis include joint assessments (swollen and tender joint counts), patient's assessment of pain, patient's global assessment of disease activity (arthritis), and physician's global assessment of disease activity on VAS. These procedures should be performed prior to study intervention administration at each visit, as applicable.
- d: All participant questionnaires should be performed before any other tests, procedures, or evaluations on the day of the visit.
- e: Single radiographs of the hands (posteroanterior) and feet (anteroposterior) should be performed within  $\pm 2$  weeks of the Week 48, 96 and 156 visits. For participants who permanently discontinue study intervention after Week 24 but prior to Week 156, and participation at any time during the study radiographs of hands and feet should be performed at the time of discontinuation of study intervention or as soon as possible unless another set of radiographs has been obtained within the past 6 weeks.
- f: Vital signs include blood pressure and heart rate.
- g: TB evaluation must include specific questions about symptoms of TB or known occupational or other personal exposure to individuals with active TB.
- h: Laboratory tests are listed in Section 8.2.5.
- i: Study intervention will be administered SC q4w through Week 156. At any time point, where there is no study visit, participants have the option to come to the site for study intervention administration or may self-administer or can have another health care provider or a caregiver administer at home.
- j: All blood samples must be collected before study intervention administration at visits when study intervention administration is scheduled. Blood collected from one venipuncture will be divided into multiple aliquots of serum for the measurement of guselkumab concentration, antibodies to guselkumab, and a back-up sample.
- k: This represents the same visit as the Week 48 (final [core study] efficacy visit) in Table 1. Study procedures should only be performed once.
- l: PBMCs will be collected at sites where logistically feasible.

m: Only performed for participants who are dosed at the site.

n: The eC-SSRS should be performed after the joint assessment and physician's global assessment of disease activity at the screening visit (after signing informed consent). At Week 0/baseline and at all post-baseline visits, the eC-SSRS should be the first assessment/questionnaire that the participant completes prior to study intervention administration.

Abbreviations: AEs = adverse events; CRP = C-Reactive protein; DLQI = Dermatology Life Quality Index; DNA = deoxyribonucleic acid; eC-SSRS=electronic Columbia-Suicide Severity Rating Scale; FACIT = Functional Assessment of Chronic Illness Therapy; HAQ-DI = Disability Index of the Health Assessment Questionnaire; IGA = Investigator's Global Assessment; LEI = Leeds Enthesitis Index; LTE = Long-term extension; mNAPSI = modified Nail Psoriasis Severity Index; PASI = Psoriatic Area and Severity Index; PGA-F = Physician's Global Assessment of Fingernails Psoriasis; PBMC = Peripheral blood mononuclear cell; PsA = Psoriatic Arthritis; PsAID = Psoriatic Arthritis Impact of Disease; q4w =every 4 weeks; RNA = ribonucleic acid; SC=subcutaneous; TB = tuberculosis; VAS = visual analogue scale; WPAI = Work Productivity and Activity Impairment.

## 2. INTRODUCTION

Guselkumab (TREMFYA®, CNTO 1959) is a fully human immunoglobulin G1 lambda (IgG1λ) monoclonal antibody (mAb) that binds to the p19 protein subunit of interleukin (IL)-23 with high affinity. By binding to the p19 protein subunit of IL-23, guselkumab blocks the binding of extracellular IL-23 to the cell surface IL-23 receptor, inhibiting IL-23-mediated intracellular signaling, activation, and cytokine production.

The clinical development program for guselkumab includes completed, ongoing or planned studies in adult participants with psoriatic arthritis (PsA), psoriasis, lupus nephritis, inflammatory bowel disease, rheumatoid arthritis, palmoplantar pustulosis, generalized pustular psoriasis, erythrodermic psoriasis and familial adenomatous polyposis, giant cell arteritis, hidradenitis suppurativa as well as psoriasis in pediatric participants.

For the most comprehensive nonclinical and clinical information regarding guselkumab, refer to the latest version of the guselkumab Investigator's Brochure (IB).

The term "study intervention" throughout the protocol, refers to study drug.

Studies "PSA3001" and "PSA3002" throughout this protocol, refers to "CNTO1959PSA3001 [DISCOVER 1]" and "CNTO1959PSA3002 [DISCOVER 2]" respectively.

The term "Sponsor" used throughout this document refers to the entities listed in the Contact Information page(s), which will be provided as a separate document.

### 2.1. Study Rationale

Based on the results of the PSA3001 and PSA3002 studies, guselkumab is the first IL-23 inhibitor approved for use in patients with active PsA, offering an alternative to currently used biologics and conventional and targeted synthetic disease-modifying antirheumatic drugs (DMARDs). Guselkumab doses of 100 mg subcutaneous (SC) at Weeks 0, 4 and every 8 weeks (q8w) or every 4 weeks (q4w) produced clinically meaningful benefit on the improvement in the signs and symptoms of PsA. Additionally, less progression as measured by van der Heijde-Sharp (vdH-S) score was observed in both dose groups (q4w and q8w) compared to placebo in the PSA3002 study. However, only the q4w dose demonstrated a statistically significant change in vdH-S score compared with placebo at Week 24. Therefore, the current study is intended to provide additional data on impact of different guselkumab doses on signs and symptoms and on radiographic progression in patients with active PsA.

### 2.2. Background

#### 2.2.1. Disease Background

Psoriatic arthritis is a chronic inflammatory arthropathy of the peripheral and axial joints that affects approximately 0.02% to 0.25% of the general population ([Setty 2007](#)). In patients with psoriasis, the prevalence of PsA ranges from 6% to 48%; however, arthritis is not correlated with extent of psoriasis skin disease ([Gladman 2009](#)). Psoriatic arthritis is a multi-faceted disease that

impacts the joints, soft tissues, and skin, all of which affect quality of life (Gladman 1987; Ritchlin 2008). The burden of disease can be severe, with some patients developing destructive arthritis leading to bony erosion and loss of joint architecture; some patients require surgical intervention to alleviate pain and restore function of severely damaged joints (Zangerer 1998). Psoriatic arthritis not only results in functional impairment and reduced quality of life, but is associated with premature mortality (Gladman 1998; Sokoll 2001; Torre 1991; Wong 1997).

## Clinical Studies

Guselkumab has been approved for the treatment of adult patients with chronic moderate to severe plaque psoriasis in the United States (US), European Union (EU), and other countries worldwide. The approved dose of guselkumab for the treatment of plaque psoriasis is 100 mg administered SC at Week 0, Week 4, and q8w thereafter. This was primarily based on two large, Phase 3, placebo-controlled clinical Studies CINTO1959PSO3001 and CINTO1959PSO3002 in participants with moderate to severe plaque psoriasis.

The clinical development program for guselkumab in the treatment of active PsA includes a completed Phase 2 global Study CINTO1959PSA2001 [hereafter referred to as PSA2001] and two Phase 3 global Studies PSA3001(completed) and PSA3002 (ongoing).

For Studies PSA3001 and PSA3002, results obtained through Week 24 demonstrated favorable efficacy and safety profiles for both the guselkumab 100 mg q4w and q8w dose regimens for the treatment of active PsA. These results have led to the approval of guselkumab to treat adult patients with active PsA in several countries.

The study design, preliminary efficacy and safety results for Studies PSA3001 and PSA3002 are presented in Section 2.2.1.1. For the most comprehensive clinical information regarding guselkumab, refer to the latest version of the guselkumab IB.

### 2.2.1.1. Phase 3 Studies: CINTO1959PSA3001 and CINTO1959PSA3002

#### 2.2.1.1.1. Study design

PSA3001 and PSA3002 had similar study designs. Some differences in study population, sample size, and study duration, reflected the additional study objective in PSA3002 to assess the efficacy of guselkumab on the inhibition of progression of radiographic structural damage.

The completed PSA3001 and ongoing PSA3002 studies are global, multicenter, randomized, double-blind, placebo-controlled, 3-arm studies of guselkumab in participants with active PsA who had inadequate response to standard therapies (eg, non-biologic DMARDs, apremilast, or nonsteroidal anti-inflammatory drugs [NSAIDs]). Both studies included a screening phase of up to 6 weeks and a treatment period including a placebo-controlled period from Week 0 to Week 24, and both have an active treatment period that began at Week 24 and a safety follow-up period of 12 weeks after the last administration of study treatment. Stable doses of concomitant NSAIDs, oral corticosteroids, and selected DMARDs (limited to methotrexate [MTX], sulfasalazine [SSZ], hydroxychloroquine [HCQ], and leflunomide [LEF]) were allowed but not required.

The PSA3001 study allowed approximately 30% of participants who had prior exposure to anti-tumor necrosis factor (TNF)- $\alpha$  agents to enroll, ie, participants who had been previously treated with up to 2 anti-TNF $\alpha$  agents. In the PSA3002 study, participants were required to be naïve to biologic agents. A higher C-reactive protein (CRP) and joint count was requirement for enrollment in PSA3002, listed below, which was intended to enrich the population for participants at greater risk for structural damage progression so that the impact of guselkumab on radiographic parameters could be assessed:

- **PSA3001:**  $\geq 3$  swollen joints and  $\geq 3$  tender joints at screening and baseline, and CRP  $\geq 0.3$  mg/dL at screening
- **PSA3002:**  $\geq 5$  swollen joints and  $\geq 5$  or more tender joints at screening and baseline, and CRP  $\geq 0.6$  mg/dL at screening

### **2.2.1.1.2. Efficacy results**

#### **Through Week 24**

The guselkumab 100 mg q4w and q8w dose regimens demonstrated efficacy in improving articular manifestations of PsA and psoriasis, physical function, enthesitis, dactylitis and health-related quality of life (HRQoL). Results from the assessment of the change from baseline in total modified vdH-S score at Week 24 demonstrated significant inhibition of structural damage in participants treated with the guselkumab 100 mg q4w dose regimen compared with those in the placebo group that was statistically significant based on both the global (ex-US) and US-specific multiplicity testing procedures. Refer to the latest version of the guselkumab IB for detailed efficacy results of PSA3001 and PSA3002 through Week 24.

#### **Through Week 52**

The results of PSA3001 and PSA3002 studies (from Week 24 through Week 52) demonstrated that guselkumab 100 mg SC q8w and guselkumab 100 mg SC q4w were both effective in the maintenance of clinical responses through 1 year in the 3 major manifestations of psoriatic disease (joint, soft tissue, and skin), and physical function and HRQoL improvement in adults with active PsA. In the PSA3002 study, inhibition of radiographic progression as measured by mean change in total modified vdH-S was also maintained post Week 24 through Week 52 (0.62) compared to baseline to Week 24 (0.46) in the guselkumab 100 mg q4w group.

### **2.2.1.1.3. Safety results**

#### **Through Week 24**

Refer to the latest version of the guselkumab IB for detailed safety results of PSA3001 and PSA3002.

#### **Through Week 52**

Focusing on the pooled data (through 60 weeks for PSA3001 and through 52 weeks for PSA3002), guselkumab 100 mg SC administered at Weeks 0 and 4 and then q8w or q4w was well tolerated

in participants with active PsA through 1 year of treatment, and the guselkumab safety profile in the PsA population was generally comparable with that established in the psoriasis population.

Through 1-year, similar proportions of participants in the guselkumab q8w and q4w groups experienced adverse events (AEs), serious adverse events (SAEs), AEs leading to study discontinuation, AEs with severe intensity, infections, serious infections, and injection-site reactions. Frequencies of events of suicidal ideation, malignancy, and major adverse cardiovascular event were low and comparable in the guselkumab q8w and q4w groups. There were no events of opportunistic infections, active tuberculosis (TB), anaphylaxis or serum sickness reactions, or suicidal behavior or self-injurious behavior without suicidal intent in guselkumab-treated participants in either of the PSA3001 or PSA3002 studies through 1 year. The proportion of participants experiencing increases in transaminases was slightly higher in the guselkumab q4w group compared with the q8w group.

In addition, the number of participants with AEs, SAEs, AEs leading to discontinuation of study intervention, infections, and serious infections per 100 subject-years of follow-up were generally comparable between the guselkumab 100 mg q8w and q4w groups through 1 year and the placebo-controlled period through Week 24.

## 2.3. Benefit-Risk Assessment

More detailed information about the known and expected benefits and risks of guselkumab may be found in the IB.

### 2.3.1. Risks for Study Participation

Potential Risks of Clinical Significance	Summary of Data/ Rationale for Risk	Mitigation Strategy
<b>Potential Risks Due to Study Intervention Guselkumab</b>		
Serious infections and reactivation of latent infections	Available animal and human data suggest that blockade of interleukin (IL)-23 may be associated with an increased infection risk.	<ul style="list-style-type: none"> <li>• Participants with a history of, or ongoing, chronic or recurrent infectious disease, including human immunodeficiency virus (HIV), Hepatitis B virus (HBV) or Hepatitis C virus (HCV), will be excluded from the study. Similarly, participants with evidence of active or untreated latent tuberculosis (TB) will be excluded from the study (Section 5.2).</li> <li>• Participants who have received a live viral or bacterial vaccination within 12 weeks of baseline will be excluded from the study. In addition, participants must agree not to receive a live viral or live bacterial vaccination during the study and for 12 weeks after receiving the last dose of study intervention (Section 5.2).</li> <li>• Participants will be instructed to seek medical attention if they develop signs or symptoms suggestive of an infection, and</li> </ul>

Potential Risks of Clinical Significance	Summary of Data/ Rationale for Risk	Mitigation Strategy
		<p>investigators are instructed in the protocol to monitor for signs or symptoms of infections, including TB (Sections 8.2.10 and 8.2.11).</p> <ul style="list-style-type: none"> <li>Discontinuation of a participant's study intervention must be strongly considered if the participant develops a serious infection, including but not limited to sepsis or pneumonia. In addition, any serious infection should be discussed with the medical monitor or designee, and study intervention should be withheld until the clinical assessment is complete (Section 7.1).</li> </ul>
Hypersensitivity reactions, including serious hypersensitivity reactions	Serious hypersensitivity reactions including anaphylaxis have been reported in postmarketing experience with guselkumab in psoriasis patients.	<ul style="list-style-type: none"> <li>Participants with known allergy, hypersensitivity, or intolerance to guselkumab or its excipients will be excluded from the study (Section 5.2).</li> <li>Sites are instructed that before any administration of study intervention, appropriately trained personnel and medications (eg, injectable epinephrine) must be available to treat hypersensitivity reactions, including anaphylaxis. In addition, all participants must be observed carefully for signs and symptoms of a hypersensitivity reaction (eg, urticaria, pruritis, angioedema, wheezing, dyspnea, or hypotension) (Section 8.2.9).</li> <li>Any participant who develops a serious hypersensitivity reaction such as anaphylaxis must discontinue study intervention (Section 7.1).</li> </ul>
Malignancy	The preponderance of preclinical data suggests that blockade of endogenous IL-23 would not be detrimental and may in fact be beneficial in tumor immunosurveillance and host protection; however, a risk of malignancy cannot be excluded.	<ul style="list-style-type: none"> <li>Those participants who currently have a malignancy or have a history of malignancy within 5 years prior to screening (with exceptions noted in Section 5.2) will be excluded from the study. Additionally, participants who have a history of lymphoproliferative disease, including lymphoma; a history of monoclonal gammopathy of undetermined significance or signs and symptoms suggestive of possible lymphoproliferative disease, such as lymphadenopathy or splenomegaly will be excluded from the study (Section 5.2).</li> <li>During the conduct of the study, participants will undergo regular clinical monitoring including routine safety labs to assess for any changes in health status that may indicate a possible malignancy.</li> </ul>

Potential Risks of Clinical Significance	Summary of Data/ Rationale for Risk	Mitigation Strategy
		<ul style="list-style-type: none"> <li>Participants who develop a malignancy during the study (with the exception of no more than 2 localized basal cell skin cancers that are treated with no evidence of recurrence or residual disease) will be discontinued from study intervention (Section 7.1).</li> </ul>
<p>Liver injury</p> <p>A serious adverse event (SAE) of 'toxic hepatitis' was reported in the ongoing Phase 2/3 guselkumab Crohn's disease (CD) program in a participant who received guselkumab <b>CCI</b> intravenous (IV) at Weeks 0, 4, and 8, and <b>CCI</b> subcutaneous (SC) at Week 12. Based on the hepatocellular pattern of injury, temporal relationship of the event to guselkumab exposure, and the exclusion of alternative etiologies, this event may represent drug-induced liver injury possibly related to guselkumab.</p> <p>A review of available guselkumab Phase 2 and 3 clinical trial data across multiple indications (psoriasis, psoriatic arthritis [PsA], rheumatoid arthritis [RA], palmoplantar pustulosis [PPP], and CD) was performed by the Sponsor</p> <ul style="list-style-type: none"> <li>- demonstrated previously noted association between guselkumab exposure and mild (<math>\leq 3</math> x upper limit of normal [ULN]) elevations in liver transaminases at exposures of 100 mg q4w or higher</li> <li>- significant elevations of alanine aminotransferase [ALT] (<math>&gt;5</math> x ULN) or cases satisfying the biochemical criteria for Hy's Law during guselkumab exposure have been infrequent. These cases have been confounded by concomitant medications, alcohol use, medical history, and/or concurrent diagnoses.</li> </ul>		
<p><b>Risks Due to Study Procedures</b></p> <p>Radiation</p> <p>Participants will be exposed to radiation during radiography of hands/feet collected at Weeks 0, 24, 48, 96 and 156. A total of 0.012 mSV radiation exposure is expected from these procedures, which is comparable to ~3 days of exposure to natural</p> <p>Exposure to radiation through radiographs is kept to a minimum by not requiring a chest X-ray be performed at screening if one is available from within 12 weeks prior to the first administration of study intervention and not requiring radiographs of hands and feet be</p>		

Potential Risks of Clinical Significance	Summary of Data/ Rationale for Risk	Mitigation Strategy
	<p>background radiation. Additionally, one chest X-ray may be performed at screening if the participant does not have a chest X-ray within 12 weeks prior to the first administration of study intervention. The exposure from 1 standard chest X-ray is 0.1 mSV, comparable to 10 days of exposure to natural background radiation (<a href="https://www.acr.org/">https://www.acr.org/</a>).</p>	<p>done within 6 weeks of another set of radiographs.</p>

### 2.3.2. Benefits for Study Participation

As guselkumab has demonstrated improvement in the signs and symptoms of PsA, individual patients may benefit from participation in the study (see Section 2.1). Additionally, participation will help to obtain additional data on the impact of guselkumab on joint damage, and in the general knowledge of the treatment and natural history of PsA.

Participants may also experience some benefit from the participation in a clinical study irrespective of receiving study intervention, due to regular visits and assessments monitoring their overall health.

### 2.3.3. Benefit-Risk Assessment for Study Participation

Data through 1 year of treatment from the PSA3001 and PSA3002 studies support an overall favorable benefit-to-risk profile for the use of guselkumab in active PsA.

Potential risks of guselkumab, including those of serious infection and malignancy and liver injury, are being addressed via judicious inclusion/exclusion criteria, frequent study visits to allow for close monitoring of patient safety, guidelines for participant management (including monitoring of clinical laboratory tests and treatment discontinuation criteria), detailed description of allowed and prohibited concomitant medications, and comprehensive medical monitoring of data by the Sponsor during the conduct of the studies.

Taking into account the measures taken to minimize risk to participants of this study, the potential risks identified in association with guselkumab are justified by the potential benefits to participants with PsA.

## 3. OBJECTIVES AND ENDPOINTS

Objectives	Endpoints
<b>Primary</b> <ul style="list-style-type: none"> <li>To evaluate the efficacy of guselkumab treatment in participants with active psoriatic arthritis (PsA) by assessing the reduction in signs and symptoms of PsA.</li> </ul>	<ul style="list-style-type: none"> <li>Proportion of participants with American College of Rheumatology (ACR) 20 response (<a href="#">American College of Rheumatology 2020</a>) at Week 24</li> </ul>

<b>Major Secondary</b>	
• To evaluate the inhibition of progression of structural damage in participants with active PsA.	• Change from baseline in PsA modified van der Heijde-Sharp (vdH-S) score at Week 24.
<b>Other Secondary</b>	
• To evaluate the safety in participants with active PsA.	• Frequency and type of adverse events (AEs), serious adverse events (SAEs), reasonably related AEs, AEs leading to discontinuation of study intervention, infections, and injection-site reactions. • Laboratory abnormalities (chemistry, hematology), maximum toxicity (Common Terminology Criteria for Adverse Events [CTCAE 5.0]) grades.
• To evaluate the pharmacokinetics (PK) and immunogenicity in participants with active PsA.	• Serum guselkumab concentration. • Incidence of antibodies to guselkumab.
<b>Other</b>	
<b>Endpoints Related to Reduction of Signs and Symptoms and Physical Function</b>	
<ul style="list-style-type: none"> <li>Proportions of participants who achieve ACR 20, ACR 50, and ACR 70 responses by visit over time through Week 156.</li> <li>Proportion of participants who maintain an ACR 20 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 20 response at Week 24.</li> <li>Proportion of participants who maintain an ACR 50 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 50 response at Week 24.</li> <li>Proportion of participants who maintain an ACR 70 response at Week 48, Week 96, and Week 156 among the participants who achieved an ACR 70 response at Week 24.</li> <li>Change, and percent change from baseline in ACR components by visit over time through Week 156.</li> <li>Change from baseline in Disability Index of the Health Assessment Questionnaire (HAQ-DI) score by visit over time through Week 156.</li> <li>Proportion of participants who achieve a clinically meaningful improvement (a <math>\geq 0.35</math> improvement from baseline) in HAQ-DI score by visit over time through Week 156 among those participants with HAQ-DI score <math>\geq 0.35</math> at baseline.</li> <li>Proportion of participants who maintain a HAQ-DI response (ie, <math>\geq 0.35</math> improvement from baseline in HAQ-DI score) at Week 48, Week 96, and Week 156 among the participants who achieved a HAQ-DI response at Week 24.</li> <li>Proportion of participants who achieve a Disease Activity Score 28 (DAS28) C-reactive protein (CRP) response by visit over time through Week 156.</li> <li>Proportion of participants who achieve a DAS28 (CRP) remission by visit over time through Week 156.</li> <li>Change from baseline in DAS28 (CRP) by visit over time through Week 156.</li> </ul>	

- Proportion of participants who achieve a response based on modified Psoriatic Arthritis Response Criteria (PsARC) by visit over time through Week 156.
- Proportion of participants with resolution of enthesitis (based on Leeds Enthesitis Index [LEI]) by visit over time through Week 156 among the participants with enthesitis at baseline.
- Change from baseline in enthesitis score (based on LEI) by visit over time through Week 156 among the participants with enthesitis at baseline.
- Proportion of participants with resolution of dactylitis by visit over time through Week 156 among the participants with dactylitis at baseline.
- Change from baseline in dactylitis score by visit over time through Week 156 among the participants with dactylitis at baseline.
- Change from baseline in Work Productivity and Activity Impairment Questionnaire (WPAI) scores by visit over time through Week 156.
- Change from baseline in modified Composite Psoriatic Disease Activity Index (mCPDAI) score by visit over time through Week 156.
- Change from baseline in Disease Activity Index for Psoriatic Arthritis (DAPSA) score by visit over time through Week 156.
- Proportion of participants who achieve DAPSA low disease activity by visit over time through Week 156.
- Proportion of participants who achieve DAPSA remission by visit over time through Week 156.
- Proportion of participants who achieve minimal disease activity (MDA) by visit over time through Week 156.
- Proportion of participants who achieve very low disease activity (VLDA) by visit over time through Week 156.

### Endpoints Related to Skin Disease

- Proportions of participants who achieve  $\geq 75\%$ ,  $\geq 90\%$ , and 100% improvement in Psoriatic Area and Severity Index (PASI) score from baseline by visit over time through Week 156 among the participants with  $\geq 3\%$  body surface area (BSA) psoriatic involvement and an Investigator's Global Assessment (IGA) score of  $\geq 2$  (mild) at baseline.
- Proportion of participants with a psoriasis response of an IGA (ie, an IGA-psoriasis score of 0 [cleared] or 1 [minimal] AND  $\geq 2$ -grade reduction from baseline) by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants with an IGA score of 0 (cleared) by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Change and percent change from baseline in PASI score by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve both PASI 75 and ACR 20 responses by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.

- Proportion of participants who achieve both PASI 75 and modified PsARC response by visit over time through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve a Dermatology Life Quality Index (DLQI) score of 0 or 1 by visit through Week 156 among the participants with baseline DLQI score  $> 1$  and with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Proportion of participants who achieve  $\geq 5$ -point improvement from baseline in DLQI score by visit through Week 156 among the participants with baseline DLQI score  $\geq 5$  and with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.
- Change from baseline in DLQI score by visit through Week 156 among the participants with  $\geq 3\%$  BSA psoriatic involvement and an IGA score of  $\geq 2$  (mild) at baseline.

#### **Endpoints Related to Psoriasis of the Nails**

- Percent change from baseline in total fingernail Modified Nail Psoriasis Severity Index (mNAPSI) score by visit over time through Week 156 among the participants with total fingernail mNAPSI score  $> 0$  at baseline.
- Proportions of participants who achieve total fingernail mNAPSI 50/75/100 response by visit over time through Week 156 among the participants with total fingernail mNAPSI score  $> 0$  at baseline.
- Proportion of participants who achieve Physician's Global Assessment of Fingernails Psoriasis (PGA-F) score of 0 [cleared] or 1 [minimal] AND  $\geq 2$ -grade reduction from baseline by visit over time through Week 156 among the participants with PGA-F score  $\geq 2$  at baseline.

#### **Endpoints Related to Joint Structural Damage**

- Change from baseline in modified vdH-S score by visit over time through Week 156.
- Change in modified vdH-S score from Week 24 to Weeks 48, 96, 156; from Week 48 to Weeks 96 and 156; and from Week 96 to Week 156.
- Change from baseline in modified vdH-S erosion score and joint space narrowing (JSN) score by visit over time through Week 156.
- Change in modified vdH-S erosion score and JSN score from Week 24 to Weeks 48, 96, 156; from Week 48 to Weeks 96 and 156; and from Week 96 to Week 156.
- Change from baseline in modified vdH-S score by region and type of damage (ie, hand erosion, hand JSN, foot erosion, foot JSN subscores) by visit over time through Week 156.
- Proportion of participants with a change of  $\leq 0$  from baseline, and proportion of participants with a change of  $\leq 0.5$  from baseline in modified vdH-S score by visit over time through Week 156.
- Proportion of participants with a change of  $\leq 0$  from baseline, and proportion of participants with a change of  $\leq 0.5$  from baseline in modified vdH-S erosion score and JSN score by visit over time through Week 156.
- Proportion of participants with radiographic progression (based on the smallest detectable change [SDC]) from baseline by visit over time through Week 156.
- Proportion of participants with radiographic joint erosion progression and radiographic JSN progression (based on SDC) from baseline by visit over time through Week 156.
- Proportion of participants with pencil in cup or gross osteolysis deformities by visit over time through Week 156.

### Endpoints Related to Health-Related Quality of Life

- Change from baseline in Functional Assessment of Chronic Illness Therapy - Fatigue (FACIT-F) by visit over time through Week 156.
- Proportion of participants who achieve  $\geq 4$ -point improvement from baseline in FACIT-F score improvement by visit over time through Week 156.
- Change from baseline in PsA Impact of Disease (PsAID)-12 by visit over time through Week 156.

### Endpoint Related to Pharmacodynamic effect

- Change from baseline in serum interleukin (IL-17) cytokines by visit over time through Week 48.

Abbreviations: ACR = American College of Rheumatology; AEs = adverse events; BSA = body surface area; CRP = C-Reactive protein; CTCAE = Common Terminology Criteria for Adverse Events; DAPSA = Disease Activity Index for Psoriatic Arthritis; DAS = Disease Activity Score; DLQI = Dermatology Life Quality Index; FACIT-F = Functional Assessment of Chronic Illness Therapy-Fatigue; HAQ-DI = Disability Index of the Health Assessment Questionnaire; IGA = Investigator's Global Assessment; IL = interleukin; JSN = joint space narrowing; LEI = Leeds Enthesitis Index; mCPDAI = modified Composite Psoriatic Disease Activity Index; MDA = minimal disease activity; mNAPSI = modified Nail Psoriasis Severity Index; PASI = Psoriatic Area and Severity Index; PGA-F = Physician's Global Assessment of Fingernails Psoriasis; PK = pharmacokinetics; PsA = Psoriatic Arthritis; PsAID = Psoriatic Arthritis Impact of Disease; PsARC = Psoriatic Arthritis Response Criteria; SAEs = serious adverse events; SDC = smallest detectable change; vdH-S = van der Heijde-Sharp; VLDA = very low disease activity; WPAI = Work Productivity and Activity Impairment.

Refer to Section 8, Study Assessments and Procedures for evaluations related to endpoints.

## HYPOTHESIS

The primary hypothesis is that the guselkumab 100 mg q4w group is superior to placebo as assessed by the proportion of participants achieving an American College of Rheumatology (ACR) 20 response at Week 24.

## 4. STUDY DESIGN

### 4.1. Overall Design

This is a Phase 3b, multicenter, randomized, double-blind, placebo-controlled, 3-arm study in participants with active PsA who are biologic naïve and have had inadequate response to current standard therapies (eg, DMARDs/apremilast, corticosteroids, NSAIDs).

A target of 950 participants will be randomly assigned in this study with 350 participants planned in each of the placebo and guselkumab 100 mg q8w groups, and 250 participants in the guselkumab 100 mg q4w group. Participants impacted by major disruptions may be replaced. Stable doses of concomitant NSAIDs, oral corticosteroids ( $\leq 10$  mg/day prednisone equivalent), selected non-biologic DMARDs (MTX, SSZ, HCQ, LEF) will be allowed but are not required (see Table 4).

Participants who satisfy all inclusion and exclusion criteria will be randomly assigned to one of the following 3 treatment groups in a 7:5:7 ratio using permuted block randomization with stratification defined by a combined factor of baseline radiographic variability, corticosteroid use,

number of joints with erosion, and the most recent available CRP value prior to randomization into 4 strata levels (high radiographic variability [HRV], no progression [NP], low to moderate progression [LMP], and rapid progression [RP]). All participants with HRV will be assigned to HRV stratum, and the rest of participants will be assigned to the other strata based on probability of NP, LMP, and RP.

- **HRV:** R\_DIFF\_ERN = Yes
- **RP:** [(CRP $\geq$ 2.1 and ERN $\geq$ 16) OR (CRP $\geq$ 5.7)] AND (R\_DIFF\_ERN = No)
- **NP:** [(CRP $<$ 5.7 and ERN $\leq$ 5) OR (CRP $<$ 5.7 and 5 $<$ ERN $<$ 16 and COR=Yes)] AND (R\_DIFF\_ERN = No)
- **LMP:** [Other] AND (R\_DIFF\_ERN = No)

Abbreviations: **COR**=the subject's baseline oral corticosteroid use; **ERN**=the subject's baseline number of joints with erosion; **R\_DIFF\_ERN**=(Yes/No) if the absolute reader difference for number of joints with erosions at baseline was  $>8$ ; **CRP**=the subject's most recent screening CRP Level in mg/dL

### **Group I (n=350)**

Participants will receive SC guselkumab 100 mg at Weeks 0, 4, 12, 20, 28, 36, and 44 and placebo at Weeks 8, 16, 24, 32, 40 and 48 to maintain the blind.

### **Group II (n=250)**

Participants will receive SC guselkumab 100 mg at Weeks 0, 4, 8, 12, 16, 20, 24, 28, 32, 36, 40, 44, and 48.

### **Group III (n=350)**

Participants will receive SC placebo at Weeks 0, 4, 8, 12, 16, and 20, and will cross over at Week 24 to receive SC guselkumab 100 mg at Weeks 24, 28, 32, 36, 40, 44, and 48.

At Week 16, all participants in Groups I, II, and III with  $<20\%$  improvement from baseline in both tender and swollen joint counts will qualify for early escape (EE) and will be allowed to initiate or increase the dose of one of the permitted concomitant medications up to the maximum allowed dose as specified in [Table 3](#), as selected by the investigator.

At Week 48, participants who have not discontinued will be eligible to enter a long-term extension (LTE) for a period of up to approximately two years (ie, Week 48 through Week 156).

The study blind will be maintained during the LTE until the last participant has completed the Week 48 visit evaluations or discontinued prior to Week 48 and the Week 48 data base has been locked. Therefore, in order to maintain the blind, participants will continue to receive study

intervention every 4 weeks until that time. After the study is unblinded to the investigative sites, participants receiving guselkumab q8w will no longer be required to dose with placebo to maintain the blind.

Database locks (DBLs) are scheduled at Weeks 24, 48, 96, and end of study (Week 168). The first DBL will occur when all randomized participants have either completed the Week 24 assessments or terminated study participation prior to the Week 24 visit (referred to as Week 24 DBL). The second DBL will occur when all randomized participants have either completed the Week 48 assessments or terminated study participation prior to the Week 48 visit (referred to as Week 48 DBL). The third DBL will occur when all randomized participants have either completed the Week 96 assessments or terminated study participation prior to the Week 96 visit (referred to as Week 96 DBL). The fourth and final DBL will occur when all randomized participants have either completed their final safety visit or have terminated study participation.

A diagram of the study design is provided in Section 1.2, Schema.

## 4.2. Scientific Rationale for Study Design

### Study Population

The target study population is participants with active PsA who are biologic naïve and have had an inadequate response to standard therapies (eg, non-biologic DMARDs, apremilast, and/or NSAIDs). This population is appropriate to provide relevant efficacy and safety information for the intended use of guselkumab in PsA. Additionally, a biologic naïve population with  $\geq 2$  joints with erosions at baseline is planned to enrich the population for those more likely to experience radiographic progression and therefore increase the power for detection of a treatment effect on radiographic endpoints.

This study design is based primarily on PSA3002 in which the q4w dose regimen demonstrated statistically significantly less radiographic progression compared with placebo as measured by the mean change from baseline in modified vdH-S score. The q8w dose regimen in PSA3002 demonstrated numerically less radiographic progression compared with placebo. This study is designed to further investigate the structural damage benefit for both the q4w and q8w guselkumab dose regimens.

### Blinding, Control, Study Phase/Periods, Intervention Groups

A placebo control will be used to establish the frequency and magnitude of changes in endpoints that may occur in the absence of active intervention.

Randomization will be used to minimize bias in the assignment of participants to intervention groups, to increase the likelihood that known and unknown participant attributes (eg, demographic and baseline characteristics) are evenly balanced across intervention groups, and to enhance the validity of statistical comparisons across intervention groups.

Blinded intervention will be used to reduce potential bias during data collection and evaluation of endpoints.

## Study Phases and Duration of Treatment

There will be 5 phases in this study: Screening, double-blind placebo-controlled, double-blind active treatment, LTE and safety follow-up.

- The screening phase of up to 6 weeks will allow for sufficient time to perform screening study evaluations and determine study eligibility.
- The second phase of the study will be the double-blind, placebo-controlled phase from Week 0 to Week 24. The primary endpoint will be evaluated at Week 24.
- The third phase of the study will be the double-blind, active treatment phase from Week 24 through Week 48. This duration will provide adequate time to evaluate the maintenance of efficacy and long-term safety of guselkumab in PsA over a 48-week exposure period.
- The fourth phase of the study will be the LTE from Week 48 through Week 156.
- The final phase of the study will be the safety follow-up phase and will be 12 weeks from the last administration of study intervention to the final safety visit (whether in the core study or LTE). The safety follow-up period allows for monitoring of participants for a period equivalent to approximately 5 times the half-life of guselkumab.

The placebo-controlled period is limited to 24 weeks. An EE option is included in the study design to provide additional treatment options for participants who have <20% improvement in swollen and tender joint counts at Week 16.

## Study Evaluations

Efficacy evaluations chosen for this study were established in previous studies of therapeutic biologic agents for the treatment of PsA. Patient-reported outcomes (PROs) chosen for this study are also consistent with clinically relevant measurements that are accepted in the medical literature for other studies in PsA and applicable US/EU regulatory guidance documents.

Safety evaluations include collecting information on AEs, review of concomitant medications, vital signs, laboratory assessments, physical exams, and early detection of TB.

Serum samples will be collected to evaluate the pharmacokinetics (PK) of guselkumab, as well as the immunogenicity of guselkumab (antibodies to guselkumab) as described in the SoA (Section 1.3).

## DNA and Biomarker Collection

Optional pharmacogenomic samples may be obtained from participants only when specific consent is provided by signing the optional genetic research informed consent form (ICF). It is recognized that genetic variation can be an important contributory factor to interindividual differences in intervention distribution and response and can also serve as a marker for disease susceptibility and prognosis. Pharmacogenomic research may help to explain interindividual variability in clinical outcomes and may help to identify population subgroups that respond differently to an intervention. The goal of the pharmacogenomic component is to collect deoxyribonucleic acid (DNA) to allow the identification of genetic factors that may influence the PK, pharmacodynamics

(PD), efficacy, safety, or tolerability of guselkumab and to identify genetic factors associated with PsA.

Biomarker samples will be collected to evaluate the mechanism of action of guselkumab or help to explain interindividual variability in clinical outcomes or may help to identify population subgroups that respond differently to an intervention. The goal of the biomarker analyses is to evaluate the PD of guselkumab and aid in evaluating the intervention-clinical response relationship.

DNA and biomarker samples may be used to help address emerging issues and to enable the development of safer, more effective, and ultimately individualized therapies.

Collection of biomarker samples, including samples from the optional pharmacogenomic collection, will only occur where local regulations permit and may not occur at all clinical sites.

#### **4.2.1. Study-Specific Ethical Design Considerations**

Potential participants will be fully informed of the risks and requirements of the study and, during the study, participants will be given any new information that may affect their decision to continue participation. They will be told that their consent to participate in the study is voluntary and may be withdrawn at any time with no reason given and without penalty or loss of benefits to which they would otherwise be entitled. Only participants who are fully able to understand the risks, benefits, and potential AEs of the study, and provide their consent voluntarily will be enrolled.

The primary ethical concern is that participants with active disease may receive placebo for 24 weeks. However, the placebo control is necessary to capture the change in clinical endpoints that may occur in the absence of active treatment and is recommended by regulatory authorities. The disease activity of each participant will be closely monitored during the study and EE has been incorporated in the study design to allow participants to receive additional concomitant medications for PsA at the investigator's discretion if the EE criterion is met. The duration of the placebo exposure is limited to 24 weeks.

The total blood volume to be collected is an acceptable amount of blood to be collected over this time period from the population in this study based upon the standard of the American Red Cross for blood donation ([American Red Cross 2020](#)).

#### **4.3. Justification for Dose**

The two dose regimens which were evaluated in the Phase 3 PSA3001 and PSA3002 studies (100 mg at Weeks 0 and 4 then q8w and 100 mg q4w) and which demonstrated clinically meaningful benefits across domains of PsA were selected to be evaluated in this study. Guselkumab 100 mg at Weeks 0 and 4 then q8w is now the approved dose regimen in the US, Canada, and several other countries worldwide for PsA, while both guselkumab q8w and q4w dose regimens have been approved in Brazil, Ecuador and the EU for PsA.

Efficacy results from PSA3001 and PSA3002 demonstrated that both guselkumab q8w and q4w dose regimens were effective in treating the signs and symptoms of PsA, as measured by ACR

responses, Disease Activity Score 28 (DAS28; CRP), dactylitis, enthesitis, Investigator's Global Assessment (IGA) and Psoriatic Area and Severity Index (PASI) responses, improving overall physical function measured by Disability Index of the Health Assessment Questionnaire (HAQ-DI) and HRQoL as measured by the 36-item Short Form Health Survey (SF-36) Physical Component Summary (PCS). The benefit of both guselkumab dose regimens in treating signs and symptoms compared to placebo were consistent among all endpoints common to both studies and for the pooled data. While the cumulative evidence from PSA3001 and PSA3002 does not indicate a consistent difference between guselkumab q8w and q4w dose regimens in treating signs and symptoms of PsA, there were some numerical differences between the two dose regimens in certain endpoints. Specifically, in study PSA3001, a greater proportion of participants in the guselkumab q4w group achieved an ACR 20, ACR 50, ACR 70, an IGA 0, or PASI 90 response through Week 24 compared with the q8w group. However, no differences in efficacy were observed in the larger PSA3002 study between the guselkumab q8w and q4w dose regimens in either ACR responses or psoriasis responses. Including both dose regimens in this study will provide additional data on the relative efficacy of the q8w and q4w dosing regimens on signs and symptoms in bio-naïve PsA participants.

In addition to clinical signs and symptoms, an important outcome in PsA is inhibition of structural damage. Analyses of radiographic data in PSA3002 demonstrated that the guselkumab 100 mg q4w dose regimen significantly inhibited structural damage progression compared with placebo. While structural damage progression was numerically lower for the guselkumab 100 mg q8w dose regimen compared with placebo, it did not reach statistical significance. Since many patients with PsA do not experience joint damage (approximately two thirds of the participants in PSA3002 did not progress regardless of treatment group), additional analyses were conducted to identify risk factors that predicted which participants are at increased risk of structural damage progression. Risk factor analyses using placebo data from previous Sponsor-conducted PsA studies with other therapeutic agents identified the number of joints with erosion as the baseline risk factor most predictive for an increased risk of structural damage progression. In high-risk participants (ie, those with baseline risk factors), while both guselkumab dose regimens had less structural damage progression than placebo, there appeared to be a dose response trend with the guselkumab 100 mg q4w dose regimen showing greater benefit than the 100 mg q8w dose regimen in inhibiting structural damage progression.

Overall, the two proposed dose regimens demonstrated clinically meaningful efficacy and were well tolerated with an acceptable safety profile in participants with active PsA. This study is expected to provide additional clinical safety and efficacy data (especially for the evaluation of inhibition of structural damage) in participants with PsA. Inclusion of both the 100 mg at Week 0 and 4 and then q8w dose regimen and the 100 mg q4w dose regimen will allow a relative benefit-risk assessment of the q4w dose regimen.

#### **4.4. End of Study Definition**

##### **End of Study Definition**

The end of study is considered as the last visit for the last participant in the study. The final data from the study site will be sent to the Sponsor (or designee) after completion of the final participant visit at that study site, in the time frame specified in the Clinical Trial Agreement.

##### **Study Completion Definition**

A participant will be considered to have completed the main study if the participant has completed assessments at:

- Week 48 and the 12-week safety follow-up visit at Week 60 for participants that do not enter LTE

Participants who prematurely discontinue study intervention for any reason prior to Week 48 will not be considered to have completed the study unless they complete the safety follow-up.

A participant will be considered to have completed the LTE if the participant has completed assessments at:

- Week 156 and the 12-week safety follow-up visit at Week 168 for participants that choose to enter the LTE.

#### **5. STUDY POPULATION**

Screening for eligible participants will be performed within 6 weeks prior to administration of the study intervention. Refer to Section 5.4, Screen Failures, for conditions under which the repeat of any screening procedures are allowed.

The inclusion and exclusion criteria for enrolling participants in this study are described below. If there is a question about these criteria, the investigator must consult with the appropriate Sponsor representative and resolve any issues prior to enrolling a participant in the study. Waivers are not allowed.

For a discussion of the statistical considerations of participant selection, refer to Section 9.2, Sample Size Determination.

##### **5.1. Inclusion Criteria**

Each potential participant must satisfy all of the following criteria to be enrolled in the study:

1. Be at least 18 years of age (or the legal age of consent in the jurisdiction in which the study is taking place).
2. Have active PsA despite previous non-biologic DMARD, apremilast, and/or NSAID therapy.

- Non-biologic DMARD therapy is defined as taking a non-biologic DMARD for at least 3 months or evidence of intolerance.
- Apremilast therapy is defined as taking apremilast at the marketed dose approved in the country where the study is being conducted for at least 3 months or evidence of intolerance.
- NSAID therapy is defined as taking an NSAID for at least 4 weeks or evidence of intolerance.

3. Have a diagnosis of PsA for at least 6 months prior to the first administration of study intervention and meet ClASsification criteria for Psoriatic ARthritis (CASPAR) criteria at screening.

4. Criterion modified per Amendment 1

4.1. Have active PsA as defined by:

- a. At least three swollen joints and three tender joints at screening and at baseline
  - AND-
  - b. CRP  $\geq 0.3$  mg/dL at screening from the central laboratory.

**NOTE:** A one-time repeat assessment of CRP level is allowed during the 6-week screening phase and the investigator may consider the participant eligible if the test result is within acceptable range on repeat testing in the central laboratory.

5. Have  $\geq 2$  joints with erosions on baseline radiographs of the hands and feet as determined by central read.

6. Have at least one of the following PsA subsets: distal interphalangeal joint involvement, polyarticular arthritis with absence of rheumatoid nodules, asymmetric peripheral arthritis, or spondylitis with peripheral arthritis.

7. Have active plaque psoriasis, with at least one psoriatic plaque of  $\geq 2$ cm diameter and/or nail changes consistent with psoriasis.

8. If currently using non-biologic DMARDs (limited to MTX, SSZ, HCQ, or LEF), participants should have started treatment at least 3 months and the dose must be stable for at least 4 weeks before first administration of study intervention and should have no serious toxic side effects attributable to the non-biologic DMARD. If currently not using MTX, SSZ, or HCQ, must not have received for at least 4 weeks before first administration of study intervention. If currently not using LEF, must not have received for at least 12 weeks before first administration of study intervention.

- a. If using MTX, the route of administration and dose must be stable and the dose must be  $\leq 25$  mg/week.
- b. If receiving SSZ, the dose must be  $\leq 3$ g/day.

- c. If receiving HCQ, the dose must be  $\leq 400$  mg/day.
- d. If receiving LEF, the dose must be  $\leq 20$  mg/day.

9. If using NSAIDs or other analgesics for PsA at baseline, participants must be on a stable dose for at least 2 weeks prior to the first administration of study intervention. If currently not using NSAIDs or other analgesics for PsA, must not have received NSAIDs or other analgesics for PsA within 2 weeks prior to the first administration of study intervention.

10. If using oral corticosteroids at baseline, participants must be on a stable dose equivalent to  $\leq 10$  mg of prednisone/day for at least 2 weeks prior to the first administration of study intervention. If not currently using oral corticosteroids, the participant must not have received oral corticosteroids within 2 weeks prior to the first administration of study intervention.

11. Criterion modified per Amendment 1

11.1. Before randomization, a woman must be

- Not of childbearing potential **OR**
- Of childbearing potential and practicing (if heterosexually active) a highly effective method of contraception (failure rate of  $<1\%$  per year when used consistently and correctly) and agrees to remain on a highly effective method while receiving study intervention and until 12 weeks after last dose - the end of relevant systemic exposure. The investigator should evaluate the potential for contraceptive method failure (eg, non-compliance, recently initiated) in relationship to the first dose of study intervention. Examples of highly effective methods of contraception are provided in Appendix 5 (Section 10.5).

**NOTE:** If a female participant's childbearing potential changes after start of the study (eg, a woman who is not heterosexually active becomes active, a premenarchal woman experiences menarche), she must begin practicing a highly effective method of birth control, as described above.

12. A woman of childbearing potential must have a negative serum pregnancy test at screening and a negative urine pregnancy test at Week 0.

13. A woman must agree not to donate eggs (ova, oocytes) for the purposes of assisted reproduction during the study and for at least 12 weeks after receiving the last administration of study intervention.

14. All men must agree not to donate sperm from the first administration of study intervention through at least 12 weeks after receiving the last administration of study intervention.

15. Criterion updated per Amendment 2.

15.1. A man who is sexually active with a woman of childbearing potential and who has not had a vasectomy must agree to use a barrier method of birth control (eg, either a

condom [with spermicidal foam/gel/film/cream/suppository] or a partner with an occlusive cap [diaphragm or cervical/vault caps] plus spermicidal foam/gel/film/cream/suppository), during the study and for at least 12 weeks after receiving the last administration of study intervention.

16. Criterion updated per Amendment 2.

16.1. Are considered eligible according to the following TB screening criteria:

- a. Have no history of latent or active TB before screening. An exception is made for participants who have a history of latent TB and:
  - are currently receiving treatment for latent TB, **OR**
  - will initiate treatment for latent TB before the first administration of study intervention, **OR**
  - have documentation of having completed appropriate treatment (as per local guidelines) for latent TB within 5 years before the first dose of study intervention. It is the responsibility of the investigator to verify the adequacy of previous anti-tuberculous treatment and provide appropriate documentation. Participants with a history and documentation of having completed appropriate treatment for latent TB more than 5 years before the first dose of study intervention are not eligible.
- b. Have no signs or symptoms suggestive of active TB upon medical history and/or physical examination.
- c. Have had no known recent close contact with a person with active TB or, if there has been such contact, will be referred to a physician specializing in TB to undergo additional evaluation and, if warranted, receive appropriate treatment for latent TB before the first administration of study intervention.
- d. Within 8 weeks before the first administration of study intervention, have a negative QuantiFERON®-TB test result, or have a newly identified positive QuantiFERON®-TB test result in which active TB has been ruled out and for which appropriate treatment for latent TB has been initiated before the first administration of study intervention.

**NOTE:** A negative tuberculin skin test result is required if the QuantiFERON-TB® test is not approved/registered in the country in which this protocol is being conducted. The frequency of TB testing can be increased depending on local health authority requirements. In Ukraine, while the QuantiFERON-TB® test is not approved/registered, it is acceptable, and an additional tuberculin skin test is not required. The QuantiFERON-TB® test and the tuberculin skin test are not required at screening for participants with a history of latent TB, if active TB has been ruled out, and if appropriate treatment has been initiated/completed as described above in Inclusion Criterion 16a.

- e. Have a chest radiograph (both posterior-anterior and lateral views, or per country regulations where applicable), taken within 12 weeks before the first administration of study intervention and read by a radiologist or qualified pulmonologist, with no

evidence of current, active TB or old, inactive TB. A chest CT scan is also acceptable if already available or obtained outside of the study protocol. Participants with persistently indeterminate QuantiFERON-TB® test results may continue without treatment for latent TB if active TB is ruled out, their chest radiograph shows no abnormality suggestive of TB (active or old, inactive TB) and the participant has no additional risk factors for TB as determined by the investigator. This determination must be promptly reported to the medical monitor or designee and recorded in the participant's source documents and initialed by the investigator.

17. Agree not to receive a live virus or live bacterial vaccination during the study, or within 12 weeks after the last administration of study intervention.
18. Agree not to receive a bacillus Calmette-Guérin (BCG) vaccination during the study, and within 12 weeks after the last administration of study intervention.
19. Criterion modified per Amendment 1
  - 19.1. Have screening laboratory test results within the following parameters:
    - a. Hemoglobin  $\geq 8.5$  g/dL (SI:  $\geq 85$  g/L)
    - b. White blood cells  $\geq 3.5 \times 10^3/\mu\text{L}$  (SI:  $\geq 3.5 \text{ GI/L}$ )
    - c. Neutrophils  $\geq 1.5 \times 10^3/\mu\text{L}$  (SI:  $\geq 1.5 \text{ GI/L}$ )
    - d. Platelets  $\geq 100 \times 10^3/\mu\text{L}$  (SI:  $\geq 100 \text{ GI/L}$ )
    - e. Serum creatinine  $\leq 1.5 \text{ mg/dL}$  (SI:  $\leq 133 \mu\text{mol/L}$ )
    - f. Aspartate aminotransferase (AST), alanine aminotransferase (ALT), and alkaline phosphatase levels must be  $\leq 1.5$  times the upper limit of normal (ULN) range for the central laboratory conducting the test.
- NOTE:** A one-time repeat of screening laboratory tests (a-e) is allowed during the 6-week screening phase and the investigator may consider the participant eligible if the previously abnormal laboratory test result is within acceptable the range on repeat testing in the central laboratory. **No retesting for ineligible ALT or AST is permitted.**
20. Agree to avoid prolonged sun exposure and agree not to use of tanning booths or other ultraviolet (UV) light sources during study (for participants with skin lesions or with documented history of psoriasis).
21. Are willing to refrain from the use of complementary therapies for PsA or psoriasis including ayurvedic medicine, traditional Taiwanese, Korean, or Chinese medication(s) and acupuncture within 2 weeks prior to the first study intervention administration and through Week 48.
22. Be willing and able to adhere to the prohibitions and restrictions specified in this protocol.
23. Criterion modified per Amendment 1

23.1. Must sign an ICF indicating that the participant understands the purpose of and procedures required for the study and is willing to participate in the study.

24. Criterion modified per Amendment 1

24.1. Must sign a separate ICF if the participant agrees to provide an optional DNA sample for research (where local regulations permit). Refusal to provide consent for the optional DNA research sample does not exclude a participant from study participation.

25. It is recommended that participants are up-to-date on all age-appropriate vaccinations prior to screening as per routine local medical guidelines. For study participants who recently received locally-approved (including emergency use-authorized) Coronavirus Disease 2019 (COVID-19) vaccines prior to study entry, follow applicable local vaccine labelling, guidelines, and standard of care for participants receiving immune-targeted therapy when determining an appropriate interval between vaccination and study enrolment (Section 6.8.7).

## 5.2. Exclusion Criteria

Any potential participant who meets any of the following criteria will be excluded from participating in the study:

1. Criterion modified per Amendment 1
  - 1.1. Has known allergies, hypersensitivity, or intolerance to study intervention or its excipients (refer to IB).
2. Has other inflammatory diseases that might confound the evaluations of benefit of guselkumab therapy, including but not limited to RA, axial spondyloarthritis (AS)/non-radiographic axial spondyloarthritis (nr-axSpA), systemic lupus erythematosus, or Lyme disease (confirmed by Western blot).
3. Has the arthritis mutilans subset of PsA.
4. Has previously received any biologic treatment including, but not limited to, guselkumab, ustekinumab, secukinumab, anti-TNF $\alpha$  agents (such as adalimumab, etanercept, infliximab, golimumab SC or intravenous (IV), certolizumab pegol, or their respective biosimilars), tildrakizumab, ixekizumab, brodalumab, risankizumab or other investigative biologic treatment for PsA or psoriasis.
5. Has ever received tofacitinib, baricitinib, filgotinib, peficitinib, decernotinib, upadacitinib or any other Janus kinase (JAK) inhibitor.

6. Has received any systemic immunosuppressants (eg, azathioprine, cyclosporine, 6 thioguanine, mercaptopurine, mycophenolate mofetil, hydroxyurea, tacrolimus) within 4 weeks of the first administration of study intervention.
7. Criterion modified per Amendment 1
  - 7.1. Has received non-biologic DMARDs other than MTX, SSZ, HCQ, LEF, within 4 weeks before the first administration of study intervention.
8. Is receiving 3 or more non-biologic DMARDs specified in [Table 3](#) at baseline. Note: participants cannot be on concomitant MTX and LEF.
9. Has received phototherapy or any systemic medications/treatments that could affect psoriasis evaluations (including, but not limited to, retinoids, 1,25-dihydroxy vitamin D3 and analogues, psoralens, fumaric acid derivatives, with the exception of those in [Table 3](#)) within 4 weeks of the first administration of study intervention.
10. Has used topical medications/treatments that could affect psoriasis evaluations (including, but not limited to, topical or intralesional injection of corticosteroids, anthralin, calcipotriene, topical vitamin D derivatives, retinoids, tazarotene, methoxsalen, trimethylpsoralens, pimecrolimus, tacrolimus, or topical traditional Taiwanese, Korean, or Chinese medicines) within 2 weeks of the first administration of any study intervention. Low-potency topical corticosteroids on the face or groin are allowed at any time.
11. Has received epidural, intra-articular, intramuscular (IM), or IV corticosteroids, including adrenocorticotrophic hormone during the 4 weeks prior to the first administration of study intervention.
12. Has received lithium within 4 weeks of the first administration of study intervention.
13. Has received an experimental antibody or biologic therapy (other than those listed everywhere else in this section) within 6 months prior to the first administration of study intervention, or received any other experimental therapy, including an investigational medical device, or new investigational agent within 90 days or five half-lives (whichever is longer) prior to the first administration of study intervention or is currently enrolled in another study using an investigational intervention or procedure.
14. Has unstable suicidal ideation or suicidal behavior in the last 6 months, that may be defined as an electronic Columbia-Suicide Severity Rating Scale (eC-SSRS) rating at screening of:
  - Ideation level 4: Some intent to act, no plan; OR
  - Ideation level 5: Specific plan and intent; OR
  - Any of the following suicidal behaviors:

- Actual suicide attempts
- Interrupted attempts
- Aborted attempts
- Preparatory actions

AND

is confirmed to be at risk by the investigator based on an evaluation by a mental health professional. The final decision on excluding a participant will be made at the judgment of the investigator.

15. Has a history or current signs or symptoms of severe, progressive, or uncontrolled renal, hepatic, cardiac, vascular, pulmonary, gastrointestinal, endocrine, neurologic, hematologic, rheumatologic (with the exception of PsA), psychiatric, genitourinary, or metabolic disturbances.
16. Has unstable cardiovascular disease, defined as a recent clinical deterioration (eg, unstable angina, rapid atrial fibrillation, or transient ischemic attack) in the last 3 months prior to screening or a cardiac hospitalization within the last 3 months prior to screening.
17. Currently has a malignancy or has a history of malignancy within 5 years prior to screening (with the exception of a nonmelanoma skin cancer that has been adequately treated with no evidence of recurrence for at least 3 months prior to the first study intervention administration or cervical carcinoma in situ that has been adequately treated with no evidence of recurrence for at least 3 months prior to the first study intervention administration).
18. Has a history of lymphoproliferative disease, including lymphoma; a history of monoclonal gammopathy of undetermined significance; or signs and symptoms suggestive of possible lymphoproliferative disease, such as lymphadenopathy or splenomegaly.
19. Has a history of chronic or recurrent infectious disease, including but not limited to chronic renal infection, chronic chest infection (eg, bronchiectasis), recurrent urinary tract infection (eg, recurrent pyelonephritis or chronic non-remitting cystitis), fungal infection (eg, mucocutaneous candidiasis), or open, draining, or infected skin wounds or ulcers.
20. Is currently undergoing or has previously undergone allergy immunotherapy for a history of anaphylactic reactions.
21. Has a transplanted organ (with exception of a corneal transplant >3 months prior to the first administration of study intervention).

22. Has a history of an infected joint prosthesis or has ever received antibiotics for a suspected infection of a joint prosthesis, if that prosthesis has not been removed or replaced.
23. Has or has had a serious infection (eg, sepsis, pneumonia, or pyelonephritis) or has been hospitalized or received IV antibiotics for an infection within 2 months prior to screening.
24. Has or has had a herpes zoster infection within 2 months before screening.
25. Is pregnant, nursing, or planning a pregnancy (both men and women) within 12 weeks after receiving the last administration of study intervention.
26. Has a nonplaque form of psoriasis (eg, erythrodermic, guttate, or pustular).
27. Has current drug-induced psoriasis (eg, a new onset of psoriasis or an exacerbation of psoriasis from beta blockers, calcium channel blockers, or lithium).
28. Has received, or is expected to receive, any live virus or bacterial vaccination within 3 months prior to the first administration of study intervention.
29. Has had a BCG vaccination within 12 months of first administration of study intervention.
30. Has known intolerance or hypersensitivity to any biologic medication, or known allergies or clinically significant reactions to murine, chimeric, or human proteins, mAbs, or antibody fragments.
31. Criterion deleted per Amendment 1
32. Has a history of active granulomatous infection, including histoplasmosis, or coccidioidomycosis, before screening.
33. Has a chest radiograph within 3 months prior to the first administration of study intervention that shows an abnormality suggestive of a malignancy, significant cardiovascular or pulmonary disease or current active infection, including TB.
34. Has ever had a nontuberculous mycobacterial infection or opportunistic infection (eg, cytomegalovirus, pneumocystis, aspergillosis).
35. Has persistently indeterminate (indeterminate on repeat sampling) QuantiFERON®-TB test results. Indeterminate results should be handled as described in Section 8.2.11.
36. Is infected with human immunodeficiency virus (HIV, a confirmed positive serology for HIV antibody).

37. During the 6 weeks prior to baseline, have had ANY of

- a) confirmed severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)/ COVID 19 infection (test positive), **OR**
- b) suspected SARS – CoV – 2 infection (clinical features without documented test results), **OR**
- c) close contact with a person with known or suspected SARS –CoV – 2 infection.

An exception to this criterion maybe granted if a participant has a documented negative result for a validated SARS-CoV-2 test:

- Obtained at least 2 weeks after conditions (a), (b), (c) above (timed from resolution of key clinical features if present, eg, fever, cough, dyspnea)

**AND**

- with absence of ALL conditions (a), (b), (c) above during the period between the negative test result and the baseline study visit.

NOTES on COVID-related exclusion:

- The field of COVID-related testing (for presence of, and immunity to, the SARS-CoV-2 virus) is rapidly evolving. Additional testing may be performed as part of screening and/or during the study if deemed necessary by the investigator and in accordance with current regulations / guidance from authorities / standards of care.
- Precaution: for those who may carry a higher risk for severe COVID-19 illness, follow guidance from local health authorities when weighing the potential benefits and risks of enrolling in the study, and during participation in the study.

38. Criterion modified per Amendment 2.

38.1. a) Tests positive for hepatitis B virus (HBV) infection (see Appendix 4 in Section 10.4) **OR**

b) Is seropositive for antibodies to hepatitis C virus (HCV) at screening, unless the participant meets 1 of the following conditions:

- i. Has a history of successful treatment (defined as being negative for HCV RNA at least 12 weeks after completing antiviral treatment) and has a negative HCV RNA test result at screening, **OR**
- ii. While seropositive has a negative HCV RNA test result at least 12 weeks prior to screening and a negative HCV RNA test result at screening.

39. Has had major surgery (eg, requiring general anesthesia and hospitalization) within 8 weeks prior to screening, or will not have fully recovered from such surgery, or has

such surgery planned during the time the participant is expected to participate in the study (168 weeks).

Note: Participants with planned surgical procedures to be conducted under local anesthesia may participate.

40. Is known to have had a substance abuse (drug or alcohol) problem within the previous 12 months prior to the first administration of study intervention.
41. Is unable or unwilling to undergo multiple venipunctures because of poor tolerability or lack of easy access to veins.
42. Lives in an institution on court or authority order.
43. Has any condition that, in the opinion of the investigator, would make participation not be in the best interest (eg, compromise the well-being) of the participant or that could prevent, limit, or confound the protocol-specified assessments.
44. Is an employee of the investigator or study site, with direct involvement in the proposed study or other studies under the direction of that investigator or study site, as well as family members of the employees or the investigator.
45. Is an employee of the Sponsor.

**NOTE:** Investigators should ensure that all study enrollment criteria have been met at screening. If a participant's clinical status changes (including any available laboratory results or receipt of additional medical records) after screening but prior to the first dose of study intervention is given such that the participant no longer meets all eligibility criteria, then the participant should be excluded from participation in the study. Section 5.4, describes options for retesting. The required source documentation to support meeting the enrollment criteria are noted in Appendix 6 (Section 10.6).

### **5.3. Lifestyle Considerations**

Potential participants must be willing and able to adhere to the following lifestyle restrictions during the course of the study to be eligible for participation:

1. Refer to Section 6.8, for details regarding prohibited and restricted therapy during the study.
2. Agree to follow all requirements that must be met during the study as noted in the Inclusion and Exclusion Criteria (eg, contraceptive requirements).
3. Must not receive guselkumab outside of this protocol or participate in any other clinical study with an investigational agent while in this study and must terminate study participation if they do.

## 5.4. Screen Failures

If, during the screening phase, the participant has not met all inclusion criteria or met any exclusion criteria, or is unable or unwilling to adhere to the prohibitions and restrictions of the study, the participant is considered to be a screen failure and is not eligible to be randomized at that time.

### Participant Identification, Enrollment, and Screening Logs

The investigator agrees to complete a participant identification and enrollment log to permit easy identification of each participant during and after the study. This document will be reviewed by the Sponsor study site contact for completeness.

The participant identification and enrollment log will be treated as confidential and will be filed by the investigator in the study file. To ensure participant confidentiality, no copy will be made. All reports and communications relating to the study will identify participants by participant identification and age at initial informed consent. In cases where the participant is not randomized into the study the date seen and age at initial informed consent will be used.

Individuals who do not meet the criteria for participation in this study (screen failure) may be rescreened on one occasion only after consultation with the Sponsor or designee (ie, study responsible physician, scientists).

### Rescreening

If a participant was a screen failure in the past but currently the participant is expected to be able to meet the participant eligibility criteria, the participant may be screened again on one occasion only after consultation with the Sponsor (ie, study responsible physician, scientists, or designee).

For the second screening, the participant will be assigned a new participant number, and must undergo the informed consent process and all other screening procedures again as per the SoA (Section 1.3).

Chest x-rays and radiographs of hands and feet, if done within the specified allowed time, are not required to be repeated.

If the QuantiFERON®-TB test and/or the tuberculin skin test was positive in the first screening, these tests should not be repeated. Also, if the QuantiFERON®-TB test and tuberculin skin test were done within the specified allowed time, they should not be repeated.

### Retesting

Retesting of abnormal laboratory values that may lead to exclusion will be allowed once with the exception of AST and ALT. Retesting can occur at an unscheduled visit during the specified screening phase.

If a laboratory abnormality occurs, the site is encouraged to wait for all laboratory tests to be completed to ensure other laboratory tests do not need to be repeated, as only 1 retest of laboratory tests is allowed.

## **5.5. Criteria for Temporarily Delaying Administration of Study Intervention**

Guidelines for study intervention administration affected by the COVID-19 pandemic are found in Appendix 9 (Section 10.9).

## **6. STUDY INTERVENTION AND CONCOMITANT THERAPY**

### **6.1. Study Intervention(s) Administered**

Study intervention administration must be captured in the source documents and the electronic case report form (eCRF). Study site personnel will instruct participants on how to store study intervention for at-home use as indicated for this protocol.

Guselkumab and placebo will be manufactured and provided under the responsibility of the Sponsor. Refer to the guselkumab IB for a list of excipients.

Detailed instructions on the administration of study intervention will be provided in the Site Investigational Product Procedures Manual (IPPM).

For a definition of study intervention overdose, refer to Section 6.7.

Guidelines for study intervention administration affected by the COVID-19 pandemic are found in Appendix 9 (Section 10.9).

## Description of Interventions

Group/Arm Name	Group II	Group I	Group III
<b>Intervention Name</b>	Guselkumab	Guselkumab	Placebo
<b>Dose Formulation</b>	Guselkumab 100 mg and matching liquid placebo for guselkumab will be provided in a single-use prefilled syringe (PFS) assembled with the UltraSafe PLUS™ Passive Needle Guard (PFS-U).	Guselkumab 100 mg and matching liquid placebo for guselkumab will be provided in a single-use prefilled syringe (PFS) assembled with the UltraSafe PLUS™ Passive Needle Guard (PFS-U).	
<b>Unit Dose Strength(s)</b>	100 mg	100 mg	
<b>Dosage Level(s)</b>	100 mg q4w	100 mg at Weeks 0 and 4, then q8w	
<b>Route of Administration</b>	subcutaneous	subcutaneous	subcutaneous

### 6.1.1. Combination Products

- For this protocol, the term combination product refers to the single integral drug-device combination.
- The Sponsor-manufactured combination product for use in this study is the prefilled syringe (PFS) assembled in an UltraSafe Plus™ Passive Needle Guard (PFS-U). Additional details on the PFS-U are provided in Section 6.2 and the guselkumab IB.
- All combination product deficiencies (including failure, malfunction, improper or inadequate design, manufacturer error, use error, and inadequate labeling) shall be documented and reported by the investigator throughout the study. For studies in combination product, these deficiencies will be reported as product quality complaints (PQC) (see Appendix 7 [Section 10.7]).

## 6.2. Preparation/Handling/Storage/Accountability

### Preparation/Handling/Storage

For SC administration, guselkumab will be supplied as a 100 mg/mL sterile liquid in a single dose PFS-U. For SC administration, placebo for guselkumab will be supplied as a 1 mL sterile liquid in a single dose PFS-U.

Guselkumab and placebo for guselkumab should be clear and colorless to light yellow solution that may contain small translucent particles. Do not use guselkumab or placebo for guselkumab if the liquid is cloudy or discolored or has large particles. Protection from light is not required during the preparation and administration of the study intervention material but avoid direct exposure to

sunlight. Aseptic procedures must be used during the preparation and administration of the study intervention material.

After Week 24, participants who are able and who have been appropriately trained in the self-administration of study intervention may self-administer study intervention at home, as per SoA (Section 1.3). Study personnel will instruct participants on how to transport, store, and administer study intervention for at-home use as indicated for this protocol.

Refer to the study Site IPPM for additional guidance on study intervention preparation, handling, and storage.

## **Accountability**

The investigator is responsible for ensuring that all study intervention received at the site is inventoried and accounted for throughout the study. All study intervention will be stored and disposed of according to the Sponsor's instructions. Study site personnel must not combine contents of the study intervention containers.

Study intervention must be handled in strict accordance with the protocol and the container label and must be stored at the study site in a limited-access area or in a locked cabinet under appropriate environmental conditions. Unused study intervention must be available for verification by the Sponsor's or designee's study site monitor during on-site monitoring visits. The return to the Sponsor of unused study intervention will be documented on the intervention return form. When the study site is an authorized destruction unit and study intervention supplies are destroyed on-site, this must also be documented on the intervention return form.

Potentially hazardous materials such as used ampules, needles, syringes and vials containing hazardous liquids, should be disposed of immediately in a safe manner and therefore will not be retained for intervention accountability purposes.

Study intervention should be dispensed under the supervision of the investigator or a qualified member of the study site personnel, or by a hospital/clinic pharmacist. Study intervention will be supplied only to participants participating in the study. Returned study intervention must not be dispensed again, even to the same participant. Study intervention may not be relabeled or reassigned for use by other participants. The investigator agrees neither to dispense the study intervention from, nor store it at, any site other than the study sites agreed upon with the Sponsor. Further guidance and information for the final disposition of unused study interventions are provided in the Site IPPM.

## **6.3. Measures to Minimize Bias: Randomization and Blinding**

### **Intervention Allocation**

#### ***Procedures for Randomization and Stratification***

Central randomization will be implemented in this study. Participants will be randomly assigned to 1 of 3 intervention groups based on a computer-generated randomization schedule prepared

before the study by or under the supervision of the Sponsor. The randomization will be balanced by using randomly permuted blocks and will be stratified by a combined factor of baseline radiographic variability, corticosteroid use, number of joints with erosion, and the most recent available CRP value prior to randomization into 4 strata levels (HRV, NP, LMP, and RP). All participants with HRV will be assigned to HRV stratum, and the rest of participants will be assigned to the other strata based on probability of NP, LMP, and RP.

The interactive web response system (IWRS) will assign a unique intervention code, which will dictate the intervention assignment and matching study intervention kit for the participant. The requestor must use his or her own user identification and personal identification number when contacting the IWRS and will then give the relevant participant details to uniquely identify the participant.

### **Blinding**

To maintain the study blind, the study intervention container will have a label containing the study name, study intervention number, reference number and storage instructions. The label will not identify the study intervention in the container. However, if it is necessary for a participant's safety, the study blind may be broken and the identity of the study intervention ascertained. The study intervention number will be entered in the eCRF when the study intervention is administered. The study interventions will be identical in appearance and will be packaged in identical containers.

The investigator will not be provided with randomization codes. The codes will be maintained within the IWRS, which has the functionality to allow the investigator to break the blind for an individual participant.

Data that may potentially unblind the intervention assignment (ie, study intervention serum concentrations, anti-guselkumab antibodies) will be handled with special care to ensure that the integrity of the blind is maintained and the potential for bias is minimized. This can include making special provisions, such as segregating the data in question from view by the investigators, clinical team, or others as appropriate until the time of DBL and unblinding.

Under normal circumstances, the blind should not be broken until all participants have completed Week 48 or discontinued prior to Week 48 and the Week 48 DBL has occurred. The investigator may in an emergency determine the identity of the intervention by contacting the IWRS. While the responsibility to break the intervention code in emergency situations resides solely with the investigator, it is recommended that the investigator contact the Sponsor or its designee if possible, to discuss the particular situation, before breaking the blind. Telephone contact with the Sponsor or its designee will be available 24 hours per day, 7 days per week. In the event the blind is broken, the Sponsor must be informed as soon as possible. The date and reason for the unblinding must be documented in the appropriate section of the eCRF and in the source document. The documentation received from the IWRS indicating the code break must be retained with the participant's source documents in a secure manner.

Participants who have had their intervention assignment unblinded should continue to return for scheduled evaluations, and may not be eligible for further treatment.

#### **6.4. Study Intervention Compliance**

When participants self-administer study intervention at home, compliance with study intervention will be assessed at each visit. Compliance will be assessed by direct questioning during the site visits and documented in the source documents and eCRF. Deviation(s) from the prescribed dosage regimen must be recorded in the eCRF.

Participants will receive instructions on compliance with study treatment when they begin self-administration of study intervention at home. When participants begin self-administration at home, the investigator or designated study personnel will maintain a log of all study intervention dispensed and returned.

When study intervention is self-administered by participants at home, participants will record all study intervention administrations on a diary card.

During the study, the investigator or designated study research personnel will be responsible for providing additional instruction to reeducate any participant who is not compliant with taking the study intervention.

Compliance with the treatment schedule is strongly encouraged. It is understood that treatment may be interrupted for health-related or safety reasons. Therefore, if for any reason a participant cannot receive a dose of study intervention at the scheduled visit, the participant must make every effort to still come in for the scheduled assessments for that visit. The dose should be administered within 2 weeks of that scheduled visit. Study intervention administrations must be at least 14 days apart. The participant should then resume the normal study schedule relative to the baseline visit (Week 0). In the case when a participant does not come into the investigational site for a scheduled visit, the site will follow-up with that participant. Due diligence could include telephone calls, certified letters, and email requests. Measures taken to obtain follow-up information must be documented.

Study-site personnel will keep a log of all study intervention dispensed and will compare the amount of study intervention dispensed with the amount returned.

All post-baseline visits up to and including Week 24 will have a visit window of  $\pm 4$  days counting from Week 0 as Day 1. After Week 24, all visits will have a visit window of  $\pm 7$  days. The Week 168 visit or the final safety visit (12 weeks after the last dose in participants who discontinued study treatment) will have a visit window of  $\pm 14$  days. If a study visit occurs outside this window, the Sponsor should be consulted about how the participant should resume his or her normal dose schedule.

Information regarding study intervention administrations that are administered outside of the scheduled windows or missed will be recorded. Participant charts and worksheets may be reviewed and compared with the data entries on the eCRFs to ensure accuracy.

## **6.5. Dose Modification**

Not applicable

## **6.6. Continued Access to Study Intervention After the End of the Study**

Participants will be instructed that study intervention will not be made available to them after they have completed/discontinued study intervention and that they should return to their primary physician to determine standard of care.

Local regulations on continued access will always take precedence. Plans for continued access stated in this protocol may change if new information on the benefit-risk profile of guselkumab becomes available during the study or program.

## **6.7. Treatment of Overdose**

For this study, any dose of guselkumab greater than the highest dose at a single dosing visit will be considered an overdose. The Sponsor does not recommend specific treatment for an overdose.

In the event of an overdose, the investigator or treating physician should:

- Contact the Medical Monitor immediately.
- Evaluate the participant to determine, in consultation with the Medical Monitor, whether study intervention should be interrupted or whether the dose should be reduced.
- Closely monitor the participant for AE/SAE and laboratory abnormalities.
- Obtain a serum sample for PK analysis if requested by the Medical Monitor (determined on a case-by-case basis).
- Document the quantity of the excess dose as well as the duration of the overdosing in the eCRF.

## **6.8. Concomitant Therapy**

Prestudy therapies administered after the time of informed consent must be recorded at screening.

Concomitant therapies must be recorded throughout the study beginning with start of the first dose of study intervention to after the last dose of study intervention. Concomitant therapies should also be recorded beyond that point only in conjunction with SAEs that meet the criteria outlined in the protocol.

All therapies (prescription or over-the-counter medications, including vaccines, vitamins, herbal supplements; non-pharmacologic therapies such as electrical stimulation, acupuncture, special diets, exercise regimens, or other specific categories of interest) different from the study intervention must be recorded in the eCRF.

Recorded information will include a description of the type of therapy, treatment period, dosage, route of administration and indication. Modification of an effective preexisting therapy should not be made for the explicit purpose of entering a participant into the study.

The Sponsor or designee must be notified in advance (or as soon as possible thereafter) of any instances in which prohibited therapies are administered.

Every effort should be made to keep participants' concomitant medications for PsA stable through Week 24 or as specified in the following sections. The concomitant medication dose may be reduced or temporarily discontinued because of abnormal laboratory values, adverse effects or intolerance, concurrent illness, or the performance of a surgical procedure but the change and reason for the change should be clearly documented in the participant's medical record.

Participants may not be receiving more than two non-biologic DMARDs from baseline (see Exclusion Criterion 8) through Week 48 (with the exception of participants who are eligible for EE at Week 16, who may receive up to 3 non-biologic DMARDs). After Week 48 and through the end of the study, participants may receive up to 3 protocol permitted non-biologic DMARDs. Note: participants cannot be on concomitant MTX and LEF.

Permitted concomitant medications for PsA and the maximum allowed doses during the study as specified in the following sections are summarized in [Table 3](#).

**Table 3: Permitted Concomitant Medications for PsA and the Maximum Allowed Doses During the Study**

Permitted Concomitant Medications for Psoriatic Arthritis (PsA) <sup>a</sup>	Maximum Allowed Dose
NSAIDs and other analgesics	Maximum marketed dose approved in the country where the study is being conducted
Oral corticosteroids	Equivalent to 10 mg/day of prednisone
Methotrexate (MTX) <sup>b</sup>	25 mg/week
Sulfasalazine (SSZ)	3 g/day
Hydroxychloroquine (HCQ)	400 mg/day
Leflunomide (LEF)	20 mg/day

<sup>a</sup> Permitted concomitant medications are not supplied by the Sponsor.

<sup>b</sup> It is recommended that all participants taking MTX in this study receive at least 5 mg oral folate or 5 mg folic acid weekly. Guidelines for dose adjustment in the event of MTX toxicity are included in the Trial Center File.

Abbreviations: HCQ = Hydroxychloroquine; LEF = Leflunomide; MTX = Methotrexate; PsA = psoriatic arthritis; SSZ = Sulfasalazine

Participants should not initiate any new treatment for PsA through Week 48, except at Week 16 for those participants who have <20% improvement from baseline in both tender and swollen joint counts (EE criteria). Participants who meet EE criteria will be allowed to initiate or increase the dose of one of the permitted concomitant medications up to the maximum allowed dose as specified in [Table 3](#), as selected by the investigator. Titration to a stable dose of the medication should be completed for participants qualifying for EE by the Week 24 visit.

Beginning at Week 48, the treatment options in [Table 3](#) are allowed to be initiated or dose increased up to the maximum allowed dose specified in [Table 3](#) for participants with ongoing PsA

disease activity at the investigator's judgment; the rationale should be clearly documented in the participant's medical record. Note: participants cannot be on concomitant MTX and LEF.

Concomitant medication review will occur at study visits identified in the SoA (Section 1.3).

### 6.8.1. Non-biologic DMARDs

#### 6.8.1.1. Permitted Non-biologic DMARDs

Participants are permitted to enter the study on a stable dose of up to 2 non-biologic DMARDs (limited to MTX, SSZ, HCQ, or LEF) up to the maximum allowed dose specified in [Table 3](#). Only up to 2 of these non-biologic DMARDs are allowed from baseline through Week 48 (with the exception of participants who are eligible for EE at Week 16, who may receive up to 3 non-biologic DMARDs); participants receiving 3 or more non-biologic DMARDs at baseline are excluded from study participation. After Week 48 and through the end of the study, participants may receive up to 3 non-biologic DMARDs.

At any time during the study, the dose of the permitted non-biologic DMARD may be reduced or temporarily discontinued due to abnormal laboratory values, side effects, concurrent illness, or the performance of a surgical procedure.

Refer to [Table 4](#) for protocol requirements of the permitted non-biologic DMARDs.

**Table 4: Protocol Requirements of the Permitted Non-biologic DMARDs**

Permitted Non-biologic DMARDs	Baseline Usage	Prior to Week 0	Week 0 through Week 48	Week 48 through Week 168
Methotrexate (MTX)	Used	Treatment should have started at least 3 months prior to the first administration of study intervention. Methotrexate routes of administration and doses should be stable for at least 4 weeks prior to the first administration of the study intervention.	Stable dose and route of administration (oral, intramuscular, or subcutaneous permitted) required unless early escape (EE) or unacceptable side effects.	Dose changes allowed up to the maximum dose specified in <a href="#">Table 3</a> or change of the route of administration, at the investigator's discretion.
	Not Used	Discontinued at least 4 weeks prior to the first administration of study intervention.	Not allowed unless EE.	Allowed up to the maximum dose specified in <a href="#">Table 3</a> at investigator's discretion.

**Table 4: Protocol Requirements of the Permitted Non-biologic DMARDs**

Permitted Non-biologic DMARDs	Baseline Usage	Prior to Week 0	Week 0 through Week 48	Week 48 through Week 168
Sulfasalazine (SSZ) or Hydroxychloroquine (HCQ)	Used	Treatment should have started at least 3 months prior to the first administration of study intervention. Dose should be stable for at least 4 weeks prior to the first administration of the study intervention.	Stable dose required unless EE or unacceptable side effects.	Dose changes allowed up to the maximum dose specified in <a href="#">Table 3</a> , at the investigator's discretion.
	Not Used	Discontinued at least 4 weeks prior to the first administration of study intervention.	Not allowed unless EE.	Allowed up to the maximum dose specified in <a href="#">Table 3</a> at investigator's discretion.
Leflunomide (LEF)	Used	Treatment should have started at least 3 months prior to the first administration of study intervention. Dose should be stable for at least 4 weeks prior to the first administration of the study intervention.	Stable dose required unless EE or unacceptable side effects.	Dose changes allowed up to the maximum dose specified in <a href="#">Table 3</a> at the investigator's discretion.
	Not Used	Discontinued at least 12 weeks prior to the first administration of study intervention.	Not allowed unless EE.	Allowed up to the maximum dose specified in <a href="#">Table 3</a> at investigator's discretion.

Abbreviations: DMARDs = disease-modifying antirheumatic drugs; EE = early escape;

HCQ = Hydroxychloroquine; LEF = Leflunomide; MTX = Methotrexate; PsA = psoriatic arthritis;  
SSZ = Sulfasalazine

#### **6.8.1.2. Prohibited Non-biologic DMARDs and Apremilast**

All other non-biologic DMARDs (including, but not limited to chloroquine, gold preparations, penicillamine) and apremilast must be discontinued at least 4 weeks prior to the first administration of study intervention and remain prohibited through Week 168.

#### **6.8.1.3. Systemic Immunosuppressive Drugs**

Systemic immunosuppressants (including, but not limited to azathioprine, cyclosporine, 6-thioguanine, mercaptopurine, mycophenolate mofetil, hydroxyurea, or tacrolimus) must be discontinued at least 4 weeks prior to the first administration of study intervention and remain prohibited through Week 168. If any of these systemic immunosuppressants is initiated during the study, study intervention must be permanently discontinued.

Systemic immunosuppressants do not refer to corticosteroids; see Section [6.8.2](#) for restrictions regarding the use of corticosteroids.

## **6.8.2.      Corticosteroids**

### **6.8.2.1.    Oral Corticosteroids**

Participants using oral corticosteroids at baseline for PsA must be on a stable dose equivalent to  $\leq 10$  mg prednisone per day for at least 2 weeks prior to the first administration of study intervention and continue on this dose through Week 24 unless EE at Week 16.

Starting at Week 24, the dose and type of oral corticosteroid may be adjusted, at the discretion of the investigator (up to the maximum dose in [Table 3](#)), for participants with ongoing PsA disease activity.

Otherwise, throughout the study, the dose and type of oral corticosteroid may be changed at the discretion of the investigator only if the participant develops unacceptable side effects.

Participants not using oral corticosteroids at baseline for PsA must have discontinued oral corticosteroids at least 2 weeks prior to the first administration of study intervention and must not receive oral corticosteroids through Week 24 of the study for PsA. An exception is made for participants who qualify for EE at Week 16.

### **6.8.2.2.    Corticosteroids – Intravenous, Intramuscular, or Epidural Administration**

Intravenous, IM, or epidural administration of corticosteroids for the treatment of PsA are not allowed through Week 48.

Long-term ( $>2$  weeks), oral or IV corticosteroid use for indications other than PsA or psoriasis is not allowed through Week 48. Short-term ( $\leq 2$  weeks) oral, IV, IM, or epidural corticosteroid used for indications other than PsA should be limited to situations where, in the opinion of the investigator, there are no adequate alternatives.

### **6.8.2.3.    Corticosteroids – Intra-articular Injection**

Attempts should be made to avoid intra-articular corticosteroid injections for PsA, especially during the first 24 weeks of the study. However, if necessary, participants may receive up to 2 intra-articular, tendon sheath, or bursal corticosteroid injections in no more than 2 affected sites within any 24-week period of the study. In the case of severe tenderness or swelling in a single joint, it is suggested that the participant be evaluated for infection prior to receiving an intra-articular corticosteroid injection.

### **6.8.2.4.    Corticosteroids – Other Routes of Administration**

Inhaled, otic, ophthalmic, intranasal, and other routes of mucosal delivery of corticosteroids for indications other than PsA and/or psoriasis are allowed throughout the course of the study.

### **6.8.3. Nonsteroidal Anti-inflammatory Drugs and Other Analgesics**

For participants receiving NSAIDs, including aspirin and selective cyclooxygenase two inhibitors, or other analgesics for PsA at baseline, treatment with a stable dose of NSAIDs or other analgesics should have been initiated at least 2 weeks prior to the first administration of study intervention and continue through Week 24 unless EE at Week 16. The dose administered should be the usual marketed dose approved in the country where the study is being conducted.

The use of topical analgesics including capsaicin and diclofenac is allowed and should be recorded in the eCRF. For topical and analgesic patches, the dose should be stable through Week 24 and may be changed only if the participant develops unacceptable side effects.

Participants not receiving NSAIDs or other analgesics for PsA at baseline must have discontinued NSAIDs or other analgesics at least 2 weeks prior to the first administration of study intervention and must not receive NSAIDs or other analgesics for PsA through Week 24 of the study. An exception is made for participants who qualify for EE at Week 16.

Starting at Week 24, participants with ongoing PsA disease activity can initiate or increase the dose of NSAIDs or other analgesics based on the investigator's clinical judgment.

At any time during the study, the dose of NSAIDs or other analgesics may be reduced or temporarily discontinued due to abnormal laboratory values, side effects, concurrent illness, or the performance of a surgical procedure.

Use of NSAIDs and other analgesics for indications other than PsA are permitted throughout the study.

### **6.8.4. Biologic Agents, Cytotoxic Drugs, JAK Inhibitors, or Investigational Agents**

The concomitant use of biologic agents, cytotoxic agents, JAK inhibitors, and/or investigational drugs is not allowed, with the exception of limited emergency use for treatment of COVID-19 infection. Biologic agents include, but are not limited to golimumab, anakinra, etanercept, adalimumab, infliximab, ustekinumab, alefacept, efalizumab, rituximab, natalizumab, certolizumab pegol, tildrakizumab, secukinumab, ixekizumab, brodalumab, and respective biosimilars as applicable. Cytotoxic agents include, but are not limited to chlorambucil, cyclophosphamide, nitrogen mustard, and other alkylating agents. JAK inhibitors include, but are not limited to tofacitinib, baricitinib, filgotinib, peficitinib, upadacitinib and decernotinib. If any of these medications are used, study intervention must be permanently discontinued, with the exception of limited emergency use for treatment of COVID-19 infection. Continuation of study intervention in such cases should be after an appropriate wash-out period and in consultation with the Sponsor's medical monitor.

### **6.8.5. Complementary Therapies**

The use of complementary therapies, including ayurvedic medicine, traditional Chinese medications or non-medicinal therapy such as acupuncture for PsA or psoriasis, is not allowed from 2 weeks prior to the first administration of study intervention through Week 48.

### **6.8.6. Topical Therapy and Ultraviolet B Light**

Concurrent use of topical medications/treatments for psoriasis (eg, topical or intralesional corticosteroids, keratolytics (with the exception of salicylic acid shampoos, which are allowed throughout the study), coal tar (with the exception of coal tar shampoos, which are allowed throughout the study), anthralin, vitamin D3 analogues, or topical tacrolimus, and retinoids) are not permitted through Week 24.

Use of salicylic acid- and tar-containing shampoos is not permitted on the morning prior to a study visit; non-medicated shampoos may be used on the day of a study visit.

Low-potency topical corticosteroids may be used on the face and groin only. Low and mid-potency topical or intralesional corticosteroids (Class III-VII) may be used after Week 24 for psoriasis. High and ultra-high potency corticosteroids (Class I and II) are prohibited through Week 48.

Phototherapy including UVB or tanning beds are not permitted during the study through Week 168. Participants should be encouraged to avoid prolonged sun exposure during the study.

#### **6.8.6.1. Systemic Therapy for Psoriasis**

Concurrent use of systemic therapy for psoriasis (eg, psoralen with ultraviolet light A, systemic retinoids, cyclosporine or tacrolimus, with the exception of those in [Table 3](#)) must be discontinued at least 4 weeks prior to the first administration of study intervention and is not permitted through Week 168; if a systemic antipsoriatic treatment (except those in [Table 3](#)) is initiated during the study, study intervention must be permanently discontinued.

### **6.8.7. Vaccinations (Including COVID-19)**

When considering use of locally-approved non-live vaccines (including emergency use-authorized COVID-19 vaccines) in study participants, follow applicable local vaccine labelling, guidelines, and standard of care for participants receiving immune-targeted therapy.

For study participants receiving a locally-approved (including emergency use-authorized) COVID-19 vaccine, in order to help identify acute reactions potentially related to the COVID-19 vaccine, it is recommended where possible that the vaccine and study intervention be administered on different days, separated by as large an interval as is practical within the protocol.

## 7. DISCONTINUATION OF STUDY INTERVENTION AND PARTICIPANT DISCONTINUATION/WITHDRAWAL

### 7.1. Discontinuation of Study Intervention

A participant's study intervention must be discontinued if:

- The participant withdraws consent to receive study intervention.
- The investigator believes that for safety reasons or tolerability reasons (eg, AE) it is in the best interest of the participant to discontinue study intervention.
- The participant becomes pregnant or plans to become pregnant within the study period. Refer to Appendix 5 [Section 10.5].
- A systemic opportunistic infection.
- The participant is unable to adhere to the study visit schedule or comply with protocol requirements.
- The participant is deemed ineligible according to the following TB screening criteria:
  - A diagnosis of active TB is made.
  - A participant has symptoms suggestive of active TB based on follow-up assessment questions and/or physical examination or has had recent close contact with a person with active TB and cannot or will not continue to undergo additional evaluation.
  - A participant undergoing evaluation has a chest radiograph with evidence of current active TB and/or a positive QuantiFERON®-TB test result and/or 2 indeterminate QuantiFERON®-TB test results on repeat testing (refer to Section 8.2.11) (and/or a positive tuberculin skin test result in countries in which the QuantiFERON®-TB test is not approved/registered or the tuberculin skin test is mandated by local health authorities).
  - The frequency of TB testing can be increased depending on local health authority requirements. Note: In Ukraine, while the QuantiFERON-TB® test is not approved/registered, it is acceptable, and an additional tuberculin skin test is not required.
  - A participant receiving treatment for latent TB discontinues this treatment prematurely or is noncompliant with the therapy.
- The participant has a serious adverse reaction that is temporally related to an injection including a hypersensitivity reaction resulting in bronchospasm, wheezing and/or dyspnea that requires ventilatory support OR that results in symptomatic hypotension with a decrease in systolic blood pressure of 30% from a participant's baseline value or systolic blood pressure <90 mm Hg (Sampson 2006). In general, discontinuation of study intervention administration must be considered for participants who develop a severe injection-site reaction.
- The participant has a reaction resulting in myalgia and/or arthralgia with fever and/or rash (suggestive of serum sickness and not representative of signs and symptoms of other recognized clinical syndromes) occurring 1 to 14 days after an injection of study intervention. These may be accompanied by other events including pruritus, facial, hand, or lip edema, dysphagia, urticaria, sore throat, and/or headache.

- The participant has a malignancy including squamous cell skin cancer. Consideration may be given to allowing participants who develop  $\leq 2$  basal cell skin cancers that are adequately treated with no evidence of residual disease to continue to receive study intervention, after consultation with Sponsor medical monitor or designee.
- The participant has severe liver test abnormalities that are not transient and are not explained by other etiologies, as described in Appendix 8 (Section 10.8).
- The Sponsor may elect to terminate the study at any time, and if the Sponsor decides not to continue development for any reason, study medication/drug will no longer be provided to any participants, including those in the LTE.

**Discontinuation of a participant's study intervention should be considered under the following conditions:**

- If the participant initiates treatment with prohibited therapies, the medical monitor or designee should be notified for possible discontinuation of study intervention.
- Recurrent or chronic serious infection.
- The participant develops a serious infection, including but not limited to sepsis or pneumonia. Note: Any serious infection should be discussed with the medical monitor or designee, and study intervention should be withheld until the clinical assessment is complete.
- Discontinuation of study treatment should be considered for participants who report suicidal Ideation level 4 (some intent to act, no plan), Ideation level 5 (specific plan and intent), or any suicidal behavior (actual suicide attempts, interrupted attempts, aborted attempts, or preparatory actions) on a postbaseline (after Week 0) eC-SSRS assessment. Discussion of such participants with the medical monitor or designee is required.
- The participant develops a severe injection-site reaction, but not meeting criteria specified above.

If a participant discontinues study intervention for any reason before the end of the double-blind phase, they should be encouraged to continue in the study.

Study intervention assigned to the participant who discontinued study intervention may not be assigned to another participant. Additional participants may be entered to ensure the protocol-specified number of patients complete the study.

### **7.1.1. Liver Chemistry Stopping Criteria**

Discontinuation of study intervention for abnormal liver tests is required by the investigator when a participant meets one of the conditions in Appendix 8A (Section 10.8) or in the presence of abnormal liver chemistries not meeting protocol-specified stopping rules if the investigator believes that it is in best interest of the participant.

Refer to Appendix 8B (Section 10.8) for follow-up assessments (and study intervention restart guidelines) following abnormal liver test results. Study Sponsor must provide written approval before study intervention is restarted.

## 7.2. Participant Discontinuation/Withdrawal from the Study

A participant will be withdrawn from the study for any of the following reasons:

- Lost to follow-up
- Withdrawal of consent
- Death
- Sponsor decision (eg, participating in any other clinical study with an investigational agent)

When a participant withdraws before study completion, the reason for withdrawal is to be documented in the eCRF and in the source document. If the reason for withdrawal from the study is withdrawal of consent, then no additional assessments are allowed.

### **Discontinuation of Study Drug Intervention**

If a participant discontinues study drug intervention, they should be encouraged to return for all remaining core study visits; It is particularly important to return for all visits through Week 24.

#### ***Prior to Week 24***

If a participant discontinues study intervention before the Week 24 visit, the participant should return for all visits through Week 24. The final safety assessments will be conducted at the next scheduled visit that occurs approximately 12 weeks after the last dose of study administration of study intervention. If a participant discontinues before the Week 24 visit and after the Week 12 study intervention administration, the participant should return for the Week 24 visit and also 12 weeks after the last administration of study intervention for a final safety visit.

For participants who discontinue study intervention prior to Week 24, radiographs of the hands and feet should still be performed at the Week 24 visit.

#### ***At or After Week 24 and Prior to Week 48***

If a participant discontinues study intervention at or after Week 24 and is unable to return for all visits through Week 48, the final efficacy visit should occur at the time of discontinuation or as soon as possible and all assessments under the Week 48/final efficacy should be performed including radiographs of hands and feet, (unless another set of radiographs has been obtained within the past 6 weeks) with the exception of study intervention administration. The participant should also return for a final safety visit approximately 12 weeks after the last study intervention administration.

#### ***At or After Week 48 and Prior to Week 156***

If a participant discontinues study intervention at or after Week 48 and is unable to return for all visits through Week 156, the final efficacy visit should occur at the time of discontinuation or as soon as possible and all assessments under the Week 156/final efficacy should be performed including radiographs of hands and feet, (unless another set of radiographs has been obtained within the past 6 weeks) with the exception of study intervention administration. The participant

should also return for a final safety visit approximately 12 weeks after the last study intervention administration.

## **Withdrawal of Consent**

A participant declining to return for scheduled visits does not necessarily constitute withdrawal of consent. Alternate follow-up mechanisms that the participant agreed to when signing the consent form apply as local regulations permit.

Withdrawal of consent should be an infrequent occurrence in clinical studies (Rodriguez 2015), therefore, prior to the start of the study the Sponsor and the investigator should discuss and reach a clear understanding of what constitutes withdrawal of consent in the context of the available reduced follow-up mechanisms listed.

## **Circumstances for Reduced Follow-up**

In the situation where a participant may be at risk for withdrawal of consent and is unable to return for scheduled visits at the protocol-defined frequency, the investigator may consider options for reduced follow-up with consultation of the Sponsor/designee and/or medical monitor. These may include (as local regulations permit):

- Less frequent clinical visits
- Telephone, email, letter, social media, fax, or other contact with:
  - participant
  - relatives of the participant
  - participant's physicians (general or specialist)
- Review of any available medical records

Details regarding these contacts must be properly documented in source records including responses by participants.

### **7.2.1. Withdrawal from the Use of Research Samples**

A participant who withdraws from the study will have the following options regarding the optional research samples:

- The collected samples will be retained and used in accordance with the participant's original separate informed consent for optional research samples.
- The participant may withdraw consent for optional research samples, in which case the samples will be destroyed, and no further testing will take place. To initiate the sample destruction process, the investigator must notify the Sponsor study site contact of withdrawal of consent for the optional research samples and to request sample destruction. The Sponsor study site contact will, in turn, contact the biomarker representative to execute sample destruction. If requested, the investigator will receive written confirmation from the Sponsor that the samples have been destroyed.

## **Withdrawal from the Optional Research Samples While Remaining in the Main Study**

The participant may withdraw consent for optional research samples while remaining in the study. In such a case, the optional research samples will be destroyed. The sample destruction process will proceed as described above.

## **Withdrawal from the Use of Samples in Future Research**

The participant may withdraw consent for use of samples for research (refer to Appendix 6 [Section 10.6.5]). In such a case, samples will be destroyed after they are no longer needed for the clinical study. Details of the sample retention for research are presented in the main ICF and in the separate ICF for optional research samples.

### **7.3. Lost to Follow-up**

To reduce the chances of a participant being deemed lost to follow-up, prior to randomization attempts should be made to obtain contact information from each participant, eg, home, work, and mobile telephone numbers and email addresses for both the participant as well as appropriate family members.

A participant will be considered lost to follow-up if the participant repeatedly fails to return for scheduled visits and is unable to be contacted by the study site. A participant cannot be deemed lost to follow-up until all reasonable efforts made by the study site personnel to contact the participant are deemed futile. The following actions must be taken if a participant fails to return to the study site for a required study visit:

- The study site personnel must attempt to contact the participant to reschedule the missed visit as soon as possible, to counsel the participant on the importance of maintaining the assigned visit schedule, to ascertain whether the participant wishes to or should continue in the study.
- Before a participant is deemed lost to follow up, the investigator or designee must make every reasonable effort to regain contact with the participant (where possible, 3 telephone calls, e-mails, fax, and, if necessary, a certified letter to the participant's last known mailing address, or local equivalent methods). These contact attempts should be documented in the participant's medical records.
- Should the participant continue to be unreachable, they will be considered to have withdrawn from the study.

Should a study site close, eg, for operational, financial, or other reasons, and the investigator cannot reach the participant to inform them, their contact information will be transferred to another study site.

## **8. STUDY ASSESSMENTS AND PROCEDURES**

### **Overview**

The SoA (Section 1.3) summarizes the frequency and timing of efficacy, PK, immunogenicity, PD, biomarker, pharmacogenomic, and safety measurements applicable to this study.

To minimize unnecessary x-rays, it is recommended that participants have the baseline radiographs of hands and feet taken after the other inclusion and exclusion criteria have been checked and the participant appears eligible to enter the study. All eligible participants should have radiographs taken approximately 2 weeks but not greater than 4 weeks prior to randomization.

All PRO assessments should be conducted/completed before any tests, procedures, or other consultations to prevent influencing participant responses. Refer to the PRO completion guidelines for instructions on the administration of PROs.

Urine and blood collections for PK and PD assessments should be kept as close to the specified time as possible. Other measurements may be done earlier than specified time points if needed. Actual dates and times of assessments will be recorded in the source documentation and eCRF.

Health economics data will be collected. Refer to Section 8.8, for details.

The total blood volume to be collected from each participant will be approximately 500 mL.

Repeat or unscheduled samples may be taken for safety reasons or for technical issues with the samples.

### **Sample Collection and Handling**

The actual dates and times of sample collection must be recorded in the eCRF or laboratory requisition form.

Refer to the SoA (Section 1.3) for the timing and frequency of all sample collections.

Instructions for the collection, handling, storage, and shipment of samples are found in the laboratory manual that will be provided. Collection, handling, storage, and shipment of samples must be under the specified, and where applicable, controlled temperature conditions as indicated in the laboratory manual.

### **Study-Specific Materials**

The investigator will be provided with the following supplies:

- Investigator Site File (includes protocol and IB for guselkumab)
- Study site investigational product and procedures manual
- Laboratory manual and laboratory supplies
- ePRO device and user manual
- IWRS Manual
- Sample ICF
- eCRF completion instructions
- Participant recruitment materials

- Participant diary cards
- Imaging manual

## 8.1. Efficacy Assessments

### 8.1.1. Psoriatic Arthritis Response Evaluations

#### 8.1.1.1. Joint Count (Tender and Swollen)

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An independent joint assessor (IJA) with adequate training and experience in performing joint assessments will be designated at each study site to perform all joint assessments. The IJA should preferably be a rheumatologist but if a rheumatologist is not available, it should be a health care provider with at least 1 year of experience in performing joint assessments. Health care providers with less than 1 year of experience may serve as an IJA based upon the discretion and approval of the Sponsor. It is strongly recommended that the designated IJA identify an appropriate back-up IJA for coverage in the event of absences of the designated IJA. It is strongly recommended that the same IJA who performs the baseline joint assessments for a participant should also perform the joint assessments for that participant at every subsequent visit through Week 156.

Through Week 156, the IJA should have no other contact (other than joint assessments) with the participant once the participant is randomized, should not be the treating physician, should not discuss the participant's clinical status with the participant or other site personnel during the joint assessment, and will not be permitted to review the participants medical records or the eCRFs, or any of the previous joint assessments. The IJA should maintain a neutral attitude during joint assessment and should limit interactions with the participant only to activities associated with performing joint assessment or enthesitis or dactylitis assessments.

The IJA will perform only joint assessments and enthesitis and dactylitis assessments; this individual will not perform or assist in any other assessments in this study including but not limited to administering participant and/or performing physician global assessments or administering study intervention.

The Sponsor will provide training for each site's designated IJA prior to the screening of the first participant at each site. A back-up IJA must complete training before performing a joint assessment for a participant's study visit.

If an IJA was trained by the Sponsor (with joint assessments) in a previous clinical study within the last 3 years and there is adequate documentation of this training (certification), that training will be considered adequate for this study; however, repeat training prior to start of the study is encouraged. Training documentation of each IJA must be maintained at the study site.

All IJA performing the joint evaluation at a site must be listed on the Delegation Log at the study site.

### 8.1.1.2. Nonevaluable Joints

Joints should only be designated as “non-evaluable” by the IJA if it is physically impossible to assess the joint (ie, joint inaccessible due to a cast, joint not present due to an amputation, artificial joint, or a recent wound near or at the joint so as to make it impossible to assess). In all other cases, the IJA should assess each joint for tenderness and swelling (hips are excluded for swelling). This should be completed regardless of any visual indications of prior surgeries (eg, scars) or knowledge they may have of a participant’s prior joint procedures/injections (eg, if the participant was the IJA’s patient prior to study participation).

### 8.1.1.3. American College of Rheumatology Response

American College of Rheumatology (ACR) responses are presented as the numerical measurement of improvement in multiple disease assessment criteria. For example, an ACR 20 response (Felson 1995) is defined as:

C [REDACTED]  
C [REDACTED]  
I [REDACTED]  
  
I [REDACTED]  
I [REDACTED]  
I [REDACTED]  
I [REDACTED]  
I [REDACTED]  
I [REDACTED]  
  
[REDACTED]

### 8.1.1.4. Dactylitis Score

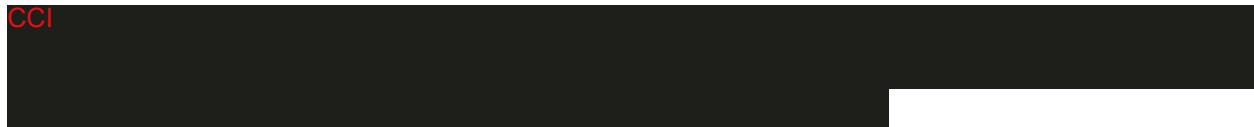
CCI [REDACTED]

The Sponsor will provide dactylitis assessment training. Documentation of this training will be maintained in the study site’s training files. Previous dactylitis assessment training by the Sponsor within the last 3 years with adequate documentation (eg, training certification) will be considered adequate for this study; however, repeat training prior to start of the study is encouraged.

It is strongly recommended that the same person who performs the baseline dactylitis assessments for a participant should also perform the dactylitis assessments for that participant at every subsequent visit through Week 156.

### 8.1.1.5. Enthesitis Assessments

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The Sponsor will provide enthesitis assessment training. Documentation of this training will be maintained in the study site's training files. Previous enthesitis assessment (LEI) training by the Sponsor within the last 3 years with adequate documentation (eg, training certification) will be considered adequate for this study; however, repeat training prior to start of the study is encouraged.

It is strongly recommended that the same person who performs the baseline enthesitis assessments for a participant should also perform the enthesitis assessments for that participant at every subsequent visit through Week 156.

### 8.1.1.6. Imaging Evaluation

Single radiographs of the hands (posteroanterior) and feet (anteroposterior) will be performed at visits as specified in the SoA (Section 1.3). To minimize unnecessary x-rays, it is recommended that participants have the baseline radiographs of hands and feet taken after the other inclusion and exclusion criteria have been checked and the participant appears eligible to enter the study. All eligible participants should have radiographs taken approximately 2 weeks but not greater than 4 weeks prior to randomization; and at Week 24, Week 48, Week 96, and Week 156 within  $\pm$  2 weeks of the scheduled visit.

#### *Participants who Discontinue Study Intervention*

For participants who discontinue study intervention prior to Week 24, radiographs of the hands and feet should be performed at Week 24.

For participants who permanently discontinue study intervention after Week 24 but prior to Week 156, radiographs of hands and feet should be performed at the time of discontinuation of study intervention or as soon as possible unless another set of radiographs has been obtained within the past 6 weeks.

#### *Participants Who Discontinue Study Participation*

For participants who discontinue study participation at any time during the study radiographs of the hands and feet should be performed at the time of study discontinuation unless another set of radiographs has been obtained within the past 6 weeks.

The radiographs will be evaluated by central independent readers and scored using total modified vdH-S score. Total modified vdH-S is an original vdH-S score ([Van der Heijde 1992](#)), modified

for the purpose of PsA radiological damage assessment, by addition of distal interphalangeal joints of the hands and assessment of pencil in cup and gross osteolysis deformities. The total modified vdH-S score includes the joint erosion score and the JSN score. The joint erosion score is a summary of erosion severity in 40 joints of the hands and 12 joints in the feet. Each hand joint is scored, according to surface area involved, from 0 indicating no erosion through 5 indicating extensive loss of bone from more than one half of the articulating bone. Because each side of the foot joint is graded on this scale, the maximum erosion score for a foot joint is 10. Thus, the maximal erosion score is 320. The JSN score summarizes the severity of JSN in 40 joints in the hands and 12 joints of the feet. Assessment of JSN is scored from 0 through 4, with 0 indicating no JSN and with 4 indicating complete loss of joint space, bony ankylosis, or complete luxation. Thus, the maximal JSN score is 208 and 528 is the worst possible total modified vdH-S score for PsA.

Detailed information on the acquisition of radiographs will be provided in an Imaging Manual and the Central Read Campaigns will be defined in the Imaging Charter.

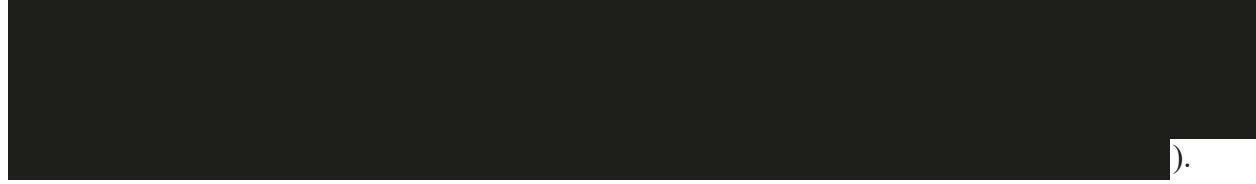
#### **8.1.1.7. Disability Index of the Health Assessment Questionnaire**

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#### **8.1.1.8. Minimal Disease Activity**

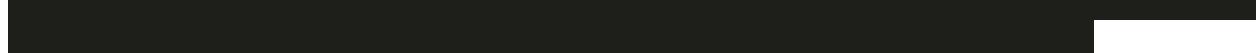
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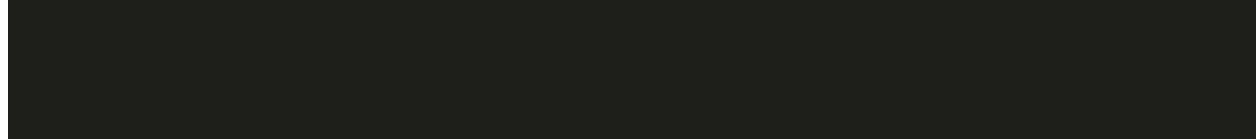
#### **8.1.1.9. Very Low Disease Activity**

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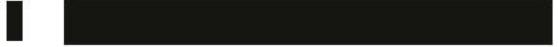


#### **8.1.1.10. Disease Activity Score 28**

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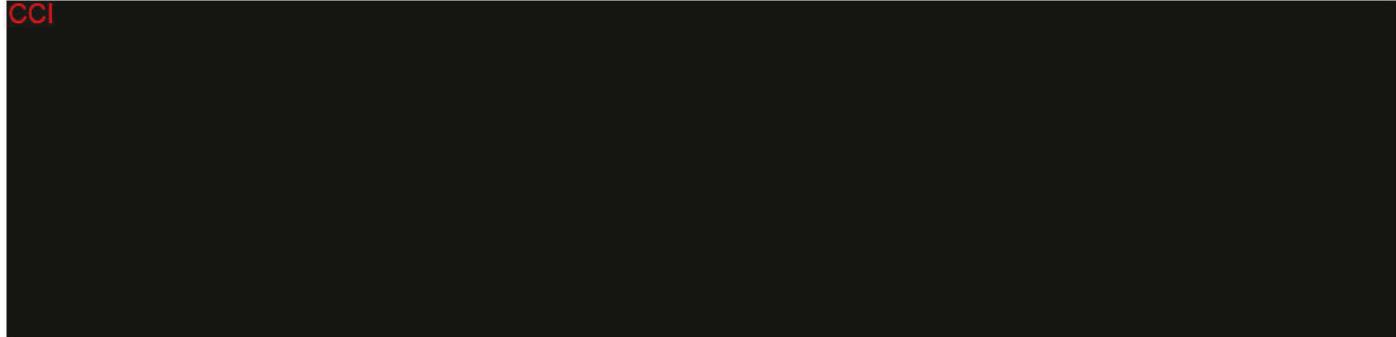


#### 8.1.1.11. Modified Composite Psoriatic Disease Activity Index

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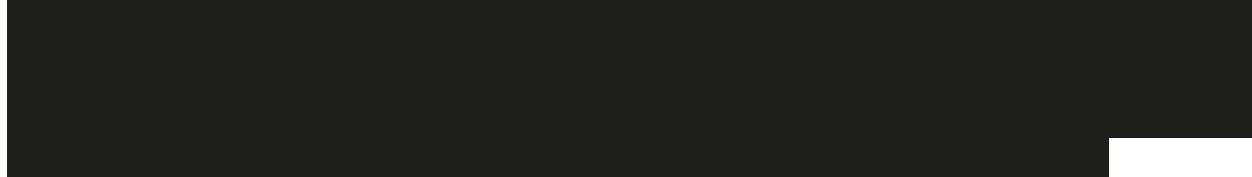


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**8.1.1.12. Disease Activity Index for Psoriatic Arthritis**

CCI

**8.1.1.13. Psoriatic Arthritis Responder Criteria**

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**8.1.1.14. Modified Nail Psoriasis Severity Index**

CCI

**8.1.1.15. Physician's Global Assessment of Fingernail Psoriasis**

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**8.1.2. Psoriasis Response Evaluations****8.1.2.1. Psoriasis Area and Severity Index**

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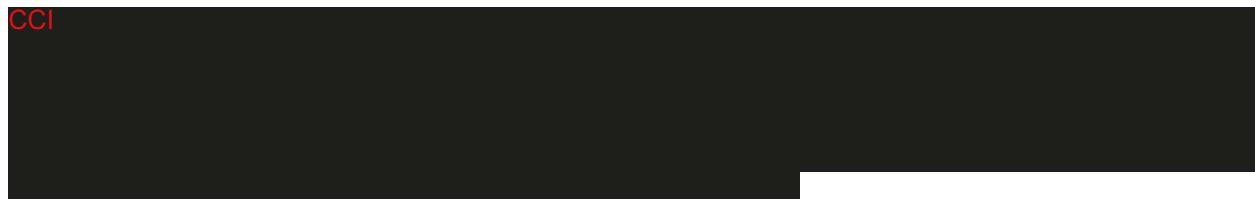


Every effort should be made to ensure that the physician or designee who performed the PASI evaluations for a participant at baseline should also perform the PASI evaluations for the participant at all subsequent visits through Week 48. The Sponsor will provide PASI training.

Documentation of this training will be maintained in the site's training files. Previous PASI training by the Sponsor within the last 3 years with adequate documentation (eg, training certification) will be considered adequate for this study; however, repeat training prior to start of the study is encouraged.

#### **8.1.2.2. Investigator's Global Assessment of Psoriasis**

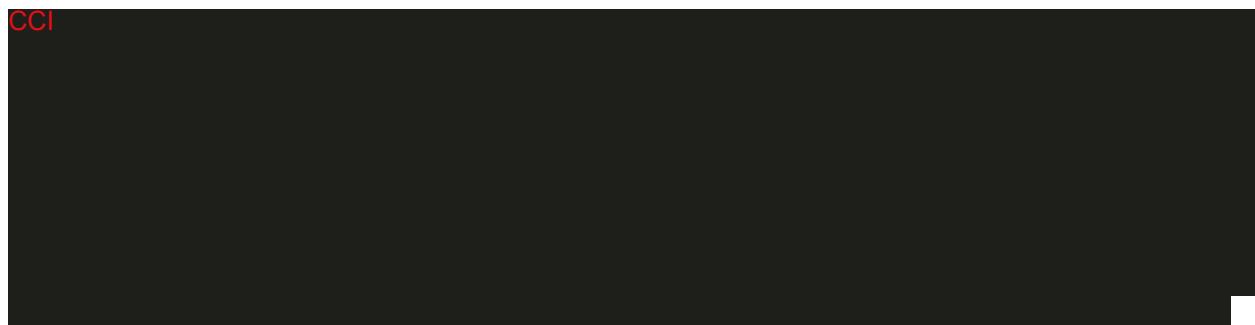
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Every effort should be made to ensure that the physician or designee who performed the IGA evaluations for a participant at baseline should also perform the IGA evaluations for the participant at all subsequent visits through Week 156. The Sponsor will provide IGA training. Documentation of this training will be maintained in the site's training files. Previous IGA training by the Sponsor within the last 3 years with adequate documentation (eg, training certification) will be considered adequate for this study; however, repeat training prior to start of the study is encouraged.

#### **8.1.2.3. Dermatology Life Quality Index**

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#### **8.1.3. Other Patient Reported Outcomes**

##### **8.1.3.1. PsA Impact of Disease-12**

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##### **8.1.3.2. Functional Assessment of Chronic Illness Therapy - Fatigue**

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## 8.2. Safety Assessments

Adverse events will be reported and followed by the investigator as specified in Section 8.3 and Appendix 7 (Section 10.7).

Any clinically relevant changes occurring during the study must be recorded on the Adverse Event section of the eCRF.

Any clinically significant abnormalities persisting at the end of the study/early withdrawal will be followed by the investigator until resolution or until a clinically stable condition is reached.

The study will include the following evaluations of safety and tolerability according to the time points provided in the SoA (Section 1.3).

### 8.2.1. Physical Examinations

Physical examinations will be performed by the investigator or designated physician as specified in the SoA (Section 1.3). Any abnormalities or changes in severity noted during the review of body systems should be documented in the source document.

### 8.2.2. Vital Signs

Pulse/heart rate and blood pressure will be assessed at each visit.

Blood pressure and pulse/heart rate measurements will be assessed with a completely automated device. Manual techniques will be used only if an automated device is not available.

Wherever possible, blood pressure and pulse/heart rate measurements should be preceded by at least 5 minutes of rest in a quiet setting without distractions (eg, television, cell phones).

If any clinically significant changes in vital signs are noted, they must be reported as AEs and followed to resolution, or until reaching a clinically stable endpoint.

### 8.2.3. Height and Weight

Height and weight will be measured as specified in the SoA (Section 1.3).

### 8.2.4. Electrocardiograms

A 12-lead electrocardiogram (ECG) will be performed as specified in the SoA (Section 1.3).

During the collection of ECGs, participants should be in a quiet setting without distractions (eg, television, cell phones). Participants should rest in a supine position for at least 5 minutes

before ECG collection and should refrain from talking or moving arms or legs. If blood sampling or vital sign measurement is scheduled for the same time point as ECG recording, the procedures should be performed in the following order: ECG(s), vital signs, blood draw.

### **8.2.5. Clinical Safety Laboratory Assessments**

Blood samples for serum chemistry and hematology will be collected as noted in Appendix 2 (Section 10.2). The investigator must review the laboratory results, document this review, and record any clinically relevant changes occurring during the study in the AE section of the eCRF. The laboratory reports must be filed with the source documents.

The tests that will be performed by the central laboratory unless otherwise specified or approved by the medical monitor are specified in Appendix 2 (Section 10.2).

### **8.2.6. Electronic Columbia-Suicide Severity Rating Scale**

No signal of suicidal ideation and behavior has been observed in the clinical trials of guselkumab to date. However, in light of reports concerning suicidal ideation and behavior in patients with plaque psoriasis treated with an IL-17R antagonist (brodalumab), the eC-SSRS will be used as a screening tool to prospectively evaluate suicidal ideation and behavior among study participants.

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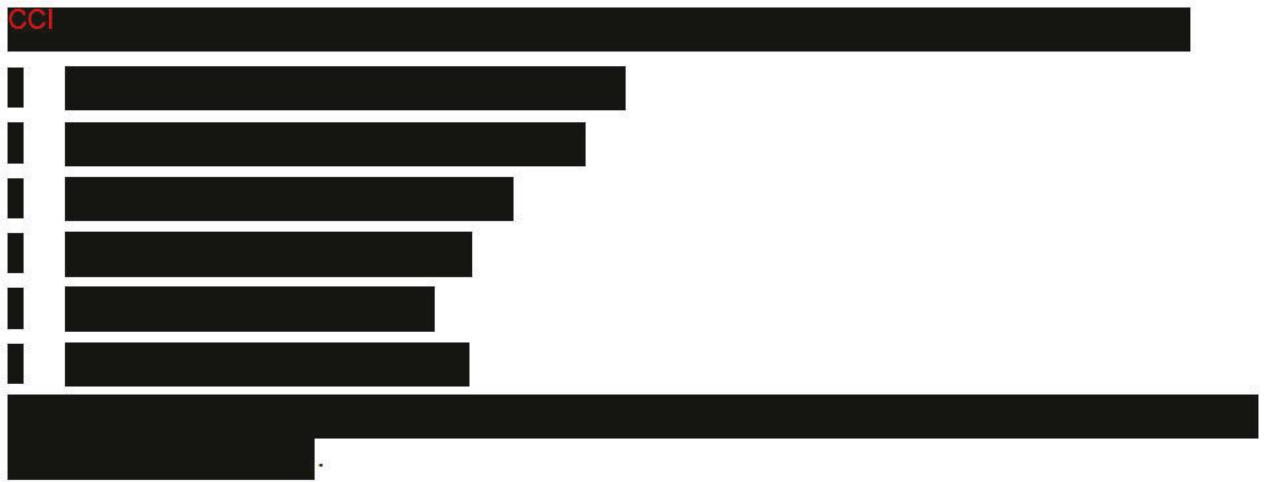
Participants will complete the eC-SSRS questionnaire using the sponsor-provided electronic device. Study site personnel will train the participants on how to use the electronic device. The eC-SSRS will be provided in the local languages in accordance with local guidelines.

The eC-SSRS will be performed during each evaluation visit according to the SoA (Section 1.3). During a visit, participants will be directed to a private, quiet place with the electronic device to complete the assessment. Participants who do not have suicidal behavior or ideation will answer a limited number of questions and will usually complete the assessment in about 3 minutes. Participants with significant suicidal ideation and behavior may require up to 10 minutes to answer all relevant questions. At the screening visit, the eC-SSRS should be completed after the joint assessment and physician's global assessment of disease activity (after signing informed consent). For subsequent visits, the eC-SSRS should be completed as the first assessment/questionnaire prior to all PROs and before any other tests, procedures, or other consultations.

At the conclusion of each assessment, the eC-SSRS Findings Report can be viewed electronically. At screening (“In the last 6 months”) and Week 0, participants with an Ideation level (1-5) or any suicidal behaviors or with a response of “YES” for non-suicidal, self-injurious behavior must be determined to be not at risk by the investigator based on an evaluation by a mental health

professional in order to be randomized. Participants with an Ideation level (1-5) on the eC-SSRS or any suicidal behaviors or with a response of “YES” for non-suicidal, self-injurious behavior at any postbaseline visit will also be referred to an appropriate mental health professional for evaluation. If a participant’s psychiatric disorder can be adequately treated with psychotherapy and/or pharmacotherapy then the participant, at the discretion of the investigator, should be continued with treatment. Ultimately, the determination of suicidality and risk is up to the investigator’s clinical judgment following evaluation by a mental health professional (eg, psychiatrist, psychologist, or appropriately trained social worker or nurse).

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The participant should not leave the site at screening or be dosed at dosing visits before the eC-SSRS Findings Report (both for negative and positive reports) is reviewed by the investigator and the participant’s risk has been assessed and follow-up determined, as appropriate.

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Interruption or the discontinuation of study treatment should be considered for any participant who reports suicidal Ideation level 4 (some intent to act, no plan), Ideation level 5 (specific plan and intent), or any suicidal behavior (actual suicide attempts, interrupted attempts, aborted attempts, or preparatory actions) on a postbaseline (after Week 0) eC-SSRS assessment and who is deemed

to be at risk by the investigator based upon evaluation by a mental health professional. Discussion of such participants with the medical monitor or designee is required (see Section 7.1). The final decision on suitability for continuing in the study will be made by the investigator.

Any eC-SSRS findings, which in the opinion of the investigator are new or considered to be a worsening and clinically significant, should be reported on the AE eCRF (see Appendix 7 [Section 10.7]).

### **8.2.7. Concomitant Medication Review**

Concomitant medications will be reviewed at each visit and recorded in the source documents and eCRF.

### **8.2.8. Injection-Site Reactions**

A study intervention injection-site reaction is any adverse reaction at a SC study intervention injection-site. The injection sites will be evaluated for reactions and any injection-site reaction will be recorded as an AE.

### **8.2.9. Hypersensitivity Reactions**

Before any administration of study intervention at the study site, appropriately trained personnel and medications (eg, antihistamines, injectable epinephrine) must be available to treat hypersensitivity reactions, including anaphylaxis. All participants must be observed carefully for signs and symptoms of a hypersensitivity reaction (eg, urticaria, pruritis, angioedema, wheezing, dyspnea, or hypotension).

### **8.2.10. Infections**

Investigators are required to evaluate participants for any signs or symptoms of infection at every scheduled visit. Study intervention administration should not be given to a participant with a clinically significant, active infection. If a participant develops a serious infection, including but not limited to sepsis or pneumonia, discontinuation of study intervention must be strongly considered (Section 7.1). Any serious infection should be discussed with the medical monitor or designee, and study intervention should be withheld until the clinical assessment is complete.

### **8.2.11. Tuberculosis Evaluations**

#### **8.2.11.1. Initial Tuberculosis Evaluation**

Participants must undergo testing for TB (refer to Appendix 3 [Section 10.3]) and their medical history assessment must include specific questions about a history of TB or known occupational or other personal exposure to individuals with active TB. The participant should be asked about past testing for TB, including chest radiograph results and responses to tuberculin skin or other TB testing. Investigators have the option to use both the QuantiFERON-TB® test and the tuberculin skin test to screen for latent TB if they believe, based on their judgment, that the use of both tests is clinically indicated to evaluate a participant who is high risk of having latent TB. If either the QuantiFERON-TB® test or the tuberculin skin test is positive, the participant is considered to have latent TB infection for the purposes of eligibility for this study.

Participants with a negative QuantiFERON-TB® test result (and a negative tuberculin skin test result in countries in which the QuantiFERON-TB® test is not approved/registered or the tuberculin skin is mandated by local health authorities) are eligible to continue with pre-randomization procedures. Participants with a newly identified positive QuantiFERON-TB® test result must undergo an evaluation to rule out active TB and initiate appropriate treatment for latent TB. Appropriate treatment for latent TB is defined according to local country guidelines for immunocompromised patients. If no local country guidelines for immunocompromised patients exist, US guidelines should be followed, or the participant will be excluded from the study.

A participant whose first QuantiFERON-TB® test result is indeterminate should have the test repeated. In the event that the second QuantiFERON-TB® test result is also indeterminate, the participant may be enrolled without treatment for latent TB if active TB is ruled out, their chest radiograph shows no abnormality suggestive of TB (active or old, inactive TB) and the participant has no additional risk factors for TB as determined by the investigator. This determination must be promptly reported to the Sponsor's or designee's medical monitor and recorded in the participant's source documents and initialed by the investigator.

### **8.2.11.2. Ongoing Tuberculosis Evaluation**

#### **Early Detection of Active Tuberculosis**

To aid in the early detection of TB reactivation or new TB infection during study participation, participants must be evaluated for signs and symptoms of active TB at scheduled visits (refer to the SoA in Section 1.3) or by telephone contact approximately every 8 to 12 weeks. The following series of questions is suggested for use during the evaluation:

- “Have you had a new cough of >14 days’ duration or a change in a chronic cough?”
- “Have you had any of the following symptoms:
  - Persistent fever?
  - Unintentional weight loss?
  - Night sweats?”
- “Have you had close contact with an individual with active TB?” (If there is uncertainty as to whether a contact should be considered “close,” a physician specializing in TB should be consulted.)

If the evaluation raises suspicion that a participant may have TB reactivation or new TB infection, an immediate and thorough investigation should be undertaken, including, where possible, consultation with a physician specializing in TB.

Investigators should be aware that TB reactivation in immunocompromised participants may present as disseminated disease or with extrapulmonary features. Participants with evidence of active TB should be referred for appropriate treatment.

Participants who experience close contact with an individual with active TB during the conduct of the study must have a repeat chest radiograph, a repeat QuantiFERON®-TB test, a repeat tuberculin

skin test in countries in which the QuantiFERON®-TB test is not approved/registered or the tuberculin skin test is mandated by local health authorities, and, if possible, referral to a physician specializing in TB to determine the participant's risk of developing active TB and whether treatment is warranted. Study intervention administration should be interrupted during the investigation. A positive QuantiFERON®-TB test or tuberculin skin test result should be considered detection of latent TB. Participants with a newly identified positive QuantiFERON-TB® test result must undergo an evaluation to rule out active TB and initiate appropriate treatment for latent TB. Appropriate treatment for latent TB is defined according to local country guidelines for immunocompromised patients. If no local country guidelines for immunocompromised patients exist, US guidelines should be followed, or the participant will be excluded from the study. If the QuantiFERON-TB® test result is indeterminate, the test should be repeated. Participants should be encouraged to return for all subsequent scheduled study visits according to the protocol. Participants who discontinue treatment for latent TB prematurely or who are noncompliant with therapy must immediately discontinue further administration of study intervention and be encouraged to return for all subsequent scheduled study visits according to the SoA (Section 1.3).

### **8.2.12. Pregnancy Testing**

Women of childbearing potential must have a negative serum pregnancy test at screening and a negative urine pregnancy test at baseline before randomization. Additionally, urine pregnancy testing is required for all women of childbearing potential at every study intervention administration visit. Pregnancy tests must be completed, and the result must be negative before the administration of any intervention for that visit. All pregnancy test results must be recorded in study source documents.

## **8.3. Adverse Events, Serious Adverse Events, and Other Safety Reporting**

Timely, accurate, and complete reporting and analysis of safety information, including AEs, SAEs, and PQC, from clinical studies are crucial for the protection of participants, investigators, and the Sponsor, and are mandated by regulatory agencies worldwide. The Sponsor has established Standard Operating Procedures in conformity with regulatory requirements worldwide to ensure appropriate reporting of safety information; all clinical studies conducted by the Sponsor or its affiliates will be conducted in accordance with those procedures.

Adverse events will be reported by the participant (or, when appropriate, by a caregiver, surrogate, or the participant's legally acceptable representative) for the duration of the study.

For study intervention that meets the definition of a combination product, malfunctions or deficiencies of a device constituent will be reported as PQC.

Further details on AEs, SAEs, and PQC can be found in Appendix 7 (Section 10.7).

### **8.3.1. Time Period and Frequency for Collecting Adverse Event and Serious Adverse Event Information**

#### **All Adverse Events**

All AEs and special reporting situations, whether serious or non-serious, will be reported from the time a signed and dated ICF is obtained until completion of the participant's last study-related procedure, which may include contact for follow-up of safety.

#### **Serious Adverse Events**

All SAEs, as well as PQC, occurring during the study must be reported to the appropriate Sponsor or designee contact person by study site personnel within 24 hours of their knowledge of the event.

Information regarding SAEs will be transmitted to the Sponsor or designee using the Serious Adverse Event Form and Safety Report Form of the eCRF, which must be completed and reviewed by a physician from the study site, and transmitted to the Sponsor or designee within 24 hours. The initial and follow-up reports of an SAE should be transmitted electronically or by facsimile (fax). Telephone reporting should be the exception and the reporter should be asked to complete the appropriate form(s) first.

Any possible Hy's law case (AST or ALT  $\geq 3$ x ULN together with bilirubin  $\geq 2$ X ULN or INR  $>1.5$ , if measured) is considered an important medical event and must be reported to the sponsor in an expedited manner using the SAE form, even before all other possible causes of liver injury have been excluded (INR criterion is not applicable to participants receiving anticoagulants).

A confirmed Hy's law case must be reported as a SAE.

### **8.3.2. Method of Detecting Adverse Events and Serious Adverse Events**

Care will be taken not to introduce bias when detecting AEs or SAEs. Open-ended and nonleading verbal questioning of the participant is the preferred method to inquire about AE occurrence.

#### **Solicited Adverse Events**

Solicited AEs are predefined local at the injection site and systemic events for which the participant is specifically questioned.

#### **Unsolicited Adverse Events**

Unsolicited AEs are all AEs for which the participant is not specifically questioned.

### **8.3.3. Follow-up of Adverse Events and Serious Adverse Events**

The investigator is obligated to perform or arrange for the conduct of supplemental measurements and evaluations as medically indicated to elucidate the nature and causality of the AE, SAE, or PQC as fully as possible. This may include additional laboratory tests or investigations, histopathological examinations, or consultation with other health care professionals.

Adverse events, including pregnancy, will be followed by the investigator as specified in Appendix 7 (Section 10.7).

#### **8.3.4. Regulatory Reporting Requirements for Serious Adverse Events and Anticipated Events**

The Sponsor assumes responsibility for appropriate reporting of AEs to the regulatory authorities. The Sponsor will also report to the investigator (and the head of the investigational institute where required) all suspected unexpected serious adverse reactions (SUSARs). The investigator (or Sponsor where required) must report SUSARs to the appropriate Independent Ethics Committee/Institutional Review Board (IEC/IRB) that approved the protocol unless otherwise required and documented by the IEC/IRB. A SUSAR will be reported to regulatory authorities unblinded. Participating investigators and IEC/IRB will receive a blinded SUSAR summary, unless otherwise specified.

An anticipated event is an AE that commonly occurs in the study population independent of exposure to the drug under investigation. For the purposes of this study the following SAEs will be considered anticipated events:

- Worsening of psoriasis
- Worsening of PsA

These anticipated events will be periodically analyzed in aggregate by the Sponsor during study conduct. The Sponsor will prepare a safety report in narrative format if the aggregate analysis indicates that the anticipated event occurs more frequently in the intervention group than in the control group and the Sponsor concludes there is a reasonable possibility that the drug under investigation caused the anticipated event.

The plan for monitoring and analyzing the anticipated events is specified in a separate Anticipated Events Safety Monitoring Plan. The assessment of causality will be made by the Sponsor's unblinded safety assessment committee.

The Sponsor assumes responsibility for appropriate reporting of the listed anticipated events according to the requirements of the countries in which the studies are conducted.

#### **8.3.5. Pregnancy**

All initial reports of pregnancy in female participants or partners of male participants must be reported to the Sponsor or designee by the study site personnel within 24 hours of their knowledge of the event using the appropriate pregnancy notification form. Abnormal pregnancy outcomes (eg, spontaneous abortion, fetal death, stillbirth, congenital anomalies, ectopic pregnancy) are considered SAEs and must be reported using an SAE reporting form. Any participant who becomes pregnant during the study must discontinue further study intervention (see Section 7.1).

Follow-up information regarding the outcome of the pregnancy and any postnatal sequelae in the infant will be required (see Appendix 5 [Section 10.5] and Appendix 7 [Section 10.7]).

### **8.3.6. Adverse Events of Special Interest**

Any newly identified malignancy or case of active TB occurring after the first study intervention administration(s) in participants in this clinical study must be reported by the investigator to the Sponsor or designee within 24 hours after being made aware of the event, according to the procedures in Appendix 7 (Section 10.7). Investigators are also advised that active TB is considered a reportable disease in most countries. These events are to be considered serious only if they meet the definition of an SAE.

## **8.4. Pharmacokinetics**

Serum samples will be used to evaluate the PK of guselkumab. Serum collected for PK may additionally be used to evaluate safety or efficacy aspects that address concerns arising during or after the study period. Genetic analyses will not be performed on these serum samples. Participant confidentiality will be maintained.

### **8.4.1. Evaluations**

Venous blood samples will be collected for measurement of serum concentrations of guselkumab and antibodies to guselkumab at the time points shown in the SoA (Section 1.3). Serum samples will also be collected at the final visit from participants who discontinue study intervention or were withdrawn from the study. At visits where PK and immunogenicity will be evaluated, 1 blood draw of sufficient volume can be used. Each sample will be split into 3 aliquots (1 aliquot for serum guselkumab concentration, 1 aliquot for antibodies to study intervention, and 1 aliquot as a back-up). Samples must be collected before study intervention administration at visits when a study intervention administration is scheduled. The exact dates and times of blood sample collection must be recorded in the laboratory requisition form.

Additional information about the collection, handling, and shipment of biological samples can be found in the Laboratory Manual.

### **8.4.2. Analytical Procedures**

Serum samples will be analyzed to determine serum guselkumab concentrations using a validated, specific, and sensitive immunoassay method by the Sponsor's bioanalytical facility or under the supervision of the Sponsor. The Sponsor, or its designee, under conditions in which the participants' identity remains blinded, will assay these samples.

### **8.4.3. Pharmacokinetic Parameters and Evaluations**

If feasible, the apparent total systemic clearance and apparent volume of distribution of guselkumab will be estimated using a nonlinear mixed-effects modeling approach.

#### **Pharmacokinetic/Pharmacodynamic Evaluations**

The relationship between serum concentrations of guselkumab and efficacy measures or relevant biomarker(s) may be examined when appropriate.

## **8.5. Pharmacogenomics (DNA) Evaluations**

Participation in pharmacogenomic research is optional. A pharmacogenomic blood sample will be collected from participants who consent separately to this component of the study to allow for pharmacogenomic research, where local regulations permit.

Genetic (DNA) variation may be an important contributory factor to interindividual variability in drug response and associated clinical outcomes. Genetic and epigenetic factors may also serve as markers for disease susceptibility and prognosis and may identify population subgroups that respond differently to an intervention.

The optional pharmacogenomic samples may be analyzed for identification of genetic and epigenetic factors that may be associated with the disease and/or the response to the treatments. This research may consist of the analysis of one or more candidate genes, or the analysis of genetic and epigenetic markers throughout the genome, or analysis of the entire genome (as appropriate) in relation to the disease and the treatments. Whole blood samples of approximately 6mL will be collected for the genetic and pharmacogenomics analyses.

## **8.6. Biomarkers**

Biomarker assessments will be made to examine the biologic response to treatment and to identify biomarkers that are relevant to guselkumab treatment and/or PsA, where local regulations permit. Assessments (detailed below) will include the evaluation of relevant biomarkers in serum, plasma, and whole blood collected as specified in the SoA, where local regulations permit.

Data collected from these samples may be used for exploratory research that include the following objectives:

1. To understand the molecular effects of guselkumab.
2. To understand PsA pathogenesis.
3. To understand why individual participants may respond differently to guselkumab.
4. To develop diagnostic tests to identify PsA populations that may be responsive or non-responsive to treatment with guselkumab.

### **Stopping Analysis**

Biomarker analyses are dependent upon the availability of appropriate biomarker assays and clinical response rates. Biomarker analysis may be deferred or not performed, if during or at the end of the study, it becomes clear that the analysis will not have sufficient scientific value for biomarker evaluation, or if there are not enough samples or responders to allow for adequate biomarker evaluation. In the event the study is terminated early or shows poor clinical efficacy, completion of biomarker assessments is based on justification and intended utility of the data.

### **8.6.1. Pharmacodynamics**

Samples for the analysis of pharmacodynamic biomarkers will be collected from all participants. Serum level of T helper (Th17) cytokines, such as IL-17A, IL-17F, and IL-22, may be measured to assess the pharmacodynamic effect of guselkumab.

### **8.6.2. Serum and Plasma Biomarkers**

Blood samples will be collected from all participants for serum and plasma-based biomarker analyses, where local regulations permit. Serum and plasma may be analyzed for levels of specific proteins, other inflammation-related molecules, and/or broad panel of analytes relevant to PsA pathogenesis and guselkumab treatment.

### **8.6.3. Whole Blood Gene Expression Profile**

Whole blood will be collected by venipuncture from participants for RNA expression analysis, where local regulations permit. Total RNA will be isolated and used for differential gene expression analyses to identify gene expression patterns that are relevant to guselkumab treatment and/or PsA, and to evaluate markers that can predict clinical response. Transcriptomic studies may be conducted using microarray, and/or alternative equivalent technologies, which facilitate the simultaneous measurement of the relative abundances of multiple RNA species resulting in a transcriptome profile for each blood sample. The samples may also be used for targeted assessment of genes relevant to the disease and/or the treatment. These analyses may be used to evaluate the changes in gene expression profiles that may correlate with biological response relating to PsA and/or the action of guselkumab and may also be used to identify population subgroups that respond differently to an intervention.

### **8.6.4. Peripheral Blood Mononuclear Cells**

Whole blood will also be collected and processed for peripheral blood mononuclear cells (PBMC) isolation and cryopreserved for later analysis. Analysis may include but is not limited to flow cytometric assessment of cell populations, single cell transcriptomics, or functional assessment of cells in response to guselkumab treatment and/or related to PsA pathogenesis. These analyses may not be performed if cryopreserved PBMC samples do not meet the quality or quantity standard required for the assessments.

## **8.7. Immunogenicity Assessments**

Serum samples for detection of antibodies to guselkumab will be collected from all participants according to the SoA (see Section 1.3). Additionally, serum samples should also be collected at the final visit from participants who discontinued study intervention or were withdrawn from the study. Samples collected for immunogenicity analyses may additionally be used to evaluate safety or efficacy aspects that address concerns arising during or after the study period. Genetic analyses will not be performed on these serum samples. Participant confidentiality will be maintained.

Serum samples will be used to evaluate the immunogenicity of guselkumab. Serum samples will be screened for antibodies binding to guselkumab and the titer of confirmed positive samples will be reported. Serum samples that test positive for antibodies to guselkumab will be further

characterized to determine if antibodies to guselkumab could neutralize the biological effects of guselkumab in vitro (ie, neutralizing antibodies [NAbs] to guselkumab). Other analyses may be performed to verify the stability of antibodies to guselkumab and/or further characterize the immunogenicity of guselkumab.

### **Analytical Procedures**

The detection and characterization of antibodies to guselkumab will be performed using a validated immunoassay method by or under the supervision of the Sponsor.

### **8.8. Health Economics**

The Work Productivity and Activity Impairment Questionnaire - Specific Health Problem (WPAI-SHP) is a validated instrument that has been used to study the impact of various diseases on patients' ability to work and perform daily activities ([WPAI General Information 2020](#)). The WPAI:PsA assesses the impact of PsA on work and other daily activities during the past 7 days. The WPAI: PsA consists of six questions to determine employment status, hours missed from work due to PsA, hours missed from work for other reasons, hours actually worked, the degree to which PsA affected work productivity while at work and the degree to which PsA affected activities outside of work. Four scores are derived: percentage of absenteeism, percentage of presenteeism (reduced productivity while at work), an overall work impairment score that combines absenteeism and presenteeism and percentage of impairment in activities performed outside of work. Greater scores indicate greater impairment.

## **9. STATISTICAL CONSIDERATIONS**

Statistical analysis will be done by the Sponsor or under the authority of the Sponsor. A general description of the statistical methods to be used to analyze the efficacy and safety data is outlined below. Specific details will be provided in the Statistical Analysis Plan (SAP).

### **9.1. Statistical Hypotheses**

The hypothesis for this study is that guselkumab 100 mg q4w SC is superior to placebo as assessed by the proportion of participants achieving an ACR 20 response at Week 24.

The method of addressing the multiplicity of hypotheses to keep the family-wise type I error controlled at the 0.05 level will be specified in the SAP.

### **9.2. Sample Size Determination**

The planned enrollment in the study is approximately 950 participants. Participants impacted by major disruptions may be replaced. The sample size selection was determined based on the primary endpoint of proportion of participants who achieve an ACR 20 response at Week 24 and the major secondary endpoint of change from baseline in modified vdH-S score at Week 24 by considering power for each comparison individually. The assumptions are based on the PSA3002 study.

### 9.2.1. Primary Endpoint – ACR 20 Response at Week 24

In the PSA3002 study, the ACR 20 response rates at Week 24 were 33.1%, 64.6%, and 63.7%, respectively, for the placebo, guselkumab 100 mg SC at Weeks 0, 4, then q8w, and guselkumab 100 mg SC q4w treatment groups.

For this study, assuming a 60% ACR 20 response rate in the guselkumab group and a 35% ACR 20 response rate in the placebo group, a sample size of 250 or 350 participants in the guselkumab group and 350 participants in the placebo group will provide a power of approximately >99% to detect a significant treatment difference at a significance level of  $\alpha=0.05$  using a 2-sided Chi-square test. [Table 6](#) shows the power to detect a difference in the proportion of participants achieving ACR20 response between guselkumab groups and placebo group with various assumptions.

**Table 6: Statistical Power for Treatment Difference in ACR 20 Response at Week 24**

Sample size per arm Guselkumab/Placebo	ACR 20 Response Rate			Power
	Placebo Group	Guselkumab Group	Difference ( $\Delta$ )	
250/350	35%	55%	20%	>99%
250/350	35%	60%	25%	>99%
250/350	35%	65%	30%	>99%
350/350	35%	55%	20%	>99%
350/350	35%	60%	25%	>99%
350/350	35%	65%	30%	>99%

### 9.2.2. Key Major Secondary Endpoint – Change from Baseline in Modified vdH-S Score at Week 24

For change from baseline in modified vdH-S score, participants in each treatment group can be considered a mixture of two subpopulations: one subpopulation (Spop 1) with a change score of 0 regardless of treatment and another subpopulation (Spop2) with a change score sampled from a normal distribution. Therefore, the distribution of the vdH-S change scores is determined by 3 parameters: the probability that a participant has a change score of 0, the mean of the normal distribution, and the standard deviation (SD) of the normal distribution. The overall mean (ie, crude mean) of the change scores for a treatment group is the overall average of the change scores among all participants (including both Spops 1 and 2) in that treatment group.

In the PSA3002 study, the following statistics were observed for change from baseline in modified vdH-S score at Week 24 for each treatment group:

The overall mean (SD) of change from baseline in modified vdH-S score at Week 24 was 0.90 (3.14), 0.25 (2.52), and 0.45 (2.38) respectively, for the placebo, guselkumab 100 mg q4w, and guselkumab 100 mg q8w treatment groups. The assumptions for power calculations in this study are based in part on these data, adjusted for the difference in enrichment criteria between studies.

For this study, assuming an overall mean (SD) of change from baseline in modified vdH-S score as 1.13 (3.2), 0.25 (3.1), and 0.45 (3.1) respectively in the placebo, guselkumab 100 mg q4w, and guselkumab 100 mg q8w groups, a sample size of 350/250/350 participants (ie, 7:5:7 ratio, 950 in total) will provide a power of at least 90% and 80% to detect a significant treatment difference at a 2-sided significance level of  $\alpha=0.05$  for guselkumab q4w vs placebo and guselkumab q8w vs placebo comparisons respectively.

**Table 7** provides the statistical power for guselkumab 100mg q4w vs placebo under various assumptions, where the sample size is 250 in the guselkumab group and 350 in the placebo group.

**Table 7: Statistical Power for Treatment Difference in Modified vdH-S Change from Baseline at Week24 for Guselkumab 100mg q4w vs Placebo (N=250, 350)**

Percent Participant with extra 0 (Spop 1)	Normal Placebo (Spop 2)		Normal Guselkumab (Spop 2)		Overall Placebo		Overall Guselkumab		Overall Mean Difference	Power
	Mean	SD	Mean	SD	Mean	SD	Mean	SD		
15%	<b>1.33</b>	<b>3.4</b>	<b>0.29</b>	<b>3.4</b>	<b>1.13</b>	<b>3.2</b>	<b>0.25</b>	<b>3.1</b>	<b>-0.88</b>	<b>92</b>
15%	1.33	3.4	0.34	3.4	1.13	3.2	0.29	3.1	-0.84	89
15%	1.33	3.0	0.29	3.0	1.13	2.8	0.25	2.8	-0.88	96
15%	1.33	3.0	0.34	3.0	1.13	2.8	0.29	2.8	-0.84	95
25%	1.33	3.4	0.29	3.4	1.00	3.0	0.22	2.9	-0.78	87
25%	1.33	3.4	0.34	3.4	1.00	3.0	0.26	2.9	-0.74	83
25%	1.33	3.0	0.29	3.0	1.00	2.7	0.22	2.6	-0.78	94
25%	1.33	3.0	0.34	3.0	1.00	2.7	0.26	2.6	-0.74	91

**Table 8** provides the statistical power for guselkumab 100mg q8w vs placebo under various assumptions, where the sample size is 350 in each group.

**Table 8: Statistical Power for Treatment Difference in Modified vdH-S Change from Baseline at Week 24 for Guselkumab 100mg q8w vs Placebo (N=350, 350)**

Percent Participant with extra 0 (Spop 1)	Normal Placebo (Spop 2)		Normal Guselkumab (Spop 2)		Overall Placebo		Overall Guselkumab		Overall Mean Difference	Power
	Mean	SD	Mean	SD	Mean	SD	Mean	SD		
15%	<b>1.33</b>	<b>3.4</b>	<b>0.53</b>	<b>3.4</b>	<b>1.13</b>	<b>3.2</b>	<b>0.45</b>	<b>3.1</b>	<b>-0.68</b>	<b>80</b>
15%	1.33	3.4	0.58	3.4	1.13	3.2	0.49	3.1	-0.64	75
15%	1.33	3.0	0.53	3.0	1.13	2.8	0.45	2.8	-0.68	89
15%	1.33	3.0	0.58	3.0	1.13	2.8	0.49	2.8	-0.64	84
25%	1.33	3.4	0.53	3.4	1.00	3.0	0.40	3.0	-0.60	74
25%	1.33	3.4	0.58	3.4	1.00	3.0	0.44	3.0	-0.56	69
25%	1.33	3.0	0.53	3.0	1.00	2.7	0.40	2.6	-0.60	84
25%	1.33	3.0	0.58	3.0	1.00	2.7	0.44	2.6	-0.56	79

The statistical power for each comparison was estimated based on 10000 simulations with treatment comparison performed at each simulation using an analysis of variance (ANOVA) test on the van der Waerden normal score. Under these assumptions, the power ranges approximately

from 83% to 96% for the guselkumab q4w vs placebo comparison, and from 69% to 89% for the guselkumab q8w vs placebo comparison.

### 9.3. Populations for Analysis Sets

For the purpose of various analyses, the following populations are defined.

Population	Description
Enrolled	All participants who signed the informed consent form (ICF).
Full Analysis Set	All participants who were randomized in the study. This analysis set will be used for the efficacy analyses.
Modified Full Analysis Set	All participants who were randomized, excluding participants from sites rendered unable to support key study operations due to a Natural Disaster or Major Disruption. Details will be provided in the SAP.
Safety Analysis Set	All participants who received at least one (complete or partial) administration of study intervention, ie, the treated population.
Immunogenicity Analysis Set (IAS)	All participants who received at least one (complete or partial) administration of guselkumab and who had at least 1 sample obtained after their first administration of guselkumab.
PK Analysis Set (PKAS)	All participants who received at least one complete administration of guselkumab and had at least valid blood sample drawn for PK analysis.
PD Analysis Set (PDAS)	All participants who received at least one (complete or partial) administration of study intervention.

### 9.4. Statistical Analyses

The SAP will be finalized prior to DBL and it will include a more technical and detailed description of the statistical analyses described in this section. This section is a summary of the planned statistical analyses of the most important endpoints including primary and key secondary endpoints.

#### 9.4.1. General Considerations

In general, descriptive statistics, such as mean, SD, median, inter quartile range, minimum, and maximum for continuous variables, and counts and percentages for discrete variables will be used to summarize most data.

For binary response efficacy endpoints, treatment comparisons will generally be performed using a Chi-square test or a Cochran-Mantel-Haenszel (CMH) test. For continuous endpoint of efficacy data, treatment comparisons will be performed using an analysis of covariance (ANCOVA), a mixed model for repeated measures (MMRM) model or a constrained longitudinal data analysis (cLDA) Model.

In general, statistical testing will be performed using 2-sided tests. The overall type I error will be controlled among the primary and major secondary endpoints at 5%.

#### 9.4.2. Primary Endpoint

The primary endpoint is the proportion of participants who achieved an ACR 20 response at Week 24. The primary analysis of this endpoint will be based on the **adjusted composite estimand** defined by the 5 components:

- Population: Participants with active PsA who are biologic naïve, and were randomized and treated with study intervention
- Treatment:
  - Placebo
  - Guselkumab
- Variable: ACR20 composite binary response variable at Week 24, where a responder is defined as a participant who achieves ACR20 response at Week 24 and does not experience ICE categories 1 to 3.
- Intercurrent Events: ICE categories 1 to 3 will follow the composite strategy, where participants who meet them prior to Week 24 will be considered as treatment failures and will be treated as non-responders regardless of the observed ACR20 response status. The ICE categories 4 and 5 will follow the hypothetical strategy postulating a scenario where a Natural Disaster (eg, the COVID-19 pandemic) as well as Major Disruption (eg, the disruption involving Ukraine and neighboring countries/territories beginning 24 February 2022; specific details to be included in the SAP), and ICEs directly resulting from them, did not occur, and observed data through Week 24 after meeting these ICEs will not be used and will be assumed to be MAR. For participants experiencing multiple ICEs, an ICE in categories 1 to 3 will supersede an ICE in categories 4 and 5.
  1. Discontinued study intervention injections due to any reason **except** due to a Natural Disaster or Major Disruption.
  2. Initiated or increased the dose of non-biologic DMARDs (MTX, SSZ, HCQ, LEF) or oral corticosteroids over baseline for PsA.
  3. Initiated protocol prohibited medications/therapies for PsA.
  4. Discontinued study intervention injections due to a Natural Disaster or Major Disruption.
  5. Severe treatment non-compliance (to be defined in the SAP) due to a Natural Disaster or Major Disruption.
- Population level summary: difference in proportion of responders between guselkumab group and placebo group.

Data from all participants in the mFAS-will be analyzed according to randomized treatment group regardless of the treatment actually received. Participants with missing data for any reason other than due to a Natural Disaster or Major Disruption will be considered non-responders, while missing data due to a Natural Disaster or Major Disruption or data not used due to ICE categories 4 or 5 will be assumed to be MAR and imputed using MI. For each guselkumab dose versus placebo comparison, a CMH test stratified by the randomization strata levels will be conducted for each imputation set, and the Wilson-Hilferty transformation will be applied to the CMH statistics across the imputation sets before combining them for the final p-values. The magnitude of the effect will be estimated by the difference in ACR 20 response rates between the guselkumab and placebo groups with the 95% confidence interval calculated based on Wald statistics.

To evaluate the robustness of the primary endpoint analysis results, the exhaustive scenario tipping point sensitivity analyses will be performed by varying the amount of non-responder imputation for missing data.

Additional sensitivity/supplemental analyses which vary how intercurrent events (eg, alternative estimand) are handled, how observed data are used, and how missing data are treated will be specified in the SAP to further address the robustness of treatment effect of ACR 20 at Week 24.

## **Subgroup Analyses**

Subgroup analysis will be performed to evaluate consistency in the primary efficacy endpoint by demographic characteristics, baseline disease characteristics, and baseline medications. Interaction test between the subgroups and treatment group will also be provided if appropriate.

### **9.4.3. Secondary Endpoints**

The estimand, analysis method, and data handling rules for major secondary endpoints, as well as the approach to control the type I error for multiplicity will be specified in SAP.

### **9.4.4. Safety Analyses**

All safety analyses will be made on the Safety Population.

## **Adverse Events**

The verbatim terms used in the eCRF by investigators to identify AEs will be coded using the Medical Dictionary for Regulatory Activities (MedDRA). For each AE, the percentage of participants who experience at least 1 occurrence of the given event will be summarized by intervention group.

The following analyses of AEs will be used to assess the safety of participants:

- The incidence and type of AEs.
- The incidence and type of SAEs.
- The incidence and type of infections.
- The incidence and type of injection site reactions.

Summaries, listings, datasets, or participant narratives may be provided, as appropriate, for those participants who die, who discontinue intervention due to an AE, or who experience a severe or an SAE.

## **Clinical Laboratory Tests**

Laboratory data will be summarized by type of laboratory test. Descriptive statistics will be calculated for selected laboratory analyte at baseline and for observed values and changes from baseline at each scheduled time point. Number and percentage of participants by maximum National Cancer Institute - Common Terminology Criteria for Adverse Events (NCI-CTCAE)

toxicity grades for laboratory analytes with NCI-CTCAE criteria defined will also be summarized, and participants with maximum NCI-CTCAE Grade  $\geq 3$  will also be presented in a listing.

## Vital Signs

Vital signs including pulse/heart rate and blood pressure (systolic and diastolic) will be summarized over time, using descriptive statistics and/or graphically. The percentage of participants with values beyond clinically important limits will be summarized.

### 9.4.5. Other Analyses

#### Pharmacokinetic Analyses

The PK evaluable population is defined as all the participants who received at least 1 complete dose of guselkumab and had at least 1 valid blood sample drawn for PK analysis after their first dose of guselkumab.

Serum guselkumab concentrations over time will be summarized for each treatment group using descriptive statistics. All concentrations below the lowest quantifiable sample concentration of the assay (BQL) or missing data will be labeled as such in the concentration data listing or statistical analysis system dataset. The BQL concentrations will be treated as zero in the summary statistics.

Population PK modeling will be conducted when appropriate. The apparent total systemic clearance and apparent volume of distribution values will be estimated. The influence of important variables (such as body weight, antibodies to guselkumab, and concomitant medications) on the population PK parameter estimates may be evaluated. Details will be given in a population PK analysis plan and the results of the population PK analysis will be presented in a separate technical report.

#### Immunogenicity Analyses

The incidence and titers of antibodies to guselkumab will be summarized for all participants who receive at least 1 dose of guselkumab and have appropriate samples for detection of antibodies to guselkumab (ie, participants with at least 1 sample obtained after their first dose of guselkumab).

A listing of participants who are positive for antibodies to guselkumab will be provided.

The incidence of NAbs to guselkumab will be summarized for participants who are positive for antibodies to guselkumab and have samples evaluable for NAbs to guselkumab.

Other immunogenicity analyses may be performed to further characterize the immune responses that are generated.

#### Biomarker/Pharmacodynamic Analyses

The biomarker analyses will be used to understand PsA, characterize the effects of guselkumab to identify PD markers and biomarkers relevant to treatment, and to determine if these markers can predict response to guselkumab. The biomarker analyses may include but are not limited to serum

Th17 cytokines, inflammatory markers, whole blood RNA profile, and other categories of biomarkers potentially involved in the development and the progression of PsA.

Changes in biomarkers over time may be summarized by treatment group. Associations between baseline levels and changes from baseline in select markers and clinical response may be explored. Results of biomarker analyses may be presented in a separate technical report.

Planned biomarker analyses may be deferred if emerging study data show no likelihood of providing useful scientific information or insufficient number of samples are available for analyses. Any biomarker samples received by the contract vendor or Sponsor after the cutoff date will not be analyzed, and therefore, excluded from the biomarker analysis.

### **Pharmacokinetic/Pharmacodynamic Analyses**

If data permit, the relationships between serum concentrations of guselkumab and the efficacy measures and/or relevant PD endpoints may be explored when appropriate. If any visual trend is observed, additional analyses may be conducted. Analyses results may be summarized in a separate technical report.

### **Pharmacogenomic Analyses**

Genetic (DNA) analyses may be conducted only in participants who sign the consent form to participate in the pharmacogenomic sampling. These analyses are considered exploratory. DNA samples may be used for research related to guselkumab and/or PsA. They may also be used to develop tests/assays related to guselkumab and PsA. Pharmacogenomic research may consist of the analysis of one or more candidate genes or of the analysis of genetic markers throughout the genome or analysis of the entire genome (as appropriate) in relation to guselkumab or PsA clinical endpoints. Analyses results may be summarized in a separate technical report.

### **Health Economics Analysis**

The change from baseline in impact of disease on work productivity and daily activity via WPAI Questionnaire: PsA will be descriptively summarized by treatment group over time and compared between treatment groups.

### **9.5. Interim Analysis**

Not applicable

## 10. SUPPORTING DOCUMENTATION AND OPERATIONAL CONSIDERATIONS

### 10.1. Appendix 1: Abbreviations

ACR	American College of Rheumatology
AE	adverse event
ALT	alanine aminotransferase
ANCOVA	analysis of covariance
AST	aspartate aminotransferase
BCG	bacillus Calmette-Guérin
BQL	below the lowest quantifiable sample concentration of the assay
BSA	body surface area
CASPAR	ClASsification criteria for Psoriatic Arthritis
CMH	Cochran-Mantel-Haenszel
COVID-19	Coronavirus Disease 2019
CRP	C-reactive protein
DAPSA	Disease Activity Index for Psoriatic Arthritis
DAS28	Disease Activity Score 28
DBL	database lock
DLQI	Dermatology Life Quality Index
DMARDs	disease-modifying antirheumatic drugs
DNA	deoxyribonucleic acid
ECG	electrocardiogram
eCRF	electronic case report form(s)
eC-SSRS	electronic Columbia-Suicide Severity Rating Scale
eDC	electronic data capture
EE	early escape
EU	European Union
FACIT-F	Functional Assessment of Chronic Illness Therapy-Fatigue
FSH	follicle stimulating hormone
GCP	Good Clinical Practice
HAQ-DI	Disability Index of the Health Assessment Questionnaire
HBV	hepatitis B virus
HCQ	Hydroxychloroquine
HCV	hepatitis C virus
HIV	Human Immunodeficiency Virus
HRQoL	health-related quality of life
HRV	high radiographic variability
IB	Investigator's Brochure
ICE	intercurrent event
ICF	informed consent form
ICH	International Conference on Harmonisation
IEC	Independent Ethics Committee
IGA	Investigator's Global Assessment
IJA	independent joint assessor
IL	interleukin
IM	intramuscular
IPPM	Investigational Product Procedures Manual
IRB	Institutional Review Board
IV	intravenous
IWRS	interactive web response system
JAK	Janus kinase
LEF	leflunomide
LEI	Leeds Enthesitis Index
LMP	low to moderate progression
LTE	long term extension
mAb	monoclonal antibody
MAR	missing at random

MCP	metacarpophalangeal
mCPDAI	modified Composite Psoriatic Disease Activity Index
MDA	minimal disease activity
mNAPSI	modified Nail Psoriasis Severity Index
MTX	methotrexate
NAb	neutralizing antibody
NCI-CTCAE	National Cancer Institute - Common Terminology Criteria for Adverse Events
NP	no progression
NSAID	nonsteroidal anti-inflammatory drug
PASI	Psoriatic Area and Severity Index
PBMC	peripheral blood mononuclear cells
PD	pharmacodynamic(s)
PFS	prefilled syringe
PFS-U	prefilled syringe with an UltraSafe PLUS™ Passive Needle Guard
PGA-F	Physician's Global Assessment of Fingernail Psoriasis
PIP	proximal interphalangeal
PK	pharmacokinetic(s)
PQC	Product Quality Complaint
PRO	patient-reported outcome(s)
PsA	psoriatic arthritis
PsAID	PsA Impact of Disease
PsARC	Psoriatic Arthritis Response Criteria
q4w	every 4 weeks
q8w	every 8 weeks
RP	rapid progression
SAE	serious adverse event
SAP	Statistical Analysis Plan
SARS-CoV2	severe acute respiratory syndrome coronavirus 2
SC	subcutaneous
SD	standard deviation
SSZ	sulfasalazine
SUSAR	suspected unexpected serious adverse reaction
TB	tuberculosis
Th17	T helper 17
TNF $\alpha$	tumor necrosis factor alpha
US	United States
VAS	Visual Analogue Scale
VLDA	very low disease activity
vdH-S	van der Heijde-Sharp (score)
WPAI	Work Productivity and Activity Impairment Questionnaire

## 10.2. Appendix 2: Clinical Laboratory Tests

The following tests will be performed according to the Schedule of Activities by the central laboratory:

### Protocol-Required Safety Laboratory Assessments

Laboratory Assessments	Parameters	
Hematology	Platelet count Red blood cell count Hemoglobin Hematocrit	<u>White Blood Cell (WBC) count with Differential:</u> Neutrophils Lymphocytes Monocytes Eosinophils Basophils
	Note: A WBC evaluation may include any abnormal cells, which will then be reported by the laboratory.	
Clinical Chemistry	Sodium Potassium Chloride Bicarbonate Blood urea nitrogen (BUN) Creatinine Glucose (see SoA [Section 1.3]) Aspartate aminotransferase (AST) Alanine aminotransferase (ALT)	Total bilirubin (direct only if total elevated) Alkaline phosphatase Calcium Albumin Total protein
	Note: Details of liver chemistry stopping criteria and required actions and follow-up are given in Appendix 8 (Section 10.8).	
Other Screening Tests	<ul style="list-style-type: none"> <li>• Total cholesterol, low density lipoprotein (LDL), high density lipoprotein (HDL), triglyceride (TG) (Week 0 only)</li> <li>• Urine Pregnancy Testing for women of childbearing potential only</li> <li>• High-sensitivity C-reactive protein</li> <li>• Serology (HIV antibody, hepatitis B surface antigen [HBsAg], and hepatitis C virus antibody)</li> <li>• QuantiFERON® -TB test</li> <li>• TB skin test (where applicable; performed locally)</li> </ul>	

### 10.3. Appendix 3: Tuberculin Skin Testing

#### Administering the Mantoux Tuberculin Skin Test

The Mantoux tuberculin skin test (CDC 2000) is a method of identifying persons infected with *Mycobacterium tuberculosis*. Multiple puncture tests (Tine and Heaf) should not be used to determine whether a person is infected because the amount of tuberculin injected intradermally cannot be precisely controlled. Tuberculin skin testing is both safe and reliable throughout the course of pregnancy. The Mantoux tuberculin test is performed by placing an intradermal injection of 0.1 mL of tuberculin into the inner surface of the forearm. The test must be performed with tuberculin that has at least the same strength as either 5 tuberculin units (TU) of standard purified protein derivative (PPD)-S or 2 TU of PPD-RT 23, Statens Serum Institut, as recommended by the World Health Organization. PPD strengths of 1 TU or 250 TU are not acceptable (Menzies 2000). Using a disposable tuberculin syringe with the needle bevel facing upward, the injection should be made just beneath the surface of the skin. This should produce a discrete, pale elevation of the skin (a wheal) 6 mm to 10 mm in diameter. To prevent needle-stick injuries, needles should not be recapped, purposely bent or broken, removed from disposable syringes, or otherwise manipulated by hand. After they are used, disposable needles and syringes should be placed in puncture-resistant containers for disposal. Institutional guidelines regarding universal precautions for infection control (eg, the use of gloves) should be followed. A trained health care worker, preferably the investigator, should read the reaction to the Mantoux test 48 to 72 hours after the injection. Participants should never be allowed to read their own tuberculin skin test results. If a participant fails to show up for the scheduled reading, a positive reaction may still be measurable up to 1 week after testing. However, if a participant who fails to return within 72 hours has a negative test, tuberculin testing should be repeated. The area of induration (palpable raised hardened area) around the site of injection is the reaction to tuberculin. For standardization, the diameter of the induration should be measured transversely (perpendicular) to the long axis of the forearm. Erythema (redness) should not be measured. All reactions should be recorded in millimeters, even those classified as negative.

#### Interpreting the Tuberculin Skin Test Results

In the US and many other countries, the most conservative definition of positivity for the tuberculin skin test is reserved for immunocompromised patients, and this definition is to be applied in this study to maximize the likelihood of detecting latent TB, even though the participants may not be immunocompromised at baseline.

In the US and Canada, an induration of 5 mm or greater in response to the intradermal tuberculin skin test is considered to be a positive result and evidence for either latent or active TB.

In countries outside the US and Canada, country-specific guidelines **for immunocompromised patients** should be consulted for the interpretation of tuberculin skin test results. If no local country guidelines for immunocompromised patients exist, US guidelines must be followed.

## Treatment of Latent Tuberculosis

Local country guidelines **for immunocompromised patients** should be consulted for acceptable anti-tuberculous treatment regimens for latent TB. If no local country guidelines for immunocompromised patients exist, US guidelines must be followed.

## References

Centers for Disease Control and Prevention. Core curriculum on tuberculosis: What the clinician should know (Fourth Edition). Atlanta, GA: Department of Health and Human Services; Centers for Disease Control and Prevention; National Center for HIV, STD, and TB Prevention; Division of Tuberculosis Elimination; 2000:25-86.

Menzies RI. Tuberculin skin testing. In: Reichman LB, Hershfield ES (eds). *Tuberculosis, a comprehensive international approach*. 2nd ed. New York, NY: Marcel Dekker, Inc; 2000:279-322.

#### 10.4. Appendix 4: Hepatitis B Virus (HBV) Screening with HBV DNA Testing

Participants must undergo screening for hepatitis B virus (HBV). At a minimum, this includes testing for HBsAg (HBV surface antigen), anti-HBs (HBV surface antibody), and anti-HBc total (HBV core antibody total):

- Participants who test negative for all HBV screening tests (ie, HBsAg-, anti-HBc-, and anti-HBs-) **are eligible** for this protocol.
- Participants who test **negative** for surface antigen (HBsAg-) and test **positive** for core antibody (anti-HBc+) **and** surface antibody (anti-HBs+) **are eligible** for this protocol.
- Participants who test **positive only** for surface antibody (anti-HBs+) **are eligible** for this protocol.
- Participants who test **positive** for surface antigen (HBsAg+) **are NOT eligible** for this protocol, regardless of the results of other hepatitis B tests.
- Participants who test **positive only** for core antibody (anti-HBc+) **are NOT eligible** for this protocol.

These eligibility criteria based on HBV test results are also represented in Table 1 below.

HEPATITIS B TEST RESULT			STATUS
Hepatitis B surface antigen (HBsAg)	Hepatitis B surface antibody (anti-HBs)	Hepatitis B core antibody (anti-HBc total)	
negative	negative	negative	Eligible
negative	(+)	negative	
negative	(+)	(+)	
(+)	negative <i>or</i> (+)	negative <i>or</i> (+)	Not eligible
negative	negative	(+)	(Require testing for presence of HBV DNA*)

\* If HBV DNA is detectable, the participant is not eligible for this protocol. If HBV DNA testing cannot be performed, or there is evidence of chronic liver disease, the participant is not eligible for the protocol.

For participants who **are not eligible for this protocol due to HBV test results**, consultation with a physician with expertise in the treatment of HBV infection is recommended

## 10.5. Appendix 5: Contraceptive and Barrier Guidance

Participants must follow contraceptive measures as outlined in Section 5.1, Inclusion Criteria. Pregnancy information will be collected and reported as noted in Section 8.3.5, Pregnancy and Appendix 7 (Section 10.7), Adverse Events, Serious Adverse Events, Product Quality Complaints, and Other Safety Reporting: Definitions and Procedures for Recording, Evaluating, Follow-up, and Reporting.

### Definitions

#### *Woman of Childbearing Potential (WOCBP)*

A woman is considered fertile following menarche and until becoming postmenopausal unless permanently sterile (see below).

#### *Woman Not of Childbearing Potential*

- **premenarchal**

A premenarchal state is one in which menarche has not yet occurred.

- **postmenopausal**

A postmenopausal state is defined as no menses for 12 months without an alternative medical cause. A high follicle stimulating hormone (FSH) level ( $>40$  IU/L or mIU/mL) in the postmenopausal range may be used to confirm a postmenopausal state in women not using hormonal contraception or hormonal replacement therapy (HRT), however in the absence of 12 months of amenorrhea, a single FSH measurement is insufficient. If there is a question about menopausal status in women on HRT, the woman will be required to use one of the non-estrogen-containing hormonal highly effective contraceptive methods if she wishes to continue HRT during the study.

- **permanently sterile (for the purpose of this study)**

Permanent sterilization methods include hysterectomy, bilateral salpingectomy, and bilateral oophorectomy.

Note: If the childbearing potential changes after start of the study (eg, a premenarchal woman experiences menarche) or the risk of pregnancy changes (eg, a woman who is not heterosexually active becomes active), a woman must begin a highly effective method of contraception, as described throughout the inclusion criteria.

If reproductive status is questionable, additional evaluation should be considered.

Contraceptive (birth control) use by men or women should be consistent with local regulations regarding the acceptable methods of contraception for those participating in clinical studies.

Typical use failure rates may differ from those when used consistently and correctly. Use should be consistent with local regulations regarding the use of contraceptive methods for participants in clinical studies.

## Examples of Contraceptives for Female Participants

<b>EXAMPLES OF CONTRACEPTIVES<sup>a</sup> ALLOWED DURING THE STUDY INCLUDE:</b>	
<b>USER INDEPENDENT</b>	
<b>Highly Effective Methods That Are User Independent</b> <i>Failure rate of &lt;1% per year when used consistently and correctly.</i>	
<ul style="list-style-type: none"> <li>• Implantable progestogen-only hormone contraception associated with inhibition of ovulation<sup>b</sup></li> <li>• Intrauterine device (IUD)</li> <li>• Intrauterine hormone-releasing system (IUS)</li> <li>• Bilateral tubal occlusion</li> <li>• Vasectomized partner (<i>Vasectomized partner is a highly effective contraceptive method provided that the partner is the sole sexual partner of the woman of childbearing potential and the absence of sperm has been confirmed. If not, additional highly effective method of contraception should be used. Spermatogenesis cycle is approximately 74 days.</i>)</li> </ul>	
<b>USER DEPENDENT</b>	
<b>Highly Effective Methods That Are User Dependent</b> <i>Failure rate of &lt;1% per year when used consistently and correctly.</i>	
<ul style="list-style-type: none"> <li>• Combined (estrogen- and progestogen-containing) hormonal contraception associated with inhibition of ovulation<sup>b</sup> <ul style="list-style-type: none"> <li>– oral</li> <li>– intravaginal</li> <li>– transdermal</li> <li>– injectable</li> </ul> </li> <li>• Progestogen-only hormone contraception associated with inhibition of ovulation<sup>b</sup> <ul style="list-style-type: none"> <li>– oral</li> <li>– injectable</li> </ul> </li> <li>• Sexual abstinence (<i>Sexual abstinence is considered a highly effective method only if defined as refraining from heterosexual intercourse during the entire period of risk associated with the study intervention. The reliability of sexual abstinence needs to be evaluated in relation to the duration of the study and the preferred and usual lifestyle of the participant.</i>)</li> </ul>	
<b>NOT ALLOWED AS SOLE METHOD OF CONTRACEPTION DURING THE STUDY (not considered to be highly effective - failure rate of ≥1% per year)</b>	
<ul style="list-style-type: none"> <li>• Progestogen-only oral hormonal contraception where inhibition of ovulation is not the primary mode of action.</li> <li>• Male or female condom with or without spermicide<sup>c</sup></li> <li>• Cap, diaphragm, or sponge with spermicide</li> <li>• A combination of male condom with either cap, diaphragm, or sponge with spermicide (double-barrier methods)<sup>c</sup></li> <li>• Periodic abstinence (calendar, symptothermal, post-ovulation methods)</li> <li>• Withdrawal (coitus-interruptus)</li> <li>• Spermicides alone</li> <li>• Lactational amenorrhea method (LAM)</li> </ul>	

- a) Typical use failure rates may differ from those when used consistently and correctly. Use should be consistent with local regulations regarding the use of contraceptive methods for participants in clinical studies.
- b) Hormonal contraception may be susceptible to interaction with the study intervention, which may reduce the efficacy of the contraceptive method. In addition, consider if the hormonal contraception may interact with the study intervention.
- c) Male condom and female condom should not be used together (due to risk of failure with friction).

## **10.6. Appendix 6: Regulatory, Ethical, and Study Oversight Considerations**

### **10.6.1. Regulatory and Ethical Considerations**

#### **Investigator Responsibilities**

The investigator is responsible for ensuring that the study is performed in accordance with the protocol, current International Conference on Harmonisation (ICH) guidelines on Good Clinical Practice (GCP), and applicable regulatory and country-specific requirements.

Good Clinical Practice is an international ethical and scientific quality standard for designing, conducting, recording, and reporting studies that involve the participation of human participants. Compliance with this standard provides public assurance that the rights, safety, and well-being of study participants are protected, consistent with the principles that originated in the Declaration of Helsinki, and that the study data are credible.

#### **Protocol Amendments**

Neither the investigator nor the Sponsor will modify this protocol without a formal amendment by the Sponsor. All protocol amendments must be issued by the Sponsor and signed and dated by the investigator. Protocol amendments must not be implemented without prior IEC/IRB approval, or when the relevant competent authority has raised any grounds for non-acceptance, except when necessary to eliminate immediate hazards to the participants, in which case the amendment must be promptly submitted to the IEC/IRB and relevant competent authority. Documentation of amendment approval by the investigator and IEC/IRB must be provided to the Sponsor or designee. When the change(s) involve only logistic or administrative aspects of the study, the IEC/IRB (where required) only needs to be notified.

During the course of the study, in situations where a departure from the protocol is unavoidable, the investigator or other physician in attendance will contact the appropriate Sponsor representative listed in the Contact Information page(s), which will be provided as a separate document. Except in emergency situations, this contact should be made before implementing any departure from the protocol. In all cases, contact with the Sponsor or designee must be made as soon as possible to discuss the situation and agree on an appropriate course of action. The data recorded in the eCRF and source documents will reflect any departure from the protocol, and the source documents will describe this departure and the circumstances requiring it.

#### **Regulatory Approval/Notification**

This protocol and any amendment(s) must be submitted to the appropriate regulatory authorities in each respective country, if applicable. A study may not be initiated until all local regulatory requirements are met.

#### **Required Prestudy Documentation**

The following documents must be provided to the Sponsor or designee before shipment of study intervention to the study site:

- Protocol and amendment(s), if any, signed and dated by the principal investigator
- A copy of the dated and signed (or sealed, where appropriate per local regulations), written IEC/IRB approval of the protocol, amendments, ICF, any recruiting materials, and if applicable, participant compensation programs. This approval must clearly identify the specific protocol by title and number and must be signed (or sealed, where appropriate per local regulations) by the chairman or authorized designee.
- Name and address of the IEC/IRB, including a current list of the IEC/IRB members and their function, with a statement that it is organized and operates according to GCP and the applicable laws and regulations. If accompanied by a letter of explanation, or equivalent, from the IEC/IRB, a general statement may be substituted for this list. If an investigator or a member of the study site personnel is a member of the IEC/IRB, documentation must be obtained to state that this person did not participate in the deliberations or in the vote/opinion of the study.
- Regulatory authority approval or notification, if applicable
- Signed and dated statement of investigator (eg, Form FDA 1572), if applicable
- Documentation of investigator qualifications (eg, curriculum vitae)
- Completed investigator financial disclosure form from the principal investigator, where required
- Signed and dated clinical trial agreement, which includes the financial agreement
- Any other documentation required by local regulations

The following documents must be provided to the Sponsor or designee before enrollment of the first participant:

- Completed investigator financial disclosure forms from all subinvestigators
- Documentation of subinvestigator qualifications (eg, curriculum vitae)
- Name and address of any local laboratory conducting tests for the study, and a dated copy of current laboratory normal ranges for these tests, if applicable
- Local laboratory documentation demonstrating competence and test reliability (eg, accreditation/license), if applicable

### **Independent Ethics Committee or Institutional Review Board**

Before the start of the study, the investigator (or Sponsor where required) will provide the IEC/IRB with current and complete copies of the following documents (as required by local regulations):

- Final protocol and, if applicable, amendments
- Sponsor-approved ICF (and any other written materials to be provided to the participants)
- IB (or equivalent information) and amendments/addenda
- Sponsor-approved participant recruiting materials

- Information on compensation for study-related injuries or payment to participants for participation in the study, if applicable
- Investigator's curriculum vitae or equivalent information (unless not required, as documented by the IEC/IRB)
- Information regarding funding, name of the Sponsor, institutional affiliations, other potential conflicts of interest, and incentives for participants
- Any other documents that the IEC/IRB requests to fulfill its obligation

This study will be undertaken only after the IEC/IRB has given full approval of the final protocol, amendments (if any, excluding the ones that are purely administrative, with no consequences for participants, data or study conduct, unless required locally), the ICF, applicable recruiting materials, and participant compensation programs, and the Sponsor or designee has received a copy of this approval. This approval letter must be dated and must clearly identify the IEC/IRB and the documents being approved.

Approval for the collection of optional samples for research and for the corresponding ICF must be obtained from the IEC/IRB. Approval for the protocol can be obtained independent of this optional research component.

During the study the investigator (or Sponsor where required) will send the following documents and updates to the IEC/IRB for their review and approval, where appropriate:

- Protocol amendments (excluding the ones that are purely administrative, with no consequences for participants, data or study conduct)
- Revision(s) to ICF and any other written materials to be provided to participants
- If applicable, new or revised participant recruiting materials approved by the Sponsor
- Revisions to compensation for study-related injuries or payment to participants for participation in the study, if applicable
- New edition(s) of the IB and amendments/addenda
- Summaries of the status of the study at intervals stipulated in guidelines of the IEC/IRB (at least annually)
- Reports of AEs that are serious, unlisted/unexpected, and associated with the study intervention
- New information that may adversely affect the safety of the participants or the conduct of the study
- Deviations from or changes to the protocol to eliminate immediate hazards to the participants
- Report of deaths of participants under the investigator's care
- Notification if a new investigator is responsible for the study at the site
- Development Safety Update Report and Line Listings, where applicable
- Any other requirements of the IEC/IRB

For all protocol amendments (excluding the ones that are purely administrative, with no consequences for participants, data or study conduct), the amendment and applicable ICF revisions must be submitted promptly to the IEC/IRB for review and approval before implementation of the change(s).

At least once a year, the IEC/IRB will be asked to review and reapprove this study, where required.

At the end of the study, the investigator (or Sponsor where required) will notify the IEC/IRB about the study completion (if applicable, the notification will be submitted through the head of investigational institution).

## **Country Selection**

This study will only be conducted in those countries where the intent is to launch or otherwise help ensure access to the developed product if the need for the product persists, unless explicitly addressed as a specific ethical consideration in Section 4.2.1, Study-Specific Ethical Design Considerations.

## **Other Ethical Considerations**

For study-specific ethical design considerations, refer to Section 4.2.1.

### **10.6.2. Financial Disclosure**

Investigators and subinvestigators will provide the Sponsor or designee with sufficient, accurate financial information in accordance with local regulations to allow the Sponsor to submit complete and accurate financial certification or disclosure statements to the appropriate regulatory authorities. Investigators are responsible for providing information on financial interests during the course of the study and for 1 year after completion of the study.

Refer to Required Prestudy Documentation (above) for details on financial disclosure.

### **10.6.3. Informed Consent Process**

Each participant must give written consent according to local requirements after the nature of the study has been fully explained. The ICF(s) must be signed before performance of any study-related activity. The ICF(s) that is/are used must be approved by both the Sponsor or designee and by the reviewing IEC/IRB and be in a language that the participant can read and understand. The informed consent should be in accordance with principles that originated in the Declaration of Helsinki, current ICH and GCP guidelines, applicable regulatory requirements, and Sponsor policy.

Before enrollment in the study, the investigator or an authorized member of the study site personnel must explain to potential participants the aims, methods, reasonably anticipated benefits, and potential hazards of the study, and any discomfort participation in the study may entail. Participants will be informed that their participation is voluntary and that they may withdraw consent to participate at any time. They will be informed that choosing not to participate will not affect the care the participant will receive. Finally, they will be told that the investigator will maintain a participant identification register for the purposes of long-term follow up if needed and that their

records may be accessed by health authorities and authorized Sponsor or designee personnel without violating the confidentiality of the participant, to the extent permitted by the applicable law(s) or regulations. By signing the ICF the participant is authorizing such access. It also denotes that the participant agrees to allow his or her study physician to recontact the participant for the purpose of obtaining consent for additional safety evaluations and subsequent disease-related treatments.

The participant will be given sufficient time to read the ICF and the opportunity to ask questions. After this explanation and before entry into the study, consent should be appropriately recorded by means of the participant's personally dated signature. After having obtained the consent, a copy of the ICF must be given to the participant.

Participants who are rescreened are required to sign a new ICF.

Participants will be asked for consent to provide optional samples for research (where local regulations permit). After informed consent for the study is appropriately obtained, the participant will be asked to sign and personally date a separate ICF indicating agreement to participate in the optional research component. Refusal to participate in the optional research will not result in ineligibility for the study. A copy of this signed ICF will be given to the participant.

Where local regulations require, a separate ICF may be used for the required DNA component of the study.

#### **10.6.4. Data Protection**

##### **Privacy of Personal Data**

The collection and processing of personal data from participants enrolled in this study will be limited to those data that are necessary to fulfill the objectives of the study.

These data must be collected and processed with adequate precautions to ensure confidentiality and compliance with applicable data privacy protection laws and regulations. Appropriate technical and organizational measures to protect the personal data against unauthorized disclosures or access, accidental or unlawful destruction, or accidental loss or alteration must be put in place. Sponsor personnel whose responsibilities require access to personal data agree to keep the identity of participants confidential.

The informed consent obtained from the participant includes explicit consent for the processing of personal data and for the investigator/institution to allow direct access to his or her original medical records (source data/documents) for study-related monitoring, audit, IEC/IRB review, and regulatory inspection. This consent also addresses the transfer of the data to other entities and to other countries.

The participant has the right to request through the investigator access to his or her personal data and the right to request rectification of any data that are not correct or complete. Reasonable steps

will be taken to respond to such a request, taking into consideration the nature of the request, the conditions of the study, and the applicable laws and regulations.

Exploratory DNA, PD, biomarker. PK [and] immunogenicity research is not conducted under standards appropriate for the return of data to participants. In addition, the Sponsor cannot make decisions as to the significance of any findings resulting from exploratory research. Therefore, exploratory research data will not be returned to participants or investigators, unless required by law or local regulations. Privacy and confidentiality of data generated in the future on stored samples will be protected by the same standards applicable to all other clinical data.]

#### **10.6.5. Long-Term Retention of Samples for Additional Future Research**

Samples collected in this study may be stored for up to 15 years (or according to local regulations) for additional research. Samples will only be used to understand guselkumab, to understand PsA, to understand differential intervention responders, and to develop tests/assays related to guselkumab and PsA. The research may begin at any time during the study or the post-study storage period.

Stored samples will be coded throughout the sample storage and analysis process and will not be labeled with personal identifiers. Participants may withdraw their consent for their samples to be stored for research (refer to Section 7.2.1, Withdrawal From the Use of Research Samples).

#### **10.6.6. Committees Structure**

##### **Data Monitoring Committee**

Not applicable

#### **10.6.7. Publication Policy/Dissemination of Clinical Study Data**

All information, including but not limited to information regarding guselkumab or the Sponsor's operations (eg, patent application, formulas, manufacturing processes, basic scientific data, prior clinical data, formulation information) supplied by the Sponsor to the investigator and not previously published, and any data, including pharmacogenomic or exploratory biomarker research data, generated as a result of this study, are considered confidential and remain the sole property of the Sponsor. The investigator agrees to maintain this information in confidence and use this information only to accomplish this study and will not use it for other purposes without the Sponsor's prior written consent.

The investigator understands that the information developed in the study will be used by the Sponsor in connection with the continued development of guselkumab, and thus may be disclosed as required to other clinical investigators or regulatory agencies. To permit the information derived from the clinical studies to be used, the investigator is obligated to provide the Sponsor with all data obtained in the study.

The results of the study will be reported in a Clinical Study Report generated by the Sponsor and will contain data from all study sites that participated in the study as per protocol. Recruitment

performance or specific expertise related to the nature and the key assessment parameters of the study will be used to determine a coordinating investigator for the study. Results of pharmacogenomic or exploratory biomarker analyses performed after the Clinical Study Report has been issued may be reported in a separate report and will not require a revision of the Clinical Study Report.

Study participant identifiers will not be used in publication of results. Any work created in connection with performance of the study and contained in the data that can benefit from copyright protection (except any publication by the investigator as provided for below) shall be the property of the Sponsor as author and owner of copyright in such work.

Consistent with Good Publication Practices and International Committee of Medical Journal Editors (ICMJE) guidelines, the Sponsor shall have the right to publish such primary (multicenter) data and information (which may occur prior to final DBL if the Sponsor assesses that publication is not likely to have a significant impact on the safety or physical or mental integrity of the clinical study participants, or the scientific value of the study) without approval from the investigator. The investigator has the right to publish study site-specific data after the primary data are published. If an investigator wishes to publish information from the study, a copy of the manuscript must be provided to the Sponsor for review at least 60 days before submission for publication or presentation. Expedited reviews will be arranged for abstracts, poster presentations, or other materials. If requested by the Sponsor in writing, the investigator will withhold such publication for up to an additional 60 days to allow for filing of a patent application. In the event that issues arise regarding scientific integrity or regulatory compliance, the Sponsor will review these issues with the investigator. The Sponsor will not mandate modifications to scientific content and does not have the right to suppress information. For multicenter study designs and sub-study approaches, secondary results generally should not be published before the primary endpoints of a study have been published. Similarly, investigators will recognize the integrity of a multicenter study by not submitting for publication data derived from the individual study site until the combined results from the completed study have been submitted for publication, within 18 months after the study end date, or the Sponsor confirms there will be no multicenter study publication. Authorship of publications resulting from this study will be based on the guidelines on authorship, such as those described in the ICMJE Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals, which state that the named authors must have made a significant contribution to the conception or design of the work; or the acquisition, analysis, or interpretation of the data for the work; and drafted the work or revised it critically for important intellectual content; and given final approval of the version to be published; and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

### **Registration of Clinical Studies and Disclosure of Results**

The Sponsor will register and disclose the existence of and the results of clinical studies as required by law. The disclosure of the final study results will be performed after the end of study in order to ensure the statistical analyses are relevant.

## **10.6.8. Data Quality Assurance**

### **Data Quality Assurance/Quality Control**

Steps to be taken to ensure the accuracy and reliability of data include the selection of qualified investigators and appropriate study sites, review of protocol procedures with the investigator and study site personnel before the study, periodic monitoring visits by the Sponsor or designee, and direct transmission of clinical laboratory data from a central laboratory into the Sponsor's data base. Written instructions will be provided for collection, handling, storage, and shipment of samples.

Guidelines for eCRF completion will be provided and reviewed with study site personnel before the start of the study.

The Sponsor or designee will review eCRF for accuracy and completeness during on-site monitoring visits and after transmission to the Sponsor; any discrepancies will be resolved with the investigator or designee, as appropriate. After upload of the data into the study database they will be verified for accuracy and consistency with the data sources.

## **10.6.9. Case Report Form Completion**

Case report forms are prepared and provided by the Sponsor for each participant in electronic format. All data relating to the study must be recorded in eCRF. All eCRF entries, corrections, and alterations must be made by the investigator or authorized study site personnel. The investigator must verify that all data entries in the eCRF are accurate and correct.

The study data will be transcribed by study site personnel from the source documents onto an eCRF, if applicable. Study-specific data will be transmitted in a secure manner to the Sponsor.

Worksheets may be used for the capture of some data to facilitate completion of the eCRF. Any such worksheets will become part of the participant's source documents. Data must be entered into eCRF in English. The eCRF must be completed as soon as possible after a participant visit and the forms should be available for review at the next scheduled monitoring visit.

All participative measurements (eg, pain scale information or other questionnaires) will be completed by the same individual who made the initial baseline determinations whenever possible.

If necessary, queries will be generated in the eDC tool. If corrections to a eCRF are needed after the initial entry into the eCRF, this can be done in either of the following ways:

- Investigator and study site personnel can make corrections in the eDC tool at their own initiative or as a response to an auto query (generated by the eDC tool).
- Sponsor or Sponsor's delegate can generate a query for resolution by the investigator and study site personnel.

### **10.6.10. Source Documents**

At a minimum, source documents consistent in the type and level of detail with that commonly recorded at the study site as a basis for standard medical care must be available for the following: participant identification, eligibility, and study identification; study discussion and date of signed informed consent; dates of visits; results of safety and efficacy parameters as required by the protocol; record of all AEs and follow-up of AEs; concomitant medication; intervention receipt/dispensing/return records; study intervention administration information; and date of study completion and reason for early discontinuation of study intervention or withdrawal from the study, if applicable.

The author of an entry in the source documents should be identifiable. Given that patient-reported outcomes (PROs) are reports of a patient's health condition that come directly from the patient, without interpretation by a clinician or anyone else, the responses to PRO measures entered by trial participants into source records cannot be overridden by site staff or investigators.

Specific details required as source data for the study and source data collection methods will be reviewed with the investigator before the study and will be described in the monitoring guidelines (or other equivalent document).

The minimum source documentation requirements for Section 5.1, Inclusion Criteria and Section 5.2, Exclusion Criteria that specify a need for documented medical history are as follows:

- Referral letter from treating physician or
- Complete history of medical notes at the site
- Discharge summaries

Inclusion and exclusion criteria not requiring documented medical history must be verified at a minimum by participant interview or other protocol required assessment (eg, physical examination, laboratory assessment) and documented in the source documents.

An electronic source (eSource) system may be utilized, which contains data traditionally maintained in a hospital or clinic record to document medical care (eg, electronic source documents) as well as the clinical study-specific data fields as determined by the protocol. These data are electronically extracted for use by the Sponsor. If eSource is utilized, references made to the eCRF in the protocol include the eSource system but information collected through eSource may not be limited to that found in the eCRF.

### **10.6.11. Monitoring**

The Sponsor will use a combination of monitoring techniques central, remote, or on-site monitoring to monitor this study.

The Sponsor or designee will perform on-site monitoring visits as frequently as necessary. The monitor will record dates of the visits in a study site visit log that will be kept at the study site. The first post-initiation visit will be made as soon as possible after enrollment has begun. At these

visits, the monitor will compare the data entered into the eCRF with the source documents (eg, hospital/clinic/physician's office medical records). The nature and location of all source documents will be identified to ensure that all sources of original data required to complete the eCRF are known to the Sponsor or designee and study site personnel and are accessible for verification by the Sponsor study site contact. If electronic records are maintained at the study site, the method of verification must be discussed with the study site personnel.

Direct access to source documents (medical records) must be allowed for the purpose of verifying that the recorded data are consistent with the original source data. Findings from this review will be discussed with the study site personnel. The Sponsor or designee expects that, during monitoring visits, the relevant study site personnel will be available, the source documents will be accessible, and a suitable environment will be provided for review of study-related documents. The monitor will meet with the investigator on a regular basis during the study to provide feedback on the study conduct.

In addition to on-site monitoring visits, remote contacts can occur. It is expected that during these remote contacts, study site personnel will be available to provide an update on the progress of the study at the site.

Central monitoring will take place for data identified by the Sponsor or designee as requiring central review.

#### **10.6.12. On-Site Audits**

Representatives of the Sponsor's (or Sponsor designee's) clinical quality assurance department may visit the study site at any time during or after completion of the study to conduct an audit of the study in compliance with regulatory guidelines and company policy. These audits will require access to all study records, including source documents, for inspection. Participant privacy must, however, be respected. The investigator and study site personnel are responsible for being present and available for consultation during routinely scheduled study site audit visits conducted by the Sponsor or its designees.

Similar auditing procedures may also be conducted by agents of any regulatory body, either as part of a national GCP compliance program or to review the results of this study in support of a regulatory submission. The investigator should immediately notify the Sponsor or designee if he or she has been contacted by a regulatory agency concerning an upcoming inspection.

#### **10.6.13. Record Retention**

In compliance with the ICH/GCP guidelines, the investigator/institution will maintain all eCRF and all source documents that support the data collected from each participant, as well as all study documents as specified in ICH/GCP Section 8, Essential Documents for the Conduct of a Clinical Trial, and all study documents as specified by the applicable regulatory requirement(s). The investigator/institution will take measures to prevent accidental or premature destruction of these documents.

Essential documents must be retained until at least 2 years after the last approval of a marketing application in an ICH region and until there are no pending or contemplated marketing applications in an ICH region or until at least 2 years have elapsed since the formal discontinuation of clinical development of the investigational product. These documents will be retained for a longer period if required by the applicable regulatory requirements or by an agreement with the Sponsor. It is the responsibility of the Sponsor to inform the investigator/institution as to when these documents no longer need to be retained.

If the responsible investigator retires, relocates, or for other reasons withdraws from the responsibility of keeping the study records, custody must be transferred to a person who will accept the responsibility. The Sponsor must be notified in writing of the name and address of the new custodian. Under no circumstance shall the investigator relocate or dispose of any study documents before having obtained written approval from the Sponsor or designee.

If it becomes necessary for the Sponsor or the appropriate regulatory authority to review any documentation relating to this study, the investigator/institution must permit access to such reports.

#### **10.6.14. Study and Site Start and Closure**

##### **First Act of Recruitment**

The first site open is considered the first act of recruitment and it becomes the study start date.

##### **Study/Site Termination**

The Sponsor reserves the right to close the study site or terminate the study at any time for any reason at the sole discretion of the Sponsor. Study sites will be closed upon study completion. A study site is considered closed when all required documents and study supplies have been collected and a study site closure visit has been performed.

The investigator may initiate study site closure at any time, provided there is reasonable cause and sufficient notice is given in advance of the intended termination.

Reasons for the early closure of a study site by the Sponsor or investigator may include but are not limited to:

- Failure of the investigator to comply with the protocol, the requirements of the IEC/IRB or local health authorities, the Sponsor's procedures, or GCP guidelines
- Inadequate recruitment of participants by the investigator
- Discontinuation of further study intervention development

## **10.7. Appendix 7: Adverse Events, Serious Adverse Events, Product Quality Complaints, and Other Safety Reporting: Definitions and Procedures for Recording, Evaluating, Follow-up, and Reporting**

### **10.7.1. Adverse Event Definitions and Classifications**

#### **Adverse Event**

An AE is any untoward medical occurrence in a clinical study participant administered a pharmaceutical (investigational or non-investigational) product. An AE does not necessarily have a causal relationship with the intervention. An AE can therefore be any unfavorable and unintended sign (including an abnormal finding), symptom, or disease temporally associated with the use of a medicinal (investigational or non-investigational) product, whether or not related to that medicinal (investigational or non-investigational) product. (Definition per International Conference on Harmonisation [ICH]).

This includes any occurrence that is new in onset or aggravated in severity or frequency from the baseline condition, or abnormal results of diagnostic procedures, including laboratory test abnormalities.

Note: The Sponsor collects AEs starting with the signing of the ICF (refer to All Adverse Events under Section 8.3.1, Time Period and Frequency for Collecting Adverse Events and Serious Adverse Events Information, for time of last AE recording).

For combination products with a device constituent, AEs include those resulting from insufficient or inadequate instructions for use, deployment, implantation, installation, or operation, or any malfunction of the device. It includes any AE resulting from use error or from intentional misuse of the investigational device.

#### **Serious Adverse Event**

An SAE based on ICH and EU Guidelines on Pharmacovigilance for Medicinal Products for Human Use is any untoward medical occurrence that at any dose:

- Results in death
- Is life-threatening  
(The participant was at risk of death at the time of the event. It does not refer to an event that hypothetically might have caused death if it were more severe.)
- Requires inpatient hospitalization or prolongation of existing hospitalization
- Results in persistent or significant disability/incapacity
- Is a congenital anomaly/birth defect
- Is a suspected transmission of any infectious agent via a medicinal product
- Is Medically Important\*

\*Medical and scientific judgment should be exercised in deciding whether expedited reporting is also appropriate in other situations, such as important medical events that may not be immediately life threatening or result in death or hospitalization but may jeopardize the participant or may require intervention to prevent one of the other outcomes listed in the definition above. These should usually be considered serious.

For combination products with a device constituent, SAEs include adverse device effects that resulted in any of the consequences characteristic of an SAE. An unanticipated serious adverse device effect is a serious adverse device effect which by its nature, incidence, severity or outcome has not been identified in the current version of the risk analysis report (see Section 2.3).

### **Unlisted (Unexpected) Adverse Event/Reference Safety Information**

An AE is considered unlisted if the nature or severity is not consistent with the applicable product reference safety information. For guselkumab, the expectedness of an AE will be determined by whether or not it is listed in the IB.

#### **10.7.2. Attribution Definitions**

##### **Assessment of Causality**

The causal relationship to study intervention is determined by the Investigator. The following selection should be used to assess all AEs.

##### **Related**

There is a reasonable causal relationship between study intervention administration and the AE.

##### **Not Related**

There is not a reasonable causal relationship between study intervention administration and the AE.

The term "reasonable causal relationship" means there is evidence to support a causal relationship.

#### **10.7.3. Severity Criteria**

An assessment of severity grade will be made using the following general categorical descriptors:

**Mild:** Awareness of symptoms that are easily tolerated, causing minimal discomfort and not interfering with everyday activities.

**Moderate:** Sufficient discomfort is present to cause interference with normal activity.

**Severe:** Extreme distress, causing significant impairment of functioning or incapacitation. Prevents normal everyday activities.

The investigator should use clinical judgment in assessing the severity of events not directly experienced by the participant (eg, laboratory abnormalities).

#### **10.7.4. Special Reporting Situations**

Safety events of interest on a Sponsor study intervention in an interventional study that may require expedited reporting or safety evaluation include, but are not limited to:

- Overdose of a Sponsor study intervention
- Suspected abuse/misuse of a Sponsor study intervention
- Accidental or occupational exposure to a Sponsor study intervention
- Medication error, intercepted medication error, or potential medication error involving a Johnson & Johnson medicinal product (with or without patient exposure to the Johnson & Johnson medicinal product, eg, product name confusion, product label confusion, intercepted prescribing or dispensing errors)
- Exposure to a Sponsor study intervention from breastfeeding

Special reporting situations should be recorded in the eCRF. Any special reporting situation that meets the criteria of an SAE should be recorded on the SAE page of the eCRF.

#### **10.7.5. Procedures**

##### **All Adverse Events**

All AEs, regardless of seriousness, severity, or presumed relationship to study intervention, must be recorded using medical terminology in the source document and the eCRF. Whenever possible, diagnoses should be given when signs and symptoms are due to a common etiology (eg, cough, runny nose, sneezing, sore throat, and head congestion should be reported as "upper respiratory infection"). Investigators must record in the eCRF their opinion concerning the relationship of the AE to study therapy. All measures required for AE management must be recorded in the source document and reported according to Sponsor instructions.

For all studies with an outpatient phase, including open-label studies, the participant must be provided with a "wallet (study) card" and instructed to carry this card with them for the duration of the study indicating the following:

- Study number
- Statement, in the local language(s), that the participant is participating in a clinical study
- Investigator's name and 24-hour contact telephone number
- Local Sponsor's or designee name and 24-hour contact telephone number (for medical personnel only)
- Site number
- Participant number
- Any other information that is required to do an emergency breaking of the blind

## **Serious Adverse Events**

All SAEs that have not resolved by the end of the study, or that have not resolved upon the participant's discontinuation from the study, must be followed until any of the following occurs:

- The event resolves
- The event stabilizes
- The event returns to baseline, if a baseline value/status is available
- The event can be attributed to agents other than the study intervention or to factors unrelated to study conduct
- It becomes unlikely that any additional information can be obtained (participant or health care practitioner refusal to provide additional information, lost to follow-up after demonstration of due diligence with follow-up efforts)

Suspected transmission of an infectious agent by a medicinal product will be reported as a serious adverse event. Any event requiring hospitalization (or prolongation of hospitalization) that occurs during participation in the study must be reported as an SAE, except hospitalizations for the following:

- Hospitalizations not intended to treat an acute illness or AE (eg, social reasons such as pending placement in long-term care facility)
- Surgery or procedure planned before entry into the study (must be documented in the eCRF). Note: Hospitalizations that were planned before the signing of the ICF, and where the underlying condition for which the hospitalization was planned has not worsened, will not be considered SAEs. Any AE that results in a prolongation of the originally planned hospitalization is to be reported as a new SAE.

The cause of death of a participant in a study within 12 weeks of the last dose of study intervention, whether or not the event is expected or associated with the study intervention, is considered an SAE.

Information regarding SAEs will be transmitted to the Sponsor using an SAE reporting form and safety report form of the eCRF, which must be completed and reviewed by a physician from the study site, and transmitted in a secure manner to the Sponsor within 24 hours. The initial and follow-up reports of an SAE should be transmitted in a secure manner electronically or by facsimile (fax). Telephone reporting should be the exception and the reporter should be asked to complete the appropriate form(s) first.

### **10.7.6. Product Quality Complaint Handling**

#### **Definition**

A product quality complaint (PQC) is defined as any suspicion of a product defect related to manufacturing, labeling, or packaging, ie, any dissatisfaction relative to the identity, quality, durability, reliability, or performance of a distributed product, including its labeling, drug delivery system, or package integrity. A PQC may have an impact on the safety and efficacy of the product.

In addition, it includes any technical complaints, defined as any complaint that indicates a potential quality issue during manufacturing, packaging, release testing, stability monitoring, dose preparation, storage or distribution of the product or the drug delivery system.

This definition includes any PQC related to a device constituent in a combination product, including those used in the administration of the study intervention or the comparator. A device deficiency is an inadequacy of a device with respect to its identity, quality, durability, reliability, safety, or performance. Device deficiencies include malfunctions, use errors, and inadequate labeling.

### **Procedures**

All initial PQCs must be reported to the Sponsor by the study site personnel within 24 hours after being made aware of the event.

A sample of the suspected product should be maintained under the correct storage conditions until a shipment request is received from the Sponsor.

#### **10.7.7. Contacting Sponsor Regarding Safety, Including Product Quality**

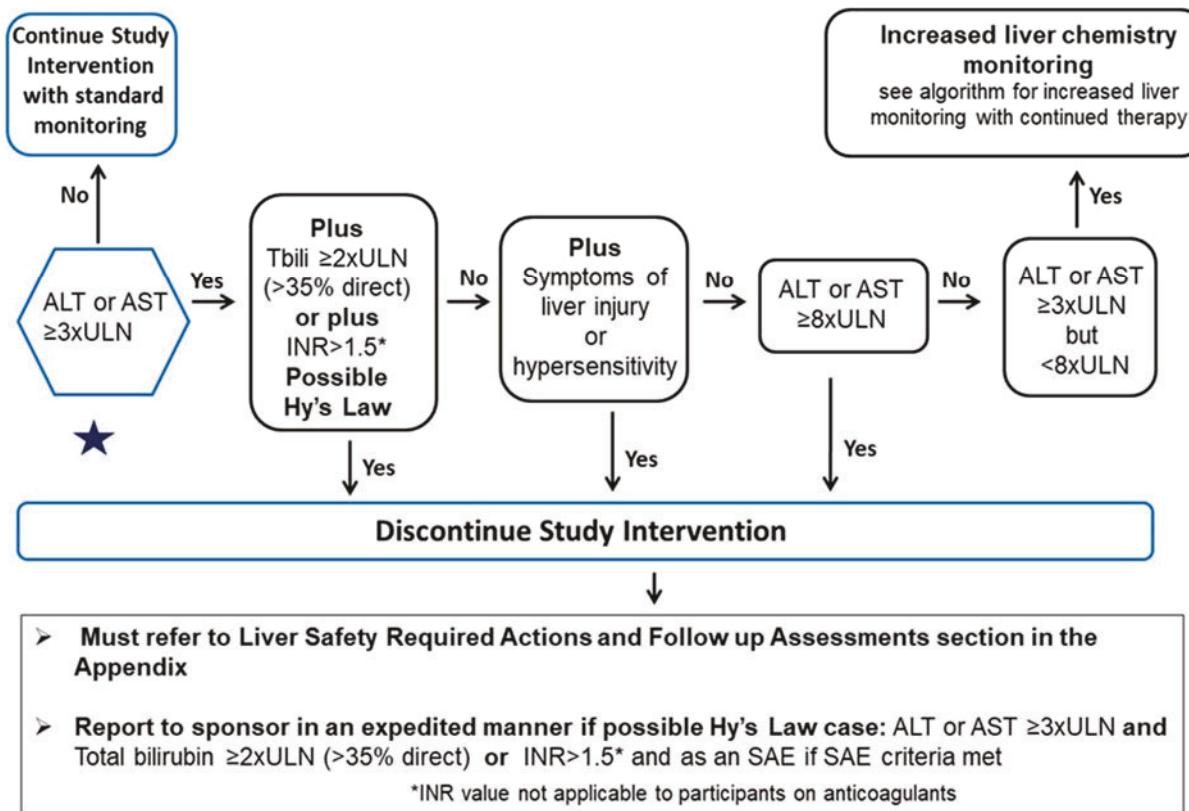
The names (and corresponding telephone numbers) of the individuals who should be contacted regarding safety issues, PQC, or questions regarding the study are listed in the Contact Information page(s), which will be provided as a separate document.

## 10.8. Appendix 8: Liver Safety: Suggested Actions and Follow-up Assessments

### A. STOPPING ALGORITHM

#### Liver Chemistry Stopping Criteria and Increased Monitoring Algorithm

Study intervention will be discontinued for a participant if liver chemistry stopping criteria are met.



- Must refer to Liver Safety Required Actions and Follow up Assessments section in the Appendix
- Report to sponsor in an expedited manner if possible Hy's Law case: ALT or AST  $\geq 3\times$ ULN and Total bilirubin  $\geq 2\times$ ULN (>35% direct) or INR>1.5\* and as an SAE if SAE criteria met

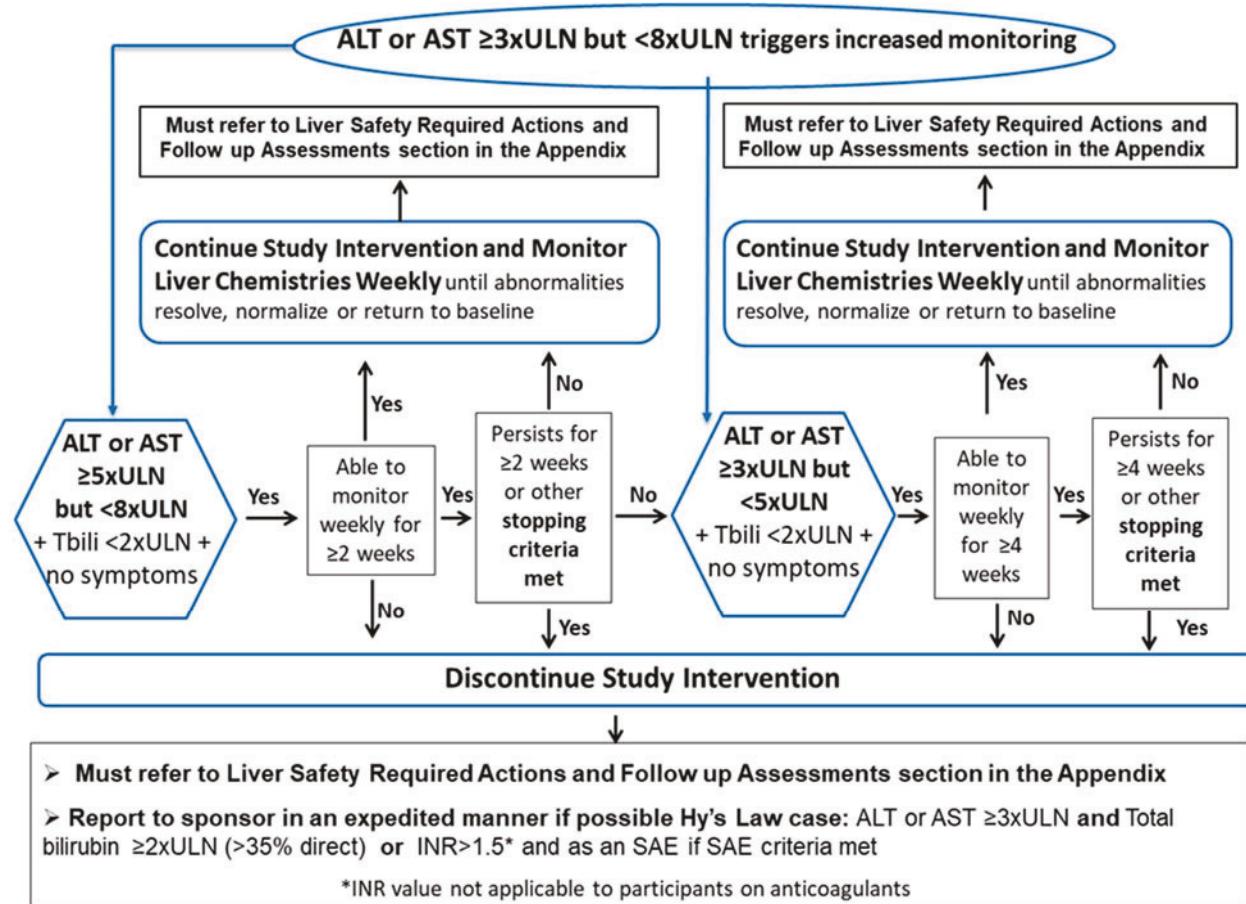
\*INR value not applicable to participants on anticoagulants

Abbreviations: ALT = alanine transaminase; AST = aspartate transaminase, INR = international normalized ratio; SAE = serious adverse event; ULN = upper limit of normal, Tbili = Total bilirubin.

Refer to Appendix [8B]: Follow-up Assessments.

## Liver Chemistry Increased Monitoring Algorithm with Continued Study Intervention for Participants with ALT or AST $\geq 3\times$ ULN but $<8\times$ ULN

Study intervention will be discontinued for a participant if liver chemistry stopping criteria are met.



Abbreviations: ALT = alanine transaminase; AST = aspartate transaminase, INR = international normalized ratio; SAE = serious adverse event; ULN = upper limit of normal, Tbili = Total bilirubin.

Refer to Appendix [8B]: Follow-up Assessments.

## B. FOLLOW-UP ASSESSMENTS

### Liver Safety: Follow-up Assessments

Phase 3-4 liver chemistry stopping criteria are designed to assure participant safety and to evaluate liver event etiology.

### Phase 3-4 Liver Chemistry Stopping Criteria and Follow-Up assessments

Liver Chemistry Stopping Criteria	
<b>ALT or AST-absolute</b>	ALT or AST $\geq$ 8xULN
<b>ALT or AST-Increase</b>	ALT or AST $\geq$ 5xULN but $<$ 8xULN persists for $\geq$ 2 weeks ALT or AST $\geq$ 3xULN but $<$ 5xULN persists for $\geq$ 4 weeks
<b>Bilirubin<sup>1,2</sup></b>	ALT or AST $\geq$ 3xULN <b>and</b> total bilirubin $\geq$ 2xULN
<b>INR<sup>2</sup></b>	ALT or AST $\geq$ 3xULN <b>and</b> international normalized ratio (INR) $>$ 1.5
<b>Cannot Monitor</b>	ALT or AST $\geq$ 5xULN but $<$ 8xULN and cannot be monitored weekly for $\geq$ 2 weeks ALT or AST $\geq$ 3xULN but $<$ 5xULN and cannot be monitored weekly for $\geq$ 4 weeks
<b>Symptomatic<sup>3</sup></b>	ALT or AST $\geq$ 3xULN associated with symptoms (new or worsening) believed to be related to liver injury or hypersensitivity

Suggested Actions, Monitoring, and Follow up Assessments	
Actions	Follow Up Assessments
<ul style="list-style-type: none"> <li>• <b>Immediately</b> discontinue study intervention</li> <li>• Report the event to the Sponsor within <b>24 hours</b></li> <li>• Complete the hepatic event form and complete an SAE eCRF if the event also met the criteria for an SAE<sup>2</sup></li> <li>• Perform follow-up assessments as described in the Follow up Assessment column</li> <li>• Monitor the participant until liver chemistry test abnormalities resolve, stabilize, or return to baseline</li> </ul> <p><b>MONITORING:</b></p> <p><b>If ALT or AST <math>\geq</math>3xULN AND total bilirubin <math>\geq</math>2xULN or INR <math>&gt;</math>1.5:</b></p> <ul style="list-style-type: none"> <li>• Repeat liver chemistry tests (include ALT, aspartate transaminase [AST], alkaline phosphatase, total bilirubin, direct bilirubin, and INR) and perform</li> </ul>	<ul style="list-style-type: none"> <li>• Viral hepatitis serology<sup>4</sup></li> <li>• Obtain blood sample for pharmacokinetic (PK)<sup>5</sup> analysis within 1 week of the event of ALT or AST <math>\geq</math>3xULN</li> <li>• Obtain serum creatine phosphokinase (CPK), lactate dehydrogenase (LDH), gamma-glutamyltransferase [GGT], and glutamate dehydrogenase [GLDH], and serum albumin</li> <li>• Fractionate bilirubin, if total bilirubin <math>\geq</math>2xULN</li> <li>• Obtain complete blood count with differential to assess eosinophilia</li> <li>• Record the appearance or worsening of clinical symptoms of liver injury, or hypersensitivity, on hepatic event form</li> <li>• Record use of concomitant medications (including acetaminophen, herbal remedies, recreational drugs, and other over-the-counter medications)</li> <li>• Record alcohol use on the hepatic event form</li> </ul>

<p>liver event follow-up assessments within <b>24 hours</b></p> <ul style="list-style-type: none"> <li>Monitor participant twice weekly until liver chemistry test abnormalities resolve, stabilize, or return to baseline</li> <li>A specialist or hepatology consultation is recommended</li> </ul> <p><b>If ALT or AST<math>\geq</math>3xULN AND total bilirubin &lt;2xULN and INR <math>\leq</math>1.5:</b></p> <ul style="list-style-type: none"> <li>Repeat liver chemistry tests (include ALT, AST, alkaline phosphatase, total bilirubin, direct bilirubin, and INR) and perform liver chemistry follow-up assessments within <b>24 to 72 hours</b></li> <li>Monitor participants weekly until liver chemistry abnormalities resolve, stabilize, or return to baseline</li> </ul> <p><b>RESTART</b></p> <ul style="list-style-type: none"> <li><b>Do not restart</b> participant with study intervention unless allowed per protocol and Sponsor approval is granted</li> <li>If restart is either <b>not allowed per protocol or not granted</b>, permanently discontinue study intervention. The participant may continue in the study for any protocol specified follow up assessments</li> </ul>	<p><b>If ALT or AST <math>\geq</math>3xULN AND total bilirubin <math>\geq</math>2xULN or INR <math>&gt;</math>1.5</b> obtain the following in addition to the assessments listed above:</p> <ul style="list-style-type: none"> <li>Anti-nuclear antibody, anti-smooth muscle antibody, Type 1 anti-liver kidney microsomal antibodies, and quantitative total immunoglobulin G (IgG) or gamma globulins</li> <li>Serum acetaminophen adduct assay, when available, to assess potential acetaminophen (paracetamol) contribution to liver injury in participants with definite or likely acetaminophen use in the preceding week</li> <li>Liver imaging (ultrasound, magnetic resonance, or computerized tomography) to evaluate liver disease; complete liver Imaging form</li> <li>Liver biopsy may be considered and discussed with local specialist if available, for instance: <ul style="list-style-type: none"> <li>In patients when serology raises the possibility of autoimmune hepatitis (AIH)</li> <li>In patients when suspected drug-induced liver injury (DILI) progresses or fails to resolve on withdrawal of study intervention</li> <li>In patients with acute or chronic atypical presentation</li> </ul> </li> <li>If liver biopsy conducted record in eCRF</li> </ul>
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1. Serum bilirubin fractionation should be performed if testing is available. If serum bilirubin fractionation is not immediately available, discontinue study intervention if ALT or AST  $\geq$ 3xULN and total bilirubin  $\geq$ 2xULN. Additionally, if serum bilirubin fractionation testing is unavailable, **record the absence/presence of detectable urinary bilirubin on dipstick** which is indicative of direct bilirubin elevations suggesting liver injury.
2. All events of ALT or AST  $\geq$ 3xULN and total bilirubin  $\geq$ 2xULN or ALT or AST  $\geq$ 3xULN and INR  $>$ 1.5 (if measured), may indicate severe liver injury (**possible 'Hy's Law'**) and **must be reported to sponsor in an expedited manner** using the SAE form, even before all other possible causes of liver injury have been excluded. A confirmed Hy's law case must be reported as an SAE. The INR stated threshold value will not apply to participants receiving anticoagulants.
3. New or worsening symptoms believed to be related to liver injury (such as fatigue, nausea, vomiting, right upper quadrant pain or tenderness, or jaundice) or hypersensitivity (such as fever, rash or eosinophilia).
4. Includes hepatitis A immunoglobulin M (IgM) antibody; HBsAg and HBcAb; hepatitis C RNA; hepatitis C antibody, cytomegalovirus IgM antibody; Epstein-Barr viral capsid antigen IgM antibody (or if unavailable, heterophile antibody or monospot testing); and hepatitis E IgM antibody.
5. PK sample may not be required for participants known to be receiving placebo or non-comparator interventions. Record the date/time of the PK blood sample draw and the date/time of the last dose of study intervention prior to the PK blood sample draw on the eCRF. If the date or time of the last dose is unclear, provide the participant's best approximation. If the date/time of the last dose cannot be approximated OR a PK sample cannot be collected in the time period indicated above, do not obtain a PK sample. Instructions for sample handling and shipping are in the laboratory Manual.

A participant who met liver chemistry stopping criteria cannot restart study intervention unless all of the following conditions are met:

- Sponsor approval **is granted** (as described below)
- Institutional Review Board/Independent Ethics Committee (IRB/IEC) approval is obtained
- Separate ICF for intervention restart is signed by the participant

If sponsor approval to restart/rechallenge the participant with study intervention **is not granted**, then the participant must permanently discontinue study intervention and may continue in the study for protocol-specified follow-up assessments.

### **Restart Following Transient Resolving Liver Chemistry Events Not Related to Study Intervention**

- Restart refers to resuming study intervention following liver chemistry events for which there are clear underlying causes (other than DILI) (eg, biliary obstruction, pancreatic events, hypotension, acute viral hepatitis). Furthermore, restart is not permitted following liver stopping event when the underlying cause was alcohol-related hepatitis. Approval by the sponsor for study intervention restart can be considered when:
  - The investigator requests consideration for study intervention restart if liver chemistry events have a clear underlying cause (eg, biliary obstruction, pancreatic events, hypotension, acute viral hepatitis) and liver chemistry tests have improved to normal or are within 1.5 x baseline and ALT or AST <3xULN.
  - Possible DILI has been excluded by the investigator and the study team. This includes the absence of markers of hypersensitivity (otherwise unexplained fever, rash, eosinophilia). Where a study intervention has an identified genetic marker associated with liver injury (eg, lapatinib, abacavir, amoxicillin/clavulanate), the presence of the marker should be excluded.
  - There is no evidence of alcoholic-related hepatitis.
  - IRB/IEC approval of study intervention restart has been obtained.

#### **If restart of study intervention is approved by the [sponsor] in writing:**

- The participant must be provided with a clear description of the possible benefits and risks of study intervention administration including the possibility of recurrent, more severe liver injury, liver transplantation or death.
- The participant must provide signed informed consent specifically for the restart of study intervention. Documentation of informed consent must be recorded in the study file.
- Study intervention must be administered at the dose specified by the sponsor.
- Participants approved by the sponsor for restart of study intervention must return to the clinic twice a week for liver chemistry tests until stable liver chemistry tests have been demonstrated and then standard laboratory monitoring may resume as per protocol.

- If the participant meets protocol-defined liver chemistry stopping criteria after study intervention restart, study intervention should be permanently discontinued.
- The sponsor, Medical Monitor, and the IRB/IEC, must be informed of the outcome for the participant following study intervention restart.
- The sponsor must be notified of any AEs.

## **10.9. Appendix 9: Study Conduct During a Natural Disaster**

### **GUIDANCE ON STUDY CONDUCT DURING THE COVID-19 PANDEMIC**

It is recognized that the Coronavirus Disease 2019 (COVID-19) pandemic may have an impact on the conduct of this clinical study due to, for example, self-isolation or quarantine of participants and study-site personnel; travel restrictions/limited access to public places, including hospitals; study site personnel being unavailable, isolated, or reassigned to critical tasks.

The sponsor is providing options for study related participant management in the event of disruption to the conduct of the study. This guidance does not supersede any local or government guidelines or requirements or the clinical judgment of the investigator to protect the health and well-being of participants and site staff. If, at any time, a participant's safety is considered to be at unacceptable risk, study intervention will be discontinued, and study follow-up will be conducted.

If, as a result of the COVID-19 pandemic, visits cannot be conducted in-person at the study site, they will be performed to the extent possible remotely/virtually or delayed until such time that on-site visits can be resumed. At each contact, participants will be interviewed to collect safety data. Key efficacy endpoint assessments should be performed if required and as feasible. Participants will also be questioned regarding general health status to fulfill any physical examination requirement.

Every effort should be made to adhere to protocol-specified assessments for participants on study intervention, including follow-up. Modifications to protocol-required assessments may be permitted after consultation with the participant, investigator, and the sponsor.

The sponsor will continue to monitor the conduct and progress of the clinical study, and any changes will be communicated to the sites and to the health authorities according to local guidance.

If a participant has tested positive for COVID-19, the investigator should contact the sponsor's medical officer or designee to discuss plans for administration of study intervention, performing study assessments, and follow-up. Modifications made to the study conduct as a result of the COVID-19 pandemic should be summarized in the clinical study report.

#### **ADDITIONAL ELEMENTS, WHERE APPLICABLE:**

- Certain protocol-mandated visits to the study site may not be possible during the COVID-19 outbreak. Therefore, temporary measures may be implemented if considered appropriate by the sponsor and investigator to maintain continuity of patient care and study integrity. Certain measures, such as those listed below, may be necessary and should be instituted in accordance with applicable (including local) laws, regulations, guidelines, and procedures:

- remote (eg, by phone / telemedicine) or in-person, off-site (eg, in-home) interactions between site staff (or designees) and patients for study procedures (eg, those related to safety monitoring / efficacy evaluation / study intervention storage and administration [including training where pertinent])
  - procurement of study intervention by patients (or designee) or shipment of study intervention from the study site directly to patients for at-home administration
  - laboratory assessments using a suitably accredited local laboratory; for selected measures (eg, urine pregnancy), home testing may be employed
- Missed assessments/visits will be captured in the clinical trial management system for protocol deviations. Discontinuations of study interventions and withdrawal from the study should be documented with the prefix “COVID-19-related” in the eCRF.
  - other relevant study data elements impacted by the pandemic should also be documented / labeled as “COVID-19-related” in eCRFs and / or other study systems, as directed by detailed sponsor guidance. These may include missed / delayed / modified study visits / assessments / dosing, and instances where temporary measures such as those above are implemented.
- The sponsor will evaluate the totality of impact of COVID-19 on collection of key study data and additional data analyses will be outlined in study SAP(s).
- Precaution: for those who may carry a higher risk for severe COVID-19 illness (eg, those aged over 65 years), follow guidance from local health authorities when weighing the potential benefits and risks of enrolling in the study, and during participation in the study.

## 10.10. Appendix 10: Protocol Amendment History

The Protocol Amendment Summary of Changes Table for the current amendment is located directly before the Table of Contents (TOC).

### Amendment 1 (07 April 2021)

**Overall Rationale for the Amendment:** To add a stratification level, revise the percentage of improvement from baseline in tender and swollen joint counts for early escape (EE) qualification, update definition of psoriatic arthritis (PsA), add COVID-19 related information, and update protocol to match with the current protocol template (v11.0) changes.

Section number and Name	Description of Change	Brief Rationale
1.1. Synopsis: Intervention Groups and Duration; 4.1. Overall Design; 6.3. Measures to Minimize Bias: Randomization and Blinding: Intervention Allocation	An additional stratification level was added and the criteria for participant assignment into strata was mentioned.	To ensure participants whose radiographic images are more difficult to read are randomized to ratio across the treatment groups.
1.1. Synopsis: Intervention Groups and Duration; 1.2. Schema; 4.1. Overall Design; 4.2. Scientific Rational for Study Design: Study Phases and Duration of Treatment; 6.8. Concomitant Therapy	The percentage of improvement from baseline in tender and swollen joint counts for EE qualification was updated from <5% to <20%.	To respond to Health Authority's feedback by allowing greater proportion of participants EE treatment options.
1.1. Synopsis; 9.4.2. Primary Endpoint	The primary estimand has been changed from purely using the composite strategy, to including a hypothetical component where the COVID-19 pandemic and intercurrent event (ICE)s specifically resulting from COVID-19 are treated as if they did not happen. This includes splitting ICE category 1 into ICE categories 1 and 4, adding ICE category 5, splitting missing data imputation depending on whether they were COVID-19 pandemic related, as well as adjusting the analysis method to be able to handle multiply imputed data.	To reduce the impact of the ongoing COVID-19 pandemic on the analysis.
1.1. Synopsis; 9.4.3. Secondary Endpoints	The following text was updated to remove 'of treatment failure': The occurrence of the intercurrent event of treatment failure is irrelevant: the value for the variable of interest is used regardless of whether or not the intercurrent event occurs.	The general definition of ICEs, which are consistent between Primary and Secondary endpoints, was updated. They now include more than just criteria treated as treatment failure.
2.2.1. Disease Background; 4.3. Justification for Dose;	The countries where guselkumab 100 mg is the approved dose regimen and the related statement were updated.	To ensure consistency within the protocol.

Section number and Name	Description of Change	Brief Rationale
4.4. End of Study Definition; 5.1. Inclusion Criteria (#23 and #24); Exclusion Criteria (Note); 7.3. Lost to Follow-up	The term 'he or she' was replaced by 'the participant'.	To adhere with the current standards for gender inclusivity.
5.1. Inclusion Criteria (#4)	The definition of active psoriatic arthritis was updated from 'three swollen joints' to 'three swollen joints and three tender joints'.	To be consistent with the previous psoriatic arthritis protocols by the Sponsor.
5.1. Inclusion Criteria (#19)	The term 'ineligible' was added as follows: No retesting for <b>ineligible</b> ALT or AST is permitted.	To provide clarity that laboratory tests cannot be repeated if the results are out of range.
5.1. Inclusion Criteria (#25)	A new inclusion criterion related to use of Coronavirus Disease 2019 (COVID-19) vaccine was added.	To provide guidance on the use of COVID-19 vaccine.
5.2. Exclusion Criteria (#1 and # 31)	Criteria #31 was deleted and criterion #1 was modified to include reference to the Investigator's Brochure.	To remove duplicate criterion.
6.8. Concomitant Therapy; 6.8.1.1. Permitted Non-biologic DMARDs	Changes made to correct text to indicate participants may enroll on up to 2 DMARDs at baseline, may receive up to 3 DMARDs if they qualify for early escape or after Week 48.	To align with exclusion criteria #8.
6.8.7. Vaccinations (including COVID-19)	A new section on the use of COVID-19 vaccine was added.	To provide guidance on the use of COVID-19 vaccine.
7.1. Discontinuation of Study Intervention	The following criteria of study intervention discontinuation was deleted: The participant has his/her treatment assignment unblinded by the investigator.	To allow consideration of study intervention discontinuation on a case-by-case basis upon discussion with study responsible physician.
8.3.1. Time Period and Frequency for Collecting Adverse Event and Serious Adverse Event Information	Instructions for investigators on reporting cases of biochemical Hy's law were included in the protocol.	To provide clarity on the definition, assessment, and expedited reporting of Hy's law cases.
10.8. Appendix 8: Liver Safety: Suggested Actions and Follow-up Assessments	<ul style="list-style-type: none"> <li>Direct bilirubin was added to the liver chemistry test.</li> <li>Instructions for reporting cases of Hy's law were updated in footnote 2.</li> <li>The term '(&gt;35% direct bilirubin)' was deleted from the liver chemistry stopping criteria table.</li> </ul>	To provide further clarity to the liver chemistry stopping criteria and follow-up assessments and align with current protocol template.
10.8. Appendix 8: Liver Safety: Suggested Actions and Follow-up Assessments	Added a new footnote #4 and the previous footnote #4 was changed to #5.	To specify different hepatitis serology assessments and correct the typographical error.
10.9. Appendix 9: Study Conduct During a Natural Disaster	The guidance on study conduct during COVID-19 pandemic was updated.	To align with the updates in the COVID-19 appendix.

Section number and Name	Description of Change	Brief Rationale
Throughout the protocol	<ul style="list-style-type: none"> <li>• Minor grammatical, formatting, spelling changes, or corrections of inconsistencies were made including but not limited to:</li> <li>• The term Week 96 was added to ACR 20, ACR 50, ACR 70, and HAQ-DI endpoint analysis</li> <li>• The exact appendix providing examples of highly effective contraceptive methods was updated in inclusion criteria #11.</li> <li>• The term ‘or chloroquine’ was removed from the exclusion criterion #7.</li> <li>• The wording related to participant’s eligibility for further treatment in case of treatment assignment unblinding was rephrased.</li> <li>• The grading of modified nail psoriasis severity index was updated.</li> <li>• The term ‘at Week 24’ was deleted from the health economics analysis.</li> <li>• Updates in accordance with the latest protocol template were made.</li> </ul>	Minor inconsistencies were noted

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## INVESTIGATOR AGREEMENT

CNTO 1959 (guselkumab)

Clinical Protocol CNT01959PSA3004 Amendment 2

## INVESTIGATOR AGREEMENT

I have read this protocol and agree that it contains all necessary details for carrying out this study. I will conduct the study as outlined herein and will complete the study within the time designated.

I will provide copies of the protocol and all pertinent information to all individuals responsible to me who assist in the conduct of this study. I will discuss this material with them to ensure that they are fully informed regarding the study intervention, the conduct of the study, and the obligations of confidentiality.

**Coordinating Investigator (where required):**

Name (typed or printed):

Institution and Address: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
(Day Month Year)

**Principal (Site) Investigator:**

Name (typed or printed):

Institution and Address:

Telephone Number: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
(Day Month Year)

**Sponsor's Responsible Medical Officer:**

Name (typed or printed): **PPD** **MB ChB**

Institution: PPD Department:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ PPD \_\_\_\_\_

**Note:** If the address or telephone number of the investigator changes during the study, written notification will be provided by the investigator to the sponsor, and a protocol amendment will not be required.

CONFIDENTIAL – FOIA Exemptions Apply in U.S.

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Status: Approved, Date: 04 May 2022

CONFIDENTIAL – FOIA Exemptions Apply in U.S.

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Status: Approved, Date: 04 May 2022

**Janssen Research & Development \*****Clinical Protocol****GUIDANCE ON STUDY CONDUCT DURING A MAJOR DISRUPTION****Protocol Title**

**A Phase 3b, Multicenter, Randomized, Double-blind, Placebo-controlled Study Evaluating the Efficacy and Safety of Subcutaneously Administered Guselkumab in Improving the Signs and Symptoms and Inhibiting Radiographic Progression in Participants with Active Psoriatic Arthritis**

**APEX****Short Title**

**A Study of the Efficacy and Safety of Guselkumab in Participants with Active Psoriatic Arthritis**

**Protocol CNT01959PSA3004; Phase 3b**

**CNT01959 (guselkumab)**

\*Janssen Research & Development is a global organization that operates through different legal entities in various countries. Therefore, the legal entity acting as the sponsor for Janssen Research & Development studies may vary, such as, but not limited to Janssen Biotech, Inc.; Janssen Products, LP; Janssen Biologics, BV; Janssen-Cilag International NV; Janssen, Inc; Janssen Pharmaceutica NV; Janssen Sciences Ireland UC; Janssen Biopharma Inc.; or Janssen Research & Development, LLC. The term “sponsor” is used throughout the protocol to represent these various legal entities; the sponsor is identified on the Contact Information page that accompanies the protocol.

United States (US) sites of this study will be conducted under US Food & Drug Administration Investigational New Drug (IND) regulations (21 CFR Part 312).

**Status:** Approved

**Date:** 04 May 2022

**Prepared by:** Janssen Research & Development, LLC

**EDMS number:** EDMS-RIM-713206, 1.0

**THIS APPENDIX APPLIES TO ALL CURRENT APPROVED VERSIONS OF PROTOCOL**

**GCP Compliance:** This study will be conducted in compliance with Good Clinical Practice, and applicable regulatory requirements.

**Confidentiality Statement**

The information provided herein contains Company trade secrets, commercial or financial information that the Company customarily holds close and treats as confidential. The information is being provided under the assurance that the recipient will maintain the confidentiality of the information under applicable statutes, regulations, rules, protective orders or otherwise.

**EudraCT NUMBER:** 2020-004981-20

## **STUDY CONDUCT DURING A MAJOR DISRUPTION**

### **GUIDANCE ON STUDY CONDUCT DURING A MAJOR DISRUPTION**

It is recognized that the major disruption involving Ukraine and neighboring countries/territories may have an impact on the conduct of this clinical study due to, for example, relocation of participants and study site personnel; travel restrictions/limited access to public places, including hospitals; study site personnel being unavailable, relocated, or reassigned to critical tasks.

The sponsor is providing options for study related participant management in the event of disruption to the conduct of the study. This guidance does not supersede any local or government requirements or the clinical judgement of the investigator to protect the health and well-being of participants and site staff. If, at any time, a participant's travel to the study site is considered to be dangerous, study participation may be interrupted, and study follow-up conducted. If it becomes necessary to discontinue participation in the study, the procedures outlined in the protocol for discontinuing study intervention will be followed.

If, as a result of the major disruption involving Ukraine and neighboring countries/territories scheduled visits cannot be conducted in person at the study site, they will be performed to the extent possible remotely/virtually or delayed until such time that on-site visits can be resumed. At each contact, participants will be interviewed to collect safety data. Key efficacy endpoint assessments should be performed if required and as feasible. Participants will also be questioned regarding general health status to fulfill any physical examination requirement.

Every effort should be made to adhere to protocol-specified assessments for participants on study intervention, including follow up. Modifications to protocol-required assessments may be permitted after consultation with the participant, investigator, and the sponsor.

The sponsor will continue to monitor the conduct and progress of the clinical study, and any changes will be communicated to the sites and to the health authorities according to local guidance. Modifications made to the study conduct as a result of the major disruption involving Ukraine and neighboring countries/territories should be summarized in the clinical study report.

- Certain protocol-mandated visits to the study site may not be possible during the major disruption involving Ukraine and neighboring countries/territories. Therefore, temporary measures may be implemented if considered appropriate by the sponsor and investigator to maintain continuity of patient care and study integrity. Certain measures, such as those listed below, may be necessary and should be instituted in accordance with applicable (including local) laws, regulations, guidelines, and procedures:

- remote (eg, by phone / telemedicine) or in-person, off-site (eg, in-home) interactions between site staff (or designees) and patients for study procedures (eg, those related to safety monitoring / efficacy evaluation / study intervention storage and administration [including training where pertinent])
- procurement of study intervention by patients (or designee) from the study site or shipment of study intervention from the study site directly to patients for at-home administration
- laboratory assessments using a suitably accredited local laboratory; for selected measures (eg, urine pregnancy), home testing may be employed
- Missed assessments/visits will be captured in the clinical trial management system for protocol deviations. Discontinuations of study interventions and withdrawal from the study should be documented in the eCRF.
  - other relevant study data elements impacted by the major disruption involving Ukraine and neighboring countries/territories should also be documented in eCRFs and / or other study systems, as directed by detailed sponsor guidance. These may include missed / delayed / modified study visits / assessments / dosing, and instances where temporary measures such as those above are implemented.
- The sponsor will evaluate the totality of impact of the major disruption involving Ukraine and neighboring countries/territories on collection of key study data and additional data analyses will be outlined in study SAP(s).

## INVESTIGATOR AGREEMENT

Guidance on Study Conduct During Major Disruption  
CNTO1959 (guselkumab)

Clinical Protocol CNTO1959PSA3004

### INVESTIGATOR AGREEMENT

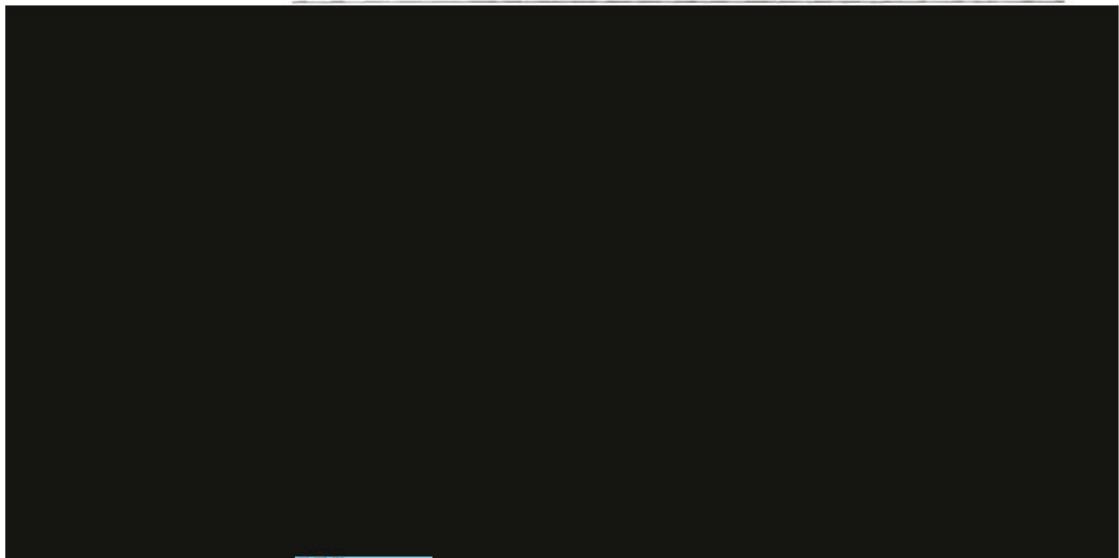
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#### Coordinating Investigator (where required):

Name (typed or printed): \_\_\_\_\_

Institution and Address: \_\_\_\_\_



Name (typed or printed): **PPD** MB, ChB

Institution: **PPD**

Signature: \_\_\_\_\_ Date: **PPD** \_\_\_\_\_

(Day Month Year)

**Note:** If the address or telephone number of the investigator changes during the course of the study, written notification will be provided by the investigator to the sponsor, and a protocol amendment will not be required.